

The phytoliths of Naachtun (Petén, Guatemala): Development of a modern reference for the characterization of plant communities in the Maya Tropical Lowlands

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Abstract:

Phytoliths, unlike pollen and charcoal, are frequently conserved in sediments in the Maya lowlands but are rarely used as paleoenvironmental proxies. To better interpret and reconstruct paleoecological signatures and changes, it is necessary to provide current analogues of fossil assemblages. To do so, we selected six modern ecosystems and differentiated them by their soil phytolith assemblages in the ancient Maya city of Naachtun (northern Petén, Guatemala). We studied the plant communities and relative phytoliths frequencies in surface soils on four north-south vegetation transect, composed of 43 quadrats. These transects cross forests and savannahs in low swampy areas North and South of the site, and hill forest in its center, where the city was built. Quadrats were statistically compared using multivariate analyses (CA). Six types of plant communities were characterized by their phytolith assemblages, as well as on the presence of siliceous bioindicators such as diatoms and sponges. The D/P and LU indexes developed for these assemblages allow us to provide a precise signature of the current vegetation cover, and identify the presence of undergrowth in forest areas, or forest edges in savannah areas. This first modern phytolith reference for the Maya area will contribute to the development of paleoecological reconstructions for this zone.

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Keywords

 Phytoliths assemblages; Naachtun; Maya Lowland, Petén forests; *Sival* wetland; phytolith indexes

1. Introduction

 Today, while more and more studies are focusing on the systemic collapse of our modern civilization (Meadows et al., 2004; Erhlich and Ehrlich, 2013; Servigne and Stevens, 2015), some are interested in ancient societies that have disappeared as a way of addressing modern social or

 environmental crises (Tainter, 1988; Diamond, 2005). The Maya societies of the Yucatán Lowland, well known for their huge architectural remains, are a good example. Studies testify to two major collapse events that occurred in the 2nd century (pre-classical collapse) and the 10th century (classical collapse) CE. While these collapse events are sometimes presented as the result of overly intensive environmental exploitation (Abrams and Rue, 1988; Hansen et al. 2002; Lentz and Hockaday, 2009; Turner and Sabloff, 2012), other authors question this hypothesis by citing the Maya's considered and sustainable management of forest and plant resources (Fedick, 2010; Mcneil, 2012; Lentz et al., 2015; Thompson et al., 2015).

 Located in the extreme north of Guatemala (Fig. 1), four kilometers from the border with Campeche (Mexico), the archaeological site of Naachtun was first surveyed in 1922, by SG. Morley, and 10 years later by CL. Lundell (Lundell, 1932). Since 2000, Naachtun has been the site of archaeological and palaeoenvironmental studies (Reese-Taylor et al., 2005; Nondédéo et al., 2012; 2013) and is also one of the location of the Lidar project, which aims to map the geographical and archaeological characteristics of Maya sites in northern Guatemala (Canuto et al., 2018). Given its well-preserved monumental remains, the site holds a certain archaeological interest. Indeed, the first archaeological evidence of occupation dates to the 5th century BCE, although the development of the city only began in earnest in the 1st century CE; that is, at around the time of the pre-classical period Maya collapse (Hansen et al., 2002). Located halfway between Tikal and Calakmul, the two great Maya kingdoms of Petén during the classical period, Naachtun played the role of a regional political center and may have counted several thousand inhabitants. The site was eventually abandoned around 950 CE, relatively late period in comparison to the other capitals of the Petén region (Nondédéo et al., 2013).

 In order to understand the link between past cultural and environmental changes, this study uses both the archaeological context and geomorphological/palaeoenvironmental context. Naachtun is located on a large hilly escarp and is bordered to the north and south by two depressions of the karstic polje type (Castanet et al. 2016), called *bajos*, with dispersed perennial wetlands, locally called *Sival* (Fig. 2). During the wet season, these *Sival* are fed by water and result in considerable

palustrine-alluvial sedimentation. These sedimentary sequences located in the northern *bajo* cover

the periods of occupation of the Naachtun site and create a good trap for the bioindicators

(phytoliths, mollusks, etc.) used for palaeoenvironmental reconstructions.

 In the Maya Lowlands, most bio-proxies used in palaeoenvironmental studies to reconstruct past vegetation dynamics are either pollen (Islebe, 1996; Leyden, 2002; Carozza et al., 2007; Wahl et al., 2006; 2013) or charcoal (Lentz and Hockabay, 2009; Lentz, 2015; Dussol et al., 2017). However, in Naachtun's *bajo* records, pollen are poorly preserved, while the identifiable charcoals are too diluted to allow for systematic study. This poor conservation led us to look for new bioindicators of past vegetation changes. Due to their siliceous structure, phytoliths are resistant to oxidation and are well preserved in such tropical environment deposits (Alexandre, et al., 1997; Madella and Lancelotti, 2012; Piperno, 2006; Watling et al., 2016). They also allow good monitoring of herbaceous environments thanks to the morphotype diversity of Cyperaceae and Poaceae (Twiss et al., 1969; Fredlund and Tieszen, 1994; Lu and Liu, 2003; Stromberg, 2004, Iriarte and Paz, 2009; Novello et al., 2012). Moreover, unlike pollen, phytoliths are a good local bioindicator of plant formations (Madella and Lancelotti, 2012; Piperno, 2006). However, in the Maya zone, research involving phytoliths is scarce and has been conducted using only a few particular morphotypes (Beach et al., 2009), or in specific archaeological contexts (Bozart and Guderjan, 2004; Abramiuk et al., 2011).

 Given the lack of phytolith studies in this forest region, it is difficult to interpret fossil assemblages in the sedimentary archives. The main problem in forest environments is that phytoliths do not allow for taxonomic discrimination between woody dicotyledons (Alexandre et al., 1997; Piperno, 2006; Mercader et al., 2009; Collura and Neumann, 2017). However, in similar environments of tropical lowland forests, like the Amazon rainforest, recent studies have pointed to the possibility of characterizing the different forest ecosystems by studying phytolith assemblages (Dickau et al., 2013; Watling et al., 2016).

 The aim of this study is, therefore, to assess the potential of phytoliths in the Maya Lowlands to serve as a good proxy of plant formations. To achieve this purpose, a botanical study

- composition are also observed, with thin layers of well-drained rendosol on hill and slope areas, and seasonally waterlogged vertisol-histosol in *bajo* areas (Beach et al., 2008).
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- 2.2. The plant formations of Petén
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 The Petén forest was first described in the 1930s by Lundell (1937) and was defined as a semi-sempervirent tropical forest. However, this ecological classification does not reflect the true diversity of forest ecosystems found in the different geomorphological contexts of Petén. The hill zones are covered by high semi-evergreen forests (30–40 m) with calcareous plant associations. The wide trees, characteristic of these forests, belong mostly to the Burseraceae, Fabaceae, Meliaceae, Moraceae, Sapindaceae, and Sapotaceae. The relatively sparse undergrowth is occupied by Arecaceae and Piperaceae shrubs, and a small number of grassy plants. The *bajo* areas are covered by a low forest (5–8 m), where plant associations are adapted to clayey soils and the seasonal submersions and drying periods. These are mainly composed of species belonging to the Anacardiaceae, Euphorbiaceae, Fabaceae and Myrtaceae families. The *bajo* forest undergrowth is relatively bare, with very few grasses. In addition to these two main forest ecosystems, it is possible to find other vegetation types, such as palm forests, pine forests, or humid or dry savannahs, adapted to local geomorphological conditions (Lundell, 1937; Martínez and Galindo-Leal, 2002; Schulze and Whitacre, 1999). Currently, the Petén forests are less populated than during the Maya period (Canuto et al., 2018).

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- 3. Methodology
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3.1. Vegetation study and soil sampling

 The vegetation study was conducted during two fieldwork sessions at the end of the dry season in March 2016 and April 2017. We have conducted ecological transects crossing all altitude and humidity domains. Thus, we defined three north-south oriented transects in the north *bajo* area (T1, T2, and T3), and one north-south/west oriented transect (T4), over distances of about one kilometer. These transects therefore covered different plant formations of forests and herbaceous areas from hills and *bajo* (Fig. 2). Along these transects, we identified areas where plant communities were changing. Depending on the extent of a plant community, one to two blocks, each of about 100m², have been positioned in the middle of it. On each quadrat, we recorded the 168 plant species presences based on local common names and annotated their relative quantity. Vegetation cover values were estimated from colorimetric processing (light rate [light-white pixels] relative to vegetation coverage rate [dark pixels]) of forest cover photographs taken vertically and to up. Finally, for the phytolith analysis, only a few grams of the surface portion of the A1 horizon (the A0 litter having been removed from the sample) were taken from several locations in each of the quadrat. All samples were mixed to create a single sample of approximately 100 g by quadrat. It allowed us to prevent our sampling producing ultra-local biases in phytolith assemblages.

 The ecological survey was carried out with the help of a local forester able to identify the plants by their vernacular names in Spanish and/or Mayan. The vernacular names were then translated into scientific names using the available literature and databases (Lundell, 1937; Standley and Steyermark, 1946; Schulze and Whitacre, 1999; Martínez and Galindo-Leal, 2002; Atran et al., 2004), while some plant specimens were compared with taxonomic types from the Paris Herbarium in France's National Museum of Natural History (MNHN). However, the local nomenclature of Naachtun plants contains many synonymies (one local name = different taxa) or polynomials (several local names = one taxon). To resolve this issue, we chose to apply taxonomic corrections, such as the following:

 - Multiplication of nomen: *zakate* is used to designate both *Kyllinga pumila*, a Cyperaceae, and *Olyra latifolia*, a Poaceae. In these cases, the vernacular name, nomen, was used several times, to allow for better taxonomic and ecological representativeness.

 - Construction of species complex: *Manilkara chicle*, *Pouteria glomerata*, *Pouteria reticulata*, *Sideroxylon tepicense*, trees belonging to the Sapotaceae family, are all named *zapote* or *zapotillo*. In such cases, we decided to group all these scientific names under the nomen *zapote*. Phytoliths do not allow for differentiation between woody dicotyledon species. We, therefore, chose to create species complexes for trees (62 nomens associated with woody dicotyledons). Phytoliths are, however, a good tool for characterizing monocotyledon families, such as Arecaceae, Cyperaceae, Commelinaceae, Musaceae, and Poaceae (Piperno, 2006; Honaine et al., 2009; Eichhorn et al., 2010; Novello et al., 2012; Chen and Smith, 2013). With some families, it is sometimes possible to determine the genus or species. Thus, for herbaceous plants, we multiplied the nomens to allow for better ecological monitoring using phytoliths (18 nomens). Finally, from the 157 initial occurrences, 75 nomens were assigned to a genus and five nomens to a family (See Table 3 in appendix). It should be noted that these sampling and identification methods were designed to be used primarily on ecological rather than taxonomic assemblages. Plant associations were studied by quadrat using a statistical study of nomen occurrences. Each nomen class selected was classified for each of the quadrats based on the following criteria: Absent (0 occurrences), Present (1 to 8 occurrences) or Abundant (> 8 occurrences) (Table 3). These occurrence data (coded 0, 1 and 2 respectively) were studied using a hierarchical bottom-up classification coupled with a factor analysis of the correspondences. This statistical methodology aimed to verify the representativeness of the assemblages across the site and compare them with the literature (Lundell, 1937; Schulze and Whitacre, 1999; Martínez and Galindo-Leal, 2002).

3.2. Preparation and counting of phytolithes

 The procedure for phytolith extraction was adapted from Piperno (2006). For approximately 212 20 g of soil: (1) Organic Matter was destroyed using hydrogen peroxide (H_2O_2) , (2) clays were 213 deflocculated using sodium hexametaphosphate (SHMP; 40 g/L), (3) sand and gravel were removed by sieving at 250 µm, (4) clays were eliminated by draining, and (5) amorphous siliceous matter

was concentrated and extracted by densimetric sorting using sodium polytungstate diluted to a

density of 2.30–2.35.

 The dried residue was mounted on microscope slides in immersion oil to allow rotation and 3-D analysis. Observations, identifications, and counts were performed under a microscope at magnifications between x450 and x630. As counting methods influence the ecological interpretation of phytolith assemblages, we followed recommendations in counting at least 200 diagnostic phytolith morphotypes for each sample (Stomberg, 2009; Zurro, 2017). In addition to these diagnostic phytoliths, we also counted non-diagnostic phytolith morphotypes, sponge spicules, and diatoms. The results are presented in a diagram of the relative abundances of diagnostic morphotypes. The diagram also includes the relative abundances of non-diagnostic shapes and bioindicators, calculated as the total sum of all particles counted.

3.3. Classification of phytolithes

 Although phytolith assemblages in the forests of Petén have not yet been studied, other forests in intertropical zones have been the subject of studies, such as those from Amazonia (Piperno 1988; Dickau et al. 2013; Watling et al. 2016), Africa (Alexandre et al, 1997; Barboni et al. 1999; Runge 1999; Aleman et al., 2012), Indonesia (Chabot et al., 2018) and New Guinea (Boyd et al., 1998). Others researches conducted on current Central and South American plant phytoliths complete this first overview (Piperno and Pearsall, 1998; Iriarte, 2003; Iriarte et al., 2009; Watling and Iriarte, 2013). Our phytolith classification (Table 1) is based on these regional studies and other work (Iriarte, 2003; Eichhorn et al., 2010; Fenwick et al., 2011; Collura and Neumann, 2017; Neumann et al., 2017), as well as the nomenclature of the ICPN working group (Madella et al., 2005).

3.3.1. Sclereid shapes (Plate I, a-c)

3.3.2. Globular shapes (Plate I, d-k)

 Spherical to ellipsoid shapes can be differentiated from their ornamentation. Globular shapes with a smooth surface have been identified many times in the tissues of woody dicotyledons (Wilding and Drees, 1973; Piperno, 1988; Iriarte and Paz, 2009; Watling and Iriarte, 2013), as well as in some monocotyledons (Runge, 1999; Bremond et al., 2004). The globular decorated shapes (warty, rough, crenellated, aggregate, etc.; Plate I, f-g) described in the literature (Piperno, 1988; Alexandre et al., 1997; Kealhofer and Piperno, 1998; Barboni et al., 1999; Iriarte and Paz, 2009; Garnier et al., 2013) are produced in the fruits, leaves and wood of woody dicotyledonous. Sometimes the globular morphotypes are formed of aggregate particles, named globular compound (Garnier et al., 2013; Collura and Neumann, 2017), or angular particles, named globular nodular (Neumann et al., 2009; Collura and Neumann, 2017). In our study, all these shapes are classified under the "globular mixed" category.

 We chose to create a separate category for globular faceted morphotypes (Plate I, d), as they are characteristic of the Cucurbitaceae family (Piperno et al., 2000; Piperno, 2006), one of the key crops of Maya societies. The last globular morphotype is the globular echinate (Plate I, i-k). It is a spheroid shape covered with tips. This morphotype, widely observed in the literature, is generally associated with Arecaceae (Tomlinson, 1961; Piperno, 1988; Kealhofer and Piperno, 1998; Runge, 1999; Dickau et al., 2013; Watling et al., 2016). However, the production of this morphotype depends on the subfamily (Thomas, 2011). 3.3.3. Hat-shapes This hat-shape morphotype (Plate I, l) is a semi-spherical shape crowned by one and several 281 thorns. It is relatively small in size: less than 25 um (Piperno, 1988, 2006; Kealhofer and Piperno, 1998; Dickau, 2013; Iriarte and Watling., 2013; Watling et al., 2016). It is generally associated with Arecaceae but is more representative of certain subfamilies, such as Arecoideae (Thomas, 2011). 3.3.4. Grass short-cell phytolith (GSCP) shapes (Plate I, m-aa) The grass short-cell phytoliths (GSCPs) are divided into three main morphotypes: the lobate, saddle and rondel shapes. These three classes of phytoliths represent a great diversity of shapes and can be divided into numerous sub-variants (Mulholand, 1989; Piperno and Pearsall, 1998; Barboni and Bremond, 2009; Novello et al., 2012; Neumann et al., 2017). Barboni and Bremond (2009) suggest that the multiplication of sub-variants reduces the effects of taxonomic redundancy within the subfamilies of Poaceae. However, other researchers claim that the development of a complex classification system, with characters that are not objective and therefore difficult to reproduce from one author to another, has led to an increase in the various forms and thus to errors in the interpretation of assemblages (Rovner, 1971; Neumann et al., 2017). In our study, we chose to use

 this last approach to GSCP classification to build our base (Barboni and Bremond, 2009; Neumann et al., 2017).

 Lobate shapes are mainly produced by Panicoideae (Twiss, 1992; Piperno and Pearsall, 1998; Piperno, 2006). There are three main variants: bilobate (Plate I, m-p), cross (Plate I, q-s) and polylobate (Plate I, u-v) shapes. The classification proposed by Neumann et al. (2017) classifies bilobate and cross shapes according to particle size and shank length. This is particularly important in our study, as it has been shown that the size of crosses could be an indicator of domesticated Zea mays (Prat, 1948; Pearsall and Piperno, 1990; Iriarte, 2003). Polylobate shapes (Plate I, u-v) and other rare shapes of lobate (e.g. triangle cross, Plate I, t) are not subdivided into variants. Saddle-shaped GSCPs are produced by Chloridoideae (Barboni and Bremond, 2009; Garnier et al., 2013). Because Neumann et al. (2017) point out that the different shapes of saddles can't be assigned to taxonomic classification, we chose to view saddles (Plate I, w-x) as a single class of GSCP.

 The rondel morphotypes (Plate I, y-aa) show relatively large morphological variation (Mulholand, 1989; Novello et al., 2012), but are not characteristic of a Poaceae subfamily (Barboni and Bremond, 2009; Neumann et al., 2017). Thus, in our classification, rondel shapes are classified into one morphotype, except for two particular shapes (Plate I, y-z). Pearsall et al. (2003) identified a rondel shape recognizable by a top with three spaced ridges (Plate I, aa) that is both corn and teosinte specific in the Maya zone. Given the importance of maize to Maya societies, we decided to keep apart this morphotype.

3.3.5. Papillae shapes (Plate I, ab-ag)

 Papillae phytolith morphotypes are associated with Cyperaceae (Ollendorf et al., 1992; Runge, 1999; Thorn, 2004; Lu et al., 2006; Honaine et al., 2009; Neumann et al., 2009; Garnier et al., 2013; Novello et al., 2018). From our observations, most papillae morphotypes have a swelling that is angular or rounded, relatively large, and generally in the center of the shape. This lump

 appeared hollow on all morphotypes observed. Studies have shown that, currently, it is not possible to discuss its taxonomic assignment more precisely (Ollendorf et al., 1992; Honaine et al., 2009). Nevertheless, each variant of papillae morphotype has been retained in our classification. The distinctive characteristics of these variants are the general shape of the plate, its texture, the ornamentation of the margins, and finally the size and structure of the luminous core.

3.3.6. Non-diagnostic shapes and other bioindicators (Plate II)

 Some phytolith morphotypes are considered not diagnostic such as bulliform cells and elongate shapes. Bulliform cells are produced in the epidermis of plant leaves, from both monocots 333 and dicots (Piperno, 2006). They are rather large morphotypes, generally $> 20 \mu m$, with various morphologies. In our study, we identified three bulliform shapes: cuneiform (Plate II, a-b), smooth parallelepiped (Plate II, c-d) and ornamented parallelepiped (Plate II, e-f). Although they do not have a taxonomic role, these shapes can nevertheless provide information on the ecological parameters of the deposition site, and the availability of water for certain plants in particular (Bremond et al., 2004). The second non-diagnostic phytolith family is that of the elongate morphotypes (Plate II, g-k). They are produced both in the tissues of herbaceous plants (Twiss et al., 1969; Lu and Liu, 2003) and dicotyledons (Piperno, 1988; Runge, 1999). Two subtypes can be discerned: elongate smooth shapes and elongate decorated shapes. Finally, in our non-diagnostic counts, we included sponge spicules and diatoms (Plate II, l-

 s). These non-phytoliths siliceous forms are associated with water environments and can provide information about wetlands.

3.3.7. Use of phytolithic indices

 The D/P index was developed to describe the density of tree cover (Alexandre et al., 1997). It is calculated as a ratio of globular shapes associated with dicotyledons to GSCPs (Alexandre et

 al., 1997; Barboni et al., 1999; Bremond et al., 2004). Until now, this index has been little used in American tropical environments (Dickau et al., 2013). In this study, we tested its effectiveness in neotropical zones.

3.4. Statistical analysis

 The statistical analysis in this study aims to attest our observations in the field, as well as the results from counting the phytolith assemblages. The statistical analysis of the quadrats, which had been grouped according to the main types of plant community, was carried out in two stages. The vegetation quadrat groupings were tested by a dissimilarity analysis using HAC (Hierarchical Agglomerative Clustering) clusters. It allows verifying whether quadrat groupings in ecosystems are represented by at least one similarity index, to identify poorly defined quadrats and ultimately to justify our groupings in the following factor analysis. The second step in the statistical analysis of modern vegetation environments is a component analysis. This method allowed to assign groups based on the data matrix and identify the main characteristics that contribute to this graphical morphology: namely, plant taxa. A component analysis was performed on the quadrat/phytolith data matrices. By using the plant groups identified by the HAC the scatter plot obtained by analyzing the phytoliths was compared with the analysis of the current plant communities, and at the same time, the coherence between modern plant communities and phytolith assemblages was tested.

4. Results

4.1 Botanical study: Plant communities at the Naachtun site

 The study of plant communities along the four transects from the *bajos* at low altitudes to the hills identified six major types of plant communities (Fig. 2 and 3). These field observations were tested by the use of a correspondence analysis.

4.1.1. The *Sival* zone (Plate III, f)

 Further away from the *Sival* zone, the concentration of *tinto* decreases and is replaced by associations of shrubs belonging to the Anacardiaceae, Euphorbiaceae, Fabaceae and Myrtaceae families, such as *chechem negro* (*Metopium brownei*), *subin*, *katsin* and *jiesmo* (*Acacia sp*.), *cascarillo* (*Croton sp*) and *guayavillo* (*Eugenia* sp.). The *Chechemal* zones, being those furthest from the water areas, contain some palm species, such as *cambray* (*Chamaedorea seifrizii*) and *escobo* (*Cryosophila stauracantha*). The herbaceous stratum is poorly represented, with some sparse *zakate I* (*Olyra latifolia*), *zakate II* (*Kyllinga pumila*), *zakate III* (*Cyperus ochraceus*) and *zakate de huecht* (*Rhynchospora cephalotes*). Plant species are widely dispersed in *Chechemal* environments, and their relative proportions may vary depending on the geographical area studied. Lundell (1937) does not seem to consider this plant community to be a *bajo* environment, but rather an area of secondary regrowth. Martinez and Gallindo-Leal (2002) found the same association in the Calakmul region and named it *bajo mixto*. Tikal, Schulze and Whitacre (1999) considered these species associations to be a swamp forest environment distinct from the *Tintal* forest. We chose to name this environment *Chechemal* due to the presence of a particular tree, the *chechem negro* (*Metopium Brownei*).

4.1.4. The *Escobal* zone (Plate III, c)

 The northern slopes of the *bajo* and the eastern slopes of the southern *bajo* are covered by dense forest, higher than the *bajo* forests, and dominated by palm trees of the *escobo* (*Cryosophila stauracantha*) variety. Those are secondary species habitually growing in the higher areas of the site as well as *Chechemal* zones. Herbaceous plants are rare: *zakate* (*Olyra latifolia*) was observed only rarely. These dense palm forests are interpreted as the transition forests in the literature and referred to *Escobal* by Lundell (1937). They are found on the non-flooded slopes of transects T1, T3, and T4, and on the margins of the *bajo* zone along T2 (Fig. 2 and Fig. 3).

4.1.5. The *Ramonal/Zapotal* zone (Plate III, a)

 hierarchy index finds initial groupings. In our case, the Euclidean hierarchy index was the most consistent. Nonetheless, five samples (S01, S02, S16, S22, and S24) do not correspond to our initial classification. With this HAC, S22 was interpreted as *Escobal* vegetation, while S24 was interpreted by the analysis as *Chechemal* vegetation. The three other quadrats clearly contained transitional vegetation and are defined as such in our statistical analysis.

 The first two axes of the component analysis (CA) of all quadrats explain 25.0% of the total variance (Fig. 5a). The contribution tables of Fig. 5 show the species that contributed most to the morphology of the scatter plot and that are considered as ecological indicators. Axis 1 (14.6% of the variance) distinguishes open habitat quadrats with Sival species, located at the positive end, from forest quadrats, located at the negative end. Axis 2 (10.4% of the variance) distinguishes plant communities according to the main altitude ranges. Samples and species typical of *bajo* forests are located at the positive end, while forest samples from the archaeological site, more upper, are grouped at the negative end. Two irregularities were identified within this first CA. Quadrat S24 is interpreted to be a relatively open zone in the *bajo*, whereas it is a forest zone disturbed by a compacted path at the edge of a Sival zone. This observation leads us to conclude that this sample is problematic. The second problem is that the scatter plot corresponding to the hill vegetation environments is too condensed to discriminate the quadrats. Thus, to refine our classification, we chose to perform a second CA on these samples (Fig. 5b).

 For the second CA, the first three axes explain 35% of the variance. The CA conducted on the first two axes (Fig. 5b) shows that Axis 2, which explains 11.1%, is not decisive in the distribution of samples and species. Axis 1 (15.3% of the variance) distinguishes the quadrats associated with the *bajo* areas located at its negative end from the hill and site quadrats at its positive end. Axis 3 (9.4% of the variance; Fig. 5c) distinguishes the forest areas of hills with samples of the *Ramonal/Zapotal* zones at its negative end, and samples of *Carrizal* environments at its positive end

 These conclusive statistical analyses demonstrate that our sampling and botanical survey method is statistically reliable, except for the identified plant transition zones. Thus, this classification of plant communities can be used to characterize modern phytolith assemblages.

4.3. Modern phytolith assemblages (Fig. 6 and Fig. 7)

 The phytoliths counts from each quadrat have been summarized in Fig. 6 and Fig. 7. The distribution diagram (Fig. 6) shows the relative abundances of phytolith morphotypes by quadrat, grouped into plant communities. The first part of the diagram concerns the relative abundances of diagnostic morphotypes. They are expressed as a percentage of the total number of diagnostic morphotypes. The second part of the diagram concerns the abundance of non-diagnostic phytoliths and bioindicators. They are expressed as a percentage of the total number of counted particles. The diagram of the descriptive statistics for the morphotypes (Fig. 7) shows the amplitude of the distribution of morphotype classes across the six types of plant communities. This second diagram completes the relative abundances diagram. On these diagrams, quadrats that were not discriminated by the HAC (Fig. 4; S1, S2, and S16) were not included in the calculations in Fig. 7. *Sival*: Six samples are assigned to this environment (S10, S11, S12, S25, S26, and S27). The phytolith assemblages are dominated by globular mixed, GSCP (especially saddle and rondel) and papillae morphotypes. On average, papillae morphotypes represent 35% of *Sival* assemblages, reaching up to 85% in S10. Globular mixed, saddle and rondel phytoliths represent on average 15% of *Sival* assemblages but could account for over 30% (Fig. 6). The D/P index accounts systematically less than 1. For bioindicators, *Sival* environments record the highest average proportion of sponge spicules (30%) and diatoms (6%) of all assemblages. Analysis of the relative abundance diagram points to differences between the assemblages in forest environments (*Ramonal, Carrizal, Escobal, Chechemal,* and *Tintal*) and wet/open environments (*Sival*; Fig. 6). *Tintal*: Four samples are assigned to this environment (S9, S13, S28, and S35). The phytolith assemblages are dominated by globular mixed, GSCP (lobate) and papillae morphotypes.

 On average, globular mixed morphotypes represent 37% of *Sival* assemblages, reaching up to 50% in S9 and S28 (Fig. 6 and Fig. 7). Lobate and papillae morphotypes each account for on average 21% of *Tintal* assemblages (Fig. 7). The D/P index oscillates between values close to 1. The proportions of bioindicators are close to those observed in the *Sival*, with an average of 28% for sponge spicules and 3% for diatoms.

 Chechemal: Seven samples are assigned to this environment (S15, S24, S31, S32, S33, S34, and S36). The main feature is the high percentage of globular mixed shapes, accounting for on average 80% of assemblages but reaching up to 87% (S31 and S36; Fig. 6 and Fig. 7). Accordingly, the D/P index values are high (15–20). As regards bioindicators, diatoms are absent, while sponge spicules account for on average 8%.

 Escobal: Eight samples are assigned to this environment (S9, S17, S18, S19, S20, S22, S23, and S37). Globular mixed (50%) and globular echinate (40%) phytoliths are predominant (Fig. 7). The D/P values are also very high, at 27 on average. The proportions of sponge spicules and diatoms in these assemblages are very low (less than 1% in average).

 Carrizal: Four samples are assigned to this environment (S04, S07, S41, and S42). The phytolith assemblages are dominated by globular mixed morphotypes, exceeding 60%. The most conspicuous feature is the high percentage of rondel GSCPs, accounting for 26%, and the rondel crested morphotype, reaching values around 20% (Fig. 7). The non-diagnostic phytoliths, bulliform parallelepiped and elongate psilate morphotypes are particularly well represented in these samples (Fig. 6). The D/P values are low for a forest environment, recording 3 on average. Sponge spicules and diatoms are absent.

 Ramonal/Zapotal: Eight samples are assigned to this environment (S3, S5, S6, S21, S38, S39, S40, and S43). Globular mixed and hat- shape morphotypes dominate these assemblages, representing 65% and 18% respectively. The D/P index is extremely high, with 38 on average. Sponge spicules and diatoms are absent.

4.4. Statistical tests of phytolith assemblages

 The assembly groups defined based on the distribution diagram and ecosystems were tested by a component analysis. The variables were the phytolith morphotypes and quadrat samples. The contribution table of Fig. 8 indicates the morphotypes that contribute most to the morphology of the scatter plot. Axis 1 and Axis 2 explain 52.7% of the variance (Fig. 8a). Axis 1, which explains 36.3% of the total variance, distinguishes between the openness and/or humidity of the environments: the *Sival* and *Tintal* environments are located at the positive end and are mainly influenced by sponge spicules, diatoms, and papillae morphotypes. GSCPs are also present at the positive end. The negative side includes the samples taken from forest areas and morphotypes such as globular mixed and globular echinate. Axis 2 of our analysis explains 16.43% of the total variance and differentiates grassy

 undergrowth forests from forest areas with trees only. The variables on the positive side of this axis that contribute most to the morphology of the scatter plot are the rondel crested GSCP, bulliform cells and elongate morphotypes. However, the samples from *Ramonal*, *Escobal* and *Chechemal* forest areas form a group of samples that are difficult to analyze. This highlights the necessity of isolating the *Carrizal* areas from the other forest areas on the site and in the *bajo*.

 A second CA (Fig. 8b-c) was needed to distinguish the different Naachtun forest samples (*Chechemal, Ramonal/Zapotal, Escobal*). The first three axes explained 73.5% of the total variance. In the second graph (Fig. 8b), Axis 1 (29.2% of the variance) and Axis 2 (23.4% of the variance) help to distinguish between the site's different forest ecosystems. The sponge spicules variable contributes considerably to the morphology of the scatter plot for these two axes, and the *Chechemal* samples are therefore located in the upper right corner of the graph and isolated from the other samples. The second contributing variable for both axes is the globular echinate morphotype, which is located on the negative part of Axis 1 and the positive part of Axis 2. This morphotype allows to differentiate between the different *Escobal*-type forests (Fig. 8b). Axis 3 in the second CA suggests that hat-shape morphotypes help to distinguish between the *Ramonal*/*Zapotal* samples (Fig. 8c). Finally, the vegetation samples interpreted as "undefined

 areas" by the HAC (S1, S2, and S16; Fig. 4), were not characterized by our component analysis either (Fig. 8).

5. Discussion

5.1. Definition and identification of Naachtun plant communities

 The study and interpretation of phytolith assemblages show significant differences between plant formations. They point to the necessity of applying the general approach, as well as taking into account not only non-diagnostic phytoliths but also other bioindicators during the interpretation process.

 The phytolith assemblages in *Sival* zones can be explained by their plant associations. Papillae morphotypes are produced by Cyperaceae, the family to which *tul* (*Cyperus articulatus*) belongs (Novello et al., 2012; Watling et al., 2013), while saddle and rondel morphotypes are produced by certain subfamilies of Poaceae, such as Arundinoideae, to which *zakaton* (*Phragmites australis*) belongs (Ollendorf et al., 1988; Piperno and Pearsall, 1998; Liu et al., 2013). The high proportions of diatoms could indicate the presence of perennial water.

 The *Tintal* zones' assemblages were interpreted as assemblages of a transitional plant community between open and forest areas. The globular mixed phytoliths were most likely produced by *tinto* (*Haematoxylum campechianum*), which is the only woody dicotyledon in this plant community. The high proportions of papillae and bilobate morphotypes and other bioindicators can be explained by various processes. The *Sival* areas contained a much higher percentage of papillae morphotypes than in other studies (Barboni et al., 2007; Novello et al., 2012). The seasonal flooding of *Sival* areas may allow for the registration of Cyperaceae tissues and phytoliths, as well as diatoms and sponge spicules, in *Tintal* soils. Finally, the significant presence of bilobate morphotypes could be explained by the irregular presence of seasonal grassy undergrowth, consisting of Cyperaceae and Panicoideae, as was observed in 2017.

 Chechemal forest assemblages can be partly explained by the rare occurrences of herbaceous species (Poaceae, Cyperaceae, etc.) or monocot trees, and by a predominance of the woody dicotyledons that produce globular mixed morphotypes. It is principally the presence of sponge spicules, due to seasonal flooding in these forest areas that permit these environments to be characterized.

 The palm groves of the *Escobal* environments are characterized by a predominance of both globular echinate and globular mixed which morphotypes originating from the ligneous trees of the forest. The globular echinate morphotypes are produced by certain subfamilies of Arecaceae, such as Coryphoideae (Thomas, 2015), which *escobo* (*Cryosophila stauracantha*), the dominant species in this palm grove community, belongs to. The presence of sponge spicules indicates that these areas are subjected to a seasonal flood.

 The *Ramonal*/*Zapotal* high forests are defined by a phytolith association composed of globular mixed and hat-shape morphotypes. Globular mixed morphotypes are produced by the woody dicotyledons of the forest, while hat-shape morphotypes may have originated from *xate* (*Chamaedorea sp.*), the dominant species in this plant community. Indeed, Thomas (2015) indicates that this morphotype is overrepresented in Arecoideae species, including the *Chamaedorea* genus. However, some samples (S6, S39, S40, and S43) contained lower proportions of hat-shape morphotypes (Fig. 6). This can be explained by the fact that the *Zapotal* plant association, described by Lundell (1937) and Schulze and Whitacre (1999), have undergrowth with lower *xate* content. This type of assemblage could, therefore, be confused with those in the upper areas of a *bajo* that do not contain sponge spicules (S01). Within the high forest vegetation, areas with bamboo thickets (*Carrizal*) were identified by an association of globular mixed, rondel crested, bulliform decorate and elongate smooth morphotypes. The fact that the undergrowth is dominated by *Rhipidocladum bartleddii* suggests that this bamboo species produce some of the morphotypes in this association. The discovery of similar rondel morphotypes in other bamboos (rondel three-pikes, Pearsall et al., 2003) may confirm this hypothesis.

 To conclude, three levels of interpretation of the assemblages can be highlighted. (1) The first level concerns the differentiation between forest and open areas. High proportions of GSCPs and papillae shapes indicate open environments, while high proportions of globular mixed morphotypes, which may or may not be accompanied by palm tree morphotypes (globular echinate and hat-shape), are representative of forest environments. Forest zones with grassy undergrowth or "savannahs" are characterized by high proportions of globular mixed morphotypes and one or more morphotypes of GSCP or papillae, as in the case of the *Carrizal* and *Tintal* environments. (2) The second level of identification concerns the wet character of the vegetation. The presence of high 626 proportions of papillae shapes $(> 15\%)$ in the assemblages indicates wet plant associations. As regards bioindicators, the presence of diatoms is associated with perennial water bodies, while sponge spicules suggest the presence of occasional or seasonal water bodies in the *bajo* zone. This is useful to identify the forest areas located in the *bajo*'s influence zone during the wet season. (3) The last level of interpretation of the diagrams concerns the identification of forest areas at the edges of hills, slopes, and *bajos*. At Naachtun, the proportion of phytoliths produced by the Arecaceae seems to be useful in distinguishing the palm transition forests and the *Ramonal* forest from the hills of the site.

5.2. Discussion of the use of indices

5.2.1. Identify the cover layer

 The first studies conducted using the D/P index represented the tree cover as a ratio of globular decorated, produced by woody dicotyledons, to GSCPs and morphotypes associated by some authors with Poaceae (Alexandre et al., 1997, 1999; Bremond et al., 2004, 2008). Changes in the classification of phytoliths prompted the authors to adapt this formula to the morphotypes and environments studied (Stromberg, 2004; Bremond et al., 2004; Barboni et al., 2007; Neumann et al.,

 2009; Garnier et al., 2013; Dickau et al., 2013). According to Alexandre et al. (1997), a D/P index > 1 is representative of open environments, while D/P values < 1 indicate forest areas.

 Thus, for Naachtun plant environments, our results indicate that forest areas have high D/P values recording an average of 38 for *Ramonal/Zapotal* samples, 27 for *Escobal* samples and 16 for *Chechemal* samples. These values, higher than 1, are associated to dense vegetation areas, which is consistent with these forest environments. In the *Sival* samples without tree strata, the D/P index average was around 0.53, suggesting that the D/P index can be used to identify open environments. However, *Carrizal* areas, with grassy undergrowth, and *Tintal* areas, with seasonally grassy undergrowth, have D/P values higher than 1 but much lower than for forested areas. The average D/P index for *Carrizal* areas was 2.84, but varied between 1.1 and 6, while the index values for *Tintal* zones varied between 0.36 and 2.1 (Fig. 6, Fig. 9 and Fig. 10).

 The D/P index does not seem suitable for identifying certain plant formations whose values overlap, such as those in the *Carrizal*, *Tintal* and *Sival* zones. This is particularly true of the *Tintal* and *Sival* environments, which contain similar phytolith assemblages dominating by Cyperaceae and GSCPs. To overcome this obstacle to interpretation, we decided to adjust the D/P formula to our study by including certain useful morphotypes (Cyperaceae, sclereid, globular echinate and hat-shape).

 Fig. 9 shows the variation in the D/P values for each quadrat studied and compares them with the vegetation cover values for seven different D/P index formulae. The seven formulae correspond to a variation in the sum and type of morphotypes applied to the numerator, denominator, or both simultaneously (Table 2).

 The first observation leads to the conclusion that including globular echinate and hat-shape morphotypes in the formula maximizes D/P values, especially for *Sival* zones where the D/P average was 0.9. Thus, the use of these morphotypes in the D/P formula does not seem justified. Including sclereid morphotypes in the index calculation does not have a significant effect on D/P values. This can be explained by the low proportions of this morphotype in the assemblages.

 The calculation of this formula implies that the predominance of any one part of the assembly (D or P) over the other parts will tend to minimize the denominator and thus produce high 702 values indicating strictly forest or strictly savannah areas. Whereas a mixed assembly $(D = P)$ will produce D/P index values of around 1, but this new index will allow the presence of both a herbaceous zone and tree stratum to be confirmed. Indeed, in such a case, the average values of D and P will increase the denominator, which will cause the index values to fall to a minimum value.

 This new index, named LU (limits/undergrowth) index, was developed for our samples and has good potential for ecological recovery in our environmental contexts (Fig. 10). The lowest values were obtained for environments containing both a herbaceous stratum and tree cover, such as the *Carrizal* and *Tintal* environments. These LU values put into perspective the average D/P index values of these environments and confirm their mixed nature. The *Sival* zones generated different LU index values depending on the sampling zone. The values for quadrats S12, S25 and S27 were low and comparable to those of the *Carrizal* zones, which could be explained by the fact that the edges of the *Tintal* and *Ramonal* zones are located nearby. Conversely, the LU index values for the samples from the center of the *Sival* zone (S10, S11, and S26) were higher due to the distance from the wooded areas. The new index values calculated for the *Escobal* and *Ramonal* environments were relatively high and would indicate a sparse herbaceous stratum. This is in agreement with the high D/P index values. Finally, the *Chechemal* environment quadrats had relatively low values compared to other forest areas. Some of the low values from the new index (for quadrats S33 and S34) could correspond to forest areas where the herbaceous stratum is only seasonally present, as observed in other *bajo* areas at Naachtun. Based on the quadrats and vegetation parameters (tree cover, herbaceous undergrowth), we can define 0.25 as the maximum LU index value identifying mixed and transitional vegetation areas.

 One main objective of this study was to test the potential of phytolith assemblages in Petén for characterizing past landscapes and past ecosystems. The main hurdles to the development of a bioindicator tool are the different post-deposition taphonomic processes that can modify thanatocoenosis and thus the interpretation of assemblages (Madella and Lancelotti, 2012). These disturbance factors include the differential diagenetic recording of morphotypes (Piperno, 1988; Alexandre et al., 1997), the vertical translocation of assemblages (Runge, 1999; Fishkis et al., 2010) and lateral transport (Fredlund and Tieszen, 1994; Osterrieth et al., 2009; Madella and Lanceloti, 2012; Watling et al., 2016). Finally, factors making interpretation more difficult may also occur during the production and deposition of phytoliths, depending on the composition of plant communities and sediment types.

 Initially, the analysis of fossil samples, and their palaeoenvironmental interpretation must be carried out with the awareness that "time averaging" in Holocene sediment can easily represent from several years to tens or hundreds of years (Fredlund and Tieszen, 1994; Albert et al., 2006). For phytoliths records in fossil samples, it is difficult to know the period of the deposit. Thus, a phytolith record contains a mixture of consecutive plant associations at a location over an unknown number of years. However, this problem also exists for modern samples. The first centimeters of the A horizon sampled are not representative of the current vegetation, but rather of the vegetation from a recent period which is not precisely known and could cover several months to a few decades. The part of transect T3 from the *Sival* zone to the southeast of the northern *bajo* (S24 to S27) is a good example. The vegetation within the *Sival* zone is organized into specific vegetation rings linked to the expansion of perennial water in spring: first the *tul*/*zakaton* association, then a halo of *tul* only, then a *tul*/*yerba buena*/*zarza* association. As observed during field missions, every spring, water availability is different, and this affects the plant composition of quadrats S24 to S28. It can link with the spatial mobility of the *Sival* zone's plant rings depending on water precipitation. Because it is a continuous phenomenon continues, 2017 samplings in the eastern *Sival* zone may correspond to

 an average of phytolith records from the vegetation at different seasons. Thus, in *Sival* assemblages, the high proportions of papillae morphotypes represent the rings of *tul* (Cyperaceae), while high proportions of saddle shapes represent the rings of *zakaton* (Arundinoideae), and globular mixed and sclereid morphotypes indicate the rings of *zarza* (Fabaceae).

 Phytolith assemblages can be affected by lateral transport effects, caused by wind, water, gravity or bioturbation (Fredlund and Tieszen, 1994; Alexandre et al., 1997; Barboni et al., 1999; Piperno, 2006). The systematic approach of ecological transect sampling allows to test the estimation of morphotype transport from one quadrat to another one.Alexandre et al. (1997) point out that high tropical forests' canopies limit wind transport. However, transport by water should be accounted for interpreting assemblages. At Naachtun, phytolith transport by water may be facilitated by the substantial drainage system from the high areas to the *bajo*, as well as the flooding period of the *bajo* during the wet season. Our results show that phytolith assemblages are not affected by transport and are most representative of the local vegetation. The vegetation of the seasonally flooded lowlands (*Sival*, *Tintal*) is dominated by papillae polygonal and diatoms, while globular mixed morphotypes are relatively few and mainly restricted to upper woody dicot areas. The same observation can be made for the slope area samples. The drainage of high zones does not result in the mixing of phytolith associations. For example, phytolith assemblages in the transition area from S7 to S8 on transect T1 are not subject to contamination from rondel crested morphotypes. Moreover, looking at the sloped area running along transect T3 (S22–S26), the upper samples, which are rich in globular mixed morphotypes, have little influence on the D/P index value for the *bajo* samples. Thus, for the current Naachtun site, phytolith transport plays a minor role in the alteration of phytolith assemblages.

 Transport does not affect the identification of plant landscapes. However, the transport of phytoliths may play a role in the taxonomic representativeness of the quadrats studied. In all the plant communities studied, palm phytoliths were observed in an average of around 5–10% of the phytolith associations. In environments such as *Tintal* or *Sival* zones where Arecaceae are absent, these high proportions of palm phytoliths raise questions over their transport. The high numbers of phytoliths produced by the Arecaceae and their greater resistance to erosion (Chabot et al., 2018) could mean that they have a ubiquitous record. We surmise that comparable proportions (5–10%) of Arecaceae phytoliths in fossil samples can be interpreted as the result of contamination caused by transport, rather than as an identifying ecological signal.

6. Conclusion and Future Researches

 This work has allowed for the development of a tool to study paleoenvironments in the Maya zone. Phytoliths are particularly useful in tropical forest areas where other types of fossil conservation can be lacking. The analysis of modern soil samples from Naachtun has shown the potential of phytolith assemblages as bioindicators of plant landscapes in the Maya zone. A robust interpretation of phytolith assemblages has been enabled both by sampling the modern soil and studying the modern plant communities' distribution. We used a general approach to interpret phytolith assemblages diagrams and multivariate statistical analyses, as well as an index approach. These results testify of the possibility to distinguish wet and open Sival areas from *bajo*, *Tintal* and *Chechemal* forest areas. The use of both phytoliths assemblages and phytolith indices seems to be particularly appropriate to differentiate opened, closed, and transitional plant environments. The consistency of the results between modern plant communities and phytolith assemblages demonstrates the validity of our methodology.

 However, the environments of this study are only part of Petén environments. "Natural" and "anthropic" environments were certainly much more diversified in Maya times, and are still today. Thus, the realization of a current reference collection of phytoliths in anthropized areas is necessary to define more precisely the interpretation of the fossil phytolith assemblages from the occupation period of the Naachtun site. Some other environments, such as the savannahs of central Petén (Lundell, 1937) or the drier forests of northern Yucatán could have reached the Naachtun region during drier climatic periods. More humid environments of the lake or lagoon variety (Yaxha, Dos Lagunas, etc.) could also have been present during wet phases. Modern soil sampling of these

environments also seems necessary to construct a complete and current phytolith reference system

for Maya environments on the Petén level.

 Finally, the characterization of plant communities could be improved in taxonomic terms. As we have seen, the Naachtun ecosystems are mostly dominated by woody dicotyledons. A general study on the sclereids in such closed environments is needed to refine the taxonomic precision. More precise taxonomy could also be achieved by combining phytolith data with the data on other local bioindicators which are sensitive to variations in climatic parameters and ecological plant niches.

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- Fig. 1. Map of main archaeological sites of the Mayan lowlands and the semi-evergreen tropical
- forest location. (color)
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Fig. 2. Map of plant communities and ecological quadrats location. (color)

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Fig. 4. HAC of plant communities in relation to quadrat species assemblages: Siv = Sival, Tin =

Tintal, Che = Chechemal, Ram = Ramonal/Zapotal, Car = Carrizal, Esc = Escobal. (color)

based on HAC. (color)

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Fig. 6. Distribution diagram of phytolith morphotypes. (color)

 $\%$

Ram

 $Car **Esc**$

Baj

Tin Siv

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-

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Fig. 9. Evolution of D/P values by environments and quadrats according to the D/P index formulas

used (Table 2) and vegetation cover. (color)

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Fig. 10. Evolution of the LU index as a function of the D/P index, the vegetation cover rate and the

relative frequency of a grassy undergrowth. (color)

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1359

1360

PALMS

HERBS

- papillae multiple, ac: papillae smooth, ad: papillae long, ae: papillae polygonal, af: papillae
- collumelate, ag: papillae wavy (color).

- Plate II Main morphotypes of non-diagnostic phytoliths. a-b: bulliform cuneiform, c-d: bulliform psilate, e-f: bulliform decorate, g-h: elongate psilate, i-k: elongate decorate, l-o: sponge spicules, p-
- s: diatoms. (color)

d: Chechemal, e: Tintal, f: Sival. (color)

