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High Pressure in Boron Nitride Nanotubes for Kirigami Nanoribbon Elaboration

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Abstract

Cutting and folding 2D systems is one of the explored paths to tune physical and chemical properties in atomic thick matter. Contrarily to graphene, boron nitride

(BN) nanoribbons are difficult to obtain and their folded structures have not been yet reported. Here we show that pressure application in multiwall boron nitride nanotubes leads to different types of tube internal organizations including BN nanoribbon formation and folds. The new observed tube-structures are associated to the breaking of a number of the internal tubes leading either to non-organized structures in the form of internal tube alveoli or in an organized stacking of folded h-BN nanoribbons. Irreversible changes in the morphology of multiwall BN nanotubes (MWBNNs) take place from ~ 7 GPa and morphologically modified tubes could be observed up to pressures of at least 49 GPa. The here used experimental probes included high resolution transmission microscopy, electron tomography and Raman spectroscopy. Atomistic modelling was also performed showing the formation of pinch structures along the tubes which favour pressure induced bond-breaking and hybridization changes and confirming the folded structure. Both experiments and modelling show that tube polygonization is a prominent characteristic of MWBNNs even at ambient pressure. Overall, the pressure evolution of MWBNNs strongly differs from their carbon analogues. The high mechanical stability of BN tube geometry is of interest for composite based structural materials. On the other side, the availability of h-BN nanoribbons and folded structures opens new prospects to produce physically modified BN properties.

Keywords

Boron Nitride Nanotubes, High Pressure Raman Spectroscopy, Electronic Microscopy, Electronic Tomography, Nanomechanics, Phase Transitions (Kirigami)

Introduction

Origami and Kirigami are the Japanese traditional artistic techniques allowing to modify a paper shape either by folding (Origami) or by combining folds and cuts (Kirigami). These traditional methods are becoming increasingly inspiring in nanotechnology^{1,2} as novel means

1 to elaborate 3D mesostructures^{3,4} or for dynamically adjust the physico-chemical properties
2 in nanostructures⁵ including electrical resistance,⁶ surface physical properties⁷ or biotechnol-
3 ogy.⁸ Pressure application is one particular approach to fold and cut nanosystems⁹ which has
4 been successfully used to generate the stochastic corrugation of 2D systems as graphene^{10,11}
5 or MoS₂.¹²

6 Nanotubes unzipping (cut) or their radial deformation (fold) can also be reached through
7 high pressure application and it has extensively studied in carbon nanotubes. In fact, un-
8 der high pressure, the radial cross-section of carbon nanotubes evolves through different
9 geometries including its collapsed form and this has been shown both theoretically¹³⁻¹⁵ and
10 experimentally.¹⁶⁻²¹ Pressure induced unzipping of carbon nanotubes has been also demon-
11 strated experimentally.^{22,23}

12 In the case of boron nitride nanotubes (BNNTs), the presence of a pressure induced
13 radial collapse has been predicted through different atomistic modelling approaches²⁴⁻²⁶ but
14 no experimental confirmation has been reported. The situation is even less clear concerning
15 tube unzipping for which there are no reports showing the existence of a high pressure
16 route. Nevertheless, it has been shown that sonication²⁷ or acid treatment²⁸ can be partially
17 successful in BNNTs unzipping.

18 We will present here pressure induced kirigami structures (combinations of cuts and folds)
19 obtained through the high pressure treatment of BNNTs leading in particular to nanoribbon
20 formation. Moreover we will show that other pressure induced structural modifications
21 of BNNTs can be obtained and we will discuss the general pressure evolution of multi-
22 walled boron nitride nanotubes (MWBNTs) and its differences with respect to MWCNTs.
23 Our study includes the *in situ* study of samples using Raman spectroscopy, high-resolution
24 transmission electron microscopy (TEM) and TEM tomography on recovered samples as well
25 as molecular dynamics (MD) and density functional theory (DFT) modelling.

26 BNNTs were initially predicted by computational simulation in 1994^{29,30} and synthesized
27 by the arc-discharge method in 1995.³¹ Compared to CNTs, which can be metallic or semi-

1 conducting depending on chirality, BNNTs have a large band gap beyond 5 eV³² (insulating
2 nature) with weak dependence on chirality.^{29,30,32,33} BNNTs exhibit high chemical stabil-
3 ity,³⁴ thermal stability and conductivity^{35,36} and a high Young's modulus value.^{37,38} These
4 properties are sometimes complementary to those of CNTs and make BNNTs promising
5 candidates for advanced material technologies, such as in the development of photolumines-
6 cence or optoelectronic devices or piezoelectric materials,^{38,39} drug delivery systems⁴⁰ or in
7 biological applications⁴¹ as for instance in nanotech-assisted tissue-engineered platforms for
8 muscle stimulation.⁴² However, their development and production for practical applications
9 still depend on trustworthy synthesis methods, analytical characterizations, together with
10 an improved knowledge of the structure-property relationships.

11 The characterization of mechanical properties of nanotubes constitutes an important sub-
12 ject in view of applications.^{9,43} In particular, the effective radial elastic modulus of SWBN-
13 NTs (single wall boron nitride nanotubes) is smaller than for SWCNTs⁴⁴ (single wall carbon
14 nanotubes), and - as in CNTs - it increases rapidly with the number of walls.⁴⁵ The high
15 pressure evolution of carbon nanotubes is characterized by its radial collapse, i.e., the transi-
16 tion towards a flattened tube. In particular theoretical and Raman experimental works agree
17 that the radial collapse pressure, P_c , of single-wall CNTs strongly depends on the tube diam-
18 eter, following a modified Lévy-Carrier law $P_c d^3 = \alpha (1 - \beta^2/d^2)$ (d tube diameter or the
19 internal diameter in few-wall tubes and α and β physically significant coefficients).^{12,15,21,46,47}

20 The formation of graphene nano-ribbons beyond the radial collapse of carbon nanotubes
21 has been reported for the high pressure and high temperature shock-wave transformation
22 of double-wall carbon nanotubes⁴⁸ and in the high pressure transformation of triple-walled
23 carbon nanotubes.²³ Up to now, there is no experimental evidence of a similar behavior in
24 BNNTs.

25 All high pressure experiments on BNNTs concern MWBNNTs. Saha et al.^{49,50} explored
26 the high pressure behavior of MWBNNTs by Raman spectroscopy up to 12 GPa where
27 they lost irreversibly the Raman signal. This was interpreted as an amorphization of the

1 structure. In a subsequent high pressure synchrotron X-ray diffraction study of MWBNNTs
2 up to 19.1 GPa,⁵¹ it was shown that MWBNNTs remained crystalline up to that maximum
3 pressure. In that work it was observed the irreversible appearance of new diffraction peaks
4 from 3.2 GPa which were assigned to the wurtzite-BN phase. On the other side, Dong et
5 al.⁵² carried out *in situ* IR measurements at high pressure also in MWBNNTs up to 35 GPa.
6 Comparing MWBNNTs with bulk h-BN it was observed that both samples undergo at \sim
7 11 GPa a transformation towards the formation of sp^3 bonding, associated with the w-BN
8 metastable phase.⁵³ At \sim 23 GPa the transition is almost complete for bulk h-BN, whereas
9 for MWBNNTs even at \sim 35 GPa the transformation is far from complete. A two-step
10 transformation mechanism for sp^3 bonding formation in MWBNNTs was proposed: first
11 inter-tubular connections in bundles are formed around 11 GPa and secondly intra-tubular
12 connections were considered to become significant above 20 GPa. Under decompression only
13 the intra-tubular connexions remain.

14 To summarize, whereas theoretical works in BNNTs focus in the observation of pres-
15 sure induced radial collapse transitions similarly to the known scheme in CNTs, none of the
16 experiments performed up to now provide evidence of such transition. In CNTs it is not
17 easy to provide experimental evidence on the radial collapse high pressure transformation
18 of MWCNT, but it has been nevertheless recently provided in composite systems⁵⁴ and
19 in triple-wall carbon nanotubes.²³ Such difficulty may also apply to BNNTs, for which all
20 experiments concern also the multi-wall case. Evidence of a structural evolution of MWBN-
21 NTs which includes the formation either of sp^3 bonding or even the transformation towards
22 w-BN is pointed out by experiments, but without consensus on the pressure at which the
23 transformation takes place and its detailed nature.

24 Our work is then motivated by the need of experimental and theoretical studies to clarify
25 the high pressure structural evolution of MWBNNTs and to establish if a parallel with the
26 high pressure evolution in CNTs is pertinent in particular concerning modifications on the
27 geometry leading to folds and cuts.

1 Results

2 Transmission Electron Microscopy Analysis

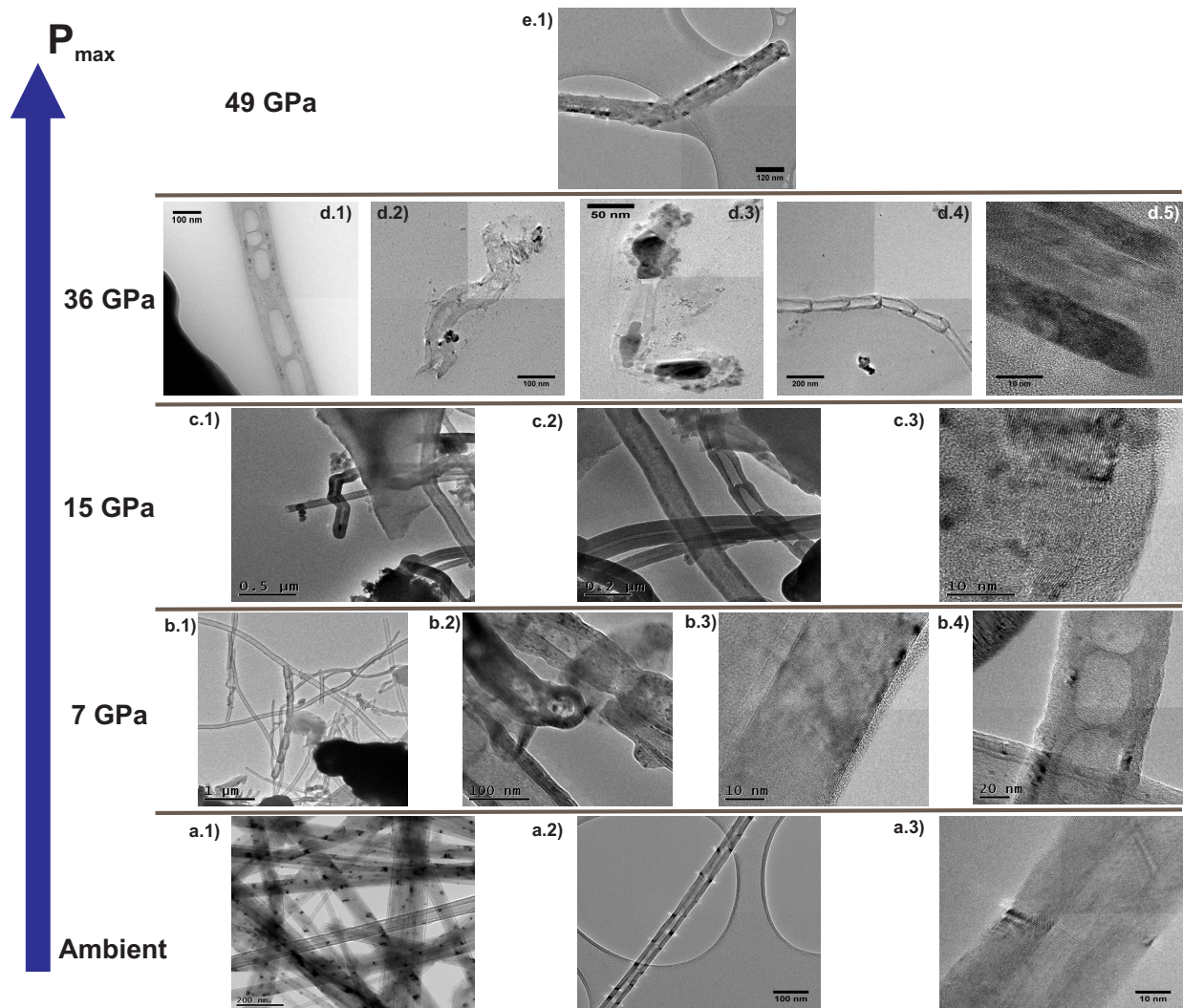


Figure 1: HRTEM images of the pristine MWBNNTs sample (ambient) and after pressure cycles up to 7, 15, 36 and 49 GPa. Scales are provided in each image.

3 In order to obtain a better insight on the pressure evolution of MWBNNTs we have
4 compared TEM images of pristine and recovered samples cycled up to pressures of 7, 15,
5 36 and 49 GPa. The most significant images are shown in Fig. 1 where we can appreciate
6 important changes in the tube morphology. At ambient conditions the MWBNNTs showed
7 dark (or bright) spots along the tube axis which are well evident in panels a.1 to a.3 in

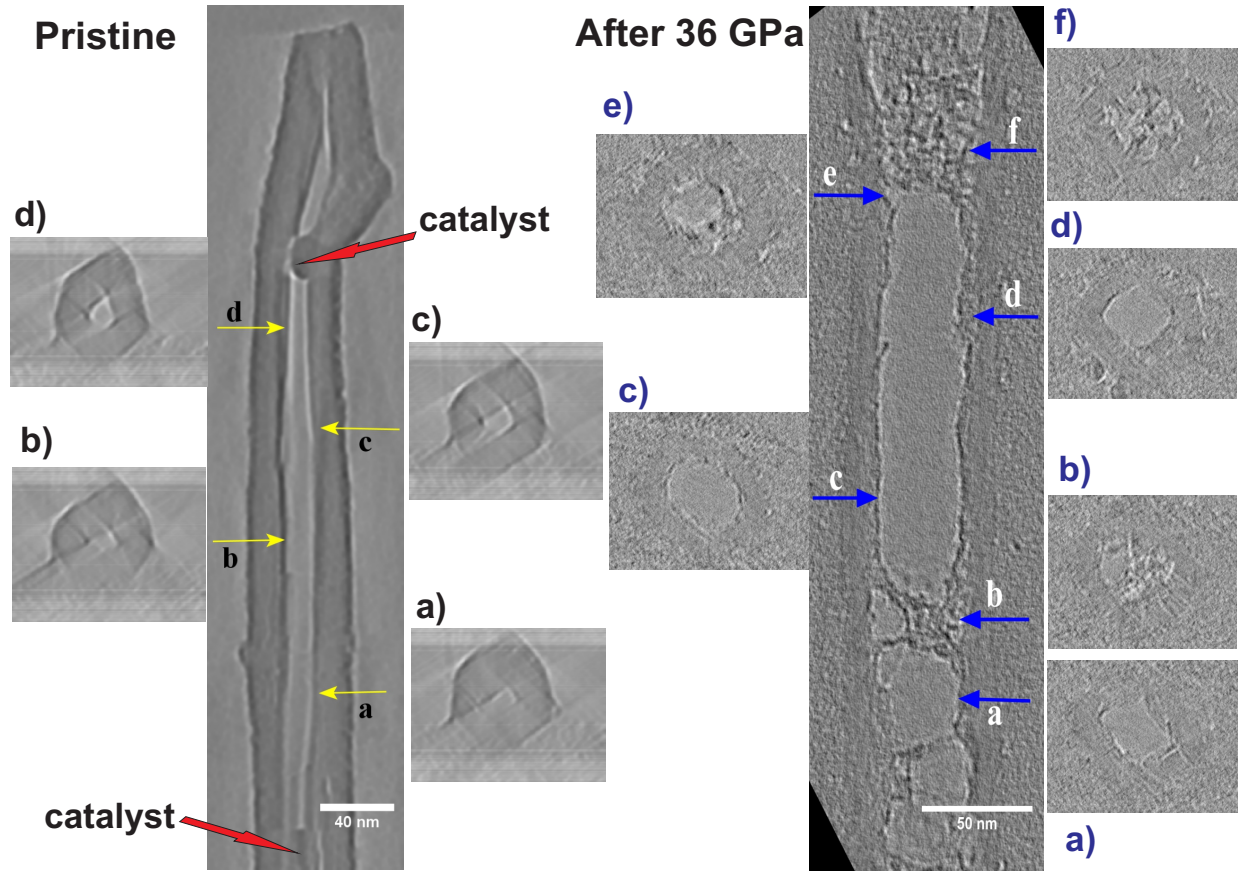


Figure 2: HRTEM Tomography images of a pristine MWBNNT (left) and of another tube after a pressure cycle of 36 GPa (right). The arrows show perpendicular cross sections along the tube labelled a) to d) for the pristine tube and a) to f) for the pressure cycled tube. The internal “diameter” of the tube in the non-closed regions evolves typically from 15 to 20 nm for the pristine tube (in the region between a and d) and between 36 and 40 nm (in the region between c and d) for the cycled tube. The average value of the external tube diameter is 52 and 66 nm for the pristine and the cycled tube respectively.

1 Fig. 1. This regular pattern has been associated to the helical polygonization of internal
 2 tubes^{55,56} and non-regularities in the spotty pattern have been attributed to local internal
 3 polygonization.⁵⁷ Some works point out also to polygonization of the external tubes for large
 4 tube diameters.⁵⁸ We may note that after pressure cycling, even at the lowest pressures of
 5 7 GPa, such regular spotty patterns were not observed and only local dark regions could be
 6 observed in some tubes. Images from the samples recovered after 7 GPa of pressure, preserved
 7 the tube geometry as can be seen in Fig. 1 b.1. We nevertheless observed the presence of
 8 tubes presenting bamboo-like structure which we never observed in pristine samples (Fig. 1

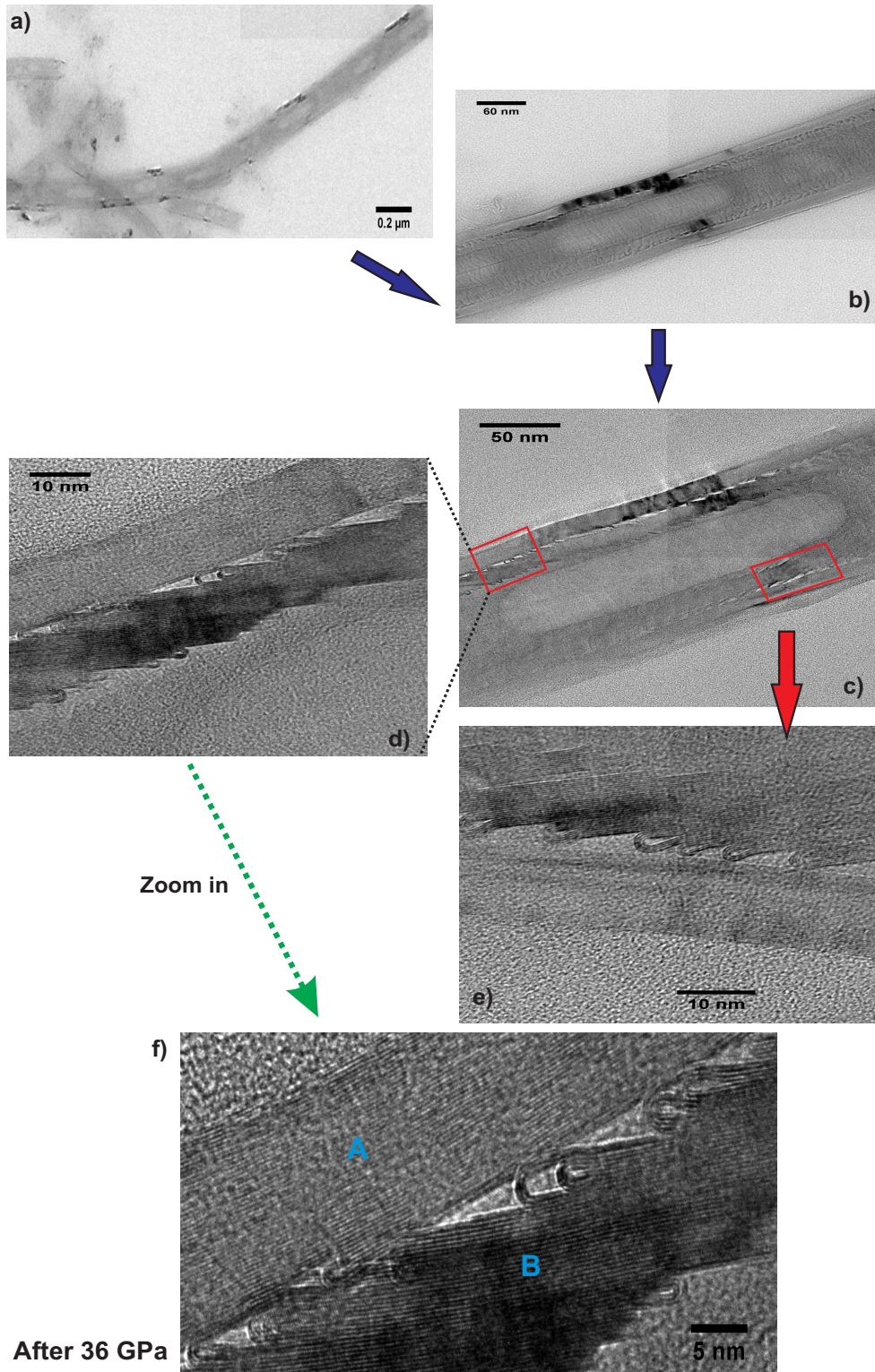


Figure 3: HRTEM images of a particularly interesting pressure modified MWBNNT after a pressure cycle up to 36 GPa. a) Image of the several microns length tube showing various internal alveoli of irregular size and spacing. b) to e) detail of the structure at different scales in which a very particular structure made of BN folded nanoribbons is observed. The two distinct more external regions are shown in f) and labelled A and B.

1 b.1). In addition, in certain regions the tubes showed a deformation along the tube (Fig. 1
2 b.2) which can be interpreted associated to a radial cross section modulation. Furthermore
3 a local densification on the tube walls was also observed in some tubes as can be seen in Fig.
4 1 b.3 and which can be associated to local tube flattening of all or part of the tube walls.⁵⁷
5 All changes observed in the 7 GPa sample become more pronounced for the tubes recovered
6 after 15 GPa (Fig. 1 c.1 to c.3). The sample recovered from a pressure cycle up to 36 GPa
7 showed much more significant transformations (Fig. 1 panels d.1 to d.5). Most tubes were
8 extremely damaged as can be seen in Fig. 1 d.2 and d.3. Nevertheless it was possible to
9 observe some bamboo-like tubes (Fig. 1 d.4), alveolar-tube structures (Fig. 1.d.1) and some
10 zones presenting high densification as shown in Fig. 1 d.5. After a pressure cycle at the
11 highest attained pressure of 49 GPa, it was still possible to observe tube-like structures as
12 the one shown in Fig. 1.e.1. There is nevertheless few evidence of empty or low-density
13 internal zones, and the structure looks very inhomogeneous and disorganized.

14 3D TEM tomography images were obtained for a MWBNNT at ambient pressure and
15 for another tube recovered after a pressure cycle up to 36 GPa. The obtained views along
16 the tube axis and cross-sections at different positions are shown in Fig. 2 (see also S.I. for
17 tomography video images). We can observe that in both cases the external and internal
18 cross-sections morphologies evolve along the tube. The pristine tube showed the presence of
19 encapsulated catalyst particles and multiple faceted rhombus polygonal internal cross-section
20 with a modulated size and an external cross-section varying from hexagonal to rhombus. As
21 already discussed, polygonal cross-sections for all or part of MWBNNTs has been reported
22 in a number works.^{55-57,59,60} We should note that most observed polygonal cross-section
23 in previous works are hexagonal but rhombic cross sections have been also observed⁶⁰.
24 Rhombus cross-sections were also observed in the tube recovered from 36 GPa in which other
25 morphologies (ovalized or highly deformed) were also present. We also notice the complexity
26 of the internal structure of the recovered nanotube showing some highly disordered closed
27 or bridged parts in the internal cross-section.

1 A very unique structure with a new type morphology was observed after a pressure cycle
2 up to 36 GPa. In Figure 3 are shown different TEM views at various scales of this novel
3 tube morphology. First we note that the tube presents a kind of irregular inner alveolar
4 structure which reminds the recovered tube after pressure cycle in Fig. 2 or the ones in Fig.
5 1 b.4 or d.1. More interestingly, we note that the tube wall is now divided in two regions (in
6 Fig. 3.f). The external region (region A) is a multi-walled tubular structure made of about
7 40-50 tubes with an external diameter of about 110-120 nm and a thickness of about 15
8 nm. The inter-tube distance is of 0.35 nm. The internal region (region B) can be described
9 as a domino stacking of one-closed-edge boron-nitride nanoribbons, i.e., folded nanoribbons,
10 stacked in two sides of the tube and exhibiting close to a mirror symmetry with respect
11 to the nanotube axis. The length occupied by the folded nanoribbons on each side of the
12 tube represents approximately 1/6 of the length of the tubes which would have occupied the
13 same space. The closed lobes have different hierarchical structures as can be appreciated in
14 Fig. 3.d and Fig. 3.e. The lobe terminations are observed both in the internal and in the
15 external sides and can be seen as multi-folded boron-nitride nanoribbons. In Fig. 3.d can be
16 appreciated that there are some connection points between region A and region B, whereas
17 in Fig. 3.e the two regions are well dissociated. The nano-ribbons form an angle of $\sim 15^\circ$
18 with respect to the tube axis running all along the tube without interruption. This inclined
19 structuration can be related to the spiral-type structure of polygonized BNNTs^{55,61} and, as
20 it will be discussed later, may arise through a pressure induced kirigami process.

21 Raman Spectroscopy

22 High pressure Raman spectroscopy in BNNTs suffers from limitations associated with sample
23 purity, fluorescent BNNTs background and the proximity between the main Raman tangen-
24 tial mode (E_{2g}) of BNNTs (1360 cm^{-1}) and the prominent diamond Raman mode (1332
25 cm^{-1})⁶² arising from the use of diamond anvil cell pressure apparatus. Nevertheless it re-
26 mains a practical technique to explore the high pressure properties on BNNTs in particular

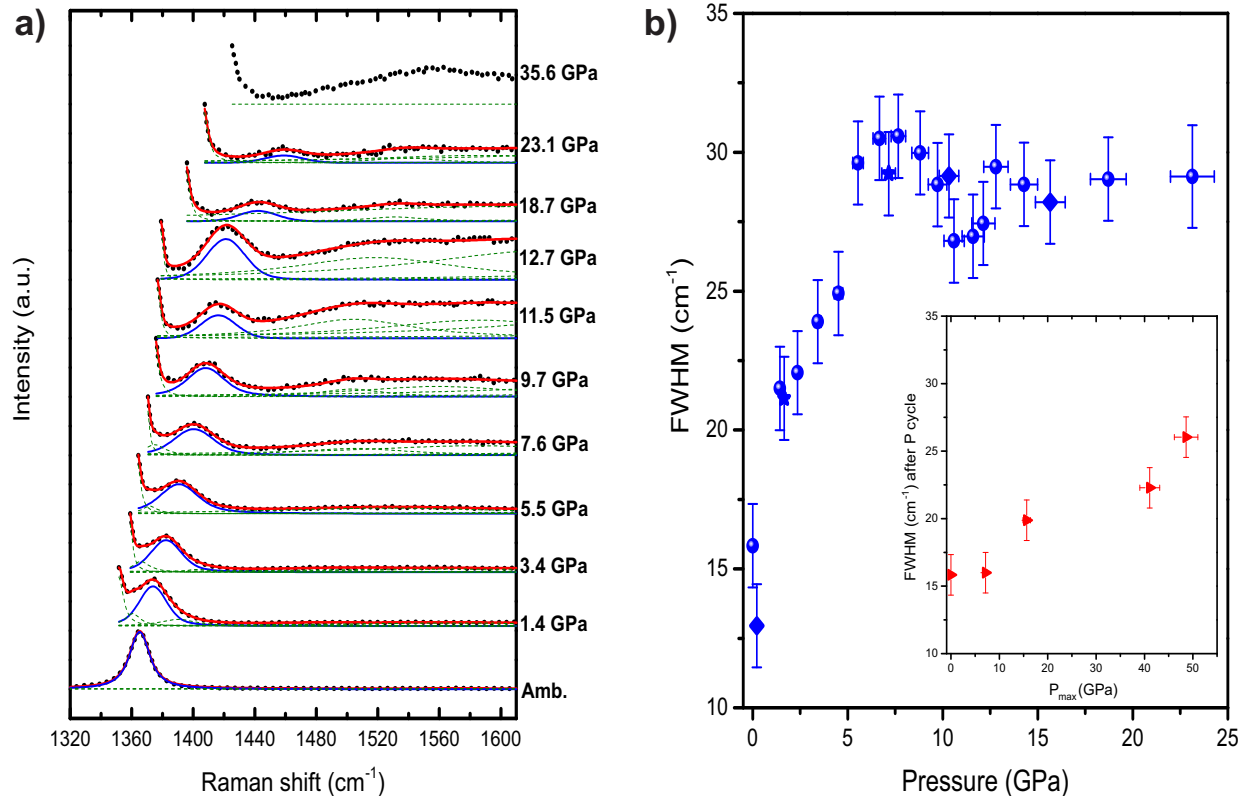


Figure 4: (a) *In situ* Raman spectra of MWBNNTs during a compression run up to 36 GPa (black dots). Continuous lines (in red) superposed to dots (experimental data) are the fitted function obtained by considering Voigt curves. The MWBNNTs tangential modes (blue continuous line) and the background and diamond anvil contributions (green dashed lines) are also shown. (b) Corresponding *in situ* FWHM evolution. The inset shows the obtained *ex situ* FWHM as function of the reached maximum pressure.

1 thanks to the parallel which can be made with the high pressure study of CNTs. Up to now
 2 there is no evidence of an spectral signature which could be related to BNNTs collapse as it
 3 has been found for CNTs.⁶³

4 We show in Fig.4.a the Raman spectra of the E_{2g} tangential mode (TM) for the com-
 5 pression experiment from ambient pressure (outside of the pressure device) up to 36 GPa.
 6 This peak blue-shifts gradually up to ~ 23 GPa, where it becomes very weak and cannot be
 7 further detected. The highest pressure spectrum at ~ 36 GPa shows just the presence of a
 8 broad background signal. The TM signal was fitted using a Voigt profile including a back-
 9 ground signal due to fluorescence (dashed lines). The intensity rise at the low energy side of
 10 the spectra is due to the diamond Raman peak contribution from the pressure cell diamond

1 anvils. We may note that differences in intensity between the spectra are not significant due
2 to the lack of a normalization signal.

3 As it is shown in Fig. S2.a of the Supplementary Information, the evolution of E_{2g}
4 mode energy is linear up to the maximum pressure detected of 24 GPa with a slope of
5 $\partial\omega_{E_{2g}}/\partial P = 4.02 \text{ cm}^{-1}/\text{GPa}$ and ambient pressure intercept frequency at $1368 \pm 1 \text{ cm}^{-1}$
6 in good agreement with the TM frequency of $1365 \pm 2 \text{ cm}^{-1}$ measured outside of the cell.
7 The obtained pressure slope for the E_{2g} is in good agreement with the values obtained in a
8 previous works.^{49,50} Nevertheless we note that in the work of Saha et al⁴⁹ deviations from
9 linearity were observed from 10 GPa and the TM signal was lost at 12 GPa, i.e., at a pressure
10 two times smaller than in our measurements. In Fig.4.b it is shown the corresponding
11 pressure evolution of the Full Width at Half Maximum (FWHM) of the E_{2g} mode. The E_{2g}
12 first enlarges rapidly up to around 8 GPa from where an abrupt decrease is observed. This
13 dip in the pressure evolution is followed by a plateau region for pressures beyond 12 GPa.
14 The ambient pressure value of the FWHM in our sample (13 cm^{-1}) is significantly higher
15 than in the case of Ref.⁴⁹ ($\sim 9 \text{ cm}^{-1}$). This is also the case for the pressure evolution of the
16 FWHM which in the case of Ref.⁴⁹ it evolves linearly up to 10 GPa with a maximum value
17 of $\sim 14 \text{ cm}^{-1}$ which is about half of our values for the same pressures. Differences in the
18 sample's crystallinity and in the hydrostaticity of the used PTM can be at the origin of such
19 observations.

20 Raman spectra could be recorded for the recovered samples after pressure cycles up to 7.1,
21 15.6, 41.1 and 48.6 GPa and compared with the pristine sample (Fig S2.b of S.I.). Within
22 error bars there is no change in the E_{2g} position of the TM mode after all pressure cycles.
23 Nevertheless some modifications can be noticed comparing the different spectra. The spectra
24 from pressure cycles up to 41.1 GPa and 48.6 GPa show the presence of a new broad band
25 at $\sim 1280 \text{ cm}^{-1}$. The same peak but much weaker can be already observed for the sample
26 having been cycled up to 15 GPa but it is absent for the lower pressure points. In the inset
27 of Fig.4.b it is shown the evolution of E_{2g} FWHM for the recovered MWBNNTs as function

1 of the maximum reached pressure. It is observed that the two first spectra (ambient and 7
2 GPa of cycling pressure) have a FWHM $\sim 16 \text{ cm}^{-1}$ while after cycling at higher pressure the
3 E_{2g} broadens significantly concomitantly to the appearance of the new above referred peak.
4 All these Raman results point then to a regime of irreversible changes taking place from 11
5 ± 4 GPa. Coupled to the previous TEM observations, it appears that the lower limit of 7
6 GPa, needs to be considered.

7 HRTEM, Raman and 3D tomography experiments point out to a richer high pressure
8 phase diagram in MWBNNTs than in MWCNTs.⁴⁷ We have then performed on one hand
9 MD simulations to try to better understand the high pressure transformation mechanisms
10 in MWBNNTS and on the other side DFT modelling in order to better understand the
11 particular folded structure observed at 36 GPa. The following sections present that results.

12 **Reactive Molecular Dynamic Simulations**

13 The experimentally studied BNNTs in our work have outer diameters of the order of various
14 tenths of nm and are made by the concentric stacking of several tenths of tubes as observed in
15 TEM images (Fig. 1). Roughly, by using an atomistic modelling, we can estimate that such
16 BNNTs nanotubes are composed of 25 concentric walls (thickness of 10 nm) and at least
17 of 450 zigzag-type unit cells for describe the exotic pressure-induced formations (bamboo-
18 like structure seems to be at least 200 nm long). This MWBNNT should have close to
19 10 billions of atoms, a number which is prohibitive from computational point of view, even
20 using a potential models in molecular dynamics (MD) framework. Modelling the physical
21 properties of a reasonable multi-layered tube length of such characteristics is challenging,
22 specially under extreme high-pressure conditions. For that reasons we have made the choice
23 to use MD simulations to study the effect of the internal diameter and/or the effect of the
24 number of layers on the structure of few-layer BNNTs at ambient conditions. After that,
25 we have considered the effect of pressure on a bundle of 3-wall BNNTs. Even if a direct

1 comparison with experiments is then not possible, we will examine the common trends or
 2 analogies which may emerge. In special, we are interested in analyzing the pressure effect on
 3 the innermost tubes which seems to experience, as observed previously in the experiments,
 4 strong modifications due to the high-pressure conditions. More details on the modelling
 5 methodology are provided in S.I.

6 Thermalization: ambient pressure polygonal structures



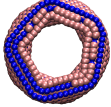
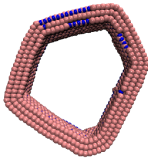
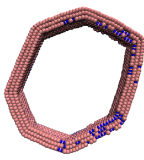
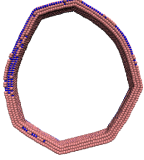


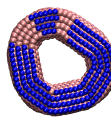




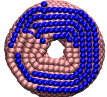

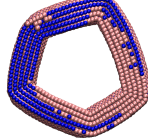


inner d_t	0.78nm	1.56nm	3.13nm	6.24nm	9.36nm	12.48nm
inner (n,0)	(10,0)	(20,0)	(40,0)	(80,0)	(120,0)	(160,0)
3-wall						
4-wall						
5-wall						

Figure 5: Fully relaxed structures of 18 3-walled (1st line), 4-walled (2nd line) and 5-walled MWBNNTs (5th line) after 200ps at 300K. Structures in the same column have the same internal diameter. Both the chiral indexes and the diameter of the internal tube are given for each column.

7 We first performed structural thermalization of several 3-walled, 4-walled and 5-walled
 8 MWBNNTs. Tube cross-sections of the final relaxed structures are shown in Fig.5. We
 9 note that almost all MWBNNTs studied present polygonized or flattened walls. In such
 10 calculations we considered the diameter of the innermost tube d_{in} as a characteristic geo-
 11 metrical parameter. This consideration is based on the pressure evolution of double and
 12 triple walled CNTs which is mainly dependent on the innermost tube diameter.¹² Even for

1 small diameters ($\sim 0.78\text{nm}$) 3-walled MWBNNT, the innermost tube is found to be poly-
 2 gonized. Surprisingly, large-diameter 4-wall and 5-wall MWBNNTs were found to have not
 3 flattened surface and even at some cases, perfectly circular MWBNNTs were observed. Gol-
 4 berg et al.³⁴ have proposed perfectly circular outer walls (large diameter) and faceted inner
 5 walls (small diameters) of MWBNNTs to explain the observed spotty patterns of HRTEM.
 6 Therefore, our calculations also supports those experimental observations. This could also
 7 indicate that length/diameter (L/dt_{in}) aspect ratio is an important factor to observe flatten-
 8 ing of MWBNNT during room-temperature thermalization, since we use a very small L/dt_{in}
 9 ratio ($1.70\text{nm}/9.36\text{nm}$). Even if thermal calculations are performed using periodic conditions
 10 in z-axis direction, the supercell used here is small when compared to MWBNNT diameter
 11 range which could increase some surface energetic barriers which promotes the modulated
 12 flattening observed on the small diameter MWBNNTs of Fig.5.

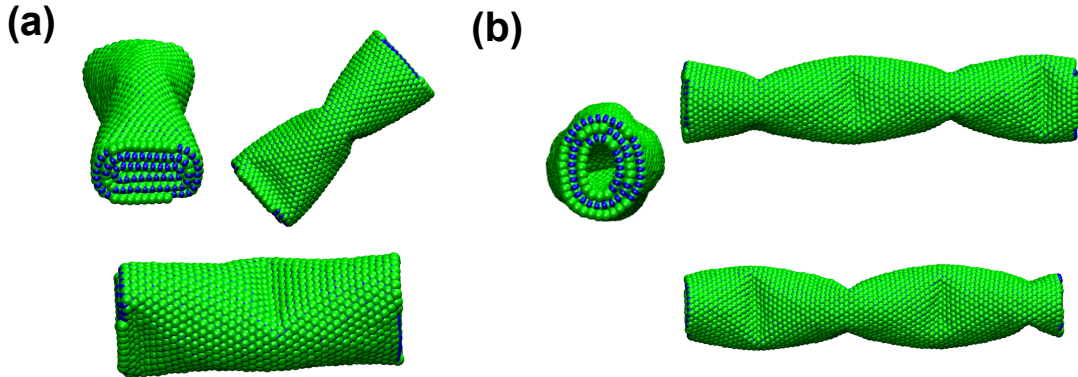


Figure 6: Fully relaxed structures of two 3-walled MWBNNTs after 200ps at 300K. (a) Snapshots of the thermalized structure of the $(20,0)@(28,0)@(36,0)$ MWBNNT with with 20 units cells ($\sim 8.52\text{nm}$). (b) The thermalized structure of the $(20,0)@(28,0)@(36,0)$ MWBNNT with with 20 units cells ($\sim 17.04\text{nm}$).

13 In order to avoid L/dt_{in} aspect ratio effects, we also performed room-temperature ther-
 14 malization of very long MWBNNTs. The 3-walled BNNT $(20,0)@(28,0)@(36,0)$ was studied
 15 with 20 and 40 units cells. This provides respectively 3-walled BNNTs of 8.52nm and 17.04nm
 16 lengths. Fig.6.a displays the thermalized structure of the 20-unit cell $(20,0)@(28,0)@(36,0)$
 17 BNNT. It is interesting to note that cross-section faceting is no longer observed. However,

1 a modulated orthogonal collapse is observed even at ambient conditions. This modulated
2 orthogonal collapse, which is similar to a local pinch, seems to be preserved even with the
3 longer 3-walled BNNT, as can be observed in Fig.6b.

4 **Bundle Compression: bond breaking and reconstruction**

5 In order to observe the effect of such local pinches on high-pressure transformations of
6 multi-walled BNNTs, we submitted the the 20-unit cell bundle (20,0)@(28,0)@(36,0) BNNT
7 to high-compression conditions up to 107 GPa. We arranged such 3-walled BNNT in a
8 triangular high-compacted bundle and we used the reduction of x-axis direction simulation
9 box length for provide uniaxial compression on such bundle. The obtained results are shown
10 in Fig. S3 of the S.I. and Fig. S4, where it is also shown the evolution of the number of BN
11 bonds with pressure. It is interesting to note that BN bond breaking starts at around 30 GPa
12 and becomes more significant after 60 GPa. After 102 GPa, there is clearly a faster breaking
13 of nanotube structure which becomes irreversible after the pressure is released. However,
14 as we can also observe in Fig. S3 (right panels), the overall bundle arrangement and the
15 3-walled BNNT structure is mainly preserved even if not all BN bonds are recovered. Very
16 interestingly, Fig. S4 clearly shows that BN bond breaking is dominated by innermost tubes.
17 A small amount of broken BN bonds comes from intermediate and outer tubes and together
18 with innermost tube BN bonds are found to be irreversible.

19 From all those BN broken bonds we can also calculate the number of reconstructed bonds
20 as a function of the pressure, which means that pressure creates a large amount of dangling
21 bonds from boron and nitrogen dissociation. The increasing of confinement promotes bond
22 reconstruction to form new B-N, B-B or N-N bonds in the same tube (intra-tube bonds)
23 or between different walls of MWBNNT (intertube cross-linked bonds). Fig.S4 shows that
24 bond reconstruction of innermost tubes starts at around 80GPa and becomes very relevant
25 compared to the number of broken bonds. Those reconstructed bonds are also found to
26 be irreversible. It should be stressed here that none intra-tube reconstructed bonds for

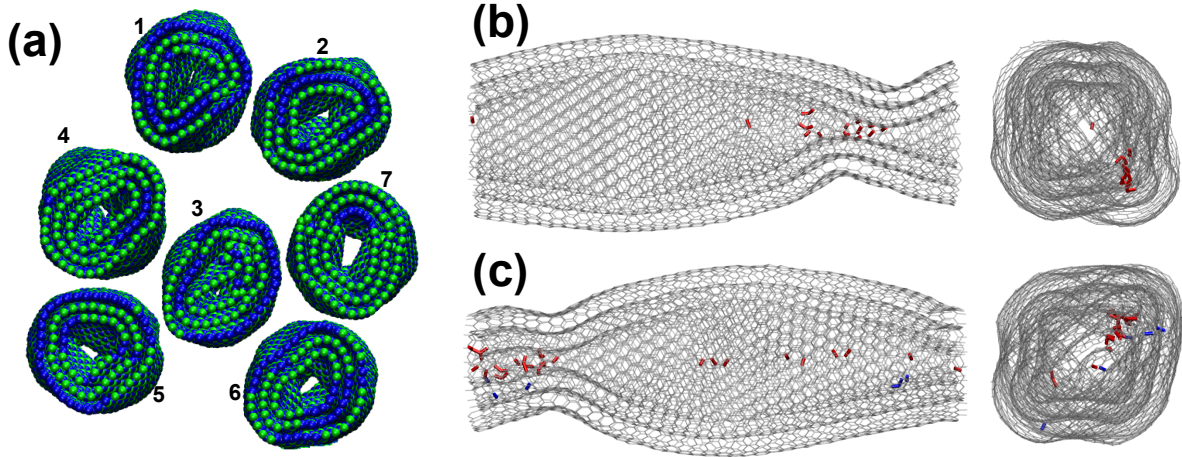


Figure 7: (a) Final structures of the $(20,0)@(28,0)@(36,0)$ BNNT bundle after the decompression cycle (ambient pressure). Numbering is for tube identification. (b) Cross section and lateral view of tube labeled as 3. (c) Cross section and lateral view of tube labeled as 7. In (b) and (c) graphs, the BN unchanged bonds are in gray color and were made almost transparent for better visualization. Pressure-induced reconstructed bonds are highlighted in red (innermost) and blue (inter-tube) colours.

1 intermediate and outer tubes are observed, which evidences that innermost tubes of BNNTs
 2 are mainly affected by high pressure conditions. A very small amount of inter-tube bonds
 3 (between innermost-intermediate and outer-intermediate tubes) are also found and are not
 4 significant when compared to innermost bond breaking and reconstruction.

5 In Fig.7 it is shown the cross-section and lateral views of 3-walled BNNT bundles after
 6 the compression-decompression cycle. The individual tubes of the composite bundle were
 7 numbered for better identification. Tubes labeled with numbers 1,5 and 6 do not presented
 8 any bond reconstruction but only B-N bond breaking. Minor reconstructions (less than 5)
 9 were found in tubes numbers 2 and 4. Fig. 7.b, shows a colored graphical representation
 10 of tube labelled as “tube 3”. Even if we can clearly see that the unbroken B-N bonds are
 11 dominant (grey color, transparent), a higher amount of intra-tube reconstructed bonds (red
 12 color, highlighted) can be visualized only for the innermost nanotubes. Fig. 7c, also shows a
 13 graphical representation of tube labelled as “tube 7”. In this case, both inter-tube (blue color,
 14 highlighted) and intra-tube reconstructed bonds can be also visualized for the innermost
 15 nanotubes and we noted that intra-tube innermost reconstruction is again dominant.

1 Density Functional Calculations

2 In order to explore the pleated structures seen in the kirigami structures of Fig. 3.f, we per-
3 formed a series of density functional theory (DFT) calculations (calculation details are given
4 in methods section). Experimentally the folds show a continuous unbroken layer structure,
5 each fold containing two to three layers. We simulate this here via a stacked series of col-
6 lapsed double-walled BN nanotubes, a structurally equivalent system given the mechanical
7 and electronic separation of the opposing folded sides. In order to determine the optimal
8 nanotube pairing in this double-walled system, we first optimise different double-walled nan-
9 otube combinations (see Fig. 8). The most stable tube combinations are the (n,n) and
10 $(n+5,n+5)$, since a quite uniform interlayer spacing can be maintained around the cavities.
11 This is therefore the combination we use in the following study.

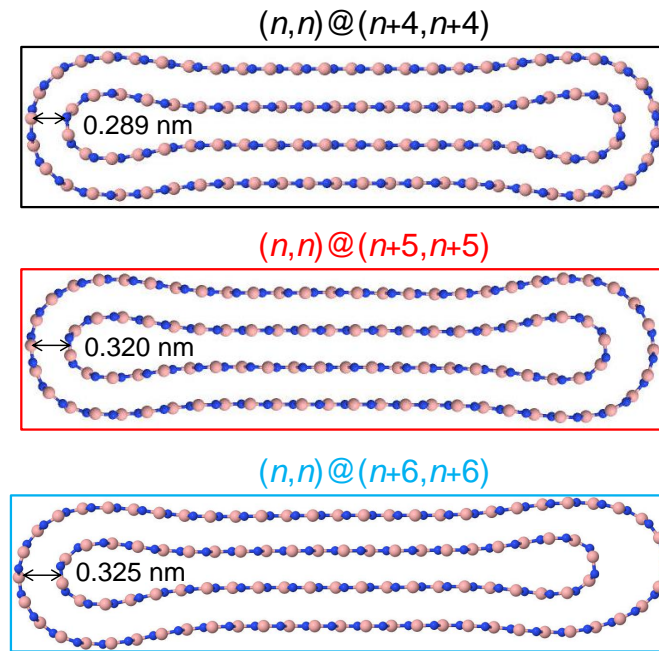


Figure 8: Density functional theory (DFT) relaxed cross-sections for different chirality combinations of armchair double-walled BN nanotubes. Interlayer spacings are marked in nm.

12 Pleating is a mechanism which allows contraction along the tube axis at the expense
13 of radial expansion, suggesting that this structural motif is produced in response to axial
14 rather than radial pressure, *i.e.* an interior cavity response to pressure applied at the open

1 tube ends. The pleating is observed only on the interior layers, and the external tube
 2 walls provide a physical diameter constraint which cannot be overcome without mass loss
 3 and restructuration. In this case the radial expansion can only occur through occupation of
 4 previously empty interior cavity volume, *i.e.* as the interior sections pleat and compress along
 5 the tube axis, they expand into the interior cavity space. In order to explore the relationship
 6 between compression and volume, we next optimised a 1D-infinite layer of collapsed double-
 7 walled BN nanotubes (see Figure 9). Each unit cell contains one collapsed double-wall tube
 8 (the infinite nanotube axis is defined as z , while the stacking direction is defined as x).
 9 The angle of inclination of the tube is determined by the width of the supercell along x ,
 10 which we constrain in a sequence of calculations (Figure 9). The height we define as the
 11 difference in y between the highest and lowest B/N atoms in each case, with these x and
 12 y defining a collapsed nanotube area A in each case. We can see that as the x -value is
 13 decreased (corresponding to increasing pressure), the area A also decreases, suggesting a
 14 driving force under pressure to increase the buckle tilt angle. At the same time the infinite
 15 bilayer shows the lowest surface area which can be understood since the cavities are lower
 16 density than the bilayer region. Experimentally since the folds are much more spaced than
 17 in these simulations, this effect will be relatively smaller.

Table 1: DFT-D2 calculated width x (Å), height y (Å), and corresponding surface area A (Å²)
 of 1D-row stacked double-walled collapsed BNNTs. x -values are fixed in each calculation.
 y values indicate height difference between minimum and maximum y coordinate of the
 B/N atoms, plus 3.35Å. Total energy values (in meV/atom) are given with respect to the
 "Vertical" configuration.

Configuration	x [Å]	y [Å]	A [Å ²]	E_{rel} [meV/atom]
Infinite bilayer	106.79	6.55	700	
Tilted (64°)	29.63	34.14	1012	9.26
Tilted (54°)	22.23	40.14	892	5.74
Tilted (44°)	17.87	46.05	823	2.11
Vertical (0°)	12.54	56.62	710	0.00

18 We next turn our attention to the folds at the edge of each pleat. Simulated HRTEM
 19 images are shown in Figure 10, which show good agreement with the experimentally observed

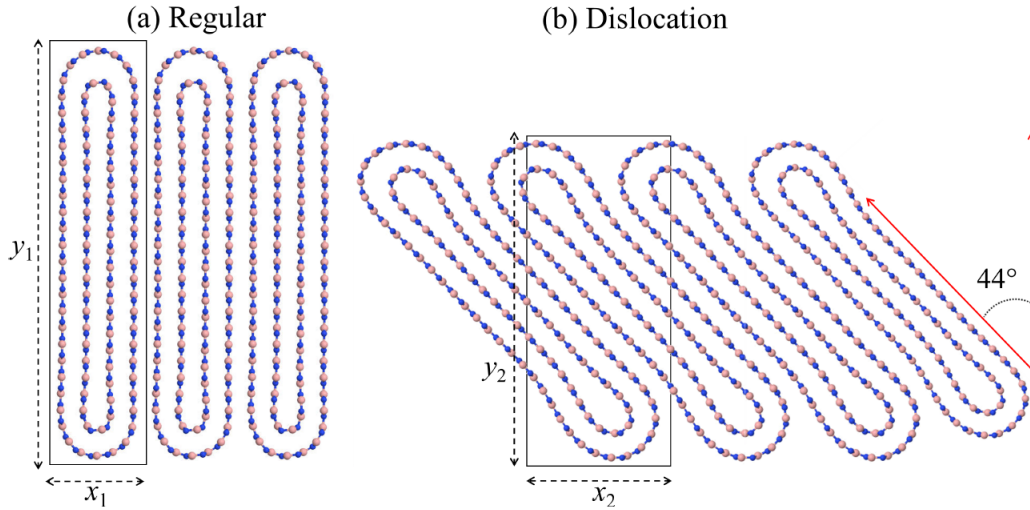


Figure 9: Density functional theory (DFT) relaxed cross-section for stacked 1D-row of collapsed double-walled BN nanotubes. Unit-cell width x (\AA) is fixed in each case, y (\AA) is marked with outline boxes, cell area A is marked beneath each image (\AA^2), along with structure angle to the row (in degrees). (a) Vertical configuration (most stable) where cavities are compressed but inter-tube interaction is maximised, (b) Tilted variant. Infinite bi-layer is not shown.

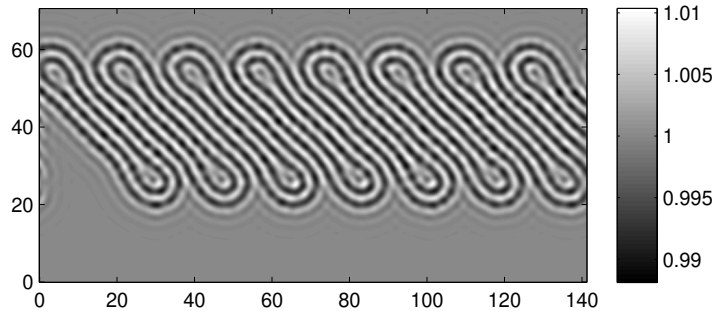


Figure 10: HRTEM simulated images from Density functional theory (DFT) relaxed cross-section for stacked 1D-row of collapsed double-walled BN nanotubes. *there are three pictures normally*

1 structures. *Do the pleat dimensions correspond between theory and experiment?* The
 2 interlayer stacking energy in h-BN is around 50% higher than that of graphite (Figure 11),
 3 which provides strong driving force towards ordered stacking and away from amorphous and
 4 folded structures. Notably this provides the driving force to reduce as far as possible the tilt
 5 angle of the kirigami.

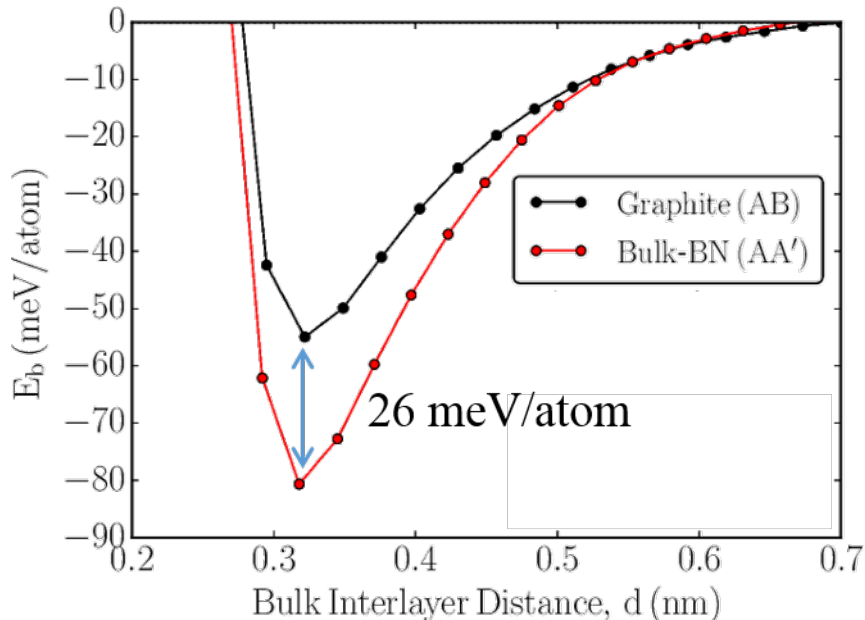


Figure 11: Density functional theory (DFT) calculated interlayer binding enthalpy for Graphite and h-BN using GGA-PBE(D2) theory level as a function of interlayer distance, in most stable stacking configurations.

6 Pleated kirigami structures confined inside a nanotube under pressure exhibit an inter-
 7 esting structural behaviour: they are able to respond in a uniform analogue way to applied
 8 pressure (either axially or from geometric distortion of the external confining tubes) by vary-
 9 ing the tilt angle of the pleating, while still maintaining uniform interlayer spacing along the
 10 repeat direction. This is not true for a conventional tubular structure where radial compres-
 11 sion leads to non-uniform buckling and imposes local variation in interlayer spacing (this
 12 is partially compensated in our current systems through facetting). Maintaining uniform
 13 interlayer spacing is a strong driving force in hexagonal boron nitride where the ionic inter-
 14 layer forces are particularly strong, notably compared to graphite. This therefore may be

1 a driving force for kirigami formation in these MWBNNTs, along with a reason why such
2 structures have not been observed in equivalent MWCCNTs.

3 We note that our modelling considers only 1D-periodicity and does not introduce the
4 additional experimental complexity of kirigami in two dimensions necessary to fit such struc-
5 tures into a tube. Polygonised kirigami pleats will involve additional mass reorganisation
6 along the polygon facets and are beyond the capabilities of our DFT models at this time.

7 Discussion

8 First of all, both our experiments and calculations show the prominence of polygonization in
9 BNNTs at ambient conditions. This constitutes a very important difference with respect to
10 CNTs for which the circular cross-section is the paradigm at ambient conditions. Polygonal
11 structures have been rarely observed⁶⁴ in CNTs and most commonly it is reported the tube
12 self-collapse for large tube diameters.^{47,65} Our TEM images at ambient pressure (Fig. 1.a1-
13 a2) show the characteristic spotty signature which has been assigned, as already discussed
14 to an helical polygonization of the internal nanotubes. Tube polygonization is in fact also
15 confirmed by our HRTEM tomography images (Fig. 2 and S.I. videos). Our calculations
16 have been only done in very few-walled tubes, but clearly show a tendency for the radial
17 deformation of MWBNNTs including their polygonization at ambient pressures. Such poly-
18 gonization appears in tubes in a large range of diameters. Only for the largest tube diameters
19 perfectly circular cross-sections were sometimes observed. On the other side, for the smallest
20 tubes, the internal tubes were much more deformed than the exterior ones which in some
21 cases show almost circular cross-sections. We can conclude that both experiments and cal-
22 culations show tube polygonization in larger tubes with many walls. Calculations also show
23 the presence of pinches in the longitudinal tube direction. In Fig. 1.a3 it is shown a kind of
24 structure observed at ambient conditions showing a non-symmetric local defect which may
25 be assigned to a pinch.

1 Our TEM study on recovered samples after a pressure cycle shows that boron nitride
 2 multi-wall nanotubes tubular structure can be preserved up to pressure cycles of at least 36
 3 GPa (Fig. 1) or even beyond. Nevertheless morphological changes in the tubes can already be
 4 observed for tubes cycled up to pressures as low as 7 GPa (Figure 1 b1. to b.4) and confirmed
 5 by Raman spectroscopy. Changes include the formation of alveolar structures either with
 6 regular bamboo-like morphologies or with irregular alveoli, tube folding or highly damaged
 7 tubes including the formation of folded nano-ribbon kirigami internal structures. Preserved
 8 tubes with such internal morphologies appear as dominant at the highest pressures, which
 9 may be related to a mechanical stabilizing role of the observed internal structures (bamboo-
 10 like segmentation or alveoli).

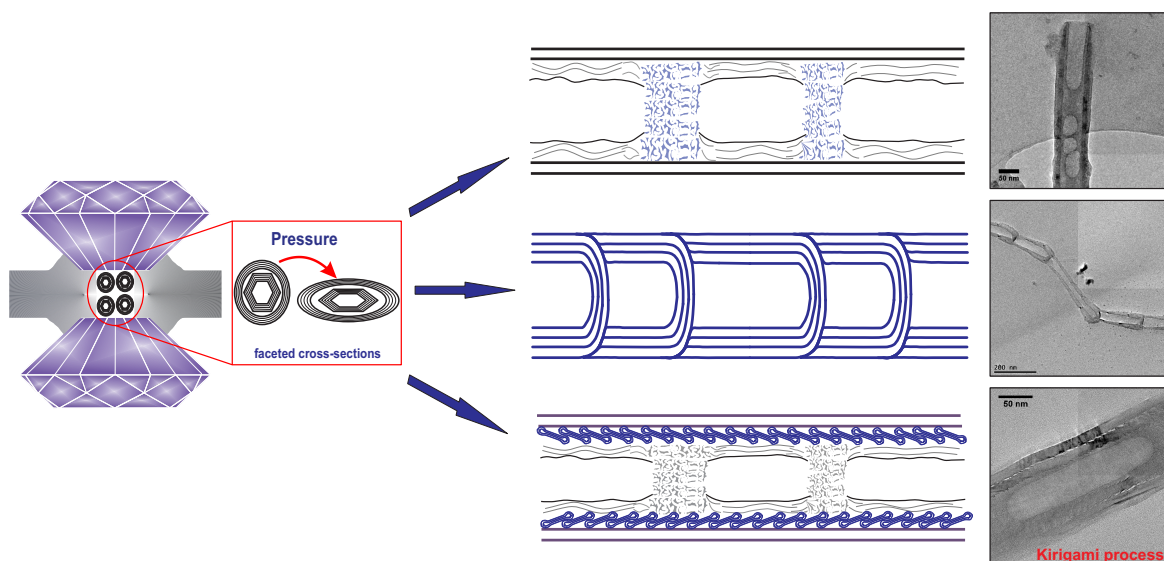


Figure 12: Scheme illustrating the main morphologies for BNNTs after pressure cycle found in this work. On the left side is schematized the diamond anvil cell containing the BNNTs consisting on polygonized inner tubes (not to scale). The zoom pictures pressure induced changes in the nanotubes radial cross-sections. On right side, we have respectively presented the three main BNNTs morphologies identified in our work after pressure cycle. From top to bottom: the alveolar structure, the bamboo-like structure and the kirigami structure (see text for details).

11 As already discussed, various experimental works indicate the possible evolution of MWBN-
 12 NTs towards sp^3 forms including w-BN.^{51,52} We may then interrogate ourselves about the
 13 possibility that the new Raman peak that we observe at 1280 cm^{-1} in the spectra of samples

1 cycled from 15.6 GPa and above (Fig. 21.b in S.I.), could be related with such type of
2 transformation. Various theoretical calculations on the active Raman modes of the w-BN
3 structure⁶⁶⁻⁶⁸ point to a w-BN Raman peak at $\sim 1280 \text{ cm}^{-1}$ which may then correspond
4 to the new mode observed here. The assignment of that mode is not clear and depending
5 on calculations concordance with $A_1(\text{TO})$ or $E_1(\text{LO})$ symmetries have been proposed. It is
6 important to note that the E_{2g} BNNT mode is preserved in our Raman experiments during
7 compression up to 24 GPa. This observation seems to be consistent with the high resilience
8 of the tube structure.^{69,70} Nevertheless, Fig. S2.b clearly shows that non-reversible changes
9 take place on the BNNT structure from pressures above ~ 8 GPa. On the other side, our
10 TEM images show structural modifications of the tubes, but no clear evidence of formation
11 of w-BN.

12 We have observed a number of structures on MWBNNTs after high pressure cycles which
13 include: i) bamboo-like structures (Fig. 1 b.1, c.2 and d.4), ii) alveolar structures (Fig. 1
14 b.4 and d.1) iii) hybrid tubular/folded-nanoribbon (Fig. 3) which also presents an alveolar
15 structure. Local densification or radial deformation are also observed in some cases.

16 Our TEM tomography study (Fig. 2) allows to see that the alveoli correspond to the
17 presence of badly-organized matter inside the tubes. A proposal is that this badly-organized
18 matter could correspond to the breaking of the internal tubes leading to disorganized h-
19 BN ribbon structures. Breaking of the innermost tubes could then lead to self-folding and
20 the particular kirigami-like structure of Fig. 3 or to alveolar structures when no organized
21 self-folding takes place. The presence of alveoli in Fig. 3 would indicate that some internal
22 tube-walls did not self-fold on breaking. In general, the observed alveolar and bamboo-like
23 structures appearing after high pressure treatment show to be quite regular with charac-
24 teristic longitudinal distances of the order of 100-200 nm. We have observed longitudinal
25 modifications also in the ambient conditions modelling of 3-walled BNNTs (Fig. 6) as well
26 as in the high pressure modelling (Fig. 7). Presently we can not establish a correlation
27 between the alveolar/bamboo-like structures experimentally observed and the regular longi-

1 tudinal pinch appearing in the calculations. We may also note that our model points out to
2 a higher reactivity of the pinch regions at high pressures which can be related to the cell-type
3 organization or the bamboo-like one.

4 We now discuss possible reasons leading to the pressure induced break of internal tubes
5 and not to external ones. We should note that such internal tube breaking mechanism has
6 been never reported in CNTs. Most probably this can be assigned to an additional stress
7 term related to the BNNTs cross-section faceting of internal tubes. This faceting in BNNTs
8 has found to be related to the interlayer lattice registry patterning, which arises from the
9 interlayer electrostatic interactions of BN partly ionic bonds (polar nature).⁶¹ As discussed,
10 our reactive molecular dynamics modelling confirm the tendency for easier faceting in lower
11 diameter systems, which is in good correspondence with the experimental observation of
12 internal tubes faceting.

13 As already evoked in the introduction, a number of calculations support the idea that
14 radial collapse should take place in BN-nanotubes in the same way as in carbon nanotubes.
15 A simple collapse introduces a symmetry mirror plane along the tube. A n -polygonization
16 with n even can be congruent with that mirror plane, but not if n is odd. The presence of an
17 helical-polygonization will introduce a loss of congruence between the two forms of symmetry
18 (collapse and polygonization) with discrete coincidences along the tube. To further compli-
19 cate the global picture, we may imagine that in certain circumstances, radial deformation
20 could have a torsional character or that higher order collapse structures can take place.⁵⁴
21 Vertices of the polygons introduce a supplementary local stress which can be reinforced in
22 the collapse mirror plane direction and lead to breaking of the structure during collapse. In
23 a multi-wall structure congruence between the two deformation symmetries would depend
24 on the diameter and could only be eventually obtained for a given small number of tubes,
25 where an organized breaking could take place. We may dress such scenario to explain the
26 hybrid kirigami-alveolar structure observed in Fig. 3. Our DFT modelling shows that the
27 observed internal organized structures can be interpreted in terms of stacked folds.

1 We can then try to understand the different high pressure MWBNNT observed mor-
2 phologies as related to the possible schemes of the internal tubes polygonization. Fig.12
3 summarizes the proposed scheme of pressure transformation. For low polygonal order a
4 symmetric breaking of the internal tubes at pinch locations may lead to the organized fold-
5 ing of the h-BN nanoribbons producing the bamboo-like structures. This would correspond
6 to the case of an even polygon. In the case of an n-polygon with n odd, pressure induced
7 ovalization will not lead to a symmetric stress distribution in the internal tube. A less orga-
8 nized folding of the h-BN nanoribbons would lead to the alveolar structure. The case of the
9 organized stacking of folded h-BN nanoribbons (c) could be related to the multiple fracture
10 of high n-order polygon leading to the kirigami-like structure. In any case the observed
11 structure clearly evidences the existence of a spiral ordering for the internal polygonized
12 tubes.^{55,57,61}

13 Conclusions

14 Combining the MWBNNTs study with TEM and Raman spectroscopy with MD and DFT
15 modelling of we have found a number of marked structural differences between BNNTs and
16 CNTs. First of all our work confirms the prominence of polygonization in MWBNNTs even
17 at ambient conditions. We have then shown that the high pressure application in MWBNNTs
18 leads to the nanotube transformation into various types of tube morphologies, some of them
19 never observed in CNTs. Some tubular geometries can stand pressures of at least 49 GPa.
20 The observed pressure induced tube morphologies are bamboo-like, disorganized alveolar
21 and kirigami-formed folded boron nitride nanoribbons encapsulated inside a BNNT. These
22 structures can be interpreted as due to different types of arrangement of h-BN nanoribbons
23 formed by the fracture of polygonized internal tubes leading to different types of internal
24 organizations (Fig. 12). The formation of such internal structures appears to favour then
25 the mechanical stability of the nanotubular geometry.

1 The overall high pressure evolution of MWBNNTs appears then as being very different
2 from the one of carbon nanotubes. In particular the formation of modulates structures
3 along the tube axis (polygonization or alveolar structuration) differs from the usual radial
4 collapse mechanism in carbon nanotubes for which no axial structural components have
5 been proposed. The physical properties of these new tubular morphologies needs further
6 study and may provide new paths to explore opportunities in the design of novel mechanical
7 reinforced materials or electro-optical tuned devices.

8 **Experimental Section**

9 *Sample synthesis:* High quality BNNTs were synthesized via Boron Oxide Chemical Va-
10 por Deposition (BOCVD) following a catalytic “Vapour-Liquid-Solid (VLS) Growth Mecha-
11 nism”.⁷¹⁻⁷³ A mixture of powder precursors (boron B) and catalysts (magnesium oxide MgO
12 and iron boride FeB) in a molar ratio of 1 : 2 : 1 respectively, was used as a starting material.
13 This mixture was put in an alumina combustion boat and placed in a horizontal induction
14 tube furnace closed at both ends. The tube furnace was first evacuated and then heated
15 up to 1500 °C. As a result, B₂O₂ vapours and liquid or partially-melted nanosize catalyst
16 particles were formed. NH₃ gas was then introduced into the tube furnace at a rate of 150
17 sccm for one hour at a pressure of 1000 mbar. At this temperature NH₃ decomposed into N₂
18 and H₂. The oxygen of the as-formed B₂O₂ vapours reacted with H₂ to form water vapours
19 while the boron reacted with N₂ to form BN-species. These species were then diffused into
20 the condensed catalysts and aggregated with time until it supersaturated and precipitated
21 into the form of BNNTs. BNNTs thus obtained were multiwall nanotubes (MWNTs) in pure
22 form, with an average outer diameter of approximately 50 nm, a length of greater than 10
23 mm with almost no impurity present in the final product. The nanotubes were straight with
24 concentric tubular structure containing no defects and were uniformly dispersed (see later
25 figure with TEM images).

1 *High Pressure Raman Spectroscopy:* The BN-nanotubes were studied at high-pressure
2 using *in situ* Raman spectroscopy with a membrane diamond-anvil cell (DAC) having dia-
3 mond culets of 350 μm . The MWBNNTs samples were inserted in a 120 μm diameter hole
4 drilled in a pre-identified stainless steel gasket (thickness after indentation $\sim 30 \mu\text{m}$). A small
5 ruby chip placed in the sample chamber was used as the *in situ* pressure gauge with the
6 use of the ruby fluorescence method.⁷⁴ NaCl was used as pressure-transmitting medium
7 (PTM). Raman spectra were collected in backscattering geometry with a home-built opti-
8 cal system and an Acton SpectraPro 2500i spectrometer with an spectral resolution of ~ 2
9 cm^{-1} . The excitation energy was 2.41 eV (514.5 nm) provided by an air-cooled argon-ion
10 laser, having a source power limited to 20 mW to avoid heating sample effects (see Figure S1
11 of the Supporting Information, no significant changes related to the displacement of the tan-
12 gential BNMWNT mode is observed, only parameters such as spectral profiles, background
13 and signal-to-noise ratio showed variations between spectra). A Mitutoyo 50x objective lens
14 allowed to focus the laser beam to a spot size of 2 μm at the sample. Three *in situ* Raman
15 experiments were performed up to maximum pressures of 7.1, 15.6 and 36 GPa. The Raman
16 spectra of the experiments up to pressures of 41.1 GPa and 48.6 GPa were measured only
17 after pressure release (out of the DAC). For these spectra we used a LabRAM HR Evolution
18 spectrometer (with a spectral resolution of $\sim 0.5 \text{ cm}^{-1}$) with a excitation laser energy of 2.33
19 eV. The laser beam was focused onto the samples using a long work distance 50x Mitutoyo
20 magnification objective lens. In all experiments samples were recovered after the pressure
21 cycle for further characterizations.

22 *Characterization via electron microscopy:* TEM observations of the *as-produced* BN-
23 NTs as well as the pressure cycled samples were performed on a 200 keV field-emission
24 high-resolution transmission electron microscope (TEM) JEOL JEM-2100F. From the TEM
25 images the inner diameter of the synthesized MWBNNTs ranges from 15 to 20 nm and the
26 outer tube diameter from 40 to 80 nm. Some larger tubes can be also observed exceptionally.

27 TEM tomography series of tilted images were also taken for the pristine BN-nanotubes

1 sample and for the cycled sample up to 36 GPa samples. For this, the JEOL JEM-2100F
2 TEM was equipped with a Gatan Ultrascan 1000 CCD camera, using a magnification of
3 40000 for the pristine samples and of 80000 for the 36 GPa cycled sample. The specimens
4 were rotated from -60° to 66° in case of the pristine BN-nanotube and from -60° to 63°
5 for the recovered BN-nanotube. A Saxton tilt increment scheme (variable angular step
6 allowing to improve 3D reconstruction with a reduced number of images in order to preserve
7 the beam sensitive structure of the sample) was used. For the acquisitions control and 3D
8 reconstructions, a JEOL TEMography software package was used. 3D visualization of the
9 reconstructed structures were performed with ImageJ software .⁷⁵

10 Supporting Information

11 Supporting Information is available from the Wiley Online Library or from the author:

- 12 • Laser heating effect on BNNTs samples, Electron tomography videos of MWBNNT
13 pristine and MWBNNT after 36 GPa pressure cycle, Raman spectroscopy results of
14 MWBNNTs at high pressures, Modelling methodology.

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17 Notes

18 The authors declare no competing financial interest.

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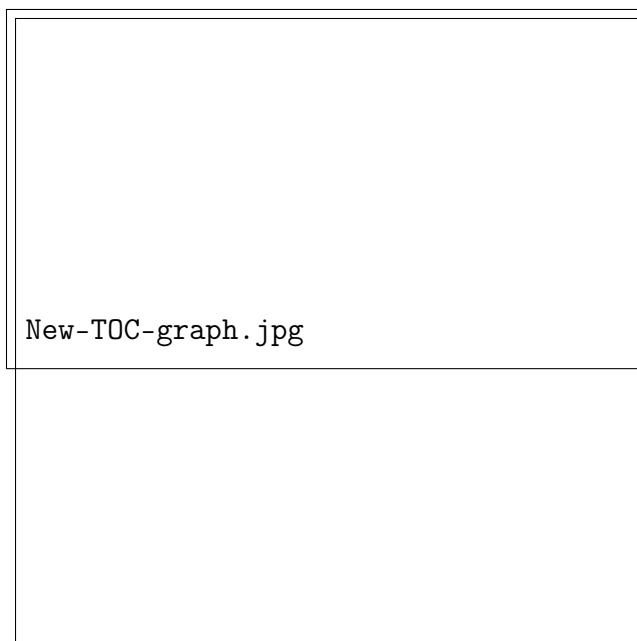
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1 Graphical TOC Entry

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