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In Vivo Achilles Tendon Elasticity Assessment using Supersonic Shear Imaging: a feasibility study

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Abstract- In this study shear wave dispersion was measured in vivo in four Achilles tendons by applying the Supersonic Shear Imaging technique and the concept of Shear Wave Spectroscopy parallel and perpendicular to the tendon fiber orientation. In tissues shear wave dispersion is related to two main physical causes: the geometry of the investigated medium which can lead to guided waves and/or the viscosity of the medium (if Voigt model is assumed). By modeling the Achilles tendon as a transverse isotropic plate it was possible to estimate the tendon elastic properties described by the five independent elastic constants (C11, C33, C13, C55 and C66) of the Christoffel's tensor. We show that parallel to fibers the geometrical effect leading to guided wave propagation is prominent, while perpendicularly to fibers viscosity must be also taken into account to fully describe the experimental data. The obtained results agree with those reported in the literature. In this study we have demonstrated that the use of a dispersion model must be taken into account in order to properly estimate the tendon viscoelastic properties.

Keywords—Achilles tendon, transverse isotropy, Lamb waves, viscoelasticity, supersonic shear imaging, shear wave spectroscopy

I. INTRODUCTION

Common tendon disorders (e.g. Achilles tendon rupture or flexor tendon injury) are responsible for substantial morbidity in sports and daily life. Repetitive tendon overload result in a degenerative condition called tendinopathy and its treatment seeks to restore the tendon mechanical functions. Thus, noninvasive evaluation of the tendon's elastic properties is of great importance to predict tendon rupture and in the follow up during recovery treatments. However, unsatisfactory results are common and the mechanical properties of the injured tendon are hard to assess quantitatively during the recovery process. As a consequence, a non-invasive tool capable of quantifying tendon stiffness is of great need clinically.

Several authors have characterized tendon stiffness and structure [1]-[3]. Usually, tendons may be described as a unidirectional arrangement of collagen fibers within a supporting matrix. Thus, the isotropic solid model is no longer valid and a transverse isotropic model is more suitable. Kuo *et al.* [2] evaluated anisotropy *in vitro* on six fresh bovine Achilles tendons using ultrasound speed measurements along different propagation directions. In [2] Young's modulus of 134 kPa and 35 kPa were obtained parallel and perpendicular

to the fiber orientation respectively. Recently, Aubry *et al.* [3] evidenced anisotropy by applying the Supersonic Shear Imaging (SSI) technique *in vivo* to human Achilles tendons. By estimating the shear wave time of flight, shear wave velocities of ~15 m/s and ~5 m/s were measured parallel and perpendicular to the fiber orientation respectively. Given these values and the frequency range used for the SSI technique (300 – 800 Hz), the shear wave wavelengths are greater than the mean tendon thickness (~ 4 mm) [3]. Thus, shear waves may be guided along and across the tendon as Lamb waves as it has already been observed in cornea [4] and arteries [5]. Therefore, to avoid underestimation on the shear wave speed calculated from the shear wave time of flight, a dispersion analysis is required.

The aim of this work is to adapt the SSI technique to map *in vivo* the mechanical properties of extremely stiff and geometrically complex media as tendons. To this end, shear wave propagation in a direction parallel and perpendicular to the fiber orientation is studied as a function of frequency by using Shear Wave Spectroscopy (SWS) [6].

II. MATERIALS AND METHODS

A. Experimental Procedure

The left and right tendons of two healthy volunteers were studied. For each experiment the volunteer was in a sitting position with a 90° angle between the foot and the tibia as well as between the tibia and the femur as presented in Fig. 1. The foot and the ultrasonic probe were submerged in a water tank to avoid pre-compression of the tendon and to facilitate the positioning of the probe. Once a proper B-Mode image of the tendon was achieved the probe position was held fixed through an articulated arm. Then, the SSI technique was applied to the tendon either parallel or perpendicular to the fibers by tilting the probe by a 90° angle.

B. Supersonic Shear Imaging (SSI) Technique

For the SSI technique [7], the ultrasonic radiation force is used as shear wave source. An ultrafast ultrasound scanner (Aixplorer, Supersonic Imagine, Aix en Provence, France) is used to drive a conventional 256 elements, 8 MHz ultrasonic linear array (SL15-4, Supersonic Imagine). A pushing sequence consisting of three to four pushing points (150 µs duration each) at different depths, centered on the tendon position, is used to generate a broadband low frequency shear wave (300 - 800 Hz) which propagates in a direction perpendicular to the ultrasonic beam. The system is then switched to an ultrafast ultrasound imaging mode to image the shear wave propagation at a 15 kHz rate.



Fig. 1. Representation of the experimental procedure used for the Achilles tendon elasticity assessment. B-Mode images of the tendon (indicated with white lines) for the probe position parallel and perpendicular to the fibers are also presented (pushing points are indicated in white dots).

III. EXPERIMENTAL RESULTS AND INTERPRETATION

A. Shear Wave Spectroscopy (SWS) in the Achilles tendon

From the measured displacement movie it is possible to extract the shear wave dispersion curve (i.e. relation between phase velocity and frequency). The first step consists in transforming the (x,z,t) displacement movie into a two dimensional data set (x,t) by looking at a fixed depth inside the tendon. Then, by applying a 2-D Fourier transform to the (x,t) displacement it is possible to extract the wave dispersion curve by finding at each frequency, the phase velocity at which the Fourier transform amplitude is maximal. The procedure is represented in Fig. 2. For the final dispersion curve an average over the tendon thickness is performed. Results for each subject are presented in Fig. 3 and Fig. 4 for the parallel and perpendicular direction respectively. The error bar is provided by the standard deviation of the dispersion curve over the tendon thickness.



Fig. 2. Shear wave spectroscopy in the Achilles tendon for the shear wave propagation direction parallel and perpendicular to the fibers.

Similar behavior is observed for all four tendons. Phase velocity values between 12.9 ± 1.7 m/s and 16.9 ± 2.1 m/s were measured for frequencies between 300 and 800 Hz parallel to the fiber orientation (mean value ± standard deviation over the four tendons). In the perpendicular direction the values ranged from 3.1 ± 0.5 m/s to 4.3 ± 0.6 m/s for the same bandwidth mentioned above. It is important to note that the values obtained in our study coincide with those reported in the literature [3]. However, non-negligible dispersive effects are observed for wave propagation in both directions. Thus, a dispersion model should be taken into account in order to estimate the tendon's elastic properties.

Shear wave dispersion has already been observed in tissues due to viscosity (e.g. muscle or liver) [6], [8], guided wave propagation (e.g. cornea or arteries) [4], [5] or both (e.g. myocardium) [9]. In the case of the Achilles tendon, based on the measured phase velocity at 500 Hz, wavelengths of 25 mm and 7 mm are calculated for the parallel and perpendicular direction respectively, which are greater than the mean tendon thickness ($h \sim 4 \text{ mm in Fig. 1}$). Thus, in a first approximation, guided wave propagation is assumed to be responsible for shear wave dispersion in the Achilles tendon. Furthermore, due to the ratio between parallel and perpendicular phase velocities (~3) and the ratio between tendon width and thickness (w/h)~3), wave propagation along parallel and perpendicular directions does not interfere with each other. As a consequence, wave propagation along both directions is assumed to take place in a transverse isotropic plate. The plate is assumed to be surrounded by liquid (leaky Lamb waves) as it was done in [4], [5] and [9] for other tissues.

B. Achilles Tendon as a Transverse Isotropic Plate

The tendon model considered in this study presents a random distribution of fibers oriented in the same direction (xin Fig. 2). This implies the existence of symmetry along the fiber orientation, which corresponds to a hexagonal system or as mentioned above transverse isotropy. The Christoffel's tensor C_{ii} describing such system is composed of 5 independent elastic constants: C11, C33, C13, C55 and C66. In an infinite transverse isotropic medium the elastic constants C_{11} and C_{33} can be determined from the measurement of longitudinal ultrasound phase velocities along the perpendicular and parallel direction respectively [2]. Moreover, the elastic constants C_{55} and C₆₆ can be deduced from the phase velocity measurement of shear waves propagating either parallel or perpendicular to the fiber direction with a polarization perpendicular to the fibers [8]. However, in a transverse isotropic plate the relation between phase velocity and elasticity is more complex.

The problem of wave propagation along a transverse isotropic plate of thickness h surrounded by liquid has already been studied by Dayal *et al.* [10]. In this work it was demonstrated that guided wave propagation along the fiber direction can be described by a superposition of anti-symmetric and symmetric modes similar to what happens in an isotropic plate where Lamb modes are present. In the framework of SSI, due to the frequencies involved and the source symmetry (i.e. the radiation force mainly induces displacements perpendicular to the plate), energy will propagate as a zero order antisymmetric mode [11], [12]. Therefore attention will be focused

on the secular equation (1) corresponding to the anti-symmetric modes [10]:

$$\frac{G_p H_m}{G_m H_p} - \frac{\tan(k_p h/2)}{\tan(k_m h/2)} + (1)$$

$$+ i \frac{\rho_{liq} \omega^2}{k_{liq}} \frac{\cot(k_p h/2)}{G_m} \left(\frac{H_m}{H_p} - 1\right) = 0$$

Where $H_{p,m}$, $G_{p,m}$ and $k_{p,m}$ are given by:

$$H_{p,m} = k + k_{p,m} \left(\frac{\rho \omega^2 - C_{11} k_{p,m}^2 - k^2 C_{55}}{(C_{55} + C_{13}) k_{p,m} k} \right)$$
(2)

$$G_{p,m} = C_{11}k_{p,m} + C_{13}k \left(\frac{\rho\omega^2 - C_{11}k_{p,m}^2 - k^2 C_{55}}{(C_{55} + C_{13})k_{p,m} k}\right)$$
(3)

$$k_{p,m}^{2} = \frac{k^{2}}{2} \left(-B \pm \sqrt{B^{2} - 4D} \right)$$
(4)

$$k_{liq}^{2} = k^{2} \left(\frac{\omega^{2}}{k^{2} c_{liq}^{2}} - 1 \right)$$
 (5)

In (2) to (4) k is the Lamb wave number defined as the ratio between phase velocity and the angular frequency ($\omega = 2\pi f$), ρ is the plate's density, ρ_{liq} is the liquid's density, c_{liq} is the liquid's sound speed and the subscripts p and m correspond to the plus and minus sign in (4) respectively. B and D terms are presented in (6) and (7) respectively.

$$B = \frac{-(C_{55} + C_{13})^2 - (\rho \omega^2 / k^2)(C_{55} + C_{11})}{C_{11}C_{55}} + \frac{C_{11}C_{33} + C_{55}^2}{C_{11}C_{55}}$$
(6)

$$D = \frac{\rho \omega^2}{k^2} \frac{\rho \omega^2 / k^2 - C_{33}}{C_{11} C_{55}} - \frac{\rho \omega^2}{k^2} \frac{1}{C_{11}} + \frac{C_{33}}{C_{11}}$$
(7)

Thus, by solving (1) using a Nelder-Mead minimization method the theoretical dispersion curve for the anti-symmetric modes propagating parallel to the fibers of a transverse isotropic plate can be computed [11], [12]. Is important to note that (1) only depends on the plate thickness *h* and the elasticity constants C_{11} , C_{33} , C_{13} and C_{55} because ρ and ρ_{liq} were assumed to be equal to 1100 kg/m³ while c_{liq} was assumed to be 1500 m/s. Thus, by fitting the theoretical model given in (1) in

a least square sense to the experimental dispersion curves the elasticity constants were retrieved.

In Fig. 3 the least mean square fit is presented in black full line along with the experimental dispersion curves. During the fitting process the plate thickness h and the elasticity constants C_{11} and C_{33} were held fixed while the elasticity constant C_{13} was allowed to vary under the following conditions: $\sqrt{(C_{11}C_{33})}$ \geq C₁₃ \geq min(C₁₁, C₃₃). The values for C₁₁ and C₃₃ were assumed to be equal to 2.89 GPa and 3.51 Gpa respectively, values reported in the literature for the Achilles tendon [1], [2], while the values for h were measured directly from the B-Mode image of each tendon. A very good agreement between the model and the experimental data is observed. This fact is supported by a mean correlation coefficient of 0.998 ± 0.001 . Mean elasticity constants of $C_{13} = 3.1 \pm 0.3$ GPa and $C_{55} =$ 1029 ± 413 kPa were obtained by averaging the values retrieved for the four tendons. These values are in good agreement with the values reported in the literature [1]-[3].

Along the perpendicular direction the secular equation (8) for the anti-symmetric modes is the following:

$$\frac{4k_{L}k_{T}k^{2}}{(k_{T}^{2}-k^{2})^{2}} + \frac{\tan(k_{L}h/2)}{\tan(k_{T}h/2)} + i\frac{\rho \cdot k_{L} \cdot \rho_{liq}\omega^{4}}{k_{liq}(k_{T}^{2}-k^{2})^{2}} \frac{\cot(k_{T}h/2)}{C_{66}^{2}} = 0$$
(8)

Where k_L and k_T are given by:

$$k_T^2 = k^2 \left(\frac{\rho \omega^2}{k^2} \frac{1}{C_{66}} - 1 \right)$$
(9)

$$k_L^2 = k^2 \left(\frac{\rho \omega^2}{k^2} \frac{1}{C_{11}} - 1 \right)$$
(10)

Thus, contrary to what happens along the parallel direction, for the perpendicular direction, waves obey the leaky Lamb wave equation for an isotropic plate of elasticity constants C_{11} and C_{66} . By assuming ρ , h and C_{11} to be constant the only parameter left to vary is C_{66} . The theoretical dispersion curves are presented in Fig. 4 in green dashed line. In Fig. 4 it can be observed that the leaky Lamb wave dispersion model does not fully explain the experimental dispersion curve.

As mentioned above, viscosity may play a role in wave dispersion. Thus, in the perpendicular direction, a viscoelastic plate was considered. To introduce viscosity the elastic constant C₆₆ was no longer considered as a real number but a complex number instead. Its frequency dependence was assumed to obey a Voigt model: $C_{66} = \mu_1 + i \omega \mu_2$ with μ_1 elastic component and μ_2 viscous component. In Fig. 4 the theoretical dispersion curves are presented in full black line along with the experimental dispersion curves. A very good agreement between the model and the experimental data was observed for

the frequencies above 500 Hz. Mean elasticity and viscosity values of $\mu_l = 19 \pm 2$ kPa and $\mu_2 = 2.5 \pm 0.6$ Pa.s were obtained by averaging the values retrieved for the four tendons. The elasticity values are in good agreement with the literature [3], while the viscosity values are consistent with the ones reported for other tissue such as muscle [8].



Fig. 3. Shear wave dispersion curves for the propagation direction parallel to the fibers. In black full line the least mean square fit of the transverse isotropic plate model.



Fig. 4. Shear wave dispersion curves for the propagation direction perpendicular to the fibers. In black full line and green dashed line the fit corresponding to the viscoelastic and elastic transverse isotropic plate are presented respectively.

IV. DISCUSSION & CONCLUSIONS

In this study wave dispersion was measured *in vivo* in four Achilles tendons by using the SSI technique and the concept of SWS. The results showed the importance of a dispersion model in order to properly estimate the tendon elasticity. To model the observed dispersive behavior the tendon was assumed to act as a transverse isotropic plate, guiding waves along both propagation directions. Parallel to the fiber direction, the elastic plate model completely explains the experimental dispersion curve, however this was not the case for the perpendicular direction were the tendon viscosity had to be taken into account. This fact can be explained by either viscosity is negligible along the parallel direction (i.e. viscosity is also transverse isotropic) or the dispersion curve is simply not affected by viscosity as it was already been demonstrated in [12]. Further studies are being carried out to clarify this point.

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