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### INTEGRAL REPRESENTATION OF UNBOUNDED VARIATIONAL FUNCTIONALS ON SOBOLEV SPACES

#### OMAR ANZA HAFSA AND JEAN-PHILIPPE MANDALLENA

ABSTRACT. In this paper we establish an unbounded version of the integral representation theorem by Buttazzo and Dal Maso (see [BDM85] and also [BFLM02]). More precisely, we prove an integral representation theorem (with a formula for the integrand) for functionals defined on  $W^{1,p}$  with p > N (N being the dimension) that do not satisfy a standard p-growth condition from above and can take infinite values. Applications to  $\Gamma$ -convergence, relaxation and homogenization are also developed.

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#### 1. INTRODUCTION AND MAIN RESULT

Let  $m, N \ge 1$  be two integer, let p > 1 be a real number, let  $\Omega \subset \mathbb{R}^N$  be a bounded open set, let  $\mathbb{M}$  be the space of  $m \times N$  matrices and let  $\mathcal{O}(\Omega)$  be the class of all open subset of  $\Omega$ . In this paper we consider variational functionals<sup>1</sup>  $\mathscr{F} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathcal{O}(\Omega) \to [0, \infty]$  having the following growth property:

(I<sub>0</sub>) there exists  $\mathscr{G}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  defined by

$$\mathscr{G}(u,A) := \int_{\Omega} G(x, \nabla u(x)) dx,$$

with a Borel measurable function  $G : \Omega \times \mathbb{M} \to [0, \infty]$ , and there exist  $\alpha, \beta > 0$  such that for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $A \in \mathcal{O}(\Omega)$ ,

$$\alpha \mathscr{G}(u, A) \leqslant \mathscr{F}(u, A) \leqslant \beta \left( |A| + \mathscr{G}(u, A) \right).$$

In the bounded case, i.e. when  $G(x,\xi) = |\xi|^p$ , Buttazzo and Dal Maso (see [BDM85, Theorem 1.1] and also [But89, §4.3, pp. 148], [BD98, Chapter 9, pp. 77] and [DM93, Chapter 20, pp. 215]) and Bouchitté, Fonseca, Leoni and Mascarenhas (see [BFLM02, Theorem 2]) proved the following theorem.

**Theorem 1.1** ([BDM85, BFLM02]). Under (I<sub>0</sub>) with  $G(x, \xi) = |\xi|^p$ , if  $\mathscr{F}$  satisfies the following four conditions:

- (I<sub>1</sub>) for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , the set function  $\mathscr{F}(u, \cdot)$  is the restriction to  $\mathscr{O}(\Omega)$  of a Borel measure,
- (I<sub>2</sub>) for every  $u, v \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $A \in \mathcal{O}(\Omega)$ , if u(x) = v(x) for  $\mathscr{L}_N$ -a.a.  $x \in A$ , then  $\mathscr{F}(u, A) = \mathscr{F}(v, A)$ ;
- (I<sub>3</sub>) for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , every  $A \in \mathcal{O}(\Omega)$  and every  $z \in \mathbb{R}^m$ ,  $\mathcal{F}(u+z, A) = \mathcal{F}(u, A)$ ;
- (I<sub>4</sub>) for every  $A \in \mathcal{O}(\Omega)$  the functional  $\mathcal{F}(\cdot, A)$  is  $L^p$ -lower semicontinuous,

then, for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $A \in \mathcal{O}(\Omega)$ ,

$$\mathscr{F}(u,A) = \int_A F(x,\nabla u(x))dx$$

with  $F: \Omega \times \mathbb{M} \to [0, \infty]$  defined by

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$$F(x,\xi) := \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\mathscr{F}(u,Q_{\rho}(x))}{\rho^{N}} : u - l_{\xi} \in W_{0}^{1,p}(Q_{\rho}(x);\mathbb{R}^{m}) \right\},$$
(1.1)

where  $Q_{\rho}(x) := x + \rho Y$  with  $Y := ] - \frac{1}{2}, \frac{1}{2}[^N \text{ and } l_{\xi} : \mathbb{R}^N \to \mathbb{R}^m$  is the linear map defined by  $l_{\xi}(y) := \xi y$ .

The object of the present paper is to deal with the problem of finding an integral representation for  $\mathscr{F}$  in the unbounded case, i.e. when  $G(x,\xi)$  is not necessarily equal to  $|\xi|^p$ . In the scalar case, i.e. when m = 1, integral representation problems for unbounded functional were studied by Carbone and De Arcangelis in [CDA02, Chapter 9]. Here we deal with the vectorial case.

Our main result is to establish the following unbounded version of Theorem 1.1.

<sup>&</sup>lt;sup>1</sup>By a variational functional we mean a function of functions and sets.

**Theorem 1.2.** Assume that p > N and  $(I_0)-(I_4)$  hold. Assume further that the following five assumptions are satisfied:

(I<sub>5</sub>) G is p-coercive, i.e. there exists c > 0 such that for every  $(x, \xi) \in \Omega \times \mathbb{M}$ ,

$$G(x,\xi) \ge c|\xi|^p$$

(I<sub>6</sub>) there exists  $\gamma > 0$  such that for every  $x \in \Omega$ , every  $t \in ]0, 1[$  and every  $\xi, \zeta \in \mathbb{M}$ ,

$$G(x, t\xi + (1-t)\zeta) \leq \gamma(1 + G(x,\xi) + G(x,\zeta));$$

(I<sub>7</sub>) there exists r > 0 such that

$$\sup_{|\xi| \le r} G(\cdot, \xi) \in L^1(\Omega);$$

(I<sub>8</sub>) for every  $A \in \mathcal{O}(\Omega)$ , every  $u \in \operatorname{dom}(\mathcal{G}(\cdot, A))$  and  $\mathcal{L}_N$ -a.e.  $x \in A$ ,

$$\lim_{\rho \to 0} \int_{Q_{\rho}(x)} \left| G(y, \nabla u(x)) - G(x, \nabla u(x)) \right| dy = 0,$$

where dom( $\mathscr{G}(\cdot, A)$ ) denotes the effective domain of  $\mathscr{G}(\cdot, A)$ ;

(I<sub>9</sub>)  $\mathcal{F}$  is radially uniformly upper semicontinuous (ru-usc), i.e. there exists  $a \in L^1(\Omega; ]0, \infty]$ ) such that

$$\overline{\lim_{t \to 1^{-}} \Delta^a_{\mathscr{F}}(t)} \leq 0$$

with  $\Delta^a_{\mathscr{F}}:[0,1]\rightarrow]-\infty,\infty]$  defined by

$$\Delta^{a}_{\mathscr{F}}(t) := \sup_{A \in \mathcal{O}(\Omega)} \sup_{u \in \operatorname{dom}(\mathscr{F}(\cdot,A))} \frac{\mathscr{F}(tu,A) - \mathscr{F}(u,A)}{\int_{A} a(x)dx + \mathscr{F}(u,A)},$$

where dom( $\mathscr{F}(\cdot, A)$ ) denotes the effective domain of  $\mathscr{F}(\cdot, A)^2$ . Then, for every  $A \in \mathcal{O}(\Omega)$ ,

where  $\hat{F}: \Omega \times \mathbb{M} \to [0,\infty]$  is defined by

$$\widehat{F}(x,\xi) = \lim_{t \to 1^{-}} F(x,t\xi)$$

with F given by (1.1).

Remark 1.3.

- (i) If  $G(x,\xi) = G_1(x) + G_2(\xi)$  or  $G(x,\xi) = G_1(x)G_2(\xi)$  and if  $G_1 \in L^1_{loc}(\Omega)$ , then (I<sub>8</sub>) holds.
- (ii) (I<sub>6</sub>) implies that for every  $x \in \mathbb{M}$ , the effective domain of  $G(x, \cdot)$  is convex.

<sup>2</sup>Note that under (I<sub>0</sub>) we have dom( $\mathscr{F}(\cdot, A)$ ) = dom( $\mathscr{G}(\cdot, A)$ ) for all  $A \in \mathscr{O}(\Omega)$ .

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- (iii) When *G* does not depend on *x*, i.e.  $G(x, \xi) = G(\xi)$ , (I<sub>8</sub>) can be dropped and (I<sub>7</sub>) means that *G* is bounded at the neighborhood of the null matrix. It is proved in [AHM12, Lemma 4.1] that such a boundlessness condition holds if (I<sub>6</sub>) is satisfied and if the null matrix belongs to the interior of the effective domain of *G*. So, for  $G(x, \xi) = G(\xi)$ , under (I<sub>6</sub>), (I<sub>7</sub>) can be replaced by the following "simpler" assumption: (I<sub>7'</sub>) the null matrix belongs to the interior of the effective domain of *G*.
- (iv) When *G* is convex with respect to  $\xi$ , (I<sub>6</sub>) can be dropped and, since a convex function is continuous in the interior of its effective domain, (I<sub>7</sub>) can be replaced by the following "simpler" assumption:
  - $(I_{7''})$  the null matrix belongs to the interior of the effective domain of the convex function  $\xi \mapsto \sup_{x \in \Omega} G(x, \xi)$ .

Integral representation theorems for variational functionals are part of a general method, usually called "the localization method<sup>3</sup>", which was introduced by the Italian school at the end of the seventies (see [DGL77, DM78, DMM81]) for dealing with  $\Gamma$ -convergence, relaxation and homogenization of integral functionals of the Calculus of Variations. In the bounded case, i.e. under standard *p*-growth conditions, the method is well-developed (see the books [But89, DM93, BD98]). On the other hand, in the unbounded case the method does not work satisfactory and from [Bra06, Remark 4.1] and [BD98, Remark 12.7] a long-standing conjecture of De Giorgi is that it should be possible to deal with the *G*-growth case where *G* is such that  $\mathscr{G}(u, A) = \int_A G(x, \nabla u(x)) dx$  is lower semicontinuous. Theorem 1.2 and its corollaries (see Theorem 4.3 and Corollaries 4.9 and 4.10) gives a partial answer to this conjecture, the main ingredient being the condition of ru-usc (see §2.5 for more details on this notion) that plays a fundamental role in the proof of Theorem 1.2 and its applications to  $\Gamma$ -convergence, relaxation and homogenization. Convexity implies ru-usc (see [AHM14]) but, in the nonconvex and vectorial case, ru-usc seems to be essential to develop the localization method beyond the *p*-growth case.

The plan of the paper is as follows. Section 2 contains auxiliary results for proving Theorem 1.2 (see §2.1) and for dealing with applications (see §2.2, §2.3, §2.4 and 2.5). Section 3 is devoted to the proof of Theorem 1.2. Finally, in Section 4, applications to  $\Gamma(L^p)$ -convergence (see Theorem 4.3), relaxation (see Corollary 4.9) and homogenization (see Corollary 4.10) are developed.

Throughout the paper, we will use the following notation and terminology.

- The Lebesgue measure is denoted by dx, dy or  $\mathscr{L}_N$  and the Lebesgue measure of any Borel measurable set  $Q \subset \mathbb{R}^N$  is denoted by |Q|.
- The interior (resp. closure) of a set  $B \subset \mathbb{R}^N$  is denoted by  $\mathring{B}$  (resp.  $\overline{B}$ ).
- The interior of any subset  $\mathbb{U}$  of the set  $\mathbb{M}$  of  $m \times N$  matrices will be denoted by  $int(\mathbb{U})$ .
- The symbol  $\oint$  stands for the mean-value integral, i.e.  $\oint_Q f dx = \frac{1}{|Q|} \int_Q f dx$ .

<sup>&</sup>lt;sup>3</sup>From [Bra93, Lesson Two, pp. 51] the localization method consists of, firstly, proving a compactness theorem which allows to obtain for each sequence of integral functionals a subsequence  $\Gamma$ -converging to an abstract limit functional, secondly, proving an integral representation result which allows us to write the limit functional as an integral and, thirdly, proving a representation formula for the limit integrand which does not depend on the subsequence, showing thus that the limit is well-defined.

- By the effective domain of a function  $L : \mathbb{M} \to [0, \infty]$  we mean  $\mathbb{L} \subset \mathbb{M}$  given by  $\mathbb{L} := \{\xi \in \mathbb{M} : L(\xi) < \infty\}.$
- Given a variational functional  $\mathscr{F} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$ , by the effective domain  $\mathscr{F}(\cdot, A)$  with  $A \in \mathscr{O}(\Omega)$ , where  $\mathscr{O}(\Omega)$  denotes the class of all open subset of  $\Omega$ , we mean dom $(\mathscr{F}(\cdot, A))$  given by dom $(\mathscr{F}(\cdot, A)) := \{u \in W^{1,p}(\Omega; \mathbb{R}^m) : \mathscr{F}(u, A) < \infty\}$ .

#### 2. AUXILIARY RESULTS

2.1. Integral representation of the Vitali envelope of a set function. What follows was first developed in [BFM98, BB00] (see also [AHCM17]). Let  $\Omega \subset \mathbb{R}^N$  be a bounded open set and let  $\mathcal{O}(\Omega)$  be the class of open subsets of  $\Omega$ . We begin with the concept of the Vitali envelope of a set function.

For each  $\varepsilon > 0$  and each  $A \in \mathcal{O}(\Omega)$ , denote the class of countable families  $\{Q_i = Q_{\rho_i}(x_i)\}_{i \in I}$ (where  $Q_{\rho_i}(x_i) := x_i + \rho_i Y$  where  $Y := ] - \frac{1}{2}, \frac{1}{2}[^N)$  of disjoint open cubes of A with  $x_i \in A$ ,  $\rho_i > 0$  and diam $(Q_i) \in ]0, \varepsilon[$  such that  $|A \setminus \bigcup_{i \in I} Q_i| = 0$  by  $\mathscr{V}_{\varepsilon}(A)$ .

Definition 2.1. Given  $\mathscr{S} : \mathscr{O}(\Omega) \to [0, \infty]$ , for each  $\varepsilon > 0$  we define  $\mathscr{S}^{\varepsilon} : \mathscr{O}(\Omega) \to [0, \infty]$  by

$$\mathscr{S}^{\varepsilon}(A) := \inf \left\{ \sum_{i \in I} \mathscr{S}(Q_i) : \{Q_i\}_{i \in I} \in \mathscr{V}_{\varepsilon}(A) \right\}.$$
(2.1)

By the Vitali envelope of S we call the set function  $S^* : \mathcal{O}(\Omega) \to [-\infty, \infty]$  defined by

$$\mathscr{S}^*(A) := \sup_{\varepsilon > 0} \mathscr{S}^{\varepsilon}(A) = \lim_{\varepsilon \to 0} \mathscr{S}^{\varepsilon}(A).$$
(2.2)

The interest of Definition 2.1 comes from the following integral representation result. (For a proof we refer to [AHCM17, §A.4].)

**Theorem 2.2.** Let  $\mathcal{S} : \mathcal{O}(\Omega) \to [0, \infty]$  be a set function satisfying the following two conditions:

- (a) there exists a finite Borel measure  $\nu$  on  $\Omega$  which is absolutely continuous with respect to  $\mathscr{L}_N$  such that  $\mathscr{S}(A) \leq \nu(A)$  for all  $A \in \mathcal{O}(\Omega)$ ;
- (b) S is subadditive, i.e.  $S(A) \leq S(B) + S(C)$  for all  $A, B, C \in O(\Omega)$  with  $B, C \subset A$ ,  $B \cap C = \emptyset$  and  $|A \setminus B \cup C| = 0$ .

Then  $\lim_{\rho\to 0} \frac{\mathscr{S}(Q_{\rho}(\cdot))}{\rho^{N}} \in L^{1}(\Omega)$  and for every  $A \in \mathscr{O}(\Omega)$ ,

$$\mathcal{S}^*(A) = \int_A \lim_{\rho \to 0} \frac{\mathcal{S}(Q_\rho(x))}{\rho^N} dx.$$

2.2. Compactness theorem with respect to  $\Gamma(L^p)$ -convergence. Let p > 1, let  $\Omega \subset \mathbb{R}^N$  be a bounded open set and let  $\mathcal{O}(\Omega)$  denote the class of all open subset of  $\Omega$ . We begin by recalling the definition of  $\Gamma(L^p)$ -convergence (see [DM93, BD98, Bra06] for more details).

 $\begin{array}{l} \textit{Definition 2.3. For each } \varepsilon > 0, \text{ let } \mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty] \text{ be a variational functional and let } \Gamma(L^p) \text{-} \varliminf_{\varepsilon \to 0} \mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty] \text{ and } \Gamma(L^p) \text{-} \varlimsup_{\varepsilon \to 0} \mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty] \text{ and } \Gamma(L^p) \text{-} \varlimsup_{\varepsilon \to 0} \mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty] \text{ and } \Gamma(L^p) \text{-} \varlimsup_{\varepsilon \to 0} \mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty] \text{ be a variational functional functional for } \mathbb{C} \cap \mathbb{C$ 

 $\mathcal{O}(\Omega) \to [0,\infty]$  be respectively defined by:

$$\Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) := \inf \left\{ \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u_{\varepsilon}, A) : u_{\varepsilon} \xrightarrow{L^p} u \right\};$$
  
$$\Gamma(L^p) - \overline{\lim_{\varepsilon \to 0}} \mathscr{I}_{\varepsilon}(u, A) := \inf \left\{ \overline{\lim_{\varepsilon \to 0}} \mathscr{I}_{\varepsilon}(u_{\varepsilon}, A) : u_{\varepsilon} \xrightarrow{L^p} u \right\}.$$

Let  $\mathscr{I}_0: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  be a variational functional. We say that  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0} \Gamma(L^p)$ converges to  $\mathscr{I}_0$ , and we write  $\mathscr{I}_0 = \Gamma(L^p)$ -lim $_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}$ , if the following two inequalities hold:

$$\mathcal{F}_0 \leqslant \Gamma(L^p) - \underbrace{\lim_{\varepsilon \to 0}}{\mathcal{F}_\varepsilon} \mathcal{F}_\varepsilon;$$
  
$$\Gamma(L^p) - \overline{\lim_{\varepsilon \to 0}} \mathcal{F}_\varepsilon \leqslant \mathcal{F}_0.$$

For families of increasing variational functionals (typically variational integrals), the following theorem isolates a condition on the  $\Gamma(L^p)$ -limit inf and the  $\Gamma(L^p)$ -limit sup to have compactness with respect to  $\Gamma(L^p)$ -convergence. (For a proof we refer to [DM93, Theorem 16.9, pp. 184] and also [BD98, Theorem 10.3, pp. 84].)

**Theorem 2.4.** For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be an increasing variational functional, i.e.  $\mathscr{I}_{\varepsilon}(u, \cdot)$  is increasing<sup>4</sup> for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ . Suppose that for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,

$$\Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot) \text{ and } \Gamma(L^p) - \overline{\lim_{\varepsilon \to 0}} \mathscr{I}_{\varepsilon}(u, \cdot) \text{ are inner regular.}^5$$
(2.3)

Then, every sequence  $\{\mathcal{F}_{\varepsilon}\}_{\varepsilon>0}$  has a  $\Gamma(L^p)$ -convergent subsequence.

*Remark* 2.5. To verify (2.3) we will use Lemma 2.9 (see §2.3) which will allow to establish, under additional assumptions, the stronger property that the  $\Gamma(L^p)$ -limit inf and the  $\Gamma(L^p)$ -limit sup are restrictions to  $\mathcal{O}(\Omega)$  of Borel measures.

The following proposition, combined with Theorem 2.4, furnishes a useful tool to deal with the  $\Gamma(L^p)$ -convergence of increasing variational functionals. (For a proof we refer to [DM93, Proposition 16.8, pp. 183].)

**Proposition 2.6.** For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be an increasing variational functional and let  $\mathscr{I}_0 : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be an increasing variational functional. If (2.3) holds then  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0} \Gamma(L^p)$ -converges to  $\mathscr{I}_0$  if and only every subsequence of  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$  contains a further subsequence which  $\Gamma(L^p)$ -converges to  $\mathscr{I}_0$ .

<sup>&</sup>lt;sup>4</sup>A set function  $\mathscr{S} : \mathscr{O}(\Omega) \to [0,\infty]$  is said to be increasing if  $\mathscr{S}(A) \leq \mathscr{S}(B)$  for all  $A, B \in \mathscr{O}(\Omega)$  such that  $A \subset B$ .

<sup>&</sup>lt;sup>3</sup>An increasing set function  $\mathscr{S} : \mathscr{O}(\Omega) \to [0, \infty]$  is said to be inner regular if for every  $A \in \mathscr{O}(\Omega)$ ,  $\mathscr{S}(A) = \sup\{\mathscr{S}(U) : U \in \mathscr{O}(\Omega) \text{ and } \overline{U} \subset A\}$ . (Note that  $\Gamma(L^p) - \underline{\lim}_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot)$  and  $\Gamma(L^p) - \overline{\lim}_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot)$  are increasing whenever every  $\mathscr{I}_{\varepsilon}(u, \cdot)$  is increasing.)

**2.3.** Increasing set functions. Let  $\Omega \subset \mathbb{R}^N$  be a bounded open set, let  $\mathcal{O}(\Omega)$  be the class of open subsets of  $\Omega$  and let  $\mathscr{B}(\Omega)$  be the class of Borel subsets of  $\Omega$ , i.e. the smallest  $\sigma$ -algebra containing the open (or equivalently the closed) subsets of  $\Omega$ . The following result is due to De Giorgi and Letta (see [DGL77] and also [DM93, Theorem 14.23, pp. 172]).

**Theorem 2.7.** Let  $\mathscr{S} : \mathscr{O}(\Omega) \to [0, \infty]$  be an increasing set function such that  $\mathscr{S}(\emptyset) = 0$ . Then,  $\mathscr{S}$  is the restriction to  $\mathscr{O}(\Omega)$  of a Borel measure, i.e. there exists a measure  $\mu : \mathscr{B}(\Omega) \to [0, \infty]$  such that  $\mathscr{S}(A) = \mu(A)$  for all  $A \in \mathscr{O}(\Omega)$ , if and only if the following three conditions hold:

- (a<sub>1</sub>) S is subadditive, i.e.  $S(A \cup B) \leq S(A) + S(B)$  for all  $A, B \in O(\Omega)$ ;
- (a<sub>2</sub>) S is superadditive, i.e.  $S(A \cup B) \ge S(A) + S(B)$  for all  $A, B \in O(\Omega)$  such that  $A \cap B = \emptyset$ ;
- (a<sub>3</sub>) S is inner regular, i.e.  $S(A) = \sup \{S(U) : U \in \mathcal{O}(\Omega) \text{ and } \overline{U} \subset A\}$  for all  $A \in \mathcal{O}(\Omega)$ .

To establish inner regularity we have the following proposition due to Carbone and De Arcangelis (see [CDA02, Proposition 2.6.10, pp. 74]).

**Proposition 2.8.** Let  $\mathscr{S} : \mathscr{O}(\Omega) \to [0, \infty]$  be an increasing set function satisfying  $(a_1)$  and the following two additional conditions:

- (a<sub>4</sub>) for every  $A \in \mathcal{O}(\Omega)$  with  $\mathcal{S}(A) < \infty$ ,  $\lim_{n \to \infty} \mathcal{S}(A_n) = 0$  for all  $\{A_n\}_n \subset \mathcal{O}(\Omega)$  such that  $\overline{A \setminus A_n} \subset A \setminus A_{n+1}$  and  $\bigcup_n A \setminus A_n = A$ ;
- (a<sub>5</sub>) for every  $A \in \mathcal{O}(\Omega)$  with  $\mathcal{S}(A) = \infty$ ,  $\lim_{n \to \infty} \mathcal{S}(A_n) = \infty$  for all  $\{A_n\}_n \subset \mathcal{O}(\Omega)$  such that  $\overline{A_n} \subset A_{n+1}$  and  $\bigcup_n A_n = A$ .

Then  $(a_3)$  holds.

It is easily seen that the condition  $(a_6)$  below implies  $(a_4)$  and  $(a_5)$ . The following result is then a straightforward consequence of Theorem 2.7 and Proposition 2.8.

**Lemma 2.9.** Let  $\mathscr{S} : \mathscr{O}(\Omega) \to [0, \infty]$  be an increasing set function satisfying  $(a_1)$  and  $(a_2)$  and the following additional condition:

(a<sub>6</sub>) there exist  $\alpha, \beta > 0$ , a measure  $\nu : \mathscr{B}(\Omega) \to [0, \infty]$  and a finite measure  $\lambda : \mathscr{B}(\Omega) \to [0, \infty[$ such that  $\alpha\nu(A) \leq \mathscr{S}(A) \leq \beta(\lambda(A) + \nu(A))$  for all  $A \in \mathcal{O}(\Omega)$ .

Then, S is the restriction to  $\mathcal{O}(\Omega)$  of a Borel measure.

2.4. A subadditive theorem. Let  $\mathcal{O}_{\mathrm{b}}(\mathbb{R}^N)$  be the class of all bounded open subsets of  $\mathbb{R}^N$ . We begin with the following definition.

Definition 2.10. Let  $\mathscr{S} : \mathscr{O}_{\mathrm{b}}(\mathbb{R}^N) \to [0,\infty]$  be a set function.

(i) We say that  $\mathcal{S}$  is subadditive if

$$\mathcal{S}(A) \leqslant \mathcal{S}(B) + \mathcal{S}(C)$$

for all  $A, B, C \in \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N)$  with  $B, C \subset A, B \cap C = \emptyset$  and  $|A \setminus B \cup C| = 0$ . (ii) We say that  $\mathscr{S}$  is  $\mathbb{Z}^N$ -invariant if

$$\mathcal{S}(A+z) = \mathcal{S}(A)$$

for all  $A \in \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N)$  and all  $z \in \mathbb{Z}^N$ .

Let  $\operatorname{Cub}(\mathbb{R}^N)$  be the class of all open cubes in  $\mathbb{R}^N$ . The following theorem is due to Akcoglu and Krengel (see [AK81] and also [LM02] and [AHM11, Theorem 3.11]).

**Theorem 2.11.** Let  $S : \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N) \to [0, \infty]$  be a subadditive and  $\mathbb{Z}^N$ -invariant set function for which there exists  $C \in ]0, \infty[$  such that for every  $A \in \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N)$ ,

$$\mathcal{S}(A) \leqslant C|A|.$$

Then, for every  $Q \in \operatorname{Cub}(\mathbb{R}^N)$ ,

$$\lim_{\varepsilon \to 0} \frac{\mathscr{S}\left(\frac{1}{\varepsilon}Q\right)}{\left|\frac{1}{\varepsilon}Q\right|} = \inf_{k \ge 1} \frac{\mathscr{S}(]0, k[^{N})}{k^{N}}.$$

**2.5. Ru-usc property.** We begin by recalling the concept of ru-usc function which was introduced in [AH10] (see also [AHM14] and [AHM11, §3.1]).

**2.5.1.** *Ru-usc function.* Let  $\Omega \subset \mathbb{R}^N$  be an open set and let  $L : \Omega \times \mathbb{M} \to [0, \infty]$  is a Borel measurable function, where  $\mathbb{M}$  denotes the space of  $m \times N$  matrices. For each  $x \in \Omega$ , we denote the effective domain of  $L(x, \cdot)$  by  $\mathbb{L}_x$  and, for each  $a \in L^1_{\text{loc}}(\Omega; ]0, \infty]$ ), we consider  $\delta^a_L : [0, 1] \to ] - \infty, \infty$ ] defined by

$$\delta_L^a(t) := \sup_{x \in \Omega} \sup_{\xi \in \mathbb{L}_x} \frac{L(x, t\xi) - L(x, \xi)}{a(x) + L(x, \xi)}.$$

Definition 2.12. We say that  $L : \Omega \times \mathbb{M} \to [0, \infty]$  is ru-usc if there exists  $a \in L^1_{loc}(\Omega; ]0, \infty]$ ) such that

$$\overline{\lim_{t \to 1^{-}}} \,\delta^a_L(t) \leqslant 0. \tag{2.4}$$

The interest of Definition 2.12 comes from the following theorem. (For a proof we refer to [AHM11, Theorem 3.5] and also [AHM12, §4.2]) Let  $\hat{L} : \Omega \times \mathbb{M} \to [0, \infty]$  be defined by

$$\widehat{L}(x,\xi) := \lim_{t \to 1^-} L(x,t\xi).$$

**Theorem 2.13.** If  $L : \Omega \times \mathbb{M} \to [0, \infty]$  is ru-usc and if for every  $x \in \Omega$ ,

$$t\mathbb{L}_x \subset \operatorname{int}(\mathbb{L}_x) \text{ for all } t \in ]0,1[,$$

$$(2.5)$$

then:

(a) 
$$\widehat{L}$$
 is ru-usc;  
(b)  $\widehat{L}(x,\xi) = \lim_{t \to 1^{-}} L(x,t\xi)$  for all  $(x,\xi) \in \Omega \times \mathbb{M}$ .

If moreover, for every  $x \in \Omega$ ,  $L(x, \cdot)$  is lsc on  $int(\mathbb{L}_x)$  then:

(c) 
$$\hat{L}(x,\xi) = \begin{cases} L(x,\xi) & \text{if } \xi \in \text{int}(\mathbb{L}_x) \\ \lim_{t \to 1^-} L(x,t\xi) & \text{if } \xi \in \partial \mathbb{L}_x \\ \infty & \text{otherwise;} \end{cases}$$
  
(d) for every  $x \in \Omega$ ,  $\hat{L}(x,\cdot)$  is the lsc envelope of  $L(x,\cdot)$ .

**2.5.2.** *Ru-usc variational functional.* Let p > 1, let  $\mathcal{O}(\Omega)$  be the class of all open subsets of  $\Omega$ . Let  $\mathcal{F}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathcal{O}(\Omega) \to [0, \infty]$  be a variational functional and let  $\Delta^a_{\mathcal{F}}: [0, 1] \to ] - \infty, \infty]$  be defined by

$$\Delta^{a}_{\mathscr{F}}(t) := \sup_{A \in \mathscr{O}(\Omega)} \sup_{u \in \operatorname{dom}(\mathscr{I}(\cdot,A))} \frac{\mathscr{F}(tu,A) - \mathscr{F}(u,A)}{\int_{A} a(x)dx + \mathscr{F}(u,A)},$$

where dom( $\mathscr{F}(\cdot, A)$ ) denotes the effective domain of  $\mathscr{G}(\cdot, A)$ . From now on,  $\Omega$  is bounded. Analogously to the case of a function, the concept of ru-usc for a variational functional is defined as follows.

Definition 2.14. We say that  $\mathscr{F}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  is ru-usc if there exists  $a \in L^1(\Omega; ]0,\infty]$  such that

$$\varlimsup_{t\to 1^-}\Delta^a_{\mathscr{F}}(t)\leqslant 0.$$

Definition 2.14 is motivated by the following result which asserts that "the variational integrals whose integrand is ru-usc are ru-usc variational functionals".

**Proposition 2.15.** If  $L : \Omega \times \mathbb{M} \to [0, \infty]$  is a ru-usc function with  $a \in L^1(\Omega; ]0, \infty]$ ) and if  $\mathcal{I} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathcal{O}(\Omega) \to [0, \infty]$  is such that

$$\mathcal{F}(u,A) = \int_A L(x,\nabla u(x))dx$$

for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and all  $A \in \mathcal{O}(\Omega)$ , then  $\mathcal{F}$  is a ru-usc variational integral with the same function a.

**Proof of Proposition 2.15.** Fix any  $t \in [0, 1]$ , any  $A \in \mathcal{O}(\Omega)$  and any  $u \in \text{dom}(\mathcal{I}(\cdot, A))$ . Then  $\nabla u(x) \in \mathbb{L}_x$  for  $\mathcal{L}_N$ -a.e.  $x \in A$ , and so

 $L(x,\nabla(tu)(x)) = L(x,t\nabla u(x)) \leqslant \delta^a_L(t)(a(x) + L(x,\nabla u(x)) + L(x,\nabla u(x)))$ 

for  $\mathscr{L}_N$ -a.a.  $x \in A$ . Hence

$$\mathscr{F}(tu,A) \leq \delta_L^a(t) \left( \int_A a(x) dx + \mathscr{F}(u,A) \right) + \mathscr{F}(u,A)$$

for all  $A \in \mathcal{O}(\Omega)$  and all  $u \in \text{dom}(\mathcal{F}(\cdot, A))$ , and consequently

$$\sup_{A \in \mathcal{O}(\Omega)} \sup_{u \in \operatorname{dom}(\mathcal{F}(\cdot,A))} \frac{\mathcal{F}(tu,A) - \mathcal{F}(u,A)}{\int_{A} a(x)dx + \mathcal{F}(u,A)} \leqslant \delta_{L}^{a}(t), \text{ i.e. } \Delta_{\mathcal{F}}^{a}(t) \leqslant \delta_{L}^{a}(t),$$

for all  $t \in [0, 1]$ , and the proposition follows because L is ru-usc.

**2.5.3**. *Family of ru-usc variational functionals*. The following definition generalizes Definition **2.14** to the case of a family of variational functionals.

Definition 2.16. For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  be a variational integral. We say that the family  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc if there exist  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^1(\Omega; ]0, \infty]$ ) and  $a_0 \in L^1(\Omega; ]0, \infty]$ ) such that:

$$\lim_{\varepsilon \to 0} \int_{A} a_{\varepsilon}(x) dx = \int_{A} a_{0}(x) dx \text{ for all } A \in \mathcal{O}(\Omega);$$
(2.6)

$$\overline{\lim_{t \to 1^{-}} \sup_{\varepsilon > 0} \Delta^{a_{\varepsilon}}_{\mathcal{J}_{\varepsilon}}(t)} \leq 0.$$
(2.7)

The interest of Definition 2.16 comes from the following result.

**Proposition 2.17.** For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be a variational integral. If  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^1(\Omega; ]0,\infty]$  and  $a_0 \in L^1(\Omega; ]0,\infty]$ ) and if  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0} \cap \Gamma(L^p)$ -converges to  $\mathscr{I}_0 : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  then  $\mathscr{I}_0$  is ru-usc with the function  $a_0$ .

**Proof of Proposition 2.17.** Fix any  $A \in \mathcal{O}(\Omega)$  and any  $u \in \text{dom}(\mathcal{F}_0(\cdot, A))$ . Since  $\{\mathcal{F}_{\varepsilon}\}_{\varepsilon>0}$  $\Gamma(L^p)$ -converges to  $\mathcal{F}_0$ , there exists  $\{u_{\varepsilon}\}_{\varepsilon>0} \subset W^{1,p}(\Omega; \mathbb{R}^m)$  with  $u_{\varepsilon} \in \text{dom}(\mathcal{F}_{\varepsilon}(\cdot, A))$  such that:

$$u_{\varepsilon} \xrightarrow{L^{p}} u;$$
 (2.8)

$$\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u_{\varepsilon}, A) = \mathscr{I}_{0}(u, A).$$
(2.9)

Fix any  $t \in [0, 1]$ . For every  $\varepsilon > 0$ , we have

$$\mathcal{F}_{\varepsilon}(tu_{\varepsilon}, A) \leq \Delta_{\mathcal{F}_{\varepsilon}}^{a_{\varepsilon}}(t) \left( \int_{A} a_{\varepsilon}(x) dx + \mathcal{F}_{\varepsilon}(u_{\varepsilon}, A) \right) + \mathcal{F}_{\varepsilon}(u_{\varepsilon}, A) \\
\leq \sup_{\varepsilon'>0} \Delta_{\mathcal{F}_{\varepsilon'}}^{a_{\varepsilon'}}(t) \left( \int_{A} a_{\varepsilon}(x) dx + \mathcal{F}_{\varepsilon}(u_{\varepsilon}, A) \right) + \mathcal{F}_{\varepsilon}(u_{\varepsilon}, A).$$
(2.10)

From (2.8) we see that  $tu_{\varepsilon} \xrightarrow{L^p} tu$ , and so, since  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0} \Gamma(L^p)$ -converges to  $\mathscr{I}_0$ ,

$$\mathscr{F}_0(tu, A) \leqslant \lim_{\varepsilon \to 0} \mathscr{F}_\varepsilon(tu_\varepsilon, A).$$
(2.11)

As  $\{\mathcal{F}_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc, (2.6) and (2.7) hold. Letting  $\varepsilon \to 0$  in (2.10) and using (2.6), (2.9) and (2.11) we deduce that

$$\mathscr{F}_0(tu,A) \leqslant \sup_{\varepsilon'>0} \Delta^{a_{\varepsilon'}}_{\mathscr{F}_{\varepsilon'}}(t) \left( \int_A a_0(x) dx + \mathscr{F}_0(u,A) \right) + \mathscr{F}_0(u,A).$$

Hence, for every  $A \in \mathcal{O}(\Omega)$  and every  $u \in \text{dom}(\mathcal{F}_0(\cdot, A))$ ,

$$\frac{\mathscr{F}_0(tu,A) - \mathscr{F}_0(u,A)}{\int_A a(x)dx + \mathscr{F}_0(u,A)} \leqslant \sup_{\varepsilon > 0} \Delta^{a_\varepsilon}_{\mathscr{F}_\varepsilon}(t).$$

Consequently

$$\sup_{A \in \mathcal{O}(\Omega)} \sup_{u \in \operatorname{dom}(\mathcal{J}_0(\cdot,A))} \frac{\mathcal{J}_0(tu,A) - \mathcal{J}_0(u,A)}{\int_A a_0(x) dx + \mathcal{J}_0(u,A)} \leqslant \sup_{\varepsilon > 0} \Delta^{a_\varepsilon}_{\mathcal{J}_\varepsilon}(t), \text{ i.e. } \Delta^{a_0}_{\mathcal{J}_0}(t) \leqslant \sup_{\varepsilon > 0} \Delta^{a_\varepsilon}_{\mathcal{J}_\varepsilon}(t)$$

for all  $t \in [0, 1]$ , and the proposition follows by using (2.7).

*Remark* 2.18. From the proof of Proposition 2.17 we see that we are in fact proved that if  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^{1}(\Omega; ]0, \infty]$  and  $a_{0} \in L^{1}(\Omega; ]0, \infty]$  then both  $\Gamma(L^{p})$ - $\underline{\lim}_{\varepsilon\to 0} \mathscr{I}_{\varepsilon}$  and  $\Gamma(L^{p})$ - $\underline{\lim}_{\varepsilon\to 0} \mathscr{I}_{\varepsilon}$  are ru-usc with the function  $a_{0}$ .

The following result is a direct consequence of Proposition 2.17.

**Corollary 2.19.** Let  $\mathscr{F} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$ . If  $\mathscr{F}$  is ru-usc with  $a \in L^1(\Omega; ]0,\infty]$ ) then its  $L^p$ -lower semicontinuous envelope, i.e.  $\overline{\mathscr{F}} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  defined by

$$\overline{\mathscr{F}}(u,A) := \Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{F}(u,A) = \inf \left\{ \underbrace{\lim_{\varepsilon \to 0}} \mathscr{F}(u_\varepsilon,A) : u_\varepsilon \xrightarrow{L^p} u \right\},$$

is ru-usc with the same function a.

**2**.5.4. *Family of ru-usc functions*. Analogously to the case of a family of variational functionals, the concept of ru-usc for a family of functions is defined as follows.

*Definition* 2.20. For each  $\varepsilon > 0$ , let  $L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function. We say that the family  $\{L_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc if there exist  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^{1}(\Omega; ]0, \infty]$  and  $a_{0} \in L^{1}(\Omega; ]0, \infty]$  such that:

$$\lim_{\varepsilon \to 0} \int_{A} a_{\varepsilon}(x) dx = \int_{A} a_{0}(x) dx \text{ for all } A \in \mathcal{O}(\Omega);$$
  
$$\lim_{t \to 1^{-}} \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leq 0.$$
 (2.12)

The following lemma will be useful for dealing with  $\Gamma(L^p)$ -convergence (see §4.1).

**Lemma 2.21.** For each  $\varepsilon > 0$ , let  $L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function and, for each  $\rho > 0$ , let  $\mathscr{H}^{\rho}L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be defined by

$$\mathscr{H}^{\rho}L_{\varepsilon}(x,\xi) := \inf \left\{ \oint_{Q_{\rho}(x)} L_{\varepsilon}(y,\nabla u(y)) dy : u - l_{\xi} \in W_{0}^{1,p}(Q_{\rho}(x);\mathbb{R}^{m}) \right\}.$$

If  $\{L_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^{1}(\Omega; ]0, \infty]$  and  $a_{0} \in L^{\infty}(\Omega; ]0, \infty]$  then

$$L_0 := \lim_{\rho \to 0} \lim_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$$

is ru-usc with the constant function  $||a_0||_{L^{\infty}}$ .

**Proof of Lemma 2.21.** Fix any  $t \in [0, 1]$ , any  $x \in \Omega$  and any  $\xi \in \mathbb{L}_{0,x}$  where  $\mathbb{L}_{0,x}$  is the effective domain of  $L_0(x, \cdot)$ . Then  $L_0(x, \xi) := \overline{\lim_{\rho \to 0} \lim_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi)} < \infty$  and without loss of generality we can suppose that  $\mathscr{H}^{\rho} L_{\varepsilon}(x, \xi) < \infty$  for all  $\rho > 0$  and all  $\varepsilon > 0$ . Fix any  $\rho > 0$  and any  $\varepsilon > 0$ . By definition of  $\mathscr{H}^{\rho} L_{\varepsilon}(x, \xi)$  there exists  $\{u_n\}_n \subset W^{1,p}(\Omega; \mathbb{R}^m)$  with  $u_n - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$  such that:

$$\mathscr{H}^{\rho}L_{\varepsilon}(x,\xi) = \lim_{n \to \infty} \oint_{Q_{\rho}(x)} L_{\varepsilon}(y,\nabla u_n(y))dy;$$
(2.13)

$$\nabla u_n(y) \in \mathbb{L}_{\varepsilon,y} \text{ for all } n \ge 1 \text{ and } \mathscr{L}_N \text{-a.a. } y \in Q_\rho(x),$$
 (2.14)

where  $\mathbb{L}_{\varepsilon,y}$  denotes the effective domain of  $L_{\varepsilon}(y, \cdot)$ . Moreover, for every  $n \ge 1$ ,

$$\mathscr{H}^{\rho}L_{\varepsilon}(x,t\xi) \leqslant \int_{Q_{\rho}(x)} L_{\varepsilon}(y,t\nabla u_n(y))dy$$
(2.15)

since  $tu_n - tl_{\xi} = tu_n - l_{t\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$ . Taking (2.14) into account, we see that for every  $n \ge 1$  and  $\mathscr{L}_N$ -a.e.  $y \in Q_{\rho}(x)$ ,

$$L_{\varepsilon}(y, t\nabla u_n(y)) \leqslant \delta^{a_{\varepsilon}}_{L_{\varepsilon}}(t) \left( a_{\varepsilon}(x) + L_{\varepsilon}(y, \nabla u_n(y)) \right) + L_{\varepsilon}(y, \nabla u_n(y)).$$

Hence

$$\begin{aligned} \oint_{Q_{\rho}(x)} L_{\varepsilon}(y, t \nabla u_{n}(y)) dy &\leq \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \left( \oint_{Q_{\rho}(x)} a_{\varepsilon}(y) dy + \oint_{Q_{\rho}(x)} L_{\varepsilon}(y, \nabla u_{n}(y)) dy \right) \\ &+ \int_{Q_{\rho}(x)} L_{\varepsilon}(y, \nabla u_{n}(y)) dy, \end{aligned}$$

and so, by using (2.15),

$$\mathscr{H}^{\rho}L_{\varepsilon}(x,t\xi) \leqslant \delta^{a_{\varepsilon}}_{L_{\varepsilon}}(t) \left( \oint_{Q_{\rho}(x)} a_{\varepsilon}(y)dy + \oint_{Q_{\rho}(x)} L_{\varepsilon}(y,\nabla u_{n}(y))dy \right) + \oint_{Q_{\rho}(x)} L_{\varepsilon}(y,\nabla u_{n}(y))dy$$

for all  $n \ge 1$ . Letting  $n \to \infty$  and using (2.13), it follows that

$$\mathcal{H}^{\rho}L_{\varepsilon}(x,t\xi) \leqslant \delta^{a_{\varepsilon}}_{L_{\varepsilon}}(t) \left( \oint_{Q_{\rho}(x)} a_{\varepsilon}(y)dy + \mathcal{H}^{\rho}L_{\varepsilon}(x,\xi) \right) + \mathcal{H}^{\rho}L_{\varepsilon}(x,\xi) \\
\leqslant \sup_{\varepsilon'>0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t) \left( \oint_{Q_{\rho}(x)} a_{\varepsilon}(y)dy + \mathcal{H}^{\rho}L_{\varepsilon}(x,\xi) \right) + \mathcal{H}^{\rho}L_{\varepsilon}(x,\xi), \quad (2.16)$$

for all  $\varepsilon > 0$ . Letting  $\varepsilon \to 0$  and noticing that  $a_0 \in L^{\infty}(\Omega; ]0, \infty]$ ), we get

$$\overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x, t\xi) \leq \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t) \left( \oint_{Q_{\rho}(x)} a_{0}(y) dy + \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi) \right) + \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi) \\
\leq \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t) \left( \|a_{0}\|_{L^{\infty}} + \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi) \right) + \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi)$$

for all  $\rho > 0$ . Hence, by letting  $\rho \to 0$ ,

$$L_0(x,t\xi) \leq \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \left( \|a_0\|_{L^{\infty}} + L_0(x,\xi) \right) + L_0(x,\xi), \text{ i.e. } \frac{L_0(x,t\xi) - L_0(x,\xi)}{\|a_0\|_{L^{\infty}} + L_0(x,\xi)} \leq \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)$$

for all  $x \in \Omega$  and all  $\xi \in \mathbb{L}_{0,x}$ . Consequently

$$\sup_{x\in\Omega}\sup_{\xi\in\mathbb{L}_{0,x}}\frac{L_0(x,t\xi)-L_0(x,\xi)}{\|a_0\|_{L^{\infty}}+L_0(x,\xi)}\leqslant \sup_{\varepsilon>0}\delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t), \text{ i.e. } \delta_{L_0}^{\|a_0\|_{L^{\infty}}}(t)\leqslant \sup_{\varepsilon>0}\delta_{L_{\varepsilon}}(t)$$

for all  $t \in [0, 1]$ , and the lemma follows by using (2.12).

As a direct consequence of Lemma 2.21, we have the following result.

**Corollary 2.22.** Let  $L : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function and let  $\mathscr{H}^{\rho}L : \Omega \times \mathbb{M} \to [0, \infty]$  be defined by

$$\mathscr{H}^{\rho}L(x,\xi) := \inf\left\{ \oint_{Q_{\rho}(x)} L(y,\nabla u(y))dy : u - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x);\mathbb{R}^m) \right\}.$$

If L is ru-usc with  $a \in L^{\infty}(\Omega; ]0, \infty]$ ) then

$$\overline{\lim_{\rho\to 0}}\,\mathscr{H}^{\rho}L:\Omega\times\mathbb{M}\to [0,\infty]$$

is ru-usc with the constant function  $||a||_{L^{\infty}}$ .

The following proposition makes clear the link between Definition 2.16 and Definition 2.20.

**Proposition 2.23.** For each  $\varepsilon > 0$ , let  $L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function and let  $\mathscr{F}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  be defined by

$$\mathscr{I}_{\varepsilon}(u,A) := \int_{A} L_{\varepsilon}(x,\nabla u(x)) dx.$$

If  $\{L_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^{1}(\Omega; ]0, \infty]$  and  $a_{0} \in L^{1}(\Omega; ]0, \infty]$  then  $\{\mathcal{I}_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with the same family of functions  $\{a_{\varepsilon}\}_{\varepsilon>0}$  and the same function  $a_{0}$ .

**Proof of Proposition 2.23.** It suffices to prove (2.7). Fix any  $t \in [0, 1]$ , any  $\varepsilon > 0$ , any  $A \in \mathcal{O}(\Omega)$  and any  $u \in \text{dom}(\mathscr{I}_{\varepsilon}(\cdot, A))$ . Then  $\nabla u(x) \in \mathbb{L}_{\varepsilon,x}$  for  $\mathscr{L}_N$ -a.a.  $x \in A$ , where  $\mathbb{L}_{\varepsilon,x}$  denotes the effective domain of  $L_{\varepsilon}(x, \cdot)$ , and so

$$L_{\varepsilon}(x,\nabla(tu)(x)) = L_{\varepsilon}(x,t\nabla u(x)) \leq \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)(a_{\varepsilon}(x) + L_{\varepsilon}(x,\nabla u(x)) + L_{\varepsilon}(x,\nabla u(x)))$$

for  $\mathscr{L}_N$ -a.a.  $x \in A$ . Hence

$$\mathscr{I}_{\varepsilon}(tu,A) \leqslant \delta^{a_{\varepsilon}}_{L_{\varepsilon}}(t) \left( \int_{A} a_{\varepsilon}(x) dx + \mathscr{I}_{\varepsilon}(u,A) \right) + \mathscr{I}_{\varepsilon}(u,A)$$

for all  $A \in \mathcal{O}(\Omega)$  and all  $u \in \text{dom}(\mathcal{I}_{\varepsilon}(\cdot, A))$ , and consequently

$$\sup_{A \in \mathcal{O}(\Omega)} \sup_{u \in \operatorname{dom}(\mathscr{I}_{\varepsilon}(\cdot,A))} \frac{\mathscr{I}_{\varepsilon}(tu,A) - \mathscr{I}_{\varepsilon}(u,A)}{\int_{A} a_{\varepsilon}(x)dx + \mathscr{I}_{\varepsilon}(u,A)} \leqslant \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t), \text{ i.e. } \Delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leqslant \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t),$$

for all  $\varepsilon > 0$ . Thus

$$\sup_{\varepsilon>0} \Delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leqslant \sup_{\varepsilon>0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)$$

for all  $t \in [0, 1]$ , and (2.7) follows from (2.12).

The following lemma, which motivates Definition 2.20 with respect to Definition 2.12, will be useful for homogenization (see §4.3).

**Lemma 2.24.** Let  $L : \mathbb{R}^N \times \mathbb{M} \to [0, \infty]$  be Borel measurable function such that  $L(\cdot, \xi)$  is 1-periodic for all  $\xi \in \mathbb{M}$ , i.e. for every  $(x, z) \in \mathbb{R}^N \times \mathbb{Z}^N$ ,  $L(x + z, \xi) = L(x, \xi)$ , and, for each  $\varepsilon > 0$ , let  $L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be defined by

$$L_{\varepsilon}(x,\xi) := L\left(\frac{x}{\varepsilon},\xi\right).$$

Let  $a \in L^1_{loc}(\mathbb{R}^N; ]0, \infty]$  be a 1-periodic function and, for each  $\varepsilon > 0$ , let  $a_{\varepsilon} \in L^1_{loc}(\mathbb{R}^N; ]0, \infty]$  be defined by

$$a_{\varepsilon}(x) := a\left(\frac{x}{\varepsilon}\right).$$

If L is ru-use with the function a then  $\{L_{\varepsilon}\}_{\varepsilon>0}$  is ru-use with the family of functions  $\{a_{\varepsilon}\}_{\varepsilon>0}$  and the constant function  $\langle a \rangle := \int_{Y} a(y) dy$ .

**Proof of Lemma 2.24.** First of all, it is clear that  $\lim_{\varepsilon \to 0} \int_A a_\varepsilon(x) dx = |A| \langle a \rangle$  for all  $A \in \mathcal{O}(\Omega)$ . So, it suffices to prove (2.12). For any  $t \in [0, 1]$ , any  $\varepsilon > 0$ , any  $x \in \Omega$  and any  $\xi \in \mathbb{L}_{\varepsilon,x}$ , we have

$$\frac{L_{\varepsilon}(x,t\xi) - L_{\varepsilon}(x,\xi)}{a_{\varepsilon}(x) + L_{\varepsilon}(x,\xi)} = \frac{L\left(\frac{x}{\varepsilon},t\xi\right) - L\left(\frac{x}{\varepsilon},\xi\right)}{a\left(\frac{x}{\varepsilon}\right) + L\left(\frac{x}{\varepsilon},\xi\right)}.$$
(2.17)

As  $\mathbb{L}_{\varepsilon,x} = \mathbb{L}_{\frac{x}{\varepsilon}}$  we see that

$$\frac{L\left(\frac{x}{\varepsilon}, t\xi\right) - L\left(\frac{x}{\varepsilon}, \xi\right)}{a\left(\frac{x}{\varepsilon}\right) + L\left(\frac{x}{\varepsilon}, \xi\right)} \leqslant \sup_{y \in \mathbb{R}^N} \sup_{\zeta \in \mathbb{L}_y} \frac{L(y, t\zeta) - L(y, \zeta)}{a(y) + L(y, \zeta)} = \delta_L^a(t),$$

and from (2.17) we deduce that

$$\sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leqslant \delta_{L}^{a}(t) \tag{2.18}$$

for all  $t \in [0, 1]$ , and (2.12) follows from (2.4) because *L* is ru-usc.

3. Proof of the integral representation theorem

The proof of Theorem 1.2 is divided into five steps.

**Step 1: a simple integral representation for F.** We begin by proving the following elementary lemma.

**Lemma 3.1.** If  $(I_0) - (I_1)$  hold then for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,  $\mathcal{F}(u, \cdot)$  is the restriction to  $\mathcal{O}(\Omega)$ of a Borel measure which absolutely continuous with respect to  $\mathcal{L}_N$ . More precisely, for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $A \in \mathcal{O}(\Omega)$ ,

$$\mathscr{F}(u,A) = \int_A \lambda_u(x) dx$$

with  $\lambda_u : \Omega \to [0, \infty]$  Borel measurable given by

$$\lambda_u(x) = \lim_{\rho \to 0} \frac{\mathscr{F}(u, Q_\rho(x))}{\rho^N}$$

**Proof of Lemma 3.1.** By  $(I_0)$  and  $(I_1)$  we see that for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , the set function  $\mathscr{F}(u, \cdot)$  is the restriction to  $\mathscr{O}(\Omega)$  of a Borel measure which is absolutely continuous with respect to  $\mathscr{L}_N$ , and the lemma follows by using Radon-Nikodym's theorem and then Lebesgue's differentiation theorem.

From now on, we fix  $A \in \mathcal{O}(\Omega)$ .

Step 2: using the Vitali envelope. For every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  we consider the set function  $m_u : \mathcal{O}(A) \to [0, \infty]$  defined by

$$\mathbf{m}_{u}(U) := \inf \left\{ \mathscr{F}(v,U) : v - u \in W_{0}^{1,p}(U;\mathbb{R}^{m}) \right\}.$$

$$(3.1)$$

For every  $\varepsilon > 0$  and every  $U \in \mathcal{O}(A)$ , we denote the class of countable families  $\{Q_i := Q_{\rho_i}(x_i)\}_{i\in I}$  of disjoint open cubes of U with  $x_i \in U$ ,  $\rho_i > 0$  and  $\operatorname{diam}(Q_i) \in ]0, \varepsilon[$  such that  $|U \setminus \bigcup_{i\in I} Q_i| = 0$  by  $\mathscr{V}_{\varepsilon}(U)$ , we consider  $\operatorname{m}_u^{\varepsilon} : \mathcal{O}(A) \to [0, \infty]$  given by

$$\mathbf{m}_{u}^{\varepsilon}(U) := \inf \left\{ \sum_{i \in I} \mathbf{m}_{u}(Q_{i}) : \{Q_{i}\}_{i \in I} \in \mathscr{V}_{\varepsilon}(U) \right\}$$

and we define  $\mathbf{m}^*_u: \mathcal{O}(A) \to [0,\infty]$  by

$$m_u^*(U) := \sup_{\varepsilon > 0} m_u^\varepsilon(U) = \lim_{\varepsilon \to 0} m_u^\varepsilon(U)$$

i.e.  $m_u^*$  is the Vitali envelope of  $m_u$  (see §2.1).

Step 2 consists of proving the following lemma.

Lemma 3.2. If 
$$(I_0) - (I_2)$$
 and  $(I_4) - (I_5)$  hold then for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $U \in \mathcal{O}(A)$ ,  
 $\mathscr{F}(u, U) = m_u^*(U).$  (3.2)

**Proof of Lemma 3.2.** Fix  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ . Given any  $Q \in \mathcal{O}(A)$ , it is easy to see that  $m_u(Q) \leq \mathcal{F}(u, Q)$ . Hence, for every  $U \in \mathcal{O}(A)$ ,

$$m_u^*(U) \leq \mathscr{F}(u, U)$$

because, by (I<sub>1</sub>),  $\mathscr{F}(\cdot, U)$  is the restriction to  $\mathscr{O}(A)$  of a Borel measure. So, to establish (3.2), it remains to prove that for every  $U \in \mathscr{O}(A)$ ,

$$\mathscr{F}(u,U) \leqslant \mathrm{m}_u^*(U). \tag{3.3}$$

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Fix  $U \in \mathcal{O}(A)$  with  $m_u^*(U) < \infty$ . Fix any  $\varepsilon > 0$ . By definition of  $m_u^{\varepsilon}(U)$  there exists  $\{Q_i\}_{i \in I} \in \mathcal{V}_{\varepsilon}(U)$  such that

$$\sum_{i \in I} m_u(Q_i) \leqslant m_u^{\varepsilon}(U) + \frac{\varepsilon}{2}.$$
(3.4)

For each  $i \in I$ , by definition of  $m_u(Q_i)$  there exists  $v_i \in W^{1,p}(Q_i; \mathbb{R}^m)$  such that  $v_i - u \in W_0^{1,p}(Q_i; \mathbb{R}^m)$  and

$$\mathscr{F}(v_i, Q_i) \leq \mathrm{m}_u(Q_i) + \frac{\varepsilon |Q_i|}{2|U|}.$$
(3.5)

Define  $u_{\varepsilon}: \Omega \to \mathbb{R}^m$  by

$$u_{\varepsilon} := \begin{cases} u & \text{in } \Omega \backslash U \\ v_i & \text{in } Q_i. \end{cases}$$

Then  $u_{\varepsilon} - u \in W_0^{1,p}(U; \mathbb{R}^m)$ . Taking (I<sub>2</sub>) into account, from (3.5) we see that

$$\mathscr{F}(u_{\varepsilon}, U) \leqslant \sum_{i \in I} \mathrm{m}_u(Q_i) + \frac{\varepsilon}{2},$$

hence  $\mathscr{F}(u_{\varepsilon}, U) \leqslant m_u^{\varepsilon}(U) + \varepsilon$  by using (3.4), and consequently

$$\overline{\lim_{\varepsilon \to 0}} \mathcal{F}(u_{\varepsilon}, U) \leqslant \mathrm{m}_{u}^{*}(U).$$
(3.6)

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On the other hand, we have

$$\|u_{\varepsilon} - u\|_{L^p}^p = \int_U |u_t^{\varepsilon} - tu|^p dx = \sum_{i \in I} \int_{Q_i} |v_i - u|^p dx.$$

As diam $(Q_i) \in ]0, \varepsilon[$  for all  $i \in I$ , by using Poincaré inequality we see that

$$\sum_{i \in I} \int_{Q_i} |v_i - u|^p dx \leqslant \varepsilon^p C \sum_{i \in I} \int_{Q_i} |\nabla v_i - \nabla u|^p dx,$$

where C > 0 is independent of  $\varepsilon$ , t and i. Hence

$$\sum_{i\in I}\int_{Q_i}|v_i-u|^pdx\leqslant 2^p\varepsilon^pC\left(\sum_{i\in I}\int_{Q_i}|\nabla v_i|^pdx+\int_U|\nabla u|^pdx\right),$$

and consequently

$$\|u_{\varepsilon} - u\|_{L^p}^p \leqslant 2^p \varepsilon^p C\left(\sum_{i \in I} \int_{Q_i} |\nabla v_i|^p dx + \int_U |\nabla u|^p dx\right).$$
(3.7)

Taking  $(I_5)$ ,  $(I_0)$ , (3.5) and (3.4) into account, from (3.7) we deduce that

$$\|u_{\varepsilon} - u\|_{L^p}^p \leq 2^p \varepsilon^p C\left(\frac{1}{\alpha c}(\mathbf{m}_u^{\varepsilon}(U) + \varepsilon) + \int_A |\nabla u|^p dx\right),$$

which gives

$$\lim_{\varepsilon \to 0} \|u_{\varepsilon} - u\|_{L^p}^p = 0 \tag{3.8}$$

because  $\lim_{\varepsilon \to 0} m_u^{\varepsilon}(U) = m_u^*(U) < \infty$ , and (3.3) follows from (3.6) because  $\mathscr{F}(u, U) \leq \lim_{\varepsilon \to 0} \mathscr{F}(w_{\varepsilon}, U)$  by (I<sub>4</sub>).

Step 3: differentiation with respect to  $\mathscr{G}_N$ . This step consists of applying Theorem 2.2 with  $\mathscr{S} = m_u$  where  $u \in \operatorname{dom}(\mathscr{G}(\cdot, A))$ . More precisely, Step 3 consists of proving the following lemma.

**Lemma 3.3.** If  $(I_0) - (I_2)$  and  $(I_4) - (I_6)$  then for every  $u \in \text{dom}(\mathscr{G}(\cdot, A))$  and every  $U \in \mathscr{O}(A)$ ,

$$m_u^*(U) = \int_U \lim_{\rho \to 0} \frac{m_u(Q_\rho(x))}{\rho^N} dx.$$
 (3.9)

As a direct consequence we have

$$\mathscr{F}(u,U) = \int_{U} \lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_\rho(x))}{\rho^N} dx$$
(3.10)

for all  $u \in \operatorname{dom}(\mathscr{G}(\cdot, A))$  and all  $U \in \mathcal{O}(A)$ .

**Proof of Lemma 3.3.** Fix  $u \in \text{dom}(\mathscr{G}(\cdot, A))$ . The integral representation of  $\mathscr{F}(u, \cdot)$  in (3.10) follows from (3.9) by using Lemma 3.2 and the definition of  $m_u$  in (3.1). So, we only need to establish (3.9). For this, it is sufficient to prove that  $m_u$  is subadditive and there exists a finite Borel measure  $\nu$  on  $\mathscr{O}(A)$  which is absolutely continuous with respect to  $\mathscr{L}_N$  such that

$$m_u(U) \leqslant \nu(U) \tag{3.11}$$

for all  $U \in \mathcal{O}(A)$ , and then to apply Theorem 2.2. From the definition of  $m_u$ , it is easy to see that for every  $U, V, W \in \mathcal{O}(A)$  with  $V, W \subset U, V \cap W = \emptyset$  and  $|U \setminus V \cup W| = 0$ ,

$$\mathbf{m}_u(U) \leqslant \mathbf{m}_u(V) + \mathbf{m}_u(W),$$

which shows the subadditivity of  $m_u$ . On the other hand, by (I<sub>0</sub>) we have

$$\begin{split} \mathbf{m}_u(U) &\leqslant \quad \mathscr{F}(u,U) \\ &\leqslant \quad \beta \left( |U| + \mathscr{G}(u,U) \right) \\ &= \quad \beta |U| + \beta \int_U G(x,\nabla u(x)) dx. \end{split}$$

Thus (3.11) is satisfied with the Borel measure  $\nu := \beta(1 + G(\cdot, \nabla u(\cdot))\mathscr{L}_N)$  which is finite since  $u \in \operatorname{dom}(\mathscr{G}(\cdot, A))$ .

Step 4: formula for the integrand. According to (3.10), the proof of Theorem 1.2 will be completed (see Substep 5-2 and also Step 6) if we prove that for every  $u \in \text{dom}(\mathscr{G}(\cdot, A))$  and  $\mathscr{L}_N$ -a.e.  $x \in A$ , we have:

$$\lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_\rho(x))}{\rho^N} \ge \overline{\lim_{t \to 1^-} \lim_{\rho \to 0} \frac{\mathrm{m}_{tu_x}(Q_\rho(x))}{\rho^N}}; \tag{3.12}$$

$$\lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_\rho(x))}{\rho^N} \leq \lim_{t \to 1^-} \overline{\lim_{\rho \to 0}} \frac{\mathrm{m}_{tu_x}(Q_\rho(x))}{\rho^N},\tag{3.13}$$

where  $u_x(y) := u(x) + \nabla u(x)(y - x)$ .

Substep 4-1: proof of (3.12) and (3.13). We only give the proof of (3.12). As the proof of (3.13) uses the same method, the details are left to the reader. Fix any  $\varepsilon > 0$ . Since, by (I<sub>9</sub>),  $\overline{\lim_{t\to 1^-} \Delta^a_{\mathscr{F}}}(t) \leq 0$ , there exists  $t_0 \in ]0, 1[$  such that

$$\Delta^{a}_{\mathscr{F}}\left(t\right) \leqslant \varepsilon \tag{3.14}$$

for all  $t \in [t_0, 1[$ . Fix  $u \in \text{dom}(\mathscr{G}(\cdot, A))$ . Fix any  $\tau \in ]0, 1[$ , any  $\rho \in ]0, \varepsilon[$  and any  $t \in [t_0, 1[$ . By definition of  $m_u(Q_{\tau\rho}(x))$  there exists  $w \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that  $w - u \in W_0^{1,p}(Q_{\tau\rho}(x); \mathbb{R}^m)$  and

$$\mathscr{F}(w, Q_{\tau\rho}(x)) \leq \mathrm{m}_u(Q_{\tau\rho}(x)) + \varepsilon(\tau\rho)^N.$$
 (3.15)

Let  $\varphi \in C^{\infty}(\Omega)$  be a cut-off function for the pair  $(\Omega \setminus Q_{\rho}(x), \overline{Q}_{\tau\rho}(x))$ , i.e.  $\varphi(x) \in [0, 1]$  for all  $x \in \Omega, \varphi(x) = 0$  for all  $x \in \Omega \setminus Q_{\rho}(x)$  and  $\varphi(x) = 1$  for all  $\overline{Q}_{\tau\rho}(x)$ , such that

$$\|\nabla\varphi\|_{L^{\infty}} \leq \frac{c}{\rho(1-\tau)} \tag{3.16}$$

for some c > 0 (which does not depend on  $\rho$  and  $\tau$ ). Define  $v \in W^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$  by

$$v := \varphi t u + (1 - \varphi) t u_x. \tag{3.17}$$

Then  $v - tu_x \in W_0^{1,p}(Q_\rho(x); \mathbb{R}^m)$  and we have

$$\nabla v = \begin{cases} \nabla(tu) & \text{in } \overline{Q}_{\tau\rho}(x) \\ (1-t)\frac{t}{1-t}\nabla\varphi \otimes (u-u_x) + t(\varphi\nabla u + (1-\varphi)\nabla u(x)) & \text{in } Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x). \end{cases}$$
(3.18)

As  $tw - tu \in W_0^{1,p}(Q_{\tau\rho}(x); \mathbb{R}^m)$  we have  $v + (tw - tu) - tu_x \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$ . Taking Lemma 3.1 into account we see that

$$\begin{aligned} \frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{(\tau\rho)^N} &\leqslant & \frac{\mathscr{F}(v+tw-tu,Q_{\rho}(x))}{(\tau\rho)^N} \\ &= & \frac{1}{(\tau\rho)^N} \int_{Q_{\rho}(x)} \lambda_{v+tw-tu}(y) dy \\ &= & \frac{1}{(\tau\rho)^N} \left( \int_{\overline{Q}_{\tau\rho}(x)} \lambda_{v+tw-tu}(y) dy + \int_{Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x)} \lambda_{v+tw-tu}(y) dy \right) \\ &= & \frac{1}{(\tau\rho)^N} \int_{Q_{\tau\rho}(x)} \lambda_{v+tw-tu}(y) dy + \frac{\mathscr{F}(v+tw-tu,Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x))}{(\tau\rho)^N} \\ &= & \frac{\mathscr{F}(v+tw-tu,Q_{\tau\rho}(x))}{(\tau\rho)^N} + \frac{\mathscr{F}(v+tw-tu,Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x))}{(\tau\rho)^N}. \end{aligned}$$

But, by (3.17) and (I<sub>2</sub>), we have  $\mathscr{F}(v + tw - tu, Q_{\tau\rho}(x)) = \mathscr{F}(tw, Q_{\tau\rho}(x))$  and  $\mathscr{F}(v + tw - tu, Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x)) = \mathscr{F}(v, Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x))$  because tw - tu = 0 in  $Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x)$ , and so, by using (I<sub>1</sub>),

$$\frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{(\tau\rho)^N} \leqslant \frac{\mathscr{F}(tw,Q_{\tau\rho}(x))}{(\tau\rho)^N} + \frac{\mathscr{F}(v,Q_{\rho}(x)\backslash\overline{Q}_{\tau\rho}(x))}{(\tau\rho)^N}.$$

Consequently, since  $\mathscr{F}(tw, Q_{\tau\rho}(x)) \leq (1 + \Delta^a_{\mathscr{F}}(t))\mathscr{F}(w, Q_{\tau\rho}(x)) + \Delta^a_{\mathscr{F}}(t) \int_{Q_{\tau\rho}(x)} a(y) dy$ , we get

$$\frac{\mathrm{m}_{tu_{x}}(Q_{\rho}(x))}{(\tau\rho)^{N}} \leqslant (1 + \Delta^{a}_{\mathscr{F}}(t)) \frac{\mathscr{F}(w, Q_{\tau\rho}(x))}{(\tau\rho)^{N}} + \Delta^{a}_{\mathscr{F}}(t) \oint_{Q_{\tau\rho}(x)} a(y) dy + \frac{\mathscr{F}(v, Q_{\rho}(x) \setminus \overline{Q}_{\tau\rho}(x))}{(\tau\rho)^{N}}.$$

From (3.14), (3.15), (3.18),  $(I_0)$  and  $(I_6)$  we deduce that

$$\begin{aligned} \frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{\rho^N} &\leqslant \ \frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{(\tau\rho)^N} &\leqslant \ (1+\varepsilon)\left(\frac{\mathrm{m}_u(Q_{\tau\rho}(x))}{(\tau\rho)^N} + \varepsilon\right) + \varepsilon \oint_{Q_{\tau\rho}(x)} a(y)dy \\ &+ \frac{C}{(\tau\rho)^N} \int_{Q_{\rho}(x) \setminus Q_{\tau\rho}(x)} G\left(y, \frac{t}{1-t} \nabla \varphi \otimes (u-u_x)\right)dy \\ &+ \frac{C}{(\tau\rho)^N} \int_{Q_{\rho}(x) \setminus Q_{\tau\rho}(x)} \left[G(y, \nabla u) + G(y, \nabla u(x))\right]dy \\ &+ C\left(\frac{1}{\tau^N} - 1\right) \end{aligned}$$

with  $C := \beta + \beta \gamma + \beta \gamma^2$ . On the other hand, by (3.16) we have

$$\begin{aligned} \left| \frac{t}{1-t} \nabla \varphi(y) \otimes (u(y) - u_x(y)) \right| &\leq \left| \frac{t}{1-t} \right| \| \nabla \varphi \|_{L^{\infty}} \| u - u_x \|_{L^{\infty}(Q_{\rho}(x);\mathbb{R}^m)} \\ &\leq \frac{tc}{(1-t)(1-\tau)} \frac{1}{\rho} \| u - u_x \|_{L^{\infty}(Q_{\rho}(x);\mathbb{R}^m)} \end{aligned}$$

for  $\mathscr{L}_N$ -a.a.  $y \in Q_\rho(x) \setminus Q_{\tau\rho}(x)$ . But  $\lim_{\rho \to 0} \frac{1}{\rho} ||u - u_x||_{L^\infty(Q_\rho(x);\mathbb{R}^m)} = 0$  because p > N, hence there exists  $\rho_0 > 0$  (which depends on t and  $\tau$ ) such that

$$\left|\frac{t}{1-t}\nabla\varphi(y)\otimes(u(y)-u_x(y))\right|\leqslant r$$

for  $\mathscr{L}_N$ -a.a.  $y \in Q_\rho(x) \setminus Q_{\tau\rho}(x)$  and all  $\rho \in ]0, \rho_0[$  with r > 0 given by (I<sub>7</sub>). Consequently

$$\int_{Q_{\rho}(x)\setminus Q_{\tau\rho}(x)} G\left(y, \frac{t}{1-t} \nabla \varphi \otimes (u-u_x)\right) dy \leq \int_{Q_{\rho}(x)\setminus Q_{\tau\rho}(x)} \sup_{|\xi| \leq r} G(y,\xi) dy \tag{3.19}$$

for all  $\rho \in ]0, \rho_0[$ . Moreover, it easy to see that:

$$\int_{Q_{\rho}(x)\setminus Q_{\tau\rho}(x)} \sup_{|\xi|\leqslant r} G(y,\xi) dy \leqslant \rho^{N} \oint_{Q_{\rho}(x)} \left| \sup_{|\xi|\leqslant r} G(y,\xi) - \sup_{|\xi|\leqslant r} G(x,\xi) \right| dy +\rho^{N} (1-\tau^{N}) \sup_{|\xi|\leqslant r} G(x,\xi);$$
(3.20)

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$$\int_{Q_{\rho}(x)\setminus Q_{\tau\rho}(x)} G(y,\nabla u(y))y \leqslant \rho^{N} \oint_{Q_{\rho}(x)} \left| G(y,\nabla u(y)) - G(x,\nabla u(x)) \right| dy$$
$$+\rho^{N}(1-\tau^{N})G(x,\nabla u(x)); \tag{3.21}$$

$$\int_{Q_{\rho}(x)\setminus Q_{\tau\rho}(x)} G(y,\nabla u(x))dy \leq \rho^{N} \int_{Q_{\rho}(x)} \left| G(y,\nabla u(x)) - G(x,\nabla u(x)) \right| dy +\rho^{N}(1-\tau^{N})G(x,\nabla u(x)).$$
(3.22)

Combining (3.19) with (3.20), (3.21) and (3.22) we deduce that

$$\frac{\mathrm{m}_{tu_{x}}(Q_{\rho}(x))}{\rho^{N}} \leqslant (1+\varepsilon) \left( \frac{\mathrm{m}_{u}(Q_{\tau\rho}(x))}{(\tau\rho)^{N}} + \varepsilon \right) + \varepsilon \int_{Q_{\tau\rho}(x)} a(y) dy \\
+ \frac{C}{\tau^{N}} \int_{Q_{\rho}(x)} \left| \sup_{|\xi| \leqslant r} G(y,\xi) - \sup_{|\xi| \leqslant r} G(x,\xi) \right| dy \\
+ \frac{C}{\tau^{N}} \int_{Q_{\rho}(x)} |G(y,\nabla u(y)) - G(x,\nabla u(x))| dy \\
+ \frac{C}{\tau^{N}} \int_{Q_{\rho}(x)} |G(y,\nabla u(x)) - G(x,\nabla u(x))| dy \\
+ C\left(\frac{1}{\tau^{N}} - 1\right) \left( \sup_{|\xi| \leqslant r} G(x,\xi) + 2G(x,\nabla u(x)) + 1 \right).$$
(3.23)

As  $\sup_{|\xi|\leqslant r} G(\cdot,\xi) \in L^1(\Omega)$  by (I<sub>7</sub>), we have

$$\lim_{\rho \to 0} \oint_{Q_{\rho}(x)} \left| \sup_{|\xi| \le r} G(y,\xi) - \sup_{|\xi| \le r} G(x,\xi) \right| dy = 0.$$
(3.24)

In the same way, as  $u \in \text{dom}(\mathscr{G}(\cdot, A))$ , i.e.  $G(\cdot, \nabla u(\cdot)) \in L^1(A)$ , we can assert that

$$\lim_{\rho \to 0} \oint_{Q_{\rho}(x)} |G(y, \nabla u(y)) - G(x, \nabla u(x))| dy = 0,$$
(3.25)

and by  $(I_8)$  we have

$$\lim_{\rho \to 0} \oint_{Q_{\rho}(x)} \left| G(y, \nabla u(x)) - G(x, \nabla u(x)) \right| dy = 0.$$
(3.26)

Moreover, as  $a \in L^1(\Omega; ]0, \infty]$  it is clear that

$$\lim_{\rho \to 0} \oint_{Q_{\tau\rho}(x)} a(y) dy = a(x).$$
(3.27)

Letting  $\rho \to 0$  in (3.23) and using (3.24), (3.25), (3.26) and (3.27) we see that

$$\overline{\lim_{\rho \to 0}} \frac{\mathrm{m}_{tu_x}(Q_\rho(x))}{\rho^N} \leqslant (1+\varepsilon) \left( \lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_\rho(x))}{\rho^N} + \varepsilon \right) + \varepsilon a(x) \\
+ C \left( \frac{1}{\tau^N} - 1 \right) \left( \sup_{|\xi| \leqslant r} G(x,\xi) + 2G(x,\nabla u(x)) + 1 \right). \quad (3.28)$$

Letting  $t \to 1^-$  and  $\tau \to 1^-$  in (3.28) we deduce that

$$\lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{\rho^N} \leq (1+\varepsilon) \left( \lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_{\rho}(x))}{\rho^N} + \varepsilon \right) + \varepsilon a(x)$$

and (3.12) follows by letting  $\varepsilon \to 0$ .

Substep 4-2: establishing the formula for the integrand. By (3.12) and (3.13) we have

$$\lim_{\rho \to 0} \frac{\mathrm{m}_u(Q_\rho(x))}{\rho^N} = \lim_{t \to 1^-} \overline{\lim_{\rho \to 0}} \, \frac{\mathrm{m}_{tu_x}(Q_\rho(x))}{\rho^N}.$$
(3.29)

On the other hand, it is easily seen that

$$\lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \frac{\mathrm{m}_{tu_x}(Q_{\rho}(x))}{\rho^N} = \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\mathscr{F}(v, Q_{\rho}(x))}{\rho^N} : v - tu_x \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m) \right\}$$
$$= \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\mathscr{F}(v + tu_x, Q_{\rho}(x))}{\rho^N} : v \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m) \right\}.$$

But by  $(I_3)$  we have

$$\mathscr{F}(v + tu_x, Q_{\rho}(x)) = \mathscr{F}(v + t\nabla u(x) + tu(x) - \nabla u(x)x, Q_{\rho}(x)) = \mathscr{F}(v + t\nabla u(x), Q_{\rho}(x)),$$
  
and so

$$\lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \frac{\mathrm{m}_{tu_{x}}(Q_{\rho}(x))}{\rho^{N}} = \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\mathscr{F}(v + t\nabla u(x), Q_{\rho}(x))}{\rho^{N}} : v \in W_{0}^{1,p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\}$$

$$= \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\mathscr{F}(v, Q_{\rho}(x))}{\rho^{N}} : v - l_{t\nabla u(x)} \in W_{0}^{1,p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\}$$

$$= \lim_{t \to 1^{-}} F(x, t\nabla u(x)) \qquad (3.30)$$

with  $F : \Omega \times \mathbb{M} \to [0, \infty]$  defined by (1.1). Combining (3.10), (3.29) and (3.30) we conclude that

$$\mathscr{F}(u,U) = \int_{U} \lim_{t \to 1^{-}} F(x,t\nabla u(x)) dx$$

for all  $u \in \operatorname{dom}(\mathscr{G}(\cdot, A))$  and all  $U \in \mathscr{O}(A)$ .

Step 5: end of the proof. From Steps 2, 3 and 4, we have proved that for every  $A \in \mathcal{O}(\Omega)$  and every  $u \in \operatorname{dom}(\mathcal{G}(\cdot, A))$ ,  $\mathcal{F}(u, A) = \int_{A} \lim_{t \to 1^{-}} F(x, t \nabla u(x)) dx$ . On the other hand, by (I<sub>0</sub>) we see that for each  $A \in \mathcal{O}(\Omega)$ , if  $u \in W^{1,p}(\Omega; \mathbb{R}^m) \setminus \operatorname{dom}(\mathcal{G}(\cdot, A))$  then  $\mathcal{F}(u, A) = \infty$ .

### 4. Applications

In what follows, p > 1 is a real number,  $\Omega \subset \mathbb{R}^N$  is a bounded open set,  $\mathcal{O}(\Omega)$  denotes the class of all open subset of  $\Omega$  and  $\mathbb{M}$  is the space of  $m \times N$  matrices.

4.1.  $\Gamma(L^p)$ -convergence. Let  $G : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function satisfying  $(I_5)-(I_8)$  and the following two additional assumptions:

(C<sub>0</sub>) for every  $A \in \mathcal{O}(\Omega)$ ,  $\mathcal{G}(\cdot, A)$  is  $L^p$ -lower semicontinuous with  $\mathcal{G} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathcal{O}(\Omega) \to [0, \infty]$  defined by

$$\mathscr{G}(u,A) := \int_A G(x,\nabla u(x))dx;$$

(C<sub>1</sub>)  $t\overline{\mathbb{G}_{0,x}} \subset \operatorname{int}(\mathbb{G}_{0,x})$  for all  $x \in \Omega$  and all  $t \in ]0, 1[$  with  $\mathbb{G}_{0,x}$  denoting the effective domain of  $G_0(x, \cdot)$ , where  $G_0 : \Omega \times \mathbb{M} \to [0, \infty]$  is defined by

$$G_0(x,\xi) := \overline{\lim_{\rho \to 0}} \mathscr{H}^{\rho} G(x,\xi)$$
(4.1)

with  $\mathscr{H}^{\rho}G: \Omega \times \mathbb{M} \to [0,\infty]$  given by

$$\mathscr{H}^{\rho}G(x,\xi) := \inf \left\{ \oint_{Q_{\rho}(x)} G(y,\nabla u(y)) dy : u - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x);\mathbb{R}^m) \right\},\$$

where  $Q_{\rho}(x) := x + \rho Y$  with  $Y := ] - \frac{1}{2}, \frac{1}{2}[^N \text{ and } l_{\xi} : \mathbb{R}^N \to \mathbb{R}^m$  is the linear map defined by  $l_{\xi}(y) := \xi y$ .

#### Remark 4.1.

- (i) Under (I<sub>5</sub>), if *G* is convex and lower semicontinuous with respect to  $\xi$ , then (C<sub>0</sub>) can be dropped.
- (ii) Under (I<sub>6</sub>) it is easy to see that for every  $x \in \Omega$ ,  $\mathbb{G}_{0,x}$  is convex, and so if  $0 \in int(\mathbb{G}_{0,x})$  then (C<sub>1</sub>) holds.
- (iii) When G does not depend on x, i.e.  $G(x,\xi) = G(\xi)$ ,  $G_0$  is the  $W^{1,p}$ -quasiconvex envelope of G. Hence,  $G_0 = G$  if moreover (C<sub>0</sub>) holds since G is then  $W^{1,p}$ -quasiconvex.

For each  $\varepsilon > 0$ , let  $L_{\varepsilon} : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function. We assume that the family  $\{L_{\varepsilon}\}_{\varepsilon>0}$  satisfies the following three conditions:

(C<sub>2</sub>) there exist  $\alpha, \beta > 0$  such that for every  $\varepsilon > 0$  and every  $(x, \xi) \in \Omega \times \mathbb{M}$ ,

$$\alpha G(x,\xi) \leqslant L_{\varepsilon}(x,\xi) \leqslant \beta (1+G(x,\xi));$$

(C<sub>3</sub>)  $\{L_{\varepsilon}\}_{\varepsilon>0}$  is ru-usc with  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^{1}(\Omega; ]0, \infty]$  and  $a_{0} \in L^{\infty}(\Omega; ]0, \infty]$ , i.e.

$$\overline{\lim_{t \to 1^{-}} \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)} \leq 0$$

with  $\delta^{a_\varepsilon}_{L_\varepsilon}:[0,1]\to]-\infty,\infty]$  defined by

$$\delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) := \sup_{x \in \Omega} \sup_{\xi \in \mathbb{L}_{\varepsilon,x}} \frac{L_{\varepsilon}(x, t\xi) - L_{\varepsilon}(x, \xi)}{a_{\varepsilon}(x) + L_{\varepsilon}(x, \xi)},$$

where  $\mathbb{L}_{\varepsilon,x}$  denotes the effective domain of  $L_{\varepsilon}(x, \cdot)$ ; (C<sub>4</sub>) for every  $x \in \Omega$  and every  $\xi \in \mathbb{G}_{0,x}$ ,

$$\overline{\lim_{\rho \to 0}} \underbrace{\lim_{\varepsilon \to 0}}_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x,\xi) \geq \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \mathscr{H}^{\rho} L_{\varepsilon}(x,\xi)$$

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with  $\mathscr{H}^{\rho}L_{\varepsilon}: \Omega \times \mathbb{M} \to [0,\infty]$  given by

$$\mathscr{H}^{\rho}L_{\varepsilon}(x,\xi) := \inf\left\{ \int_{Q_{\rho}(x)} L_{\varepsilon}(y,\nabla u(y))dy : u - l_{\xi} \in W_{0}^{1,p}(Q_{\rho}(x);\mathbb{R}^{m}) \right\}.$$
(4.2)

*Remark* 4.2. When  $\{L_{\varepsilon}\}_{\varepsilon>0} = L$ , (C<sub>3</sub>) means that L is ru-usc and (C<sub>4</sub>) can be dropped. For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  be defined by

$$\mathscr{I}_{\varepsilon}(u,A) := \int_{A} L_{\varepsilon}(x,\nabla u(x)) dx.$$

The following  $\Gamma(L^p)$ -convergence theorem is a consequence of Theorem 1.2.

**Theorem 4.3.** Assume that p > N and  $(C_0)-(C_4)$  and  $(I_5)-(I_8)$  hold. Then, for every  $A \in \mathcal{O}(\Omega)$ ,

$$\Gamma(L^p)-\lim_{\varepsilon\to 0}\mathscr{I}_{\varepsilon}(u,A) = \begin{cases} \displaystyle \int_{A} \lim_{t\to 1^-} \overline{\lim_{\rho\to 0}} \overline{\lim_{\varepsilon\to 0}} \, \mathscr{H}^{\rho}L_{\varepsilon}(x,t\nabla u(x))dx & \text{if } u\in \mathrm{dom}(\mathscr{G}(\cdot,A))\\ & & & \\ & & & \\ &$$

**Proof of Theorem 4.3.** The proof is divided into three steps.

Step 1: proving that  $\Gamma(L^p)$ - $\underline{\lim}_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot)$  and  $\Gamma(L^p)$ - $\overline{\lim}_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot)$  are restrictions to  $\mathscr{O}(\Omega)$  of Borel measures. For each  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , let  $\mathcal{S}_u^-, \mathcal{S}_u^+ : \mathscr{O}(\Omega) \to [0, \infty]$  be given by:  $\mathcal{S}_u^-(A) := \Gamma(L^p) \lim_{\varepsilon \to 0} \mathscr{I}(u, A)$ :

$$\mathcal{S}_u(A) := \Gamma(L^r) - \underbrace{\lim_{\varepsilon \to 0}}_{\varepsilon \to 0} \mathcal{S}_\varepsilon(u, A);$$
$$\mathcal{S}_u^+(A) := \Gamma(L^p) - \overline{\lim_{\varepsilon \to 0}} \mathcal{S}_\varepsilon(u, A).$$

From  $(C_0)$  and  $(C_2)$  we see that:

$$\alpha \int_{A} G(x, \nabla u(x)) dx \leqslant \mathcal{S}_{u}^{-}(A) \leqslant \beta \left( |A| + \int_{A} G(x, \nabla u(x)) dx \right);$$
(4.3)

$$\alpha \int_{A} G(x, \nabla u(x)) dx \leqslant \mathscr{S}_{u}^{+}(A) \leqslant \beta \left( |A| + \int_{A} G(x, \nabla u(x)) dx \right)$$
(4.4)

for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and all  $A \in \mathcal{O}(\Omega)$ . Step 1 consists of proving the following lemma.

**Lemma 4.4.** Assume that p > N and  $(C_0)$ ,  $(C_2)-(C_3)$  and  $(I_5)-(I_6)$  hold. Then, for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,  $\mathcal{S}_u^-$  and  $\mathcal{S}_u^+$  are restrictions to  $\mathcal{O}(\Omega)$  of Borel measures.

**Proof of Lemma 4.4.** Fix  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ . The proof consists of applying Lemma 2.9 with  $\mathcal{S} = \mathcal{S}_u^-$  (resp.  $\mathcal{S} = \mathcal{S}_u^+$ ). By (4.3) and (4.4) we see that the condition (a<sub>6</sub>) of Lemma 2.9 is satisfied with  $\lambda = \mathcal{L}_N$  and  $\nu = G(\cdot, \nabla u(\cdot))\mathcal{L}_N$ . On the other hand, it is easily seen that the condition (a<sub>2</sub>) of Lemma 2.9 is verified. Hence, the proof is completed if we prove the condition (a<sub>1</sub>) of Lemma 2.9, i.e.

$$\mathscr{S}_{u}^{-}(A \cup B) \leqslant \mathscr{S}_{u}^{-}(A) + \mathscr{S}_{u}^{-}(B) \text{ for all } A, B \in \mathscr{O}(\Omega);$$

$$(4.5)$$

$$\mathscr{S}_{u}^{+}(A \cup B) \leqslant \mathscr{S}_{u}^{+}(A) + \mathscr{S}_{u}^{+}(B) \text{ for all } A, B \in \mathscr{O}(\Omega).$$

$$(4.6)$$

Substep 1-1: an auxiliary result for proving Lemma 4.4. To show (4.5) and (4.6) we need the following lemma.

**Lemma 4.5.** Assume that p > N and  $(C_0)$ ,  $(C_2)-(C_3)$  and  $(I_5)-(I_6)$  hold. If  $U, V, Z, T \in \mathcal{O}(\Omega)$  are such that  $\overline{Z} \subset U$  and  $T \subset V$ , then:

$$\mathscr{S}_{u}^{-}(Z \cup T) \leqslant \mathscr{S}_{u}^{-}(U) + \mathscr{S}_{u}^{-}(V); \tag{4.7}$$

$$\mathcal{S}_u^+(Z \cup T) \leqslant \mathcal{S}_u^+(U) + \mathcal{S}_u^+(V). \tag{4.8}$$

**Proof of Lemma 4.5.** As the proofs of (4.7) and (4.8) are the same, we only give the proof of (4.7). Let  $\{u_{\varepsilon}\}_{\varepsilon>0}$  and  $\{v_{\varepsilon}\}_{\varepsilon>0}$  be two sequences in  $W^{1,p}(\Omega; \mathbb{R}^m)$  such that:

$$u_{\varepsilon} \xrightarrow{L^{p}} u;$$
 (4.9)

$$v_{\varepsilon} \xrightarrow{L^p} u;$$
 (4.10)

$$\lim_{\varepsilon \to 0} \int_{U} L_{\varepsilon}(x, \nabla u_{\varepsilon}(x)) dx = \mathcal{S}_{u}^{-}(U) < \infty;$$
(4.11)

$$\lim_{\varepsilon \to 0} \int_{V} L_{\varepsilon}(x, \nabla v_{\varepsilon}(x)) dx = \mathcal{S}_{u}^{-}(V) < \infty.$$
(4.12)

By (C<sub>2</sub>) and (I<sub>5</sub>) we have  $\sup_{\varepsilon>0} \|\nabla u_{\varepsilon}\|_{L^{p}(U)} < \infty$  and  $\sup_{\varepsilon>0} \|\nabla v_{\varepsilon}\|_{L^{p}(V)} < \infty$ . Taking (4.9) and (4.10) into account, as p > N, up to a subsequence, we have:

$$u_{\varepsilon} \stackrel{L^{\infty}(U)}{\longrightarrow} u; \tag{4.13}$$
$$u_{\varepsilon} \stackrel{L^{\infty}(V)}{\longrightarrow} u \tag{4.14}$$

$$v_{\varepsilon} \stackrel{L^{\infty}(V)}{\longrightarrow} u.$$
 (4.14)

Fix  $\delta \in ]0, \operatorname{dist}(Z, \partial U)[$  with  $\partial U := \overline{U} \setminus U$ , fix any  $q \ge 1$  and consider  $W_i^-, W_i^+ \subset \Omega$  given by:

$$W_i^- := \left\{ x \in \Omega : \operatorname{dist}(x, Z) \leqslant \frac{\delta}{3} + \frac{(i-1)\delta}{3q} \right\};$$
$$W_i^+ := \left\{ x \in \Omega : \frac{\delta}{3} + \frac{i\delta}{3q} \leqslant \operatorname{dist}(x, Z) \right\},$$

where  $i \in \{1, \dots, q\}$ . For every  $i \in \{1, \dots, q\}$  there exists a cut-off function  $\varphi_i \in C^{\infty}(\Omega)$  for the pair  $(W_i^+, W_i^-)$ , i.e.  $\varphi(x) \in [0, 1]$  for all  $x \in \Omega$ ,  $\varphi(x) = 0$  for all  $x \in W_i^+$  and  $\varphi(x) = 1$  for all  $x \in W_i^-$ . Fix any  $\varepsilon > 0$  and define  $w_{\varepsilon}^i \in W^{1,p}(\Omega; \mathbb{R}^m)$  by

$$w_{\varepsilon}^{i} := \varphi_{i} u_{\varepsilon} + (1 - \varphi_{i}) v_{\varepsilon}. \tag{4.15}$$

Fix any  $t \in ]0,1[$ . Setting  $W_i := \Omega \setminus (W_i^- \cup W_i^+)$  we have

$$\nabla(tw_{\varepsilon}^{i}) = t\nabla w_{\varepsilon}^{i} = \begin{cases} t\nabla u_{\varepsilon} & \text{in } W_{i}^{-} \\ (1-t)\frac{t}{1-t}\nabla\varphi_{i}\otimes(u_{\varepsilon}-v_{\varepsilon}) + t(\varphi_{i}\nabla u_{\varepsilon}+(1-\varphi_{i})\nabla v_{\varepsilon}) & \text{in } W_{i}^{-} \\ t\nabla v_{t} & \text{in } W_{i}^{+}. \end{cases}$$

Noticing that  $Z \cup T = ((Z \cup T) \cap W_i^-) \cup (W \cap W_i) \cup (T \cap W_i^+)$  with  $(Z \cup T) \cap W_i^- \subset U$ ,  $T \cap W_i^+ \subset V$  and  $W := T \cap \{x \in U : \frac{\delta}{3} < \operatorname{dist}(x, Z) < \frac{2\delta}{3}\}$  we deduce that for every  $i \in \{1, \cdots, q\},\$ 

$$\int_{Z \cup T} L_{\varepsilon}(x, t \nabla w_{\varepsilon}^{i}) dx \leq \int_{U} L_{\varepsilon}(x, t \nabla u_{\varepsilon}) dx + \int_{V} L_{\varepsilon}(x, t \nabla v_{\varepsilon}) dx + \int_{W \cap W_{i}} L_{\varepsilon}(x, t \nabla w_{\varepsilon}^{i}) dx.$$

$$(4.16)$$

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Fix any  $i \in \{1, \dots, q\}$ . From (C<sub>2</sub>) and (I<sub>6</sub>) we see that

$$\begin{split} \int_{W \cap W_i} L_{\varepsilon}(x, t \nabla w_{\varepsilon}^i) dx &\leqslant \beta |W \cap W_i| + \beta \int_{W \cap W_i} G(x, t \nabla w_{\varepsilon}^i) dx \\ &\leqslant \beta (1+\gamma) |W \cap W_i| \\ &+ \beta \gamma \int_{W \cap W_i} G(x, \varphi_i \nabla u_{\varepsilon} + (1-\varphi_i) \nabla v_{\varepsilon}) dx \\ &+ \beta \gamma \int_{W \cap W_i} G\left(x, \frac{t}{1-t} \nabla \varphi_i \otimes (u_{\varepsilon} - v_{\varepsilon})\right) dx, \end{split}$$

and so, by using again  $(C_2)$  and  $(I_6)$ ,

$$\int_{W \cap W_{i}} L_{\varepsilon}(x, t \nabla w_{\varepsilon}^{i}) dx \leq \beta (1 + \gamma + \gamma^{2}) |W \cap W_{i}| \\
+ \frac{\beta \gamma^{2}}{\alpha} \left( \int_{W \cap W_{i}} L_{\varepsilon}(x, \nabla u_{\varepsilon}) dx + \int_{W \cap W_{i}} L_{\varepsilon}(x, \nabla v_{\varepsilon}) dx \right) \\
+ \beta \gamma \int_{W \cap W_{i}} G\left(x, \frac{t}{1 - t} \nabla \varphi_{i} \otimes (u_{\varepsilon} - v_{\varepsilon})\right) dx.$$
(4.17)

On the other hand, we have

$$\left|\frac{t}{1-t}\nabla\varphi_i(x)\otimes\left(u_{\varepsilon}(x)-v_{\varepsilon}(x)\right)\right| \leq \left|\frac{t}{1-t}\right| \|\nabla\varphi_i\|_{L^{\infty}} \|u_{\varepsilon}-v_{\varepsilon}\|_{L^{\infty}(U\cap V)}$$

for  $\mathscr{L}_N$ -a.a.  $x \in W \cap W_i \subset U \cap V$ . But  $\lim_{\varepsilon \to 0} ||u_\varepsilon - v_\varepsilon||_{L^{\infty}(U \cap V)} = 0$  by (4.13) and (4.14), hence for each  $t \in [0, 1[$  and each  $i \in \{1, \dots, q\}$  there exists  $\varepsilon_{t,i} > 0$  such that

$$\left|\frac{t}{1-t}\nabla\varphi_i(x)\otimes(u_\varepsilon(x)-v_\varepsilon(x))\right|\leqslant r$$

for  $\mathscr{L}_N$ -a.a.  $x \in W \cap W_i$  and all  $\varepsilon \in ]0, \varepsilon_{t,i}]$  with r > 0 given by (I<sub>7</sub>). Hence

$$\int_{W \cap W_i} G\left(x, \frac{t}{1-t} \nabla \varphi_i \otimes (u_{\varepsilon} - v_{\varepsilon})\right) dx \leq \int_{W \cap W_i} \sup_{|\xi| \leq r} G(x, \xi) dx$$
(4.18)

for all  $\varepsilon \in [0, \overline{\varepsilon}_{t,q}]$  with  $\overline{\varepsilon}_{t,q} := \min\{\varepsilon_{t,i} : i \in \{1, \cdots, q\}\}$ . Moreover, we have:

$$\int_{U} L_{\varepsilon}(x, t\nabla u_{\varepsilon}) dx \leq \left(1 + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \int_{U} L_{\varepsilon}(x, \nabla u_{\varepsilon}) dx + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_{U} a_{\varepsilon}(x) dx; \quad (4.19)$$

$$\int_{V} L_{\varepsilon}(x, t\nabla v_{\varepsilon}) dx \leq \left(1 + \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t)\right) \int_{V} L_{\varepsilon}(x, \nabla v_{\varepsilon}) dx + \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t) \int_{V} a_{\varepsilon}(x) dx, \quad (4.20)$$

where  $\delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}: [0,1] \to ] - \infty, \infty$ ] is defined in (C<sub>4</sub>). Taking (4.18) into account and substituting (4.17), (4.19) and (4.20) into (4.16) and then averaging these inequalities, it follows that for

every  $q \ge 1$ , every  $t \in ]0, 1[$  and every  $\varepsilon \in ]0, \overline{\varepsilon}_{t,q}]$ , there exists  $i_{\varepsilon,t,q} \in \{1, \cdots, q\}$  such that

$$\begin{split} \int_{Z \cup T} & L_{\varepsilon}(x, \nabla(tw_{\varepsilon}^{i_{\varepsilon,t,q}})) dx \leqslant \left(1 + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \int_{U} L_{\varepsilon}(x, \nabla u_{\varepsilon}) dx + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_{U} a_{\varepsilon}(x) dx \\ & + \left(1 + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \int_{V} L_{\varepsilon}(x, \nabla v_{\varepsilon}) dx + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_{V} a_{\varepsilon}(x) dx \\ & + \frac{C}{q} \left(\int_{\Omega} \sup_{|\xi| \leqslant r} G(x, \xi) dx + \int_{U} L_{\varepsilon}(x, \nabla u_{\varepsilon}) dx + \int_{V} L_{\varepsilon}(x, \nabla v_{\varepsilon}) dx \right) \end{split}$$

with  $C = \max \left\{ \beta(1 + \gamma + \gamma^2) + 1, \frac{\beta\gamma^2}{\alpha} \right\}$ , where  $\int_{\Omega} \sup_{|\xi| \leq r} G(x, \xi) dx < \infty$  by (I<sub>7</sub>). Thus, letting  $\varepsilon \to 0, q \to \infty$  and  $t \to 1^-$  and using (C<sub>4</sub>), (4.11) and (4.12), we get

$$\overline{\lim_{t \to 1^{-}} \lim_{q \to \infty} \lim_{\varepsilon \to 0}} \int_{Z \cup T} L_{\varepsilon}(x, \nabla(t w_{\varepsilon}^{i_{\varepsilon,t,q}})) dx \leqslant \mathcal{S}_{u}^{-}(U) + \mathcal{S}_{u}^{-}(V).$$
(4.21)

On the other hand, taking (4.15) into account and using (4.9) and (4.10) we see that

$$\lim_{t \to 1^-} \lim_{q \to \infty} \lim_{\varepsilon \to 0} \| t w_{\varepsilon}^{i_{\varepsilon,t,q}} - u \|_{L^p} = 0.$$

By diagonalization, there exist increasing mappings  $\varepsilon \mapsto t_{\varepsilon}$  and  $\varepsilon \mapsto q_{\varepsilon}$  with  $t_{\varepsilon} \to 1^-$  and  $q_{\varepsilon} \to \infty$  such that:

$$\begin{split} & \lim_{\varepsilon \to 0} \int_{Z \cup T} L_{\varepsilon}(x, \nabla \hat{w}_{\varepsilon}) dx \leqslant \lim_{t \to 1^{-}} \varlimsup_{q \to \infty} \varlimsup_{\varepsilon \to 0} \int_{Z \cup T} L_{\varepsilon}(x, \nabla (t w_{\varepsilon}^{i_{\varepsilon,t,q}})) dx; \\ & \lim_{\varepsilon \to 0} \| \hat{w}_{\varepsilon} - u \|_{L^{p}} = 0, \end{split}$$

where  $\hat{w}_{\varepsilon} := t_{\varepsilon} w_{\varepsilon}^{i_{\varepsilon,t_{\varepsilon},q_{\varepsilon}}}$ . Hence

$$\mathscr{S}_u^-(Z \cup T) \leqslant \lim_{t \to 1^-} \varlimsup_{q \to \infty} \varlimsup_{\varepsilon \to 0} \int_{Z \cup T} L_\varepsilon(x, \nabla(tw_\varepsilon^{i_{\varepsilon,t,q}})) dx,$$

and (4.7) follows from (4.21).  $\blacksquare$ 

Substep 1-2: end of the proof of Lemma 4.4. We now prove (4.5). Fix  $A, B \in \mathcal{O}(\Omega)$  such that  $\mathcal{S}_u^-(A) < \infty$  and  $\mathcal{S}_u^-(B) < \infty$ . Then, by (4.3),  $\int_{A \cup B} G(x, \nabla u(x)) dx < \infty$ . Fix any  $\eta > 0$  and consider  $C_0, D_0 \in \mathcal{O}(\Omega)$  such that  $\overline{C}_0 \subset A, \overline{D}_0 \subset B$  and

$$\beta|E| + \beta \int_E G(x, \nabla u(x)) dx < \eta$$

with  $E := A \cup B \setminus \overline{C_0 \cup D_0}$ . Then  $\mathcal{S}_u^-(E) \leq \eta$  by (4.3). Let  $\hat{C}, \hat{D} \in \mathcal{O}(\Omega)$  be such that  $\overline{C}_0 \subset C$ ,  $\overline{C} \subset \hat{C}, \overline{\hat{C}} \subset A, \overline{D}_0 \subset D, \overline{D} \subset \hat{D}$  and  $\overline{\hat{D}} \subset B$ . Applying Lemma 4.5 with  $U = \hat{C} \cup \hat{D}$ , V = T = E and  $Z = C \cup D$  (resp.  $U = A, V = B, Z = \hat{C}$  and  $T = \hat{D}$ ) we obtain

$$\mathcal{S}_u^-(A \cup B) \leqslant \mathcal{S}_u^-(\hat{C} \cup \hat{D}) + \eta \text{ (resp. } \mathcal{S}_u^-(\hat{C} \cup \hat{D}) \leqslant \mathcal{S}_u^-(A) + \mathcal{S}_u^-(B) \text{)},$$

i.e.  $\mathscr{S}_u^-(A \cup B) \leq \mathscr{S}_u^-(A) + \mathscr{S}_u^-(B) + \eta$ , and (4.5) follows by letting  $\eta \to 0$ .

Step 2: applying Theorem 1.2. For each  $\varepsilon > 0$  and each  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,  $\mathscr{I}_{\varepsilon}(u, \cdot)$  is an increasing set function. Moreover, from Lemma 4.4 we can assert that for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ ,  $\Gamma(L^p)$ - $\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, \cdot)$  and  $\Gamma(L^p)$ - $\overline{\lim_{\varepsilon \to 0}} \mathscr{I}_{\varepsilon}(u, \cdot)$  are inner regular. Hence, by Theorem 2.4, every sequence  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$  has a  $\Gamma(L^p)$ -convergent subsequence. So, without loss of generality we can assume that  $\{\mathscr{I}_{\varepsilon}\}_{\varepsilon>0}$   $\Gamma(L^p)$ -converges. Taking Proposition 2.6 into account, to establish Theorem 4.3 it suffices to prove that

$$\Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) = \mathscr{I}_0(u, A)$$
(4.22)

for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and all  $A \in \mathcal{O}(\Omega)$  with  $\mathscr{I}_0: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathcal{O}(\Omega) \to [0, \infty]$  given by

$$\mathcal{J}_{0}(u,A) := \begin{cases} \int_{A} \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \, \mathcal{H}^{\rho} L_{\varepsilon}(x, t \nabla u(x)) dx & \text{ if } u \in \operatorname{dom}(\mathcal{G}(\cdot, A)) \\ \\ & \infty & \text{ if } u \in W^{1,p}(\Omega; \mathbb{R}^{m}) \backslash \operatorname{dom}(\mathcal{G}(\cdot, A)). \end{cases}$$

For this, we are going to apply Theorem 1.2 with  $\mathscr{F}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  defined by

$$\mathscr{F}(u,A) := \Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u,A).$$
(4.23)

First of all, it is clear that  $(I_2)-(I_4)$  hold and  $(I_0)$  follows from  $(C_0)$  and  $(C_2)$ . On the other hand,  $(I_1)$  follows from Lemma 4.4, and by  $(C_3)$ , Propositions 2.23 and 2.17 we see that  $(I_9)$ is verified. So, since  $(I_5)-(I_8)$  are assumed to be satisfied, from Theorem 1.2 we deduce that for every  $A \in \mathcal{O}(\Omega)$ ,

$$\mathscr{F}(u,A) = \begin{cases} \int_{A} \widehat{F}(x,\nabla u(x))dx & \text{if } u \in \operatorname{dom}(\mathscr{G}(\cdot,A)) \\ \\ & \infty & \text{if } u \in W^{1,p}(\Omega;\mathbb{R}^{m}) \backslash \operatorname{dom}(\mathscr{G}(\cdot,A)) \end{cases}$$

where dom( $\mathscr{G}(\cdot, A)$ ) denotes the effective domain of  $\mathscr{G}(\cdot, A)$  and  $\hat{F} : \Omega \times \mathbb{M} \to [0, \infty]$  is defined by

$$\widehat{F}(x,\xi) = \lim_{t \to 1^{-}} F(x,t\xi)$$

with F given by (1.1).

Step 3: refining the formula for the limit integrand. Taking (4.23) into account we have

$$\widehat{F}(x,\xi) = \lim_{t \to 1^{-}} F(x,t\xi)$$
$$= \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u,Q_{\rho}(x))}{\rho^{N}} : u - l_{t\xi} \in W_{0}^{1,p}(Q_{\rho}(x);\mathbb{R}^{m}) \right\}.$$
(4.24)

In what follows, we are going to refine the formula for  $\hat{F}$  in (4.24).

Substep 3-1: an intermediate lemma. Let  $\widetilde{\mathscr{F}}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be defined by

$$\widetilde{\mathscr{F}}(u,A) := \inf \left\{ \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u_{\varepsilon},A) : W_0^{1,p}(A;\mathbb{R}^m) \ni u_{\varepsilon} - u \xrightarrow{L^p} 0 \right\}.$$

Substep 3-1 consists of proving the following lemma.

**Lemma 4.6.** Assume that p > N and  $(C_0)$ ,  $(C_2)-(C_3)$  and  $(I_5)-(I_6)$  hold. Then, for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$ , every  $A \in \mathcal{O}(\Omega)$  and every  $t \in ]0, 1[$ ,

$$\widetilde{\mathscr{F}}(tu,A) \leqslant \left(1 + \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)\right) \Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u,A) + \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \int_{A} a_{0}(x) dx,$$
(4.25)

where  $\{a_{\varepsilon}\}_{\varepsilon>0} \subset L^1(\Omega; ]0, \infty]$ ,  $a_0 \in L^{\infty}(\Omega; ]0, \infty]$  and  $\delta^{a_{\varepsilon}}_{L_{\varepsilon}} : [0, 1] \to ]-\infty, \infty]$  are given in (C<sub>3</sub>).

**Proof of Lemma 4.6.** Fix  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and  $A \in \mathcal{O}(\Omega)$ . Without loss of generality, we can assume that  $\Gamma(L^p)$ -  $\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) < \infty$ , and so, by (C<sub>0</sub>) and (C<sub>2</sub>),

$$\mathscr{G}(u,A) < \infty. \tag{4.26}$$

By definition of  $\Gamma(L^p)$ -  $\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A)$  there exists  $\{u_{\varepsilon}\}_{\varepsilon} \subset W^{1,p}(\Omega; \mathbb{R}^m)$  such that:

$$u_{\varepsilon} \xrightarrow{L^p} u;$$
 (4.27)

$$\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u_{\varepsilon}, A) = \Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A).$$
(4.28)

Since  $\Gamma(L^p)$ -  $\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) < \infty$ , by (C<sub>2</sub>) and (I<sub>5</sub>) we see that  $\sup_{\varepsilon > 0} \|\nabla u_{\varepsilon}\|_{L^p(A)} < \infty$ . As p > N, up to a subsequence, we have

$$u_{\varepsilon} \stackrel{L^{\infty}(A)}{\longrightarrow} u. \tag{4.29}$$

Fix  $\delta > 0$  and set  $A_{\delta} := \{x \in A : \operatorname{dist}(x, \partial A) > \delta\}$ . Fix any  $\varepsilon > 0$  and any  $q \ge 1$  and consider  $W_i^-, W_i^+ \subset \Omega$  given by

$$W_i^- := \left\{ x \in \Omega : \operatorname{dist}(x, A_{\delta}) \leq \frac{\delta}{3} + \frac{(i-1)\delta}{3q} \right\};$$
$$W_i^+ := \left\{ x \in \Omega : \frac{\delta}{3} + \frac{i\delta}{3q} \leq \operatorname{dist}(x, A_{\delta}) \right\},$$

where  $i \in \{1, \dots, q\}$ . (Note that  $W_i^- \subset A$ .) For every  $i \in \{1, \dots, q\}$  there exists a cut-off function  $\varphi_i \in C^{\infty}(\Omega)$  for the pair  $(W_i^+, W_i^-)$ , i.e.  $\varphi(x) \in [0, 1]$  for all  $x \in \Omega$ ,  $\varphi(x) = 0$  for all  $x \in W_i^+$  and  $\varphi(x) = 1$  for all  $x \in W_i^-$ . Define  $w_{\varepsilon}^i : \Omega \to \mathbb{R}^m$  by

$$w_{\varepsilon}^{i} := \varphi_{i} u_{\varepsilon} + (1 - \varphi_{i}) u.$$
(4.30)

Then  $w^i_{\varepsilon} - u \in W^{1,p}_0(A; \mathbb{R}^m)$ . Fix any  $t \in ]0, 1[$ . Setting  $W_i := \Omega \setminus (W^-_i \cup W^+_i) \subset A$  we have

$$\nabla(tw_{\varepsilon}^{i}) = t\nabla w_{\varepsilon}^{i} = \begin{cases} t\nabla u_{\varepsilon} & \text{in } W_{i}^{-} \\ (1-t)\frac{t}{1-t}\nabla\varphi_{i}\otimes(u_{\varepsilon}-u) + t(\varphi_{i}\nabla u_{\varepsilon} + (1-\varphi_{i})\nabla u) & \text{in } W_{i} \\ t\nabla u & \text{in } W_{i}^{+}. \end{cases}$$

Noticing that  $A = W_i^- \cup W_i \cup (A \cap W_i^+)$  we deduce that for every  $i \in \{1, \dots, q\}$ ,

$$\mathcal{J}_{\varepsilon}(tw_{\varepsilon}^{i},A) = \int_{A} L_{\varepsilon}(x,t\nabla w_{\varepsilon}^{i})dx \leq \int_{A} L_{\varepsilon}(x,t\nabla u_{\varepsilon})dx + \int_{A\cap W_{i}^{+}} L_{\varepsilon}(x,t\nabla u)dx + \int_{W_{i}} L_{\varepsilon}(x,t\nabla w_{\varepsilon}^{i})dx.$$

$$(4.31)$$

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Fix any  $i \in \{1, \dots, q\}$ . From (C<sub>2</sub>) and (I<sub>6</sub>) we see that

$$\begin{split} \int_{W_i} L_{\varepsilon}(x, t\nabla w_{\varepsilon}^i) dx &\leqslant \beta \left( |W_i| + \int_{W_i} G(x, t\nabla w_{\varepsilon}^i) dx \right) \\ &\leqslant \beta (1+\gamma) |W_i| \\ &+ \beta \gamma \int_{W_i} G(x, \varphi_i \nabla u_{\varepsilon} + (1-\varphi_i) \nabla u) dx \\ &+ \beta \gamma \int_{W_i} G\left( x, \frac{t}{1-t} \nabla \varphi_i \otimes (u_{\varepsilon} - u) \right) dx, \end{split}$$

and we obtain, by using again  $(C_2)$  and  $(I_6)$ ,

$$\int_{W_{i}} L_{\varepsilon}(x, t\nabla w_{\varepsilon}^{i}) dx \leqslant \beta(1 + \gamma + \gamma^{2}) |W_{i}| \\
+ \frac{\beta \gamma^{2}}{\alpha} \left( \int_{W_{i}} L_{\varepsilon}(x, \nabla u_{\varepsilon}(x)) dx + \int_{W_{i}} L_{\varepsilon}(x, \nabla u(x)) dx \right) \\
+ \beta \gamma \int_{W_{i}} G\left(x, \frac{t}{1 - t} \nabla \varphi_{i} \otimes (u_{\varepsilon} - u)\right) dx.$$
(4.32)

On the other hand, we have

$$\left|\frac{t}{1-t}\nabla\varphi_i(x)\otimes(u_{\varepsilon}(x)-u(x))\right| \leq \left|\frac{t}{1-t}\right|\|\nabla\varphi_i\|_{L^{\infty}}\|u_{\varepsilon}-u\|_{L^{\infty}(A)}$$

for  $\mathscr{L}_N$ -a.a.  $x \in W_i \subset A$ . But  $\lim_{\varepsilon \to 0} \|u_\varepsilon - u\|_{L^\infty(A)} = 0$  by (4.29), hence for each  $i \in \{1, \dots, q\}$ there exists  $\varepsilon_i > 0$  such that

$$\left|\frac{t}{1-t}\nabla\varphi_i(x)\otimes\left(u_\varepsilon(x)-u(x)\right)\right|\leqslant r$$

for  $\mathscr{L}_N$ -a.a.  $x \in W_i$  and all  $\varepsilon \in ]0, \varepsilon_i]$  with r > 0 given by (I<sub>7</sub>). Consequently

$$\int_{W_i} G\left(x, \frac{t}{1-t} \nabla \varphi_i \otimes (u_{\varepsilon} - u)\right) dx \leq \int_{W_i} \sup_{|\xi| \leq r} G(x, \xi) dx$$
(4.33)

for all  $\varepsilon \in [0, \overline{\varepsilon}_q]$  with  $\overline{\varepsilon}_q = \min\{\varepsilon_i : i \in \{1, \cdots, q\}\}$ . Moreover, we have:

$$\int_{A} L_{\varepsilon}(x, t\nabla u_{\varepsilon}) dx \leq \left(1 + \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t)\right) \int_{A} L_{\varepsilon}(x, \nabla u_{\varepsilon}) dx + \sup_{\varepsilon' > 0} \delta^{a_{\varepsilon'}}_{L_{\varepsilon'}}(t) \int_{A} a_{\varepsilon}(x) dx; \quad (4.34)$$

$$\int_{A \cap W_i^+} L_{\varepsilon}(x, t\nabla u) dx \leq \left(1 + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \int_{A \cap W_i^+} L_{\varepsilon}(x, \nabla u) dx + \sup_{\varepsilon' > 0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_A a_{\varepsilon}(x) dx.$$
(4.35)

Taking  $(C_3)$  and (4.33) into account and substituting (4.32), (4.34) and (4.35) into (4.31) and then averaging these inequalities, it follows that for every  $q \ge 1$  and every  $\varepsilon \in [0, \overline{\varepsilon}_q]$ , there exists

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 $i_{\varepsilon,q} \in \{1, \cdots, q\}$  such that

$$\begin{aligned} \mathscr{I}_{\varepsilon}(tw_{\varepsilon}^{i_{\varepsilon,q}},A) &\leqslant \left(1 + \sup_{\varepsilon'>0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \mathscr{I}_{\varepsilon}(u_{\varepsilon},A) + \sup_{\varepsilon'>0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_{A} a_{\varepsilon}(x) dx \\ &+ \frac{1}{q} \left[ \left(1 + \sup_{\varepsilon'>0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t)\right) \mathscr{I}_{\varepsilon}(u,A) + \sup_{\varepsilon'>0} \delta_{L_{\varepsilon'}}^{a_{\varepsilon'}}(t) \int_{A} a_{\varepsilon}(x) dx \right] \\ &+ \frac{C}{q} \left( \int_{A} \sup_{|\xi| \leqslant r} G(x,\xi) dx + \mathscr{I}_{\varepsilon}(u_{\varepsilon},A) + \mathscr{I}_{\varepsilon}(u,A) \right) \end{aligned}$$

with  $C = \max \left\{ \beta(1 + \gamma + \gamma^2) + 1, \frac{\beta\gamma^2}{\alpha} \right\}$  where  $\int_A \sup_{|\xi| \leq r} G(x, \xi) dx < \infty$  by (I<sub>7</sub>). Moreover, by (C<sub>2</sub>) we have  $\mathscr{F}_{\varepsilon}(u, A) \leq \beta(|A| + \mathscr{G}(u, A))$ , and so  $\overline{\lim_{\varepsilon \to 0}} \mathscr{F}_{\varepsilon}(u, A) < \infty$  by (4.26). Thus, letting  $\varepsilon \to 0$  and  $q \to \infty$  and using (4.28), we get

$$\lim_{q \to \infty} \overline{\lim_{\varepsilon \to 0}} \, \mathscr{I}_{\varepsilon}(tw_{\varepsilon}^{i_{\varepsilon,q}}, A) \leqslant \left(1 + \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t)\right) \Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) + \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \int_{A} a_{0}(x) dx. \quad (4.36)$$

On the other hand, taking (4.30) into account and using (4.27) we see that

$$\lim_{q \to \infty} \lim_{\varepsilon \to 0} \|t w_{\varepsilon}^{i_{\varepsilon,q}} - t u\|_{L^p} = 0.$$

By diagonalization, there exists an increasing mapping  $\varepsilon \mapsto q_{\varepsilon}$  with  $q_{\varepsilon} \to \infty$  such that:

$$\underbrace{\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(\hat{w}_{\varepsilon}, A) \leqslant \overline{\lim_{q \to \infty} \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(tw_{\varepsilon}^{i_{\varepsilon,q}}, A);}}_{\underset{\varepsilon}{\lim} \|\hat{w}_{\varepsilon} - tu\|_{L^{p}} = 0,}$$

where  $\hat{w}_{\varepsilon} := tw_{\varepsilon}^{i_{\varepsilon,q_{\varepsilon}}}$  is such that  $\hat{w}_{\varepsilon} - tu \in W_0^{1,p}(A; \mathbb{R}^m)$ . Hence

$$\widetilde{\mathscr{F}}(tu,A) \leqslant \overline{\lim_{q \to \infty}} \overline{\lim_{\varepsilon \to 0}} \, \mathscr{F}(tw_{\varepsilon}^{i_{\varepsilon,q}},A),$$

and (4.25) follows from (4.36).

Substep 3-2: a first estimate for *F*. Substep 3-2 consists of proving the following lemma.

**Lemma 4.7.** Assume that p > N. If  $(C_0)$ ,  $(C_2)-(C_3)$  and  $(I_5)-(I_6)$  hold then for every  $(x,\xi) \in \Omega \times \mathbb{M}$ ,

$$\lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\widetilde{\mathscr{F}}(tu, Q_{\rho}(x))}{\rho^{N}} : u - l_{\xi} \in W_{0}^{1, p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\} \leqslant F(x, \xi).$$

**Proof of Lemma 4.7.** Fix  $(x, \xi) \in \Omega \times \mathbb{M}$ . Fix any  $\eta > 0$ . By (C<sub>3</sub>),  $\overline{\lim}_{t \to 1^{-}} \sup_{\varepsilon > 0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leq 0$ , and so there exists  $t_0 \in ]0, 1[$  such that for every  $t \in [t_0, 1[$ ,

$$\sup_{\varepsilon>0} \delta_{L_{\varepsilon}}^{a_{\varepsilon}}(t) \leqslant \eta.$$
(4.37)

Fix any  $t \in [t_0, 1[$ , any  $\rho > 0$  and any  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that  $u - l_{\xi} \in W^{1,p}_0(Q_{\rho}(x); \mathbb{R}^m)$ . Taking (4.37) into account, from Lemma 4.6 we see that

$$\widetilde{\mathscr{F}}(tu,Q_{\rho}(x)) \leqslant (1+\eta)\Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u,Q_{\rho}(x)) + \eta \int_{Q_{\rho}(x)} a_{0}(y) dy$$

Hence, since  $a_0 \in L^{\infty}(\Omega; ]0, \infty]$ ),

$$\frac{\widetilde{\mathscr{F}}(tu, Q_{\rho}(x))}{\rho^{N}} \leqslant (1+\eta) \frac{\Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, Q_{\rho}(x))}{\rho^{N}} + \eta \oint_{Q_{\rho}(x)} a_{0}(y) dy$$

$$\leqslant (1+\eta) \frac{\Gamma(L^{p}) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, Q_{\rho}(x))}{\rho^{N}} + \eta \|a_{0}\|_{L^{\infty}}$$

for all  $t \in [t_0, 1[$ , all  $\rho > 0$  and all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that  $u - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$ . Passing to the infimum and letting  $\rho \to 0$  and  $t \to 1^-$  we deduce that

$$\lim_{t \to 1^{-}} \lim_{\rho \to 0} \inf \left\{ \frac{\widetilde{\mathscr{F}}(tu, Q_{\rho}(x))}{\rho^{N}} : u - l_{\xi} \in W_{0}^{1, p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\} \leq (1 + \eta) F(x, \xi) + \eta \|a_{0}\|_{L^{\infty}},$$

and the result follows by letting  $\eta \to 0$ .

Substep 3-3: further estimates for *F*. Substep 3-3 consists of proving the following lemma.

**Lemma 4.8.** Assume that p > N. If  $(C_0)$ ,  $(C_2)-(C_3)$  and  $(I_5)-(I_6)$  hold then for every  $(x,\xi) \in \Omega \times \mathbb{M}$ ,

$$\overline{\lim_{t\to 1^-}} \overline{\lim_{\rho\to 0}} \overline{\lim_{\varepsilon\to 0}} \mathscr{H}^{\rho} L_{\varepsilon}(x, t\xi) \leqslant F(x, \xi) \leqslant \overline{\lim_{\rho\to 0}} \overline{\lim_{\varepsilon\to 0}} \mathscr{H}^{\rho} L_{\varepsilon}(x, \xi),$$

where  $\mathscr{H}^{\rho}L_{\varepsilon}: \Omega \times \mathbb{M} \to [0,\infty]$  is defined by (4.2).

## **Proof of Lemma 4.8.** Fix $(x, \xi) \in \Omega \times \mathbb{M}$ .

First of all, since  $\Gamma(L^p)$ - $\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A) \leq \overline{\lim}_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, A)$  for all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and all  $A \in \mathscr{O}(\Omega)$ , we have

$$\frac{\Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u, Q_{\rho}(x))}{\rho^N} \leqslant \overline{\lim_{\varepsilon \to 0}} \frac{\mathscr{I}_{\varepsilon}(u, Q_{\rho}(x))}{\rho^N}$$

for all  $\rho > 0$  and all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that  $u - l_{\xi} \in W^{1,p}_0(Q_{\rho}(x); \mathbb{R}^m)$ . Passing to the infimum and letting  $\rho \to 0$ , we conclude that

$$\overline{\lim_{\rho\to 0}} \inf\left\{\frac{\Gamma(L^p) - \lim_{\varepsilon\to 0} \mathscr{I}_{\varepsilon}(u, Q_{\rho}(x))}{\rho^N} : u - l_{\xi} \in W_0^{1, p}(Q_{\rho}(x); \mathbb{R}^m)\right\} \leqslant \overline{\lim_{\rho\to 0}} \overline{\lim_{\varepsilon\to 0}} \mathscr{H}^{\rho}L_{\varepsilon}(x, \xi),$$

i.e.  $F(x,\xi) \leq \overline{\lim}_{\rho \to 0} \overline{\lim}_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x,\xi).$ 

Fix any  $t \in ]0,1[$ , any  $\rho > 0$  and any  $u \in W^{1,p}(\Omega;\mathbb{R}^m)$  such that  $u - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x);\mathbb{R}^m)$ . By definition of  $\mathscr{F}_0(tu,Q_{\rho}(x))$  there exists  $\{u_{\varepsilon}\}_{\varepsilon} \subset W^{1,p}(\Omega;\mathbb{R}^m)$  such that:

$$u_{\varepsilon} - tu \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m) \text{ for all } \varepsilon > 0;$$
(4.38)

$$\lim_{\varepsilon \to 0} \mathscr{I}_{\varepsilon}(u_{\varepsilon}, Q_{\rho}(x)) = \mathscr{I}_{0}(tu, Q_{\rho}(x)).$$
(4.39)

As  $u - l_{\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$  we have  $tu - l_{t\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m)$ , and so, by (4.38),

$$u_{\varepsilon} - l_{t\xi} \in W_0^{1,p}(Q_{\rho}(x); \mathbb{R}^m) \text{ for all } \varepsilon > 0.$$
(4.40)

From (4.39) and (4.38) we deduce that

$$\lim_{\varepsilon \to 0} \inf \left\{ \frac{\mathscr{I}_{\varepsilon}(v, Q_{\rho}(x))}{\rho^{N}} : v - l_{t\xi} \in W_{0}^{1, p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\} \leqslant \frac{\widetilde{\mathscr{I}}(tu, Q_{\rho}(x))}{\rho^{N}}$$

for all  $\rho > 0$  and all  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  such that  $u - l_{\xi} \in W^{1,p}_0(Q_{\rho}(x); \mathbb{R}^m)$ . Passing to the infimum and letting  $\rho \to 0$  we deduce that

$$\overline{\lim_{\rho \to 0}} \lim_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x, t\xi) \leq \overline{\lim_{\rho \to 0}} \inf \left\{ \frac{\widetilde{\mathscr{F}}(tu, Q_{\rho}(x))}{\rho^{N}} : u - l_{\xi} \in W_{0}^{1, p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\}$$
(4.41)

for all  $t \in ]0, 1[$ . But, by (C<sub>2</sub>) we have

$$\operatorname{dom}\left(\overline{\lim_{\rho\to 0}\lim_{\varepsilon\to 0}}\mathcal{H}^{\rho}L_{\varepsilon}(x,\cdot)\right) = \operatorname{dom}\left(\overline{\lim_{\rho\to 0}\lim_{\varepsilon\to 0}}\mathcal{H}^{\rho}L_{\varepsilon}(x,\cdot)\right) = \operatorname{dom}\left(\overline{\lim_{\rho\to 0}}\mathcal{H}^{\rho}G(x,\cdot)\right) =: \mathbb{G}_{0,x},$$

hence, by  $(C_4)$ ,

$$\overline{\lim_{\rho\to 0}} \overline{\lim_{\varepsilon\to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x,\zeta) \leqslant \overline{\lim_{\rho\to 0}} \frac{\lim_{\varepsilon\to 0}}{\mathscr{H}^{\rho}} L_{\varepsilon}(x,\zeta) \text{ for all } \zeta \in \mathbb{M},$$

and so

$$\overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, t\xi) \leq \overline{\lim_{\rho \to 0}} \underline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, t\xi) \text{ for all } t \in ]0, 1[.$$

$$(4.42)$$

Letting  $t \to 1^-$ , from (4.41) and (4.42) it follows that

$$\lim_{t \to 1^{-}} \overline{\lim}_{\rho \to 0} \overline{\lim}_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}(x, t\xi) \leq \lim_{t \to 1^{-}} \overline{\lim}_{\rho \to 0} \inf \left\{ \frac{\widetilde{\mathcal{J}}(tu, Q_{\rho}(x))}{\rho^{N}} : u - l_{\xi} \in W_{0}^{1, p}(Q_{\rho}(x); \mathbb{R}^{m}) \right\},$$

and consequently  $\overline{\lim}_{t\to 1^-} \overline{\lim}_{\rho\to 0} \overline{\lim}_{\varepsilon\to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x, t\xi) \leq F(x, \xi)$  by using Lemma 4.7.

Substep 3-4: end of the proof. By (C<sub>3</sub>) and Lemma 2.21,  $L_0 := \overline{\lim}_{\rho \to 0} \overline{\lim}_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}$  is ru-usc. Moreover, from (C<sub>2</sub>) we see that

$$\mathbb{L}_{0,x} = \mathbb{G}_{0,x}$$
 for all  $x \in \Omega$ .

where  $\mathbb{L}_{0,x}$  denotes the effective domain of  $L_0(x, \cdot)$ . Hence, by (C<sub>1</sub>),

$$t\overline{\mathbb{L}_{0,x}} \subset \operatorname{int}(\mathbb{L}_{0,x})$$
 for all  $x \in \Omega$  and all  $t \in ]0, 1[$ ,

and consequently, by using Theorem 2.13(b), for every  $(x, \xi) \in \Omega \times \mathbb{M}$ ,

$$\widehat{L}_0(x,\xi) := \lim_{\tau \to 1^-} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x,\tau\xi) = \lim_{\tau \to 1^-} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \, \mathscr{H}^{\rho} L_{\varepsilon}(x,\tau\xi).$$
(4.43)

Fix  $(x,\xi) \in \Omega \times \mathbb{M}$ . From Lemma 4.8 we deduce that

$$\overline{\lim_{s \to 1^{-}} \lim_{t \to 1^{-}} \lim_{\rho \to 0} \overline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, ts\xi) \leq \lim_{s \to 1^{-}} F(x, s\xi) \leq \lim_{s \to 1^{-}} \overline{\lim_{\rho \to 0} \lim_{\varepsilon \to 0}} \overline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, s\xi).$$
(4.44)

By diagonalization, there exist increasing mappings  $t \mapsto s_t$ , with  $s_t \to 1^-$  as  $t \to 1^-$ , such that

$$\overline{\lim_{t\to 1^-} \lim_{\rho\to 0} \lim_{\varepsilon\to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, ts_t\xi) \leqslant \overline{\lim_{s\to 1^-} \lim_{t\to 1^-} \lim_{\rho\to 0} \lim_{\varepsilon\to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, ts\xi)$$

But, since  $ts_t \rightarrow 1^-$  as  $t \rightarrow 1^-$  and by using (4.43),

$$\frac{\lim_{t \to 1^{-}} \lim_{\rho \to 0} \lim_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}(x, ts_{t}\xi)}{\varepsilon} \geq \lim_{t \to 1^{-}} \lim_{\rho \to 0} \lim_{\varepsilon \to 0} \lim_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}(x, ts_{t}\xi)}$$

$$\geq \lim_{\tau \to 1^{-}} \lim_{\rho \to 0} \lim_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}(x, \tau\xi)$$

$$= \lim_{\tau \to 1^{-}} \lim_{\rho \to 0} \lim_{\varepsilon \to 0} \mathcal{H}^{\rho} L_{\varepsilon}(x, \tau\xi),$$

hence

$$\lim_{\tau \to 1^{-}} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, \tau\xi) \leq \lim_{s \to 1^{-}} F(x, s\xi) \leq \lim_{s \to 1^{-}} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \mathcal{H}^{\rho} L_{\varepsilon}(x, s\xi).$$
(4.45)

From (4.44) and (4.45) we conclude that  $\lim_{t\to 1^-} F(x, t\xi) = \lim_{t\to 1^-} \overline{\lim}_{\rho\to 0} \overline{\lim}_{\varepsilon\to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x, t\xi)$ , i.e.

$$\widehat{F}(x,\xi) = \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \overline{\lim_{\varepsilon \to 0}} \, \mathcal{H}^{\rho} L_{\varepsilon}(x,t\xi).$$

We have thus established (4.22), which finishes the proof of Theorem 4.3.

4.2. **Relaxation.** Let  $G : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function satisfying  $(\mathbb{C}_0)-(\mathbb{C}_1)$  and  $(\mathbb{I}_5)-(\mathbb{I}_8)$  and let  $L : \Omega \times \mathbb{M} \to [0, \infty]$  be a Borel measurable such that:

(**R**<sub>0</sub>) there exist  $\alpha, \beta > 0$  such that for every  $(x, \xi) \in \Omega \times \mathbb{M}$ ,

$$\alpha G(x,\xi) \leqslant L(x,\xi) \leqslant \beta (1+G(x,\xi));$$

(R<sub>1</sub>) *L* is ru-usc with  $a \in L^{\infty}(\Omega; [0, \infty])$ , i.e.

$$\overline{\lim_{t\to 1^-}}\,\delta^a_L(t)\leqslant 0$$

with  $\delta_L^a: [0,1] \rightarrow ] - \infty, \infty$ ] defined by

$$\delta_L^a(t) := \sup_{x \in \Omega} \sup_{\xi \in \mathbb{L}_x} \frac{L(x, t\xi) - L(x, \xi)}{a(x) + L(x, \xi)}$$

where  $\mathbb{L}_x$  denotes the effective domain of  $L(x, \cdot)$ .

Let  $\mathscr{I}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be defined by

$$\mathcal{F}(u,A) := \int_A L(x,\nabla u(x))dx$$

and let  $\overline{\mathscr{F}}: W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0, \infty]$  be the  $L^p$ -lower semicontinuous envelope of  $\mathscr{F}$ , i.e. for every  $u \in W^{1,p}(\Omega; \mathbb{R}^m)$  and every  $A \in \mathscr{O}(\Omega)$ ,

$$\overline{\mathscr{F}}(u,A) := \Gamma(L^p) - \lim_{\varepsilon \to 0} \mathscr{F}(u,A) = \inf \left\{ \lim_{\varepsilon \to 0} \mathscr{F}(u_\varepsilon,A) : u_\varepsilon \xrightarrow{L^p} u \right\}.$$

Applying Theorem 4.3 with  $\{L_{\varepsilon}\}_{\varepsilon>0} = L$  and taking Remark 4.2 into account, as a straightforward consequence, we obtain the following relaxation result.

**Corollary 4.9.** Assume that p > N and  $(R_0)-(R_1)$ ,  $(C_0)-(C_1)$  and  $(I_5)-(I_8)$  hold. Then, for every  $A \in \mathcal{O}(\Omega)$ ,

$$\overline{\mathscr{F}}(u,A) = \begin{cases} \int_{A} \lim_{t \to 1^{-}} \overline{\lim_{\rho \to 0}} \, \mathscr{H}^{\rho} L(x,t \nabla u(x)) dx & \text{if } u \in \operatorname{dom}(\mathscr{G}(\cdot,A)) \\ \\ & \infty & \text{if } u \in W^{1,p}(\Omega;\mathbb{R}^m) \backslash \operatorname{dom}(\mathscr{G}(\cdot,A)). \end{cases}$$

4.3. Homogenization. Let  $G : \mathbb{M} \to [0, \infty]$  be a Borel measurable function (which does not depend on *x*) satisfying (C<sub>0</sub>), (I<sub>5</sub>)–(I<sub>6</sub>) and

 $(I_{7'}) \ 0 \in int(\mathbb{G})$  where  $\mathbb{G}$  denotes the effective domain of G.

Let  $L: \mathbb{R}^N \times \mathbb{M} \to [0, \infty]$  be a Borel measurable function with the following properties:

(H<sub>0</sub>) for every  $\xi \in \mathbb{M}$ ,  $L(\cdot, \xi)$  is 1-periodic, i.e. for every  $(x, z) \in \mathbb{R}^N \times \mathbb{Z}^N$ ,

$$L(x+z,\xi) = L(x,\xi);$$

(H<sub>1</sub>) there exist  $\alpha, \beta > 0$  such that for every  $(x, \xi) \in \Omega \times \mathbb{M}$ ,

$$\alpha G(\xi) \leqslant L(x,\xi) \leqslant \beta(1+G(\xi));$$

(H<sub>2</sub>) *L* is ru-usc with a 1-periodic function  $a \in L^1_{loc}(\mathbb{R}^N; ]0, \infty]$ ), i.e.

$$\overline{\lim_{t \to 1^{-}}} \,\delta^a_L(t) \leqslant 0$$

with  $\delta_L^a: [0,1] \rightarrow ] - \infty, \infty$ ] defined by

$$\delta_L^a(t) := \sup_{x \in \mathbb{R}^N} \sup_{\xi \in \mathbb{L}_x} \frac{L(x, t\xi) - L(x, \xi)}{a(x) + L(x, \xi)}$$

where  $\mathbb{L}_x$  denotes the effective domain of  $L(x, \cdot)$ .

For each  $\varepsilon > 0$ , let  $\mathscr{I}_{\varepsilon} : W^{1,p}(\Omega; \mathbb{R}^m) \times \mathscr{O}(\Omega) \to [0,\infty]$  be defined by

$$\mathscr{F}_{\varepsilon}(u,A) := \int_{A} L\left(\frac{x}{\varepsilon}, \nabla u(x)\right) dx.$$

As a consequence of Theorem 4.3, we obtain the following homogenization result (which is a variant of [AHMZ15, Theorem 1.1]).

**Corollary 4.10.** Assume that p > N and  $(H_0)-(H_2)$ ,  $(C_0)$ ,  $(I_5)-(I_6)$  and  $(I_{7'})$  hold. Then, for every  $A \in \mathcal{O}(\Omega)$ ,

with  $L_{\text{hom}} : \mathbb{M} \to [0, \infty]$  given by

$$L_{\text{hom}}(\xi) := \inf_{k \in \mathbb{N}^*} \inf \left\{ \int_{]0,k[^N} L(y, \nabla u(y)) dy : u - l_{\xi} \in W_0^{1,p}(]0, k[^N; \mathbb{R}^m) \right\}.$$

**Proof of Corollary 4.10.** It suffices to apply Theorem 4.3 with  $\{L_{\varepsilon}\}_{\varepsilon>0} = \{L(\frac{\cdot}{\varepsilon}, \cdot)\}_{\varepsilon>0}$  and  $G(x,\xi) = G(\xi)$ . First of all, taking Remark 1.3(iii) and Remarks 4.1(ii)-(iii) into account, from (C<sub>0</sub>), (I<sub>6</sub>) and (I<sub>7'</sub>) we see (C<sub>1</sub>) and (I<sub>7</sub>)–(I<sub>8</sub>) are verified. On the other hand, it is clear that by (H<sub>1</sub>) we have (C<sub>2</sub>) and from (H<sub>2</sub>) and Lemma 2.24 we deduce that (C<sub>3</sub>) holds. So, to apply Theorem 4.3, we only need to show that (C<sub>4</sub>) is satisfied. For each  $\xi \in \mathbb{M}$ , let  $S_{\xi} : \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N) \to [0,\infty]$  be defined by

$$\mathscr{S}_{\xi}(A) := \inf \left\{ \int_{A} L(x, \nabla u(x)) dx : u - l_{\xi} \in W_0^{1, p}(A; \mathbb{R}^m) \right\}$$

It is easily seen that  $S_{\xi}$  is subadditive and, by (H<sub>0</sub>),  $S_{\xi}$  is  $\mathbb{Z}^N$ -invariant. Moreover, from (H<sub>1</sub>) we can assert that for every  $\xi \in \mathbb{G}$  and every  $A \in \mathcal{O}_{\mathrm{b}}(\mathbb{R}^N)$ ,

$$\mathcal{S}_{\xi}(A) \leqslant C_{\xi}|A|$$

with  $C_{\xi} \in ]0, \infty[$  given by  $C_{\xi} := \beta(1 + G(\xi))$ . By Theorem 2.11 it follows that

$$\lim_{\varepsilon \to 0} \frac{\mathscr{S}_{\xi}\left(\frac{1}{\varepsilon}Q\right)}{\left|\frac{1}{\varepsilon}Q\right|} = L_{\text{hom}}(\xi) \text{ for all } \xi \in \mathbb{G} \text{ and all } Q \in \text{Cub}(\mathbb{R}^N),$$

where  $\operatorname{Cub}(\mathbb{R}^N)$  denotes the class of all open cubes in  $\mathbb{R}^N$ . Hence

$$\lim_{\varepsilon \to 0} \mathscr{H}^{\rho} L_{\varepsilon}(x,\xi) = \lim_{\varepsilon \to 0} \frac{\mathscr{S}_{\xi}\left(\frac{1}{\varepsilon}Q_{\rho}(x)\right)}{\left|\frac{1}{\varepsilon}Q_{\rho}(x)\right|} = L_{\text{hom}}(\xi) \text{ for all } x \in \Omega \text{ and all } \xi \in \mathbb{G}$$

which shows that  $(C_4)$  is verified, and the proof is complete.

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