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THE SUM-OF-DIGITS FUNCTION ON ARITHMETIC PROGRESSIONS

LUKAS SPIEGELHOFER AND THOMAS STOLL

ABSTRACT. Let s_2 be the sum-of-digits function in base 2, which returns the number of non-zero binary digits of a nonnegative integer n. We study s_2 along arithmetic subsequences and show that — up to a shift — the set of m-tuples of integers that appear as an arithmetic subsequence of s_2 has full complexity.

1. Results

The binary sum-of-digits function s_2 is an elementary object studied in number theory. It is defined by the equation

$$s_2(\varepsilon_{\nu}2^{\nu} + \dots + \varepsilon_02^0) = \varepsilon_{\nu} + \dots + \varepsilon_0,$$

where $\varepsilon_i \in \{0, 1\}$ for $0 \le i \le \nu$. Despite the simplicity of definition, the behaviour of s_2 on arithmetic progressions is not fully understood. Cusick's conjecture on the sum-ofdigits function [3, 9] concerns this area of research: for an integer $t \ge 0$, we define the limit

$$c_t = \lim_{N \to \infty} \frac{1}{N} \left| \{ n : 0 \le n < N, s_2(n+t) \ge s_2(n) \} \right|.$$

(The limit exists, see for example Bésineau [2]. In fact, the set in this definition is periodic with period 2^k for some k.) Cusick's conjecture states that

(1.1)
$$c_t > 1/2$$

for all $t \ge 0$. Drmota, Kauers, and the first author [3] proved that $c_t > 1/2$ for almost all t in the sense of asymptotic density; we also wish to note the works by Emme and Prikhod'ko [6] and Emme and Hubert [4, 5], and the recent partial result by the first author [9].

In the current note, motivated by Cusick's conjecture, we are concerned with the (m + 1)-tuple $(s_2(n), s_2(n + t), \ldots, s_2(n + mt))$, where $t \ge 0$ and $m \ge 1$ are integers. We aim to understand the set of tuples that can occur, as n and t run. In fact, our theorem states that, up to a shift, all tuples occur.

Theorem 1.1. Assume that $k_1, \ldots, k_m \in \mathbb{Z}$. There exist n and t such that for $1 \leq \ell \leq m$,

$$k_{\ell} = s_2(n + \ell t) - s_2(n).$$

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This is a generalization of the statement that the Thue–Morse sequence **t** has full *arithmetic complexity*, meaning that every finite word $\omega \in \{0, 1\}^L$ occurs as an arithmetic subsequence of **t**. This was first proved in [1] and also follows from Müllner and the first named author [8], and Konieczny [7].

Theorem 1.1 is not hard to prove for m = 1. We present three arguments leading to this fact.

- (1) Assume first that $k \ge 0$. Set $n = 2^{k+1}$ and $t = 2^k 1$. Then $s_2(n+t) = k+1$ and $s_2(n) = 1$, yielding $k = s_2(n+t) - s_2(n)$. If k < 0, we set $n = 2^{-k+1} - 1$ and t = 1. Then $s_2(n) = -k+1$ and $s_2(n+t) = 1$, which yields $s_2(n+t) - s_2(n) = k$. Alternatively, we may also write, as in the case m = 2 presented below, $t = 2^c - 1$ and $n = 2^{c-1} (2^a - 1)$, for positive integers a and c. We obtain $s_2(n+t) = c$ and $s_2(n) = a$, and clearly the difference c - a runs through all integers.
- (2) We have $s_2(n+1) s_2(n) = 1 \nu_2(n+1) \leq 1$, where $\nu_2(m) = \max\{k \geq 0 : 2^k \mid m\}$ for $m \geq 1$ is the 2-adic valuation of m. This formula follows by considering the number of 1s with which the binary expansion of n ends. Since $s_2(2^{\ell}) = 1$ and $s_2(2^{\ell+1}-1) = \ell + 1$, we obtain the fact that $s_2(n)$ attains all values in $\{1, \ldots, \ell+1\}$ as n varies in $\{2^{\ell}, \ldots, 2^{\ell+1}-1\}$. Let $k \in \mathbb{Z}$ be given and set $\ell = 2|k|$. Choose $n \in \{2^{\ell}, \ldots, 2^{\ell+1}-1\}$ such that $s_2(n) = |k|+1$ and $n' \in \{2^{\ell+1}, \ldots, 2^{\ell+2}-1\}$ such that $s_2(n') = |k|+1+k$. Then $s_2(n') s_2(n) = k$, which implies the statement.
- (3) Consider the densities

$$\delta(k,t) = \lim_{N \to \infty} \frac{1}{N} |\{n : 0 \le n < N, s_2(n+t) - s_2(n) = k\}|$$

(as it was the case for c_t , this asymptotic density exists [2]). These quantities satisfy the following recurrence [3]:

$$\begin{split} \delta(k,1) &= \begin{cases} 2^{k-2}, & k \leq 1; \\ 0 & \text{otherwise}; \end{cases} \\ \delta(k,2t) &= \delta(k,t); \\ \delta(k,2t+1) &= \frac{1}{2}\delta(k-1,t) + \frac{1}{2}\delta(k+1,t+1) \end{split}$$

From this, it is very easy to show that $\delta(k,t) > 0$ for all $k \leq s_2(t)$. For k given, choose t in such a way that $s_2(t) \geq k$; the positivity of the density $\delta(k,t)$ implies that there exists an n such that $s_2(n+t) - s_2(n) = k$.

For m = 2, it is also possible to obtain the statement by elementary considerations: consider integers $a, c \ge 1, b, d \ge 0$ and choose the integers n and t in such a way that the binary expansions look as follows:

$$n: \underbrace{1\cdots 1}_{c}^{a} \underbrace{1\cdots 1}_{c}^{b} \underbrace{1\cdots 1}_{c} 0\cdots 0 \underbrace{1\cdots 1}_{d}^{b}$$

The sums of digits of n, n + t and n + 2t respectively are a + b, b + c + d and c + d respectively. By varying the variables, we can obtain the statement for all integers k_1

and k_2 such that $k_2 \leq k_1$. For the case $k_1 < k_2$, we use the following configuration of the integers n and t, where $a, d \geq 1$ and $c \geq 0$:

$$n: \underbrace{1\cdots 1}_{c} \underbrace{1\cdots 1}_{c} \underbrace{1\cdots 0}_{d} \underbrace{1\cdots 1}_{d}$$

The sums of digits of n, n + t and n + 2t are a, d and c + d respectively, and we see that we obtain all pairs $(k_1, k_2) \in \mathbb{Z}^2$ such that $k_1 \leq k_2$.

However, the method quickly experiences difficulties, as multiplication by 3 is not a shift of the binary digits anymore. While we believe that the case m = 3 can be made work by some effort, a general principle is not apparent. Therefore we choose a different approach.

We prove Theorem 1.1 by induction on m, the cases m = 1, 2 having been discussed above. Assume that $m \ge 3$ and let $k_1, \ldots, k_m \in \mathbb{Z}$ be given. By induction hypothesis, there exist t_0 and n_0 such that $k_\ell = s_2(n_0 + \ell t_0) - s_2(n_0 + (\ell - 1)t_0)$ for $1 \le \ell < m$. Set $k'_m = s_2(n_0 + mt_0) - s_2(n_0 + (m - 1)t_0)$. We are going to show that we may vary k'_m by steps of ± 1 , thus yielding the full statement.

By concatenation of binary expansions, it is sufficient to show the following statement.

(1.2) There exist
$$t_1$$
, n_1 such that $s_2(n_1 + \ell t_1) - s_2(n_1 + (\ell - 1)t_1) = 0$ for $1 \le \ell < m$
and $s_2(n_1 + mt_1) - s_2(n_1 + (m - 1)t_1) = \pm 1$.

This concatenation is straightforward and summarized in the following lemma, which we will also use again in a moment.

Lemma 1.2. Let $\ell \ge 1$, $m \ge 1$, n_0, \ldots, n_{k-1} and t_0, \ldots, t_{k-1} be nonnegative integers. There exist nonnegative integers n and t such that

$$s_2(n+\ell t) - s_2(n+(\ell-1)t) = \sum_{0 \le j < k} \left(s_2(n_j + \ell t_j) - s_2(n_j + (\ell-1)t_j) \right)$$

for $1 \leq \ell \leq m$.

Proof. The base case k = 1 is trivial; it is sufficient to prove the statement for k = 2, the general case following easily from repeated application of this case.

Let N be so large that $n_0 + mt_0 < 2^N$, and set $n = 2^N n_1 + n_0$ and $t = 2^N t_1 + t_0$. Since no carry propagation between the digits below and above N occurs, we can add up the contribution of the two blocks in order to yield the statement.

We reduce the problem further, using this block representation again: choose $t_j = 1$ for all $0 \le j < k$; it is sufficient to find a $k \ge 1$ and nonnegative integers n_j for $0 \le j < k$ such that

(1.3)
$$\sum_{0 \le j < k} \left(s_2(n_j + \ell) - s_2(n_j + \ell - 1) \right) = \begin{cases} 0, & \text{if } 1 \le \ell < m; \\ \pm 1, & \text{if } \ell = m. \end{cases}$$

In order to show (1.3), we use the telescoping sum

$$\sum_{a \le j < a+2^L} g(j) = s_2(a+2^L) - s_2(a) = g\left(\left\lfloor a/2^L \right\rfloor\right),$$

where $g(j) = s_2(j+1) - s_2(j)$. This representation yields for $1 \le \ell \le m$, where L is chosen such that $2^L \le m < 2^{L+1}$,

$$\sum_{2:2^L - m + \ell \le j < 3:2^L - m + \ell} g(j) = g\left(2 + \lfloor (-m + \ell)/2^L \rfloor\right) = \begin{cases} g(1) = 0, & \text{if } 1 \le \ell < m; \\ g(2) = 1, & \text{if } \ell = m; \end{cases}$$
$$\sum_{\substack{2^L - m + \ell \le j < 2:2^L - m + \ell \\ 0 \le j \le 2:2^L - m + \ell \le j \le 2:2^L - m + \ell \end{cases}} g(j) = g\left(1 + \lfloor (-m + \ell)/2^L \rfloor\right) = \begin{cases} g(0) = 1, & \text{if } 1 \le \ell < m; \\ g(1) = 0, & \text{if } \ell = m; \end{cases}$$
$$\sum_{\substack{3:2^{L+1} + \ell \le j < 4:2^{L+1} + \ell \\ 0 \le 2:2^{L-1} \le 2$$

The first of these three identities yields the "+"-part of (1.3) by choosing $k = 2^L$ and $n_j = 2 \cdot 2^L - m + j$ for $0 \le j < k$.

The "-"-part is obtained from the second and third identities: by considering the disjoint union $J = [2^L - m, 2 \cdot 2^L - m) \cup [3 \cdot 2^{L+1}, 4 \cdot 2^{L+1})$, we have

$$\sum_{j \in J} \left(s_2(j+\ell) - s_2(j+\ell-1) \right) = \begin{cases} 0, & \text{if } 1 \le \ell < m; \\ -1, & \text{if } \ell = m. \end{cases}$$

The statement follows by merging the two intervals and choosing n_j accordingly. This finishes the proof of our theorem.

2. Possible extensions

From our proof, it is possible to effectively construct integers n and t such that $s_2(n + \ell t) - s_2(n) = k_\ell$ for $1 \le \ell \le m$. In particular, this yields integers n and t such that $\mathbf{t}_{n+\ell t} = \omega_\ell$ for $1 \le \ell \le m$, where $(\omega_1, \ldots, \omega_m) \in \{0, 1\}^m$ and \mathbf{t} is the Thue–Morse sequence on $\{0, 1\}$. (Note that we also used $\mathbf{t}(2^{\lambda} + n) = 1 - \mathbf{t}(n)$ for $n < 2^{\lambda}$.) This gives a constructive result concerning the problem of full arithmetic complexity of the Thue–Morse sequence considered in [1, 7, 8].

As an extension of the presented line of research, we are interested in the proportion of cases in which $s_2(n + \ell t) - s_2(n) = k_\ell$ occurs (for $1 \le \ell \le m$). For this, we define more generally

$$\delta(\mathbf{k}, \boldsymbol{\varepsilon}, t) = \operatorname{dens} \left\{ n \in \mathbb{N} : s_2(n + \ell t + \varepsilon_\ell) - s_2(n) = k_\ell \text{ for } 1 \le \ell \le m \right\}$$

where $\mathbf{k} = (k_1, \ldots, k_m) \in \mathbb{Z}^m$ and $\boldsymbol{\varepsilon} = (\varepsilon_1, \ldots, \varepsilon_m) \in \mathbb{N}^m$. This generalizes the array δ defined before. As in the one-dimensional case, the densities in this definition actually exist, and they satisfy the following recurrence relation:

$$\delta(\mathbf{k},\boldsymbol{\varepsilon},2t) = \frac{1}{2}\delta(\mathbf{k}',\boldsymbol{\varepsilon}',t) + \frac{1}{2}\delta(\mathbf{k}'',\boldsymbol{\varepsilon}'',t),$$

where $k'_{\ell} = k_{\ell} - (\varepsilon_{\ell} \mod 2)$, $k''_{\ell} = k_{\ell} + 1 - ((\varepsilon_{\ell} + 1) \mod 2)$, $\varepsilon'_{\ell} = \lfloor \varepsilon_{\ell}/2 \rfloor$ and $\varepsilon''_{\ell} = \lfloor (\varepsilon_{\ell} + 1)/2 \rfloor$; moreover,

$$\delta(\mathbf{k},\boldsymbol{\varepsilon},2t+1) = \frac{1}{2}\delta(\mathbf{k}',\boldsymbol{\varepsilon}',t) + \frac{1}{2}\delta(\mathbf{k}'',\boldsymbol{\varepsilon}'',t),$$

where $k'_{\ell} = k_{\ell} - ((\varepsilon_{\ell} + \ell) \mod 2), \ k''_{\ell} = k_{\ell} + 1 - ((\varepsilon_{\ell} + \ell + 1) \mod 2), \ \varepsilon'_{\ell} = \lfloor (\varepsilon_{\ell} + \ell)/2 \rfloor$ and $\varepsilon''_{\ell} = \lfloor (\varepsilon_{\ell} + \ell + 1)/2 \rfloor$. This recurrence is the reason for the introduction of ε in the definition of $\delta(\mathbf{k}, \boldsymbol{\varepsilon}, t)$. To our knowledge, these identities are new; we give the idea of proof. We have

$$\delta(\mathbf{k}, \varepsilon, 2t) = \frac{1}{2} \operatorname{dens}\{n \in \mathbb{N} : s(2n + 2\ell t + \varepsilon_{\ell}) - s(2n) = k_{\ell} \text{ for } 1 \le \ell \le m\} + \frac{1}{2} \operatorname{dens}\{n \in \mathbb{N} : s(2n + 2\ell t + \varepsilon_{\ell} + 1) - s(2n + 1) = k_{\ell} \text{ for } 1 \le \ell \le m\},\$$

and using the identities s(2n) = s(n) and s(2n + 1) = s(n) + 1, we obtain

 $s(2n+2\ell t+\varepsilon_{\ell}) = s\left(2(n+\ell t+\lfloor \varepsilon_{\ell}/2\rfloor) + (\varepsilon_{\ell} \mod 2)\right) = s\left(n+\ell t+\varepsilon_{\ell}'\right) + (\varepsilon_{\ell} \mod 2),$ therefore $s(2n+2\ell t+\varepsilon_{\ell}) - s(2n) = k_{\ell}$ is equivalent to $s\left(n+\ell t+\varepsilon_{\ell}'\right) - s(n) = k_{\ell}'$. Analogous computations are valid for ε_{ℓ} replaced by $\varepsilon_{\ell} + 1$, $\varepsilon_{\ell} + \ell$ and $\varepsilon_{\ell} + \ell + 1$ respectively, which yields the claim.

This recurrence can be used to prove statements on the densities $\delta(\mathbf{k}, \varepsilon, t)$. The general intuitive idea is that the differences s(n+jt)-s(n), for $1 \leq j \leq m$, should be almost independent for most t; in the light of this consideration, we consider generalizations of Cusick's conjecture and of Emme and Hubert's result [4]. On the one hand, we may ask for multidimensional generalizations of (1.1), relating the relative sizes of the values $s_2(n), s_2(n+t), \ldots, s_2(n+mt)$ to one another. We propose the following conjecture, extending (1.1).

Conjecture 1. Assume that $m \ge 1$ is an integer. For an integer $t \ge 0$, define

$$c_t^{(m)} = \operatorname{dens}\{n \in \mathbb{N} : s(n) \le s(n+jt) \text{ for } 1 \le j \le m\}.$$

Then for all $t \geq 0$,

$$c_t^{(m)} > \frac{1}{2^m}$$

The statement is wrong for any larger constant in place of $1/2^m$. Also, define

$$C_t^{(m)} = \operatorname{dens}\{n \in \mathbb{N} : s(n) \le s(n+t) \le s(n+2t) \le \dots \le s(n+mt)\}$$

Then for all $t \ge 0$,

$$C_t^{(m)} > \frac{1}{2^m m!}.$$

The constant $1/(2^m m!)$ is maximal.

On the other hand, we could ask for the overall shape of the *m*-dimensional probability distribution defined by $\delta(\cdot, \varepsilon, t)$.

Problem 1. Prove a multidimensional generalization of the theorem by Emme and Hubert [4]: for most t, the densities dens $\{n \in \mathbb{N} : s_2(n+\ell t) - s_2(n) = k_\ell$ for $1 \leq \ell \leq m\}$ should define a probability distribution that is close to a multivariate Gaussian law.

We can now understand the intuition behind the constants in Conjecture 1: a bivariate normal distribution with mean (0,0) has one quarter of its total weight in the quadrant $\{(x,y) \in \mathbb{R}^2 : 0 \le x, 0 \le y\}$, and analogous considerations hold for higher dimensions. Concerning the values $C_t^{(2)}$, a bivariate normal distribution with mean (0,0) has one *eighth* of its total weight in the octant $\{(x,y) \in \mathbb{R}^2 : 0 \le x \le y\}$, which corresponds to the complex closed region $\{re^{2\pi ix} : r \ge 0, 1/8 \le x \le 1/4\}$. Noting the fact that $s(n+2t) \ge s(n+t)$ if and only if $s(n+2t) - s(n) \ge s(n+t) - s(n)$, we see the link between the densities $\delta(\mathbf{k}, \boldsymbol{\varepsilon}, t)$ with \mathbf{k} lying in this octant and the values $C_t^{(2)}$. Concerning higher dimensions, we note that the m! sets

$$\{x \in \mathbb{R}^m : \|m\| \le 1, 0 \le x_{\sigma(1)} \le x_{\sigma(2)} \le \dots \le x_{\sigma(m)}\},\$$

where σ is a permutation of $\{1, \ldots, m\}$, are unions of line segments [0, z], where ||z|| = 1; they are pairwisely congruent (using a linear transformation that is a permutation matrix in the canonical base); an intersection of two distinct sets of this form has measure zero, and their union comprises a fraction $1/2^m$ of the unit ball in *m* dimensions.

We implemented the computation of the densities $\delta(\mathbf{k}, \boldsymbol{\varepsilon}, t)$ for two dimensions in the Sage computer algebra system [11], and the resulting Sage worksheet is available from the website of the first named author [10]. We obtain $c_t^{(2)} \ge c_{951}^{(2)} = 94299/262144 = 0.3597 \dots > 1/4$ for $t \le 2^{10}$ and $C_t^{(2)} \ge c_{991}^{(2)} = 43947/262144 = 0.1676 \dots > 1/8$ for $t \le 2^{10}$. The implementation involves nine two-dimensional arrays of rational numbers (corresponding to the nine possibilities $(\varepsilon_1, \varepsilon_2) \in \{0, 1, 2\}^2$) and each of these calculations took about 5 minutes on a standard machine. We note that we did not optimize the Sage code, and certainly this computation can be sped up significantly.

Other conjectures similar to Conjecture 1 are conceivable: what about the other octants and quadrants A in the plane (including the borders)? Is it always true that 1/8 resp. 1/4 is a lower bound for the density dens $\{n \in \mathbb{N} : (s(n+t)-s(n), s(n+2t)-s(n)) \in A\}$? We leave this question open for future discussion, but we note that the analogous problem in one dimension is true for almost all t: we also have

$$\tilde{c}_t = \operatorname{dens}\{n \in \mathbb{N} : s(n+t) \le s(n)\} > 1/2$$

for t in a set having asymptotic density 1 [3]. In other words, usually the median of the probability distribution defined by $\delta(\cdot, t)$ is very close to the mean value (which is 0). We believe that $\tilde{c}_t \ge 1/2$ is true for all t, which complements Cusick's conjecture (1.1) (note that $\tilde{c}_1 = 1/2$, thus there is no strict inequality).

We expect that nontrivial statements on both Conjecture 1 and Problem 1, at least for small m, can be obtained by extending the study of moments set forward by Emme and Hubert [4]. This is certainly not easy and will introduce technical difficulties that have to be surmounted.

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Institute of Discrete Mathematics and Geometry, Vienna University of Technology, Vienna, Austria

Institut Élie Cartan de Lorraine, Université de Lorraine, Vandœuvre-lès-Nancy, France