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# **FUrTIHF: French Urinary Tract Infections in Healthcare Facilities**

French Urinary Tract Infections in Healthcare Facilities: 5-year historic cohort 2014-2018

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## 5 Abstract

Background: Urinary tract infections (UTIs) are common and diverse. Even when not severe
UTIs regularly lead to hospitalization, but their hospital burden remains unknown. This study
aimed to estimate the national incidence of hospitalized UTIs in France.

9

Materials/methods: an historic 5-year cohort of adult patients hospitalized with UTIs in France was performed on the medico-administrative databases using an ICD-10 code algorithm built by a multidisciplinary team. The performance parameters were estimated blindly, by reviewing 1,122 cases, medical reports as the gold standard, giving a global predictive positive value of 70.4% [95%CI 66.6-74.1]. The national incidence of UTIs was then estimated.

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**Results:** 2,083,973 patients with UTIs were hospitalized over the period, giving an adjusted
incidence rate ~900 cases/100,000 inhabitants, stable over the period, higher in female and
increasing with age; 1.2% was device-associated UTIs. Unspecific acute cystitis represented
almost 2/3 of cases (63.5%); followed by pyelonephritis (23.6%) and prostatitis (12.4%).
More than 3/4 of patients had at least one comorbid condition (76.8%).

Conclusions: This national cohort study is the first to date to estimate the incidence of UTIrelated hospitalizations in France. UTIs represent a substantial burden of care. Further analysis will provide data for more informed goal-of-care discussion targeting each type of UTI, their management and outcomes.

#### 28 INTRODUCTION

Urinary tract infections (UTIs) are the most common bacterial infection, [1] and a major source of healthcare-associated infections (HAI) [2–4], leading to significant morbidity and cost [5]. In a French study across 34 Emergency medical services, UTIs were the second commonest infectious disease (ID) (20.4%) after respiratory tract infections among admissions [6]. Moreover, UTIs correspond to 36% of HAIs outside of intensive care units [4,7,8], even though 72% of UTIs are currently non–device-associated [9].

UTIs are diverse in their clinical presentation, clinical course and management. UTIs can be characterized (i) by the site of infection (cystitis, pyelonephritis, prostatitis, or kidney abscess) [10], or (ii) by microbial aetiology [11]. Information on the burden of UTIs in acute healthcare settings is sparse. The aim of this study was to measure trends in the national incidence of UTIs in hospitalized adults in France over a 5-year cohort study, validating and using a hospital discharge algorithm as a new computerized tool for detection and surveillance.

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43

#### 44 MATERIALS & METHODS

45 Data source

A population-based cohort study was carried out from January 1<sup>st</sup> 2014, to December 31<sup>st</sup> 2018, using national data collected from French Diagnosis-related groups (F-DRG = PMSI, *Programme de Médicalisation des Systèmes d'Information*). This national medicoadministrative database is based on the mandatory notification of each hospital stay for all French public or private healthcare settings. All hospitalization information is coded in a hospital stay summary using the International Classification of Diseases tenth revision (ICD-10) and the current French procedure terminology. As all patients are assigned a unique identification number, the same individual can be followed over time, allowing robust
epidemiological studies at the national level [12–15].

## 55 Study population and case definition

The study population included all adult patients ( $\geq 18$  years old) hospitalized with UTIs in 56 France during the study period. UTIs in children were excluded due to their different clinical 57 presentation and care pathway. Outpatient stays (<24 hours) were excluded. A 58 multidisciplinary team of infectious disease (ID) specialists, urologists and epidemiologists 59 built an algorithm according to the coding summary and the current French procedure 60 terminology featuring the discharge summary, to select patients with UTIs over the 5-year 61 period. The case definition was based on the presence of at least one specific ICD-10 62 diagnostic code for UTI as a main diagnosis or secondary diagnosis in their summary 63 64 extracted from the national PMSI database (case definition - Appendix). Device-associated UTIs were defined by the presence of specific ICD-10 diagnostic code for infection due to a 65 66 prothesis, implant or urinary tract graft. A sensitivity analysis was performed on three different algorithms, based on the different codes and their position in the summary. Finally, 67 the most robust case definition was selected to be assessed and validated. 68

## 69 Validation of the case definition

70 We first validated the algorithm using a sample of hospital charts from 6 public and private volunteer hospitals. For each hospital, 200 medical records were selected: 100 corresponding 71 to the UTI detection algorithm and 100 randomly selected among hospitalizations without any 72 73 UTI coded in the same hospital and units. This selection was performed via an automated extraction module. All available data, including clinical, bacteriological data and the various 74 75 radiological examinations were used to check the UTI diagnosis. Among the 1,200 medical charts selected, 78 could not be analyzed (no computer files, no hospital record, etc.) giving a 76 validation sample of 1,122 medical charts reviewed. The medical chart review was performed 77

by an ID specialist or a urologic in charge of the patient, reading the complete medical report
and blinded to the hospital discharge database (HDD) case definition criteria. Sensitivity (Se),
specificity (Sp), positive predictive value (PPV), and negative predictive value (NPV) were
calculated with their 95% confidence intervals (CIs). Eventually, 401 of 415 HDD cases were
true positives giving a PPV of 70.4% 95%CI [66.6%-74.1%]; with 538 true negatives, the NPV
was 97.5% [96.2%-98.8%]. Case definition sensitivity was 95.1% [95.1% – 98.1%] and
specificity was 76.1% [72.6%-79.6%].

#### 85 Statistical analyses

We estimated the overall incidence of UTIs and by type stratified by age and sex, as the number of hospital admissions attributed to UTIs divided by the total national population recorded during the last French census as reported by the National Institute of Statistics and Economic Studies. The yearly in-hospital incidence of UTI was calculated as the number of hospital admissions attributed to UTIs divided by the French population during the same study year. The hospital mortality rate for UTI was calculated as the number of deaths that occurred among hospitalized UTI patients.

Results were analyzed according to the type of UTI (kidney abscess, prostatitis, 93 94 pyelonephritis, cystitis and other urinary infections, corresponding to unspecified code N390). We described the characteristics of the patient (demographics: age, sex, type of ITUs, device-95 associated infections using the specific code T835, microbiology, mortality, and lethality), 96 97 and the UTI length of stay. As patients could have multiple hospitalizations over the study period, we defined a single episode as all hospital stays occurring within four consecutive 98 weeks; if separated by more than 4 weeks, they were considered as different infection 99 episodes. The re-hospitalization rate was defined as the number of patients readmitted to the 100 hospital over four weeks after the first admission for the same pathology divided by the total 101 102 number of hospitalizations for UTIs.

103 Continuous variables were expressed as mean ± standard deviation (SD), while qualitative
104 variables were expressed as frequency and percent; Statistical analyses were performed using
105 SAS version 9.1 for Microsoft Windows (SAS Institute, Cary, NC).

## 106 *Ethical approval*

107 Our study involved the reuse of previously recorded and anonymized data. The study fell 108 within the scope of the French Reference Methodology MR-005 (number #0615171019 109 subscripted by Tours University Hospital), which requires neither information nor non-110 opposition of the included individuals. Access to anonymous file in the DRG databases was 111 approved by the French National Commission for Data Protection and Liberties. No consent 112 was needed from the patients involved in this retrospective study.

#### 113 **RESULTS**

From 2014 to 2018, 2,279,604 UTI-related hospitalizations occurred in France; 1,125,056 cases of cystitis and other urinary tract infections, 230,134 cases of prostatitis, 414,906 cases of pyelonephritis, 8,893 kidney abscesses and 22,634 device-associated infections (Figure 1). In 2018, of the 10.7 million hospital stays in France, 474,642 met the UTI case definition, giving a yearly frequency of 4.4% of overall French hospitalizations. The distribution of UTIs was: 63.5% cystitis and other urinary infections, prostatitis 12.4%, pyelonephritis 23.6% and kidney abscess 0.5%. Overall, 1.2% of UTIs were device-associated.

The yearly UTI incidence rate was 900/100,000 inhabitants, with a stable trend over time in
females (1,070/100,000 females) and a slight increase in males (712/100,000) (Figure 2).

Cases of cystitis were the more prevalent UTIs (yearly incidence of 5,533/100,000), followed by pyelonephritis (2,060/100,000), especially in females, whereas prostatitis was retrieved in males (incidence 1,163/100, 000) (Figure 3). The results showed that 1, 801,623 distinct patients were hospitalized with a UTI over the study period. Patient demographics and hospitalization characteristics are described in Table 1.

Excluding prostatitis, all types of UTIs occurred mainly in females (overall SR M/F=0.59). 128 The proportions of women with cystitis, pyelonephritis and renal abscess were 69.3%, 78.3% 129 130 and 66.9%, respectively. UTIs predominantly affected the elderly  $\geq$ 70, especially for cystitis (70.2%) and prostatitis (62.3%) (Figure 4). Pyelonephritis affected younger people between 131 18 and 39 years (17.3%). Renal abscesses occurred in patients of all ages. Among the 132 2,279,604 UTI hospitalizations, 5.3% were admitted to the intensive care unit. Renal abscess 133 were reported in 11,191 hospital stays, representing the longer mean length of stay (14.5 134 days), and more frequently associated with an admission to the intensive care unit (8.3%) 135 (Table 1). A large majority of patients had at least one comorbid condition. The most 136

frequent were metabolic diseases (diabetes mellitus and obesity; 12.0%) and cancer andchemotherapy (11.3%).

Device-associated infections represented 22,634 patients over the 5-year period, mainly in 139 older men (55.3%). The average length of stay was 19.2 days, and the number of admissions 140 to the intensive care unit was 11.5% of hospitalizations with device-associated infections. The 141 hospital mortality rate during hospitalization with UTIs in France was 48/100,000 inhabitants 142 per year. Regarding the case fatality, 7.2% of patients with UTIs died during their 143 hospitalization (4.1% during a hospital stay with kidney abscess, 7.6% for cystitis and other 144 infections, 5% for prostatitis and 5% for pyelonephritis). For device-associated infections, 145 mortality increased to 9.5%. 146

The most common microorganisms coded in the hospital stays for UTIs were *Escherichia coli*(45.3% for kidney abscess, 50% for cystitis, 42.5% for prostatitis, and 55.5% for
pyelonephritis), *Klebsiella pneumoniae*, *Proteus* spp., *Staphylococcus* spp., and *Enterococcus faecalis*) (Table 2).

#### 152 **DISCUSSION**

Urinary tract infection is a common disease, though epidemiological knowledge remains 153 sparse. This study is the first estimation to date of incidence of UTI-related hospitalizations in 154 155 France, using a computerized tool via an HDD algorithm. The yearly UTI incidence rate was estimated at 900/100,000 inhabitants, higher in females and older patients, stable over the 5-156 year period. The mortality and case fatality rates within UTI-related hospital stays were non-157 158 negligible, even though patients could have died with a UTI occurring during a hospital stay for management of another disease, representing a key point to compare with the international 159 literature in the near future. Of note, we focused on adult inpatients, due to the differences 160 161 from children and from outpatient UTIs.

Using the HDD databases, the incidence of UTI-related hospitalizations in France was 162 computerized after validation of the HDD algorithm following the review of more than 1,100 163 medical charts. Performance parameters were estimated at a large multicentric scale, in 164 165 several centres to take into account the possible coding variability over a large panel of acute 166 care settings over the whole country. Indeed, hospital stays are coded by various healthcare practitioners, giving a coding variation depending on the coder profile [12,13]. While the PPV 167 was not as high as expected (70%), sensitivity was over 95%. This could be explained by the 168 169 use of imprecise codes or by the fact that hospitalized cases of cystitis are difficult to define clinically (true infection, soiling, catheter-associated inflammation, etc.). Indeed, classifying 170 asymptomatic bacteriuria versus cystitis is already difficult in clinical practice, and hence 171 probably even harder to be clinically characterized by an algorithm. Indeed, these situations 172 rely on each physician's assessment, and the scope of the diagnosis varies widely according to 173 174 the healthcare practitioner and their specialty: a surgeon will not have the same judgment as an ID specialist for instance [12,16,17]. Hence, an algorithm could not replace the clinical 175 assessment, especially when the situation is clinically complex [12,16]. We hypothesized that 176

bacteriuria occurred without any flank pain or fever and as the code for asymptomatic
bacteriuria does not exist, cystitis was often chosen. The high number of cases of cystitis
found in men in our study could probably represent simple male urinary colonization in a nonnegligible proportion of cases.

Our study highlights the burden of UTIs in France, with almost 500,000 hospital stays 181 meeting the UTI case definition in France in 2018 and remaining stable over time. Simmering 182 183 et al. showed a 52% increase in incidence between 1998 and 2011[18]. In our study, 1.2% were device-associated UTIs, corresponding to 22,634 patients over the 5-year period. A large 184 proportion of patients had at least one medical condition, such as metabolic disease or cancer 185 186 and chemotherapy, suggesting that the prevention of UTIs must be integrated into a global management of hospitalized patients. Infections associated with implanted devices were more 187 likely to occur in an elderly population, which may explain the length of hospitalization, or 188 patients staying in hospital for long periods of time are more likely to suffer urinary tract 189 infections. Prevention must therefore take into account all the modifiable factors found. In a 190 191 recent study, Strassle et al. showed that the following conditions were associated with an increased risk of non-device-associated urinary tract infection: peptic ulcer disease (HR, 2.25; 192 95% CI, 1.04–4.86), immunosuppression (HR, 1.48; 95% CI, 1.15–1.91), trauma admissions 193 194 (HR, 1.36; 95% CI, 1.02–1.81), total parenteral nutrition (HR, 1.99; 95% CI,1.35–2.94) and opioid use (HR, 1.62; 95% CI, 1.10–2.32) [19]. Further analyses targeting a specific type of 195 UTI would need to be performed in order to study the French risk factors. In line with other 196 studies, the main UTI causative organisms were found to be conventional urological 197 microorganisms, in particular E. coli [20]. 198

This study had several limitations. Firstly, cystitis and other imprecise UTIs ranked first, representing a wide and varied category of symptoms and preventing further analyses; a next step will be to focus on the more specific categories of UTIs represented by prostatitis, acute

pyelonephritis or kidney abscess. In that spectrum, the performance parameters of the UTI 202 algorithm varied widely according to the HDD definition considered, especially in terms of 203 PPV [12,21]. As the HDD is primarily a financial tool, the reported codes could be mainly 204 financially valued codes, while those more informative than financial, such as contextual 205 codes, are not[12,22]. Another explanation could be the lack of coding training of the 206 clinicians in charge of coding the hospital stays, using incorrect or imprecise coding, or the 207 fact that hospitalized cystitis are difficult to define clinically (true infection, soiling, catheter-208 209 associated inflammation, etc.), though the coding along with the medical chart review are not accurate and reliable [12,16]. Cystitis was the most frequent UTI managed during 210 hospitalization. Even though no cases of cystitis may have been hospitalized, the code 211 "cystitis" was found in hospitalized patients. The detection algorithm, however, detected 212 almost all patients (96.6% sensitivity). The method used in this study did not allow a detailed 213 214 examination of microbiological results. Thus we were unable to ascertain antibiotic susceptibility data as part of this first step of national surveillance. 215

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This study design and validation process did, however, open up prospects for new specific 217 studies: further investigations may focus on specific urological infections such as prostatitis 218 219 and acute pyelonephritis, studying patient profiles, risk factors and types of stay. Analysis of the factors associated with a fatal outcome or a type of surgery would be possible. Moreover, 220 healthcare-associated UTIs could be analyzed separately, and focusing on one type of UTIs 221 could allow accurate comparisons with the European Center of Diseases Control prevalence 222 points [4,8]. Indeed, reliable and accurate studies using automated and validated tools do 223 already exist in the field of HAI, such as surgical site infections in orthopedics [12,15,21]. 224 This innovative algorithm could also be used to perform accurate medico-economic analyses. 225

Using this validated algorithm, epidemiological data adjusted for PPV have been presented 226 for the first time to date and used to estimate the incidence of UTI-related hospitalizations in 227 France. UTIs are very commonly associated with medical or surgical hospital stays, 228 representing a substantial burden of care. Further analyses using the algorithm targeting one 229 230 of the UTIs, however, should provide data for more informed goals-of-care discussions, especially regarding the procedures performed and their outcomes. This automated tool may 231 help target UTI surveillance in acute care settings and prevention, especially in device-232 233 associated UTIs.

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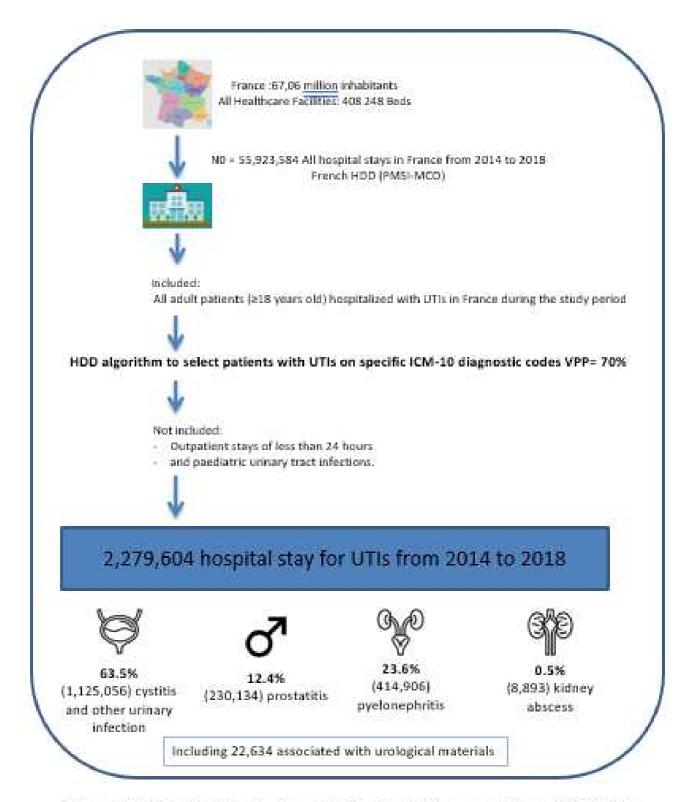


Figure 1: Selection algorithm of urinary tract infection (UTI) cases according to ICD-10, 2014-2018. ICD-10: International classification of Diseases, tenth Revision

2 HDD algorithm: hospital discharge algorithm

