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Dynamo tests for stratification below the core-mantle boundary

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Abstract

Evidence from seismology, mineral physics, and core dynamics suggests a layer with an overall stable stratification in the Earth's outer core, possibly thermal in origin, extending below the core-mantle boundary (CMB) for several hundred kilometers. Yet vigorous deep mantle convection with locally elevated heat flux implies unstable thermal stratification in some regions below the CMB, consistent with interpretations of non-dipole geomagnetic field behavior that favor upwelling flows in places below the CMB. To resolve this apparent inconsistency, we investigate the structure of convection and magnetic fields in the core using numerical dynamos with laterally heterogeneous boundary heat flux. Strongly heterogeneous boundary heat flux generates localized convection beneath the CMB that coexists with an overall stable stratification there. Our partially stratified dynamos are distinguished by their time average magnetic field structures. Without stratification or with stratification confined to a thin layer, the octupole component is small and the CMB magnetic field structure includes polar intensity minima. With more extensive stratification, the octupole component is large and the magnetic field structure includes intense patches or high intensity lobes in the polar regions. Comparisons with the time-averaged geomagnetic field are generally favorable for partial stratification in a thin (< 400 km) layer but unfavorable for stratification in a thick (~ 1000 km) layer beneath the CMB.

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1 Introduction

The possibility of a distinct layer below Earth’s core-mantle boundary (CMB), Braginsky’s (1993) so-called *hidden ocean*, has been the subject of numerous studies using a variety of seismic, geomagnetic, and mineral physics approaches, with the twin objectives of resolving the properties of this layer and understanding its dynamical significance. The majority of these studies conclude that the layer extends one to two hundred kilometers below the CMB (Whaler, 1980; Lay and Young, 1990; Gubbins, 2007; Tanaka, 2007; Buffett, 2014) although some claim it extends to far greater depths, perhaps three hundred kilometers (Helffrich and Kaneshima, 2010; Gomi et al., 2013; Tang et al., 2015) or more (Kaneshima, 2017). Interpretations include stable (subadiabatic) thermal stratification (Gomi et al., 2013; Buffett, 2014) as well as stable compositional stratification due to excess light element concentrations in the layer (Helffrich and Kaneshima, 2013; Gubbins and Davies, 2013).

It is important to point out, however, that not every study supports the existence of such a layer, or at least, there are reasons to doubt that the region below the CMB is uniformly stable to all convective motions. Interpretations of the geomagnetic secular variation are most easily accommodated by core flows including upwelling and downwelling motions that extend to within 100 km below the CMB or shallower (Gubbins, 2007; Amit, 2014; Lesur et al., 2015)). Likewise, the proliferation and rapid evolution of reverse flux spots in the present-day geomagnetic field on the CMB (Olsen et al., 2014) argue for flux expulsion related to upwellings and downwellings (Olson and Amit, 2006).

In addition, it is necessary to consider the effects of the mantle circulation on the geodynamo. Mantle global circulation models (Zhang and Rudolph, 2015; Nakagawa and Tackley, 2013; 2015) predict vigorous deep mantle convection with locally elevated heat flux that is large enough to sustain unstable thermal stratification in some regions beneath the CMB (Olson et al., 2015), even if recent estimates of high thermal conductivity in the core (Ohata et al., 2016) apply. Alternatively, with lower thermal conductivity (Konopkova et al., 2016), thermal conditions may be unstable everywhere, but in that case a small accumulation of light elements at the top of the core (Buffett and Seagle, 2010) could provide the stable stratification.

These issues raise the question of whether it is possible to reconcile seemingly contradictory inferences: a layer providing overall stable stratification on the one hand, with radial

motions in the fluid outer core below the CMB on the other. In this paper we address this ap-
 parent incongruity using numerical dynamos with a particular suite of boundary conditions
 that (1) model inner core boundary buoyancy release as the source of the main convection,
 (2) produce an overall (i.e., spherically-averaged) stable thermal stratification below the
 outer boundary, and (3) generate strong lateral heterogeneity in the stratification, including
 localized convection. We find that this combination of boundary conditions implies a style
 of convection in the outer core that dynamically maintains stably stratified conditions in
 limited regions below the CMB, yet allows for radial motions in places as well as generating
 a dipole-dominant magnetic field. We call these *partially stratified dynamos*. In addition, we
 demonstrate that partially stratified dynamos have distinctive high latitude magnetic field
 structures, allowing the strength of the stratification below the CMB to be inferred remotely,
 using the geomagnetic field on the CMB.

Our study is limited to the types of stratification that are produced when the destabilizing
 effects of inner core boundary buoyancy release are comparable in the stratified region to the
 stabilizing effects of subadiabatic CMB heat flux. This regime has been explored previously
 using numerical dynamos with homogeneous outer boundary conditions (Christensen and
 Wicht, 2008; Nakagawa, 2011, 2015) and magnetoconvection models (Takehiro and Sasaki,
 2017). It has been shown that stable stratification tends to filter the non-axisymmetric
 non-dipolar fields, and if the stratified layer is thick, also reduces the intensity of the axial
 dipole field (Christensen and Wicht, 2008; Nakagawa, 2011; 2015). Christensen (2016) used
 combinations of subadiabatic mean boundary heat flux plus lateral boundary variations
 to produce dynamos with stratification extending below the CMB to 20-40% of the outer
 core depth. Under these conditions he finds thin horizontal circulations that mediate the
 boundary heat flux heterogeneity, but little mixing of the stratification. In contrast, stratified
 magnetoconvection calculations by Takehiro and Sasaki (2017) produce strong flows capable
 of penetrating most of the stable region.

The stratification analyzed in this study refers to radial density gradients that deviate
 from adiabatic (i.e., uniform entropy) thermal conditions. Temperature gradients resulting
 from self-compression of the fluid are therefore excluded from our dynamo calculations, and
 must be factored in before comparing our results to Earth’s core. Dynamos that explicitly
 include adiabatic density and thermal gradients due to compressibility of the fluid also show
 stratification effects, particularly when the adiabatic density variation is large across the

fluid (Jones et al., 2011; Gastine et al., 2012; Yadav et al., 2013). Because the density scale height of the outer core is greater than its depth, the direct effects of compressibility are not included in our dynamos. In addition, our study does not consider the situation in which the stabilizing effects of stratification vastly outweigh the destabilizing effects of inner core growth, as would be the case for strong, pre-existing compositional stratification (Landeau et al., 2016) or compositional stratification that develops over time through rapid chemical diffusion (Nakagawa, 2017). With such strong stratification, lateral variations in heat flux at the CMB would likely play a more limited role in determining the global structure of the outer core and its overall dynamical behavior.

2 Partially stratified dynamos

To model dynamo action with thermal and compositional buoyancy originating at the inner core boundary (ICB) due to inner core growth plus dynamically-regulated thermal stratification below the CMB, all within the context of the Boussinesq approximation, we follow standard procedures (Jones, 2007), defining the codensity C in the outer core as the sum of densities due to temperature and light element concentration:

$$C = \rho_o (\alpha T + \beta \chi), \quad (1)$$

where ρ_o is outer core average density, T is temperature relative to the core adiabat, χ is the outer core light element concentration relative to its mean, and α and β are volumetric expansion coefficients for T and χ , respectively. We let $\dot{\chi}_o$ and \dot{T}_o denote the time rate-of-change of the mean (volume-averaged) light element concentration and temperature of the outer core, each assumed to be constant over the time span of a single dynamo simulation, so that $\dot{C}_o = \rho_o(\alpha\dot{T}_o + \beta\dot{\chi}_o)$ is the volume-averaged rate-of-change of codensity over a simulation, also assumed constant. Further, let Ω denote angular velocity of Earth's rotation, g gravity at the CMB, $D = r_{cmb} - r_{icb}$ the depth of the outer core fluid, r_{cmb} and r_{icb} being the radii of the CMB and the ICB, respectively, and let ν and κ denote outer core kinematic viscosity and codensity diffusivity, respectively.

With these definitions, the Boussinesq equations for conservation of momentum including rotation, conservation of mass, and codensity transport in a rotating spherical shell (see

Appendix) include the following dimensionless control parameters:

$$E = \frac{\nu}{\Omega D^2}; \quad Pr = \frac{\nu}{\kappa}; \quad Ra = \frac{\beta g D^5 \dot{\chi}_o}{\nu^2 \kappa}. \quad (2)$$

Here E is the Ekman number, Pr is the Prandtl number, and Ra is the Rayleigh number, and the factors D , D^2/ν and $D^2 \rho \beta \dot{\chi}_o / \nu$ scale length, time, and codensity variations, respectively. Two additional control parameters appearing in the magnetic induction equation and the codensity equation are the magnetic Prandtl number Pm and the dimensionless volumetric codensity source/sink ϵ that quantifies the rate of buoyancy absorbed in the outer core from the mixing of light elements, secular cooling of the outer core, curvature of the core adiabat, and radioactive heat sources. Our dynamos are driven by the combination of light element release at r_{icb} and secular cooling, so that

$$\epsilon = -(1 + \frac{\alpha \dot{T}_o}{\beta \dot{\chi}_o}). \quad (3)$$

The magnetic Prandtl number Pm is defined by

$$Pm = \frac{\nu}{\eta} \quad (4)$$

where η is the magnetic diffusivity of the outer core. Magnetic fields are scaled by $\sqrt{\rho_o \Omega / \sigma}$, where σ is electrical conductivity.

Additional control parameters arise in defining the boundary conditions. At the ICB we assume no-slip velocity conditions and a uniform codensity, C_{icb} . At the CMB also assume no-slip velocity conditions, zero compositional flux, and we specify the heat flux there to be the sum of a spherical mean part (denoted by an overbar) and a deviation from the spherical mean (denoted by a prime):

$$q = \bar{q} + q'(\phi, \theta) \quad (5)$$

where ϕ and θ are longitude and co-latitude, respectively, and \bar{q} is measured relative to the heat flux down the core adiabat, with $\bar{q} > 0$ being superadiabatic heat flux and $\bar{q} < 0$ being subadiabatic heat flux. The variable q' in (5) specifies the pattern and the amplitude of the CMB heat flux heterogeneity. In the same way we can write the codensity as the sum of a spherical mean part \bar{C} and a laterally varying part C' . Then using (1) and (5) and assuming Fourier's law for conduction, the spherical mean and laterally varying codensity gradients on the CMB can be written as

$$\frac{\partial \bar{C}}{\partial r} = - \left(\frac{\alpha \rho_0}{k} \right) \bar{q} \quad (6)$$

141 and

$$\frac{\partial C'}{\partial r} = - \left(\frac{\alpha \rho_0}{k} \right) q' \quad (7)$$

142 where r is the radial coordinate and k is the thermal conductivity of the outer core, assumed
143 constant. In dimensionless form (6) and (7) become

$$\frac{\partial \bar{C}^*}{\partial r^*} = \frac{Ra_{\bar{q}}}{Ra} = S, \quad (8)$$

144 where

$$S = - \left(\frac{\alpha \nu}{D k \dot{\chi}_o} \right) \bar{q} \quad (9)$$

145 is the dimensionless stratification parameter we use in this study, and

$$\frac{\partial C'^*}{\partial r^*} = \frac{Ra_{q'}}{Ra} L(\theta, \phi) = S' L. \quad (10)$$

146 In (8)

$$Ra_{\bar{q}} = - \left(\frac{\alpha g D^4}{k \nu \kappa} \right) \bar{q} \quad (11)$$

147 is the Rayleigh number based on the spherical mean CMB heat flux, and in (10)

$$Ra_{q'} = \frac{\alpha g D^4}{k \nu \kappa} \Delta q' \quad (12)$$

148 is the Rayleigh number based on the peak-to-peak variation $\Delta q'$ of the laterally varying
149 CMB heat flux, $L(\theta, \phi)$ being the pattern (or planform) of its lateral variation in terms of
150 colatitude θ and longitude ϕ , S' is the boundary heterogeneity counterpart to S , and the
151 asterisks denote dimensionless variables. Note that both S and $Ra_{\bar{q}}$ are defined to be positive
152 when \bar{q} is negative, i.e., when the mean CMB heat flux is stabilizing.

153 In this study we restrict consideration to dynamos with $Pr = 1$, $Pm = 6$, and $\epsilon =$
154 -0.8 , the latter appropriate for dominantly compositional convection but with some secular
155 cooling. In most cases we choose Ekman numbers $E = 1 \times 10^{-4}$, with a few cases at
156 $E = 3 \times 10^{-5}$. We fix the aspect ratio of the fluid outer core to be $r_{icb}/r_{cmb} = 0.351$.
157 The solid region $r \leq r_{icb}$ representing the inner core is assumed to have the same electrical
158 conductivity σ as the fluid, and the solid region $r \geq r_{cmb}$ representing the mantle is assumed
159 to be electrically insulating.

160 By fixing the codensity on the inner boundary, we allow the codensity flux there to freely
161 adjust, which permits it to respond to the heterogeneous CMB heat flux in a dynamically
162 consistent way. For modeling convective interaction between the inner core and the mantle,

this boundary condition has advantages over other simplified boundary conditions, such as fixing the ICB codensity flux *a-priori*, because it naturally yields lateral variations in the ICB codensity flux, which is a dynamo model proxy for lateral variations in the rate of inner core growth. Its main disadvantage in the context of our study is that it requires two global Rayleigh numbers with different definitions to characterize these dynamos: $Ra_{\bar{q}}$ for the CMB forcing that depends inversely on viscosity and Ra for the ICB forcing that depends inversely on viscosity squared. Their ratio, the stratification parameter S , therefore depends linearly on the fluid viscosity, which unfortunately is poorly constrained in the outer core. Similarly, by setting the sink function ϵ to a constant we let the dynamics determine the thickness and the gravitational stability of the stratified region, through interactions between the control parameters Ra , S , S' . An alternative approach is to prescribe the thickness of the stratification by tuning the sink function, as in Nakagawa (2015). Later we show that these two approaches yield broadly consistent results in terms of the time average dynamo magnetic field structure.

We examine dynamo action over ranges of the control parameters Ra and S , for three different CMB heat flux planforms L . The first planform, denoted by $L0$, corresponds to uniform CMB heat flux, with $C'^* = 0$ everywhere on the outer boundary. These uniform boundary cases serve as references for the heterogeneous boundary cases. The second planform, denoted by $L2$, is defined by C'^* on the outer boundary consisting of spherical harmonic degree two components, and is shown in Figure 1a. The third planform, denoted by $L4$, consists of C'^* components up to and including spherical harmonic degree four, is shown in Figure 1b.

The $L4$ planform in Figure 1b was obtained from the present-day CMB heat flux pattern produced by the mantle GCM (mantle global circulation model) HF5 of Rudolph and Zhong (2014) and Zhong and Rudolph (2015), after truncating that CMB heat flux pattern at spherical harmonic degree and order four. The mantle GCM HF5 includes variable mantle viscosity, compositionally dense material at the mantle base, plus plate motion surface velocity constraints starting around 400 Ma and continuing to present-day. This particular mantle GCM has been used by Olson et al. (2015) to model core evolution. The mean and standard deviation of its present-day CMB heat flux are 79 ± 24 mW/m², and its maximum and minimum are 131 and 36 mW/m², respectively. The $L2$ planform in Figure 1a is a modified version of $L4$ planform using only spherical harmonic degree $\ell=2$ components at orders

$m=0$ and $m=2$, adjusted to create a pattern with bilateral (i.e., 2-fold) azimuthal symmetry. The $L2$ pattern in Figure 1a corresponds to the largest scale, lower mantle heterogeneity structure advocated by some seismologists (Dziewonski et al., 2010). It has the same mean value as the $L4$ pattern, whereas its extreme values are 137 and 31 mW/m², respectively. It is essentially the same planform used by Olson and Amit (2015) in their study of the effects of dense basal mantle piles on magnetic polarity reversal behavior.

The stratification parameter S in our dynamos are determined according to (8) and (9). The amplitudes of the boundary heterogeneity S' of the $L2$ and $L4$ patterns in our dynamos are scaled using the following ratio:

$$\Gamma = |S'L| \left(\frac{\partial \bar{C}^*}{\partial r_{icb}^*} \right)^{-1}, \quad (13)$$

where $||$ denotes peak-to-peak variation. The Γ ratio can be estimated by combining the results of our mantle GCM with a model of the thermodynamic state of the core. We use a core state model with the CMB heat flux obtained from the mantle GCM (which includes an adiabatic part, assumed to be 96 mW/m²) to estimate the implied CMB and ICB codensity fluxes and hence the ratio Γ . We find that the mean cmb heat flux from mantle GCMs with plate motion constraints (Zhong and Rudolph, 2015) is typically comparable to the adiabatic core heat flux, such that $\Gamma \simeq (r_{icb}/r_{cmb})^2 \simeq 0.123$, approximately. We calculate the spherical mean ICB codensity flux from our numerical dynamo at a given Ra with $Ra_{\bar{q}} = Ra_{q'}=0$, and then adjust $|S'L|$ in (13) so that $\Gamma=0.123$ for that dynamo. The above steps yield a constant value of $|S'L|=0.58$ for all the $L2$ dynamo cases. A similar procedure is followed for the $L4$ dynamo cases. Amplitude coefficients of the spherical harmonics that generate these $L2$ and $L4$ heat flux planforms for our dynamos are given in Table A1.

We have carried out a systematic parameter sweep of stratified thermochemical convection and dynamo action with the control parameters just described, using the MAGIC code (Wicht, 2002), varying the parameters Ra and S for the three outer boundary heat flux heterogeneity patterns $L0$, $L2$ and $L4$. Table A2 summarizes the control parameter ranges of our sweep. Most of the calculations were done at $E = 1 \times 10^{-4}$ and run for at least 5 viscous diffusion times in order that the run averages approximate true time averages. For these calculations we used a numerical grid with $(n_r, n_\theta, n_\phi) = (81, 128, 256)$ in the fluid shell and spherical harmonic truncation $(\ell, m)_{max}=85$. We also ran one case at $Ra = 2 \times 10^7$ with $S=1.0$, which was strongly subcritical for convection. The majority of our calculations

used the $L2$ boundary heterogeneity, although uniform $L0$ as well as some $L4$ cases were included for comparison purposes. Overall, we found that the $L4$ cases mostly added shorter wavelength heterogeneity to the $L2$ case results, somewhat complicating their interpretation without changing their behavior in a fundamental way. We also computed three $S = 0.1$ cases at $E = 3 \times 10^{-5}$ with $L0$, $L2$, and $L4$ boundary heterogeneity, respectively, which are given in in Table A2. For the smaller E calculations we used a numerical grid with $(n_r, n_\theta, n_\phi) = (121, 192, 384)$ in the fluid shell and spherical harmonic truncation $(\ell, m)_{max}=128$.

3 Dynamo results

Figure 2 shows $E = 1 \times 10^{-4}$ cases in terms of the control parameters we varied. Axes are the Rayleigh number Ra and the stratification parameter S , defined as positive for stable boundary stratification and negative for unstable boundary stratification. The dashed line marks neutral (i.e., adiabatic) boundary flux cases. $L0$ (squares), $L2$ (crosses) and $L4$ (circles) denote spherical harmonic representation of the outer boundary heat flux heterogeneity as described in the previous section.

The combined effects on the fluid motions of stable stratification and lateral boundary heterogeneity can be seen by comparing Figures 3 and 4, which show equatorial plane and global surface views of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^7$, with $L0$ (uniform) and $L2$ boundary heterogeneity, respectively, for two stratification parameters. With a uniform outer boundary, the time average codensity is spherically symmetric for both stabilizing (Figure 3a, b, c) and destabilizing (Figure 3d, e, f) stratification parameter. The only difference between the two cases is the presence of stable stratification in the $S=0.2$ case, extending downward from the outer boundary for a distance equal to about one quarter of the fluid shell depth. This stable stratification has major influences on the fluid velocities, as can be seen in the radial (u_r) and azimuthal (u_ϕ) images in Figure 3. In both cases the radial velocities are highest near the inner boundary, where the buoyancy release is greatest, but in the $S=0.2$ case the radial velocities are truncated at the depth where stratification begins, and fail to penetrate to the outer boundary. Comparable differences are seen in the azimuthal velocity near the outer boundary, where in the $S=-0.1$ case the highest velocities occur at high latitudes, within and near the inner core tangent cylinder, whereas in the $S=0.2$ case the highest velocities occur in a retrograde (westward flowing) equatorial jet.

Figure 4 has the same control parameters as Figure 3 but with $L2$ boundary heterogeneity added. In the destabilizing $S=-0.1$ case the stratification is highly destabilizing below the regions of higher than average boundary heat flux, and beneath these regions the time average radial velocity is strongly negative (downward flow). Conversely, below the regions of lower than average boundary heat flux the stratification is weakly stable and the time average radial velocity is positive (upward flow), from the inner boundary all the way to the outer. In contrast, in the $S=0.2$ case the thermal stratification is stabilizing at all longitudes immediately below the outer boundary and the radial velocity pattern is layered. Downwellings located below high boundary heat flux regions penetrate only about one quarter of the fluid depth before terminating, and the laterally broad upwellings below regions with low outer boundary heat flux show layering, with the strongest upwelling layers occurring immediately below the outer boundary and also just above the inner boundary. The azimuthal velocity patterns beneath the outer boundary for both $S=-0.1$ and $S=0.2$ cases include, at low latitudes, thin lenses of eastward (prograde) flow immediately beneath the high boundary heat flux regions but displaced slightly in the downstream direction of the heterogeneity, plus generally westward (retrograde) flow beneath the low boundary heat flux regions, again displaced slightly downstream of the center of the boundary heterogeneity.

The other major difference between cases with versus without stabilizing boundary heat flux, which we later show is crucial for stratification detection, is the pattern and strength of the circulation inside the tangent cylinder of the inner boundary. The cases with destabilizing outer boundary fluxes in both Figures 3 and 4 have stronger azimuthal flows inside the tangent cylinder compared to their counterparts with stabilizing outer boundary fluxes. This difference indicates that the pattern of convection at depth inside the tangent cylinder is different with versus without boundary stabilization, and as we demonstrate next, this has observable effects on the magnetic fields generated in the tangent cylinder region.

Figure 5 shows global views of the time average dynamo structure on and just below the outer boundary and in cross section, at $E = 1 \times 10^{-4}$ and $Ra = 6 \times 10^7$, for stratification parameters $S=0$ (neutral), $S=0.2$, and $S=0.3$, respectively, all with $L2$ boundary heterogeneity. The radial magnetic field on the outer boundary in the neutral case Figure 5a has higher intensity and shorter length scales in its structure, compared to its counterparts with stabilizing boundary heat flux, because without stratification, the short length scale fluctuations are more intense and require longer run times to average out. More importantly, the

high latitude structure of the neutral $S = 0$ dynamo includes rings of high intensity radial magnetic field localized near the latitudes that correspond to the inner boundary (inner core) tangent cylinder in each hemisphere, plus conspicuous polar intensity minima in both north and south hemispheres. In contrast, the high latitude radial magnetic field in the $S = 0.2$ dynamo consists of patches of high intensity field that terminate short of the pole and no polar intensity minima, while the high latitude radial magnetic field in the $S = 0.3$ dynamo consists of lobes of high intensity field that extend all the way to the poles, forming polar intensity maxima.

The differences in these field structures can be directly attributed to differences in the high latitude pattern of radial velocity in the two cases. As seen in Figure 5b, there are strong polar upwellings in the $S = 0$ case, whereas Figure 5e shows much weaker polar upwellings in the $S=0.2$ case, and Figure 5h shows polar downwellings in the more strongly stabilized $S = 0.3$ case. Accordingly, the magnetic field becomes concentrated near the pole in the most stratified case, the two high intensity flux lobes being located along longitudes that approximately correspond to the bands of radial downward flow, whereas in the neutral $S=0$ case the field is mostly concentrated by the circular downwellings that occur along the tangent cylinder. The intermediate $S=0.2$ case produces a field structure that results from a mixture of the flows seen in the two more extreme cases.

Patterns of the azimuthally and time averaged internal structure are shown in Figures 5c, f, and i for each of the three dynamos. These images reveal the underlying dynamics that produce distinct radial velocity structures, which in turn produce the polar magnetic intensity minima, patch, or lobe radial magnetic field structures. In the $S=0$ case the tangent cylinder region is supercritical for convection and has the familiar combination of polar upwellings plus tangent cylinder downwellings in its azimuthal averaged flow structure (Olson and Aurnou, 1999; Sreenivasan and Jones, 2005). Together these up- and down-flows create a circulation that tends to expel poloidal magnetic field from inside the tangent cylinder region. In contrast, in the strongly stabilized $S=0.3$ case the tangent cylinder is (or appears to be) subcritical for free convection and the flow directions are reversed there relative to the $S=0$ case. Magnetic flux concentration, rather than flux expulsion, is active inside the tangent cylinder in this case. In the intermediate $S=0.2$ case there is a weak polar upwelling, slightly concentrating the field inside the tangent cylinder. Consequently, the radial field becomes more concentrated in the polar regions with stronger stratification,

eliminating the polar intensity minimum and replacing it with high intensity field that, with the $L2$ boundary heterogeneity, generates high intensity patches or lobes. The high intensity flux lobes or patches are located approximately at the longitudes that correspond to the bands of radial downward flow (Figures 5e and h). We note that the dynamics of the flows that underlie the structural changes in the field here are similar to what has been found in dynamos when the ratio of inner boundary-to-outer boundary radius is changed, as is expected to be the situation before versus after the inner core nucleates (Landeau et al., 2017).

As with the magnetic field structure, major differences in the structure of the internal azimuthal flows in Figures 5c, f, and i lie at polar latitudes, where the neutral $S=0$ case includes a strong retrograde (westward) polar vortex that extends to the outer boundary, whereas in the $S=0.3$ case the flow in the same region is strongly attenuated in amplitude and weakly prograde (eastward). Equatorial westward jets are present in all three cases, but they are stronger with stratification, and unlike the polar flows, penetrate to near the outer boundary, even in the most stabilized $S=0.3$ case. Qualitatively, this behavior is in accord with previous findings by Nakagawa (2011) and Takehiro and Sasaki (2017).

In order to systematize how these morphologic differences in the magnetic field structure vary with our dynamo control parameters, we show in Figure 6 a regime diagram of the magnetic field in the polar region for the $E = 1 \times 10^{-4}$ and $L2$ boundary heterogeneity cases. Axes are Rayleigh number Ra and outer boundary stratification parameter S , once again positive for stable stratification, negative for unstable. Other symbols denote the structure of the time average radial magnetic field structure on the outer (core-mantle) boundary at high latitudes. M denotes existence of polar intensity minima, P denotes high field intensity patches without polar minima, L denotes high field intensity lobes without polar minima, and N (no dynamo) denotes subcritical for dynamo action due to excessively strong boundary-induced stratification. Dual symbols in Figure 6 denote high latitude magnetic field structures that appear to be transitional, M/P for polar minima transitioning to patches, and P/M for the reverse. This figure demonstrates there are several (3 or more) distinctive magnetic field structures that occur systematically as the control parameters vary, and that these structures are particularly sensitive to the stratification parameter S , although some weaker dependence on the Rayleigh number Ra is evident from the locations of the transitional cases. In particular, the patches P-regime appears to attenuate and possibly

disappear at strongly supercritical Rayleigh numbers. With more modest supercriticality, the sequence with increasing S consists of M for unstable, neutral, or very weakly stratified cases, transitioning to P with slightly stronger stratification, then to L and finally to N when the boundary-induced stratification is strong enough to kill dynamo action. With reference to Table A2, our three $S = 0.1$ cases at $E = 3 \times 10^{-5}$ and $Ra = 2 \times 10^8$ are all in the M-regime, consistent with the results shown in Figure 6.

The distinctions between M, P, and L field structures involves arbitrary considerations in some of the transitional cases, but in most situations, identification can be made on the basis of contours of B_r on the dynamo outer boundary in the polar regions. Figure 7 shows contours of the time-averaged radial magnetic field intensity on the outer boundary for the three dynamos shown in Figure 5. Black curves are contours that enclose the high field intensity regions in each case. If two contours are needed to enclose the B_r -intensity maxima and both contours include the pole, the structure is classified as M-type, as in Figure 7a. Note that the two bounding contours should not be very different in radius, so as to exclude from consideration very small, inconsequential field intensity minima. Alternatively, if two bounding contours are needed and neither contour includes the pole, the structure is classified as P-type, as in Figure 7b. Finally, if a single bounding contour encloses the intense field and also includes the pole, the structure is classified as L-type, as in Figure 7c.

The three magnetic field structures in Figure 7 are distinct because of the combined action of the stratification measured by S or alternatively $Ra_{\bar{q}}$, plus the $L2$ lateral boundary heterogeneity measured by S' or alternatively $Ra_{q'}$. Other parameter combinations could lead to different results. For example, eliminating the lateral boundary heterogeneity yields axisymmetric time averaged magnetic structures, so that the M- and L-structures transform to axisymmetric polar minima and axisymmetric polar maxima, respectively, while the regime with clearly defined P-structures probably gets lost. The radial magnetic field structures in figures 3 and 5 of Nakagawa (2015), calculated using homogeneous outer boundary conditions in the same range of Ekman and magnetic Prandtl numbers, support this interpretation. This behavior, along with the inference from Figure 6 that the P-regime becomes attenuated at highly supercritical Ra suggests that the high intensity patch regime may be less robust than polar intensity minima or maxima. In addition, reducing the symmetry of the boundary heterogeneity can produce different results, by obscuring the clear-cut differences in the high latitude structures found with $L2$ symmetry. For example, we find that

the loss of bilateral symmetry with $L4$ boundary heterogeneity yields a nominally P-style field morphology, but with a single rather than two high field intensity patches. However, in spite of these complications, our results suggest it is possible to probe Earth’s outer core for stratification using time average geomagnetic field morphology in the polar regions.

Figure 8 shows additional stratification diagnostics that involve ratios $G\ell 0$ of the axial ($m=0$) Gauss coefficients of the magnetic field, obtained by time-averaging our partially stratified dynamos. Figure 8a shows axially symmetric ($m=0$) Gauss coefficient ratios $G\ell 0 = g(\ell, 0)/g(1, 0)$ versus spherical harmonic degree ℓ from time-averaged dynamos with $Ra = 6 \times 10^7$ and $L2$ boundary heterogeneity, for various stratifications S . Figure 8b shows Gauss coefficient ratio $G30$ (axial octupole over axial dipole) versus stratification S for the same dynamos. M,P,L denote polar minima, patches, and lobes, respectively, in the high latitude magnetic field structure. Stable/unstable refers to the sign of the gravitational stability below the outer boundary. In Figure 8a the only appreciable (i.e., observable) G-ratio is $G30$. The $G50$ ratios change sign with stratification but are too small to be observed. In Figure 8b, $G30$ is positive for all S -values considered, although it might become negative for very strongly unstable stratification. More significantly, $G30$ increases with S , perhaps saturating around 0.15 near $S=0.2$.

4 Stratification scaling

Before applying our results to thermal stratification in Earth’s outer core, a necessary first step is to derive scaling laws that summarize the strength and extent of the stratification in our numerical dynamos as a function of the control parameters. The two control parameters that we varied substantially are the Rayleigh number Ra and the stratification parameter S , or alternatively, the boundary Rayleigh number $Ra_{\bar{q}}$. Furthermore, most of our dynamos used the $L2$ boundary heat flux heterogeneity with the other control parameters fixed (that is, $E = 1 \times 10^{-4}$, $Pr = 1$, $Pm = 6$). Accordingly, our fits are biased toward dynamos of this type. In addition, we focus attention on two parameterizations of the stratification: (1) the spherically averaged thickness of the stratified region beneath the outer boundary and (2) the spherically averaged gravitational stability of the layer, both given in Table A2 for each case.

412 We define the spherically averaged dimensionless thickness of the stratified region to be

$$\delta^* = \frac{r_{cmb} - r_{min}}{r_{cmb}} \quad (14)$$

413 where r_{cmb} is, as before, the outer boundary radius and r_{min} is the radius where \bar{C}^* reaches
 414 its local minimum value below the outer boundary, \bar{C}_{min}^* , as seen in Figure 3d. Similarly,
 415 we define the characteristic gravitational stability of this region in terms of the following
 416 parameter:

$$N^{*2} = \frac{\delta C^*}{\delta^*}, \quad (15)$$

417 where $\delta C^* = \bar{C}_{cmb}^* - \bar{C}_{min}^*$ is the dimensionless codensity increase across the stratified
 418 region. The choice of the notation here is motivated by the connection between N^* and the
 419 buoyancy frequency in stratified fluids (Turner, 1980), the asterisk emphasizing that this is
 420 a nondimensional parameter.

421 Figure 9 shows fits of the dimensionless stratified layer thickness to power laws in Ra ,
 422 $Ra_{\bar{q}}$, and S . In Figure 9a we assume a power law of the form

$$\delta^* = a Ra_{\bar{q}}^b Ra^c \quad (16)$$

423 where a is a (constant) coefficient and b and c are (constant) exponents. The fit was obtained
 424 by minimizing the function

$$F = \sum \frac{\delta^{*2}}{\sigma_\delta^2} (\log \delta^* - \log a - b \log Ra_{\bar{q}} - c \log Ra)^2, \quad (17)$$

425 where σ_δ , the characteristic uncertainty on δ^* , was assumed to be the same for all cases. The
 426 symbols in Figure 9a have the same meaning as in Figure 2 and the color scheme indicates
 427 the different magnetic field structures. Cases with $\delta^*=0.6491$ are saturated, meaning that
 428 the stratification spans the entire fluid layer from r_{cmb} to r_{icb} . Excluding saturated cases
 429 yields $(a, b, c)=(1.26, 1.2, -1.18)$ for the best-fitting constants in (16).

430 Figure 9a reveals that the thickness of the stratified region increases strongly with $Ra_{\bar{q}}$ and
 431 decreases about equally strongly with Ra , as anticipated on physical grounds. **Significantly,**
 432 **the exponents in Figure 9a nearly satisfy the relationship $c = -b$, which implies that the**
 433 **thickness of the stratified layer is solely a function of the stratification parameter S . We**
 434 **explain the latter result by considering mass conservation in the stratified layer : assuming**
 435 **zero mass anomaly flux at the base of the stratified layer (i.e. zero codensity gradient),**
 436 **one obtains from mass balance that the volume of the layer evolves as $-Ra_{\bar{q}}/(Ra\epsilon) =$**

437 $-S/\epsilon$, implying that the stratified layer volume depends solely on S for constant volumetric
 438 codensity source/sink ϵ . Accordingly, (16) reduces to

$$\delta^* = aRa_{\bar{q}}^b Ra^{-b} = a_{\delta} S^b, \quad (18)$$

439 assuming $c = -b$. Figure 9b shows the best fit to (18) found by minimizing (17) with S in
 440 place of $Ra_{\bar{q}}^b Ra^c$. The best-fitting coefficient and exponent become $(a_{\delta}, b) = (1.82, 1.2)$.

441 The only systematic discrepancies between stratified layer thickness and our scaling occur
 442 at low S values, for cases with heterogeneous heat flux. This can be explained as follows.
 443 The lateral heat flux variations produce a mix of regions that are convectively unstable below
 444 the outer boundary and regions that are convectively stable. Because the thermal boundary
 445 layer in convecting regions is thinner than the stratified layer in stable regions, the volume-
 446 averaged codensity field is biased toward the properties of the stable region. Accordingly,
 447 the globally averaged stratified thickness is non-zero with strong heterogeneous boundary
 448 conditions, even in cases with $S=0$.

449 The gravitational stability immediately below the CMB is measured by the squared
 450 buoyancy frequency defined in terms of CMB heat fluxes:

$$N_{cmb}^2 = \frac{\alpha g}{k}(q_{ad} - \bar{q}_{total}), \quad (19)$$

451 where q_{ad} and \bar{q}_{total} refer to the adiabatic and mean CMB heat fluxes, the latter including the
 452 adiabatic contribution. The property values in Table A3 yield $N_{cmb}^2 = 2.4 \times 10^{-8} \text{ (rad/s)}^2$, so
 453 that the ratio of the squared buoyancy frequency to the square of the Coriolis parameter is
 454 approximately $(N_{cmb}/2\Omega)^2 \simeq 1.1$. However, this measure of the gravitational stability applies
 455 only in a very limited depth range beneath the CMB, essentially within a thin boundary
 456 layer region where the codensity gradient is conductive. In particular, it does not apply over
 457 the entire depth range of the stratification, because the codensity gradient there is affected
 458 by convection.

459 A better measure of the overall gravitational stability is the average of the buoyancy
 460 frequency over the stratified region, given by (15). Figure 10 shows the dependence of
 461 N^{*2} defined by (15) on Ra , $Ra_{\bar{q}}$, and S for all cases with $S < 0.4$. The symbols and color
 462 scheme are the same as in Figure 9. Figure 10a shows that the best-fitting constants in a
 463 power-law relationship with Ra and $Ra_{\bar{q}}$ similar to (16) are $(a, b, c) = (1.1, 1.05, -1.07)$. Within
 464 uncertainties, the condition $c = -b$ again holds in this case, indicating that the gravitational

stability also depends primarily on S . The latter result implies that the mean stratification across the layer is proportional to the stabilizing codensity gradient imposed at the CMB. Refitting the stability data to

$$N^{*2} = a_N S^b \quad (20)$$

with $b = 1$ yields $a_N = 0.72$, the fit shown by the dashed line in Figure 10b. The deviations from linear scaling at $S \geq 0.4$ result from weak or non-existent convection from the inner core buoyancy source.

5 Extrapolation to the outer core

Table A3 gives values of the physical properties needed to calculate the stratification parameter in the outer core according to the definition of S in (9). We use the core state model described in Olson et al. (2015) with the adiabatic thermal gradient as modified by Labrosse (2015), which for a thermal conductivity $k=100$ W/m/K gives and adiabatic heat flux at the CMB of $q_{ad} = 96$ mW/m². We select $\bar{q}_{total} = 79$ mW/m², representative of the mean CMB heat flux from mantle GCMs (Nakagawa and Tackley, 2015; Zhong and Rudolph, 2015), which includes the adiabatic conductive contribution. Together these imply a subadiabatic heat flux at the CMB, with $\bar{q} = \bar{q}_{total} - q_{ad} = -17$ mW/m². The core state model then yields $\dot{C}_o = 6.8 \times 10^{-16}$ kg/s for the rate of codensity change in the outer core due to the combined effects of cooling and light element increase.

Substituting \dot{C}_o , \bar{q} , and the other parameter values from Table A3 into (9) yields a low value of $S=0.018$ for an outer core viscosity of $\nu = 2 \times 10^{-6}$ m²/s, an intermediate value of $S=0.064$ for $\nu = 3.5 \times 10^{-6}$ m²/s and a high value of $S=0.2$ for an outer core viscosity of $\nu = 2.2 \times 10^{-5}$ m²/s. Here we have adjusted the diffusivity so that $\kappa = 10\nu$ in all cases. Application of our scaling law (18) with $a_\delta=1.82$ and $b=1.2$ yields stratified layer thickness predictions of $\delta = 52$ km, 230 km, and 920 km, respectively, for these three viscosity choices. The stratified layer thickness also depends sensitively on \bar{q}_{total} . For example, reducing \bar{q}_{total} to 50 mW/m² and assuming an outer core kinematic viscosity of $\nu = 2 \times 10^{-6}$ or $\nu = 7 \times 10^{-6}$ m²/s along with the other properties in Table A3, the predicted stratified layer thickness would be 255 km or 1150 km, respectively.

The dependence of stratified layer thickness on viscosity in our scaling is a consequence of the definition of S , which is the ratio of two Rayleigh numbers, one based on the outer

boundary codensity flux, the other based on the rate of codensity change in the outer core as a whole. It could be argued that Ohmic rather than viscous dissipation should control the layer thickness in the Earth's core, and the dependence of layer thickness on viscosity is therefore a model artifact. Nevertheless, the above range encompasses most previous estimates of the thickness of the outer core stratified region, and as we demonstrate below, the gravitational stability of the stratified layer predicted by our scaling is nearly independent of viscosity.

To apply our scalings to the gravitational stability of a stratified layer in the outer core, we combine (9) and (20) to get, in terms of dimensional properties,

$$N_{ave}^2 = a_N \frac{\alpha g}{k} (q_{ad} - \bar{q}_{total}). \quad (21)$$

The property values listed in Table A3 give $N_{ave}^2 = 1.7 \times 10^{-8} \text{ (rad/s)}^2$. Note that the layer average gravitational stability (21) is reduced from its value immediately below the CMB (20) by the factor $a_N=0.72$, but otherwise its dependence on the properties of the outer core remains the same. Also, (21) implies that, unlike the thickness of the stratified layer, the gravitational stability does not depend explicitly on the inner core buoyancy source or the outer core viscosity. This is a consequence of our ignoring the (very weak) dependence of N^{*2} on Ra in Figure 10. Finally, there appears to little dependence of the stratification parameters on the Ekman number, especially the buoyancy frequency. For example, $N^{*2} = 0.0649$ for the $L2, S = 0.1, E = 3 \times 10^{-5}$ case in Table A2, compared to an average value of $N^{*2} = 0.0678$ all of the $L2, S = 0.1$ cases at $E = 1 \times 10^{-4}$, just a 4% change in gravitational stability accompanying three-fold change in rotation rate.

6 Testing for outer core stratification

Our results show that the combination of subadiabatic average heat flux plus large amplitude lateral variations in heat flux generates an overall stable stratification below the CMB, yet allows for localized radial motions where the CMB heat flux is particularly large. This partial stratification contrasts with the usual assumption of homogeneously stratified conditions, in which radial motions would be suppressed uniformly.

Our partially stratified dynamos show clearly identifiable transitions in the structure of the time-averaged radial magnetic field in polar regions that correspond to increasing strength of stable stratification, starting from polar minima without stratification (or with

weak stratification), to patches of intense field for moderate stratification, finally to lobes of intense field for stronger stratification. Dynamos with homogeneous outer boundaries lack permanent patch or lobe structures, and switch from polar minima to polar maxima with increasing stratification (Nakagawa, 2015).

The structural transitions we find with heterogeneous outer boundary conditions offer the possibility of inferring the stratification below the CMB based on the morphology of the time-averaged geomagnetic field on the CMB. In order to realize this possibility, however, it is necessary to image the high latitude geomagnetic field with sufficient resolution and over a sufficiently long period of time, in order to image its nonaxisymmetric structure. It is not obvious that current models of the time average geomagnetic field satisfy these requirements.

The present-day geomagnetic field on the CMB has been imaged up to a maximum spherical harmonic degree of approximately $\ell_{max}=14$, as shown in Figure 12a. At this resolution, polar minima are clearly evident, and there is even reversed magnetic flux in the polar regions of both hemispheres. The northern hemisphere structure has previously been interpreted as evidence of a convective upwelling associated with a polar vortex (Olson and Aurnou, 1999). When the geomagnetic field is time-averaged over the past 400 yr, as in field model *gufn1* by Jackson et al. (2000), both polar minima are strongly attenuated compared to the present-day, and the time-averaged field structure is more patch-like at high latitudes. This trend continues in field reconstructions with increasingly long time averages. In archeomagnetic field reconstructions such as CALS10k (Korte et al., 2011), time averaging over thousands of years results in high latitude field structures that are distinctly more lobe-like, compared to the present-day, with no expression of polar minima. Finally, paleomagnetic field reconstructions that average the geomagnetic field over the past five million years (0-5Ma) typically only show broad, reduced intensity lobe structures in the polar regions (Johnson and Constable, 1995). But even these modest deviations from axial symmetry have been questioned, as it remains unclear that the paleomagnetic data absolutely requires their existence (Johnson and McFadden, 2015).

Superficially, the tendency for geomagnetic and paleomagnetic field reconstructions to exhibit broad, high latitude lobes with increasingly long time averaging would implicate moderate or strong stratification beneath the CMB, characterized by a stratification parameter $S > 0.2$, according to our results. However, there is an alternative interpretation. Disappearance of the polar intensity minima and the transition to broad lobe structures

when averaged are taken over increasingly long times might simply be a consequence of a lack of adequate spatial coverage in the geomagnetic and paleomagnetic data, especially at high latitudes.

To illustrate this effect, Figure 11 shows time average radial magnetic fields on the outer boundary from the Table A2 dynamo with $Ra = 6 \times 10^7$, $L2$ boundary heterogeneity and neutral ($S=0$) stratification parameter. Panel a shows the field structure with truncation at spherical harmonic degree and order $(\ell, m)_{max} = 24$; panel b is the same field with truncation at $(\ell, m)_{max} = 12$, whereas panel c is the same field truncated at $(\ell, m)_{max} = 6$. This figure illustrates the hazards of using limited-resolution geomagnetic field representations to interpret high latitude structure on the CMB in terms of outer core stratification. The fully-resolved field structure is squarely in the M (polar minima) regime, as are the weakly filtered images in Figure 11a and b. However, the strongly filtered image Figure 11c removes the polar minima, making the high latitude field appear more like the L (lobe) regime.

Similar trends emerge when the present-day geomagnetic field is subjected to increasingly severe spherical harmonic truncation. Figure 12 shows the radial component of the geomagnetic field on the CMB at epoch 2010 from the CHAOS field model (Olsen et al., 2014). Panel a is the complete field model, with representation to spherical harmonic degree and order $(\ell, m)_{max} = 14$. Intensity minima are evident at both poles at this resolution. Panels b and c show the same field model truncated at spherical harmonic degree and order $(\ell, m)_{max} = 12$ and 6, respectively. The polar minima are strongly attenuated with increasingly severe truncation, such that the southern polar minima is completely removed at $(\ell, m)_{max} = 6$ in panel c, replaced by two broad, high intensity lobes. Since the data coverage necessarily degrades in going from present-day to historical to archeomagnetic to paleomagnetic time scales, a plausible interpretation of the trends shown in Figures 11 and 12 is that the longer time span geomagnetic reconstructions lack the resolution necessary to resolve the true high latitude field structure, would lead to an over-estimation of the stratification parameter S , and therefore would overestimate the amount of stratification presently below the CMB.

Because full resolution of the ancient core field is problematic, it is useful to consider simpler diagnostics that would indicate stratification. Axially symmetric departures from an axial dipole have been extensively investigated over the entire paleomagnetic record (Evans 1976; McFadden and Reid, 1982; Veikkolainen et al., 2014; Johnson and McFadden, 2015.) The general consensus is that the ratio of axial quadrupole to axial dipole Gauss coefficients,

denoted by G_{20} , is nonzero at several epochs, whereas the ratio of axial octupole to axial dipole Gauss coefficients, denoted by G_{30} , is quite small when averaged over the past 0-5 Ma, less than 0.05 in absolute value, with considerable debate concerning its sign. This is generally consistent with the more recent history of G_{30} , which is approximately -0.045 when averaged over the past decade and even smaller when averaged over the past ten millennia, -0.006 according to archeomagnetic field reconstruction CALS10k (Korte et al., 2011). When averaged over separate polarity chrons, paleomagnetic inclination data indicate $G_{30} \simeq 0.01$ during the Brunhes chron, but somewhat larger $G_{30} \simeq 0.05$ during the Matuyama chron (Aubert et al., 2010). There is some evidence that G_{30} may have been far larger in the Precambrian (Evans and Hoyer, 2007; Veikkolainen et al., 2014) but back then the influence of inner core buoyancy release may have been less or non-existent. Indeed, numerical dynamos with a passive inner core (Heimpel and Evans, 2013) or no inner core at all (Landeau et al., 2017) generally produce larger and more positive values of G_{30} compared to similar dynamos with an active inner core.

The key question here is whether this evidence of a modest-sized G_{30} in the time-averaged field is large enough to validate stable stratification beneath the CMB. According to Figure 8b, the observation that $G_{30} \leq 0.05$ when the geomagnetic field is averaged over the past few million years suggests a stratification parameter beneath the CMB of $S < 0.1$. According to (18), the size of G_{30} constrains the thickness of such a layer to 400 km or less, approximately, and would seem to preclude very thick thermal stratification, such as the 1000 km layer proposed by Gomi et al. (2013), for which $S \simeq 0.2$ and $G_{30} \simeq 0.15$. However, this conclusion is based on a limited set of dynamo calculations, and further exploration of partially stratified dynamos with different boundary conditions and control parameters is needed for confirmation.

Core flow inversions offer another way to probe for outer core stratification in localized regions beneath the CMB, including polar regions. Typically, flow core inversions based on the assumption of frozen magnetic flux find westward zonal flow in the polar regions (e.g. Eymin and Hulot, 2005; Amit and Olson, 2006), as in our low S cases, but unlike our higher S cases. By this measure, our neutral $S = 0$ or stratified $S = 0.1$ dynamos seem more Earth-like in terms of core flow, compared to the larger S dynamos. Is this strong enough evidence to say that the outermost core is at most weakly stratified? A recent inversion for core flow concluded that a purely horizontal (i.e., toroidal) flow fails to explain the global geomagnetic

SV (Lesur et al., 2015), but adding weak upwellings yields a better fit, a conclusion that is basically consistent with weak stratification. Similarly, an even more localized frozen flux study by Chulliat et al. (2010) confined to the north polar region argued for radial magnetic diffusion supported by an underlying polar upwelling, i.e., at most weak stratification, in basic agreement with Olson and Aurnou (1999).

We can also compare our results with inferences of outer core stratification derived from idealized analytical models of thermochemical convection and from dynamical interpretations of the geomagnetic secular variation. According to the convection model of Lister and Buffett (1998), the present-day core is predicted to have $\delta \simeq 190$ km of thermal stratification for the adiabatic and total heat fluxes listed in Table 1. For these same heat fluxes their model predicts a maximum value of $(N/2\Omega)^2 \simeq 0.5$ at the cmb, compared to our maximum value of 1.1 calculated using (19). Buffett (2014) has proposed that a portion of the time-dependent zonal flow in the outer core as well as the time-dependent deviations from uniform decrease of the historical geomagnetic dipole moment are expressions of MAC oscillations – waves in the outer core governed by magnetic, buoyancy (i.e., Archimedes) and Coriolis forces that propagate in the north-south direction within a layer beneath the CMB, their propagation characteristics depending on the stratification in the layer. The best-fitting model for MAC wave propagation determined by Buffett et al. (2016) consists of an approximately 140 km thick layer with peak stratification immediately below the CMB given by $(N/2\Omega)^2 \simeq 0.21$ and a layer average gravitational stability of $(N/2\Omega)^2 \simeq 0.1$, approximately. Their MAC model stability is about 20% of our value and their stratified layer average value is about 13% of ours, based on (21). In terms of our scaling law (18) that relates the stratified layer thickness to the stratification parameter, the Buffett et al. (2016) $\delta \simeq 140$ km corresponds to $\delta^* \simeq 0.062$. Inverting (18) with $a=1.82$ and $b=1.2$ yields $S \simeq 0.042$. Referring to Figure 6, this value of the stratification parameter is expected produce a high latitude, time average radial magnetic field structure of the M-type with polar minima, much like the present-day geomagnetic field. For reference, such a stratified layer would have to increase to 600 km or more in thickness in order to produce L-type lobes in the time average high latitude geomagnetic field, according to our results. For the octupole field component, Figure 8 predicts a value of $G_{30} \simeq 0.08$ for $S=0.04$, somewhat higher than typically inferred from the time-averaged paleomagnetic field over the past two polarity chrons, but probably within the range of the combined dynamo model and observational uncertainties.

We conclude that a deep, thermally stratified layer beneath the CMB (nominally 1000 km thick) would be detectable with our methods but is at variance with the observed structure of the geomagnetic field. A thin thermally stratified layer beneath the CMB (a few hundred km thick or less) is more consistent with observations but would be difficult to confirm using our methods, because of uncertainties in long time average geomagnetic field reconstructions. Detection of this amount of stratification may well be possible in the future, with better resolution of the time-averaged geomagnetic field combined with more extensive modeling of partially stratified dynamos.

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Author contributions

PO and ML defined the numerical dynamo parameter sweep; ER did the dynamo calculations, collected and organized the numerical data; PO and ML did the scaling analysis, interpretation, and wrote the paper.

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Appendix

Governing equations

Using the notation from Section 2, we define the codensity in the outer core as the sum of a spatially uniform background state ($C_o + C_{icb}$) with a time-dependent part denoted by subscript o , plus a deviation from that state C , defined so that

$$C_o + C = \rho_o(\alpha(T_o + T) + \beta(\chi_o + \chi)), \quad (22)$$

where T and χ are the outer core variations in temperature and light element concentration, respectively. We take the background temperature to be adiabatic, with its mean value denoted by $T_o = T_{ad}$. The rate-of-change of the background codensity (assumed constant in each calculation) is then

$$\dot{C}_o = \rho_o(\alpha\dot{T}_{ad} + \beta\dot{\chi}_o). \quad (23)$$

Scaling length, time, codensity, and magnetic field with D , D^2/ν , $D^2\rho\beta\dot{\chi}_o/\nu$ and $\sqrt{\rho_o\Omega/\sigma}$ as in Section 2, the dimensionless Boussinesq equations of motion are

$$E\left(\frac{d\mathbf{u}^*}{dt^*} - \nabla^2\mathbf{u}^*\right) + 2\hat{z} \times \mathbf{u}^* + \nabla P^* = EPr^{-1}Ra\left(\frac{\mathbf{r}}{r_{cmb}}\right)C^* + Pm^{-1}(\nabla \times \mathbf{B}^* \times \mathbf{B}^*), \quad (24)$$

$$\frac{\partial \mathbf{B}^*}{\partial t^*} = \nabla \times (\mathbf{u}^* \times \mathbf{B}^*) + Pm^{-1}\nabla^2\mathbf{B}^*, \quad (25)$$

and

$$\frac{dC^*}{dt^*} = Pr^{-1}\nabla^2C^* + \epsilon, \quad (26)$$

where

$$\epsilon = \frac{Ra_{ad}}{Ra} - 1 \quad (27)$$

in which

$$Ra = \frac{\beta g D^5 \dot{\chi}_o}{\nu^2 \kappa}, \quad (28)$$

as in Section 2, and

$$Ra_{ad} = -\frac{\alpha g D^5 \dot{T}_{ad}}{\nu^2 \kappa} \quad (29)$$

is the Rayleigh number measuring the cooling of the core. The other dimensionless control parameters are

$$E = \frac{\nu}{\Omega D^2}; \quad Pr = \frac{\nu}{\kappa}; \quad Pm = \frac{\nu}{\eta}. \quad (30)$$

Table A1: CMB Heat Flow Heterogeneity Coefficients

Type	ℓ	m	real ^a	imag ^a
L2	2	0	-0.0479	0.0000
L2	2	2	0.0526	-0.0447
L4	1	0	-0.0163	0.0000
L4	1	1	0.0232	-0.0248
L4	2	0	-0.0479	0.0000
L4	2	1	0.0054	-0.0258
L4	2	2	0.0526	-0.0447
L4	3	0	0.0253	0.0000
L4	3	1	-0.0059	0.0016
L4	3	2	0.0203	0.0107
L4	3	3	-0.0075	0.0333
L4	4	0	-0.0150	0.0000
L4	4	1	-0.0011	-0.0028
L4	4	2	-0.0035	-0.0006
L4	4	3	-0.0171	-0.0126
L4	4	4	0.0138	0.0092

^a Amplitude coefficients of fully normalized, complex spherical harmonics

Table A2: Dynamo Cases

Ra	S	L	CMB C^*	Min. C^*	δ^*	N^{*2}	Structure
1.0×10^7	0.1	4	0.704284	0.697211	0.1104	0.0640	M/P
1.0×10^7	0.1	2	0.693749	0.68662	0.1033	0.0690	M
1.0×10^7	-0.1	2	0.517744	0.517744	0	NA	M
1.0×10^7	-0.1	4	0.521391	0.521391	0	NA	M
1.0×10^7	0	2	0.600992	0.600992	0	NA	M
1.0×10^7	0	4	0.604899	0.604899	0	NA	M
2.0×10^7	0.1	2	0.764032	0.757908	0.0922	0.0663	M
2.0×10^7	0.2	2	0.862358	0.832471	0.2209	0.1352	P
2.0×10^7	0.2	0	0.859579	0.822195	0.2417	0.1546	-
2.0×10^7	0.3	2	0.978527	0.893147	0.3755	0.2273	L
2.0×10^7	0.4	2	1.23117	1	0.6491	0.3561	N
2.0×10^7	0.5	2	1.51669	1	0.6491	0.7960	N
2.0×10^7	1	4	2.93827	1	0.6491	2.986	N
2.0×10^7	-0.1	2	0.643534	0.643534	0	NA	M
2.0×10^7	-0.1	0	0.643641	0.643641	0	NA	M
2.0×10^7	0	2	0.692374	0.692374	0	NA	M
3.0×10^7	0.1	2	0.803259	0.794543	0.1241	0.0702	M
3.0×10^7	0.2	2	0.882598	0.853013	0.2092	0.1414	P
3.0×10^7	0.3	2	0.985372	0.898129	0.3853	0.2264	L
3.0×10^7	0.3	0	1.01468	0.920806	0.3963	0.2368	-
3.0×10^7	0.4	2	1.23201	1	0.6491	0.3574	N
3.0×10^7	0.5	2	1.51755	1	0.6491	0.7973	N
3.0×10^7	0	2	0.744316	0.744316	0	NA	M
4.0×10^7	0.1	2	0.824921	0.815779	0.1254	0.0729	M
4.0×10^7	0.2	2	0.896273	0.864884	0.2326	0.1349	P
4.0×10^7	0.3	2	0.999349	0.913303	0.3866	0.2225	L
4.0×10^7	0.4	2	1.23271	1	0.6491	0.3585	N
4.0×10^7	0.5	2	1.51825	1	0.6491	0.7984	N
4.0×10^7	-0.1	2	0.73243	0.73243	0	NA	M
4.0×10^7	0	2	0.775396	0.775396	0	NA	M
5.0×10^7	0.1	2	0.840957	0.830989	0.1487	0.0669	M
5.0×10^7	0.2	2	0.9071	0.8764	0.2371	0.1294	P
5.0×10^7	0.3	2	1.00609	0.919471	0.3892	0.2225	L
5.0×10^7	0.4	2	1.23331	1	0.6491	0.3594	N
5.0×10^7	0.5	2	1.51883	1	0.6491	0.7993	N

Table A2: Continued

Ra	S	L	CMB C^*	Min. C^*	δ^*	N^{*2}	Structure
6.0×10^7	0	2	0.812963	0.812782	0.0571	0.0032	M
6.0×10^7	0	0	0.815215	0.81507	0.0331	0.0044	M
6.0×10^7	0.1	2	0.852811	0.844548	0.1273	0.0648	M
6.0×10^7	0.1	0	0.856437	0.846691	0.1383	0.0704	M
6.0×10^7	0.2	2	0.913068	0.882692	0.2495	0.1217	P
6.0×10^7	0.2	0	0.919266	0.884893	0.2657	0.1293	-
6.0×10^7	0.3	2	1.01299	0.9276	0.3788	0.2254	L
6.0×10^7	0.3	0	1.02607	0.93166	0.4041	0.2336	-
6.0×10^7	0.4	2	1.23071	1	0.6491	0.3554	N
6.0×10^7	0.4	0	1.24977	1	0.6491	0.3848	N
6.0×10^7	0.5	2	1.51621	1	0.6491	0.7953	N
6.0×10^7	0.5	0	1.53475	1	0.6491	0.8238	N
6.0×10^7	-0.1	2	0.777253	0.777253	0	NA	M
6.0×10^7	-0.1	0	0.77527	0.77527	0	NA	M
7.0×10^7	0	2	0.822773	0.822546	0.0506	0.0045	M
7.0×10^7	0.1	2	0.865818	0.854998	0.1455	0.0743	M
7.0×10^7	0.2	2	0.922185	0.889587	0.2741	0.1189	P/M
7.0×10^7	0.3	2	1.0149	0.929291	0.3905	0.2192	L
8.0×10^7	0.1	2	0.873372	0.862076	0.1624	0.0695	M
8.0×10^7	0.2	2	0.927032	0.89635	0.2534	0.1211	M
8.0×10^7	0.3	2	1.01797	0.932767	0.3820	0.2230	L
9.0×10^7	0	2	0.84325	0.842954	0.0760	0.0039	M
9.0×10^7	0.1	2	0.877763	0.868198	0.1539	0.0621	M
9.0×10^7	0.2	2	0.929826	0.897254	0.2534	0.1285	M
9.0×10^7	0.3	2	1.01873	0.933266	0.3801	0.2248	L
1.0×10^8	0.05	2	0.865793	0.861533	0.1117	0.0381	M
1.0×10^8	0.1	2	0.886055	0.874903	0.1754	0.0636	M
1.0×10^8	0.15	2	0.90753	0.888956	0.1903	0.0976	M
2.0×10^8 ^a	0.1	0	0.85105	0.84252	0.120	0.0710	M
2.0×10^8 ^a	0.1	2	0.85196	0.84456	0.114	0.0649	M
2.0×10^8 ^a	0.1	4	0.85411	0.84590	0.127	0.0646	M

^a Cases with $E = 3 \times 10^{-5}$; all others with $E = 1 \times 10^{-4}$.

Table A3: Core Properties

Input Properties	Notation	Value
ICB radius	r_{icb}	1220 km ^a
CMB radius	r_{cmb}	3480 km ^a
Density at core center	ρ_c	12500 kg.m ⁻³ ^a
Density at zero pressure	ρ_0	7500 kg.m ⁻³
Mean core density	ρ_o	11040 kg.m ⁻³ ^a
Gravity at the CMB	g	10.68 m.s ⁻² ^a
Compositional density jump at the ICB	$\Delta\rho$	500 kg.m ⁻³ ^b
Incompressibility at zero pressure	K_0	4.75×10^{11} Pa
Melting temperature at the ICB	T_{melt}	5800 K ^c
Entropy of melting	ΔS	120 J.kg ⁻¹ .K ⁻¹ ^d
Grüneisen parameter	γ	1.5 ^e
Specific heat	C_p	850 J.kg ⁻¹ .K ⁻¹ ^e
Thermal expansion coefficient	α	1.3×10^{-5} K ⁻¹ ^e
Compositional expansion coefficient	β	1
Thermal conductivity	k	100 W.m ⁻¹ .K ⁻¹
Density length scale	r_ρ	6600 km ^a
Temperature length scale	r_T	6040 km ^c
Outer core light elements	χ	9.8 wt.% ^f
Mass of the core	M_c	1.95×10^{24} kg
Outer core kinematic viscosity	ν	$(2,7,22) \times 10^{-6}$ m ² .s ⁻¹ ^g
Outer core diffusivity	κ	10 ν
Mean CMB heat flux	\bar{q}_{total}	79 mW.m ⁻²
Adiabatic CMB heat flux	q_{ad}	96 mW.m ⁻²
Output Parameters	Notation	Value
Light element concentration change rate	$\dot{\chi}_o$	3.2×10^{-16} s ⁻¹
Cooling rate	\dot{T}_o	-3.7×10^{-15} K.s ⁻¹
Codensity change rate	\dot{C}_o	6.8×10^{-16} kg s ⁻¹
Codensity sink	ϵ	-0.8
Rayleigh number	Ra	$(9 \times 10^{29}, 2 \times 10^{28}, 6 \times 10^{26})$
Stratification parameter	S	(0.018, 0.064, 0.2)
Stratified layer thickness	δ	(52, 230, 920) km
Stratified layer stability (CMB, ave.)	N^2	$(2.4, 1.7) \times 10^{-8}$ rad ² .s ⁻²

^a Dziewonski and Anderson (1981); ^b Masters and Gubbins (2003); ^c Ancellini et al. (2013);^d Poirier (1990); ^e Vocablo et al. (2003); ^f Hirose et al. (2013); ^g Perriallt et al. (2010).

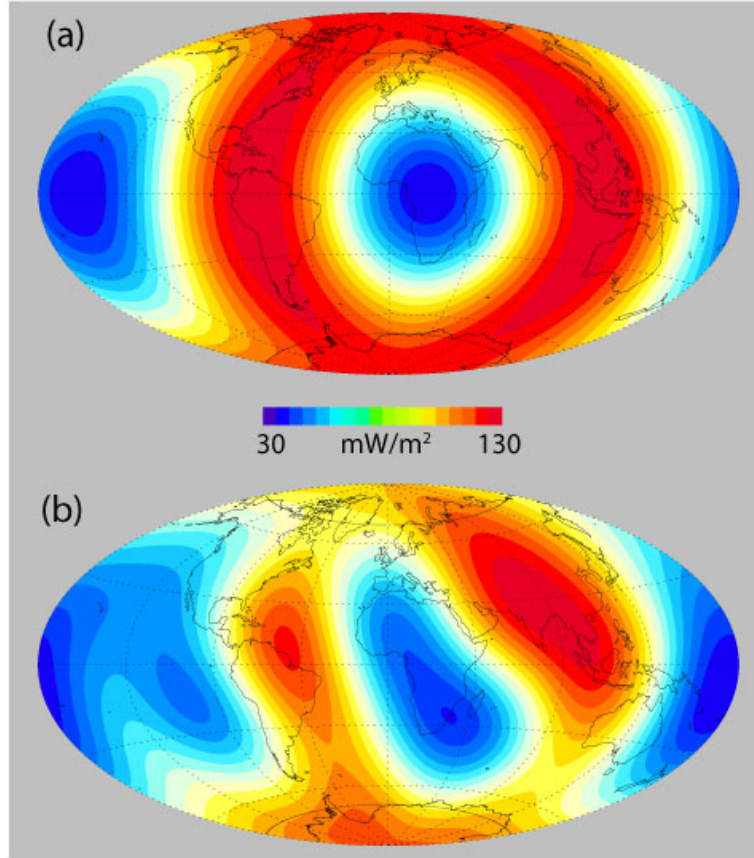


Figure 1: Core-mantle boundary heat flux patterns $L2$ (a) and $L4$ (b) used in this study.

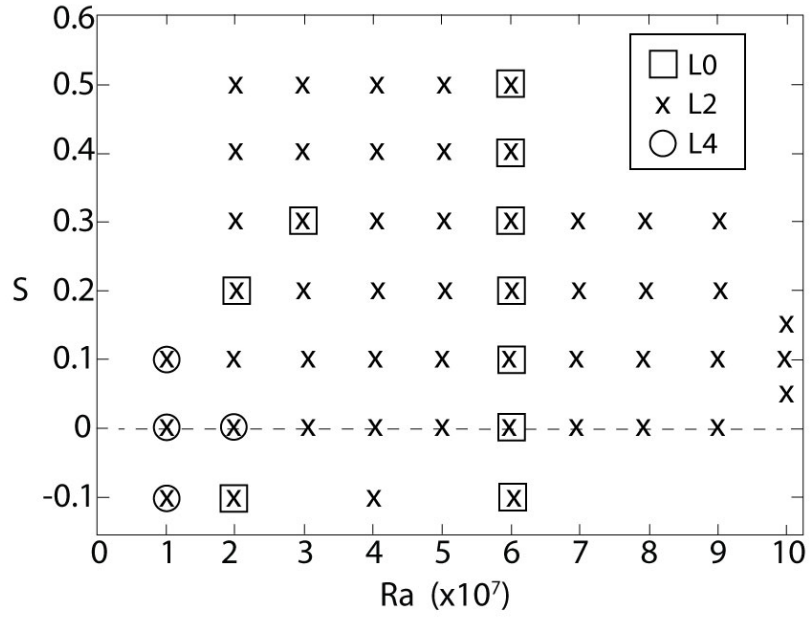


Figure 2: Regime diagram showing numerical dynamos used in this study as a function of the primary control parameters Ra and S . Insert shows symbols that refer to the type of outer boundary heterogeneity.

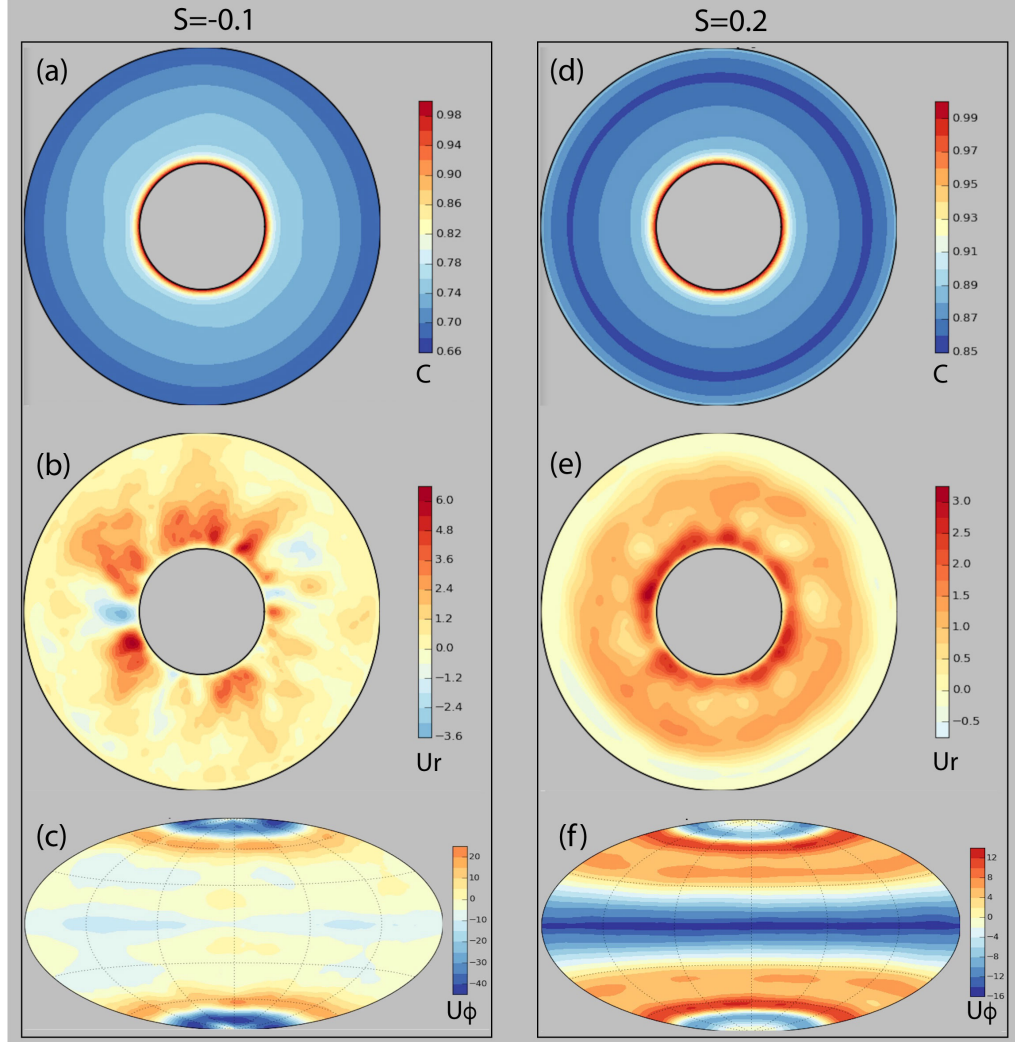


Figure 3: Equatorial plane and global Aitoff projection views of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^7$ with $L0$ boundary heterogeneity, for boundary stratification parameters $S=-0.1$ (left column) and $S=0.2$ (right column). From top to bottom images show equatorial plane codensity (a,d), radial velocity (b,e) and azimuthal velocity at $0.95r_{cmb}$ (c,f). Velocity scales are in dimensionless Reynolds number uD/η units, u being the appropriate dimensional velocity component. Longitude increases anti-clockwise starting from the right edge (3 pm) in each equatorial image and to the right of the centerline in the global projections.

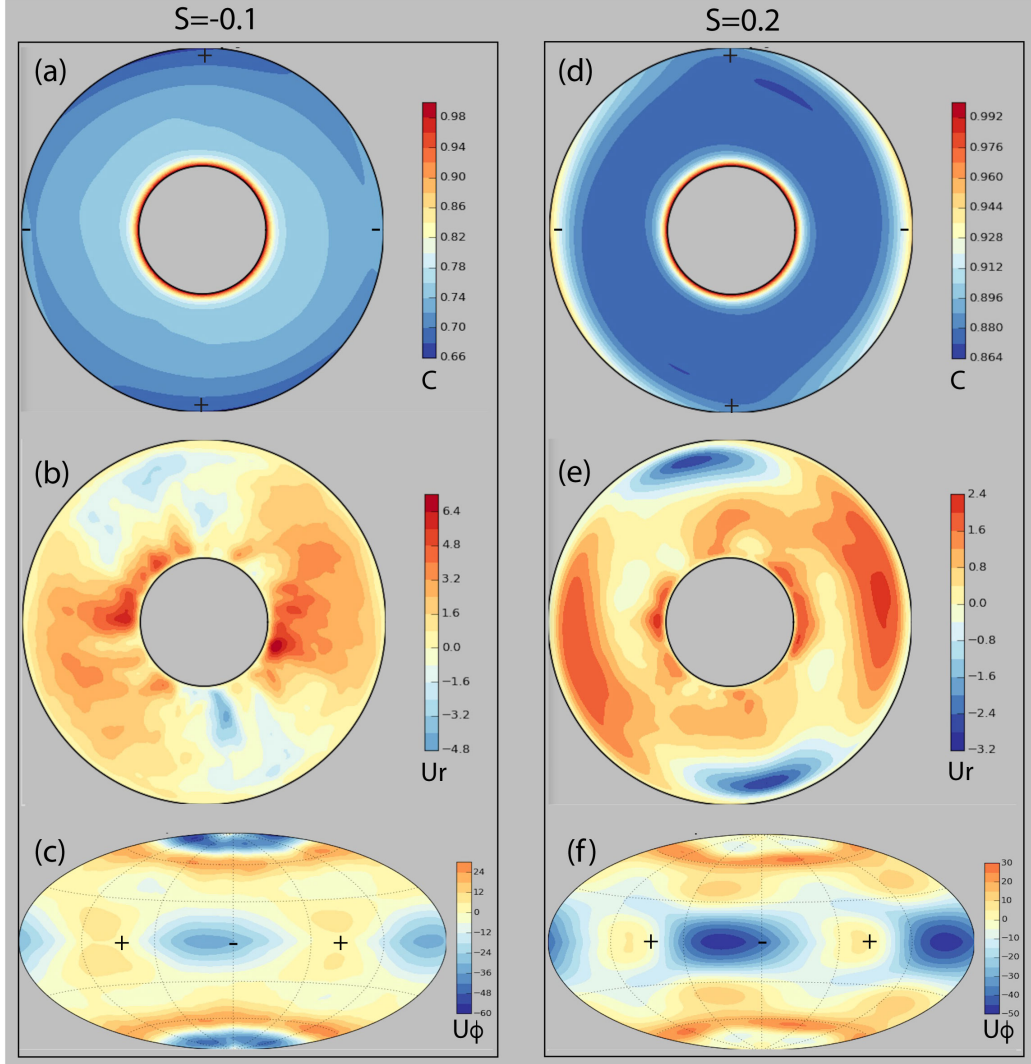


Figure 4: Equatorial plane and global Aitoff projections of the time average flow structure at $E = 1 \times 10^{-4}$ and $Ra = 2 \times 10^7$ with $L2$ boundary heterogeneity, for boundary stratification parameters $S = -0.1$ (left column) and $S = 0.2$ (right column). From top to bottom images show equatorial plane codensity (a,d), radial velocity (b,e) and azimuthal velocity at $0.95r_{cmb}$ (c,f). Velocity scales are in Reynolds number units, as in Figure 3. Longitude increases anti-clockwise starting from the right edge (3 pm) in each equatorial image and to the right of the centerline in the global projections. Plus (+) and minus (-) signs indicate the longitudes of maximum and minimum boundary heat flux, respectively.

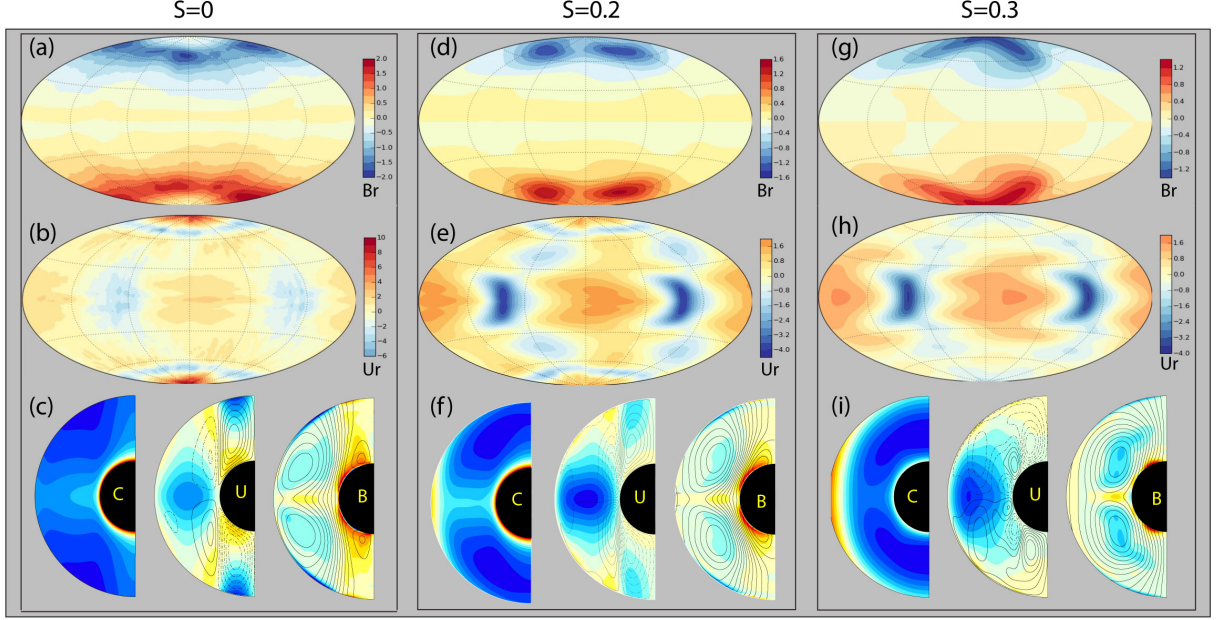


Figure 5: Global Aitoff projections and zonal averages of the time average dynamo structure at $E = 1 \times 10^{-4}$ and $Ra = 6 \times 10^7$ with $L2$ boundary heterogeneity, for boundary stratification parameters $S=0$ (left column), $S=0.2$ (middle column) and $S=0.3$ (right column). Top row images (a,d,g) show radial magnetic field at r_{cmb} . Middle row images (b,e,h) show radial velocity at $0.95r_{cmb}$. Bottom row images (c, f, i) show zonal averages of codensity (C), meridional streamlines over azimuthal velocity contours (U), and poloidal magnetic field lines over azimuthal field contours, with (blue,red) and (dashed, solid) contours indicating (negative, positive) values, respectively. Magnetic field scale bars are in dimensionless Elsasser number $\sqrt{\sigma/\rho_o}\Omega B_r$ units, where σ is electrical conductivity; likewise the velocity scale bars are in dimensionless Reynolds number uD/η units, u being the appropriate dimensional velocity component. Longitude increases to the right of the centerline in the global projections.

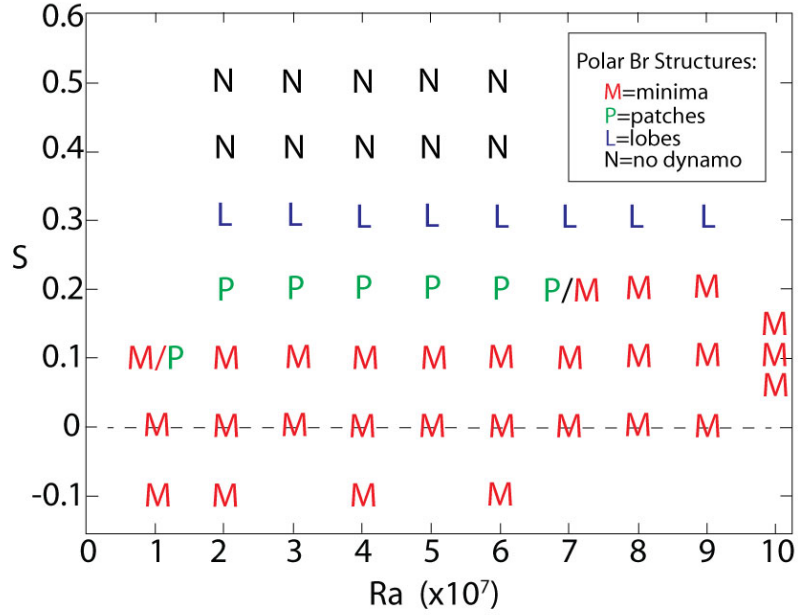


Figure 6: Regime diagram showing $E = 1 \times 10^{-4}$, $L2$ dynamo results for the time average radial magnetic field structure on the outer (core-mantle) boundary at high latitudes.

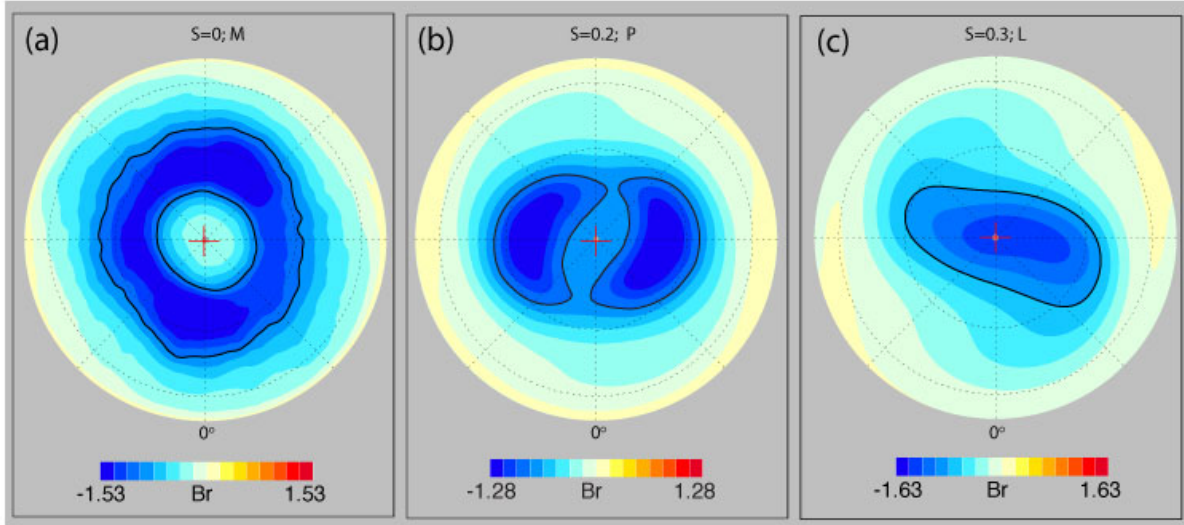


Figure 7: Polar views of time-averaged radial magnetic fields on the outer boundary from the dynamos in Figure 5. Solid black lines indicate bounding contours used for labeling field structures. a: Polar minimum M-structure with $S=0$ stratification parameter; b: Patch P-structure with $S=0.2$; c: Lobe L-structure with $S=0.3$. Magnetic intensity scale bars are in dimensionless Elsasser number units; red crosses mark the time-averaged geomagnetic pole.

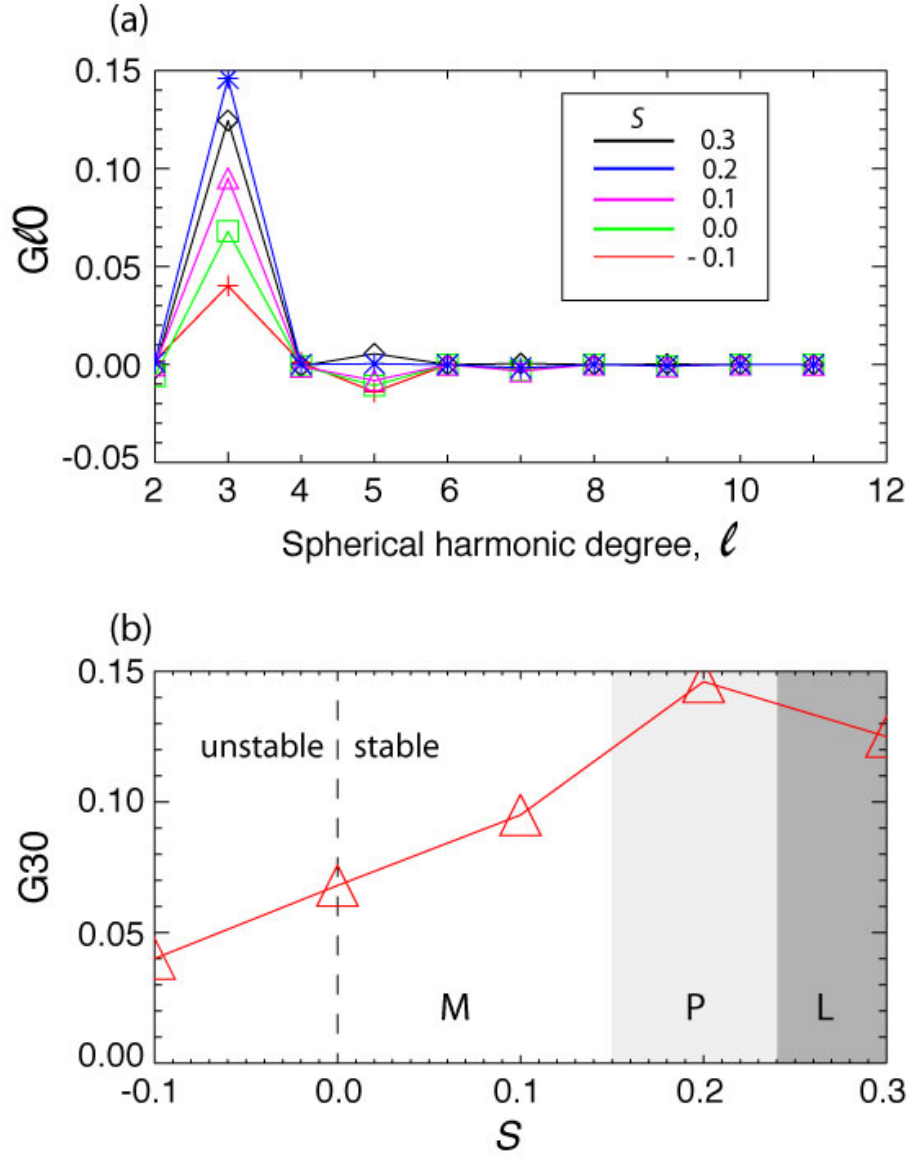


Figure 8: a: Axially symmetric ($m=0$) Gauss coefficient ratios versus spherical harmonic degree from time averaged dynamos with $Ra = 6 \times 10^7$ and $L2$ boundary heterogeneity, for various stratifications. b: Gauss coefficient ratio $G30$ versus stratification parameter S for the same dynamos. M,P,L denote polar minima, patches, and lobes, respectively, in the high latitude magnetic field structure. Stable/unstable refers to the stratification below the outer boundary.

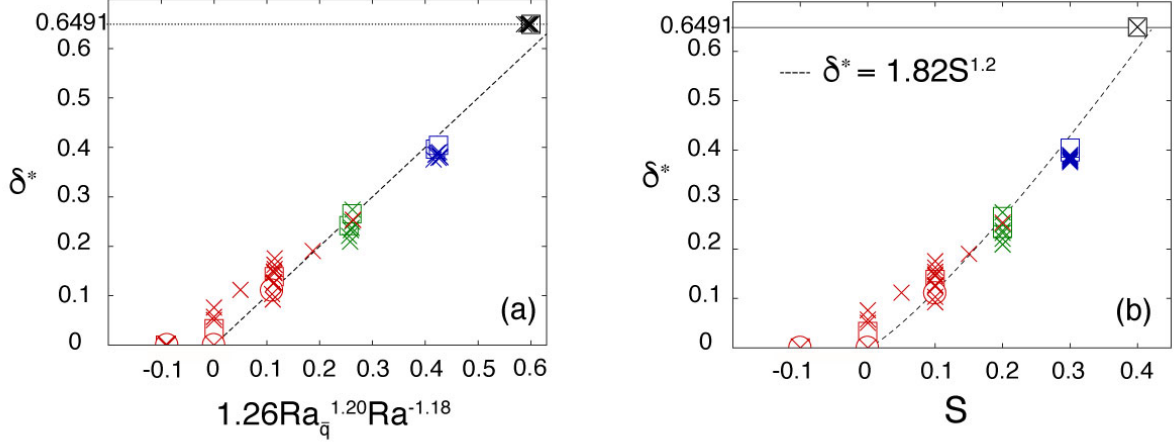


Figure 9: a: Dimensionless thickness of the stratified layer as a function of its best fit in terms of $Ra_{\bar{q}}$ and Ra as defined in the main text. The layer thickness is normalized by the core radius such that its maximum value is 0.6491. b: Dimensionless thickness of the stratified layer as a function of $S = Ra_{\bar{q}}/Ra$ alone. Dotted lines shows the least square best fits. Circles, squares and crosses denote $L0$, $L4$ and $L2$ boundary conditions, respectively. The symbol color varies progressively with Ra from light grey for $Ra = 10^7$ to black for $Ra = 10^8$. Simulations with $S > 0.4$ have been excluded for these fits since the stratified layer reaches its maximal value near $S = 0.4$ (where it invades the whole outer core).

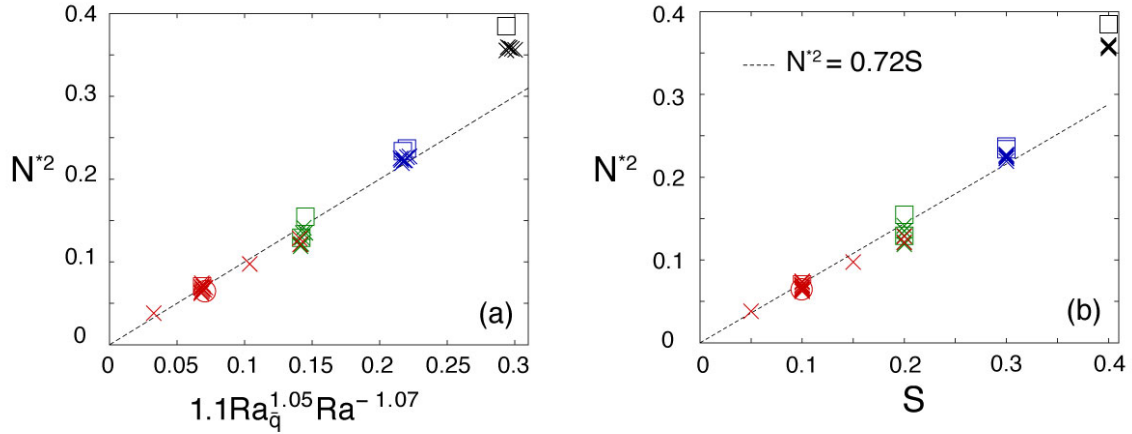


Figure 10: a: Dimensionless stability parameter as a function of its best fit in terms of $Ra_{\bar{q}}$ and Ra as defined in the main text. b: Same parameter as a function of $S = Ra_{\bar{q}}/Ra$ alone. Dotted lines shows the least square best fits. Circles, squares and crosses denote $L0$, $L4$ and $L2$ boundary conditions, respectively. Colors denote the different regimes for the time-averaged magnetic field structures: red for minima (regime M), green for patches (regime P), blue for lobes (regime L) and black for no dynamo (N). The symbol color varies progressively with Ra from light grey for $Ra = 10^7$ to black for $Ra = 10^8$. Simulations with $S \geq 0.4$, in which the layer invades the whole outer core have been excluded from the fits.

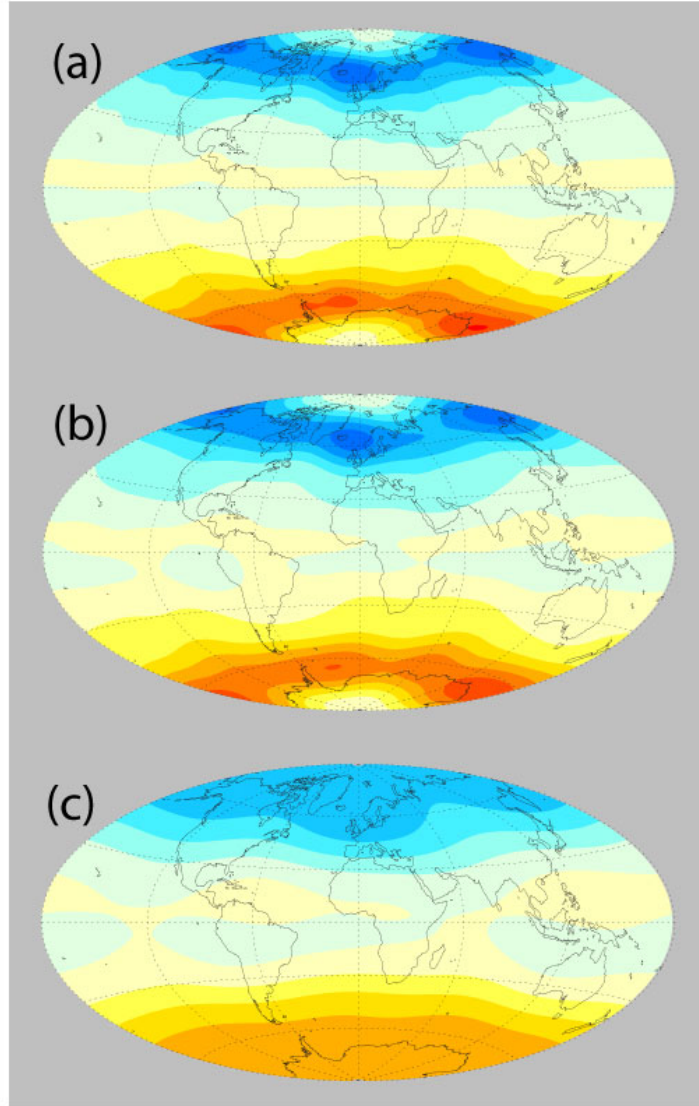


Figure 11: Time average radial magnetic fields on the outer boundary from the dynamo case with $Ra = 6 \times 10^7$ and $L2$ boundary heterogeneity and neutral ($S=0$) stratification parameter. a: truncation at spherical harmonic degree and order $(\ell, m)_{max} = 24$; b: truncation at $(\ell, m)_{max} = 12$; c: truncation at $(\ell, m)_{max} = 6$.

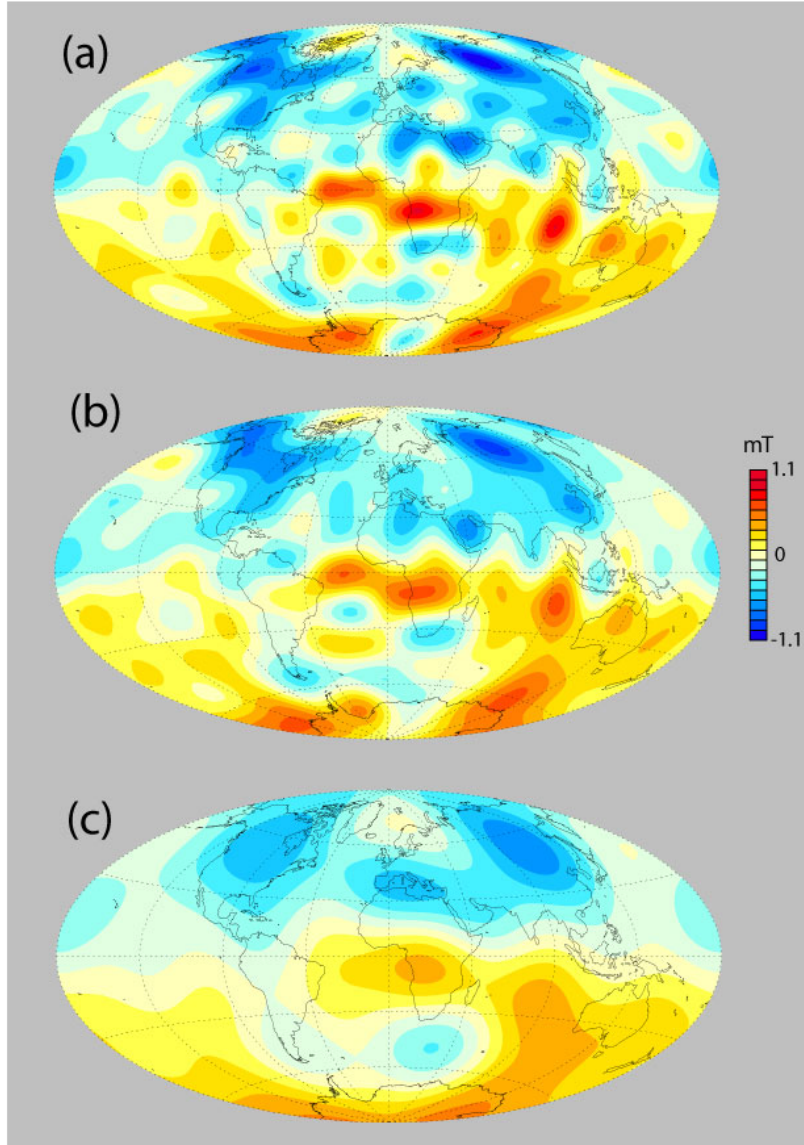


Figure 12: Radial magnetic fields on the CMB from the CHAOS4 2010 geomagnetic field model (Olsen et al., 2014) a: truncation at spherical harmonic degree and order $(\ell, m)_{max} = 14$; b: truncation at $(\ell, m)_{max} = 12$; c: truncation at $(\ell, m)_{max} = 6$.