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Dynamo tests for stratification below the core-mantle boundary

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Abstract

Evidence from seismology, mineral physics, and core dynamics suggests a layer with 6 an overall stable stratification in the Earth's outer core, possibly thermal in origin, ex-7 tending below the core-mantle boundary (CMB) for several hundred kilometers. Yet 8 vigorous deep mantle convection with locally elevated heat flux implies unstable therq mal stratification in some regions below the CMB, consistent with interpretations of 10 non-dipole geomagnetic field behavior that favor upwelling flows in places below the 11 CMB. To resolve this apparent inconsistency, we investigate the structure of convection 12 and magnetic fields in the core using numerical dynamos with laterally heterogeneous 13 boundary heat flux. Strongly heterogeneous boundary heat flux generates localized 14 convection beneath the CMB that coexists with an overall stable stratification there. 15 Our partially stratified dynamos are distinguished by their time average magnetic field 16 structures. Without stratification or with stratification confined to a thin layer, the 17 octupole component is small and the CMB magnetic field structure includes polar in-18 tensity minima. With more extensive stratification, the octupole component is large 19 and the magnetic field structure includes intense patches or high intensity lobes in 20 the polar regions. Comparisons with the time-averaged geomagnetic field are gener-21 ally favorable for partial stratification in a thin (< 400 km) layer but unfavorable for 22 stratification in a thick ($\sim 1000 \text{ km}$) layer beneath the CMB. 23

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26 heat flux

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27 **1** Introduction

The possibility of a distinct layer below Earth's core-mantle boundary (CMB), Braginsky's 28 (1993) so-called hidden ocean, has been the subject of numerous studies using a variety of 29 seismic, geomagnetic, and mineral physics approaches, with the twin objectives of resolving 30 the properties of this layer and understanding its dynamical significance. The majority of 31 these studies conclude that the layer extends one to two hundred kilometers below the CMB 32 (Whaler, 1980; Lay and Young, 1990; Gubbins, 2007; Tanaka, 2007; Buffett, 2014) although 33 some claim it extends to far greater depths, perhaps three hundred kilometers (Helffrich and 34 Kaneshima, 2010; Gomi et al., 2013; Tang et al., 2015) or more (Kaneshima, 2017). Inter-35 pretations include stable (subadiabatic) thermal stratification (Gomi et al., 2013; Buffett, 36 2014) as well as stable compositional stratification due to excess light element concentrations 37 in the layer (Helffrich and Kaneshima, 2013; Gubbins and Davies, 2013). 38

It is important to point out, however, that not every study supports the existence of such 39 a layer, or at least, there are reasons to doubt that the region below the CMB is uniformly 40 stable to all convective motions. Interpretations of the geomagnetic secular variation are 41 most easily accommodated by core flows including upwelling and downwelling motions that 42 extend to within 100 km below the CMB or shallower (Gubbins, 2007; Amit, 2014; Lesur 43 et al., 2015)). Likewise, the proliferation and rapid evolution of reverse flux spots in the 44 present-day geomagnetic field on the CMB (Olsen et al., 2014) argue for flux expulsion 45 related to upwellings and downwellings (Olson and Amit, 2006). 46

In addition, it is necessary to consider the effects of the mantle circulation on the geody-47 namo. Mantle global circulation models (Zhang and Rudolph, 2015; Nakagawa and Tackley, 48 2013; 2015) predict vigorous deep mantle convection with locally elevated heat flux that is 49 large enough to sustain unstable thermal stratification in some regions beneath the CMB 50 (Olson et al., 2015), even if recent estimates of high thermal conductivity in the core (Ohata 51 et al., 2016) apply. Alternatively, with lower thermal conductivity (Konopkova et al., 2016), 52 thermal conditions may be unstable everywhere, but in that case a small accumulation of 53 light elements at the top of the core (Buffett and Seagle, 2010) could provide the stable 54 stratification. 55

These issues raise the question of whether it is possible to reconcile seemingly contradictory inferences: a layer providing overall stable stratification on the one hand, with radial

motions in the fluid outer core below the CMB on the other. In this paper we address this ap-58 parent incongruity using numerical dynamos with a particular suite of boundary conditions 59 that (1) model inner core boundary buoyancy release as the source of the main convection, 60 (2) produce an overall (i.e., spherically-averaged) stable thermal stratification below the 61 outer boundary, and (3) generate strong lateral heterogeneity in the stratification, including 62 localized convection. We find that this combination of boundary conditions implies a style 63 of convection in the outer core that dynamically maintains stably stratified conditions in 64 limited regions below the CMB, yet allows for radial motions in places as well as generating 65 a dipole-dominant magnetic field. We call these *partially stratified dynamos*. In addition, we 66 demonstrate that partially stratified dynamos have distinctive high latitude magnetic field 67 structures, allowing the strength of the stratification below the CMB to be inferred remotely, 68 using the geomagnetic field on the CMB. 69

Our study is limited to the types of stratification that are produced when the destabilizing 70 effects of inner core boundary buoyancy release are comparable in the stratified region to the 71 stabilizing effects of subadiabatic CMB heat flux. This regime has been explored previously 72 using numerical dynamos with homogeneous outer boundary conditions (Christensen and 73 Wicht, 2008; Nakagawa, 2011, 2015) and magnetoconvection models (Takehiro and Sasaki, 74 2017). It has been shown that stable stratification tends to filter the non-axisymmetric 75 non-dipolar fields, and if the stratified layer is thick, also reduces the intensity of the axial 76 dipole field (Christensen and Wicht, 2008; Nakagawa, 2011; 2015). Christensen (2016) used 77 combinations of subadiabatic mean boundary heat flux plus lateral boundary variations 78 to produce dynamos with stratification extending below the CMB to 20-40% of the outer 79 core depth. Under these conditions he finds thin horizontal circulations that mediate the 80 boundary heat flux heterogeneity, but little mixing of the stratification. In contrast, stratified 81 magnetoconvection calculations by Takehiro and Sasaki (2017) produce strong flows capable 82 of penetrating most of the stable region. 83

The stratification analyzed in this study refers to radial density gradients that deviate from adiabatic (i.e., uniform entropy) thermal conditions. Temperature gradients resulting from self-compression of the fluid are therefore excluded from our dynamo calculations, and must be factored in before comparing our results to Earth's core. Dynamos that explicitly include adiabatic density and thermal gradients due to compressibility of the fluid also show stratification effects, particularly when the adiabatic density variation is large across the

fluid (Jones et al., 2011; Gastine et al., 2012, Yadav et al., 2013). Because the density scale 90 height of the outer core is greater than its depth, the direct effects of compressibility are not 91 included in our dynamos. In addition, our study does not consider the situation in which 92 the stabilizing effects of stratification vastly outweigh the destabilizing effects of inner core 93 growth, as would be the case for strong, pre-existing compositional stratification (Landeau 94 et al., 2016) or compositional stratification that develops over time through rapid chemical 95 diffusion (Nakagawa, 2017). With such strong stratification, lateral variations in heat flux 96 at the CMB would likely play a more limited role in determining the global structure of the 97 outer core and its overall dynamical behavior. 98

⁹⁹ 2 Partially stratified dynamos

To model dynamo action with thermal and compositional buoyancy originating at the inner core boundary (ICB) due to inner core growth plus dynamically-regulated thermal stratification below the CMB, all within the context of the Boussinesq approximation, we follow standard procedures (Jones, 2007), defining the codensity C in the outer core as the sum of densities due to temperature and light element concentration:

$$C = \rho_o \left(\alpha T + \beta \chi \right), \tag{1}$$

where ρ_o is outer core average density, T is temperature relative to the core adiabat, χ is 105 the outer core light element concentration relative to its mean, and α and β are volumetric 106 expansion coefficients for T and χ , respectively. We let $\dot{\chi}_o$ and \dot{T}_o denote the time rate-of-107 change of the mean (volume-averaged) light element concentration and temperature of the 108 outer core, each assumed to be constant over the time span of a single dynamo simulation, so 109 that $\dot{C}_o = \rho_o(\alpha \dot{T}_o + \beta \dot{\chi}_o)$ is the volume-averaged rate-of-change of codensity over a simulation, 110 also assumed constant. Further, let Ω denote angular velocity of Earth's rotation, g gravity 111 at the CMB, $D = r_{cmb} - r_{icb}$ the depth of the outer core fluid, r_{cmb} and r_{icb} being the radii of 112 the CMB and the ICB, respectively, and let ν and κ denote outer core kinematic viscosity 113 and codensity diffusivity, respectively. 114

With these definitions, the Boussinesq equations for conservation of momentum including rotation, conservation of mass, and codensity transport in a rotating spherical shell (see ¹¹⁷ Appendix) include the following dimensionless control parameters:

$$E = \frac{\nu}{\Omega D^2}; \quad Pr = \frac{\nu}{\kappa}; \quad Ra = \frac{\beta g D^5 \dot{\chi}_o}{\nu^2 \kappa}.$$
 (2)

Here E is the Ekman number, Pr is the Prandtl number, and Ra is the Rayleigh number, and 118 the factors D, D^2/ν and $D^2\rho\beta\dot{\chi}_o/\nu$ scale length, time, and codensity variations, respectively. 119 Two additional control parameters appearing in the magnetic induction equation and the 120 codensity equation are the magnetic Prandtl number Pm and the dimensionless volumetric 121 codensity source/sink ϵ that quantifies the rate of buoyancy absorbed in the outer core from 122 the mixing of light elements, secular cooling of the outer core, curvature of the core adiabat, 123 and radioactive heat sources. Our dynamos are driven by the combination of light element 124 release at r_{icb} and secular cooling, so that 125

$$\epsilon = -\left(1 + \frac{\alpha \dot{T}_o}{\beta \dot{\chi}_o}\right). \tag{3}$$

¹²⁶ The magnetic Prandtl number Pm is defined by

$$Pm = \frac{\nu}{\eta} \tag{4}$$

where η is the magnetic diffusivity of the outer core. Magnetic fields are scaled by $\sqrt{\rho_o \Omega/\sigma}$, where σ is electrical conductivity.

Additional control parameters arise in defining the boundary conditions. At the ICB we assume no-slip velocity conditions and a uniform codensity, C_{icb} . At the CMB also assume no-slip velocity conditions, zero compositional flux, and we specify the heat flux there to be the sum of a spherical mean part (denoted by an overbar) and a deviation from the spherical mean (denoted by a prime):

$$q = \bar{q} + q'(\phi, \theta) \tag{5}$$

where ϕ and θ are longitude and co-latitude, respectively, and \bar{q} is measured relative to the heat flux down the core adiabat, with $\bar{q} > 0$ being superadiabatic heat flux and $\bar{q} < 0$ being subadiabatic heat flux. The variable q' in (5) specifies the pattern and the amplitude of the CMB heat flux heterogeneity. In the same way we can write the codensity as the sum of a spherical mean part \bar{C} and a laterally varying part C'. Then using (1) and (5) and assuming Fourier's law for conduction, the spherical mean and laterally varying codensity gradients on the CMB can be written as

$$\frac{\partial C}{\partial r} = -\left(\frac{\alpha\rho_0}{k}\right)\bar{q} \tag{6}$$

141 and

$$\frac{\partial C'}{\partial r} = -\left(\frac{\alpha\rho_0}{k}\right)q'\tag{7}$$

where r is the radial coordinate and k is the thermal conductivity of the outer core, assumed constant. In dimensionless form (6) and (7) become

$$\frac{\partial \bar{C}^*}{\partial r^*} = \frac{Ra_{\bar{q}}}{Ra} = S,\tag{8}$$

144 where

$$S = -\left(\frac{\alpha\nu}{Dk\dot{\chi}_o}\right)\bar{q}\tag{9}$$

¹⁴⁵ is the dimensionless stratification parameter we use in this study, and

$$\frac{\partial C'^*}{\partial r^*} = \frac{Ra_{q'}}{Ra} L(\theta, \phi) = S'L.$$
(10)

146 In (8)

$$Ra_{\bar{q}} = -\left(\frac{\alpha g D^4}{k\nu\kappa}\right)\bar{q} \tag{11}$$

¹⁴⁷ is the Rayleigh number based on the spherical mean CMB heat flux, and in (10)

$$Ra_{q'} = \frac{\alpha g D^4}{k\nu\kappa} \Delta q' \tag{12}$$

is the Rayleigh number based on the peak-to-peak variation $\Delta q'$ of the laterally varying CMB heat flux, $L(\theta, \phi)$ being the pattern (or planform) of its lateral variation in terms of colatitude θ and longitude ϕ , S' is the boundary heterogeneity counterpart to S, and the asterisks denote dimensionless variables. Note that both S and $Ra_{\bar{q}}$ are defined to be positive when \bar{q} is negative, i.e., when the mean CMB heat flux is stabilizing.

In this study we restrict consideration to dynamos with Pr = 1, Pm = 6, and $\epsilon = -0.8$, the latter appropriate for dominantly compositional convection but with some secular cooling. In most cases we choose Ekman numbers $E = 1 \times 10^{-4}$, with a few cases at $E = 3 \times 10^{-5}$. We fix the aspect ratio of the fluid outer core to be $r_{icb}/r_{cmb} = 0.351$. The solid region $r \leq r_{icb}$ representing the inner core is assumed to have the same electrical conductivity σ as the fluid, and the solid region $r \geq r_{cmb}$ representing the mantle is assumed to be electrically insulating.

By fixing the codensity on the inner boundary, we allow the codensity flux there to freely adjust, which permits it to respond to the heterogeneous CMB heat flux in a dynamically consistent way. For modeling convective interaction between the inner core and the mantle,

this boundary condition has advantages over other simplified boundary conditions, such as 163 fixing the ICB codensity flux *a-priori*, because it naturally yields lateral variations in the 164 ICB codensity flux, which is a dynamo model proxy for lateral variations in the rate of 165 inner core growth. Its main disadvantage in the context of our study is that it requires two 166 global Rayleigh numbers with different definitions to characterize these dynamos: $Ra_{\bar{q}}$ for 167 the CMB forcing that depends inversely on viscosity and Ra for the ICB forcing that depends 168 inversely on viscosity squared. Their ratio, the stratification parameter S, therefore depends 169 linearly on the fluid viscosity, which unfortunately is poorly constrained in the outer core. 170 Similarly, by setting the sink function ϵ to a constant we let the dynamics determine the 171 thickness and the gravitational stability of the stratified region, through interactions between 172 the control parameters Ra, S, S'. An alternative approach is to prescribe the thickness of 173 the stratification by tuning the sink function, as in Nakagawa (2015). Later we show that 174 these two approaches yield broadly consistent results in terms of the time average dynamo 175 magnetic field structure. 176

We examine dynamo action over ranges of the control parameters Ra and S, for three 177 different CMB heat flux planforms L. The first planform, denoted by L0, corresponds to 178 uniform CMB heat flux, with $C^{\prime*} = 0$ everywhere on the outer boundary. These uniform 179 boundary cases serve as references for the heterogeneous boundary cases. The second plan-180 form, denoted by L_2 , is defined by C'^* on the outer boundary consisting of spherical harmonic 181 degree two components, and is shown in Figure 1a. The third planform, denoted by L4, con-182 sists of $C^{\prime*}$ components up to and including spherical harmonic degree four, is shown in 183 Figure 1b. 184

The L4 planform in Figure 1b was obtained from the present-day CMB heat flux pat-185 tern produced by the mantle GCM (mantle global circulation model) HF5 of Rudolph and 186 Zhong (2014) and Zhong and Rudolph (2015), after truncating that CMB heat flux pattern 187 at spherical harmonic degree and order four. The mantle GCM HF5 includes variable man-188 tle viscosity, compositionally dense material at the mantle base, plus plate motion surface 189 velocity constraints starting around 400 Ma and continuing to present-day. This particular 190 mantle GCM has been used by Olson et al. (2015) to model core evolution. The mean and 191 standard deviation of its present-day CMB heat flux are $79 \pm 24 \text{ mW/m}^2$, and its maximum 192 and minimum are 131 and 36 $\mathrm{mW/m^2}$, respectively. The L2 planform in Figure 1a is a mod-193 ified version of L4 planform using only spherical harmonic degree $\ell=2$ components at orders 194

m=0 and m=2, adjusted to create a pattern with bilateral (i.e., 2-fold) azimuthal symmetry. The L2 pattern in Figure 1a corresponds to the largest scale, lower mantle heterogeneity structure advocated by some seismologists (Dziewonski et al., 2010). It has the same mean value as the L4 pattern, whereas its extreme values are 137 and 31 mW/m², respectively. It is essentially the same planform used by Olson and Amit (2015) in their study of the effects of dense basal mantle piles on magnetic polarity reversal behavior.

The stratification parameter S in our dynamos are determined according to (8) and (9). The amplitudes of the boundary heterogeneity S' of the L2 and L4 patterns in our dynamos are scaled using the following ratio:

$$\Gamma = |S'L| \left(\frac{\partial \bar{C}^*}{\partial r^*_{icb}}\right)^{-1},\tag{13}$$

where || denotes peak-to-peak variation. The Γ ratio can be estimated by combining the 204 results of our mantle GCM with a model of the thermodynamic state of the core. We use a 205 core state model with the CMB heat flux obtained from the mantle GCM (which includes an 206 adiabatic part, assumed to be 96 $\mathrm{mW/m^2}$) to estimate the implied CMB and ICB codensity 207 fluxes and hence the ratio Γ . We find that the mean cmb heat flux from mantle GCMs with 208 plate motion constraints (Zhong and Rudolph, 2015) is typically comparable to the adiabatic 209 core heat flux, such that $\Gamma \simeq (r_{icb}/r_{cmb})^2 \simeq 0.123$, approximately. We calculate the spherical 210 mean ICB codensity flux from our numerical dynamo at a given Ra with $Ra_{\bar{q}} = Ra_{q'} = 0$, 211 and then adjust |S'L| in (13) so that $\Gamma=0.123$ for that dynamo. The above steps yield a 212 constant value of |S'L|=0.58 for all the L2 dynamo cases. A similar procedure is followed 213 for the L4 dynamo cases. Amplitude coefficients of the spherical harmonics that generate 214 these L_2 and L_4 heat flux planforms for our dynamos are given in Table A1. 215

We have carried out a systematic parameter sweep of stratified thermochemical convec-216 tion and dynamo action with the control parameters just described, using the MAGIC code 217 (Wicht, 2002), varying the parameters Ra and S for the three outer boundary heat flux 218 heterogeneity patterns L0, L2 and L4. Table A2 summarizes the control parameter ranges 219 of our sweep. Most of the calculations were done at $E = 1 \times 10^{-4}$ and run for at least 5 220 viscous diffusion times in order that the run averages approximate true time averages. For 221 these calculations we used a numerical grid with $(n_r, n_\theta, n_\phi) = (81, 128, 256)$ in the fluid 222 shell and spherical harmonic truncation $(\ell, m)_{max} = 85$. We also ran one case at $Ra = 2 \times 10^7$ 223 with S=1.0, which was strongly subcritical for convection. The majority of our calculations 224

used the L2 boundary heterogeneity, although uniform L0 as well as some L4 cases were included for comparison purposes. Overall, we found that the L4 cases mostly added shorter wavelength heterogeneity to the L2 case results, somewhat complicating their interpretation without changing their behavior in a fundamental way. We also computed three S = 0.1cases at $E = 3 \times 10^{-5}$ with L0, L2, and L4 boundary heterogeneity, respectively, which are given in in Table A2. For the smaller E calculations we used a numerical grid with (n_r, n_θ, n_ϕ) = (121, 192, 384) in the fluid shell and spherical harmonic truncation $(\ell, m)_{max} = 128$.

²³² **3** Dynamo results

Figure 2 shows $E = 1 \times 10^{-4}$ cases in terms of the control parameters we varied. Axes are the Rayleigh number Ra and the stratification parameter S, defined as positive for stable boundary stratification and negative for unstable boundary stratification. The dashed line marks neutral (i.e., adiabatic) boundary flux cases. L0 (squares), L2 (crosses) and L4 (circles) denote spherical harmonic representation of the outer boundary heat flux heterogeneity as described in the previous section.

The combined effects on the fluid motions of stable stratification and lateral boundary 239 heterogeneity can be seen by comparing Figures 3 and 4, which show equatorial plane and 240 global surface views of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^{7}$, with 241 L0 (uniform) and L2 boundary heterogeneity, respectively, for two stratification parameters. 242 With a uniform outer boundary, the time average codensity is spherically symmetric for both 243 stabilizing (Figure 3a, b, c) and destabilizing (Figure 3d, e, f) stratification parameter. The 244 only difference between the two cases is the presence of stable stratification in the S=0.2245 case, extending downward from the outer boundary for a distance equal to about one quarter 246 of the fluid shell depth. This stable stratification has major influences on the fluid velocities, 247 as can be seen in the radial (u_r) and azimuthal (u_{ϕ}) images in Figure 3. In both cases the 248 radial velocities are highest near the inner boundary, where the buoyancy release is greatest, 249 but in the S=0.2 case the radial velocities are truncated at the depth where stratification 250 begins, and fail to penetrate to the outer boundary. Comparable differences are seen in the 251 azimuthal velocity near the outer boundary, where in the S=-0.1 case the highest velocities 252 occur at high latitudes, within and near the inner core tangent cylinder, whereas in the 253 S=0.2 case the highest velocities occur in a retrograde (westward flowing) equatorial jet. 254

Figure 4 has the same control parameters as Figure 3 but with L^2 boundary heterogene-255 ity added. In the destabilizing S=-0.1 case the stratification is highly destabilizing below 256 the regions of higher than average boundary heat flux, and beneath these regions the time 257 average radial velocity is strongly negative (downward flow). Conversely, below the regions 258 of lower than average boundary heat flux the stratification is weakly stable and the time 259 average radial velocity is positive (upward flow), from the inner boundary all the way to 260 the outer. In contrast, in the S=0.2 case the thermal stratification is stabilizing at all lon-261 gitudes immediately below the outer boundary and the radial velocity pattern is layered. 262 Downwellings located below high boundary heat flux regions penetrate only about one quar-263 ter of the fluid depth before terminating, and the laterally broad upwellings below regions 264 with low outer boundary heat flux show layering, with the strongest upwelling layers occur-265 ring immediately below the outer boundary and also just above the inner boundary. The 266 azimuthal velocity patterns beneath the outer boundary for both S=-0.1 and S=0.2 cases 267 include, at low latitudes, thin lenses of eastward (prograde) flow immediately beneath the 268 high boundary heat flux regions but displaced slightly in the downstream direction of the 260 heterogeneity, plus generally westward (retrograde) flow beneath the low boundary heat flux 270 regions, again displaced slightly downstream of the center of the boundary heterogeneity. 271

The other major difference between cases with versus without stabilizing boundary heat 272 flux, which we later show is crucial for stratification detection, is the pattern and strength of 273 the circulation inside the tangent cylinder of the inner boundary. The cases with destabilizing 274 outer boundary fluxes in both Figures 3 and 4 have stronger azimuthal flows inside the 275 tangent cylinder compared to their counterparts with stabilizing outer boundary fluxes. 276 This difference indicates that the pattern of convection at depth inside the tangent cylinder 277 is different with versus without boundary stabilization, and as we demonstrate next, this 278 has observable effects on the magnetic fields generated in the tangent cylinder region. 279

Figure 5 shows global views of the time average dynamo structure on and just below the outer boundary and in cross section, at $E = 1 \times 10^{-4}$ and $Ra = 6 \times 10^{7}$, for stratification parameters S=0 (neutral), S=0.2, and S=0.3, respectively, all with L2 boundary heterogeneity. The radial magnetic field on the outer boundary in the neutral case Figure 5a has higher intensity and shorter length scales in its structure, compared to its counterparts with stabilizing boundary heat flux, because without stratification, the short length scale fluctuations are more intense and require longer run times to average out. More importantly, the

high latitude structure of the neutral S = 0 dynamo includes rings of high intensity radial 287 magnetic field localized near the latitudes that correspond to the inner boundary (inner core) 288 tangent cylinder in each hemisphere, plus conspicuous polar intensity minima in both north 289 and south hemispheres. In contrast, the high latitude radial magnetic field in the S = 0.2290 dynamo consists of patches of high intensity field that terminate short of the pole and no 291 polar intensity minima, while the high latitude radial magnetic field in the S = 0.3 dynamo 292 consists of lobes of high intensity field that extend all the way to the poles, forming polar 293 intensity maxima. 294

The differences in these field structures can be directly attributed to differences in the 295 high latitude pattern of radial velocity in the two cases. As seen in Figure 5b, there are 296 strong polar upwellings in the S = 0 case, whereas Figure 5e shows much weaker polar 297 upwellings in the S=0.2 case, and Figure 5h shows polar downwellings in the more strongly 298 stabilized S = 0.3 case. Accordingly, the magnetic field becomes concentrated near the pole 299 in the most stratified case, the two high intensity flux lobes being located along longitudes 300 that approximately correspond to the bands of radial downward flow, whereas in the neutral 301 S=0 case the field is mostly concentrated by the circular downwellings that occur along the 302 tangent cylinder. The intermediate S=0.2 case produces a field structure that results from 303 a mixture of the flows seen in the two more extreme cases. 304

Patterns of the azimuthally and time averaged internal structure are shown in Figures 305 5c, f, and i for each of the three dynamos. These images reveal the underlying dynamics 306 that produce distinct radial velocity structures, which in turn produce the polar magnetic 307 intensity minima, patch, or lobe radial magnetic field structures. In the S=0 case the 308 tangent cylinder region is supercritical for convection and has the familiar combination of 309 polar upwellings plus tangent cylinder downwellings in its azimuthal averaged flow structure 310 (Olson and Aurnou, 1999; Sreenivasan and Jones, 2005). Together these up- and down-311 flows create a circulation that tends to expel poloidal magnetic field from inside the tangent 312 cylinder region. In contrast, in the strongly stabilized S=0.3 case the tangent cylinder is 313 (or appears to be) subcritical for free convection and the flow directions are reversed there 314 relative to the S=0 case. Magnetic flux concentration, rather than flux expulsion, is active 315 inside the tangent cylinder in this case. In the intermediate S=0.2 case there is a weak 316 polar upwelling, slightly concentrating the field inside the tangent cylinder. Consequently, 317 the radial field becomes more concentrated in the polar regions with stronger stratification, 318

eliminating the polar intensity minimum and replacing it with high intensity field that, with 319 the L2 boundary heterogeneity, generates high intensity patches or lobes. The high intensity 320 flux lobes or patches are located approximately at the longitudes that correspond to the 321 bands of radial downward flow (Figures 5e and h). We note that the dynamics of the flows 322 that underlie the structural changes in the field here are similar to what has been found 323 in dynamos when the ratio of inner boundary-to-outer boundary radius is changed, as is 324 expected to be the situation before versus after the inner core nucleates (Landeau et al., 325 2017). 326

As with the magnetic field structure, major differences in the structure of the internal 327 azimuthal flows in Figures 5c, f, and i lie at polar latitudes, where the neutral S=0 case 328 includes a strong retrograde (westward) polar vortex that extends to the outer boundary. 329 whereas in the S=0.3 case the flow in the same region is strongly attenuated in amplitude 330 and weakly prograde (eastward). Equatorial westward jets are present in all three cases, but 331 they are stronger with stratification, and unlike the polar flows, penetrate to near the outer 332 boundary, even in the most stabilized S=0.3 case. Qualitatively, this behavior is in accord 333 with previous findings by Nakagawa (2011) and Takehiro and Sasaki (2017). 334

In order to systematize how these morphologic differences in the magnetic field struc-335 ture vary with our dynamo control parameters, we show in Figure 6 a regime diagram of 336 the magnetic field in the polar region for the $E = 1 \times 10^{-4}$ and L2 boundary heterogene-337 ity cases. Axes are Rayleigh number Ra and outer boundary stratification parameter S, 338 once again positive for stable stratification, negative for unstable. Other symbols denote 339 the structure of the time average radial magnetic field structure on the outer (core-mantle) 340 boundary at high latitudes. M denotes existence of polar intensity minima, P denotes high 341 field intensity patches without polar minima, L denotes high field intensity lobes without 342 polar minima, and N (no dynamo) denotes subcritical for dynamo action due to excessively 343 strong boundary-induced stratification. Dual symbols in Figure 6 denote high latitude mag-344 netic field structures that appear to be transitional, M/P for polar minima transitioning to 345 patches, and P/M for the reverse. This figure demonstrates there are several (3 or more) dis-346 tinctive magnetic field structures that occur systematically as the control parameters vary, 347 and that these structures are particularly sensitive to the stratification parameter S, al-348 though some weaker dependence on the Rayleigh number Ra is evident from the locations of 349 the transitional cases. In particular, the patches P-regime appears to attenuate and possibly 350

disappear at strongly supercritical Rayleigh numbers. With more modest supercriticality, the sequence with increasing S consists of M for unstable, neutral, or very weakly stratified cases, transitioning to P with slightly stronger stratification, then to L and finally to N when the boundary-induced stratification is strong enough to kill dynamo action. With reference to Table A2, our three S = 0.1 cases at $E = 3 \times 10^{-5}$ and $Ra = 2 \times 10^{8}$ are all in the M-regime, consistent with the results shown in Figure 6.

The distinctions between M, P, and L field structures involves arbitrary considerations 357 in some of the transitional cases, but in most situations, identification can be made on 358 the basis of contours of B_r on the dynamo outer boundary in the polar regions. Figure 7 359 shows contours of the time-averaged radial magnetic field intensity on the outer boundary 360 for the three dynamos shown in Figure 5. Black curves are contours that enclose the high 361 field intensity regions in each case. If two contours are needed to enclose the B_r -intensity 362 maxima and both contours include the pole, the structure is classified as M-type, as in Figure 363 7a. Note that the two bounding contours should not be very different in radius, so as to 364 exclude from consideration very small, inconsequential field intensity minima. Alternatively, 365 if two bounding contours are needed and neither contour includes the pole, the structure 366 is classified as P-type, as in Figure 7b. Finally, if a single bounding contour encloses the 367 intense field and also includes the pole, the structure is classified as L-type, as in Figure 7c. 368

The three magnetic field structures in Figure 7 are distinct because of the combined ac-369 tion of the stratification measured by S or alternatively $Ra_{\bar{q}}$, plus the L2 lateral boundary 370 heterogeneity measured by S' or alternatively $Ra_{q'}$. Other parameter combinations could 371 lead to different results. For example, eliminating the lateral boundary heterogeneity yields 372 axisymmetric time averaged magnetic structures, so that the M- and L-structures trans-373 form to axisymmetric polar minima and axisymmetric polar maxima, respectively, while the 374 regime with clearly defined P-structures probably gets lost. The radial magnetic field struc-375 tures in figures 3 and 5 of Nakagawa (2015), calculated using homogeneous outer boundary 376 conditions in the same range of Ekman and magnetic Prandtl numbers, support this inter-377 pretation. This behavior, along with the inference from Figure 6 that the P-regime becomes 378 attenuated at highly supercritical Ra suggests that the high intensity patch regime may be 379 less robust than polar intensity minima or maxima. In addition, reducing the symmetry of 380 the boundary heterogeneity can produce different results, by obscuring the clear-cut differ-381 ences in the high latitude structures found with L2 symmetry. For example, we find that 382

the loss of bilateral symmetry with L4 boundary heterogeneity yields a nominally P-style field morphology, but with a single rather than two high field intensity patches. However, in spite of these complications, our results suggest it is possible to probe Earth's outer core for stratification using time average geomagnetic field morphology in the polar regions.

Figure 8 shows additional stratification diagnostics that involve ratios $G\ell 0$ of the axial 387 (m=0) Gauss coefficients of the magnetic field, obtained by time-averaging our partially 388 stratified dynamos. Figure 8a shows axially symmetric (m=0) Gauss coefficient ratios $G\ell 0 =$ 389 $g(\ell,0)/g(1,0)$ versus spherical harmonic degree ℓ from time-averaged dynamos with Ra =390 6×10^7 and L2 boundary heterogeneity, for various stratifications S. Figure 8b shows Gauss 391 coefficient ratio G30 (axial octupole over axial dipole) versus stratification S for the same 392 dynamos. M,P,L denote polar minima, patches, and lobes, respectively, in the high latitude 393 magnetic field structure. Stable/unstable refers to the sign of the gravitational stability 394 below the outer boundary. In Figure 8a the only appreciable (i.e., observable) G-ratio is 395 G_{30} . The G_{50} ratios change sign with stratification but are too small to be observed. In 396 Figure 8b, G30 is positive for all S-values considered, although it might become negative 397 for very strongly unstable stratification. More significantly, G30 increases with S, perhaps 398 saturating around 0.15 near S=0.2. 390

400 4 Stratification scaling

Before applying our results to thermal stratification in Earth's outer core, a necessary first 401 step is to derive scaling laws that summarize the strength and extent of the stratification in 402 our numerical dynamos as a function of the control parameters. The two control parameters 403 that we varied substantially are the Rayleigh number Ra and the stratification parameter 404 S, or alternatively, the boundary Rayleigh number $Ra_{\bar{q}}$. Furthermore, most of our dynamos 405 used the L2 boundary heat flux heterogeneity with the other control parameters fixed (that 406 is, $E = 1 \times 10^{-4}$, Pr = 1, Pm = 6). Accordingly, our fits are biased toward dynamos of this 407 type. In addition, we focus attention on two parameterizations of the stratification: (1) the 408 spherically averaged thickness of the stratified region beneath the outer boundary and (2) 409 the spherically averaged gravitational stability of the layer, both given in Table A2 for each 410 case. 411

412 We define the spherically averaged dimensionless thickness of the stratified region to be

$$\delta^* = \frac{r_{cmb} - r_{min}}{r_{cmb}} \tag{14}$$

where r_{cmb} is, as before, the outer boundary radius and r_{min} is the radius where \bar{C}^* reaches its local minimum value below the outer boundary, \bar{C}^*_{min} , as seen in Figure 3d. Similarly, we define the characteristic gravitational stability of this region in terms of the following parameter:

$$N^{*2} = \frac{\delta C^*}{\delta^*},\tag{15}$$

where $\delta C^* = \bar{C}^*_{cmb} - \bar{C}^*_{min}$ is the dimensionless codensity increase across the stratified region. The choice of the notation here is motivated by the connection between N^* and the buoyancy frequency in stratified fluids (Turner, 1980), the asterisk emphasizing that this is a nondimensional parameter.

Figure 9 shows fits of the dimensionless stratified layer thickness to power laws in Ra, Ra_{\bar{q}}, and S. In Figure 9a we assume a power law of the form

$$\delta^* = a R a^b_{\bar{a}} R a^c \tag{16}$$

where a is a (constant) coefficient and b and c are (constant) exponents. The fit was obtained by minimizing the function

$$F = \sum \frac{\delta^{*2}}{\sigma_{\delta}^2} (\log \delta^* - \log a - b \log Ra_{\bar{q}} - c \log Ra)^2,$$
(17)

where σ_{δ} , the characteristic uncertainty on δ^* , was assumed to be the same for all cases. The symbols in Figure 9a have the same meaning as in Figure 2 and the color scheme indicates the different magnetic field structures. Cases with $\delta^*=0.6491$ are saturated, meaning that the stratification spans the entire fluid layer from r_{cmb} to r_{icb} . Excluding saturated cases yields (a, b, c)=(1.26, 1.2, -1.18) for the best-fitting constants in (16).

Figure 9a reveals that the thickness of the stratified region increases strongly with $Ra_{\bar{q}}$ and decreases about equally strongly with Ra, as anticipated on physical grounds. Significantly, the exponents in Figure 9a nearly satisfy the relationship c = -b, which implies that the thickness of the stratified layer is solely a function of the stratification parameter S. We explain the latter result by considering mass conservation in the stratified layer : assuming zero mass anomaly flux at the base of the stratified layer (i.e. zero codensity gradient), one obtains from mass balance that the volume of the layer evolves as $-Ra\bar{q}/(Ra\epsilon) =$ ⁴³⁷ $-S/\epsilon$, implying that the stratified layer volume depends solely on S for constant volumetric ⁴³⁸ codensity source/sink ϵ . Accordingly, (16) reduces to

$$\delta^* = aRa^b_{\bar{a}}Ra^{-b} = a_\delta S^b, \tag{18}$$

assuming c = -b. Figure 9b shows the best fit to (18) found by minimizing (17) with S in place of $Ra^b_{\bar{q}}Ra^c$. The best-fitting coefficient and exponent become $(a_{\delta}, b) = (1.82, 1.2)$.

The only systematic discrepancies between stratified layer thickness and our scaling occur 441 at low S values, for cases with heterogeneous heat flux. This can be explained as follows. 442 The lateral heat flux variations produce a mix of regions that are convectively unstable below 443 the outer boundary and regions that are convectively stable. Because the thermal boundary 444 layer in convecting regions is thinner than the stratified layer in stable regions, the volume-445 averaged codensity field is biased toward the properties of the stable region. Accordingly, 446 the globally averaged stratified thickness is non-zero with strong heterogeneous boundary 447 conditions, even in cases with S=0. 448

The gravitational stability immediately below the CMB is measured by the squared buoyancy frequency defined in terms of CMB heat fluxes:

$$N_{cmb}^2 = \frac{\alpha g}{k} (q_{ad} - \bar{q}_{total}), \tag{19}$$

where q_{ad} and \bar{q}_{total} refer to the adiabatic and mean CMB heat fluxes, the latter including the 451 adiabatic contribution. The property values in Table A3 yield $N_{cmb}^2 = 2.4 \times 10^{-8} \text{ (rad/s)}^2$, so 452 that the ratio of the squared buoyancy frequency to the square of the Coriolis parameter is 453 approximately $(N_{cmb}/2\Omega)^2 \simeq 1.1$. However, this measure of the gravitational stability applies 454 only in a very limited depth range beneath the CMB, essentially within a thin boundary 455 layer region where the codensity gradient is conductive. In particular, it does not apply over 456 the entire depth range of the stratification, because the codensity gradient there is affected 457 by convection. 458

⁴⁵⁹ A better measure of the overall gravitational stability is the average of the buoyancy ⁴⁶⁰ frequency over the stratified region, given by (15). Figure 10 shows the dependence of ⁴⁶¹ N^{*2} defined by (15) on Ra, $Ra_{\bar{q}}$, and S for all cases with S < 0.4 The symbols and color ⁴⁶² scheme are the same as in Figure 9. Figure 10a shows that the best-fitting constants in a ⁴⁶³ power-law relationship with Ra and $Ra_{\bar{q}}$ similar to (16) are (a, b, c) = (1.1, 1.05, -1.07). Within ⁴⁶⁴ uncertainties, the condition c = -b again holds in this case, indicating that the gravitational 465 stability also depends primarily on S. The latter result implies that the mean stratification 466 across the layer is proportional to the stabilizing codensity gradient imposed at the CMB.

⁴⁶⁷ Refitting the stability data to

$$N^{*2} = a_N S^b \tag{20}$$

with b = 1 yields $a_N = 0.72$, the fit shown by the dashed line in Figure 10b. The deviations from linear scaling at $S \ge 0.4$ result from weak or non-existent convection from the inner core buoyancy source.

471 5 Extrapolation to the outer core

Table A3 gives values of the physical properties needed to calculate the stratification param-472 eter in the outer core according to the definition of S in (9). We use the core state model 473 described in Olson et al. (2015) with the adiabatic thermal gradient as modified by Labrosse 474 (2015), which for a thermal conductivity k=100 W/m/K gives and adiabatic heat flux at the 475 CMB of $q_{ad} = 96 \text{ mW/m^2}$. We select $\bar{q}_{total} = 79 \text{ mW/m^2}$, representative of the mean CMB 476 heat flux from mantle GCMs (Nakagawa and Tackley, 2015; Zhong and Rudolph, 2015), 477 which includes the adiabatic conductive contribution. Together these imply a subadiabatic 478 heat flux at the CMB, with $\bar{q} = \bar{q}_{total} - q_{ad} = -17 \text{ mW/m}^2$. The core state model then yields 479 $\dot{C}_o = 6.8 \times 10^{-16}$ kg/s for the rate of codensity change in the outer core due to the combined 480 effects of cooling and light element increase. 481

Substituting \dot{C}_o , \bar{q} , and the other parameter values from Table A3 into (9) yields a low 482 value of S=0.018 for an outer core viscosity of $\nu = 2 \times 10^{-6} \text{ m}^2/\text{s}$, an intermediate value of 483 S=0.064 for $\nu = 3.5 \times 10^{-6}$ m²/s and a high value of S=0.2 for an outer core viscosity of 484 $\nu = 2.2 \times 10^{-5} \text{ m}^2/\text{s}$. Here we have adjusted the diffusivity so that $\kappa = 10\nu$ in all cases. 485 Application of our scaling law (18) with $a_{\delta}=1.82$ and b=1.2 yields stratified layer thickness 486 predictions of $\delta = 52$ km, 230 km, and 920 km, respectively, for these three viscosity choices. 487 The stratified layer thickness also depends sensitively on \bar{q}_{total} . For example, reducing \bar{q}_{total} 488 to 50 mW/m² and assuming an outer core kinematic viscosity of $\nu = 2 \times 10^{-6}$ or $\nu = 7 \times 10^{-6}$ 489 m^2/s along with the other properties in Table A3, the predicted stratified layer thickness 490 would be 255 km or 1150 km, respectively. 491

The dependence of stratified layer thickness on viscosity in our scaling is a consequence of the definition of S, which is the ratio of two Rayleigh numbers, one based on the outer ⁴⁹⁴ boundary codensity flux, the other based on the rate of codensity change in the outer core as a ⁴⁹⁵ whole. It could be argued that Ohmic rather than viscous dissipation should control the layer ⁴⁹⁶ thickness in the Earth's core, and the dependence of layer thickness on viscosity is therefore ⁴⁹⁷ a model artifact. Nevertheless, the above range encompasses most previous estimates of the ⁴⁹⁸ thickness of the outer core stratified region, and as we demonstrate below, the gravitational ⁴⁹⁹ stability of the stratified layer predicted by our scaling is nearly independent of viscosity.

To apply our scalings to the gravitational stability of a stratified layer in the outer core, we combine (9) and (20) to get, in terms of dimensional properties,

$$N_{ave}^2 = a_N \frac{\alpha g}{k} (q_{ad} - \bar{q}_{total}).$$
⁽²¹⁾

The property values listed in Table A3 give $N_{ave}^2 = 1.7 \times 10^{-8} \text{ (rad/s)}^2$. Note that the layer 502 average gravitational stability (21) is reduced from its value immediately below the CMB 503 (20) by the factor $a_N=0.72$, but otherwise its dependence on the properties of the outer 504 core remains the same. Also, (21) implies that, unlike the thickness of the stratified layer, 505 the gravitational stability does not depend explicitly on the inner core buoyancy source or 506 the outer core viscosity. This is a consequence of our ignoring the (very weak) dependence 507 of N^{*2} on Ra in Figure 10. Finally, there appears to little dependence of the stratification 508 parameters on the Ekman number, especially the buoyancy frequency. For example, $N^{*2} =$ 500 0.0649 for the L2, $S = 0.1 E = 3 \times 10^{-5}$ case in Table A2, compared to an average value of 510 $N^{*2} = 0.0678$ all of the L2 S = 0.1 cases at $E = 1 \times 10^{-4}$, just a 4% change in gravitational 51 stability accompanying three-fold change in rotation rate. 512

513 6 Testing for outer core stratification

Our results show that the combination of subadiabatic average heat flux plus large amplitude lateral variations in heat flux generates an overall stable stratification below the CMB, yet allows for localized radial motions where the CMB heat flux is particularly large. This partial stratification contrasts with the usual assumption of homogeneously stratified conditions, in which radial motions would be suppressed uniformly.

Our partially stratified dynamos show clearly identifiable transitions in the structure of the time-averaged radial magnetic field in polar regions that correspond to increasing strength of stable stratification, starting from polar minima without stratification (or with weak stratification), to patches of intense field for moderate stratification, finally to lobes of intense field for stronger stratification. Dynamos with homogeneous outer boundaries lack permanent patch or lobe structures, and switch from polar minima to polar maxima with increasing stratification (Nakagawa, 2015).

The structural transitions we find with heterogeneous outer boundary conditions offer the possibility of inferring the stratification below the CMB based on the morphology of the time-averaged geomagnetic field on the CMB. In order to realize this possibility, however, it is necessary to image the high latitude geomagnetic field with sufficient resolution and over a sufficiently long period of time, in order to image its nonaxisymmetric structure. It is not obvious that current models of the time average geomagnetic field satisfy these requirements.

The present-day geomagnetic field on the CMB has been imaged up to a maximum spher-532 ical harmonic degree of approximately $\ell_{max}=14$, as shown in Figure 12a. At this resolution, 533 polar minima are clearly evident, and there is even reversed magnetic flux in the polar regions 534 of both hemispheres. The northern hemisphere structure has previously been interpreted as 535 evidence of a convective upwelling associated with a polar vortex (Olson and Aurnou, 1999). 536 When the geomagnetic field is time-averaged over the past 400 yr, as in field model gufm1 by 537 Jackson et al. (2000), both polar minima are strongly attenuated compared to the present-538 day, and the time-averaged field structure is more patch-like at high latitudes. This trend 539 continues in field reconstructions with increasingly long time averages. In archeomagnetic 540 field reconstructions such as CALS10k (Korte et al., 2011), time averaging over thousands of 541 years results in high latitude field structures that are distinctly more lobe-like, compared to 542 the present-day, with no expression of polar minima. Finally, paleomagnetic field reconstruc-543 tions that average the geomagnetic field over the past five million years (0-5Ma) typically 544 only show broad, reduced intensity lobe structures in the polar regions (Johnson and Consta-545 ble, 1995). But even these modest deviations from axial symmetry have been questioned, as 546 it remains unclear that the paleomagnetic data absolutely requires their existence (Johnson 547 and McFadden, 2015). 548

Superficially, the tendency for geomagnetic and paleomagnetic field reconstructions to exhibit broad, high latitude lobes with increasingly long time averaging would implicate moderate or strong stratification beneath the CMB, characterized by a stratification parameter S > 0.2, according to our results. However, there is an alternative interpretation. Disappearance of the polar intensity minima and the transition to broad lobe structures when averaged are taken over increasingly long times might simply be a consequence of a lack of adequate spatial coverage in the geomagnetic and paleomagnetic data, especially at high latitudes.

To illustrate this effect, Figure 11 shows time average radial magnetic fields on the outer 557 boundary from the Table A2 dynamo with $Ra = 6 \times 10^7$, L2 boundary heterogeneity and 558 neutral (S=0) stratification parameter. Panel a shows the field structure with truncation 559 at spherical harmonic degree and order $(\ell, m)_{max} = 24$; panel b is the same field with trun-560 cation at $(\ell, m)_{max}=12$, whereas panel c is the same field truncated at $(\ell, m)_{max}=6$. This 561 figure illustrates the hazards of using limited-resolution geomagnetic field representations 562 to interpret high latitude structure on the CMB in terms of outer core stratification. The 563 fully-resolved field structure is squarely in the M (polar minima) regime, as are the weakly 564 filtered images in Figure 11a and b. However, the strongly filtered image Figure 11c removes 565 the polar minima, making the high latitude field appear more like the L (lobe) regime. 566

Similar trends emerge when the present-day geomagnetic field is subjected to increasingly 567 severe spherical harmonic truncation. Figure 12 shows the radial component of the geomag-568 netic field on the CMB at epoch 2010 from the CHAOS field model (Olsen et al., 2014). Panel 569 a is the complete field model, with representation to spherical harmonic degree and order 570 $(\ell, m)_{max} = 14$. Intensity minima are evident at both poles at this resolution. Panels b and 571 c show the same field model truncated at spherical harmonic degree and order $(\ell, m)_{max}$ = 572 12 and 6, respectively. The polar minima are strongly attenuated with increasingly severe 573 truncation, such that the southern polar minima is completely removed at $(\ell, m)_{max} = 6$ in 574 panel c, replaced by two broad, high intensity lobes. Since the data coverage necessarily 575 degrades in going from present-day to historical to archeomagnetic to paleomagnetic time 576 scales, a plausible interpretation of the trends shown in Figures 11 and 12 is that the longer 577 time span geomagnetic reconstructions lack the resolution necessary to resolve the true high 578 latitude field structure, would lead to an over-estimation of the stratification parameter S, 579 and therefore would overestimate the amount of stratification presently below the CMB. 580

Because full resolution of the ancient core field is problematic, it is useful to consider simpler diagnostics that would indicate stratification. Axially symmetric departures from an axial dipole have been extensively investigated over the entire paleomagnetic record (Evans 1976; McFadden and Reid, 1982; Veikkolainen et al., 2014; Johnson and McFadden, 2015.) The general consensus is that the ratio of axial quadrupole to axial dipole Gauss coefficients,

denoted by G_{20} , is nonzero at several epochs, whereas the ratio of axial octupole to axial 586 dipole Gauss coefficients, denoted by G30, is quite small when averaged over the past 0-5 587 Ma, less than 0.05 in absolute value, with considerable debate concerning its sign. This is 588 generally consistent with the more recent history of G30, which is approximately -0.045 when 589 averaged over the past decade and even smaller when averaged over the past ten millennia, 590 -0.006 according to archeomagnetic field reconstruction CALS10k (Korte et al., 2011). When 591 averaged over separate polarity chrons, paleomagnetic inclination data indicate $G30 \simeq 0.01$ 592 during the Brunhes chron, but somewhat larger $G30 \simeq 0.05$ during the Matuyama chron 593 (Aubert et al., 2010). There is some evidence that G30 may have been far larger in the 594 Precambrian (Evans and Hove, 2007; Veikkolainen et al., 2014) but back then the influence of 595 inner core buoyancy release may have been less or non-existent. Indeed, numerical dynamos 596 with a passive inner core (Heimpel and Evans, 2013) or no inner core at all (Landeau et al., 597 2017) generally produce larger and more positive values of G30 compared to similar dynamos 598 with an active inner core. 599

The key question here is whether this evidence of a modest-sized G30 in the time-averaged 600 field is large enough to validate stable stratification beneath the CMB. According to Figure 601 8b, the observation that $G_{30} < 0.05$ when the geomagnetic field is averaged over the past 602 few million years suggests a stratification parameter beneath the CMB of S < 0.1. According 603 to (18), the size of G30 constrains the thickness of such a layer to 400 km or less, approxi-604 mately, and would seem to preclude very thick thermal stratification, such as the 1000 km 605 layer proposed by Gomi et al. (2013), for which $S \simeq 0.2$ and $G30 \simeq 0.15$. However, this con-606 clusion is based on a limited set of dynamo calculations, and further exploration of partially 607 stratified dynamos with different boundary conditions and control parameters is needed for 608 confirmation. 609

Core flow inversions offer another way to probe for outer core stratification in localized 610 regions beneath the CMB, including polar regions. Typically, flow core inversions based on 611 the assumption of frozen magnetic flux find westward zonal flow in the polar regions (e.g. 612 Eymin and Hulot, 2005; Amit and Olson, 2006), as in our low S cases, but unlike our higher 613 S cases. By this measure, our neutral S = 0 or stratified S = 0.1 dynamos seem more Earth-614 like in terms of core flow, compared to the larger S dynamos. Is this strong enough evidence 615 to say that the outermost core is at most weakly stratified? A recent inversion for core flow 616 concluded that a purely horizontal (i.e., toroidal) flow fails to explain the global geomagnetic 617

⁶¹⁸ SV (Lesur et al., 2015), but adding weak upwellings yields a better fit, a conclusion that is ⁶¹⁹ basically consistent with weak stratification. Similarly, an even more localized frozen flux ⁶²⁰ study by Chulliat et al. (2010) confined to the north polar region argued for radial magnetic ⁶²¹ diffusion supported by an underlying polar upwelling, i.e., at most weak stratification, in ⁶²² basic agreement with Olson and Aurnou (1999).

We can also compare our results with inferences of outer core stratification derived from 623 idealized analytical models of thermochemical convection and from dynamical interpretations 624 of the geomagnetic secular variation. According to the convection model of Lister and Buffett 625 (1998), the present-day core is predicted to have $\delta \simeq 190$ km of thermal stratification for 626 the adiabatic and total heat fluxes listed in Table 1. For these same heat fluxes their model 627 predicts a maximum value of $(N/2\Omega)^2 \simeq 0.5$ at the cmb, compared to our maximum value of 628 1.1 calculated using (19). Buffett (2014) has proposed that a portion of the time-dependent 629 zonal flow in the outer core as well as the time-dependent deviations from uniform decrease 630 of the historical geomagnetic dipole moment are expressions of MAC oscillations – waves in 631 the outer core governed by magnetic, buoyancy (i.e., Archimedes) and Coriolis forces that 632 propagate in the north-south direction within a layer beneath the CMB, their propagation 633 characteristics depending on the stratification in the layer. The best-fitting model for MAC 634 wave propagation determined by Buffett et al. (2016) consists of an approximately 140 km 635 thick layer with peak stratification immediately below the CMB given by $(N/2\Omega)^2 \simeq 0.21$ 636 and a layer average gravitational stability of $(N/2\Omega)^2 \simeq 0.1$, approximately. Their MAC 637 model stability is about 20% of our value and their stratified layer average value is about 638 13% of ours, based on (21). In terms of our scaling law (18) that relates the stratified layer 639 thickness to the stratification parameter, the Buffett et al. (2016) $\delta \simeq 140$ km corresponds 640 to $\delta^* \simeq 0.062$. Inverting (18) with a=1.82 and b=1.2 yields $S \simeq 0.042$. Referring to Figure 641 6, this value of the stratification parameter is expected produce a high latitude, time average 642 radial magnetic field structure of the M-type with polar minima, much like the present-day 643 geomagnetic field. For reference, such a stratified layer would have to increase to 600 km 644 or more in thickness in order to produce L-type lobes in the time average high latitude 645 geomagnetic field, according to our results. For the octupole field component, Figure 8 646 predicts a value of $G30 \simeq 0.08$ for S=0.04, somewhat higher than typically inferred from the 647 time-averaged paleomagnetic field over the past two polarity chrons, but probably within 648 the range of the combined dynamo model and observational uncertainties. 649

We conclude that a deep, thermally stratified layer beneath the CMB (nominally 1000 km 650 thick) would be detectable with our methods but is at variance with the observed structure of 651 the geomagnetic field. A thin thermally stratified layer beneath the CMB (a few hundred km 652 thick or less) is more consistent with observations but would be difficult to confirm using our 653 methods, because of uncertainties in long time average geomagnetic field reconstructions. 654 Detection of this amount of stratification may well be possible in the future, with better 655 resolution of the time-averaged geomagnetic field combined with more extensive modeling of 656 partially stratified dynamos. 657

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664 Author contributions

PO and ML defined the numerical dynamo parameter sweep; ER did the dynamo calculations, collected and organized the numerical data; PO and ML did the scaling analysis, interpretation, and wrote the paper.

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Appendix

⁸⁰¹ Governing equations

Using the notation from Section 2, we define the codensity in the outer core as the sum of a spatially uniform background state $(C_o + C_{icb})$ with a time-dependent part denoted by subscript o, plus a deviation from that state C, defined so that

$$C_o + C = \rho_o \left(\alpha (T_o + T) + \beta (\chi_o + \chi) \right), \tag{22}$$

where T and χ are the outer core variations in temperature and light element concentration, respectively. We take the background temperature to be adiabatic, with its mean value denoted by $T_o = T_{ad}$. The rate-of-change of the background codensity (assumed constant in each calculation) is then

$$\dot{C}_o = \rho_o \left(\alpha \dot{T}_{ad} + \beta \dot{\chi_o} \right). \tag{23}$$

Scaling length, time, codensity, and magnetic field with D, D^2/ν , $D^2\rho\beta\dot{\chi}_o/\nu$ and $\sqrt{\rho_o\Omega/\sigma}$ as in Section 2, the dimensionless Boussinesq equations of motion are

$$E\left(\frac{d\mathbf{u}^*}{dt^*} - \nabla^2 \mathbf{u}^*\right) + 2\hat{z} \times \mathbf{u}^* + \nabla P^* = EPr^{-1}Ra\left(\frac{\mathbf{r}}{r_{cmb}}\right)C^* + Pm^{-1}(\nabla \times \mathbf{B}^* \times \mathbf{B}^*), \quad (24)$$

811

$$\frac{\partial \mathbf{B}^*}{\partial t^*} = \nabla \times (\mathbf{u}^* \times \mathbf{B}^*) + Pm^{-1} \nabla^2 \mathbf{B}^*, \qquad (25)$$

812 and

$$\frac{dC^*}{dt^*} = Pr^{-1}\nabla^2 C^* + \epsilon, \qquad (26)$$

813 where

$$\epsilon = \frac{Ra_{ad}}{Ra} - 1 \tag{27}$$

814 in which

$$Ra = \frac{\beta g D^5 \dot{\chi}_o}{\nu^2 \kappa},\tag{28}$$

⁸¹⁵ as in Section 2, and

$$Ra_{ad} = -\frac{\alpha g D^5 T_{ad}}{\nu^2 \kappa} \tag{29}$$

is the Rayleigh number measuring the cooling of the core. The other dimensionless control
 parameters are

$$E = \frac{\nu}{\Omega D^2}; \quad Pr = \frac{\nu}{\kappa}; \quad Pm = \frac{\nu}{\eta}.$$
 (30)

Type	l	m	real^a	imag^a
L2	2	0	-0.0479	0.0000
L2	2	2	0.0526	-0.0447
L4	1	0	-0.0163	0.0000
L4	1	1	0.0232	-0.0248
L4	2	0	-0.0479	0.0000
L4	2	1	0.0054	-0.0258
L4	2	2	0.0526	-0.0447
L4	3	0	0.0253	0.0000
L4	3	1	-0.0059	0.0016
L4	3	2	0.0203	0.0107
L4	3	3	-0.0075	0.0333
L4	4	0	-0.0150	0.0000
L4	4	1	-0.0011	-0.0028
L4	4	2	-0.0035	-0.0006
L4	4	3	-0.0171	-0.0126
L4	4	4	0.0138	0.0092

Table A1: CMB Heat Flow Heterogeneity Coefficients

 \overline{a} Amplitude coefficients of fully normalized, complex spherical harmonics

Ra	S	L	CMB C^*	Min. C^*	δ^*	N^{*2}	Structure
1.0×10^7	0.1	4	0.704284	0.697211	0.1104	0.0640	M/P
$1.0 imes 10^7$	0.1	2	0.693749	0.68662	0.1033	0.0690	M
1.0×10^7	-0.1	2	0.517744	0.517744	0	NA	Μ
$1.0 imes 10^7$	-0.1	4	0.521391	0.521391	0	NA	Μ
1.0×10^7	0	2	0.600992	0.600992	0	NA	Μ
$1.0 imes 10^7$	0	4	0.604899	0.604899	0	NA	М
2.0×10^7	0.1	2	0.764032	0.757908	0.0922	0.0663	Μ
$2.0 imes 10^7$	0.2	2	0.862358	0.832471	0.2209	0.1352	Р
2.0×10^7	0.2	0	0.859579	0.822195	0.2417	0.1546	-
$2.0 imes 10^7$	0.3	2	0.978527	0.893147	0.3755	0.2273	\mathbf{L}
2.0×10^7	0.4	2	1.23117	1	0.6491	0.3561	Ν
2.0×10^7	0.5	2	1.51669	1	0.6491	0.7960	Ν
2.0×10^7	1	4	2.93827	1	0.6491	2.986	Ν
2.0×10^7	-0.1	2	0.643534	0.643534	0	NA	М
2.0×10^7	-0.1	0	0.643641	0.643641	0	NA	М
2.0×10^7	0	2	0.692374	0.692374	0	NA	М
3.0×10^7	0.1	2	0.803259	0.794543	0.1241	0.0702	Μ
3.0×10^7	0.2	2	0.882598	0.853013	0.2092	0.1414	Р
3.0×10^7	0.3	2	0.985372	0.898129	0.3853	0.2264	L
3.0×10^7	0.3	0	1.01468	0.920806	0.3963	0.2368	-
3.0×10^7	0.4	2	1.23201	1	0.6491	0.3574	Ν
3.0×10^7	0.5	2	1.51755	1	0.6491	0.7973	Ν
3.0×10^7	0	2	0.744316	0.744316	0	NA	Μ
4.0×10^7	0.1	2	0.824921	0.815779	0.1254	0.0729	М
4.0×10^7	0.2	2	0.896273	0.864884	0.2326	0.1349	Р
4.0×10^7	0.3	2	0.999349	0.913303	0.3866	0.2225	\mathbf{L}
$4.0 imes 10^7$	0.4	2	1.23271	1	0.6491	0.3585	Ν
4.0×10^7	0.5	2	1.51825	1	0.6491	0.7984	Ν
4.0×10^7	-0.1	2	0.73243	0.73243	0	NA	М
4.0×10^7	0	2	0.775396	0.775396	0	NA	М
$5.0 imes 10^7$	0.1	2	0.840957	0.830989	0.1487	0.0669	М
5.0×10^7	0.2	2	0.9071	0.8764	0.2371	0.1294	Р
$5.0 imes 10^7$	0.3	2	1.00609	0.919471	0.3892	0.2225	\mathbf{L}
5.0×10^7	0.4	2	1.23331	1	0.6491	0.3594	Ν
5.0×10^7	0.5	2	1.51883	1	0.6491	0.7993	Ν

Table A2: Dynamo Cases

Table	A2:	Continued
Table	$\pi 2.$	Commueu

Ra	S	L	CMB C^*	Min. C^*	δ^*	N^{*2}	Structure
6.0×10^7	0	2	0.812963	0.812782	0.0571	0.0032	М
$6.0 imes 10^7$	0	0	0.815215	0.81507	0.0331	0.0044	Μ
$6.0 imes 10^7$	0.1	2	0.852811	0.844548	0.1273	0.0648	М
$6.0 imes 10^7$	0.1	0	0.856437	0.846691	0.1383	0.0704	Μ
$6.0 imes 10^7$	0.2	2	0.913068	0.882692	0.2495	0.1217	Р
$6.0 imes 10^7$	0.2	0	0.919266	0.884893	0.2657	0.1293	-
$6.0 imes 10^7$	0.3	2	1.01299	0.9276	0.3788	0.2254	L
$6.0 imes 10^7$	0.3	0	1.02607	0.93166	0.4041	0.2336	-
6.0×10^7	0.4	2	1.23071	1	0.6491	0.3554	Ν
$6.0 imes 10^7$	0.4	0	1.24977	1	0.6491	0.3848	Ν
6.0×10^7	0.5	2	1.51621	1	0.6491	0.7953	Ν
$6.0 imes 10^7$	0.5	0	1.53475	1	0.6491	0.8238	Ν
6.0×10^7	-0.1	2	0.777253	0.777253	0	NA	Μ
$6.0 imes 10^7$	-0.1	0	0.77527	0.77527	0	NA	Μ
7.0×10^7	0	2	0.822773	0.822546	0.0506	0.0045	Μ
7.0×10^7	0.1	2	0.865818	0.854998	0.1455	0.0743	Μ
7.0×10^7	0.2	2	0.922185	0.889587	0.2741	0.1189	P/M
7.0×10^7	0.3	2	1.0149	0.929291	0.3905	0.2192	L
$8.0 imes 10^7$	0.1	2	0.873372	0.862076	0.1624	0.0695	Μ
8.0×10^7	0.2	2	0.927032	0.89635	0.2534	0.1211	Μ
$8.0 imes 10^7$	0.3	2	1.01797	0.932767	0.3820	0.2230	L
9.0×10^7	0	2	0.84325	0.842954	0.0760	0.0039	Μ
$9.0 imes 10^7$	0.1	2	0.877763	0.868198	0.1539	0.0621	Μ
9.0×10^7	0.2	2	0.929826	0.897254	0.2534	0.1285	Μ
$9.0 imes 10^7$	0.3	2	1.01873	0.933266	0.3801	0.2248	L
1.0×10^8	0.05	2	0.865793	0.861533	0.1117	0.0381	Μ
$1.0 imes 10^8$	0.1	2	0.886055	0.874903	0.1754	0.0636	Μ
1.0×10^8	0.15	2	0.90753	0.888956	0.1903	0.0976	Μ
$2.0\times 10^{8~a}$	0.1	0	0.85105	0.84252	0.120	0.0710	Μ
2.0×10^{8} ^a	0.1	2	0.85196	0.84456	0.114	0.0649	Μ
2.0×10^{8} a	0.1	4	0.85411	0.84590	0.127	0.0646	М

^{*a*} Cases with $E = 3 \times 10^{-5}$; all others with $E = 1 \times 10^{-4}$.

Table A3: (Core Properties
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Input Properties	Notation	Value
ICB radius	$r_{\rm icb}$	1220 km^a
CMB radius	$r_{ m cmb}$	3480 km^a
Density at core center	$ ho_c$	$12500 \text{ kg.m}^{-3 a}$
Density at zero pressure	ρ_0	7500 kg.m^{-3}
Mean core density	$ ho_o$	$11040 \text{ kg.m}^{-3 a}$
Gravity at the CMB	g	$10.68 \text{ m.s}^{-2} a$
Compositional density jump at the ICB	$\Delta \rho$	$500 \text{ kg.m}^{-3 b}$
Incompressibility at zero pressure	K_0	$4.75 \times 10^{11} \text{ Pa}$
Melting temperature at the ICB	T_{melt}	5800 K ^c
Entropy of melting	ΔS	$120 \text{ J.kg}^{-1}.\text{K}^{-1} d$
Grüneisen parameter	γ	1.5^{e}
Specific heat	C_p	$850 \text{ J.kg}^{-1}.\text{K}^{-1} e$
Thermal expansion coefficient	α	$1.3 \times 10^{-5} \mathrm{K}^{-1} e$
Compositional expansion coefficient	β	1
Thermal conductivity	k	$100 \text{ W.m}^{-1}.\text{K}^{-1}$
Density length scale	$r_{ ho}$	6600 km^a
Temperature length scale	r_T	6040 km^{c}
Outer core light elements	χ	$9.8 { m wt.\%} {}^f$
Mass of the core	M_c	$1.95 \times 10^{24} \text{ kg}$
Outer core kinematic viscosity	ν	$(2,7,22) \times 10^{-6} \text{ m}^2.\text{s}^{-1} g$
Outer core diffusivity	κ	10ν
Mean CMB heat flux	\bar{q}_{total}	$79 mW.m^{-2}$
Adiabatic CMB heat flux	q_{ad}	$96 \ mW.m^{-2}$
Output Parameters	Notation	Value
Light element concentration change rate	$\dot{\chi}_o$	$3.2 \times 10^{-16} \text{ s}^{-1}$
Cooling rate	\dot{T}_o	$-3.7 \times 10^{-15} \text{ K.s}^{-1}$
Codensity change rate	\dot{C}_o	$6.8 \times 10^{-16} \text{ kg s}^{-1}$
Codensity sink	ϵ	-0.8
Rayleigh number	Ra	$(9 \times 10^{29}, 2 \times 10^{28}, 6 \times 10^{26})$
Stratification parameter	S	(0.018, 0.064, 0.2)
Stratified layer thickness	δ	(52, 230, 920) km
Stratified layer stability (CMB, ave.)	N^2	$(2.4, 1.7) \times 10^{-8} \text{ rad}^2 \text{.s}^{-2}$

^{*a*} Dziewonski and Anderson (1981); ^{*b*} Masters and Gubbins (2003); ^{*c*} Ancellini et al. (2013); ^{*d*} Poirier (1990); ^{*e*} Vocadlo et al. (2003); ^{*f*} Hirose et al. (2013); ^{*g*} Perriallt et al. (2010).



Figure 1: Core-mantle boundary heat flux patterns L2 (a) and L4 (b) used in this study.



Figure 2: Regime diagram showing numerical dynamos used in this study as a function of the primary control parameters Ra and S. Insert shows symbols that refer to the type of outer boundary heterogeneity.



Figure 3: Equatorial plane and global Aitoff projection views of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^7$ with L0 boundary heterogeneity, for boundary stratification parameters S=-0.1 (left column) and S=0.2 (right column). From top to bottom images show equatorial plane codensity (a,d), radial velocity (b,e) and azimuthal velocity at $0.95r_{cmb}$ (c,f). Velocity scales are in dimensionless Reynolds number uD/η units, u being the appropriate dimensional velocity component. Longitude increases anti-clockwise starting from the right edge (3 pm) in each equatorial image and to the right of the centerline in the global projections.



Figure 4: Equatorial plane and global Aitoff projections of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^{7}$ with L2 boundary heterogeneity, for boundary stratification parameters S=-0.1 (left column) and S=0.2 (right column). From top to bottom images show equatorial plane codensity (a,d), radial velocity (b,e) and azimuthal velocity at $0.95r_{cmb}$ (c,f). Velocity scales are in Reynolds number units, as in Figure 3. Longitude increases anticlockwise starting from the right edge (3 pm) in each equatorial image and to the right of the centerline in the global projections. Plus (+) and minus (-) signs indicate the longitudes of maximum and minimum boundary heat flux, respectively.



Figure 5: Global Aitoff projections and zonal averages of the time average dynamo structure at $E = 1 \times 10^{-4}$ and $Ra = 6 \times 10^7$ with L2 boundary heterogeneity, for boundary stratification parameters S=0 (left column), S=0.2 (middle column) and S=0.3 (right column). Top row images (a,d,g) show radial magnetic field at r_{cmb} . Middle row images (b,e,h) show radial velocity at $0.95r_{cmb}$. Bottom row images (c, f, i) show zonal averages of codensity (C), meridional streamlines over azimuthal velocity contours (U), and poloidal magnetic field lines over azimuthal field contours, , with (blue,red) and (dashed, solid) contours indicating (negative, positive) values, respectively. Magnetic field scale bars are in dimensionless Elsasser number $\sqrt{\sigma/\rho_o\Omega B_r}$ units, where σ is electrical conductivity; likewise the velocity scale bars are in dimensionless Reynolds number uD/η units, u being the appropriate dimensional velocity component. Longitude increases to the right of the centerline in the global projections.



Figure 6: Regime diagram showing $E = 1 \times 10^{-4}$, L2 dynamo results for the time average radial magnetic field structure on the outer (core-mantle) boundary at high latitudes.



Figure 7: Polar views of time-averaged radial magnetic fields on the outer boundary from the dynamos in Figure 5. Solid black lines indicate bounding contours used for labeling field structures. a: Polar minimum M-structure with S=0 stratification parameter; b: Patch Pstructure with S=0.2; c: Lobe L-structure with S=0.3. Magnetic intensity scale bars are in dimensionless Elsasser number units; red crosses mark the time-averaged geomagnetic pole.



Figure 8: a: Axially symmetric (m=0) Gauss coefficient ratios versus spherical harmonic degree from time averaged dynamos with $Ra = 6 \times 10^7$ and L2 boundary heterogeneity, for various stratifications. b: Gauss coefficient ratio G30 versus stratification parameter S for the same dynamos. M,P,L denote polar minima, patches, and lobes, respectively, in the high latitude magnetic field structure. Stable/unstable refers to the stratification below the outer boundary.



Figure 9: a: Dimensionless thickness of the stratified layer as a function of its best fit in terms of $Ra_{\bar{q}}$ and Ra as defined in the main text. The layer thickness is normalized by the core radius such that its maximum value is 0.6491. b: Dimensionless thickness of the stratified layer as a function of $S = Ra_{\bar{q}}/Ra$ alone. Dotted lines shows the least square best fits. Circles, squares and crosses denote L0, L4 and L2 boundary conditions, respectively. The symbol color varies progressively with Ra from light grey for $Ra = 10^7$ to black for $Ra = 10^8$. Simulations with S > 0.4 have been excluded for these fits since the stratified layer reaches its maximal value near S = 0.4 (where it invades the whole outer core).



Figure 10: a: Dimensionless stability parameter as a function of its best fit in terms of $Ra_{\bar{q}}$ and Ra as defined in the main text. b: Same parameter as a function of $S = Ra_{\bar{q}}/Ra$ alone. Dotted lines shows the least square best fits. Circles, squares and crosses denote L0, L4 and L2 boundary conditions, respectively. Colors denote the different regimes for the time-averaged magnetic field structures: red for minima (regime M), green for patches (regime P), blue for lobes (regime L) and black for no dynamo (N). The symbol color varies progressively with Ra from light grey for $Ra = 10^7$ to black for $Ra = 10^8$. Simulations with $S \ge 0.4$, in which the layer invades the whole outer core have been excluded from the fits.



Figure 11: Time average radial magnetic fields on the outer boundary from the dynamo case with $Ra = 6 \times 10^7$ and L2 boundary heterogeneity and neutral (S=0) stratification parameter. a: truncation at spherical harmonic degree and order $(\ell, m)_{max} = 24$; b: truncation at $(\ell, m)_{max} = 12$; c: truncation at $(\ell, m)_{max} = 6$.



Figure 12: Radial magnetic fields on the CMB from the CHAOS4 2010 geomagnetic field model (Olsen et al., 2014) a: truncation at spherical harmonic degree and order $(\ell, m)_{max} =$ 14; b: truncation at $(\ell, m)_{max} = 12$; c: truncation at $(\ell, m)_{max} = 6$.