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# Physical behavior of electrostrictive polymers.

## Part 1: Polarization forces

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### Abstract

The electrostrictive response of soft elastomers with the same stiffness, strongly depends on their chemical nature and their typically multiphase microstructure. Moreover, some elastomers exhibit a strongly time dependent electrostriction over tens of minutes, and up to now, no theoretical approach has been proposed to analyze experimental data on local parameters like the dielectric constants, conductivities and viscoelastic moduli of these composite-like materials. We consider the phenomenon where the deformation of a polymeric sample between two electrodes is proportional to the square of the applied field, which is known as electrostriction. The electrostatic attraction of charged electrodes is Maxwell electrostriction. In cases, such as block co-polymers with phase separation, the observed electrostriction reaches magnitudes more than 10 times higher than those achieved via the Maxwell process. Phenomenological analyses of experimental data are usually performed but few physical have been proposed to explain the difference. Therefore, we analyze the electric forces inside a composite-like polymer and estimate the corresponding deformation. Using data sets for polyurethane-based materials that exhibit phase separation during their processing, we propose a microstructural model corresponding to a composite where spherical particles randomly fill a matrix. The particles and matrix exhibit different values of physical parameters such as the (i) dielectric constant and electrical conductivity, which determine the local electric field and (ii) viscoelastic modulus, which determine the local stiffness. Because the phases are different, the electric field is not homogenous and the field gradient generates forces around the interfaces. Developing a 2D model, we compare simulation results to experimental literature and other modeling approaches, and discuss them in detail. The polarization forces are found to be responsible for 20% of the deformation in a material with 35% inhomogeneity. Though the time constants are consistent with experimental data, their contribution is smaller than the Maxwell contribution, and therefore other mechanisms are involved in the large electromechanical activity of polymers like polyurethanes.

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## 33 1. Introduction

34

35 When a soft material such as rubber is placed inside an applied electric field  $E$  between two electrodes, it also  
36 experiences to their electrostatic attraction. This leads in turn to a compressive strain, known as the Maxwell strain,  
37 given by [1]

$$S_m = -\left(\frac{\varepsilon}{Y}\right) E^2 = -M_m E^2 \quad (1)$$

38 where  $\varepsilon$  and  $Y$  are the dielectric constant and Young modulus of the material, and  $M_m$  the Maxwell electrostrictive  
39 coefficient.

40 However, some electro-active polymers (EAPs) exhibit much higher deformations,  $S \gg S_m$  [2–6]. These high  
41 deformations are still quadratic at low applied electric field according to

$$S = -ME^2 \quad (2)$$

42 where  $M > M_m$  is the electrostriction coefficient. Electrostriction occurs in ionic crystals [7] and is responsible for  
43 piezoelectricity in ferroelectrics that have spontaneous polarization [7-9]. Anderson calculated the strain of a  
44 homogenous polarizable material in a cubic lattice hence providing a correction to the Maxwell coefficient [10],  
45 which Shkel later generalized to the case of amorphous material [11] with corrections based on the dielectric  
46 (permittivities), mechanical (Young modulus and Poisson ratio) and structural (lattice) properties.

47 The electrostrictive response of soft elastomers with the same stiffness strongly depends on their chemical natures,  
48 and for multiphase polymers, on their microstructures (such as spherical domains dispersed in continuous matrixes  
49 and lamellar materials) [1-6].

50 Experimental tests of electrostriction can be tricky, and it is difficult to obtain relevant measurements. For example,  
51 the constraint induced by the electrodes limits the deformation, as shown by Guyomar et al. [12]. They measured the  
52 deformation of 70  $\mu\text{m}$  polyurethane (PU) films (i) coated with a 50 nm-thick gold layer and (ii) uncoated. An  
53 interferometer was used to measure the electrostrictive coefficients of both coated and uncoated films. Without the  
54 gold layer the PU strain went up to 4%, whereas that of the coated film barely reached 1% for the same applied field  
55 of 10 MV/m. The resulting electrostriction coefficients for the coated and the uncoated films were  $4.83 \times 10^{-16} \text{ m}^2/\text{V}^2$   
56 and  $1 \times 10^{-16} \text{ m}^2/\text{V}^2$ , respectively. Measurements of the low-field permittivity ( $\varepsilon=4\varepsilon_0$ ) and mechanical modulus  
57 ( $Y=32 \text{ MPa}$ ) lead to  $M_m=\varepsilon/Y = 1.10 \times 10^{-18} \text{ m}^2/\text{V}^2$ . To avoid any measurement artifacts, Zhenyi [13] evaluated the  
58 electrostriction coefficient of a PU material using three different experimental apparatuses; the first was an  
59 accelerometer, the second an interferometer and the third a capacitance sensor. All measured values were higher than  
60 the Maxwell coefficient ( $1.7 \times 10^{-18} \text{ m}^2/\text{V}^2$ ), and the values corrected by removing the Maxwell effect were  $M=$   
61  $2.4 \times 10^{-17} \text{ m}^2/\text{V}^2$  (accelerometer),  $3.3 \times 10^{-17} \text{ m}^2/\text{V}^2$  (interferometer), and  $1 \times 63.10^{-17} \text{ m}^2/\text{V}^2$  (capacitance sensor).  
62 Diaconu et al. [6, 14–16] performed several experiments on a PU to study its strong behavior. For example [6], they  
63 used a liquid between the metallic electrodes and the polymer film to reduce friction in a Michaelson interferometer  
64 when measuring the electrostrictive properties of a 0.05 mm thick sample with low frequency dielectric constant of  
65  $\varepsilon=7.2\varepsilon_0$  and elastic modulus of  $Y=13.1 \text{ MPa}$ . Their measurements yielded  $M=7 \times 75.10^{-16} \text{ m}^2/\text{V}^2$ , which is much higher  
66 than the simple Maxwell response evaluated at  $M_m= 4.86 \times 10^{-18} \text{ m}^2/\text{V}^2$ . They also obtained similar results, with  
67 different film thicknesses [14]. All these data show that additional contribution to electrostriction must be taken into

68 account. A phenomenological way to account for this additional contribution is in splitting the deformation  
69 coefficient as  $M = M_m + M_E$ . If  $M_m \ll M_E$ , then  $M \approx M_E$ . We hereafter refer to  $M_E$  as the pure electrostriction  
70 coefficient.

71 This pure electrostriction is an intrinsic function of the material polarization  $P$  [17] and is expressed as  $S = QP^2$ . At  
72 low field,  $S = Q(\varepsilon - \varepsilon_0)^2 E^2$ , yielding  $M_E = Q(\varepsilon - \varepsilon_0)^2$  [17–19]. The quantity  $Q$  is proportional to  $1/\varepsilon Y$ . Therefore [4, 17–  
73 21],

$$M_E \approx (\varepsilon - \varepsilon_0)/\varepsilon Y \quad (3)$$

74 However, polymers exhibiting  $M_E \gg M_m$  have either been filled with conducting particles or have intrinsic  
75 heterogeneities [2, 21, 22–23]. Diblock-copolymers PU, have such intrinsic heterogeneities induced by a phase  
76 separation during the sample preparation resulting in a distribution of particles of one phase in a continuous matrix  
77 (second phase), for block compositions far from 50%–50%. In either case, added particles or a two-phase system,  
78 these materials can be viewed as composite materials (particles embedded in a matrix). The microstructural features  
79 of diblock-copolymer PUs have been characterized [22].

80 Some researchers have tried to increase the electrostriction coefficient  $M$  by increasing the dielectric constant, such  
81 as in the experiments performed by Putson and al. [24] on PU containing polyaniline (PANI). They succeeded in  
82 increasing the permittivity  $\varepsilon$  with an almost constant modulus as the PANI content increased. Additionally,  $M$  for the  
83 four tested PU materials was around  $10^{-16} \text{ m}^2/\text{V}^2$  and  $M_m$  around  $10^{-17} \text{ m}^2/\text{V}^2$ .

84 Although little work has been done at the theoretical level to describe macroscopic behavior using local parameters,  
85 it is interesting to note the work of Lefevre and Lopez-Pamies [25], or Stephen et al [26]. They have proposed very  
86 rigorous equations and simulations of composites made up of elastomeric matrices incorporating rigid spherical or  
87 ellipsoidal particles. In other works, Lefevre and Lopez-Pamies [27–28] have developed a framework devoted to non-  
88 linear elastic behaviour, which must be taken into account for deformations higher than about 1% for elastomeric  
89 polymers: this is the case for the best electroactive materials found in the literature. Such approaches have been  
90 developed using sophisticated and elegant homogenization techniques.

91 The main difference with this work is that they did not take into account electrical conductivity and only considered  
92 static conditions.

93 Diguët et al. [29] examined the idea that these composite-like materials have heterogeneous dielectric properties,  
94 which, in turn, locally modify the electric field and create forces. They calculated the force created by a single particle  
95 (with dielectric constant  $\varepsilon_2$ ) embedded in a matrix (with  $\varepsilon_1 \neq \varepsilon_2$ ) and estimated the resulting deformation.

96 Interestingly, these polymers also exhibit a time-dependent electrostriction  $S(t)$ , as seen in Figure 1. Possible reasons  
97 for this behavior are (i) their viscoelasticity and (ii) their dielectric relaxations. Previous works have studied both  
98 mechanical [5] and dielectric [30] behaviors for different PU types, and clearly found corresponding relaxation times  
99 at room temperature that were lower by more than five orders of magnitude than the typical electrostriction time.

100 This means that, when a force is applied, the polymer deforms in less than a millisecond owing solely to mechanical  
101 and dielectric effects. Interestingly, the conductivity relaxation time is on the same order of magnitude observed for  
102  $S(t)$ . This means that the driving forces are also time-dependent on the order of tens of seconds. In fact, the electric  
103 field is governed by two material electrical parameters: permittivity ( $\varepsilon$ ) and conductivity ( $\sigma$ ). The EAP polarization

104 state depends on the time scale because of charging effects at the electrodes arising from the effective conductivity  
105 [5] and dielectric constant. This time-dependent dielectric force or dielectrophoretic (DEP) force used in cell-  
106 separator devices or in concentrator devices [31, 32]. This force arises usually from the differences in electric  
107 properties ( $\epsilon$ ,  $\sigma$ ) between biological cells and a medium, and depends on the field gradient as well as its frequency.  
108 The force experienced by cells is either positive or negative depending on these factors. Although the literature on  
109 this topic is abundant [31, 32], DEP forces have never been taken into account in elastic soft materials subjected to  
110 electric fields.

111 In this paper, we evaluate the effect of DEP forces as a physical mechanism for pure electrostriction in a composite-  
112 like structure. We then calculate the electric field and forces in a polymer with many particles, and obtain the resulting  
113 deformation. For diblock-copolymer PU, the phase separation (composition gradient) between domains might not be  
114 sharp, so we also evaluated how the profile might influence the macroscopic deformation.

## 117 2. Methods

### 118 2.1 Materials

119 The studied materials were PU materials, provided by Lubrizol Corporation. These PUs are block co-polymers with  
120 hard segments (HSs) and soft segments (SSs). HSs are composed of 4,4' methylene bis (phenyl isocyanate) – (MDI)  
121 and 1,4-Butanediol (BD), and SSs consist of poly(teramethylene oxide) – (PTMO). Table 1 lists the weight fractions  
122 of the three samples studied. The molecular weight of the SSs (PTMO) were 1000 g/mol for PU60 and 88, and 2000  
123 g/mol for PU75.

124 Film samples were made via solution casting. The first step was to dissolve each PU in N,N-dimethylformamide  
125 (DMF) at 350 K. The solutions were spread onto glass plates using the Doctor Blade applicator (Elcometer®), dried  
126 at 340 K for 1 day and 400 K for 3 h. The thickness of the dried films was  $100 \pm 1 \mu\text{m}$ .

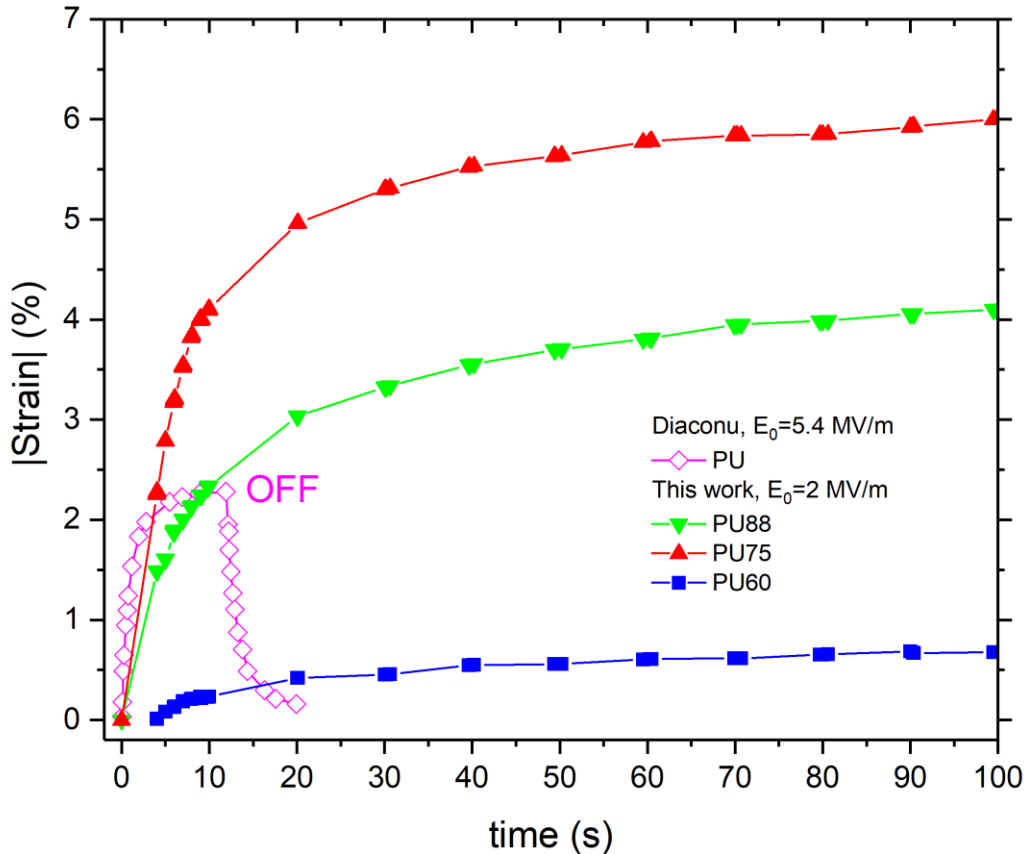
127 Because HSs and SSs are thermodynamically incompatible, a phase separation occurs during their processing,  
128 specifically during solvent evaporation. This leads to a rich HS phase or "hard domain" (HD) and a rich SS phase or  
129 "soft domain" (SD). If the SS fraction predominates, the material topology is more likely a soft matrix with hard  
130 inclusion particles dispersed in it.

131 Au electrodes were sputtered onto a disk of radius 2 cm on and below the PU films with a thickness around 10–20  
132 nm. Samples were then placed between the two electrodes and a voltage was applied. The lower electrode was fixed  
133 and the top electrode was free to move. The movement of the top electrode was recorded using a double-beam laser  
134 based interferometer. Figure 1 shows the strain obtained versus time as a step electric field  $E_0 = 2 \text{ MVm}^{-1}$  was applied.

135 These polymers exhibit several relaxations times (Figure 2), which were characterized either with mechanical  
136 spectroscopy (using the homemade dynamic mechanical analyzer described in [5]) or through dielectric spectroscopy  
137 (Modulab Materials Test System; see [30]). Their relaxation times are compared with the characteristic times  
138 associated with electrostriction kinetics. The  $\alpha$ -relaxation times result from polymer chain movements and impact  
139 the mechanical behavior of the PUs as well as their dielectric properties because the chains contain dipoles. The  $\alpha$ -  
140 relaxation times were then determined via dielectric and mechanical measurements [30]; they are at least five orders  
141 of magnitude lower than the times associated with electrostriction at room temperature. For reference, we have also

142 provided the  $\beta$  relaxation times in Table 1, although they are slightly weaker than those for  $\alpha$ . The small PU  
 143 conductivity, which might originate in ionic diffusion and therefore thermally activated processes, introduces an  
 144 additional characteristic time on the order of minutes for the three PUs [5]. These two characteristic times,  $\alpha$ -  
 145 relaxation (accounting for mechanical and dielectric time effects) and the characteristic time of the conductivity,  
 146 highlight the importance of conductivity in the time dependence of electrostriction.

147  
 148



**Figure 1.** Electro-deformation of polyurethane. The electric field is switched on at time  $t=0$ .

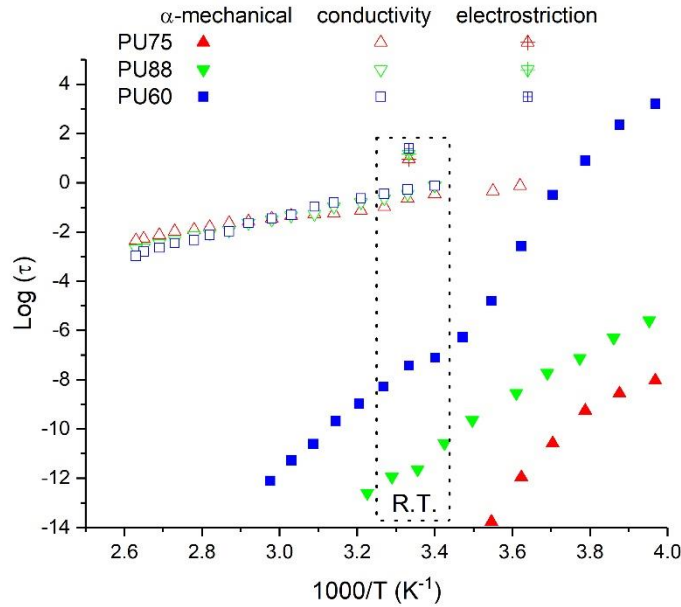
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**Table 1.** PU properties, with hard domain (HD) average diameter  $\langle D_{HD} \rangle \sim 10$  nm [22]. Characteristic times  $\tau_{es}$ ,  $\tau_\alpha$  and  $\tau_\beta$  are for full electrostriction development, and the  $\alpha$ - and  $\beta$ -mechanical relaxations, respectively;  $T_{gSD}$  is the glass transition temperature of the soft domains (SDs) of the PU; R.T. refers to room temperature.

PU	Commercial references	wt% HS [5]	HD-HD distance (nm) [18]	$T_{gSD}$ (K) [22]	$Y$ (MPa) R.T, 0.2 Hz [32]	$\epsilon_r$ (-) R.T, 0.2 Hz [32]	$\tau_{es}$ (s) R.T. [5]	$\tau_\alpha$ (s) R.T. [30]	$\tau_\beta$ (s) R.T. [30]	$S/E^2$ ( $10^{-14}$ m <sup>2</sup> /V <sup>2</sup> ) [5]
75	Estane X-4977	26	17.5	205	12	6.6	9	$10^{-15}$	$10^{-15}$	1.6
88	Estane 58888 NAT021	45	14.3	234	29	7.2	15	$10^{-12}$	$10^{-18}$	1.2
60	Estane ETE60DT3 NAT022	65	11.8	259	201	7.0	25	-	$10^{-17}$	0.3

155



**Figure 2.** Experimental relaxation times in PUs. Data from [5,30].

156

## 2.2 Simulation

157

In this paper, the aim is to propose a physical analysis of the mechanisms of mechanical deformation due to the application of electrical forces on the material, and in particular the effect of conductivity (section 3). In this spirit, a material containing a random distribution of identical particles was considered, and the effect of their density and the gradient of their composition at the matrix-particle interface was tested. Several authors have calculated the electrical [34] and mechanical [35] macroscopic properties of similar composites. Such results from a 2D computation are of

161

162 course different from those obtained from a 3D computation, but the trends can be discussed: the influence of the  
 163 parameters can be compared, and of course, the results are obtained faster than for a 3D computation.  
 164 The 2D force calculations were carried out using the “Electric Currents” module of the FEM software COMSOL 5.3.  
 165 An electric potential was applied to the top electrode, while bottom electrode was grounded electrode, generating an  
 166 electric field across the materials along the y-axis. The local electric field  $\vec{E}$ , electric polarization field  $\vec{P}$ , current  
 167 density  $\vec{J}$ , and electric displacement field  $\vec{D}$ , were calculated directly ( $\vec{E} = -\vec{\nabla}V$ ,  $\vec{J} = \sigma\vec{E}$ ,  $\vec{\nabla} \cdot \vec{J} = 0$  and  $\vec{D} = \epsilon\vec{E} =$   
 168  $\epsilon_0\vec{E} + \vec{P}$ ). From these electrical quantities, we derived both the body forces  $\vec{f}_v$  in the volume and Maxwell stress  $\vec{f}_s$   
 169 (see next section), which were then inputted to the “Solid Mechanics” module in COMSOL to obtain the mechanical  
 170 stress tensor  $\vec{T}$  ( $\vec{\nabla} \cdot \vec{T} + \vec{f}_v = \vec{0}$  in the volume and  $\vec{T} \cdot \vec{n} = \vec{f}_s$  at the electrodes) and the deformation. We also chose  
 171 the displacement of the top electrode to be along the electric field direction (y-axis), keeping the bottom electrode  
 172 fixed. The strain was estimated from the displacement of the top electrode. The geometry has been meshed by the  
 173 COMSOL Solid Mechanics and is composed for a calculation that included 200 particles of 3771110 quadratic  
 174 triangular elements for a number of degrees of freedom of 22628481.

175

### 176 3. Electric forces

#### 177 3.1 Maxwell stress

178 Maxwell forces cause the attraction of two electrodes with opposite electrical charges. When a dielectric material  
 179 is placed between the two charged plates, the force experienced depends on the dielectric constant of the medium.  
 180 The electrode displacement is limited by the countering elastic force of the polymer. The potential  $\Delta V$  applied to the  
 181 electrodes induces a field  $E_0$  given by  $E_0 = \Delta V/h$  (with  $h$  the distance between the electrodes). From theory, the  
 182 attractive stress acting on each electrode is  $f_s = \epsilon E_0^2/2$ , and the resulting strain is  $S_m = -(\epsilon/Y)E_0^2 = -M_m E_0^2$ . A typical  
 183 value of  $M_m$ , for a polymer with  $\epsilon \sim 10\epsilon_0$  and  $Y \sim 1$  MPa would be  $M_m \sim 10^{-17} \text{ m}^2/\text{V}^2$ , which is a thousand times weaker  
 184 when compared than experimental values (Table 1),  $M \sim 10^{-14} \text{ m}^2/\text{V}^2$ .

185 While the Maxwell effect originates as a stress  $f_s$  acting on the surface electrodes, we aim to evaluate the  
 186 contribution of electric body forces (electric forces within the volume).

#### 187 3.2 Body forces

188 For a polarizable material, the overall force is

$$\vec{F} = \int_V \vec{f}_v dV + \oint_S \vec{f}_s dS \quad (4)$$

189

190 where the electric force per unit volume of a material, with a polarization density  $\vec{P}$  can be expressed as

$$\vec{f}_v = (\vec{P} \cdot \vec{\nabla})\vec{E} \quad (5)$$

191

192 An alternative is to calculate the internal forces in dielectric and magnetic materials. For example, we could use the  
 193 equivalent charge density to obtain  $\vec{f}_v = -(\vec{\nabla} \cdot \vec{P})\vec{E}$ . First, the total force  $\vec{F}$  is zero if the external field  $\vec{E}$  is  
 194 homogenous. Second, the difference between the two force expressions can be written as a gradient of a scalar



195 quantity, which does not change the deformation in an incompressible medium like a polymer well above its glass–  
 196 rubber transition temperature; more details on this topic are in [36–38].

197 For the PUs studied here, we use the model of a composite material with a host matrix (SD) and filling particles  
 198 (HD) having different sets of properties  $(\varepsilon_1, \sigma_1, Y_1)$  and  $(\varepsilon_2, \sigma_2, Y_2)$ , respectively; we generally take  $\varepsilon_2 \neq \varepsilon_1$ ,  $\sigma_2 \neq \sigma_1$ , and  
 199  $Y_2 \neq Y_1$ . Increasing the HS weight percentage slightly increases the SD glass transition temperature  $T_{gSD}$  when the  
 200 phase separation between the SD and HD is not complete, implying that  $T_{gSD}$  is much lower than the HD glass  
 201 transition temperature  $T_{gHD}$ . The result is that  $T_{gSD} < 300 \text{ K} < T_{gHD}$ , and  $Y_2 \gg Y_1$  at room temperature. As discussed  
 202 above, the conductivity of these polymers arises most probably from charge diffusion. This directly implies that, as  
 203  $Y_2 \gg Y_1$ , diffusion is much slower in the HD and the conductivities obey  $\sigma_2 \ll \sigma_1$ . Increasing the HS weight percentage  
 204 decreases the conductivity of the composite, which is consistent with the data in Table 1. Finally, the HD has a higher  
 205 dielectric constant than the SD because of their chemical structures, and therefore  $\varepsilon_2 > \varepsilon_1$ .

206 For the composite, we first calculate the forces exerted on the HD considered as a system of spherical particles,  
 207 then the forces applied to the SD treated as the host matrix.

208 *3.2.1 Body forces inside the HD.* Equation (5) expresses the dielectric force density experienced by a polarized  
 209 volume in an inhomogeneous electric field. A spherical particle in the HD with given electrical properties  $(\varepsilon_2, \sigma_2)$   
 210 embedded in the SD medium, with electrical properties  $(\varepsilon_1, \sigma_1)$  is polarized as a function of (i) the applied field  $\mathbf{E}$ ,  
 211 (ii) the particle radius  $R$ , and (iii) the set of  $\varepsilon_2, \sigma_2, \varepsilon_1$ , and  $\sigma_1$ . The particle undergoes a DEP force from Eq. (5) as a  
 212 function of time [39, 40]:

$$F_{DEP}(t) = 2\pi R^3 \varepsilon_1 [K_\sigma (1 - e^{-t/\tau}) + K_\varepsilon e^{-t/\tau}] \nabla E^2 \quad (6)$$

213  
 214 with

$$K_\sigma = \frac{\sigma_2 - \sigma_1}{\sigma_2 + 2\sigma_1} \quad (7)$$

215

$$K_\varepsilon = \frac{\varepsilon_2 - \varepsilon_1}{\varepsilon_2 + 2\varepsilon_1} \quad (8)$$

216

217 and

$$\tau = \frac{\varepsilon_2 + 2\varepsilon_1}{\sigma_2 + 2\sigma_1} \quad (9)$$

218

219 The conductivities are contrasted by  $K_\sigma$  and the permittivities by  $K_\varepsilon$ , and range values from  $-0.5$  (for  $\sigma_1 \gg \sigma_2$  and  
 220  $\varepsilon_1 \gg \varepsilon_2$ , respectively) to  $1$  (for  $\sigma_1 \ll \sigma_2$  and  $\varepsilon_1 \ll \varepsilon_2$ , respectively). As expected, when  $K_\sigma$  and  $K_\varepsilon$  are zero ( $\sigma_1 = \sigma_2$  and  
 221  $\varepsilon_1 = \varepsilon_2$ ), the polarization force tends towards zero as for homogeneous materials.

222 Because all materials have finite conductivities, it is important to compare the duration of the experiment with  
 223 the characteristic time obtained from Eq. (6) [37]. Each of the two exponentially decreasing terms of Eq. (6) is

224 dominated by the term with either  $K_\sigma$  or  $K_\epsilon$ . Hence, the force is dominated by the  $K_\epsilon$  term for times much shorter than  
 225  $\tau$ , and we have

$$F_{DEP}(t \ll \tau) = 2\pi R^3 \epsilon_1 K_\epsilon \nabla E^2 \quad (10)$$

226

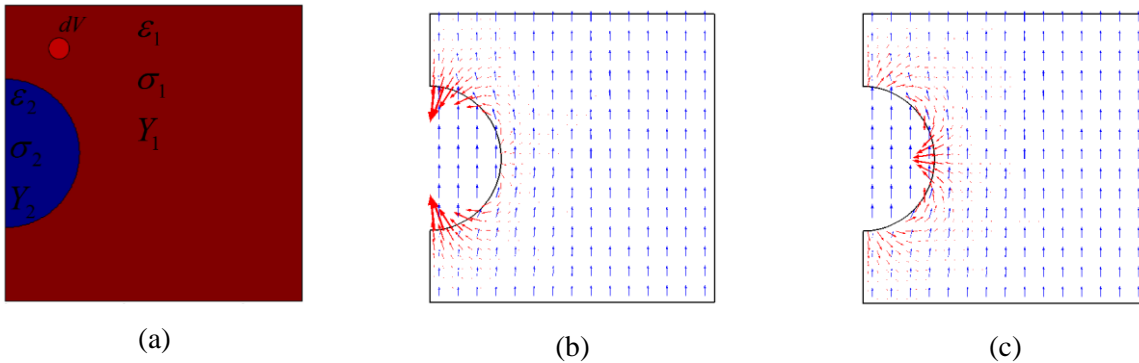
227 In contrast, for times much longer than  $\tau$ , the force is dominated by the  $K_\sigma$  term:

$$F_{DEP}(t \gg \tau) = 2\pi R^3 \epsilon_1 K_\sigma \nabla E^2. \quad (11)$$

228

229 The signs and strengths of the forces change depending on the experimental time, compared with  $\tau$ . Steady states are  
 230 dominated by the conductivities, which are limited because  $K_\sigma$  limits their difference.

231 *3.2.2 Body forces inside soft domains.* As pointed out by Diguet et al. [29], a particle with  $\epsilon_2 > \epsilon_1$  ( $K_\epsilon > 0$ ) generates a  
 232 locally inhomogeneous electric field around itself. Similar to Eq. (5), this inhomogeneous electric field induces a  
 233 body force inside a polarizable medium. The estimate was close to data obtained by extrapolating similar polymer  
 234 blends, meaning  $\epsilon_2 > \epsilon_1$ . Some elastomeric polymers have relative permittivities of around 10 and are usually  
 235 considered insulators but, in reality, have low conductivities. Thus, by including the difference in conductivities and  
 236 permittivities via  $K_\sigma$  and  $K_\epsilon$ , we can take time dependence into account for forces such as DEP. For example,  
 237 following the previous case, where  $\epsilon_2 > \epsilon_1$ ,  $\sigma_2 < \sigma_1$ ,  $K_\epsilon > 0$ , and  $K_\sigma < 0$ , a representative elementary volume of the  
 238 composite material is a spherical particle as in Fig. 3a, and the matrix experiences a body force depending on its  
 239 position and time (Fig. 3b and c).



**Figure 3.** a) Heterogeneous polymer model. Maps of the body force (red) and electric field (blue) around a particle with properties  $(\epsilon_2, \sigma_2)$  in a surrounding medium with properties  $(\epsilon_1, \sigma_1)$  with  $\epsilon_2 > \epsilon_1$  and  $\sigma_2 < \sigma_1$  at  
 b)  $t = \tau/5$  and c)  $t = 2\tau$ .

240

241 Figure 3 presents map of the electric field and the resulting body force around a spherical particle calculated using  
 242 Eq. (5) assuming 2D axisymmetry. The forces at a short time,  $\tau/5$  in Fig. 3b, are towards the particle near its top  
 243 surface and directed outwards at the equator. Moreover, the body forces are stronger near the poles than near the  
 244 equator. At a longer time,  $t=2\tau$  in fig. 3c, the opposite occurs: the forces are outwards near the top surface of the  
 245 particle and directed inwards at the equator, and they are stronger near the equator than near the poles.

246

247 In the single-particle picture, the sign of the force experienced by the dipoles in both HD (particle-system) and  
 248 SD (matrix) depends clearly on the properties of both the HD and SD. The analytical model of a DEP force acting  
 249 on a sphere helps in understanding forces inside a composite composed of a spherical HD inside an SD: body forces  
 250 at times  $t < \tau$  are dominated by the permittivities, and those at  $t > \tau$  are dominated by the conductivities. In the next  
 251 section, we compute the effects of such time-dependent forces in steady state ( $t \gg \tau$ ) with many particles inside the  
 252 matrix.

#### 254 4. Application to electroactive polymers and discussion

255 We next propose a 2D model in which all the above effects are taken into account, namely, (i) the Maxwell forces  
 256 and (ii) the body forces calculated in the HD and SD. The calculation is performed assuming “linear” materials,  
 257 meaning that the elastic constants, dielectric constants, and conductivities are independent of the electric field and  
 258 mechanical strain. Because it is difficult to determine the permittivities of the parent polymers, a preliminary  
 259 calculation of the electronic distribution of the PU was carried out using tight-binding-based accelerated quantum  
 260 chemical molecular dynamics [41] at 300 K. This gives average dipole values of 1.18 Debye and 2.40 Debye for  
 261 PTMO and MDI-BDO, respectively. There is also literature evidence of a larger dipole moment for MDI-BDO than  
 262 for PTMO [42-44]. These calculations enable the HD and SD permittivities to be evaluated separately. More  
 263 generally we choose simple parameters to roughly match the effective typical PU values with  $\epsilon = 5 \epsilon_0$  to  $10\epsilon_0$  and  $Y = 1$   
 264 MPa to 10 MPa. Parameters for each domain are provided in Table 2. The polymer matrix Poisson ratio is set as 0.49  
 265 (very close to typical value of 0.5) to avoid volumetric locking during numerical calculation of the mechanical  
 266 response.

268 **Table 2.** Parameter values used for the calculation are typical of the PUs used.

	Matrix (1)	Particle (2)	Contrast
$\epsilon$ ( $\epsilon_0$ ; $\epsilon_0 = 8.85 \times 10^{-12}$ F/m)	5	20	$K_\epsilon = 0.5$
$\sigma$ (S/m)	$7 \times 10^{-8}$	$1 \times 10^{-8}$	$K_\sigma = -0.4$
$Y$ (Pa)	$1 \times 10^6$	$1 \times 10^9$	
Poisson ratio $\nu$	0.49	0.3	

270 Lastly, because the contribution of body forces results from electric field gradients, it is important to test the effect  
 271 of the chemical gradient, near the HD–SD interface. In block copolymers like the PUs used here, the phase separation  
 272 does not lead to an abrupt change in chemical compositions and therefore of properties, but rather a smooth change  
 273 in them [45]. This is hence a little different from standard particle–matrix composites and may change the profiles of  
 274 both the permittivity and conductivity gradients. To account for this peculiar aspect and evaluate its contributions to  
 275 the body forces, we modeled each particle as a core and boundary with different properties, with intermediate layers  
 276 (to mimic the interphase) having properties that interpolate smoothly between the core and boundary. The chosen  
 277 variation function is [46]

$$\rho_i(\vec{r}) = \frac{1}{2} \left[ 1 - \tanh \left( \frac{(r - r_i) - r_p}{\alpha} \right) \right] \quad (12)$$

278

279 where  $\vec{r}$  is the position in the composite (with  $r$  the norm of  $\vec{r}$ ),  $r_i$  is the center of the  $i$ -th HD-layer,  $r_p=1.5 \mu\text{m}$  is the  
 280 HD radius, and  $\alpha$  is a coefficient setting the particle/matrix interphase size. A small value of  $\alpha$  yields a smoother  
 281 profile, whereas a large value yields a sharper profile. Hence, the Young modulus  $Y$ , conductivity  $\sigma$ , and permittivity  
 282  $\varepsilon$  are obtained as spatial functions of the forms

$$Y_{\text{composite}} = Y_{\text{matrix}} + (Y_{\text{particle}} - Y_{\text{matrix}}) \sum_{i=1}^N \rho_i(\vec{r})$$

283

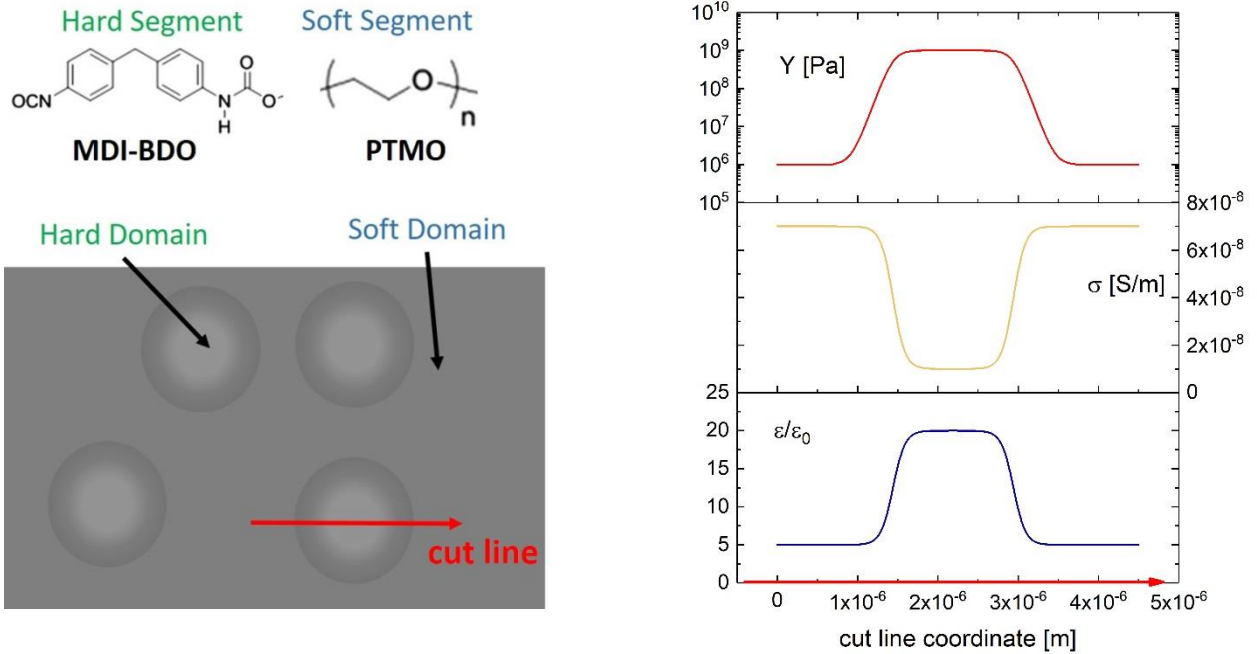
$$\sigma_{\text{composite}} = \sigma_{\text{matrix}} + (\sigma_{\text{matrix}} - \sigma_{\text{particle}}) \sum_{i=1}^N \rho_i(\vec{r}) \quad (13)$$

284

$$\varepsilon_{\text{composite}} = \varepsilon_{\text{matrix}} + (\varepsilon_{\text{particle}} - \varepsilon_{\text{matrix}}) \sum_{i=1}^N \rho_i(\vec{r})$$

285

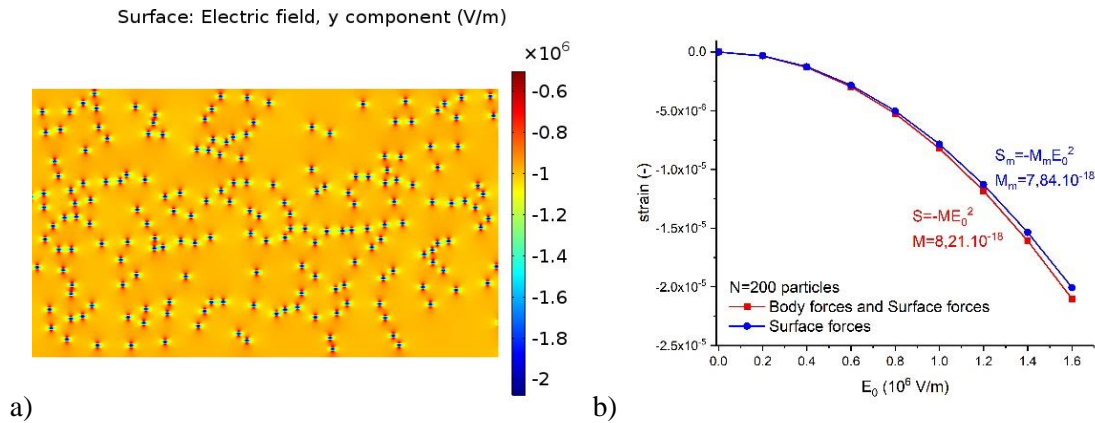
286 where  $N$  is the number of HD- layers. The properties are plotted in Figure 4.



**Figure 4.** a). PU component and its microstructures. b) Properties along a cut line in the composite material. The two ends of the cut line lie in the matrix, and the line cuts diametrically through the particle.

287

288 A 2D geometry was configured consisting of a rectangle (matrix) with a random distribution of  $N$  circular particle-  
 289 like inclusions, with  $N=200$  (Figure 5a). An electric potential was applied so that the field was directed from top to  
 290 bottom with an average strength  $|E_0| = 1$  MV/m ( $y$ -component) in the steady state (Figure 5a). The electric field  $y$ -  
 291 component exhibits some local variation. In particular, the steady state field inside the particles is governed by the  
 292 conductivity contrast; for example, the field inside a spherical particle is set as  $|E_{int}| = 3|E_0|\sigma_1/(\sigma_2+2\sigma_1) \sim 1.5|E_0| \sim$   
 293  $1.5$  MV/m if  $\sigma_1 \gg \sigma_2$ . The field is then weaker than  $E_0$  around the particles  
 294 The maximum particles fraction of 35% corresponds to about half of the percolation threshold, which is around 60%  
 295 for 2D geometry (compared with 20% for 3D).  
 296



**Figure 5.** a) Composite-like elastomer: with height  $H=10^{-4}$  m (100  $\mu\text{m}$ ) and length  $L=2 \times 10^{-4}$  m randomly filled with 200 particles ( $r_p=1.5$   $\mu\text{m}$ ). The color scale represents the field strength along the direction perpendicular to the electrodes. The applied voltage (top and bottom) was such that the average electric field strength  $|E_0| = 10^6$  V/m. b) Effect of the electric field on strain with both body forces and surface forces (red curve) or only surface forces (blue curve).

297

#### 298 4.1 Electrostriction coefficients

299 Before analyzing the physical results in more details, it is important to check the influence of the parameters used in  
 300 this FEM calculation, such as (i) the effect of the random draw of the position of the particles and (ii) their number  
 301 (at constant volume fraction): in fact, if the results of the calculations depend on the number of particles, we should  
 302 consider another approach, such as periodic boundary conditions that suppress the edge effect, in order to guarantee  
 303 reliable results whatever the random draw of the particles dispersed in the matrix [47].

304 A random dispersion of particles in the matrix leads to many possible distributions which can affect the results,  
 305 however, for  $N=100$  particles with 16 different trials, an average strain  $\langle S \rangle = -8.63 \times 10^{-6}$  was obtained with a small a  
 306 standard deviation of  $3 \times 10^{-8}$ . A second test was performed with the fraction  $\phi=7\%$  was performed varying the particle  
 307 number  $N$  (and their radius) from 50 to 450. Results of this calculation are shown in Figure 6. Beside, for  $N=75$ , the  
 308 calculation was done 15 times with 15 random distributions of particles. Results seems concentrate in the same range  
 309 with an average value as  $(S_i - \langle S(0.07) \rangle) / \langle S(0.07) \rangle \sim 5 \times 10^{-3}$ , it is then verified that the result of the simulation is mainly

310 controlled by the filling fraction and not by the choice of distribution. This shows a consistency of the method to  
 311 achieve repeatable results.

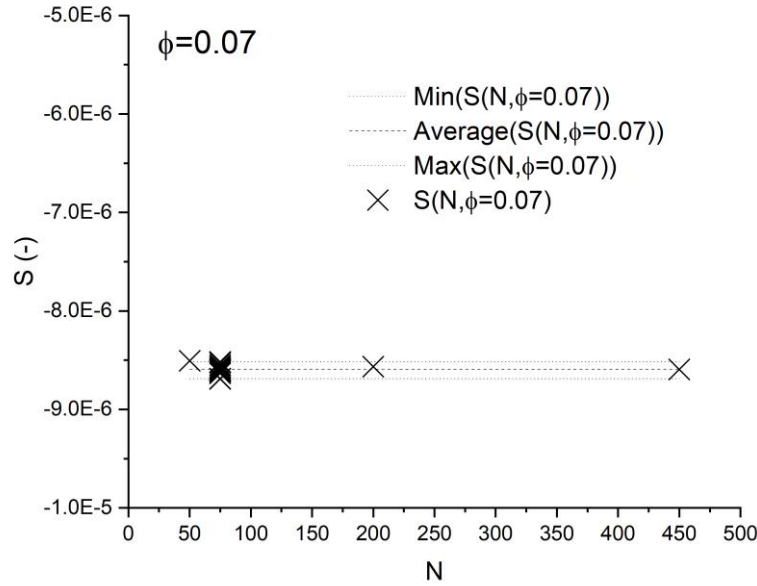


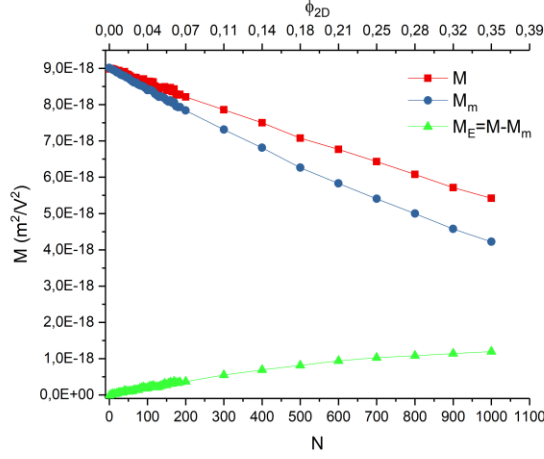
Figure 6. Test of the effect of the number of particles (at constant volume fraction of  $\phi=7\%$ ) on the calculated value of  $S$ , and test of different random draw of the position of the particles (for  $N=75$ ).

312

313 We calculated the deformation at different applied fields (i) induced by the surface stress only, and (ii) induced by  
 314 all the forces, as seen in in Figure 5b. For  $N=200$  particles; the deformation  $S_m$  obtained from the Maxwell stress is  
 315 indicated by the blue dots and curve, while the deformation  $S$  obtained from the Maxwell stress and the body force  
 316 together is indicated by the red dots and curve. As expected, both curves exhibit quadratic behavior with  $E_0$ .  
 317 Moreover, the deformations are such that  $S=-ME_0^2$  and  $S_m =-M_mE_0^2$  with  $M_m \sim 7.84 \times 10^{-18} \text{ m}^2/\text{V}^2$  and  $M$   
 318  $\sim 8.21 \times 10^{-18} \text{ m}^2/\text{V}^2$ , showing that the body forces slightly reinforce the strain; the composite material is more  
 319 compressed. This calculation with 200 particles represents a 2D filling factor of nearly 0.07. In this Figure 7, the  
 320 effect of particle number, on the pure electrostriction coefficient is presented. At  $N=0$ , there is only the matrix, and  
 321 the deformation reaches  $S=-ME_0^2$  with  $M=M_m \sim 9 \times 10^{-18} \text{ m}^2/\text{V}^2$  and is less than expected from theory with  $\epsilon/Y$   
 322  $=5\epsilon_0/10^6 \sim 45 \times 10^{-18} \text{ m}^2/\text{V}^2$ . This might be an effect of the 2D geometry and/or of the clamping of the electrodes  
 323 [12–13, 48]. The calculated values at  $N=0$  are a reference for scaling the effect of particle number. When adding  
 324 particles, we observe a divergence between  $M_m$  (Maxwell stress only) and  $M$  (Maxwell stress plus body forces),  
 325 meaning that body forces increase in strength as particle number increases. As discussed above, the electrostriction  
 326 coefficient  $M$  has two contributions: the Maxwell coefficient  $M_m$  and the pure coefficient  $M_E$  related to body forces:

$$S = ME_0^2 = (M_m + M_E)E_0^2 \quad (14)$$

327



**Figure 7.** Comparison between the effects of the electrostriction coefficients due to surface and body forces together ( $M$ ), due to surface force alone ( $M_m$ ), and due to body force alone ( $M_E$ )

328

329 We see in Figure 7 that for  $N=1000$  particles,  $M \sim 5.4 \times 10^{-18} \text{ m}^2/\text{V}^2$ ,  $M_m \sim 4.2 \times 10^{-18} \text{ m}^2/\text{V}^2$ , and  $M_E \sim 1.2 \times 10^{-18} \text{ m}^2/\text{V}^2$ .  
 330 This means that the body forces are responsible for 22% of the total calculated deformation. The Maxwell stress is  
 331 the dominant component. Comparing the value  $M \sim 9.0 \times 10^{-18} \text{ m}^2/\text{V}^2$  obtained with  $N=0$  to  $M \sim 5.4 \times 10^{-18} \text{ m}^2/\text{V}^2$   
 332 obtained with  $N=1000$  particles, the deformation is reduced by 50% and the percentage of  $M_E$  in  $M$  is increased by  
 333 22%.

#### 334 4.2 Effective properties

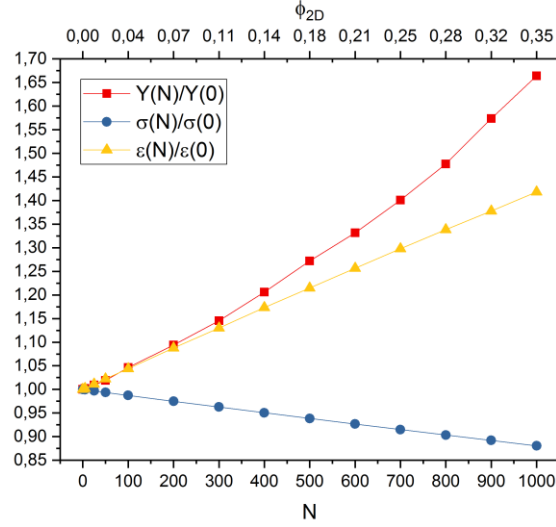
335 Both coefficients  $M_m$  and  $M$  decrease with increasing particle number, probably because the composite material  
 336 stiffens as the HD volume fraction increases. Filling the matrix with particles affects the properties of the material  
 337 such as its dielectric constant, conductivity, and Young modulus. Hence, we extracted these effective values as the  
 338 particles number increased:

$$\varepsilon_{eff} = \langle D \rangle / E_0 \quad (15)$$

$$\sigma_{eff} = \langle J \rangle / E_0, \quad (16)$$

339

340 where  $\langle q \rangle$  denotes the average of quantity  $q$ . The effective mechanical modulus of the structure was obtained through  
 341 numerical experiments by measuring the strain due to a relatively small pressure ( $Y_{matrix}/100$ ) on the top electrode.  
 342 Figure 8 shows the effective dielectric constant, conductivity, and Young modulus.



**Figure 8.** Effective composite properties versus particle number extracted from the 2D simulation. The values for  $N=0$ , are those of the matrix (see Table 2).

343

344 Clearly, the dielectric constant and Young modulus both increase with increasing  $N$  because the particles have  
 345 higher  $\epsilon$  and  $Y$  values than the matrix. In contrast, the effective conductivity decreases because particles have lower  
 346 conductivities than the matrix. The increase in the Young modulus with particle fraction (HD) is larger than that for  
 347 the dielectric constant which is experimentally measured in the PU [23]. Moreover, its behavior appears to be non-  
 348 linear, whereas the electrical properties look almost linear, at least well below the percolation threshold of the  
 349 particles.

350 The spatial distribution of spherical particles in a matrix is known for its electrical properties, which are usually  
 351 modeled by the Maxwell–Garnett equation [49]:

$$\frac{\sigma_{eff} - \sigma_1}{\sigma_{eff} - (d - 1)\sigma_1} = \varphi \frac{\sigma_2 - \sigma_1}{\sigma_2 + (d - 1)\sigma_1} \quad (17)$$

352

353 With  $d$  is the dimension of the system, which is the Maxwell-Garnett in 3D ( $d=3$ ) [50]. The dielectric constant and  
 354 conductivity follow the Maxwell–Garnett equation. This is usually valid in the dilute regime. A linear behavior of  
 355 permittivity and conductivity with the volume fraction of particles is then effectively obtained.

356 The Young modulus, however, follows the non-linear model [51],

$$\frac{Y_{eff}}{Y_{matrix}} = \frac{1}{1 - \varphi^2} \quad (18)$$

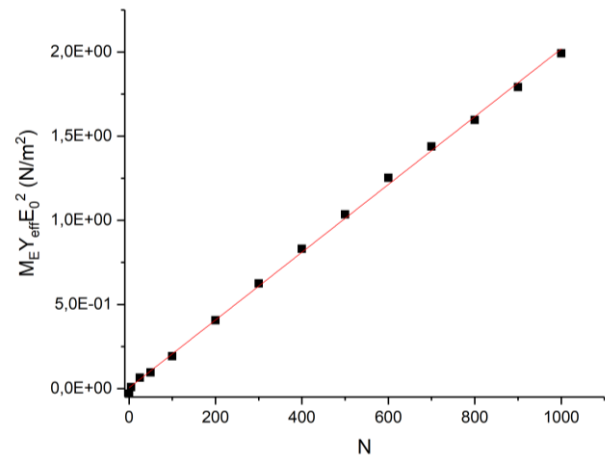
357

358 Being a non-linear equation with the filling factor, this explains the larger increase in the Young modulus as the  
 359 particle number increases comparatively to the electric equations which are linear.

360 The strains were estimated for the composites. As previously mentioned,  $M_m = \epsilon_{eff}/Y_{eff}$  decreases as  $N$  increases  
 361 because of the higher increase in the Young modulus with particle fraction than for the dielectric constant. A higher  
 362 HD content induces a lower strain, which is confirmed by experiment [23]. Moreover, the pure coefficient  $M_E$  related

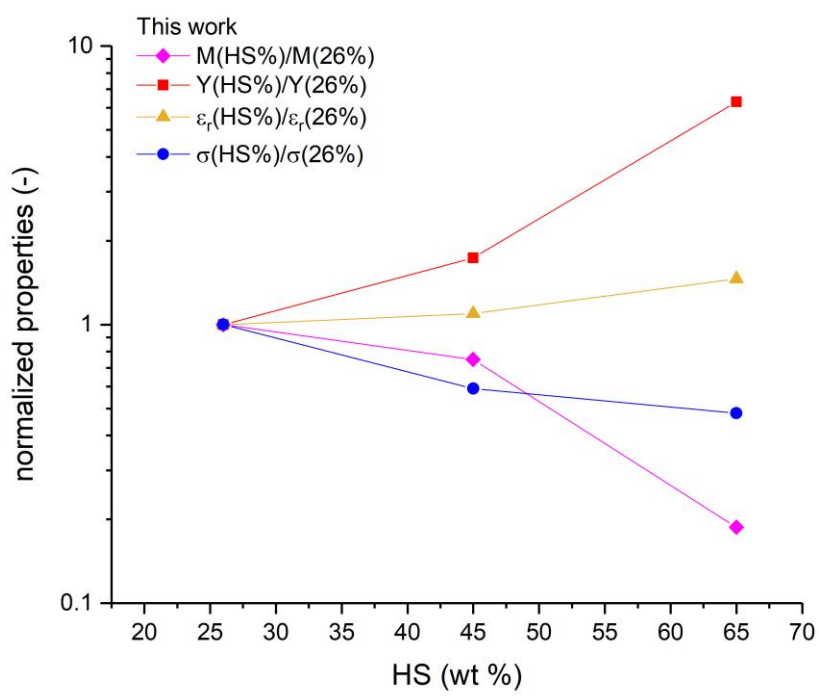


363 to the body forces increases as  $N$  increases (Figure 7). To analyze the effect of electrostrictive body forces on the  
 364 resulting strain, we estimated the equivalent stress by multiplying the strain coefficient  $M_E$  by the effective Young's  
 365 modulus and the square of the applied field:  $M_E Y_{eff} E_0^2$ . We used the effective Young modulus because we are looking  
 366 at the displacement of the top surface of the composite material. The result, plotted in Figure 9, shows the equivalent  
 367 electrostrictive stress exhibiting clearly linear behavior with particle number. Thus, each particle produces an  
 368 effective stress of  $2 \times 10^{-3} \text{ N/m}^2$ .  
 369



**Figure 9.** Equivalent electrostrictive stress as a function of the particle number in the matrix.

370



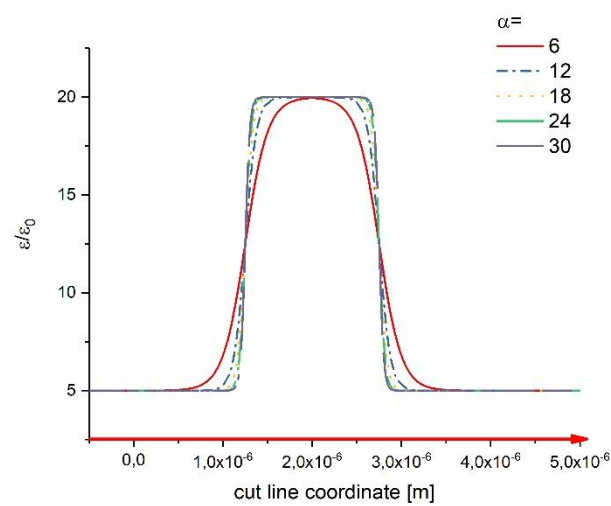
**Figure 10.** Experimental properties versus HS content of the 3 PUs (see Table 1).

371 This confirms that increasing the HD fraction reduces  $M$  for the reasons presented above. Figure 10 shows the  
 372 experimental curves of  $M$ ,  $Y$ ,  $\varepsilon$ , and  $\sigma$  as functions of HS content, where the HS content (wt%) sets the HD fraction  
 373 inside the material. The experimental properties (Figure 10) behave according to the calculation based on an HD  
 374 particle in a matrix (Figs. 7–8). In [52-53], two PUs with different HS contents show different experimental  
 375 deformations for the same applied field of 550V/mm: a measured deformation of  $2.11 \times 10^{-3}$  for HS fraction of 42.4  
 376 wt%, and a deformation of  $1.39 \times 10^{-3}$  for an HS fraction of 55.2 % (wt). The corresponding mechanical moduli, and  
 377 conductivities respectively increased and decreased with increasing HS, as in Fig.10. The effect for an SS molecule  
 378 with different HS contents (wt%=20, 25, 30, and 35) has been tested previously [23]; the selected SS were:  
 379 poly(tetramethylene glycol) (PTMG), poly(neopentyl glycol adipate) (PNAD), and poly(dimethyl siloxane) (PDMS).  
 380 The effect was still as previously found: deformations decreased with increasing HS content independently of the  
 381 type of SS molecule.

382 It is interesting to consider other heterogeneous materials, like composites obtained in dispersing conductive particles  
 383 in a polymeric matrix, and to analyze the role of body forces. For example, a PU filled with particles such as carbon  
 384 black [54] or carbon nanotube [55] has higher permittivity, conductivity, and mechanical modulus, than a pure PU.  
 385 As discussed above, the higher permittivity of the particle limits DEP forces. The increase in Young modulus due to  
 386 the stiff particles causes the deformation first to increase with increasing conductive particle fraction and then  
 387 decrease when approaching the particle percolation threshold. This is due to the drastic increases in the effective  
 388 mechanical modulus and electrical conductivity. Thus, the gain expected from the high electrical property values of  
 389 the fillers disappears.

390 *4.3 Particle/matrix interface profile effect*

391 Keeping the properties of the matrix and particles constant (Table 2), we changed the value of  $\alpha$ , which affects the  
 392 profile of the HD–SD interface. Figure 11 shows various profiles of the relative permittivity gradients, obtained with  
 393 Eqs. (12-13).



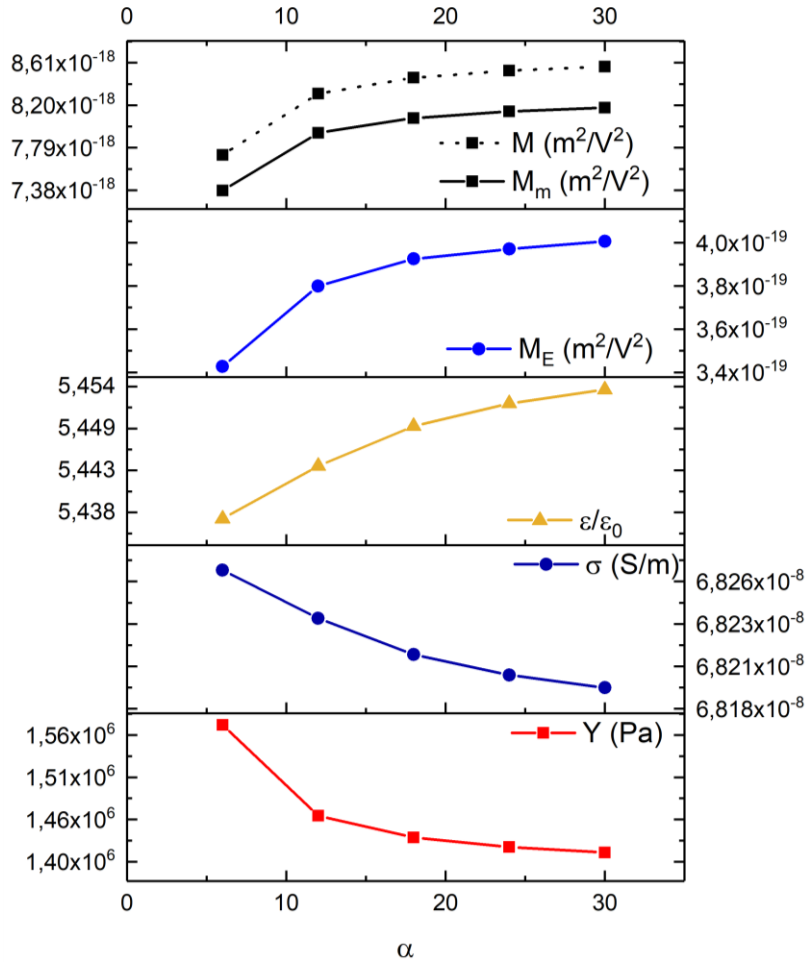
**Figure 11.** Effect of  $\alpha$  on the permittivity along the same cut line as in Fig. 4.

394 The higher  $\alpha$  is, the stronger the dielectric, stiffness, and conductivity gradients are, but in a more confined space.  
 395 Because the body forces come from gradients of electrical properties (relative permittivity and conductivity) and the

396 mechanical response depends on the effective Young modulus, we calculated the deformation to estimate the  
 397 resulting electrostriction coefficient for different values of  $\alpha$ .

398

399 Figure 12 shows the effect of the interface thickness on the electrostriction coefficients  $M$ ,  $M_m$ , and  $M_E$  along with  
 400 the effective properties of a composite material with  $N=200$  particles.



**Figure 12.** Electrostriction coefficients and effective composite properties as functions of  $\alpha$  with  $N=200$  particles.

401 The electrostriction coefficient  $M$  increases as  $\alpha$  increases; the sharper the interface is, the higher  $M$  is. The  
 402 Maxwell contribution  $M_m$  increases as  $\alpha$  increases. Because  $M_m$  is proportional to the ratio of the effective permittivity  
 403 to the effective Young modulus, these two parameters change as  $\alpha$  changes. In fact, the effective permittivity increases  
 404 while the Young modulus decreases, which globally explains the increase in the Maxwell coefficient (see Table 3).

405 **Table 3.** Effect of  $\alpha$  on electrostriction coefficients.

$\alpha$	$\epsilon$ ( $\epsilon_0$ )	$Y$ (MPa)	$M_m$ ( $10^{-18} \text{ m}^2/\text{V}^2$ )	$M_E$ ( $10^{-19} \text{ m}^2/\text{V}^2$ )	$M$ ( $10^{-18} \text{ m}^2/\text{V}^2$ )
6	5.43	1.57	7.37	3.44	7.72
30	5.45	1.41	8.17	3.96	8.57
Relative change	0.3%	-9.9%	10.8%	15.1%	11.2%

406

407 In Table 3, the relative change in  $M_m$  with  $\alpha$  is unsurprisingly about equal to the sum of the relative changes in the  
408 dielectrics constant and Young modulus. The relative change in  $M_E$  with  $\alpha$  is the largest, but the values are still lower  
409 than those of  $M$ . For  $\alpha=30$ ,  $M_E$  contributes 4.6% to  $M$ , while for  $\alpha=6$ , it is almost the same at 4.5%. The contribution  
410 of the electrostriction to the strain does not seem to depend on the property gradients, which is consistent with the  
411 fact that increasing  $\alpha$  decreases the interphase thickness. The increase in  $\varepsilon$  and  $\sigma$  gradients is the origin of the body  
412 forces.

413

#### 414 **Conclusion**

415 The experimental data for some polyurethanes show a typical electrostriction coefficient on the order of  $10^{-14} \text{ m}^2/\text{V}^2$   
416 and Maxwell strain on the order of  $10^{-17} \text{ m}^2/\text{V}^2$ . In this study, we calculated PU deformation with a polarization  
417 contribution from heterogeneities in the PU after a partial phase separation. The DEP forces, which are time-  
418 dependent body forces, contribute additionally to the deformation; the Maxwell contribution is a surface force acting  
419 on the electrodes. The deformation is complete a few tens of seconds after the voltage is applied because the electrical  
420 conductivity agrees with experimental data. The pure electrostriction coefficient of these body forces is responsible  
421 for up to 22% of the total deformation in the range of our calculation (up to a maximal 2D filling of 35%). Increasing  
422 the particle volume fraction above 35% (2D) is ineffective because a strong stiffening occurs in the composite  
423 material. The profile modifications of the electrical permittivity, electrical conductivity, and mechanical stiffness  
424 between stiff particles (HD) and the matrix (SD) also induce a change in the electrostriction coefficient, but this  
425 change remains on the same order of magnitude. Furthermore, the DEP magnitude is limited at short times by the  
426 permittivity contrast factor  $K_\varepsilon$  and at long times by the conductivity contrast factor  $K_\sigma$ . It is not possible to enhance  
427 the electrostriction coefficient by a factor higher than a few units just by changing the HD permittivity and/or  
428 conductivity in two-phase polymers such as a PU or by adding high permittivity/conductivity particles to that  
429 polymer.

430 Our numerical simulations were first performed in as simple a framework as possible, i.e. with a limited number  
431 of particles, no periodic boundary conditions, and considering only linear constitutive behaviors, and we obtained  
432 results much smaller than the experimental data, at equivalent particle volume fraction, but with a large variation in  
433 the number of particles. Consequently, we can assume that the contribution of the polarization body force is too low  
434 to explain the discrepancy between the deformation measurements and the Maxwell strain among the possible  
435 scenarios. More investigations are needed to understand the large electrostriction observed in various copolymer-like  
436 PUs. It is noteworthy that recent works are focused on time dependent phenomena at the origin of polymer  
437 electroactivity [56-57]. A very interesting approach by Gosh et al., consists in showing that the dielectric constant  
438 drastically increases at decreasing frequency, i.e. increases as a function of time when an electric field step is applied  
439 to the material [56]. On the other hand, the electrical conductivity observed in our materials indicates that electrical  
440 charges are free to diffuse inside elastomers, and therefore the space charges are to be taken into account as possible  
441 contributions to the deformation as shown by some recent works [58]. Following such consideration, we are currently  
442 investigating the electrostatic interactions of more or less mobile electrical charges responsible for the above  
443 conductivity, and will present it in Part 2 of this work.

444

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