

From leaves to seeds? The dietary shift in late Miocene colobine monkeys of southeastern Europe

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- 4 <u>Running title</u>: Dietary shif in late Miocene colobines
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- 35 The R code is available in its entirety as online supplementary material, along with all data used
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- 37 was possible, surface meshes of the specimens used in the topographic analysis have been
- 38 uploaded on Morphosource [<u>https://www.morphosource.org</u>].
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Europe

41 Abstract

Extant colobine monkeys are specialized leaf eaters. But during the late Miocene, western Eurasia 42 43 was home to colobines which were less efficient at chewing leaves than they were at breaking 44 seed shells. To understand the link between folivory and granivory in this lineage, the dietary 45 niche of Mesopithecus delsoni and M. pentelicus was investigated in southeastern Europe, where a major environmental change occurred during the late Miocene. We combined dental topographic 46 estimates of chewing efficiency with dental microwear texture analysis of enamel wear facets. M. 47 delsoni was more efficient at chewing leaves than M. pentelicus, the dental topography of which 48 matches an opportunistic seed eater. Concurrently, microwear complexity increases in M. 49 50 *pentelicus*, especially in the northernmost localities corresponding to present-day Bulgaria. This is interpreted as a dietary shift towards hard foods such as seeds or tubers, which is consistent with 51 52 the savanna and open mixed forest biomes that covered Bulgaria during the Tortonian. The fact that *M. delsoni* was better adapted to folivory and consumed a lower amount of hard foods than *M*. 53 54 pentelicus suggests that colobines either adapted to folivory before their dispersal to Europe, or 55 evolved adaptations to leaf consumption in multiple occurrences.

56 Keywords: Balkans; Colobinae; Dental microwear texture analysis; Dental topography;
57 *Mesopithecus*; Paleoecology.

58 Background

59 Climate change, plant communities and the adaptive answer of plant eaters

Climate change can have drastic and long-term effects on the structure and the diversity of 60 terrestrial plant communities. Plenty of examples can be found in the fossil record, such as the 61 62 collapse of the 'Coal Forest' in Europe and North America following the greenhouse-gas forced aridification at the end of the Carboniferous (Montañez et al. 2007), or the replacement of C₃ 63 64 carbon fixation plants by C₄ carbon fixation plants in the intertropical zone at the end of the 65 Miocene, triggered among other factors by global aridification in a cooling context (Osborne and 66 Beerling 2006; Osborne 2008). Such major changes in plant communities can dramatically affect the ability of contemporaneous fauna to feed on their preferred food resources, hence impacting 67 68 both taxonomic diversity and ecological disparity of animals. For instance, the collapse of the 69 Carboniferous rainforest resulted in a large faunal turnover in tetrapods as well as the emergence of new dietary strategies, such as carnivory or tree fern browsing (Sahney et al. 2010). Similarly, 70 71 the expansion of C₄ plants during the late Miocene resulted in the diversification of grazing mammals in North America (Janis et al. 2000; Osborne 2008), Asia (Behrensmeyer et al. 2007) 72 and Africa (Cerling et al. 2005). 73

Still, the consequences of the global climatic events can have different outcomes, depending on the regional context. For instance, the global aridification which took place at the end of the Miocene did not result in the expansion of C_4 plants in Western Eurasia, even though they could have been locally abundant (Böhme et al. 2017); instead, it induced the shrinking of the Paratethys

and then, the disappearance of the Central European Wet Zone (van Dam 2006; Utescher et al. 78 79 2011; Pound et al. 2012). At the same time, a quasi-continuous biome of woodland/savanna and open mixed forest arose from western China to eastern Europe (Böhme et al. 2017; Kaya et al. 80 81 2018; but see Denk et al. 2018), associated with the Pikermian and Baodean chronofaunas adapted 82 to these new environments (Kostopoulos 2009; Ataabadi et al. 2013). Concurrently, one likely 83 consequence of this aridification trend was the rise and fall of successive primate families in 84 Europe (Fig. 1). The Miocene epoch is indeed characterized by a radiation of hominoid primates 85 in Eurasia, with more than 10 genera ranging from Spain to south-eastern Asia (Moyà-Solà et al. 2009; Casanovas-Vilar et al. 2011; Begun 2015; DeMiguel et al. 2021). At the end of the Middle-86 87 Miocene Climatic Transition (MMCT), the taxonomic diversity of European primates reached a peak for hominoids and the now extinct pliopithecoids (Fig. 1). This high specific diversity 88 mirrors a wide ecological disparity, with species foraging on both soft and hard foods (Ungar and 89 Kay 1995; King et al. 1999; Merceron et al. 2005; DeMiguel et al. 2013, 2014), occupying 90 91 different types of habitats (Eronen and Rook 2004; Merceron et al. 2007, 2012, 2013, 2016; 92 Sukselainen et al. 2015; DeMiguel et al. 2021). Afterwards, the fossil record progressively 93 decreased for both pliopithecoids (Harrison 2005; Begun 2016) and hominoids (Casanovas-Vilar et al. 2011), and the cercopithecids started occurring in Eurasia during the early Turolian (de 94 95 Bonis et al. 1990; Koufos 2009), where they persisted until Pleistocene times (Elton and O'Regan 2014). 96

97 The fossil record can translate how biodiversity is impacted by a global and/or regional 98 environmental changes, but it can also help exploring the adaptive answer of species to the local 99 effects of these environmental changes (Davis and Pineda-Munoz 2016). When faced with climate

100 change and its influence on primary production, species can avoid extinction either (i) by tracking 101 their preferred environment or (ii) by adapting to their new environmental conditions. Comparing 102 the dietary niches of extinct species at different localities, but within a constrained spatial and 103 temporal framework, could give important insights into the mechanisms underlying adaptation and 104 how they ultimately result in macroevolution.

105 When colobine monkeys roamed the Balkans

Colobine monkeys are a subfamily of catarrhines feeding principally on leaves. But back in the 106 107 late Miocene, the oldest colobine, Microcolobus tugenensis from Kenya, had low-cusped molars compared to extant colobines (Benefit and Pickford 1986) and was thus unlikely to have been as 108 109 folivorous as extant species. This raises the issue of the evolutionary origins of folivory in 110 colobines. Following the terminology of Gould and Vrba (1982), it has been suggested that the aptitudes of extant colobines for leaf consumption, such as their multi-chambered stomach or their 111 dental morphology, could in fact be exaptations co-opted from adaptations for seed consumption 112 (Chivers 1994; Lucas and Teaford 1994). For instance, the multi-chambered stomachs of 113 colobines are interpreted by Chivers (1994) as exaptations to folivory, which would have been co-114 115 opted from an adaptation to digest high concentration of toxic carbon chains in seeds. . Similarly, dental tools used during leaf chewing (i.e. lophs) could have been co-opted from an adaptation to 116 process tough seed shells (Happel 1988; Lucas and Teaford 1994). Still, it is not known when such 117 dietary shift to folivory would have occurred, and what could have caused it. 118

119 Today, colobines are restricted to inter-tropical biomes of Asia and Africa, with the notable120 exceptions of three species of snub-nosed monkeys living in the temperate forests of China

(Nüchel et al. 2018) and at least two species of gray langurs living in the Himalayas (Groves 121 2001). But from the late Miocene to the Pliocene, they also thrived in western Eurasia. The earliest 122 reliable occurrence of a Eurasian colobine is Mesopithecus delsoni found in the Greek locality of 123 124 Ravin des Zouaves-5 (de Bonis et al. 1990; Koufos 2009); magnetostratigraphic estimations date 125 the site to ~ 8.2 Ma, while relative datation, based on the faunal assemblage, have assigned the site 126 to the Mammalian Neogene zone (MN; see Guérin 1980, 1982; Van Dam et al. 2001) MN11 (~ 127 8.7 to 7.75 Ma; de Bonis et al. 1990; Koufos 2009). The status of *M. delsoni* is debated and regarded either as a bona fide species (Koufos et al. 2004; Koufos 2009) or as a subspecies of the 128 later species M. pentelicus (Alba et al. 2015), which spans the second half of the MN12 (the 129 130 Pikermian event ~ 7.43 to 7.27 Ma; De Bonis and Koufos 1999; Böhme et al. 2018; Spassov et al. 2018) and possibly later at the beginning of the Messinian (Spassov et al. 2012). Mesopithecus 131 132 delsoni differs from M. pentelicus in its less inclined mandible planum alveolare, larger teeth, a large honing facet on the lower third premolar, and a large hypoconulid on the lower third molar 133 with a deep groove on the distal face (de Bonis et al. 1990; Koufos 2009; Spassov et al. 2018). 134 135 Given these differences, we consider *M. delsoni* as a separate species.

From the analysis of its dental microwear texture (Merceron et al. 2009b), *M. pentelicus* has been described as an opportunistic forager feeding occasionally on stress-limited food such as hard seeds, having a high microwear complexity (Asfc) and a low anisotropy (EpLsar), as expected from crushing wear facets with a high count of pits (Merceron et al. 2009a). A similar pattern was observed in *M. delsoni* fossils from Ravin des Zouaves-5 and Hadjidimovo (Merceron et al. 2009b). The upper second molars of *M. pentelicus* have thin enamel combined with a low relief, which indicates a moderate ability to crush hard foods, such as seeds, tubers or the exocarp of some fruit, as well as a low ability to shear tough and pliant foods, such as leaves (Thiery et al.
2017). Compared to their extant relatives, these monkeys may have ingested a larger proportion of
sturdy, seed-like foods and a smaller proportion of tough, leaf-like foods.

The present study aims to explore how the dietary niche of the genus *Mesopithecus* changed during the late Miocene in the Balkans (Fig. 1). This time and geographic location are especially interesting because of the environmental change that occurs there at the end of the late Miocene. Indeed, by the end of the MN11, the dominating evergreen broadleaf forest which occurred in southeastern Europe had lost ground to forest savanna and open woodlands, particularly east of longitude 28°E (Eastern border of Romania/Bulgaria; Pound et al. 2012). This aridification and landscape opening then continued during the Pikermian event (MN12; Böhme et al. 2017).

153 Multi-scale analysis of the dietary niche

Dietary estimates can only track the diet at a given temporal scale (Davis and Pineda-Munoz 154 2016). For instance, the dietary niche of a species can be inferred from cranial and dental 155 morphology, which reflect adaptations to ingest and process a range of foods at a large organismal 156 extent i.e., metapopulations. Since cranio-dental evolution may take thousands of years, 157 morphological estimates operate at a large temporal scale. At the same time, the food eaten by 158 individuals can leave a trace in the isotopic composition of the enamel (Ben-David and Flaherty 159 160 2012) or at the surface of the enamel wear facets, in the form of microscopic wear marks (Teaford and Oyen 1989; Merceron et al. 2016; Ramdarshan et al. 2016; Teaford et al. 2017); but in 161 contrast with cranio-dental morphology, stable isotopes and dental microwear work to small 162 organismal extents i.e., the individuals, and operate at a small time scale (from weeks in dental 163

microwear to years in stable isotopes of the enamel; Davis and Pineda-Munoz 2016 and referencestherein).

This work combines dental estimates from (i) dental topography and (ii), dental microwear texture 166 167 analysis. Dental topography measures the three-dimensional geometry of the tooth surface (Ungar and Williamson 2000; Guy et al. 2013). Like cranio-dental morphology, the topography of the 168 169 teeth (before any significant modification by dental wear) is the result of selection over multiple 170 generations (Davis and Pineda-Munoz 2016). Dental topographic variables measure several aspects of the tooth surface geometry. First, dental relief i.e., height variation over the tooth 171 surface can be estimated using slope (Ungar and Williamson 2000) and relief indices such as RFI 172 173 (Ungar and Williamson 2000; Boyer 2008; Thiery et al. 2019). The sharpness of the dental tools can be estimated using Dirichlet normal energy (DNE: Bunn et al. 2011) and other curvature-174 based indices, such as the area-relative curvature (ARC) introduced therein (see also Guy et al. 175 2017). Lastly, dental complexity is estimated using variables based on the heterogeneity of 176 exposure, such as orientation patch count rotated (OPCR; Wilson et al. 2012; Guy et al. 2013). 177 178 Leaf-eating cercopithecids tend to have higher relief and sharper teeth compared to more frugivorous species (Bunn and Ungar 2009). Based on Thiery et al. (2017), the topography of M. 179 pentelicus and M. delsoni is expected to match with cercopithecids consuming a large proportion 180 181 of hard food i.e., we expect their molars to have a low-to-medium relief and a low sharpness.

In contrast, dental microwear texture analysis (DMTA) investigates texture changes of enamel wear facets caused by daily contacts with food and other ingested particles (Scott et al. 2006, 2012). Experimental data and modern datasets confirm that hard food eaters have higher texture complexity (Asfc) values (Scott et al. 2012; Ramdarshan et al. 2016). The heterogeneity of texture

complexity quantifies the variation of texture complexity observed within a scan (Hasfc) and is 186 187 expected to be higher for animals with a versatile diet compared for instance to species with a specialized diet (Scott et al. 2012; Ramdarshan et al. 2016; Merceron et al. 2018). The anisotropy 188 189 of the microwear texture (epLsar) measures the orientation concentration of surface roughness, 190 which is expected to be more directional (higher epLsar) for leaf eating species, notably when 191 their diet includes tough foliages and silica-bearing plants (Scott et al. 2012; Merceron et al. 192 2021). Finally, the textural fill volume (Tfv) is the result of an algorithm that fills a surface with 193 square cuboids of different volumes. Tfv does not depend on the surface shape but on its finer texture. We expect a higher Tfv to be associated with higher Asfc values (Scott et al. 2012). Based 194 195 on earlier works on 2D microwear analysis (Merceron et al. 2009a) and DMTA (Merceron et al. 2009b), the texture complexity of M. pentelicus and M. delsoni is expected to reflect the 196 opportunistic inclusion of hard foods, with regional and possibly temporal variations in response 197 to local differences in environmental conditions (Kostopoulos 2009). 198

200 Dental topography

Average slope is significantly higher in colobine monkeys than in the other catarrhines examined here (Kruskall-Wallis' chi squared test: K = 13.601, p < 0.001). However, both *Trachypithecus auratus* and *T. cristatus* have a noticeably lower slope than other colobines. In addition, *Erythrocebus patas* has a higher slope than other non-colobine monkeys (Fig. 2A). Similarly, ARC is significantly higher in colobines (Kruskall-Wallis' chi squared test: K = 18.652, p < 0.001). Again, *T. auratus* shows noticeably lower ARC values than other colobines, while E. patas shows higher ARC values than other non-colobines (Fig. 2B).

208 Both M. aff. delsoni from Hadjidimovo and M. pentelicus from Pikermi have low slope values grouping them with the most granivorous catarrhines, although M pentelicus has an even lower 209 210 slope than the granivorous Lophocebus albigena. Similarly, the ARC of M. pentelicus is as low as the ARC of Chlorocebus aethiops and L. albigena. In M. aff. delsoni however, ARC is visibly 211 higher, being similar to that of T. auratus. In contrast, M. cf. pentelicus (noted M. sp. on Fig. 2) 212 213 from Azmaka shows high slope values for a colobine, comparable to *Ch. Aethiops* or *T. auratus*, 214 and intermediate ARC values between M. aff. delsoni and M. pentelicus. Regarding other 215 estimates, the higher relief in *M*. cf *pentelicus* is confirmed by relief index (RFI, Fig. 3B) sensu Ungar and Williamson (2000) but not by relief index sensu Boyer (2008; LRFI, Fig. 3C). Both 216 variables do not separate M. delsoni from M. pentelicus. Concerning dental sharpness, DNE values 217 218 confirm that *M. delsoni* had sharper molars than *M. pentelicus* (Fig 3E). Finally, *M. delsoni* had

219 lower OPCR values indicating that its molars were less complex than the molars of *M. pentelicus*220 (Fig. 3F).

221 Dental microwear texture analysis

Complexity Asfc on phase II (crushing/grinding molar facets) is significantly higher in the omnivorous *Chlorocebus aethiops* and *Erythrocebus patas*, and in the seed-eating mangabeys *C. atys* (*Cercocebus* sp. on Fig. 2) and *L. albigena* than in the African colobines and in *T. auratus* (post-hoc LSD-test, p-value < 0.05). The mangabeys also have significantly higher Asfc values than *S. entellus*. In contrast, *Nasalis larvatus* shows a broad range of Asfc values overlapping with mangabeys, and *T. cristatus* has Asfc values exceeding mangabeys' (Fig. 2A, B).

The Asfc of *Mesopithecus* ranges over most of the spectrum observed in extant species (Fig. 2A-228 229 B). However, Mesopithecus delsoni from Ravin des Zouaves-5 and M. pentelicus from Pikermi 230 have low Asfc values (Fig. 2C). The highest Asfc values are observed in the northernmost population of *M. pentelicus*, from Gorna Sushitsa (N = 4). They are significantly higher than what 231 is measured for Ravin des Zouaves-5 and Pikermi (post-hoc LSD-test, p-value < 0.05) and range 232 233 as high as the values observed for T. cristatus (Fig. 2A-B). In addition, Asfc values are 234 significantly higher in *M. pentelicus* from the Bulgarian locality of Kalimantsi than in Pikermi (post-hoc LSD-test, p-value < 0.05). Lastly, specimens from Vathylakkos-2 (N = 1) and Perivolaki 235 (N = 4) attributed to *M. delsoni/pentelicus* (noted M. sp on Fig. 2) only differ significantly from 236 Gorna Sushitsa (post-hoc LSD-test, p-value < 0.05). 237

238 The heterogeneity of Asfc (Hasfc) shows a contrasting picture in extant species and does not 239 reflect dietary categories and phylogeny (Table 1). In extinct species however, it is worth noting

that the dispersion of data is much larger in Pikermi compared to the other sites (Fig. 4B). 240 Regarding epLsar, African colobines and *T. auratus* all have a significantly higher anisotropy than 241 the frugivorous and seed-eating cercopithecids, together with T. cristatus (Table 1). The 242 anisotropy is also significantly lower in the MN12 locality of Kalimantsi (M. pentelicus) than in 243 the MN11 locality of Hadjidimovo (M. delsoni), denoting a lower reliance on leaves (Fig. 4C). 244 245 Still, other MN12 localities do not mirror this difference. Again, the epLsar variance is the largest 246 at the MN12 locality of Pikermi, indicating a wide range of behaviors. Finally, the textural fill volume (Tfv) does not separate extinct species or their localities (Fig. 4D; see also Table S8). 247

248 Discussion

249 Fundamental niche predicted from dental topography

250 Caution is needed when interpreting dental topography, as morphology is strongly constrained by 251 phylogeny, and what seems like an adaptation may be the remnants of an ancestral morphology (Davis and Pineda-Munoz 2016). Still, a large number of studies have shown that folivorous 252 primates are characterized by high-relief molars which are on average sharper (Boyer 2008; Bunn 253 and Ungar 2009; Bunn et al. 2011; Winchester et al. 2014; see also Kay and Hiiemae 1974; Kay 254 255 1975, 1977). This is most likely related to the selective pressure exerted by flat, tough and pliant foods such as leaves, which are displacement-limited foods and usually take more chewing 256 257 motions to be comminuted (Lucas 2000). The higher the molar relief, the sharper the tooth, and the more displacement-limited food can be comminuted in a single chewing motion. 258

Apart from T. auratus, all colobine monkeys have high slope and ARC values, which indicates a 259 high leaf-chewing efficiency (Fig. 2A, 1B). The three species of African colobines forages 260 primarily on leaves even though Co. polykomos has been reported to fall back on seeds (protected 261 262 by pods) in several locations (Dasilva 1994; Davies et al. 1999). It is worth noting that colobine 263 monkeys usually remove the pods' shell using their anterior teeth i.e., incisors and canines and do 264 not use their molars for that purpose (Oates 1994; McGraw et al. 2016). Moreover, Fashing (2001) 265 reports on two populations of Co. guereza in western Kenva spending 40% to 60% of their 266 foraging time on fruits for 6 month a year. This degree of frugivory represents exceptions driven by local environmental conditions and is most likely undetectable by dental topography due to the 267 268 scale of evolutionary biological changes through time. That being said, the consumption of seed-269 like foods would have probably affected the dental topography of worn teeth even on a short-term, 270 local scale, as hinted by several studies (Ungar and Williamson 2000; Yamashita et al. 2012; Li et 271 al. 2020).

272 The four species of Asian colobines are also known to favor leaves. However, T. auratus has been 273 reported to target seeds as well (Kool 1993). This is consistent with their average slope, which is 274 the lowest among colobines. In addition, some populations of N. larvatus devote 15-20% of their feeding time to seeds (Bennett and Sebastian 1988; Yeager 1989), and the most terrestrial colobine 275 276 monkey S. entellus also relies on fruits, seeds and even underground storage organs (USOs), depending on resource availability (Hladik 1977; Sayers and Norconk 2008). This is consistent 277 278 with their lower ARC scores compared to other colobines. Both Ch. aethiops and E. patas have intermediate slope scores, but the former has very low ARC scores, denoting a low leaf-chewing 279 280 ability, whereas *E. patas* has ARC scores comparable to *N. larvatus* or *T. auratus*. Again, this is

consistent with their diet, as vervets feed frequently on fruits and seeds (Wrangham and Waterman
1981; Whitten 1983), whereas patas feed more on tough but soft foods such as arthropods (Isbell
1998; Isbell et al. 1998). In contrast, the mangabeys have low slope and ARC values, pointing to a
poor leaf-chewing efficiency. Their molars better suit the grinding and crushing of sturdy hard
food such as seeds (Lambert et al. 2004; McGraw et al. 2014).

286 Given its low-relief and relatively dull molars, the theoretical dietary niche of Mesopithecus 287 pentelicus can be inferred as more versatile than extant colobines (Fig. 2A-B). It was probably 288 able to masticate or crack sturdy, hard foods such as seeds, seed shells or tubers without damaging its molars, as suggested in previous studies (Merceron et al. 2009a,b; Thiery et al. 2017). The low 289 290 leaf-chewing ability of *M. pentelicus*, similar to that of *Ch. aethiops*, also indicates that it would have experienced difficulties to thrive from leaves alone (because of longer chewing times, lower 291 digestibility, etc.). In addition, the thin enamel of *M. pentelicus*, which differs from the thick 292 enamel of both mangabey species, would have mitigated its ability to crack the hardest foods, 293 294 while improving the natural sharpening of enamel crests as tooth wears down (Thiery et al. 2017). 295 Having sharper molars, M. delsoni appears to have been slightly more efficient at chewing 296 leathery, leaf-like foods. However, the topography of only one specimen of *M.* aff. *delsoni* was

measured, so this interpretation remains highly hypothetical. Lastly, *M.* cf. *pentelicus* from Azmaka (AZM-6) have intermediate ARC values between *M. delsoni* and *M. pentelicus*, but a higher slope which reminds the molar topography of *Cercocebus*. This suggests a decent aptitude to crush hard foods such as seeds, but also some underground storage organs e.g., tubers (Dominy et al. 2008).

302 Realized niche inferred from dental microwear texture

303 High Asfc on phase II molar facets is correlated with the consumption of USOs and any other food which may carry grit and dust on their surface. However, and despite the fact that quartz grains 304 305 present on food do contribute to tooth wear, experiments which emulated natural conditions 306 concluded that dust loads do not obliterate the dietary signal (Merceron et al. 2016; Schulz-Kornas et al. 2019). The complexity (Asfc) of crushing/grinding molar facets is also higher for primates 307 consuming hard, sturdy food items, such as fruits with hard exocarp or hard seeds (Scott et al. 308 309 2012; this study). This relationship has been shown experimentally on captive primates (Teaford 310 and Oyen 1989; Teaford et al. 2017) and on captive ewes (Ramdarshan et al. 2016). The two 311 mangabeys C. atys and L. albigena have high Asfc values (Fig. 2), while all colobines except N. 312 *larvatus* and *T. cristatus* have low to moderate Asfc values. Because they occupy one of the 313 wettest dense forest around the world, dusty sandstorms are unlikely to have affected dental microwear of N. larvatus and T. cristatus, and their higher Asfc is more likely to result from the 314 seasonal inclusion of small seeds, as observed in *N. larvatus* (Yeager 1989; Matsuda et al. 2013). 315 During MN11, M. delsoni and M. aff. delsoni present Asfc scores within the range of S. entellus 316 317 and N. larvatus. Like these species, the dietary niche of M. delsoni was probably restricted to a relatively narrow range of foods, likely fruit and leaves - albeit wider than other colobine 318 monkeys such as African colobines, the dietary niche of which is even narrower. In contrast, there 319

is a significant increase in the range of complexity (Asfc) between *M. delsoni* during MN11 and *M. pentelicus* during MN12. While the complexity (Asfc) of *M. pentelicus* at the southern Greece locality of Pikermi and to some extent in Vathylakkos-2 and Perivolaki) remains comparable to the complexity of *M. delsoni*, it is similar to *C. atys* in the Bulgarian locality of Kalimantsi (N =

324 16) and it is even higher in Gorna Sushitsa (N = 4), pointing to the consumption of harder foods325 such as seeds or tubers.

It is unlikely that this dietary shift would have resulted from interspecific competition with other 326 327 primates. The extinction of Pliopithecidae after the Middle Miocene Climatic Transition (Fig. 1), 328 and the progressive extinction of European hominoids during the late Miocene (Merceron et al. 2010; Casanovas-Vilar et al. 2011) before the genus Macaca disperses to Europe from Africa 329 (Alba et al. 2014), could have instead left dietary niches open to colobines, although hominoids 330 might have co-existed with *Mesopithecus pentelicus* in a different site of Azmaka (respectively 331 found in AZM-4 and AZM-6, Spassov et al. 2012; Böhme et al. 2017). More likely, the dietary 332 shift that we observe (Fig. 2C; Fig. 4) is the consequence of the environmental changes which 333 334 occurred in southeastern Europe during the late Miocene. The opening of landcapes, especially 335 east of longitude 23°E (Western border of Romania/Bulgaria; Pound et al. 2012) after MN11 benefited species with broader dietary niches. This was probably the case for *M. pentelicus* which 336 displays a broad geographic range, from the Iberian peninsula (Alba et al. 2015) to China 337 (Jablonski et al. 2011; Dong and Qi 2013), and was likely able to disperse and inhabit a wide 338 339 range of habitats, including woodland/savanna environments (Clavel et al. 2012; Pound et al. 2012; Kaya et al. 2018). 340

Periods of environmental change are prone to select more generalist species at the expense of specialized ones. *Mesopithecus delsoni* joins the cohort of species affected by the great environmental change which occurs in southeastern Europe between the early/mid-Miocene and the late Miocene, coinciding with the extinction of Moschidae and the decrease in richness of Cervidae as well as forest-affiliated small taxa (Casanovas-Vilar et al. 2005). At the same time,

the richness of Bovidae, Equidae, Giraffidae and rodents adapted to open environments increase as
the global climate cools and Eurasia aridifies (Merceron et al. 2005, 2010; Fortelius et al. 2014;
Cano et al. 2015). It will not be until MN13 and the decline of the evergreen forest in southern
Europe (Casanovas-Vilar et al. 2005; Cano et al. 2015) that *M. pentelicus* disperses from eastern
Europe to Italy (Pradella and Rook 2007) and then to the Iberian basin (Alba et al. 2015), denoting
its high dispersal capacity in a landscape opening context.

352 Implications for the evolutionary origins of folivory in colobines

353 While our results do not contradict the general assumption that adaptation to folivory would have emerged from granivory in colobine monkeys (Chivers 1994; Lucas and Teaford 1994), they show 354 355 that *M. delsoni* during MN11 was less prone to the consumption of hard foods than *M. pentelicus* 356 during MN12 (Fig. 2C; Fig. 3A). Regarding dental topography, much caution is needed, as only a 357 single unworn molar of *M. delsoni* could be examined. Still, its higher average ARC indicates a higher leaf-shearing ability and most likely a lower ability to process hard foods (Fig. 2B). 358 Together, these data indicate that *M. delsoni* was less likely to consume seeds than the later 359 colobine M. pentelicus. 360

If a shift from a seed-rich diet towards folivory did actually occur in colobines, two evolutionary scenarios come to mind. On the one hand, folivory could have already emerged in colobines before the dispersal of *Mesopithecus* to Eurasia; *M. pentelicus* would have then adapted to a broader dietary niche, including the consumption of hard foods (Thiery et al. 2017). In fact, the oldest colobine monkeys could have been folivorous to some extent, and colobine monkeys might have evolved sharper molars later as their body size increased, thus resulting in higher nutritional

needs (Rossie et al. 2013). A thorough analysis of both dental topography and microwear of
 Microcolobus tugenensis and other early African colobine monkeys is required to test whether
 folivory was the ancestral dietary niche of European colobines or not.

On the other hand, folivorous colobines might have emerged several times, each time when a 370 favorable environmental context occurred. While it seems like a costly hypothesis, the early 371 372 presence of key physiological adaptations to seed consumption (Happel 1988) might have been 373 co-opted as exaptations to folivory and facilitated the multiple rises of convergent folivorous 374 lineages. As a matter of fact, existing differences in physiology and gut anatomy within extant colobines are not connected to phylogeny but rather reflect the importance of seasonal fruit and 375 376 seed consumption (Matsuda et al. 2019) which supports the hypothesis of a multiple emergence of the folivory. In addition, the whole-genome sequencing of the golden snub-nosed monkey 377 378 (Rhinopithecus roxellana) revealed that Asian colobines have evolved early on an enhanced ability to derive energy from fatty acids and to degrade xenobiotics (Zhou et al. 2014), which 379 380 would have directly improved their ability to digest seeds and at the same time facilitated the digestion of low-energy food such as lichens or leaves. Lastly, folivory is hypothesized to have 381 382 evolved from seed consumption in many mammalian orders, including primates, rodents and marsupials (Cork 1996). Rumination (chewing after microbial pre-digestion into a forestomach) 383 384 could have also evolved in artiodactyls in order to digest hard seeds too hard to break with the teeth, by directly swallowing them and softening them into the forestomach before re-chewing 385 386 them, as proposed by Bodmer (1989) while studying the feeding behavior of the brocket deer (Mazama americana) in Amazonia. Further comparison is required in order to identify key 387

adaptations which would have enabled the convergent evolution of folivory in early colobines andother potential seed-eating mammals.

390 Conclusions

391 This work finds that dental microwear complexity within the genus Mesopithecus increased between MN11 and MN12 in southeastern Europe. This observed increase was more important in 392 393 northern regions corresponding to present Bulgaria, which were then covered by savanna and open 394 mixed forests, whereas in southern regions (notably Pikermi) corresponding to present Greece, 395 there was no increase despite a more open biome. We interpret this result as a dietary niche shift towards a more challenging diet, with the likely inclusion of hard foods such as seeds or 396 underground storage organs, especially in the northernmost populations of *M. pentelicus*. This 397 ecological shift is concurrent with a change in dental topography, the molars of *M. pentelicus* 398 399 showing lower slopes and sharpness scores compared to *M. delsoni*, which attests of its large scale 400 occurrence, at least at the specific level.

While our results do not contradict the assumption that adaptation to folivory would have emerged from granivory in colobine monkeys, they show that *M. delsoni* was more likely to eat leaves during MN11 than *M. pentelicus* during MN12, albeit to a lesser extent than extant colobines. This indicates that colobine monkeys either evolved folivory before their dispersal to Eurasia, or reevolved folivory in multiple occurrences, likely because of key adaptations to seed predation which would have been co-opted for the consumption of leaf-like foods. This may have included bilophodont molars, but also multi-chambered stomach, rumination strategy or physiological

408 adaptations such as enzymes improving the digestion of fatty acids and the degradation of409 xenobiotics.

This work illustrates how the analysis of the fossil record within a constrained temporal and 410 geographic scale can give insights into the mechanisms underlying adaptation and 411 412 macroevolution. Here, combining dietary estimates operating on populations at a large timescale, such as dental topography, with estimates operating on single individuals at a small timescale, 413 414 such as dental microwear textures, allowed us to characterize change in behavior driven by 415 environmental change, and how it ultimately resulted in the emergence of dietary adaptations. This multi-scale approach could be applied to multiple evolutionary discussions related to 416 environmental change and how it can drive dietary evolution in terrestrial tetrapods. 417

418

419 Material and methods

420 Material

421 <u>Dental microwear texture analysis</u>: One hundred sixty nine extant specimens belonging to four 422 African species of cercopithecines and seven of Asian and African colobines were considered. The 423 colobines are represented by *Colobus guereza*, *C. polykomos*, *Piliocolobus badius* and 424 *Semnopithecus entellus*, *Nasalis larvatus*, *Trachypithecus cristatus*, *T. auratus*. The 425 cercopithecines are represented by *Lophocebus albigena*, *Cercocebus atys*, *Chlorocebus aethiops*

426 and *Erythrocebus patas*. The complete list of the specimens can be found in supplementary427 materials (Table S9).

Sixty six specimens of the extinct genus Mesopithecus, coming from Bulgarian and Greek late 428 429 Miocene sites, were considered. Fifteen specimens are attributed to Mesopithecus delsoni from Ravin des Zouaves-5 (type-locality, Greece, N = 3) and to Mesopithecus aff. delsoni from 430 Hadjidimovo (Bulgaria, N = 12). Ravin des Zouaves-5 is dated from MN11 (de Bonis et al. 1990) 431 432 while Hadiidimovo is located at the end/second half of MN11 (Li and Spassov 2017; Spassov et al. 2018). Forty-six specimens are attributed to *M. pentelicus* from Pikermi (type-locality, Greece, 433 N = 26), Kalimantsi (Bulgaria, N = 16), and Gorna Sushitsa (Bulgaria, N = 4; Spassov et al. 2019). 434 435 Koufos (2009) considered specimens from Perivolaki (Greece, N= 4) and Vathylakkos-2 (Greece, N = 1) as intermediate forms between *M. delsoni* and *M. pentelicus*. 436

437 Dental topographic analysis: The skulls of twenty seven specimens were selected from the same species as the microwear sample. The list of the specimens can be found in supplementary 438 439 materials (Table S2). Dental topography and especially dental relief and complexity can be greatly 440 affected by dental wear (Ungar and Williamson 2000; Bunn and Ungar 2009; Pampush et al. 2018; Li et al. 2020). In order to mitigate this effect, only the relatively unworn second molars of 441 subadults were selected following previous works (Guy et al. 2013, 2015; Thiery et al. 2017) 442 hence the limited sample size. Scans of extant specimens were combined with scans of molar row 443 casts. In addition, nine specimens of the genus Mesopithecus coming from Bulgarian and Greek 444 445 late Miocene sites were considered. Five previously investigated specimens (Thiery et al. 2017) are attributed to *M. pentelicus* from Pikermi (type-locality, Greece), two specimens from Azmaka 446 (Bulgaria) which are under study will be considered here as M. cf. pentelicus, one specimen is 447

448 attributed to *M. pentelicus* from Gorna Sushitsa (Bulgaria; Spassov et al. 2019) and one to *M. aff.*449 *delsoni* from Hadjidimovo (Bulgaria).

450 Methods

451 Dental Microwear Texture Analysis: Methods for dental microwear texture analysis have been discussed in detail by Scott et al., (2006, 2012; see also Calandra and Merceron 2016). Molars 452 were cleaned with cotton swabs soaked in alcohol in order to remove grit, dust, and glue residues. 453 After cleaning, dental impressions were made with a silicone material (polyvinyl siloxane ISO 454 455 4823, President Regular Body, Coltène-Whaledent Corp.). Scanswere made directly from the silicon molds with the "TRIDENT" Leica DCM8 white-light scanning confocal microscope (Leica 456 457 Microsystems) with a ×100 long-distance lens (Numerical Aperture=0.90; working 458 distance=0.9mm), housed at the PALEVOPRIM laboratory. For each specimen, one Phase II facet (Facets 9 to 13) was scanned on either the upper or lower molars (M1, M2, M3). The scanning 459 process generates 350×264µm point clouds with a vertical sampling lower than 0.002µm and a 460 lateral sampling (x, y) of 0.129µm. The resulting surfaces were then imported in the LeicaMap 461 software (Mountain technology, Leica Microsystems) to remove aberrant peaks with automatic 462 operators including a morphological filter. The surfaces were also leveled and a 200×200µm area 463 464 was selected and saved as a Digital Elevation Model (Merceron et al. 2016).

465 Complexity (Asfc), heterogeneity of complexity (HAsfc) and anisotropy (epLsar) were calculated 466 with Toothfrax software, while textural fill volume (Tfv) was calculated with Sfrax software 467 (www.surfract.com). Hasfc is obtained by subdividing the surface to a 9×9 grid, hance 81 cells, 468 in which texture complexity is calculated. Textural fill volume is the result of an algorithm that

fills a surface with square cuboids of different volumes (10 and 2 μm - side cuboids). All DMTA
data can be found in supplementary materials (Table S6-9). Inter-group differences were tested
after Box-Cox transformation using an ANOVA (Table S7), followed by post-hoc LSD-test (Table
S8). Only the most discriminant variable was retained to be compared with topographic variables
(Asfc, Table. 2; see supplementary material for details on the evaluation of the discriminant power
of variables).

475 <u>Dental topography</u>: Outer enamel surfaces were scanned using high-resolution microtomography 476 at the Centre de Microtomographie de Poitiers. Dental surfaces were segmented and exported as 477 three-dimensional polygonal meshes using Avizo. The meshes were then oriented, smoothed and 478 re-tesselated using Geomagic Studio as in Guy et al., (2015) except that surfaces were re-479 tesselated to an amount of 10,000 triangles instead of 50,000. Afterwards, the surfaces have been 480 cropped above the lowermost point of the occlusal basin (Ungar and Williamson 2000; Bunn and 481 Ungar 2009).

482 Topographic variables were measured in R 4.0.0 (R Core Team 2021) using the beta version of the 483 package doolkit 0.9.9. Among the variables currently supported by the package, we compared three-dimensional area, occlusal patch count rotated 'OPCR' (Wilson et al. 2012; Guy et al. 2013), 484 Dirichlet normal enregy 'DNE' (Bunn et al. 2011), as well as all relief estimates presented in 485 486 Thiery et al., (2019), notably the average slope (Ungar and Williamson 2000; Bunn and Ungar 2009), the relief index 'RFI' (Ungar and Williamson 2000) and the linearized relief index (Boyer 487 488 2008). We also use an original estimate of tooth sharpness, the area-relative curvature 'ARC' (Guy et al., 2017). 489

490 In contrast with dental microwear data, the sample size for the topographic analysis was too small for performing a parametric test to compare species. Therefore, we compared the ability of 491 topographic variables to separate colobine monkeys from other cercopithecids using Wilcoxon's 492 rank-sum test (Table 2). Only the most discriminant variables were retained for comparisons with 493 microwear variables (average slope and average ARC, Fig. 2A-B; see supplementary materials for 494 details on the evaluation of the discriminant power of variables). Average ARC and average slope 495 are correlated (Pearson's r = 0.8, p-value < 0.001; see supplementary materials: Table S4). Other 496 497 variables were correlated, such as RFI, LRFI and DNE, but since they measure the same aspect as slope and ARC, they were only represented for fossil taxa (Fig. 3B, 3C, 3E). In contrast, variables 498 499 which were not correlated to ARC nor slope, such as OPCR (see supplementary materials: Fig. S2; Table S3-S4), were not able to discriminate colobines from other cercopithecids. Nevertheless, 500 OPCR was represented for fossil taxa because it is a widely used variable (Fig. 3F). 501

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Figure 1. Chronology of the successive primate radiations that took place in western Eurasia 503 504 during the Miocene (see Table S1). The progressive disappearance of the Central European Wet 505 Zone (Utescher et al. 2011) coincides with a decrease in biodiversity of European pliopithecoids 506 (gray) and hominoids (blue). Although the first part of the evolutionary history of cercopithecids 507 took place in Africa, they appear in the fossil record of Europe during the late Miocene with the genus Mesopithecus (red). This study compares M. delsoni and M. pentelicus specimens found in 508 the Balkans within MN11 and MN12 Mammal Neogene zones (Guérin, 1980, 1982), with 509 populations from the MN12 sharing features with both *M. delsoni* and *M. pentelicus*. 510



513 Figure 2. The dietary niches of *M. delsoni* and *M. pentelicus* compared to extant catarrhines. 514 Mesopithecus sp. groups specimens that are similar to M. pentelicus but whose taxonomic affinities are not clear (M. cf. pentelicus from Azmaka and M. delsoni/pentelicus from Perivolaki 515 and Vathylakkos-2). Data points correspond to specific means with error bars marking confidence 516 517 intervals (standard error of the mean with $\alpha = 0.05$). (A) Bivariate plot of the complexity of enamel wear facets (Asfc) versus molar average slope. (B) Bivariate plot of Asfc versus average 518 molar area-relative curvature (ARC). (C) Asfc of extinct specimens ordered by site, from the 519 520 northernmost (Gorna Sushitsa) to the southernmost location (Pikermi).



Figure 3. Dental topographic analysis of *Mesopithecus* from the Balkans. Variables are ordered by site, from the northernmost (Gorna Sushitsa) to the southernmost location (Pikermi). Dental relief estimated from (A) average slope; (B) relief index sensu Ungar and Williamson (2000); and (C) relief index sensu Boyer (2008); dental sharpness estimated from (D) average area-relative curvature (ARC) and (E) Dirichlet normal energy (DNE). (F) Complexity estimated from Orientation Patch Count Rotated (OPCR).



Figure 4. Dental microwear texture analysis of *Mesopithecus* from the Balkans. Variables are ordered by site, from the northernmost (Gorna Sushitsa) to the southernmost location (Pikermi). (A) Textural complexity (Asfc). (B) Heterogeneity of Asfc (HAsfc). (C) Anisotropy (epLsar). (D) Textural fill volume (Tfv). The green area shows the confidence interval for M. delsoni values (standard error of the mean with $\alpha = 0.05$).

- N.*l*. *C.a.* Ch.a. *P.b.* S.e. Т.а. Т.с. L.a. *E.p.* Co.g. Co.p. L. albigena C. atys Hasfc *Ch. aethiops* Hasfc E. patas Hasfc Co. guereza Asfc Asfc Asfc Asfc epLsar epLsar epLsar epLsar Tfv Tfv Tfv Tfv Co. polykomos Asfc Asfc Asfc Asfc epLsar epLsar Hasfc, epLsar Tfv Tfv epLsar Tfv Tfv P. badius Asfc Asfc Asfc Tfv Asfc epLsar epLsar epLsar epLsar Hasfe Hasfe epLsar EpLsar N.larvatus Asfc Tfv S. entellus Asfc Asfc Asfc Asfc Asfc Asfc Asfc Asfc Tfv T. auratus epLsar epLsar epLsar epLsar epLsar T. cristatus Hasfc Asfc Asfc Asfc Asfc Asfc Asfc epLsar epLsar epLsar epLsar Tfv Tfv
- Table 1. Results of post hoc LSD tests on texture parameters obtained from Phase II facets ofextant cercopithecids.

534 Only variables with a significant P-value for the LSD test are shown ($\alpha = 0.05$). Comparison

535 between extant colobines and cercopithecines is highlighted in grey. See supplementary materials

536 (Table S8) for more details.

537 Table 2. Ability of topographic variables to separate colobines from other cercopithecids,

538 evaluated using Wilcoxon's rank-sum test. Variables classified from the most discriminant (top) to

| | Wilcoxor | Con | |
|---------------|----------|---------|--|
| Variable | U | P-value | |
| Average Arc | 2 | 0.000 | |
| Average slope | 15 | 0.000 | |
| Rfi | 21 | 0.000 | |
| Dne | 41 | 0.014 | |
| Lrfi | 49 | 0.043 | |
| Area | 50 | 0.048 | |
| Opcr | 81 | 0.645 | |

539 the least discriminant (bottom).