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Natural acidic deep eutectic solvent to obtain cellulose nanocrystals using the design of experience approach.

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- 12 13

Abstract

In this study, a new approach to optimize the cellulose nanocrystals (CNCs) extraction 14 15 using acidic natural deep eutectic solvents (NADES) was introduced using, for the first time, design of experiment method. Choline chloride:oxalic acid dihydrate with a molar 16 ratio of 1:1 was used to extract CNCs. Then, three most important parameters were 17 varied to design the experiment: (i) cotton fibre concentrations, (ii) temperature and 18 19 (iii) treatment time. Two outcomes were studied: the CNC yield and the crystallinity. The mathematical model for crystallinity perfectly described the experiments, while the 20 model for CNC yield provided only a tendency. For a reaction time of 6 h at 95°C with a 21 fibre concentration of 2%, the expected optimum CNC yield was approximately $35.5 \pm$ 22 23 2.7% with a crystallinity index of 80 \pm 1%. The obtained experimental results confirmed the models with $43.6 \pm 1.9\%$ and $81 \pm 1\%$ for the CNC yield and the crystallinity index, 24 respectively. This study shows that it is possible to predict the CNC yield CNC and their 25 crystallinity thanks to predictive mathematical models, which gives a great advantage to 26 27 consider in the near future a scale up of the extraction of cellulose nanocrystals using this original family of green solvents. 28



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- 30

Keywords: Cellulose nanocrystal – Natural Deep Eutectic Solvents – Design of
 Experiment – High crystallinity – Treatment optimisation

33

34 Highlights

Cellulose nanocrystals are successfully extracted using a natural deep eutectic solvent.

- The influence of the treatment time, temperature, and initial fiber concentration
 during the CNC extraction was studied and thoroughly described using
 mathematical models.
- 40
 16 different parameter combinations are employed to build and to check the validity of these two mathematical models.
- Cellulose nanocrystals having optimal yield and crystallinity of 44.9% and 81%,
 respectively, were obtained.

44 **1. Introduction**

In 1947, Nickerson and Habrle were among the first to reveal the existence of a 45 crystalline part on cellulosic fibres and isolate it using an acid hydrolysis process in 46 1947 (Nickerson and Habrle, 1947). Since the 1960s, cellulose nanocrystals (CNCs) have 47 been extracted *via* sulfuric acid hydrolysis of the cellulose amorphous parts. CNCs have 48 many characteristics that make them suitable for the development of innovative 49 materials for various applications, e.g., the abundance of biosourced starting materials, 50 51 their low density (1.6 g/cm³), and their high stiffness and specific surface area. Moreover, due to the presence of hydroxyl groups on their surface, CNCs are hydrophilic 52 and reactive for post-modification to provide new functionalities. Biobased CNC 53 nanoparticles are now commercially available (Reid, Villalobos, and Cranston, 2017), 54 55 and they show promising results in various applications, such as biocomposites (Mariano, Kissi, and Dufresne, 2014), hydrogels (Du et al., 2019), rheological modifiers 56 (Gicquel et al., 2019), tissue engineering and health care applications (Domingues, 57 Gomes, and Reis 2014; Shankaran, 2018) and coatings (Mascheroni et al., 2016). 58

- 59 CNCs are rod-shaped particles with the following nanometric dimensions: their lengths 60 are approximately 100 to 500 nm depending on the cellulose source (Bras et al. 2011) 61 and their diameters are between 5 and 20 nm (Ramires and Dufresne, 2011; Isogai, 62 2020).
- 63 CNCs can be extracted by hydrolysing glyosidic bound of the amorphous part of 64 cellulosic fibres. Sulfuric acid (H₂SO₄) is the most common acid used to obtain CNCs; 65 indeed, this common procedure can be applied to any cellulose source with small
- 66 adaptations, as sketched in scheme 1.



67 68

Scheme 1: Schematic representation of acid hydrolysis of cellulose fibre

Recently published reviews identify all the different conditions that can be used to extract cellulose nanocrystals with this "conventional hydrolysis". (Dufresne 2017; Haldar and Purkait 2020). However, it was proved that the CNC yield, and their properties strongly depend of the cellulose sources and treatment conditions (time and temperature of treatment, and initial acid concentration). Thus, for example, Flauzino Neto *et al.* extracted cellulose nanocrystal from soy hull using H₂SO₄ 64% treatment, and showed that prolonging the reaction by 10 min decrease the CNC length and reduce
their crystallinity (Flauzino Neto *et al.*, 2013).

78 However, other methods enable CNC extraction from biomass. For example, other types

⁷⁹ of acids have been used, such as hydrochloric acid (Araki *et al.* 1999) or organic acid

(Filson and Dawsonandoh, 2009). Subcritical water (Novo *et al.* 2015) or ionic liquid
(Man *et al.* 2011) have also been used for cellulose nanocrystal extraction. More
recently, a new solution was proposed that consists of using acidic deep eutectic
solvents as reactive media (Zdanowicz, Wilpiszewska, and Spychaj 2018).

Deep eutectic solvents (DESs) are a new class of organic solvents that were introduced for the first time in 2003 by Abbott *et al.*, who found that the eutectic mixture formed by choline chloride and urea with a molar ratio of 1:2 exhibited a freezing point of 12°C, which is considerably lower than that of either of the constituents (choline chloride = 302°C and urea = 133°C) (Abbott *et al.*, 2003). DESs are in a state of molten salt and ionic

liquid solvent, but compared to other solvents, they are easier to use and less toxic and

are composed of a Lewis or Brönsted acid and a base with a specific ratio. According to

91 the nature of these two constituents, DES can be classified into four types (Smith,

92 Abbott, and Ryder, 2014).

In the case of DES type III, the two constituents are a quaternary ammonium salt and a
 hydrogen bond donor; the association of these compounds results in a eutectic mixture

95 *via* hydrogen bonding interactions between the hydrogen bond acceptor and the 96 hydrogen bond donor. The melting temperature of the mixture, far below that of its

97 individual constituents, can be explained by the fact that the strong hydrogen bonds

- 98 between the different compounds prevent the crystallization of each product (Francisco, 99 van den Bruinhorst, and Kroon, 2013). Moreover, if the DES is formulated with natural 100 compounds, the obtained mixture is referred to as natural doop suitestic soluent.
- 100 compounds, the obtained mixture is referred to as natural deep eutectic solvent101 (NADES), which can be considered a green solvent due to its nonvolatility, low toxicity,
- and potential renewability, recyclability and biodegradability (Paiva *et al.* 2014; Vanda
 et al., 2018).

104 DES and NADES are easy to obtain and can be helpful in organic chemistry; indeed, they can replace some toxic organic solvents in a large number of application fields. In a 105 recent review, Zdanowicz et al. summarized the possible application range of DES and 106 107 NADES for polysaccharide processing (Zdanowicz, Wilpiszewska, and Spychaj, 2018). 108One of these applications used of Type III NADES as an acidic hydrolytic solvent to obtain CNCs. NADESs exhibit lower vapour pressures than aqueous solutions and thus 109 can be considered safer than aqueous solutions. Moreover, recent studies have claimed 110 the possibility of reusing DES five times without a decrease in their efficiency (Li et al. 111 2018; Liu et al., 2017). These two advantages indicate that NADES should be more 112 deeply studied as a new method of cellulose nanocrystal production. 113

Many combinations of hydrogen bond donors and acceptors using different ratios can be 114 used to obtain acidic deep eutectic solvents that can hydrolyse the amorphous parts of 115 cellulose (Sirviö, Visanko, and Liimatainen, 2016; Ibrahim, Abdullah, and Sam, 2018; 116 Sirviö 2019). However, the most studied NADES was used for the first time by Sirviö et 117 al. in 2016 (Sirviö, Visanko, and Liimatainen, 2016). The researchers managed to extract 118 119 individual cellulose nanocrystals using a choline chloride:oxalic acid dihydrate (ChCl:OAD 1:1) treatment from dissolving pulp. They used two different temperatures 120 (T=100 or 120°C) and a reaction time of 2 hours. After this pre-treatment, NADES was 121 removed using distilled water, and the suspension was disintegrated mechanically using 122 a microfluidizer. The CNCs produced at 120°C showed a high aspect ratio with a length 123 of approximately 353 ± 16 nm and a diameter of 9.9 ± 0.7 nm. Since this first study, 124

different studies using this NADES in different ratios, various experimental conditions, 125 and different cellulose sources have been conducted to extracted CNCs. In 2017, Laitinen 126 et al. showed that only 30 minutes of treatment at 100°C is enough to extract CNC from 127 bleached birch Kraft pulp using ChCl:OAD 1:1 as the pre-treatment. The nanocrystals 128 obtained are non-charged and can be used as oil-water Pickering stabilizers (Laitinen et 129 al., 2017). However, a faster way to produce CNCs using this solvent is to assist the pre-130 treatment by microwave application. Using this technique, Liu et al. obtained a CNC 131 suspension in only 3 minutes of reaction (Liu et al., 2017). More recently, Ling et al. 132 studied the effect of ChCl:OAD treatment on the cellulose nanocrystal structure. They 133 compared three ChCl:OAD molar ratios of 1:1, 1:2, and 1:3 at two temperatures of 80°C 134 and 100°C. In all cases, they managed to obtain cellulose nanocrystals, but lower 135 crystallinity and lamellar structures were observed for CNCs with a lower acid content 136 treatment. The CNCs obtained with a higher acid ratio (ChCl:OAD 1:3) were more 137 dispersed and exhibited a higher aspect ratio (Ling et al., 2019). Inspired by the 138 conventional acid hydrolysis treatment with H₂SO₄, Yang *et al.* proposed the use of a 139 140 catalyst (FeCl₃ \cdot 6H₂O) during DES treatment. They varied the temperature of the treatment and the molar ratio of choline chloride, oxalic acid dihydrate, and catalyst and 141 found that the optimum conditions for the treatment were 80°C and 6 h of treatment in 142 ChCl:OAD:FeCl₃·6H₂O DES at a molar ratio of 1:4.43:0.1 (*Yang et al.*, 2019). 143

144 All these studies have been conducted recently and have not provided clear information on the CNC yields. The possibilities to generate new acidic natural deep eutectic solvents 145 146 are almost infinite; indeed, simply changing the molar ratio between the constituents of 147 the solvent would result in a new solvent with new properties. The same is true if 148 another acid is used as the hydrogen bond donor. Therefore, the aim of the present study is to examine the role of time and reaction temperature in the production of 149 cellulose nanocrystals. Our hypothesis is that there is an optimum of parameters for 150 obtaining higher yield of cellulose nanocrystals via DES treatment. 151

152 In this work, a design of experiment (DOE) approach is used to follow the yield of cellulose nanocrystals and their crystallinity with the commonly used natural deep 153 eutectic solvent ChCl:OAD at a molar ratio of 1:1 by means of varying 3 parameters: (i) 154 the temperature (60-95°C), (ii) the reaction time (2-16 h) and (iii) the cotton fibre 155 cellulose concentration (1-2%). The DOE method allows process optimization by 156 157 performing a minimum number of experiments while varying the 3 parameters at the same time. We first established a mathematical model to determine the optimal 158 159 conditions of acid hydrolysis to obtain the highest yield, and then, we tried to predict the CNC crystallinity. Once the domain of the treatment conditions was perfectly described, 160 it was possible to choose the proper combination of parameters to obtain nanocrystals 161 with the desired yield and crystallinity. 162

163

164 **2. Materials and methods**

165 **2.1.** Materials

166 Dry sheets of commercially available of bleached and mechanically treated cotton 167 fibre obtained from the paper industry were used as cellulosic material (CELSUR, CS 168 21 DHS). Oxalic acid dihydrate (\geq 99%) and choline chloride (\geq 98%) were obtained 169 from Sigma-Aldrich, and sodium chloride (\geq 99%) was obtained from Roth. 170 Deionized water was used throughout the experiment. 172 2.2. NADES treatment

173 The 1:1 molar ratio of acidic deep eutectic solvent was produced by stirring 63.0 174 grams of oxalic acid dihydrate and 69.8 grams of choline chloride continuously for 30 minutes at 95°C in a glass reactor. Small pieces of 3*3 mm cotton sheets (having a 175 weight about 1-2 mg) were added to the reactor. The cellulose concentration, 176 177 reaction time and temperature are the three variable parameters chosen to build the design of the experiment with a total of 14 different sets of parameters (Ex: T=60°C, 178 t=16 h, c=2%). The treatment conditions to build the experimental domain in the 179 design of experiments were chosen based on preliminary tests, conditions used in 180 the literature and environmental aspects. For this reason, a lower range of 181 temperatures than those reported in the literature and longer reaction times were 182 tested. 183

184 At the end of the treatment, 200 ml of deionized water was added to the reactor to 185 quench the reaction and reduce the viscosity of the mixture. The treated cellulose was washed and filtered through a membrane of 1 µm. The retained fibres were 186 dispersed in water and filtered again, and this action was repeated 3 times. The 187 filtrates were centrifuged at 10,000 rpm for 15 minutes with a small quantity of 188 189 sodium chloride, and the cellulose nanocrystals obtained were dialysed until the conductivity of the sample was the same as that of deionized water. 190

191 192

171

2.3. Design of experiment and data analysis

The software MINITAB (MINITAB ®, LLC) was used for the statistical design of 193 experiments and data analysis. To optimize the yield and crystallinity of the CNCs, 194 195 three important treatment variables are chosen: treatment time (X_1) , reaction 196 temperature (X₂) and initial cellulose concentration (X₃). Their range and values are 197 shown in Table 1.

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- 199 200

Table 1: Reaction parameters and their value	es
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Factor	Name	Range of actual and coded variables				
Factor IN		Unit	-1	0	1	
X1	Reaction time	h	2	9	16	
X ₂	Temperature	°C	60	75.5	95	
X3	Concentration	%	1	1.5	2	

201

202 Central composite design (CDD) is commonly used for improving and optimizing 203 processes to fit a model by the least-squares technique. The method contains three steps: (i) design and experiments; (ii) response surface modelling through 204 regression; and (iii) optimization of parameters. 205

206 Using the CCD model, the nanocrystal yield and crystallinity can be modelled by two functions (Y_v and Y_c , respectively) of the three parameters; the equation can be 207 written as follows (Equation 1): 208 209

Equation 1

 Y_v or Y_c

$$= b_0 + b_1 X_1 + b_2 X_2 + b_3 X_3 + b_{12} X_1 X_2 + b_{13} X_1 X_3 + b_{23} X_2 X_3 + b_{11} X_1 X_1 + b_{22} X_2 X_2 + b_{33} X_3 X_3$$

- 211 The predicted response (Y_c or Y_v) is correlated to the set of regression coefficients: the intercept (b_0) , the linear coefficients (b_1, b_2, b_3) , the interaction coefficients (b_{12}, b_{12}, b_{13}) 212 b₁₃, b₂₃) and the quadratic coefficients (b₁₁, b₂₂, b₃₃). 213
- These different coefficients are calculated using the 14 treatment conditions 214 determined by the CDD method; 3 treatment conditions in the domain centre are 215 realized. 216
- 217 218

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231

2.4. Nanocrystal and residual fibre analyses

219 CNC and residual fibre (RF) yield

As previously mentioned, residual fibres and cellulose nanocrystals were separated 220 after acid treatment, which enabled the determination of the real CNC vield before 221 222 the ultrasonic treatment.

The cellulose nanocrystal yield is calculated as the weight ratio of cellulose 223 224 nanocrystals (m_CNC) and the initial weight of cellulose fibres (m_0), as expressed in Equation 2. At least two measurements were performed for each sample. 225

Equation 2

$$Y_CNC(\%) = ((m_CNC - m_0))/m_0 \ x \ 100$$

228 The residual fibre (RF) yield was also calculated using the same method (Equation 229 3). 230

Equation 3

 $Y_RF(\%) = ((m_RF - m_0))/m_0 \times 100$

232 **Crystallinity Index by x-ray diffraction (XRD)**

The crystallinity index (CI) of the cellulose nanocrystals was determined by the 233 amorphous subtraction method. The area under the curve of an amorphous standard 234 was subtracted from the sample area, and this difference was divided by the area of 235 the sample as expressed in Equation 4. The measurements were realized in the dry 236 CNC sample (overnight, 105°C) using an X'Pert Pro MDP instrument (Malvern 237 Panalytical) in reflection mode with the Bragg Brentano geometry. The anode was 238 composed of copper, and the wavelength was 1.5419 Angström. In this publication, 239 the amorphous sample was bleached birch cellulose pulp cryo-crushed for twenty 240 minutes using a Cryomill device (Retsch). 241

Equation 4

$$CI\%_{CNC} = (Area_{CNC} - Area_{amorphous})/Area_{CNC} x100$$

243 244

242

CNC sonicated suspension 245

A determined quantity of CNC was diluted to a concentration of 10⁻² wt%. Using a 246 250-Watt sonication probe (Sonifer 250, Branson), the suspension was exposed to a 247 dispersive energy of 8.7 MJ per gram of dried CNC at 50% of maximum energy. 248

249 250 Atomic force microscopy (AFM)

251 One drop of the sonicated CNC suspension was deposited on a mica plate and dried 252 at room temperature overnight. Images were obtained using AFM (Dimension Icon) 253 in tapping mode, and the mean height of individual nanocrystals was obtained using 254 at least 50 measurements.

256 Transmission electronic microscopy (TEM)

A few drops of the CNC sonicated suspensions were deposited onto a glowdischarged carbon-coated copper grid. After 2 min, the excess liquid was removed with filter paper, and a droplet of 2 wt% uranyl acetate was deposited onto the grid before completely drying the CNCs. The excess stain was removed, and the specimens were observed with a JEOL JEM 2100-Plus microscope operating at 200 kV. A minimum of 10 digital images were recorded at different locations with a Gatan Rio 16 camera, and the most representative image was used for the discussion.

264

265 **Fourier transform infrared spectrometry (FTIR)**

Infrared spectra were obtained from cotton cellulose fibres and residual fibres using a Perkin-Elmer Spectrum 65 instrument (PerkinElmer, USA). The fibres were dried at room temperature overnight, and a KBr pellet was pressed from the powder containing 2% fibre. Spectra were recorded in transmission mode between 4000 and 400 cm⁻¹ with 16 scans.

271

279

272 **Residual fibre morphology**

Residual fibre morphology analysis (length, width, number of elements, etc.) was
carried out using the MorFi device (MorFi compact, Techpap). Images of a
suspension of residual fibres at 40 g/L between the measurement cells were
acquired to perform the image analysis. Elements whose length exceeded 100 μm
were counted as fibres and those with a length below 100 μm were counted as fines.
This measurement was performed in triplicate.

280 Scanning Electron Microscopy (SEM)

Scanning electron microscopy images were obtained with an ESEM instrument (Quanta 200, FEI, Japan) at an acceleration voltage of 10 kV. Wet samples of cotton fibres and residual fibres were air-dried and coated with carbon using a vacuum sputter coater. At least 20 images were taken, and the most representative images were used in the discussion.

3. Results and discussion

287 **3.1.** Model determination of the CNC yield.

The 17 conducted experiments are described in Table 2, and the obtained cellulose nanocrystal yields are reported in the penultimate column. The last column corresponds to the optimal normalization of the yield using the Cox-Box transformation method (λ =0.33) (Box and Cox, 1964).

- 292 293
- Table 2: List of experimental parameters and obtained yields

Experiment	Concentration	Time	Temperature	CNC Yield	Cox-Box transformation
Name	%	h	°C	%	$\lambda = 1/3$
А	1.5	9	77.5	17.6	2.60
A'	1.5	9	77.5	22.8	2.83
A"	1.5	9	77.5	18.4	2.64
В	1	2	60	0.3	0.65

С	2	16	60	4.9	1.70
D	2	2	95	18.3	2.63
Е	1	16	60	10.2	2.17
F	1	2	95	30.7	3.13
G	2	2	60	0.7	0.88
Н	2	16	95	24.5	2.90
Ι	1	16	95	21.7	2.79
J	1.5	9	60	1.7	1.20
К	1.5	16	77.5	5.0	3.09
L	1.5	9	95	29.7	2.95
Μ	2	9	77.5	25.6	1.95
Ν	1.5	2	77.5	7.4	1.71
0	1	9	77.5	14.5	2.44

295

Three replications were performed in the domain centre to assess the reproducibility of the treatment conditions (A, A' and A'').

298 The first response studied was the CNC yield. The coefficients of the models were determined according to the DOE results, and some insignificant interactions were 299 detected and removed using the analysis of variance (ANOVA) method without 300 degrading the model and maintaining a hierarchical structure. The final equation is 301 expressed below (Equation 5), and the surface response of the CNC yield according 302 to the temperature and the reaction time is plotted in Figure 1Erreur! Source du 303 renvoi introuvable.A, whereas the coefficient p-values and regression coefficient 304 305 are shown in Figure 1B.

306

307 Equation 5

$$\begin{split} Y_y(\%) &= (-3.758 + 0.397 \times t(h) + \ 0.068 \times T(^\circ C) - \ 0.002 \ \times T(^\circ C) \ \times t(h) - 0.010 \\ &\times t^2(h) \)^3 \end{split}$$



Figure 1: A. Surface response of the yield - B. Regression coefficients and p-values of the coefficients

- The fit of the model can be evaluated using the tree regression coefficients R-square (R²), adjusted R-square (R²_{adjusted}), and estimated R-square (R²_{estimated}); the closer their values are to 100%, the better the model fits. In the current model, R² and R²_{adjusted} were higher than 80%, and the difference between R²_{adjusted} and R²_{estimated} was lower than 10%; as a consequence, the model can be used with caution.
- 314

The first observation of this model is that the fibre concentration during the 315 treatment did not influence the final yield; indeed, all the mathematical terms of the 316 model, including the concentration, were negligible. The initial concentration range 317 of cellulosic cotton fibres (1-2%) was determined using preliminary experiments to 318 obtain a good dispersion of the fibres in the NADES while limiting the viscosity of the 319 reaction medium. Indeed, ChCl:OAD 1:1 is a viscous solvent, especially at low 320 temperature, and adding cellulose fibres further increases the global viscosity of the 321 mixture. The starting hypothesis consisted of the fact that increasing the fibre 322 concentration would reduce the efficiency of the treatment due to the limited 323 324 penetration of the chemicals into the cotton fibres. In practice, the small variation in the concentration in the experimental domain did not allow us to observe the 325 influence of this parameter on the yield optimization because the viscosity of the 326 mixture was likely the same. This result also confirmed the large excess of acid, 327 328 which facilitated the hydrolysis of the amorphous part in the experimental domain.

As expected, the temperature played a crucial role in the extraction of the cellulose 329 nanocrystal. The higher the treatment temperature, the higher the final CNC yield. 330 331 This trend had already been observed by Sirviö in 2016 (Sirviö, Visanko, and 332 Liimatainen, 2016), but another study by Liu et al. showed that microwave 333 application reduced the CNC yield a high temperatures (Liu et al., 2017). These results are not truly in contradiction because the cellulosic fibre sources, as well as 334 the experimental conditions used, were different in the three studies (Table 3). 335 Moreover, in all cases, the yield in this approach increased with temperature and 336 337 started to decrease when the degradation took place.

- The treatment time is also a very important parameter, and as observed in the surface response (Figure 1), the CNC yield decreased after 8 or 9 hours of treatment. Moreover, the residual fibres were brownish after this time, and after 16 h of treatment, even the CNC nanoparticles became partially coloured, as already
- observed by Liu *et al.*, who reported the same tendency. Both phenomena may be
 due to the degradation of the cellulose material because of the strong condition of
 treatment.
- Since the theoretical mathematical model was defined, we proceeded with the next step aiming at its validation by performing a new experiment within the domain. The chosen parameters for this experiment targeted a theoretical maximum CNC yield of $37.2 \pm 2.7\%$, which theoretically could be obtained after 8 hours and 13 minutes of treatment at 95°C.
- In this context, these optimal experimental conditions were tested in triplicate with a
 chosen fibre concentration of 1%.
- This set of parameters resulted in a CNC yield of approximately $44.9 \pm 2.5\%$. The difference between the theoretical and experimental values was higher than expected but still acceptable. In fact, even if a few points of higher yield were

358 observed, the standard deviation was a perfect fit with the theoretical value. Some 359 hypotheses can be drawn to explain this slight discrepancy. The optimum point is 360 located close to the maximum of the theoretical curve (maximum temperature 361 $T=95^{\circ}C$), whereas the mathematical model obtains a better fit in the centre. It is 362 possible that some unknown parameters governing the studied reaction were 363 neglected when building the model. Finally, the polynomial law is not perfectly 364 suitable to properly describe the reaction.

365

The cellulose nanocrystals (obtained using the treatment conditions ChCl:OAD, 95°C, 8 h 13 min, c=1%) were characterized and can be compared to those of CNC obtained in the literature using deep eutectic solvents composed of choline chloride and oxalic acid dihydrate (Table 3).

370371

372

Table 3: Overview of the different pre-treatment conditions using ChCl:OAD NADES for obtaining cellulose nanocrystals - adapted from Le Gars *et al.*, 2019

Cellulose source	Treatment (DES,)	Molar Ratio	Time	Temp. °C	Yield* %	Dimension nm	Ref	
Dissolving pulp	ChCl : OAD	1:1	2 h	100	68	l = 390 ± 25 d = 13.6 ± 1.1	Sirviö, Visanko, and	
		1:1	2 h	120	73	l = 353 ± 16 d = 13.8 ± 0.7	Liimatainen 2016	
Dissolving pulp	ChCl : OAD	1:1	30 min	100	NA	l = 50 - 350 d = 3-8	Laitinen <i>et al</i> . 2017	
Cotton fibre	ChCl : OAD	1:1	1 h	100	79.8	l = 194.1 d = 9.6 ± 2.9	Ling <i>et al.</i> 2019	
	ChCl : OAD	1:2	1 h	100	80.0	l = 152.7 d = 6.1 ± 1.2	_	
	ChCl: OAD	1:3	1 h	100	81.6	l = 122.4 d = 4.7 ± 2.2	_	
Cotton fibre	ChCl : OAD <i>Microwave</i>	1:1 <i>800W</i>	3 min	80	74.2	l = 100-350 d = 3-25	Liu <i>et al.</i> 2017	
	assisted	1:1 <i>800W</i>	3 min	90	62.4	NA		
		1:1 <i>800W</i>	3 min	100	57.8	l ≈ 150 d < 17	_	
Bleached eucalyptus	ChCl : OAD + catalyst:	1:4 0	7 h	80	86	l = 5152 ± 3328	Yang <i>et al.</i> 2019	
kraft pulp	FeCl3 . 6H2O (mmol/gDES)	1:4 <i>0.15</i>	6 h	80	73	$l = 270 \pm 92$		
		1:4 <i>0.3</i>	6 h	80	71	$l = 258 \pm 54$	_	
		1:1 <i>0.15</i>	6 h	80	88	l = 5726 ± 3856	_	
Bleached cotton fibre: 14 exp.	ChCl: OAD	1:1	2-16 h	60-95	0.3-30.7	NA	This study	
Bleached cotton fibre: yield		1:1	8 h 13	95	CNC= 44.9%	l= 282 ± 46 d= 7.9 ± 1.9	_	
optimization					Fibre= 13.6%			

*Yield means yield of CNC and residual fibres except in our study

NA: non-applicable

The CNC morphology was determined after sonication of the suspensions, and their 374 375 height was deduced from AFM images, whereas their length was deduced from TEM images. Fifty measurements of individual CNC were conducted, and the mean height 376 377 value was 7.9 \pm 1.9 nm, while their length was approximately 282 \pm 46 nm. These values are similar to those associated with the CNC dimensions obtained from cotton 378 via classical acid hydrolysis (Dufresne, 2017) and those obtained by ChCl:OAD 379 treatment (Liu et al., 2017; Ling et al., 2019). TEM and AFM images of our cellulose 380 nanocrystals are displayed in Figure 2. 381

382



Figure 2: Cellulose nanocrystals after treatment with ChCl:OAD (1:1), 95°C, 8 h 13 min: - Left.
TEM image - Right. AFM image

The obtained CNC yield was lower than the yield found in the literature. This 386 difference can be attributed to the washing and separation steps after NADES 387 treatment, which were different from those reported in the publications cited in 388 389 Table 3. Indeed, in this work, residual fibres and CNC were separated before the ultrasonic treatment step and two distinguished suspension were obtained. The 390 391 consequences are that some cellulose nanocrystals were removed along with the residual cellulose fibres and/or with the large CNC aggregates that were filtered out 392 by the filtration membrane. 393

Using these "optimal" conditions, a small quantity of residual fibres was obtained (yield of fibres: 16.3%), and their morphology was compared with the initial dimension of cotton fibres (see Table 4). After the ChCl:OAD 1:1 treatment, the residual fibres exhibited a mean length divided by five and a number of fines multiplied by thirty-eight compared to the initial values, which confirmed the fragmentation capacity of NADES. Scanning electron microscopy images of fibres before and after treatment are shown in the supporting information (Figure S 1).

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Table 4: Morphology of residual fibres.

	Fibres		Fines		
	Mean length µm	Mean width µm	Fines content millions/g	Mean length μm	
Reference Cotton Fibres	689 ± 10	25 ± 0	67 ± 3	49 ± 0	
Residual Fibres	131 ± 1	21 ± 1	2531 ± 197	23 ± 1	

The cotton fibre and the residual fibre morphology result from the optical analysis of 404 the respective suspensions by the MorFi device. This device divides the counted 405 elements into subcategories. Figure 3 shows an example of the measurement 406 reproducibility obtained by comparing the mean length of fines contained in the 407 residual fibre suspension for the three previous treatment conditions (8 h 13 min, 408 95°C). According to the morphology analysis of the initial fibers, as expected, there is 409 a big distribution of the fibres' size. As a consequence, big fibres or agglomerates 410 would take much more time to be reduced to nanosize. This is the reason one, at the 411 end of the reaction, some residual fibres are still present. Indeed, the quantity of this 412 residue decrease with increasing reaction time. 413

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The infrared spectrum was obtained from the residual fibres and compared to that of the cotton fibres, and no new absorption peak was observed in the residual fibre spectrum. This result demonstrates that no chemical reaction took place on the cellulose (supporting information Figure S 2).

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3.2. Model determination for the crystallinity

To determine the theoretical equation of the cellulose nanocrystal crystallinity, the same methodology as that for the CNC yield was used. The crystallinity index (CI) of the cellulose nanocrystals previously obtained from the 17 experiments were evaluated by the amorphous subtraction method and are reported in Table 5.

Table 5: List of experimental parameters and the obtained crystallinity

Experiment Name	Concentration %	Time H	Temperature °C	CNC CI %
Ref	-	-	-	79
А	1.5	9	77.5	79
A'	1.5	9	77.5	79
A''	1.5	9	77.5	77
В	1	2	60	61
С	2	16	60	73
D	2	2	95	78

E	1	16	60	71
F	1	2	95	78
G	2	2	60	65
Н	2	16	95	80
Ι	1	16	95	80
J	1.5	9	60	66
К	1.5	16	77.5	80
L	1.5	9	95	81
Μ	2	9	77.5	80
Ν	1.5	2	77.5	72
0	1	9	77.5	78

431 The amorphous subtraction method with cellulosic samples has been described in the literature (Isogai and Usuda, 1989), and over the last decade, it has been 432 compared to other methods (Park et al., 2010; Ahvenainen, Kontro, and Svedström, 433 2016). The main challenge of this method is choosing a good amorphous sample that 434 fits the sample under investigation. In this publication, the chosen amorphous 435 sample was bleached, cryo-crushed birch cellulose pulp. 436

As previously mentioned, three repetitions were performed in the domain centre to 437 assess the reproducibility of the treatment conditions (A, A' and A"). This time, Box-438 Cox transformation was not required to analyse the data, and the obtained 439 theoretical model is described by Equation 6. 440 441

Equation 6

 $Y_{crvstallinitv}(\%)$

 $= -50.9 + 2.769 \times T(^{\circ}C) + 2.188 \times t(h) - 6.46 \times c(\%) - 0.01396$ $\times T^{2}(^{\circ}C) - 0.0362 \times t^{2}(h) + 4.90 \times c^{2}(\%) - 0.01429 \times T(^{\circ}C) \times t(h)$ $-0.0857 \times T(^{\circ}C) \times c(\%)$

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In contrast to the CNC yield, the CNC crystallinity depended on the initial 443 444 concentration of the cellulosic fibres. The surface response of the CNC crystallinity according to the temperature and the reaction time for a fixed concentration value of 445 2 wt% is plotted in Figure 4A, and the coefficient p-values and regression coefficient 446 are shown in Figure 4B. 447





The regression coefficients of this model were $R^2 = 98.0\%$ and $R^2_{estimated} = 91.0\%$, and 453 thus, the mathematical model can be used with the recommendation to test a new 454 point in the domain when designing the experimental strategy. The crystallinity of 455 the CNC obtained with the conditions for the yield optimization ($T=95^{\circ}C$, t=8 h 13456 min, c= 1%) was evaluated for the three repetitions. The theoretical value expected 457 458 for these parameters was CI%= $81 \pm 1\%$, and the mean experimental value was $82 \pm$ 1%. In conclusion, the model was able to predict the crystallinity of the obtained 459 CNCs in all experimental domains. The cellulose nanocrystal crystallinity seemed to 460 reach a maximum after approximately 13 h of treatment at 85°C using an initial 461 concentration of 2%. These crystallinity values were high but similar to those 462 reported by Ling et al. (Ling et al., 2019). They obtained dispersed CNCs with a 463 crystallinity index of 75% using cotton fibres treated with ChCl:OAD 1:1 for 1 h at 464 80°C, and the theoretical model found in this study predicted a CI of 75% for the CNC 465 product treated at 80°C for 2 hours. It is worth mentioning the rather excellent 466 agreement between theoretical and experimental data in this section. Thus, it can be 467 concluded that: For low temperature experiments the crystallinity index decreased 468 most probably because of the formation of amorphous zones due to the swelling of 469 the fibres and before starting the hydrolysis step. Instead, for high temperature 470 experiment did not affect significantly the CI(%) because the swelling and the 471 hydrolysis took place simultaneously. In all cases, the changes on this parameter are 472 hard to ascertain because of the relatively high crystallinity of the starting materials 473 (CI(%) = 79%).474

3.3. Response's combination

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The strength of the design of the experimental method is the possibility of the response's combination. Reporting the two models previously described for the CNC yield (%) and the crystallinity index (%) in a two-dimensional graph simplifies the choice of the parameters that can optimize the two responses simultaneously (Figure 5). The main objective of this graph is its capacity to predict CNC concentration and crystallinity for a given set of parameters.



Figure 5: Overlay of the contour diagrams for the two studied responses: Yield% and Crystallinity% (c=2%).

The actual aim was the production of cellulose nanocrystals in large quantities and, if 487 488 possible, at high concentrations. Therefore, the CNC yield was more relevant than the crystallinity. Moreover, a short reaction time was recommended. Indeed, the 489 available CNC production processes using classical acid hydrolysis are carried out in 490 less than 1 hour. Furthermore, between 6 and 8 hours of treatment at 95°C, the gain 491 492 in CNC yield and crystallinity was very low. Considering this information, a new set of parameters was chosen to carry out a final experiment: a reaction time of 6 hours 493 at a temperature of 95°C and an initial concentration of cotton cellulosic fibres of 2% 494 (bleu triangle in Figure 5). 495

For these parameters, the expected CNC yield was approximately $35.5 \pm 2.7\%$ with a 496 crystallinity index of $80 \pm 1\%$. Three experiments were carried out for 497 reproducibility, and the results were a CNC yield of 43.6 ± 1.9% and a crystallinity of 498 $81 \pm 1\%$. Similar to the previous experiments, the obtained CNC yield was higher 499 than expected and was relatively close to the yield obtained after 8 h 13 mins of 500 treatment (44.9 ± 2.5%). The mathematical model for CNC crystallinity was again 501 confirmed. The CNC morphology was determined after sonication of the suspensions, 502 and their height was obtained from AFM images, whereas their length was obtained 503 from TEM images. Fifty measurements of individual CNCs were conducted, and the 504 mean height value was found to be 11.3 ± 2.6 nm, while their length was 505 506 approximately 257 ± 54 nm. TEM and AFM images of these cellulose nanocrystals are shown in Figure 6. 507



Figure 6: TEM (left) and AFM (right) images of CNCs obtained after 6 h of treatment at
 95°C with a fibre concentration of 2%.

4. Conclusion

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514 In this work, cellulose nanocrystals were successfully extracted using an acidic NADES composed of choline chloride:oxalic acid dihydrate at a molar ratio of 1:1. To optimize 515 the treatment time and temperature in the acidic hydrolysis of the amorphous regions of 516 cellulose, the methodology of the design of the experiment was used. Two models were 517 established to characterize the evolution of the nanoparticle's crystallinity and the CNC 518 vield of the treatment. Our hypothesis has been confirmed and optima vield and CNC 519 crystallinity have been found thanks to the design of experiment approach. The first 520 model successfully described the crystallinity variation of CNCs according to the 521 different treatment parameters, while the second model was less accurate for describing 522 523 the experimental values and provided slightly higher values, although it followed the same tendency. The obtained results are highly useful because, to the best of our 524 525 knowledge, this is the first time that this optimization work has been proposed. This study shows that it is possible to predict the CNC yield CNC and their crystallinity thanks 526 527 to predictive mathematical models, which gives a great advantage to consider in the near future a scale up of the extraction of cellulose nanocrystals using this original 528 family of green solvents. 529

530 The next step should be an evaluation of different cellulose sources and NADES 531 compositions by DOE to validate whether the methodology can be applied to any type of 532 CNC extraction by an acidic NADES.

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672	Supporting information
673	
674	Use of a natural acidic deep eutectic solvent to obtain a high yield and
675	crystallinity of cellulose nanocrystals using the design of experience
676	approach.
677	
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 Figure S 1: SEM images of reference cotton fibres (left) and residual fibres after 8 h 13 min of treatment at 95°C (right)



Figure S 2: Infrared spectra of cotton cellulosic fibres and residual fibres after 8 h 13 min of treatment at 95°C