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Benchmark **for** three-dimensional explicit asynchronous absorbing layers for ground wave propagation and wave barriers

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Abstract

This paper presents a benchmark **for** three-dimensional explicit asynchronous absorbing layers for modeling unbounded domains through a standard displacement-based finite element method: Three-dimensional Perfectly Matched Layers (PML) is compared to recently proposed Absorbing Layers with Increasing Damping (ALID) based on Rayleigh and Kosloff damping formulations.

The coupled problem, including the interior subdomain and the absorbing layers, is implemented in the framework of Heterogeneous Asynchronous Time Integrator (HATI), **enabling the absorbing layers to be handed** with an explicit Central Difference scheme, with fine time steps, independently from the time stepping procedure adopted in the interior subdomain. Simple 3D Lamb's tests are considered by using different kinds of absorbing layers. The superiority of the PML in terms of the accuracy and computation time is highlighted. Finally, realistic 3D applications are investigated, such as the Lamb's test and the study of the screening effect provided by **a horizontal** wave barrier in mitigating the ground surface vibration generated by an excited plate.

22 *Keywords:* Perfectly Matched Layers (PML), Absorbing Layers with Increasing Damping,
23 Rayleigh Damping, Kosloff Damping, Subdomain Coupling, Heterogeneous Asynchronous Time
24 Integrator

25

26 **1. Introduction**

27 The isolation of buildings against shocks and propagating waves in the soil becomes a more
28 and more important problem due to the increasing intensity of machine foundations and human
29 activities such as railway and highway traffic. Indeed, modern equipments such as computers and
30 accurate measurement instruments, may be affected by the induced vibrations. Moreover, people
31 who live near these disturbance sources become more sensitive to the industrial vibrations and
32 cannot increase their tolerance for the inconvenience and discomfort ^[1]. Thus, there is a strong
33 need to reduce the vibration experienced by people, structures and equipments by using isolation
34 systems. Wave barriers corresponding to various obstacles such as open trenches and solid
35 barriers composed of different materials ^[2], constitute examples of isolation measures that can be
36 used against soil vibrations. The design of wave barriers motivated the development of analytical
37 and numerical tools for analyzing their isolating effect on traveling waves ^[3, 4], using the
38 Boundary Element Method (BEM) in the frequency domain. In most cases, the problem
39 characterized by the complex geometry, boundary conditions and nonlinear material behavior
40 laws for the soil, has to be dealt by using the Finite Element Method (FEM), advocating the
41 FEM/BEM coupling approach in three-dimensional analysis, in the frequency domain ^[5] or in the
42 time domain ^[6]. Wave propagation in unbounded domains is very efficiently predicted by the
43 BEM approach, which provides exact radiation conditions; however, the method is preferably
44 dedicated to weak heterogeneities and linear constitutive models ^[7]. When adopting the FEM

45 approach, or other spatial discretization methods such as Finite Difference (FD) or Spectral
46 Element Method (SEM), accurate boundary conditions have to be applied **to the boundaries of the**
47 **truncated domain**. As a result, several kinds of artificial boundaries have been developed **to avoid**
48 **spurious waves**, such as the infinite elements (Bettess ^[8], Houmat ^[9]), absorbing boundary
49 conditions (Enquist et al. ^[10], Liu and Zhao ^[11]), absorbing layer methods (Kosloff and Kosloff
50 ^[12], Semblat et al. ^[13], Rajagopal et al. ^[14], Zafati et al. ^[15], Li et al. ^[16]) **and** PML (Perfect
51 Matched Layers).

52 The PML **was** proposed by Bérenger ^[17] for absorbing electromagnetic waves and **was**
53 interpreted shortly after by Chew 1994^[18] in terms of complex coordinate stretching. The PML is
54 endowed with the remarkable features that the same attenuation is provided for all the
55 frequencies and is reflectionless in a continuous setting for all angles of incidence at the interface,
56 making it more efficient than the other absorbing layers. The first PML adapted to the
57 elastodynamic equations was formulated according to a split procedure for the components of
58 velocities with respect to the interface (Chew and Liu ^[19], Collino and Tsoga ^[20]). Then, the
59 unsplit-formulation, called C-PML, was developed by Wang et al. ^[21] in the context of the finite
60 difference method, requiring the computation of convolution integrals, which was later extended
61 by Matzen ^[22] to the finite element method. Basu and Chopra ^[23, 24] proposed a displacement-
62 based unsplit formulation for two-dimensional time-domain elastodynamics and extended it to
63 the three-dimensional case in explicit dynamics ^[25], which was implemented in the Finite
64 Element software LS-DYNA and DIANA ^[26,27]. Convolution integrals were avoided but
65 additional quantities were required such as integrals in time of strains and stresses. The issue of
66 computing integrals in time of strains and stresses was circumvented by Kucukcoban and
67 Kallivokas ^[28] by introducing a combined stress-displacement formulation, at the expense of the
68 increase of the system size to be solved. The authors also gave an extensive survey of the various

69 PML formulations. To avoid increasing the computation cost in the interior domain, hybrid
70 formulation was proposed, using a primal coupling approach (Kucukcoban and Kallivokas ^[29]),
71 which was finally extended to three-dimensional problems by Fathi et al. ^[30]. Brun et al. ^[31]
72 implemented Basu and Chopra's formulation for two-dimensional problems, using a dual
73 coupling approach with Lagrange multipliers. It was realized in the framework of Heterogeneous
74 (different time integrators) Asynchronous (different time steps) Time Integrator ^[32,33], so as to
75 integrate the PML with an implicit time integrator using an appropriate time step size,
76 irrespective of the time integration parameters for the interior domain.

77 Here, three-dimensional complex wave propagation problems are focused, such as the
78 mitigation of ground surface vibration through Horizontal Wave Barrier (HWB), set up close to
79 an excited plate, representing, for instance, the slab track excited by the tramway wheels ^[34]. For
80 this purpose, a full explicit three-dimensional PML with an efficient method for calculating the
81 internal force is developed using the HATI framework. **It enables us to adopt the Central
82 Difference (CD) method with a fine time step in the PML in order to enhance the accuracy of the
83 PML, while keeping the classical finite element formulation without complex-valued stretched
84 coordinates in the interior domain.**

85 Using the proposed HATI framework, other absorbing layers, which are more convenient to
86 be implemented in FE software, are also investigated and compared with the PML: Absorbing
87 Layers with Increasing Damping (ALID) using viscous Rayleigh damping (Zafati et al. ^[15], Li et
88 al. ^[35]) and ALID with Kosloff damping (Li et al. ^[16]). In the case of Rayleigh damping, it is
89 widely known that introducing Rayleigh damping reduces the critical time step ^[35,36]. As a
90 consequence, fine time steps can be independently employed in the ALID based on Rayleigh
91 damping thanks to the proposed HATI framework, while the interior domain is integrated **using a
92 Newmark explicit time integration scheme** with a time step satisfying the CFL condition, which

93 is not affected by the introduction of Rayleigh damping. In the cases of PML and Kosloff ALID,
94 although the critical time step in explicit dynamics is unchanged, fine time steps in the absorbing
95 layers are also investigated for accuracy improvement.

96 In this paper, we first present the strong form in the time domain of three-dimensional PML,
97 followed by the weak form of the coupled problem, including the non-dissipative interior
98 subdomain and PML subdomain. The coupling is ensured thanks to the introduction of the
99 Lagrange multipliers so as to satisfy the velocity continuity at the interface. Then, finite element
100 and space discretization is carried out, by focusing on explicit time integration with Central
101 Difference (CD) method, in order to reduce the computation time in the three-dimensional PML.
102 By taking advantage of the versatility of the HATI framework, ALID based on Rayleigh and
103 Kosloff damping are also presented for 3D dynamic problems. In numerical applications, a first
104 simple 3D Lamb's problem, including only one hexahedral element in the thickness, is
105 investigated; results obtained from PML are compared with results obtained from Rayleigh and
106 Kosloff ALID, demonstrating the superiority of the PML in terms of the accuracy and
107 computation time. A 3D Lamb's test with same dimensions in the two horizontal directions is
108 then simulated with the proposed PML as well as a more complex case, including two plates lied
109 on the ground, where the first one corresponds to the excited plate and the second one
110 corresponds to the Horizontal Wave Barrier (HWB). Influence of the design parameters, such as
111 the length, width and depth of the HWB, is assessed in terms of the reduction of ground
112 displacement.

113 **2. Perfectly Matched Layer**

114 The 3D-PML is inspired from Basu's formulation ^[25], by developing an efficient method for
115 calculating the internal force and extending it to multi-time step computation. We focus on multi-

116 time step explicit integration for the purpose of efficient computation in unbounded domains. The
 117 goal is to treat in a complete independent way the absorbing layers, with respect to the interior
 118 domain with the time step corresponding to the CFL condition. The formulation of the proposed
 119 explicit multi time step 3D-PML is given in this section and will be compared to previously
 120 published Absorbing Layers with Increasing Damping (ALID) based on different damping
 121 formulations, that are Rayleigh and Kosloff damping, whose main advantage with respect to the
 122 PML is their simplicity for the implementation in a finite element code.

123

124 **2.1 Strong form of the three-dimensional PML**

125 The PML is formulated by introducing the complex-valued stretching functions into the
 126 classical elastodynamic equations in the frequency domain. First, we introduce the complex-
 127 valued stretching function $\lambda_i(x_i)$, enabling to replace the real coordinate x_i with the complex
 128 coordinate ones \tilde{x}_i :

$$129 \quad \frac{\partial \tilde{x}_i}{\partial x_i} = \lambda_i(x_i) = 1 + f_i^e(x_i) + \frac{f_i^p(x_i)}{i\omega} \quad (1)$$

130 where ω denotes the circular frequency, the attenuation function f_i^p which is positive real-valued
 131 as a function of x_i , serves to attenuate the propagating waves in the x_i direction, whereas the
 132 scaling function f_i^e attenuates the evanescent waves by stretching the coordinate variable x_i . It
 133 has to be noted that this expression is the same **as that** chosen by Kucukcoban and Kallivokas^[29]
 134 and Fathi et al^[30]. It is slightly different from the one adopted by Basu and Chopra^[23, 24] and
 135 Basu^[25], in order to avoid introducing a characteristic length of the problem under consideration.
 136 The complex coordinate is then employed in the equation of motion in the frequency domain,
 137 giving the following governing equations for wave propagation in PML **domain**:

138
$$\sum_j \frac{1}{\lambda_j(x_j)} \frac{\partial \sigma_{ij}}{\partial x_j} = -\omega^2 \rho u_i \quad (2)$$

139
$$\sigma_{ij} = \sum_{k,l} C_{ijkl} \varepsilon_{kl} \quad (3)$$

140
$$\varepsilon_{ij} = \frac{1}{2} \left[\frac{1}{\lambda_j(x_j)} \frac{\partial u_i}{\partial x_j} + \frac{1}{\lambda_i(x_i)} \frac{\partial u_j}{\partial x_i} \right] \quad (4)$$

141 where σ_{ij} , ε_{ij} are the components of the stress and strain tensors; C_{ijkl} are the components of
 142 the elastic constitutive tensor for homogeneous isotropic medium and the last equation gives the
 143 deformation relationship under small deformation assumption. The following notations are
 144 introduced for the PML **domain**: Ω_{PML} , bounded by the $\Gamma_{PML} = \Gamma_{PML}^D + \Gamma_{PML}^N$, where $\Gamma_{PML}^D \cap$
 145 $\Gamma_{PML}^N = \emptyset$, defining the decomposition of the boundary conditions into Dirichlet and Neumann
 146 conditions.

147 Thanks to the introduction of the stretching functions expressed in Eq. (1), the inverse
 148 Fourier transform can be easily applied to the previous frequency-domain equations, leading to
 149 the following equations in time domain:

150
$$\text{div} \left(\underline{\underline{\sigma}} \underline{\underline{\tilde{F}}}^{ee} + \underline{\underline{\Sigma}} \underline{\underline{\tilde{F}}}^{ep} + \underline{\underline{\tilde{\Sigma}}} \underline{\underline{\tilde{F}}}^{pp} \right) = \rho f_M \underline{\underline{\ddot{u}}} + \rho f_C \underline{\underline{\dot{u}}} + \rho f_K \underline{\underline{u}} + \rho f_H \underline{\underline{U}} \quad (5)$$

151
$$\underline{\underline{\sigma}} = \underline{\underline{C}} : \underline{\underline{\varepsilon}} \quad (6)$$

152
$$\underline{\underline{F}}^{eT} \underline{\underline{\dot{\varepsilon}}} \underline{\underline{F}}^e + \left(\underline{\underline{F}}^{pT} \underline{\underline{\varepsilon}} \underline{\underline{F}}^e + \underline{\underline{F}}^{eT} \underline{\underline{\varepsilon}} \underline{\underline{F}}^p \right) + \underline{\underline{F}}^{pT} \underline{\underline{E}} \underline{\underline{F}}^p$$

 153
$$= \frac{1}{2} \left[\underline{\underline{F}}^{eT} (\nabla \underline{\underline{\dot{u}}}) + (\nabla \underline{\underline{\dot{u}}})^T \underline{\underline{F}}^e \right] + \frac{1}{2} \left[\underline{\underline{F}}^{pT} (\nabla \underline{\underline{u}}) + (\nabla \underline{\underline{u}})^T \underline{\underline{F}}^p \right] \quad (7)$$

154 where the PML matrices $\underline{\underline{\tilde{F}}}^{ee}$, $\underline{\underline{\tilde{F}}}^{ep}$, $\underline{\underline{\tilde{F}}}^{pp}$, $\underline{\underline{F}}^e$, $\underline{\underline{F}}^p$, as well as the scalar-valued functions f_M , f_C ,
 155 f_K and f_H depend on the scaling function $f_i^e(x_i)$ and the attenuation function $f_i^p(x_i)$. Their
 156 expressions are given in Appendix A. Due to the use of the inverse Fourier transform, the 3D

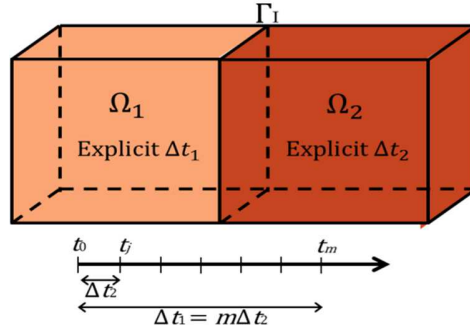
157 PML time domain formulation requires successive time integrals of stress and strain tensors,
 158 which are defined by: $\underline{\underline{\Sigma}} = \int_0^t \underline{\underline{\sigma}} dt$, $\underline{\underline{\tilde{\Sigma}}} = \int_0^t \underline{\underline{\Sigma}} dt$ and $\underline{\underline{E}} = \int_0^t \underline{\underline{\varepsilon}} dt$; in addition, the time integral of
 159 displacement has to be computed, given by $\underline{\underline{U}} = \int_0^t \underline{\underline{u}} dt$. The previous equations are
 160 complemented with Dirichlet and zero traction forces at the Neumann conditions:

$$161 \quad \begin{cases} \underline{\underline{u}} = 0 & \text{on } \Gamma_{PML}^D \\ \left(\underline{\underline{\sigma}} \tilde{F}^{ee} + \underline{\underline{\Sigma}} \tilde{F}^{ep} + \underline{\underline{\tilde{\Sigma}}} \tilde{F}^{pp} \right) \underline{\underline{n}} = 0 & \text{on } \Gamma_{PML}^N \end{cases} \quad (8)$$

162 It has to be remarked that the equation of motion in Eq. (5) is a third-order differential
 163 equation, with four fields: the displacement field, velocity field, acceleration field and time
 164 integral of the displacement field. In Basu's formulation [25] the same equation of motion was
 165 obtained with one multiplicative factor that comes from the definition of a slightly different
 166 complex-valued stretching function as noted previously. Eq. (6) is the classical constitutive
 167 relationship and Eq. (7), identical to Basu's formulation, describes a much more complex
 168 deformation relationship than that of the classic relationship, involving the strain, strain
 169 derivative in time and time integral of the strain. In the following, assumptions concerning the
 170 calculation of strain will be made in order to integrate in time the governing equations according
 171 to the explicit Central Difference scheme.

172 2.2 Weak form of the coupled problem

173 Let Ω be a bounded domain belonging to \mathbb{R}^3 with a regular boundary. $J = [0, T]$ is the time
 174 interval of interest. The domain Ω is divided into two partitions Ω_1 and Ω_2 , as shown in Fig.1,
 175 such as: $\Omega_1 \cap \Omega_2 = \emptyset$ and $\partial\Omega_1 \cap \partial\Omega_2 = \Gamma_I$. Γ_I denotes the interface between the two subdomains,
 176 subdomain Ω_1 represents the non-dissipative medium (the domain of interest) and subdomain Ω_2
 177 represents the PML medium.



178

179 Fig. 1 Domain Ω divided into two subdomains Ω_1 (interior subdomain) and Ω_2 (PML subdomain)

180 The subdomain 1 is assumed to be isotropic linear elastic and the subdomain 2 is related to
 181 the PML. The subdomain Ω_1 is characterized by its density ρ_1 , Young's modulus E_1 , Poisson's
 182 coefficient ν_1 , \underline{b}_1 the body force, \underline{u}_1^D the Dirichlet prescribed displacement on Γ_1^D and \underline{g}_1^N the
 183 traction force at the Neumann condition on Γ_1^N . The subdomain Ω_2 is characterized by its
 184 density ρ_2 , Young's modulus E_2 , Poisson's coefficient ν_2 , \underline{b}_2 the body force, \underline{u}_2^D the Dirichlet
 185 prescribed displacement on Γ_2^D , and \underline{g}_2^N the traction force at the Neumann condition on Γ_2^N . The
 186 coupled problem in Ω divided into two partitions Ω_1 and Ω_2 . The classic test functions \underline{v}_1 and \underline{v}_2 ,
 187 belonging to the appropriate spaces, are introduced: $\underline{v}_1 \in W_1^* = \{ \underline{v}_1 \in (H^1(\Omega_1))^d \text{ and } \underline{v}_1 =$
 188 $0 \text{ on } \Gamma_1^D \}$, $\underline{v}_2 \in W_2^* = \{ \underline{v}_2 \in (H^1(\Omega_2))^d \text{ and } \underline{v}_2 = 0 \text{ on } \Gamma_2^D \}$, where d is the space dimension,
 189 equal to 3. The solutions belong to the following spaces: $\underline{u}_1(t) \in W_1, W_1 = \{ \underline{u}_1 \in$
 190 $(H^1(\Omega_1))^d \text{ and } \underline{u}_1 = \underline{u}_1^D \text{ on } \Gamma_1^D \}$, $\underline{u}_2(t) \in W_2, W_2 = \{ \underline{u}_2 \in (H^1(\Omega_2))^d \text{ and } \underline{u}_2 = \underline{u}_2^D \text{ on } \Gamma_2^D \}$.

191 According to a dual Schur approach, the introduction of the Lagrange multipliers allows us
 192 to glue the velocities of the two subdomains at the interface Γ_1 [37, 38]. The Lagrange multipliers
 193 belong to the adapted dual trace space related to the interface between the two subdomains,
 194 denoted by Q . All the considered space variables are assumed to be sufficiently smooth and

195 regular. Next, using a dual Schur formulation, the principle of virtual power for transient
 196 dynamics can be written. Find the solution $\underline{u}_1(t) \in W_1$, $\underline{u}_2(t) \in W_2$ and $\underline{\lambda}(t) \in Q$, for which the
 197 following weak form is satisfied $\forall \underline{v}_1 \in W_1^*$, $\forall \underline{v}_2 \in W_2^*$ and $\forall \underline{\mu} \in Q$:

$$\begin{aligned}
 198 \quad & \int_{\Omega_1} \rho_1 \underline{v}_1 \cdot \dot{\underline{u}}_1 d\Omega + \int_{\Omega_1} \underline{\underline{\varepsilon}}(\underline{v}_1) : \underline{\underline{\sigma}}_1 d\Omega + \int_{\Omega_2} \rho f_M \underline{v}_2 \cdot \dot{\underline{u}}_2 d\Omega + \int_{\Omega_2} \rho f_C \underline{v}_2 \cdot \dot{\underline{u}}_2 d\Omega + \int_{\Omega_2} \rho f_K \underline{v}_2 \cdot \underline{u}_2 d\Omega \\
 199 \quad & + \int_{\Omega_2} \rho f_H \underline{v}_2 \cdot \underline{U}_2 d\Omega + \int_{\Omega_2} \underline{\underline{\varepsilon}}^{ee} : \underline{\underline{\sigma}} d\Omega + \int_{\Omega_2} \underline{\underline{\varepsilon}}^{ep} : \underline{\underline{\Sigma}} d\Omega + \int_{\Omega_2} \underline{\underline{\varepsilon}}^{pp} : \underline{\underline{\tilde{\Sigma}}} d\Omega \\
 200 \quad & + \int_{\Gamma_I} \underline{v}_1 \cdot \underline{\lambda} d\Gamma + \int_{\Gamma_I} \underline{v}_2 \cdot \underline{\lambda} d\Gamma + \int_{\Gamma_I} \underline{\mu} \cdot (\dot{\underline{u}}_1 - \dot{\underline{u}}_2) d\Gamma \\
 201 \quad & = \int_{\Omega_1} \underline{v}_1 \cdot \underline{b}_1 d\Omega + \int_{\Gamma_1^N} \underline{v}_1 \cdot \underline{g}_1^N d\Gamma + \int_{\Gamma_2^N} \underline{v}_2 \cdot (\underline{\underline{\sigma}} \tilde{F}^{ee} + \underline{\underline{\Sigma}} \tilde{F}^{ep} + \underline{\underline{\tilde{\Sigma}}} \tilde{F}^{pp}) nd\Gamma \quad (9)
 \end{aligned}$$

202 with the expression of the modified strain tensors in the PML subdomain, taking into account the
 203 scaling and damping functions:

$$204 \quad \begin{cases} \underline{\underline{\varepsilon}}^{ee} = \frac{1}{2} [(\nabla \underline{v}) \tilde{F}^{ee} + \tilde{F}^{eeT} (\nabla \underline{v})^T] \\ \underline{\underline{\varepsilon}}^{ep} = \frac{1}{2} [(\nabla \underline{v}) \tilde{F}^{ep} + \tilde{F}^{epT} (\nabla \underline{v})^T] \\ \underline{\underline{\varepsilon}}^{pp} = \frac{1}{2} [(\nabla \underline{v}) \tilde{F}^{pp} + \tilde{F}^{ppT} (\nabla \underline{v})^T] \end{cases} \quad (10)$$

205 Then, by using the above expressions, the internal force in the weak form in Eq. (9) can be
 206 written as:

$$207 \quad p_{int}^e = \int_{\Omega} \underline{\underline{\varepsilon}}^{ee} : \underline{\underline{\sigma}} d\Omega + \int_{\Omega} \underline{\underline{\varepsilon}}^{ep} : \underline{\underline{\Sigma}} d\Omega + \int_{\Omega} \underline{\underline{\varepsilon}}^{pp} : \underline{\underline{\tilde{\Sigma}}} d\Omega \quad (11)$$

208 In Eq. (9), the right hand side term related to the Neumann condition for the PML **subdomain**,
 209 that is $\int_{\Gamma_2^N} \underline{v}_2 \cdot (\underline{\underline{\sigma}} \tilde{F}^{ee} + \underline{\underline{\Sigma}} \tilde{F}^{ep} + \underline{\underline{\tilde{\Sigma}}} \tilde{F}^{pp}) nd\Gamma$, becomes equal to zero due to Eq. (8).

210 2.3 Space and time discretization

211 Here, we focus on the coupling between two explicit time integrators, with two different time
212 scales, the large time step $\Delta t_1 = [t_0; t_m]$ for the interior domain and the fine time step $\Delta t_2 =$
213 $[t_{j-1}; t_j]$ for the absorbing layers. This choice is imposed by the fact that we want to keep explicit
214 time integrators in both subdomains for the calculation efficiency in three-dimensional complex
215 modelling and the use of different damping formulations in the absorbing layers. For instance, it
216 is well known that the introduction of Rayleigh damping matrix reduces the critical time step size
217 of the Central Difference scheme^[36]. Second, **in the PML case**, it was shown in Brun et al.^[31]
218 and Li et al.^[16] that PML accuracy of Basu's formulation is very sensitive to the time step size,
219 advocating for a fine time step in the PML.

220 We follow the classical finite element discretization, by adopting 8-node hexahedral element
221 with linear shape functions. The approximation of the displacement is given by: $u_e(x, y, z) =$
222 $\mathbf{N}(x, y, z)\mathbf{U}_e$, where \mathbf{U}_e gathers the nodal displacements of the 8 nodes, with a size of 24×1 ;
223 the matrix $\mathbf{N}(x, y, z)$, **with a size** 3×24 , contains the nodal shape functions: $\mathbf{N}(x, y, z) =$
224 $[N_1\mathbf{I} \ N_2\mathbf{I} \ \cdots \ N_8\mathbf{I}]$, where \mathbf{I} is the 3×3 identity matrix. First, we discretize the interface
225 power terms of the weak form in Eq. (9), related to the coupling between subdomains. At the
226 interface between the subdomains, the continuity of velocities is imposed by the following
227 condition:

$$228 \quad \mathbf{L}_1\dot{\mathbf{U}}_1 + \mathbf{L}_2\dot{\mathbf{U}}_2 = 0 \quad (12)$$

229 where \mathbf{L}_1 and \mathbf{L}_2 are the **Boolean** constraint matrices in the case of matching meshes at the
230 interface Γ_I . In the case of non-matching meshes, the mortar approach has to be applied, leading
231 to non-Boolean constraint matrices \mathbf{L}_1 and \mathbf{L}_2 ^[39]. They operate on nodal vectors associated with
232 the two subdomains and pick out the degrees of freedom belonging to the interface Γ_I in order to

233 ensure the velocity continuity at the interface. The restricted velocity vectors on the interface are
 234 given by $\mathbf{L}_1 \dot{\mathbf{U}}_1$ and $\mathbf{L}_2 \dot{\mathbf{U}}_2$, whereas the operators $(\mathbf{L}_1)^T$ and $(\mathbf{L}_2)^T$ represent the prolongation
 235 operators from the interface to the subdomains. Using the Boolean constraint matrices, the
 236 interface terms in the weak form can be expressed as:

$$237 \quad \begin{cases} \int_{\Gamma_I} \underline{v}_1 \cdot \underline{\lambda} d\Gamma = \mathbf{V}_1^T \mathbf{L}_1^T \boldsymbol{\lambda} \\ \int_{\Gamma_I} \underline{v}_2 \cdot \underline{\lambda} d\Gamma = \mathbf{V}_2^T \mathbf{L}_2^T \boldsymbol{\lambda} \end{cases} \quad (13)$$

238 From the weak form in Eq. (9), we write the equation of motion related to the interior
 239 subdomain Ω_I , at the time t_m , that is the end of the large time step $\Delta t_1 = [t_0; t_m]$:

$$240 \quad \mathbf{M}_1 \dot{\mathbf{U}}_1^m + \mathbf{K}_1 \mathbf{U}_1^m = \mathbf{F}_1^{\text{ext},m} - \mathbf{L}_1^T \boldsymbol{\lambda}^m \quad (14)$$

241 Concerning the PML subdomain, we write the equation of motion at time t_j , at the end of the
 242 fine time step $\Delta t_2 = [t_{j-1}; t_j]$:

$$243 \quad \begin{aligned} \mathbf{M}_2 \ddot{\mathbf{U}}_2^j + (\mathbf{C}_2 + \tilde{\mathbf{C}}_2) \dot{\mathbf{U}}_2^{j-1/2} + (\mathbf{K}_2 + \tilde{\mathbf{K}}_2) \mathbf{U}_2^j + \bar{\mathbf{K}}_2 \bar{\mathbf{U}}_2^j + \mathbf{P}_2(\boldsymbol{\varepsilon}_{j-1}, \mathbf{E}_{j-1}, \boldsymbol{\Sigma}_{j-1}, \tilde{\boldsymbol{\Sigma}}_{j-1}) \\ 244 \quad \quad \quad = \mathbf{F}_2^{\text{ext},j} - \mathbf{L}_2^T \hat{\boldsymbol{\lambda}}^j \end{aligned} \quad (15)$$

245 The derivation and expression of the matrices involved in Eq. (15) and the internal force are
 246 detailed in Appendix A. Briefly, the inertial system matrices \mathbf{M}_2 , \mathbf{C}_2 , \mathbf{K}_2 and $\bar{\mathbf{K}}_2$ are assembled
 247 from their respective element-level matrices. The element-level matrices are obtained by
 248 adopting a quadrature formula in every hexahedral element:

$$249 \quad \mathbf{M}_2^e = \int_{\Omega^e} \rho f_M \mathbf{N}^T \mathbf{N} d\Omega \quad (16)$$

$$250 \quad \mathbf{C}_2^e = \int_{\Omega^e} \rho f_C \mathbf{N}^T \mathbf{N} d\Omega \quad (17)$$

251
$$\mathbf{K}_2^e = \int_{\Omega^e} \rho f_K \mathbf{N}^T \mathbf{N} \, d\Omega \quad (18)$$

252
$$\bar{\mathbf{K}}^e = \int_{\Omega^e} \rho f_H \mathbf{N}^T \mathbf{N} \, d\Omega \quad (19)$$

253 In Eq. (15), the other PML matrices $\tilde{\mathbf{C}}_2$ and $\tilde{\mathbf{K}}_2$ come from the space and time discretization of
 254 the internal force corresponding to the terms $\int_{\Omega_2} \underline{\underline{\tilde{\varepsilon}}}^{ee} : \underline{\underline{\sigma}} \, d\Omega + \int_{\Omega_2} \underline{\underline{\tilde{\varepsilon}}}^{ep} : \underline{\underline{\Sigma}} \, d\Omega + \int_{\Omega_2} \underline{\underline{\tilde{\varepsilon}}}^{pp} : \underline{\underline{\tilde{\Sigma}}} \, d\Omega$ in the
 255 weak form in Eq. (9). $\tilde{\mathbf{C}}_2$ and $\tilde{\mathbf{K}}_2$ are defined at the element-level by:

256
$$\tilde{\mathbf{C}}_2^e = \int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t} \mathbf{D} \mathbf{B}^\varepsilon \, d\Omega \quad (20)$$

257
$$\tilde{\mathbf{K}}_2^e = \int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t} \mathbf{D} \mathbf{B}^Q \, d\Omega \quad (21)$$

258 where PML matrices $\tilde{\mathbf{B}}^{ee}$, $\tilde{\mathbf{B}}^{ep}$, $\tilde{\mathbf{B}}^{pp}$ depend on the derivatives of the shape functions and the
 259 scaling and attenuation functions of the PML, and the matrices $\tilde{\mathbf{B}}$, \mathbf{B}^ε and \mathbf{B}^Q are derived by
 260 assuming approximate relationships between the time derivatives and time integrals of the
 261 stresses and strains. Finally, the internal force can be decomposed in two parts: the first part is
 262 related to the previous PML matrices $\tilde{\mathbf{C}}^e$ and $\tilde{\mathbf{K}}^e$, operating on velocities and displacements and
 263 the second part, $\mathbf{P}_2(\boldsymbol{\varepsilon}_{j-1}, \mathbf{E}_{j-1}, \boldsymbol{\Sigma}_{j-1}, \tilde{\boldsymbol{\Sigma}}_{j-1})$, corresponds to the part of the internal force known at the
 264 beginning of the fine time step.

265 The equations of motion in Eq. (14) and Eq. (15) are complemented with the velocity
 266 continuity condition in Eq. (12), written at the fine time scale:

267
$$\mathbf{L}_1 \dot{\mathbf{U}}_1^j + \mathbf{L}_2 \dot{\mathbf{U}}_2^j = \mathbf{0} \quad (22)$$

268 Subdomain Ω_1 is integrated in time with a Newmark explicit scheme ($\beta_1 = 0$ and $\gamma_1 = 1/2$)
 269 ^[40], with a lumped mass matrix M_1 . We define $\mathbf{U}_1^{0,p}$ as the predictor of the displacement and $\dot{\mathbf{U}}_1^{0,p}$

270 as the predictor of the velocity, which is classically introduced in the approximate Newmark
 271 formulas as:

$$272 \quad \mathbf{U}_1^{0,p} = \mathbf{U}_1^0 + \Delta t \dot{\mathbf{U}}_1^0 + \left(\frac{1}{2} - \beta_1\right) \Delta t^2 \ddot{\mathbf{U}}_1^0 \quad (23)$$

$$273 \quad \dot{\mathbf{U}}_1^{0,p} = \dot{\mathbf{U}}_1^0 + \frac{1}{2} \Delta t (1 - \gamma_1) \ddot{\mathbf{U}}_1^0 \quad (24)$$

274 The classical approximate Newmark formulas in terms of the displacements and velocities at
 275 the end of the time step t_j are expressed in acceleration format as below:

$$276 \quad \mathbf{U}_1^m = \mathbf{U}_1^{0,p} + \beta_1 \Delta t^2 \ddot{\mathbf{U}}_1^m \quad (25)$$

$$277 \quad \dot{\mathbf{U}}_1^m = \dot{\mathbf{U}}_1^{0,p} + \gamma_1 \Delta t \ddot{\mathbf{U}}_1^m \quad (26)$$

278 In explicit computation, the final displacement \mathbf{U}_1^m is known at the beginning of the time
 279 step because $\beta_1 = 0$. The final acceleration vector $\ddot{\mathbf{U}}_1^m$ has to be computed in order to update the
 280 final velocity vector $\dot{\mathbf{U}}_1^m$.

281 Concerning the subdomain Ω_2 , we use a Central Difference scheme with the terms related to
 282 velocities written at the mid time step $(\mathbf{C}_2 + \tilde{\mathbf{C}}_2) \dot{\mathbf{U}}_2^{j-1/2}$, as classically assumed for explicit time
 283 integration in order to avoid system solving ^[36] and **to keep** a diagonal mass matrix. The explicit
 284 approximate **updating** formulas of the Central Difference explicit scheme for subdomain Ω_2 are
 285 expressed as:

$$286 \quad \dot{\mathbf{U}}_2^{j-1/2} = \dot{\mathbf{U}}_2^{j-3/2} + \Delta t \ddot{\mathbf{U}}_2^{j-1} \quad (27)$$

$$287 \quad \mathbf{U}_2^j = \mathbf{U}_2^{j-1} + \Delta t \dot{\mathbf{U}}_2^{j-1/2} \quad (28)$$

$$288 \quad \bar{\mathbf{U}}_2^j = \bar{\mathbf{U}}_2^{j-1} + \Delta t \mathbf{U}_2^j \quad (29)$$

289 All the above quantities are known at the beginning of the time step, at the time t_{j-1} . It
 290 remains to compute the acceleration $\ddot{\mathbf{U}}_2^j$ at the end of the time step, and the following mid step
 291 velocity being computed by: $\dot{\mathbf{U}}_2^{j+1/2} = \dot{\mathbf{U}}_2^{j-1/2} + \Delta t \ddot{\mathbf{U}}_2^j$.

292 Finally, by introducing the above approximate formulas for time integration into Eqs. (14)
 293 and (15), it leads to the set of equations of motion, complemented with the velocity continuity
 294 relationship, whose unknowns to be solved are the acceleration, written as:

$$295 \begin{cases} \mathbf{M}_1 \ddot{\mathbf{U}}_1^m = \mathbf{F}_1^{\text{ext},m} - \mathbf{K}_1 \mathbf{U}_1^{0,p} - \mathbf{L}_1^T \boldsymbol{\lambda}^m \\ \mathbf{M}_2 \ddot{\mathbf{U}}_2^j = -\mathbf{P}_2(\boldsymbol{\varepsilon}_{j-1}, \mathbf{E}_{j-1}, \boldsymbol{\Sigma}_{j-1}, \tilde{\boldsymbol{\Sigma}}_{j-1}) - (\mathbf{C}_2 + \tilde{\mathbf{C}}_2) \dot{\mathbf{U}}_2^{j-1/2} - (\mathbf{K}_2 + \tilde{\mathbf{K}}_2) \mathbf{U}_2^j - \bar{\mathbf{K}}_2 \bar{\mathbf{U}}_2^j - \mathbf{L}_2^T \boldsymbol{\lambda}^j \\ \mathbf{L}_1 \dot{\mathbf{U}}_1^j + \mathbf{L}_2 \dot{\mathbf{U}}_2^j = \mathbf{0} \end{cases} \quad (30)$$

296 As classically done for explicit dynamics, we assume a lumped mass matrix in the PML
 297 subdomain Ω_2 . It enables us to carry out a complete explicit computation in the PML subdomain,
 298 leading to an important gain in terms of the computation time, which is particularly suitable for
 299 large 3D problems. It will be shown in numerical applications that the CFL condition related to
 300 the interior subdomain is also valid for the PML subdomain without stability problems.

301 In Eq. (30), it is important to note that the velocity continuity is prescribed at the end of the
 302 fine time step, whereas the velocity is computed at the mid step in the PML subdomain. As a
 303 consequence, the final velocity in the velocity continuity equation is calculated as:

$$304 \quad \dot{\mathbf{U}}_2^j = \dot{\mathbf{U}}_2^{j-1/2} + \frac{1}{2} \Delta t \ddot{\mathbf{U}}_2^j \quad (31)$$

305 To solve the set of coupled equations in Eq. (30), we first determine the Lagrange multipliers
 306 ensuring the velocity continuity at the interface and second, we solve the accelerations in both
 307 subdomains. Following the lines of the coupling GC method [37,38], the kinematic quantities are
 308 divided into two parts: the free and the linked quantities. The free quantities are calculated by

309 taking into account the internal and external forces, without considering the interface forces,
 310 whereas the linked quantities are obtained from the interface loads given by the Lagrange
 311 multiplier vector $\boldsymbol{\lambda}$. It can be demonstrated that the kinematic continuity condition can be
 312 expressed as a reduced-size interface problem as follows:

$$313 \quad \mathbf{H}\boldsymbol{\lambda}^j = \mathbf{b}^j \quad (32)$$

314 with the interface operator and the right-hand side member vector defined by:

$$315 \quad \begin{cases} \mathbf{H} = \gamma_1 \Delta t_1 \mathbf{L}_1 \mathbf{M}_1^{-1} \mathbf{L}_1^T + \gamma_2 \Delta t_2 \mathbf{L}_2 \mathbf{M}_2^{-1} \mathbf{L}_2^T \\ \mathbf{b}^j = \mathbf{L}_1 \dot{\mathbf{U}}_1^{\text{free},j} + \mathbf{L}_2 \dot{\mathbf{U}}_2^{\text{free},j} \end{cases} \quad (33)$$

316 The interface operator \mathbf{H} is called the Steklov-Poincaré operator which can be viewed as the
 317 condensed mass matrix with the degrees of freedom belonging to the interface between the two
 318 subdomains. The right hand-side vector \mathbf{b}^j only depends on the free velocities computed in both
 319 subdomains without considering the interface forces; it can be seen as a predictor value projected
 320 to the degrees of freedom belonging to the interface.

321 Finally, once derived the Lagrange multiplier vector $\boldsymbol{\lambda}^j$, the quantities related to the interface
 322 forces in the second equation of the set of equations in Eq. (30) can be computed, and the fine
 323 time step $\Delta t_2 = [t_{j-1}; t_j]$ is completed by summing these linked quantities with the **previously**
 324 **obtained** free quantities. Same procedure is applied for every fine time steps Δt_2 , by looping over
 325 the large time step $\Delta t_1 = [t_0; t_m]$. The last Lagrange multiplier $\boldsymbol{\lambda}^m$ over the large time step
 326 allows to complete the large time step and **then** we can proceed to the next large time step Δt_1 .

327 **2.4 Absorbing Layers with Increasing Damping using Rayleigh and Kosloff damping**

328 In the finite element setting, it can be much more convenient to employ different damping
 329 formulations from the PML. For instance, Rayleigh damping is classically available in FE code.

330 Recently, Kosloff damping was investigated for 2D problems and turned out to be suitable for
 331 modeling unbounded domains, due to its frequency independent feature ^[16]. Here, the explicit
 332 PML discussed previously will be compared to the cases of Absorbing Layers with Increasing
 333 Damping (ALID) using Rayleigh and Kosloff damping, by extending AILD to the 3D case. The
 334 explicit framework explained before is employed again.

335 In the case of Rayleigh damping, the coupling problem, discretized in space and time, can be
 336 written as:

$$337 \left\{ \begin{array}{l} \mathbf{M}_1 \dot{\mathbf{U}}_1^m = \mathbf{F}_1^{\text{ext},m} - \mathbf{K}_1 \mathbf{U}_1^{0,p} - \mathbf{L}_1^T \boldsymbol{\lambda}^j \\ \mathbf{M}_2 \ddot{\mathbf{U}}_2^j = -\mathbf{C}_{2,\text{Rayleigh}} \dot{\mathbf{U}}_2^{j-1/2} - \mathbf{K}_2 \mathbf{U}_2^j - \mathbf{L}_2^T \boldsymbol{\lambda}^j \\ \mathbf{L}_1 \dot{\mathbf{U}}_1^j + \mathbf{L}_2 \dot{\mathbf{U}}_2^j = \mathbf{0} \end{array} \right. \quad (34)$$

338 where the Rayleigh matrix is linearly dependent on the mass and stiffness matrix, as:

$$339 \mathbf{C}_{2,\text{Rayleigh}} = \alpha_M \mathbf{M}_2 + \alpha_K \mathbf{K}_2 \quad (35)$$

340 In the case of Kosloff damping, the governing discrete coupling problem is given by:

$$341 \left\{ \begin{array}{l} \mathbf{M}_1 \dot{\mathbf{U}}_1^m = \mathbf{F}_1^{\text{ext},m} - \mathbf{K}_1 \mathbf{U}_1^{0,p} - \mathbf{L}_1^T \boldsymbol{\lambda}^j \\ \mathbf{M}_2 \ddot{\mathbf{U}}_2^j = -\mathbf{C}_{2,\text{Kosloff}} \dot{\mathbf{U}}_2^{j-1/2} - \tilde{\mathbf{K}}_{2,\text{Kosloff}} \mathbf{U}_2^j - \mathbf{K}_2 \mathbf{U}_2^j - \mathbf{L}_2^T \boldsymbol{\lambda}^j \\ \mathbf{L}_1 \dot{\mathbf{U}}_1^j + \mathbf{L}_2 \dot{\mathbf{U}}_2^j = \mathbf{0} \end{array} \right. \quad (36)$$

342 where the Kosloff matrices are defined by summing over the elements as:

$$343 \mathbf{C}_{2,\text{Kosloff}} = \sum 2 \int_{\Omega_e} \rho \gamma [\mathbf{N}]^T [\mathbf{N}] d\Omega \quad (37)$$

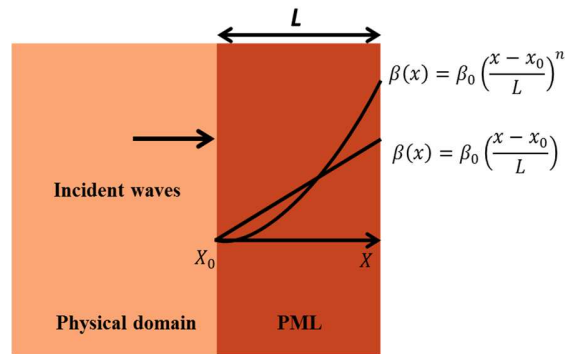
$$344 \tilde{\mathbf{K}}_{2,\text{Kosloff}} = \sum \int_{\Omega_e} \rho \gamma^2 [\mathbf{N}]^T [\mathbf{N}] d\Omega. \quad (38)$$

345 **2.5 Design of the PML and of the ALID using Rayleigh and Kosloff damping**

346 At the interface, it is well known that the PML is completely reflectionless in theory ^[17].
 347 Nonetheless, this property is only valid in the continuous setting. Indeed, although there is
 348 analytically no reflection at the interface, the spatial discretization will introduce spurious
 349 reflections at the interface, so optimal PML's parameters need to be applied in order to minimize
 350 these numerical reflections. The real-valued positive functions should monotonically increase and
 351 vanish at the interface so that the contrast is minimized, in the discrete setting, between the
 352 physical domain and the unphysical PML. Classically, the damping function f^p is written as a
 353 polynomial of degree n as shown below ^[23,24,25, 29, 41]:

354
$$\beta(x) = \beta_0 \left(\frac{x - x_0}{L} \right)^n \quad (39)$$

355 where β_0 is the user-tunable scalar parameter. In fact, the larger β_0 is, the larger the discretization
 356 error becomes. In other words, more spurious reflected waves will be produced at the interface
 357 with a larger β_0 value.



358
 359 Fig. 2 Evolution of the damping functions in PML subdomain

360 The logarithmic decrement of PML domain δ is obtained by integrating Eq. (39) over the
 361 thickness of the PML:

362
$$\delta = \int_{x_0}^L \frac{\beta_0}{v_\rho} \left(\frac{x - x_0}{L} \right)^n dx = \frac{\beta_0 L}{(n + 1)v_\rho} \quad (40)$$

363 We define the attenuation coefficient $R_{attenuation}$ from the logarithmic decrement **as**:

364
$$R_{attenuation} = \left(\frac{|u(x + L)|}{|u(x)|} \right)^2 = e^{-2\delta} \quad (41)$$

365 For instance, if we want to reach a target logarithmic decrement $\delta = \ln(10)$, it means that 90%
 366 of the amplitude of the incident wave is absorbed from the interface to the end of the PML. Next,
 367 the attenuation also occurs for the reflection process from the end of the PML towards the
 368 interface. Hence, the incident wave is attenuated by 99% and the attenuation coefficient
 369 $R_{attenuation}$ is theoretically equal to 1% before the space and time discretization.

370 Finally, we can propose the general formula to design a PML based on the presented 1D
 371 harmonic wave problem in PML medium. After choosing the $R_{attenuation}$, the total thickness L
 372 and the power n of the damping function, β_0 can be obtained **as**:

373
$$\beta_0 = \frac{(n + 1)}{2L} \times v_\rho \times \ln\left(\frac{1}{R_{attenuation}}\right) \quad (42)$$

374 Concerning Kosloff damping, it was shown in Li et al ^[16] that the scalar-valued γ parameter in
 375 Eqs. (37) and (38) can be defined in the same manner as the damping function f^p in Eq. (39),
 376 that is: $\gamma(x) = \gamma_0 \left(\frac{x - x_0}{L} \right)^n$. It leads to the same design relationship given by:

377
$$\gamma_0 = \frac{(n + 1)}{2L} \times v_\rho \times \ln\left(\frac{1}{R_{attenuation}}\right) \quad (43)$$

378 It is important to remind that the capability of the ALID with Kosloff damping to damp out
 379 incident waves is independent of **the** frequency and very similar to that of the PML ^[16]. All the
 380 waves with different frequencies can be attenuated in the same way. Nonetheless, at the interface,

381 the behavior is different in the two cases. Indeed, it is well known that no reflection occurs at the
382 PML interface for all the frequencies. This is not the case for Kosloff damping. This is why
383 optimal conditions have been set up in the work by Li et al ^[16] for tuning Young's modulus of
384 each Kosloff layer in order to minimize the spurious wave reflections.

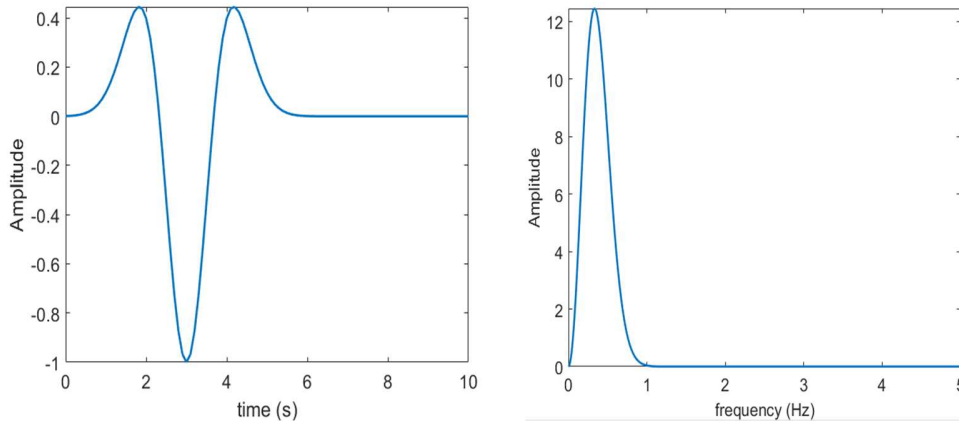
385 Concerning Rayleigh damping, the same polynomial profile for the damping ratio can be
386 considered as that in Eq. (39), that is $\xi(x) = \xi_0 \left(\frac{x-x_0}{L}\right)^n$ ^[35] with the assumption $\frac{\alpha_M}{\omega_0} = \alpha_K \omega_0 =$
387 ξ ^[15] . For a design angular frequency ω_0 , the design relationship for the ALID based on
388 Rayleigh damping is given by:

$$389 \quad \xi_0 = \frac{(n+1)}{2L\omega_0} \times v_p \times \ln\left(\frac{1}{R_{attenuation}}\right) \quad (44)$$

390 Here, it can be observed that the design of the Rayleigh ALID introduces a design angular
391 frequency ω_0 . In fact, the absorbing ability of Rayleigh damping is dependent on the frequency,
392 which is different from the PML and Kosloff damping. **Once the wave propagation model is set**
393 **up with a suitable finite element size and time step size, depending on the material parameters**
394 **and characteristics of the input motion, any type of motion (small-band, broad-band) can be**
395 **investigated using absorbing layers. The most sensitive parameter for the accuracy of the**
396 **absorbing layer is its thickness, that is, the number of finite elements introduced in the absorbing**
397 **layer to correctly reproduce the attenuation of the waves.**

398 **3 Numerical examples**

399 **3.1 Pseudo-3D Lamb's test for PML and ALID comparisons**



400

401

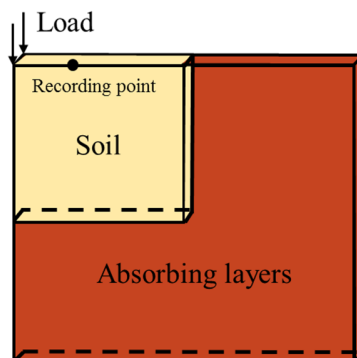
Fig.3 Waveform and Fourier transform of the Ricker wavelet

402 In order to evaluate the effectiveness of the three-dimensional PML, 3D Lamb's ^[42] test is
 403 carried out. Non-harmonic waves are investigated by considering a Ricker incident wave, defined
 404 by:

$$405 \quad Ric(t, t_p, t_s) = A \left(2\pi^2 \frac{(t - t_s)^2}{t_p^2} - 1 \right) \exp \left(-\pi^2 \frac{(t - t_s)^2}{t_p^2} \right) \quad (45)$$

406 The Ricker wave, plotted in the time domain and in the frequency domain in Fig.3, has three
 407 parameters: the fundamental period t_p , the time shift t_s and the amplitude A . The chosen values
 408 are: $t_p=3$ s, $t_s=3$ s and $A = 1$ MN.

409



410 **Fig.4 3D Lamb's test using PML and Rayleigh/Kosloff ALID**

411 **The test** is simulated with only one 3D element in the thickness direction as shown in Fig.4.

412 This **thin slice** mesh is considered in this first numerical application so as to reduce the

413 computation time in comparison to a full 3D case and to easily compare the performance of the

414 different approaches, the PML, ALID with Rayleigh damping and ALID with Kosloff damping.

415 The Ricker load is applied vertically at the top left edge of the 3D mesh. One **recording** point is

416 located on the soil surface, at 20 m from the applied load. The soil is assumed to be linear elastic

417 with the dimension 250 m x 250 m x 5 m. All hexahedral finite elements have the dimension 5 m

418 x 5 m x 5 m. The material characteristics for the interior subdomain Ω_1 and the PML subdomain

419 Ω_2 are: $\rho_1=1700$ kg/m³, $E_1=10$ MPa and $\nu_1=0.24$ for the density, Young's modulus and

420 Poisson's ratio, respectively. The P-wave, S-wave and Rayleigh wave velocities are: $V_P=83.27$

421 m/s, $V_S=48.7$ m/s, $V_R=44.73$ m/s. The minimal period for the Ricker input can be assessed by the

422 relationship: $T_{min} = 2.5 t_p$. To achieve sufficient accuracy, the FE size for the linear finite

423 elements, should respect the following relationship: $L_{EF} < \frac{\lambda_{min}}{20}$, with the minimal wavelength

424 λ_{min} equal to $c_P T_{min}$. The length L of the PML, **also called the thickness in the section 2.5**, is

425 taken as equal to 50 m, corresponding to 10 **finite elements** in the length. The other parameters

426 for the PML design, given in Eq. (42), are the power of polynomial function representing the

427 damping function of the PML and the target attenuation coefficient, given by: $n = 2$ and

428 $R_{attenuation} = 0.01$. The subdomain soil is integrated in time by the Newmark explicit scheme

429 without damping and with the time step $\Delta t_1=0.025$ s, imposed by the CFL condition, whereas the

430 PML is integrated by the Central Difference scheme, with damping terms written at the mid-step,

431 with the same time step $\Delta t_1 = \Delta t_2$.

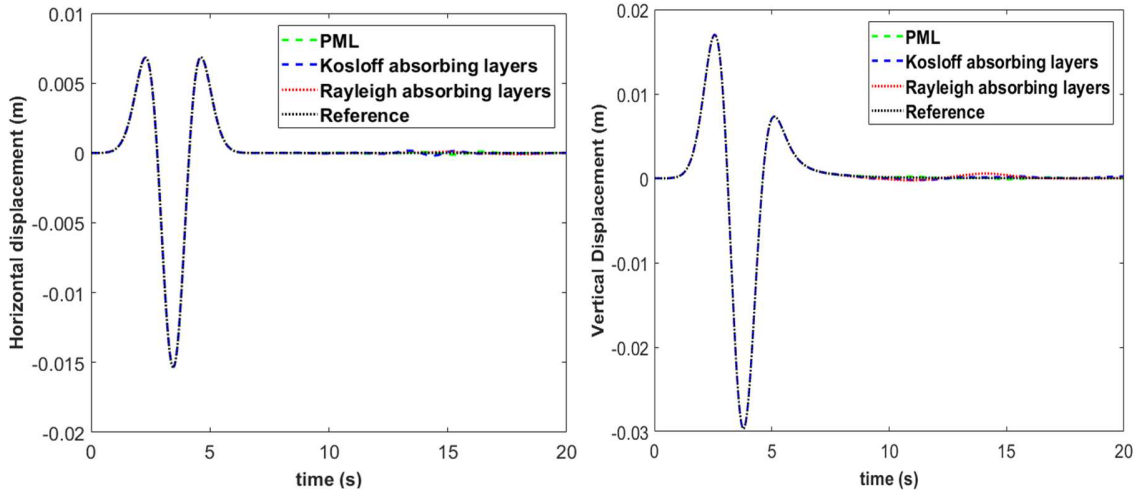
432 The efficiency and accuracy of Rayleigh and Kosloff ALID in modeling three-dimensional
433 unbounded domains are compared with the PML. The ALID is established with a length of 250 m,
434 in order to reduce the reflection at the interface between the interior subdomain and the absorbing
435 subdomain, because they are not reflectionless for all the frequencies. The number of elements in
436 the length of the ALID is thus five times larger than that of the PML, in order to reach a sufficient
437 good accuracy. As shown by Li et al ^[16], Rayleigh and Kosloff ALID are not reflectionless for all
438 the frequencies, so larger length is mandatory to reduce the damping ratio, based on the design
439 formulas in Eqs. (43) and (44). From this point of view, it is clear that PML is much more
440 efficient than Rayleigh and Kosloff ALID.

441 The multi-time step capability of the proposed approach is employed in the case of the
442 Rayleigh damping. Indeed, as widely known ^[36], the stability of the Central Difference scheme
443 with the viscous damping terms is altered by the introduction of Rayleigh damping, leading to the
444 reduction of the critical time step size. As a consequence, it is particularly convenient to adopt an
445 explicit multi-time step strategy in order to satisfy the reduced CFL condition in the Rayleigh
446 ALID while keeping the unchanged CFL condition in the interior subdomain. Here, we adopt a
447 fine time step given by: $\Delta t_1=10\Delta t_2=0.025$ s, **which has a time step ratio equal to 10 between the**
448 **two time steps**. For Kosloff ALID, the introduction of Kosloff damping does not alter the critical
449 time step, leading to same time step in the Kosloff ALID as that in the interior subdomain Δt_1
450 $=\Delta t_2$.

451 In order to assess the accuracy of the PML and ALID methods, the error with respect to the
452 reference results obtained from an extended mesh, free of spurious reflected waves coming from
453 the **boundaries of** the truncated mesh, is computed as:

454
$$\text{error}(\%) = \frac{\max_n |u_p(t_n) - u_{ref}(t_n)|}{\max_n |u_{ref}(t_n)|} \quad (46)$$

455 where u_{ref} and u_p are the displacements of the extended mesh model and the PML model. The
 456 above error allows us to quantify the spurious reflections.



457
 458 Fig.5 Vertical and horizontal displacements at the recording point using different absorbing layers (the
 459 length of the PML is equal to 50 m and the length of Rayleigh and Kosloff ALID is equal to 250 m)
 460

461 In Fig.5, three absorbing layers are compared in terms of the horizontal displacement and
 462 vertical displacement. It can be observed that all the displacements obtained by the three kinds of
 463 absorbing layers agree very well with the reference results. Nonetheless, as shown in Table 1, the
 464 PML is the most accurate one, with both vertical and horizontal reflections under 1%. The CPU
 465 times are resumed in Table 2 in a normalized form divided by the CPU time of Rayleigh
 466 absorbing layer, corresponding to the less efficient method. Indeed, Rayleigh ALID has a higher
 467 computation time than that of Kosloff ALID, because the time steps in the absorbing region are
 468 reduced due to the introduction of the Rayleigh matrix in Central Difference method. The
 469 computation time of PML is approximately 4 times less than that of the Rayleigh and Kosloff

470 ALID, mainly due to its reduced length of 50 m in comparison to 250 m. In more complex three-
 471 dimensional numerical simulations, this advantage is even more significant.

472 Table 1 Displacement errors using different absorbing layers

	Vertical displacement	Horizontal displacement
PML ($\Delta t_1 = \Delta t_2$)	0.46%	0.82%
Rayleigh-ALID ($\Delta t_1 = 10\Delta t_2$)	1.80%	0.58%
Kosloff-ALID ($\Delta t_1 = \Delta t_2$)	1.26%	0.98%

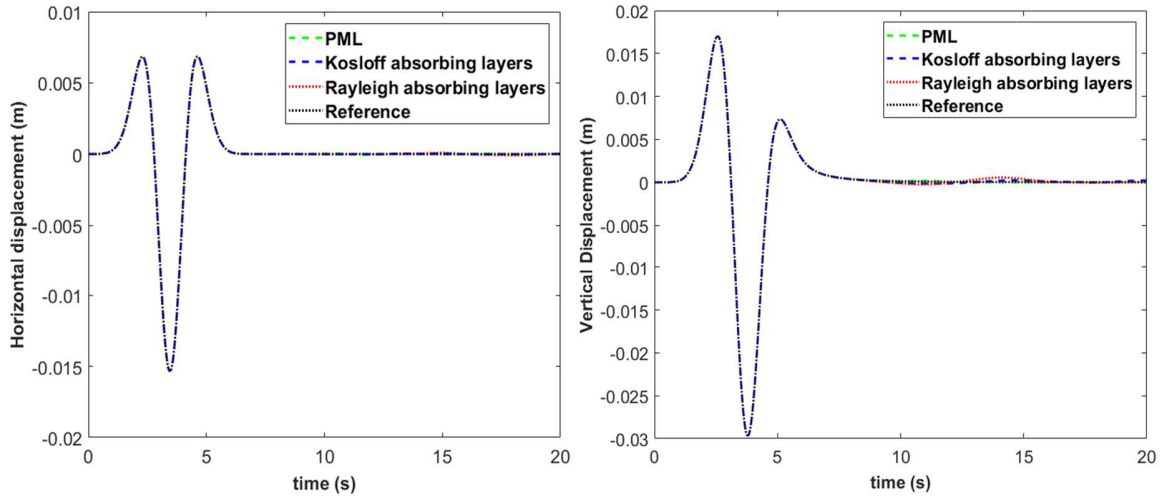
473

474 Table 2 Normalized CPU Time for different absorbing layers

	Rayleigh-ALID	Kosloff-ALID	PML
Normalized CPU time	1	0.76	0.26

475

476 It is also interesting to decrease the time step in the PML and in the Kosloff ALID ($\Delta t_1 = 10$
 477 Δt_2 , that is a time step ratio m equal to 10), so as to improve the accuracy of the absorbing layers.
 478 The time histories of the horizontal displacement and vertical displacement at the **recording point**
 479 are shown in Fig.6, using $\Delta t_1 = 10 \Delta t_2$ in **the** three absorbing layers. It is obvious, as observed in
 480 Table 3, that a better accuracy is achieved at the expense of the computation time as given in
 481 Table 4. Using a fine time step, the PML takes longer time than the Rayleigh and Kosloff ALID,
 482 because the computation time related to one FE, integrated over one time step, in the PML, is
 483 bigger than the one in Rayleigh and Kosloff ALID.



484
 485 Fig.6 Vertical and horizontal displacements at the observation point using different absorbing layers
 486 (m=10, the length of the PML is equal to 50m and the length of the Rayleigh and Kosloff ALID is equal to
 487 250 m)

488

489

Table 3 Displacement errors using different absorbing layers (m=10)

	Vertical displacement	Horizontal displacement
PML ($\Delta t_1 = 10\Delta t_2$)	0.29%	0.32%
Rayleigh-ALID ($\Delta t_1 = 10\Delta t_2$)	1.80%	0.58%
Kosloff-ALID ($\Delta t_1 = 10\Delta t_2$)	0.82%	0.21%

490

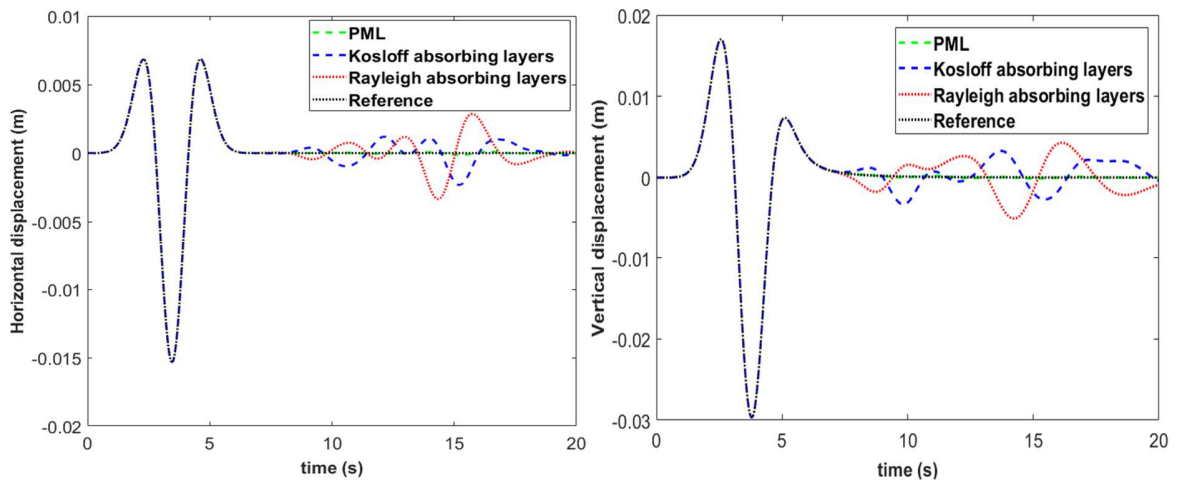
491

Table 4 Normalized CPU time for different absorbing layers (m=10)

	Rayleigh-ALID	Kosloff-ALID	PML
Normalized CPU time	1	0.84	1.59

492

493 Owing to the frequency-independence of the damping in the PML and its reflectionless
 494 feature at the interface, the PML achieves the best accuracy and computation efficiency when
 495 adopting the same time step as the interior domain, confirming that it is the most efficient method
 496 to model unbounded domain in a three-dimensional simulation among the three investigated
 497 absorbing layers. Finally, to further illustrate the advantage of the reflectionless feature of the
 498 PML at the interface, Rayleigh and Kosloff absorbing layers are established with a length of 50 m,
 499 which is the same as that of the PML (10 elements in the length). In Fig.7, it can be seen that
 500 significant reflections are produced at the interface between the soil subdomain and the Rayleigh
 501 and Kosloff ALID. Although optimal conditions at the interface are employed to reduce the
 502 reflection at the interface for Rayleigh and Kosloff ALID, the size of the ALID still needs to be
 503 longer than that of the PML, because they are not reflectionless for all the frequencies. In the
 504 following application focused on the wave barrier, only the PML strategy with a time step ratio m
 505 equal to 1, is considered.

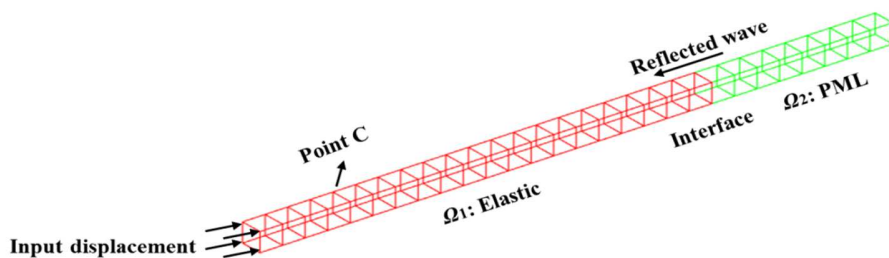


507 Fig.7 Vertical and horizontal displacements at the recording point using different absorbing layers (the
 508 length of the three absorbing layers is equal to 50m)

509 **3.2 Influence of the PML length: 3D Bar test**

510 As discussed in section 2.5, although the PML is analytically completely reflectionless, the
511 spatial discretization introduces spurious reflections at the interface. For this reason, the length of
512 the PML also has an influence on the accuracy. Because we assume a fixed size of the finite
513 element in the PML, which is given by the material parameters (wave velocity) and the type of
514 FE (shape function), the increase of PML length corresponds to an increase in the number of FEs
515 in the PML.

516 In order to investigate the influence of the PML length, the case of a semi-infinite elastic bar,
517 subjected to horizontal displacement at the free end, is considered, as shown in Fig.8. The same
518 material parameters as in the previous Lamb's tests are employed. The model is composed of a
519 soil subdomain of 300 m and a PML subdomain with $n = 2$ and $R_{attenuation} = 0.01$. Thus, it
520 simulates the propagation of a P-wave from a non-dissipative elastic medium to a PML medium.
521 Different PML lengths from 10m to 300 m are investigated and the size of FE is the same as that
522 in the Lamb's test (i.e., 5 m x 5 m x 5 m). The observation point C is located at 20 m from the left
523 end of the model.



524 Fig.8 Semi-infinite elastic 3D bar subjected to horizontal displacement
525
526
527

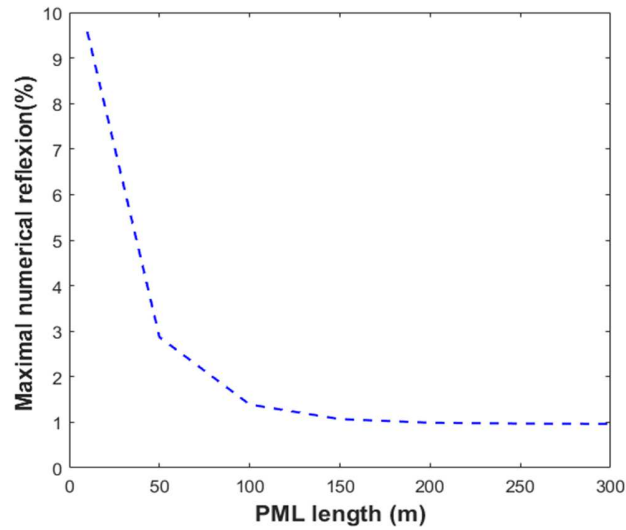


Fig.9 Maximal numerical reflection coefficient as a function of PML length

528
529
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531
532

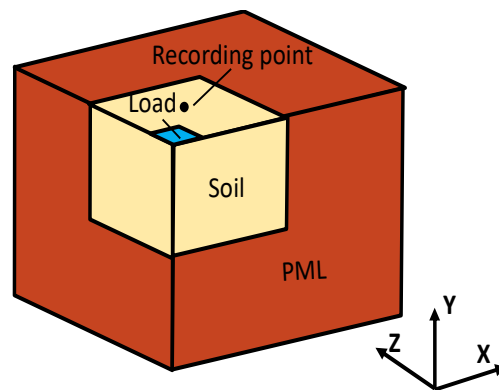
In Fig.9, the maximum numerical reflection is plotted as a function of the PML length. It is obvious that with a larger length, the maximal numerical reflection coefficient decreases, and better accuracy can be achieved. Indeed, the larger the length is, the more elements there are in the PML to describe the attenuation of the waves, so less spurious reflection is produced at the interface between the soil and the PML subdomain.

537 3.3 Lamb's test with explicit 3D PML

538 In order to evaluate the effectiveness of the proposed PML, a more realistic Lamb's test is
539 simulated with the same dimensions in the two horizontal directions as displayed in Fig.10. The
540 numerical model is a quarter model of a PML-truncated semi-infinite homogeneous media
541 subjected to a concentrated force. It is composed of a bounded soil (subdomain 1) with a size of
542 100 m and PML (subdomain 2) with a thickness of 50 m. The same material characteristics as
543 before are adopted. Non-harmonic waves are investigated by considering a Ricker incident waves
544 with the chosen parameters $t_p=3$ s, $t_s=3$ s and $A =2$ MN. The same size of the eight-node
545 hexahedral element of 5 m x 5 m x 5 m has been taken into account so as to control the inherent

546 wave dispersion. The reference results are computed from an extended mesh. The PML design
547 employed the previous parameters: n is equal to 2 and $R_{attenuation}$ is equal to 0.01. A recording
548 point is located on the surface of the subdomain soil at 20 m from each symmetric side. The
549 subdomain soil is integrated in time by Newmark explicit scheme with the time step ($\Delta t_1=0.025$
550 s), and the PML is integrated by the Central Difference scheme with the same time step $\Delta t_2=\Delta t_1$,
551 imposed by the condition CFL.

552



553

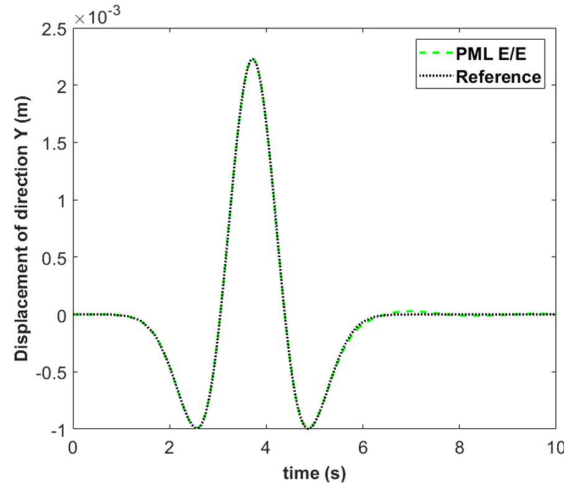
554 **Fig.10 3D Lamb's test modeled using PML: quarter model of a PML-truncated semi-infinite**
555 **homogeneous media subjected to a concentrated force**

556

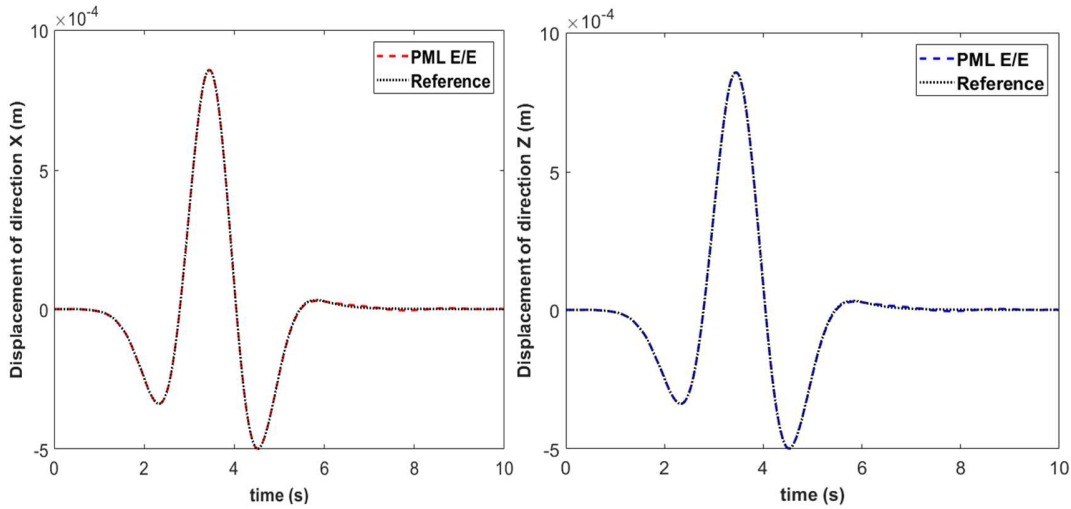
557 In Fig.11, we can observe that the displacements for this 3D case are in very good agreement
558 with respect to the reference results: as given in Table 5, the reflected spurious wave is 0.68% in
559 X and Z directions, and 1.24% in Y direction. In the framework of HATI, the interior domain is
560 handled by the classical finite element formulation and Newmark explicit time integrator, instead
561 of introducing complex-coordinate-stretched equations, whereas the PML subdomain is handled
562 by the Central Difference scheme, in order to make use of the diagonal ("lumped") mass matrix
563 of the subdomain Ω_2 . Taking into account its advantages in accuracy and CPU time, the proposed

564 three-dimensional explicit PML is efficient for complex three-dimensional wave propagation
 565 simulation. In next section, the PML is applied in the study of the screening effect provided by a
 566 horizontal wave barrier in mitigating ground surface vibration generated by an excited plate.

567



568



569 Fig.11 Displacements recorded at the observation point using co-simulation explicit/explicit. (top graph: Y
 570 displacement; bottom-left: Z displacement; bottom-right: X displacement)

571

572 Table 5 PML displacement errors in different directions with larger soil subdomain

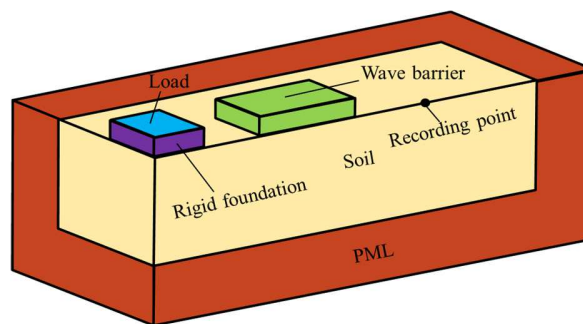
Displacement X	Displacement Y	Displacement Z
----------------	----------------	----------------

PML	0.68%	1.24%	0.68%
-----	-------	-------	-------

573

574 **3.4 Horizontal Wave Barrier efficiency with explicit 3D PML**

575 Due to the increasing vibrations caused by human activities, the performance of wave
576 barriers for reducing the distress to adjacent structures and annoyance to people, have been
577 studied for more than 30 years. To further demonstrate the efficiency of the proposed approach
578 for modeling unbounded domains, a three-dimensional application is carried out concerning a
579 Horizontal Wave Barrier (HWB), in the context of vibration isolation against the ground surface
580 wave propagation produced by trains and tramways [34]. In this case, the major part of the
581 vibration energy is transferred by Rayleigh waves which may cause strong ground motions on
582 nearby structures [3,4].



583

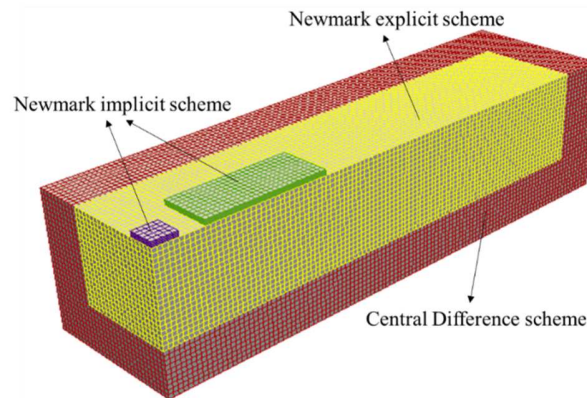
584 Fig.12 Configuration of the numerical model of three-dimensional wave barrier problem

585

586 As illustrated in Fig.12, the numerical model is composed of the bounded soil, the PML, the
587 rigid foundation and the horizontal wave barrier. The bounded soil (40 m x 8 m x 8 m) is
588 assumed to be linear elastic with the following material characteristics: $\rho_1=1200 \text{ kg/m}^3$,
589 $E_1=187.5 \text{ MPa}$ and $\nu_1= 0.25$. In the soil, the P-wave, S-wave and Rayleigh-wave velocities are:
590 $V_P=433 \text{ m/s}$, $V_S=250 \text{ m/s}$, and $V_R=230 \text{ m/s}$. The PML is established with the thickness of 4m in

591 the three directions around the bounded soil, **with the following** parameters: n is equal to 2 and
592 $R_{attenuation}$ is equal to 0.01. The rigid foundation on the soil is characterized by a thickness of 0.5
593 m, a surface of 2 m x 2 m, $\rho_3=1200$ kg/m³, $\nu_3= 0.25$ and $E_3=100E_1$, which is 100 times bigger
594 than Young's modulus in **the** soil subdomain. The dynamic periodic load, imposed on every node
595 of the rigid foundation surface in the numerical model, is defined by: $P = P_0 \sin(\omega_0 t)$, $\omega_0=2\pi f_0$,
596 $P_0=500$ kN, $f_0=30$ Hz. The wavelength for P-wave, S-wave and Rayleigh-wave velocities are
597 14.43 m, 8.33 m, 7.67 m, respectively.

598 For the horizontal wave barrier, D represents the depth of the barrier, W is the width of the
599 barrier, L is the length of the barrier. The horizontal wave barrier is installed behind the rigid
600 foundation with a distance equal to 2 m. The distance from the rigid foundation to the point of
601 interest is 30 m. The material parameters of the HWB are the same as those of the rigid
602 foundation. The isolation effect of the installation of the HWB can be assessed by the parameter
603 A_r (amplitude reduction ratio), which provides a quantitative evaluation of the screening effect of
604 the barrier. Its expression is given by: $A_r = A_b/A_s$, where A_b is the displacement amplitude with
605 the barrier and A_s the displacement amplitude without the barrier. For example, $A_r = 0.8$ implies
606 that 20% reduction of the vibration has been reached due to the installation of the barrier. The
607 screening effect of the HWB is studied by considering various geometric parameters, such as the
608 length L , the width W and the depth of the barrier D .



609

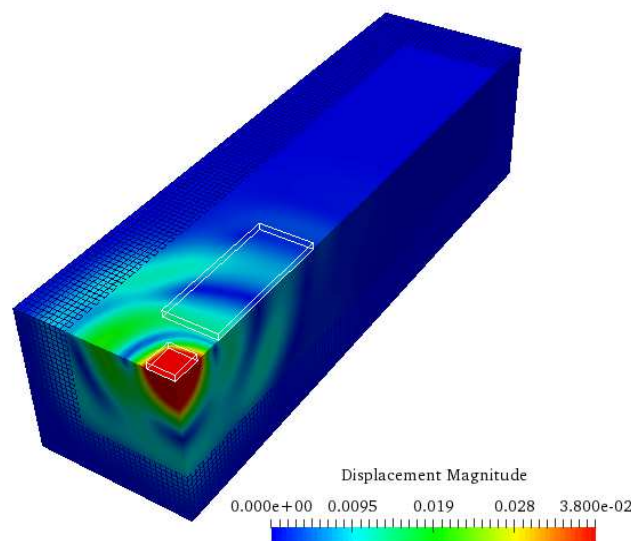
610 Fig.13 Different time integration schemes for different subdomains

611

612 Because of the different material characteristics of the coupled problem, the co-simulation
 613 strategy will be applied to satisfy the requirement of each part to achieve the best computation
 614 efficiency. The numerical model is divided into four subdomains: the bounded soil subdomain,
 615 the PML subdomain, the rigid foundation subdomain and the HWB subdomain. **Explicit time**
 616 **integration schemes** are used to simulate the wave propagation in the soil and PML subdomains
 617 as explained previously. Here, the Young's modulus of the rigid foundation and the horizontal
 618 wave barrier is 100 times **larger** than that in the soil subdomain. Consequently, the time step
 619 satisfying the CFL condition imposed by the mechanical properties of the rigid foundation should
 620 be 10 times smaller than the time step required in soil subdomain. As a result, to avoid reducing
 621 the time step, it has been chosen to treat the rigid foundation and HWB subdomains with an
 622 unconditionally stable implicit Newmark time integration scheme (Constant Average
 623 Acceleration scheme) as proposed in Brun et al. ^[31]. The four subdomains are coupled by GC
 624 coupling algorithm to **perform** explicit/implicit co-simulation. Fig.13 shows the discretization of
 625 the numerical model. **To summarize**, the three-dimensional horizontal wave barrier problem is
 626 divided into four partitions integrated in time with their own time integrator in the most efficient

627 way: soil subdomain (Newmark explicit scheme), barrier subdomain (Newmark implicit scheme),
628 rigid foundation domain (Newmark implicit scheme), and PML (Central Difference scheme). In
629 order to achieve good accuracy in predicting the propagating waves into the soil, the finite
630 element size is kept as 0.4 m x 0.4 m x 0.4 m for the soil and PML subdomains and 0.4 m x 0.4
631 m x 0.1 m for the horizontal wave barrier and soil foundation subdomains.

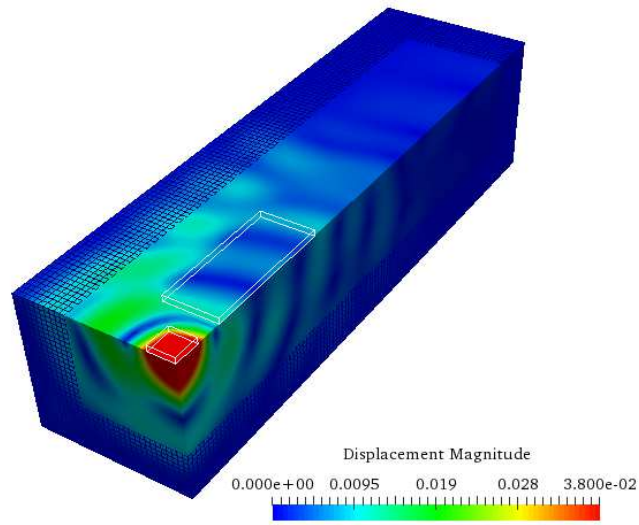
632 The snapshots of the displacement magnitude at different times for the wave propagation
633 simulation are displayed in Fig.14-16, in the case of the HWB with the dimension of 0.5 m x 4 m
634 x 10 m. It is observed that the installation of the horizontal wave barrier efficiently reduces the
635 wave intensity. No obvious reflection can be observed at the interface between the soil
636 subdomain and the PML, demonstrating very satisfactory performance of the PML. In particular,
637 as displayed in Fig. 16 in the end region of this elongated domain, the surface waves travel with
638 respect to the PML interface with a grazing incidence, without visible spurious waves. It
639 indicates a good efficiency of the proposed PML concerning the classical grazing incidence issue,
640 which has been raised in the literature when the classical split PML formulation is adopted [22,43,44,
641 45].



642

643

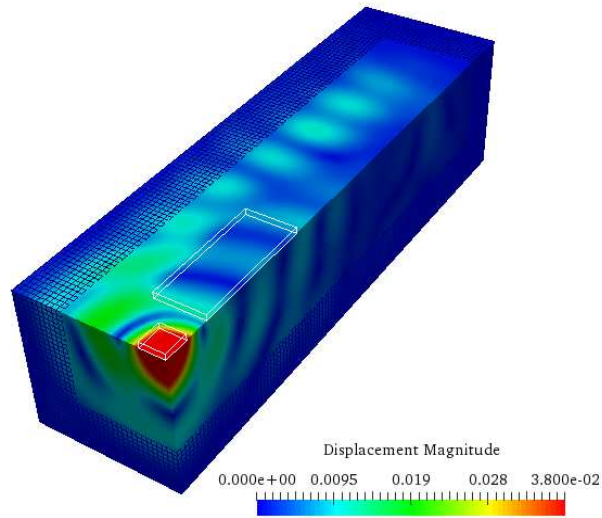
Fig.14 **Snapshot** of displacement magnitude at 0.05 s



644

645

Fig.15 **Snapshot** of displacement magnitude at 0.1 s



646

647

Fig.16 **Snapshot** of displacement magnitude at 0.15 s

648

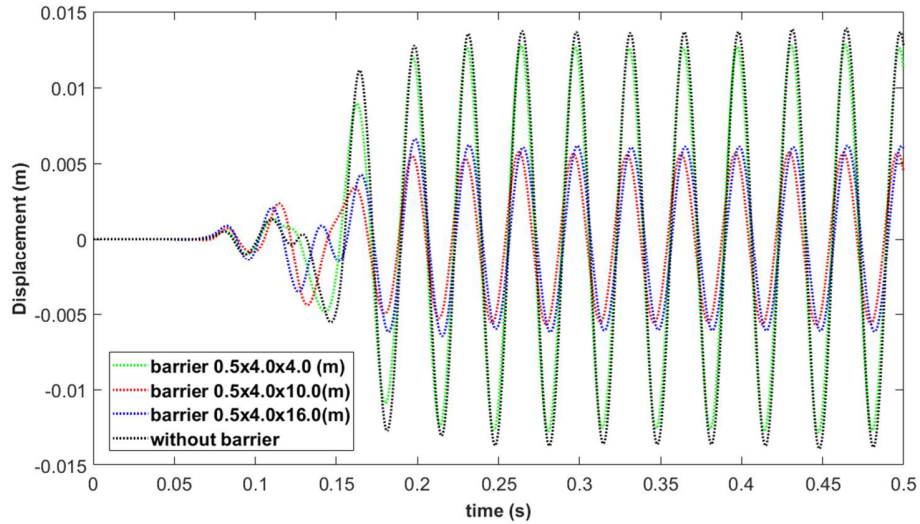
649

650

651

Fig.17 displays the time histories of the displacement at the **recording** point with different lengths L , compared to the results obtained without barrier. No obvious spurious waves are observed, highlighting the accuracy of the proposed three-dimensional PML. A length L of the

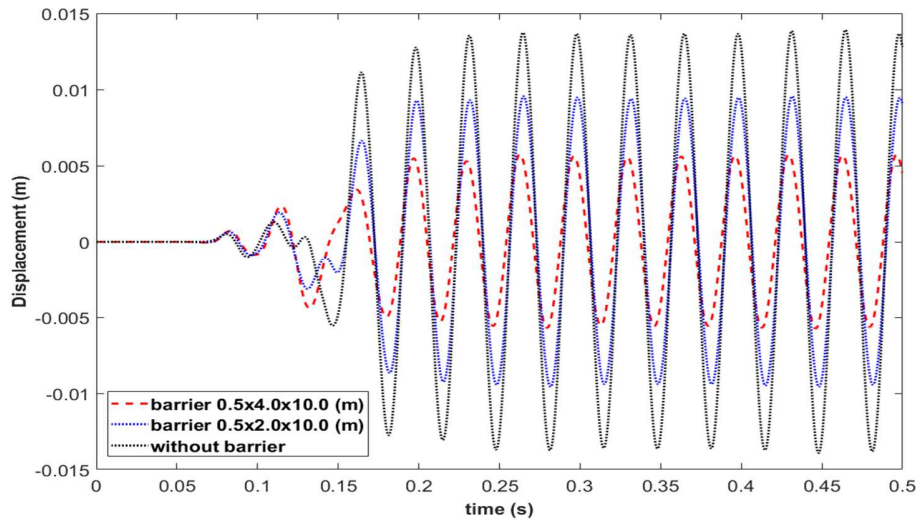
652 HWB equal to 4m is insufficient to provide a substantial screening effect, whereas 10m and 16m
653 provide significant reductions in displacement.



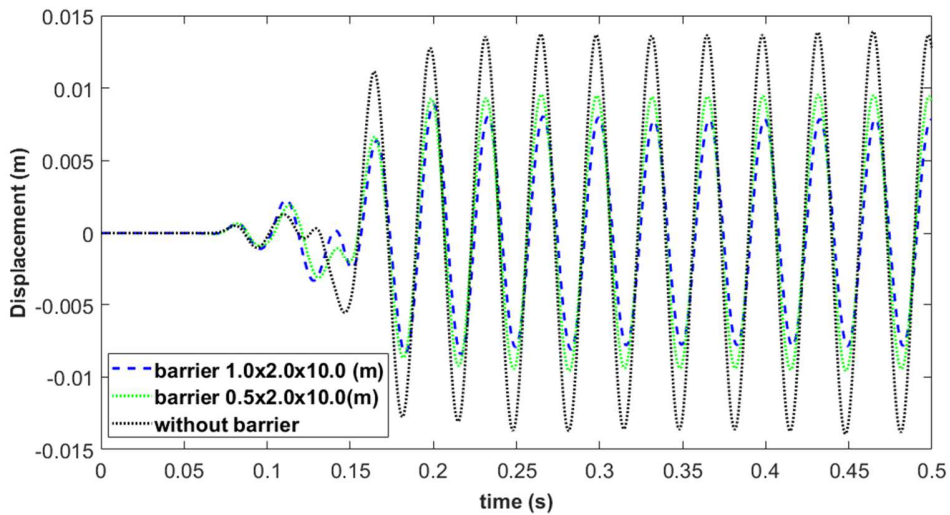
654
655 Fig.17 Time histories of the displacement at the recording point in the case of wave barriers with different
656 lengths, compared to the results obtained without barrier.

657
658 **Concerning** the influence of the wave barrier width, which cannot be studied in a simple 2D
659 simulation, we can observe in Fig.18 that, with the same length and depth, wave barriers with the
660 width equal to 4 m can reduce the wave amplitude more efficiently than the wave barriers with
661 the width equal to 2 m. It shows that it is necessary to carry out three-dimensional simulations to
662 thoroughly investigate the isolation effect of wave barriers in realistic situations.

663 The influence of the wave barrier depth on the isolation effectiveness is also studied. Fig.19
664 displays the time histories of the displacement at the recording point with two different depths,
665 compared to the results obtained without barrier. With a length equal to 10 m, the HWB is able to
666 provide a significant effect with a depth of 0.5 m. The depth parameter seems less sensitive than
667 the length and width of the HWB.



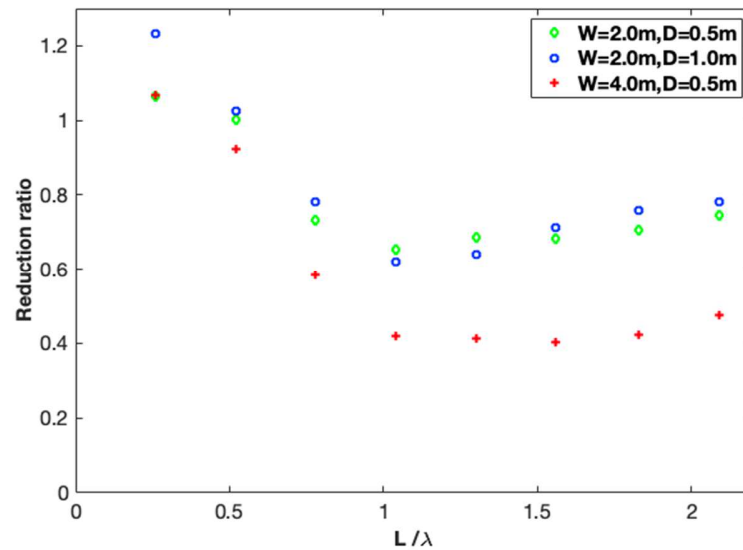
668
 669 Fig.18 Time histories of the displacement at the recording point in the case of wave barriers with different
 670 widths, compared to the results obtained without barrier



671
 672 Fig.19 Time histories of the displacement at the recording point in the case of wave barriers with different
 673 depths, compared to the results obtained without barrier.

674
 675 Finally, the screening effect of the horizontal wave barrier is investigated with various
 676 geometric parameters by plotting, the reduction ratio of the vertical displacement versus the
 677 length of the HWB, as shown in Fig.20. It can be seen that the amplitude reduction ratio A_r ,

678 decreases with the increase of length L . For a length L equal to about 8m, the reduction is optimal.
 679 Increasing the length L after this point does not seem to provide a further reduction. In addition, it
 680 can be remarked that the width of the HWB also plays an important role, contrarily to the depth
 681 of the HWB, which does not provide significant reduction when the depth is increased from 0.5
 682 m to 1 m. It has to be reminded that the Rayleigh wave length is equal to 7.67 m which
 683 approximately corresponds to the optimal length observed. As remarked in the literature [34], it is
 684 well known that the length of HWB should be chosen to be slightly longer than the Rayleigh
 685 wavelength to obtain a good isolation effectiveness.



686

687 Fig.20 Reduction ratios of the horizontal wave barrier with various geometric parameters

688

689 4. Conclusion

690 A benchmark for three-dimensional explicit asynchronous absorbing layers for modeling
 691 unbounded domains is presented. The displacement-based PML making use of the unsplit
 692 formulation is integrated using the Central Difference scheme, independently from the interior

693 subdomain, thanks to the versatility of the Heterogeneous (different time integrators)
694 Asynchronous (different time steps) Time Integrator framework. Other absorbing layers, more
695 convenient to be implemented in FE software, are also investigated and compared with the 3D
696 PML: Absorbing Layers with Increasing Damping (ALID) using viscous Rayleigh damping and
697 ALID with Kosloff damping. The introduction of Rayleigh damping in explicit dynamics reduces
698 the time step. As a result, **the** HATI framework turns out to be very useful for adopting fine time
699 steps in the Rayleigh absorbing layers while keeping the time steps unchanged in the interior
700 domain. In addition, taking fine time steps in the PML and Kosloff-ALID is not mandatory but
701 **improves the PML** accuracy at the expense of the computation time. The proposed explicit
702 asynchronous 3D PML turns out to be very efficient in terms of the accuracy and computation
703 time: its superiority over Absorbing Layers with Increasing Damping based on Rayleigh and
704 Kosloff damping formulations is highlighted. The PML 3D has been applied to 3D Soil-Structure
705 Interaction problems such as the Lamb's test and the study of the screening effect provided by **a**
706 horizontal wave barrier set up on the ground for mitigating ground surface vibration induced by
707 an excited plate. Future works are in progress to introduce nonlinear phenomena in the soil of the
708 interior subdomain in complex 3D SSI problems.

709

710 **Appendix A**

711 In the following **section**, we summarize the matrices expressed as a function of the scaling
712 and attenuation functions f^e and f^p related to the PML.

$$713 \quad \underline{\underline{F}}^e = \begin{bmatrix} 1 + f_1^e(x_1) & 0 & 0 \\ 0 & 1 + f_2^e(x_2) & 0 \\ 0 & 0 & 1 + f_3^e(x_3) \end{bmatrix} \quad \underline{\underline{F}}^p = \begin{bmatrix} f_1^p(x_1) & 0 & 0 \\ 0 & f_2^p(x_2) & 0 \\ 0 & 0 & f_3^p(x_3) \end{bmatrix}$$

$$714 \quad \underline{\underline{\tilde{F}^{ee}}} = \begin{bmatrix} f_{23}^{ee} & 0 & 0 \\ 0 & f_{13}^{ee} & 0 \\ 0 & 0 & f_{12}^{ee} \end{bmatrix} \quad \underline{\underline{\tilde{F}^{ep}}} = \begin{bmatrix} f_{23}^{ep} & 0 & 0 \\ 0 & f_{13}^{ep} & 0 \\ 0 & 0 & f_{12}^{ep} \end{bmatrix} \quad \underline{\underline{\tilde{F}^{pp}}} = \begin{bmatrix} f_{23}^{pp} & 0 & 0 \\ 0 & f_{13}^{pp} & 0 \\ 0 & 0 & f_{12}^{pp} \end{bmatrix} \quad (A.1)$$

715 with

$$716 \quad \begin{cases} f_{ij}^{ee} = [1 + f_i^e(x_i)][1 + f_j^e(x_j)] \\ f_{ij}^{ep} = [1 + f_i^e(x_i)]f_j^p(x_j) + [1 + f_j^e(x_j)]f_i^p(x_i) \\ f_{ij}^{pp} = f_i^p(x_i)f_j^p(x_j) \end{cases} \quad (A.2)$$

717 The scalar values involved **on the right-hand side** member of the equation of motion in Eq. (5)

718 are given below:

$$719 \quad \begin{cases} f_M = [1 + f_1^e(x_1)][1 + f_2^e(x_2)][1 + f_3^e(x_3)] \\ f_C = [1 + f_1^e(x_1)][1 + f_2^e(x_2)]f_3^p(x_3) + [1 + f_1^e(x_1)][1 + f_3^e(x_3)]f_2^p(x_2) \\ \quad + [1 + f_2^e(x_2)][1 + f_3^e(x_3)]f_1^p(x_1) \\ f_K = f_1^p(x_1)f_2^p(x_2)[1 + f_3^e(x_3)] + f_2^p(x_2)f_3^p(x_3)[1 + f_1^e(x_1)] \\ \quad + f_1^p(x_1)f_3^p(x_3)[1 + f_2^e(x_2)] \\ f_H = f_1^p(x_1)f_2^p(x_2)f_3^p(x_3) \end{cases} \quad (A.3)$$

720 From the weak form of the coupled problem in Eq. (9), the internal force $\mathbf{P}_{\text{int}}^e$ related to the

721 PML **domain** can be written as:

$$722 \quad \mathbf{P}_{\text{int}}^e = \int_{\Omega^e} \tilde{\mathbf{B}}^{eeT} \hat{\boldsymbol{\sigma}} d\Omega + \int_{\Omega^e} \tilde{\mathbf{B}}^{epT} \hat{\boldsymbol{\Sigma}} d\Omega + \int_{\Omega^e} \tilde{\mathbf{B}}^{ppT} \hat{\boldsymbol{\Sigma}} d\Omega \quad (A.4)$$

723 where the matrices $\tilde{\mathbf{B}}^{ee}$, $\tilde{\mathbf{B}}^{ep}$ and $\tilde{\mathbf{B}}^{pp}$ **depend** on the derivatives of the shape functions and the

724 scaling and attenuation functions of the PML previously defined. The matrices containing shape

725 function derivatives of 8-node hexahedral element combined with the previous scaling and

726 attenuation functions are expressed as below:

727

$$\tilde{\mathbf{B}}_1^{ee} = \begin{bmatrix} \tilde{N}_{I1}^{ee} & 0 & 0 \\ 0 & \tilde{N}_{I2}^{ee} & 0 \\ 0 & 0 & \tilde{N}_{I3}^{ee} \\ \tilde{N}_{I2}^{ee} & \tilde{N}_{I1}^{ee} & 0 \\ \tilde{N}_{I3}^{ee} & 0 & \tilde{N}_{I1}^{ee} \\ 0 & \tilde{N}_{I3}^{ee} & \tilde{N}_{I2}^{ee} \end{bmatrix} \quad (\text{A. 5})$$

728

$$\tilde{\mathbf{B}}^{ee} = [\tilde{\mathbf{B}}_1^{ee} \quad \tilde{\mathbf{B}}_2^{ee} \quad \dots \quad \tilde{\mathbf{B}}_8^{ee}] \quad (\text{A. 6})$$

729 The components of the **above matrices** are given for an index $i = 1,2,3$, without the
730 summation convention:

731

$$\tilde{N}_{ii}^{ee} = \tilde{F}_{ii}^{ee} N_{I,i}, \quad \tilde{N}_{ii}^{ep} = \tilde{F}_{ii}^{ep} N_{I,i}, \quad \tilde{N}_{ii}^{pp} = \tilde{F}_{ii}^{pp} N_{I,i} \quad (\text{A. 7})$$

732 $\tilde{\mathbf{B}}^{ep}$ and $\tilde{\mathbf{B}}^{pp}$ are defined **in the same manner as $\tilde{\mathbf{B}}^{ee}$** , by replacing \tilde{N}_{ii}^{ee} with \tilde{N}_{ii}^{ep} and \tilde{N}_{ii}^{pp} ,
733 respectively.

734 The Voigt notation is adopted for the stress and strain tensors, giving the following vectors:

735

$$\hat{\boldsymbol{\sigma}} = \begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{23} \end{Bmatrix} \quad \hat{\boldsymbol{\varepsilon}} = \begin{Bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ 2\varepsilon_{12} \\ 2\varepsilon_{13} \\ 2\varepsilon_{23} \end{Bmatrix} \quad (\text{A. 8})$$

736 With the constitutive relationship for an isotropic elastic medium:

737

$$\hat{\boldsymbol{\sigma}} = \mathbf{D} \hat{\boldsymbol{\varepsilon}} \quad (\text{A. 9})$$

738 \mathbf{D} is the material constitutive matrix expressed as:

739

$$\mathbf{D} = \begin{bmatrix} k + 4\mu/3 & k - 2\mu/3 & k - 2\mu/3 \\ k - 2\mu/3 & k + 4\mu/3 & k - 2\mu/3 \\ k - 2\mu/3 & k - 2\mu/3 & k + 4\mu/3 \\ \mu & 0 & 0 \\ 0 & \mu & 0 \\ 0 & 0 & \mu \end{bmatrix} \quad (\text{A. 10})$$

740 For the time stepping procedure over the time step $[t_{j-1}; t_j]$, the following additional
 741 relationships are required:

$$742 \quad \hat{\mathbf{E}}_j = \hat{\mathbf{E}}_{j-1} + \hat{\boldsymbol{\varepsilon}}_{j-1}\Delta t, \quad \hat{\boldsymbol{\Sigma}}_j = \hat{\boldsymbol{\Sigma}}_{j-1} + \hat{\boldsymbol{\sigma}}_{j-1}\Delta t, \quad \hat{\boldsymbol{\Sigma}}_j = \hat{\boldsymbol{\Sigma}}_{j-1} + \hat{\boldsymbol{\Sigma}}\Delta t \quad (\text{A. 11})$$

$$743 \quad \hat{\boldsymbol{\varepsilon}}_j = \frac{\hat{\boldsymbol{\varepsilon}}_j - \hat{\boldsymbol{\varepsilon}}_{j-1}}{\Delta t} \quad (\text{A. 12})$$

744 Using the assumptions given in Eq. (A.12), the third equation of the system in Eq. (7) leads
 745 to the expression of the strain $\hat{\boldsymbol{\varepsilon}}_j$ at the end of the time step:

$$746 \quad \hat{\boldsymbol{\varepsilon}}_j = \frac{1}{\Delta t} \left[\frac{1}{\Delta t} \hat{\mathbf{F}}^\varepsilon \hat{\boldsymbol{\varepsilon}}_{j-1} - \hat{\mathbf{F}}^Q \hat{\mathbf{E}}_{j-1} + \mathbf{B}^\varepsilon \dot{\mathbf{U}}_j + \mathbf{B}^Q \mathbf{U}_j \right] \quad (\text{A. 13})$$

747 The matrices $\hat{\mathbf{F}}^\varepsilon$ and $\hat{\mathbf{F}}^Q$, \mathbf{B}^ε , \mathbf{B}^Q depend on the derivatives of the shape functions as well as
 748 scaling and attenuation functions. We express the $\hat{\mathbf{F}}^\varepsilon$ and \mathbf{B}^ε matrices depending on the shape
 749 function derivatives as well as scaling and attenuation functions:

$$750 \quad \hat{\mathbf{F}}^\varepsilon = \begin{bmatrix} (F_{11}^\varepsilon)^2 & 0 & 0 & 0 & 0 & 0 \\ 0 & (F_{22}^\varepsilon)^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & (F_{33}^\varepsilon)^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & F_{11}^\varepsilon F_{22}^\varepsilon & 0 & 0 \\ 0 & 0 & 0 & 0 & F_{11}^\varepsilon F_{33}^\varepsilon & 0 \\ 0 & 0 & 0 & 0 & 0 & F_{22}^\varepsilon F_{33}^\varepsilon \end{bmatrix} \quad (\text{A. 14})$$

$$751 \quad \mathbf{B}^\varepsilon = \begin{bmatrix} F_{11}^\varepsilon N_{I1}^l & 0 & 0 \\ 0 & F_{22}^\varepsilon N_{I2}^l & 0 \\ 0 & 0 & F_{33}^\varepsilon N_{I3}^l \\ F_{11}^\varepsilon N_{I2}^l & F_{22}^\varepsilon N_{I1}^l & 0 \\ F_{22}^\varepsilon N_{I3}^l & 0 & F_{33}^\varepsilon N_{I1}^l \\ 0 & F_{22}^\varepsilon N_{I3}^l & F_{33}^\varepsilon N_{I2}^l \end{bmatrix} \quad (\text{A. 15})$$

752 with the matrices:

$$\underline{\underline{F}}^l = \left[\underline{\underline{F}}^p + \frac{\underline{\underline{F}}^e}{\Delta t} \right]^{-1} \quad \underline{\underline{F}}^e = \underline{\underline{F}}^e \underline{\underline{F}}^l \quad (\text{A. 16})$$

The component in \mathbf{B}^e matrix is given by: $N_{ii}^l = F_{ii}^l N_{l,i}$, for $i = 1,2,3$. Finally, $\widehat{\mathbf{F}}^{eQ}$ and \mathbf{B}^Q are similarly defined, by replacing $\underline{\underline{F}}^e$ with $\underline{\underline{F}}^Q$, defined by $\underline{\underline{F}}^Q = \underline{\underline{F}}^p \underline{\underline{F}}^l$.

The internal force is decomposed into two parts. The element-wise internal force vector \mathbf{P}_j^e can be written in terms of the element velocity $\dot{\mathbf{U}}_j^e$ and displacement vectors \mathbf{U}_j^e , related to the end of the time step, as well as a term, denoted by $\mathbf{P}(\boldsymbol{\varepsilon}_{j-1}^e, \mathbf{E}_{j-1}^e, \boldsymbol{\Sigma}_{j-1}^e, \tilde{\boldsymbol{\Sigma}}_{j-1}^e)$, known at the beginning of the time step. Finally, the element-wise internal force \mathbf{P}_j^e is written as:

$$\mathbf{P}_j^e = \left(\int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t} \mathbf{D} \mathbf{B}^e d\Omega \right) \dot{\mathbf{U}}_{j-1/2}^e + \left(\int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t} \mathbf{D} \mathbf{B}^Q d\Omega \right) \mathbf{U}_j^e + \mathbf{P}(\boldsymbol{\varepsilon}_{j-1}^e, \mathbf{E}_{j-1}^e, \boldsymbol{\Sigma}_{j-1}^e, \tilde{\boldsymbol{\Sigma}}_{j-1}^e) \quad (\text{A. 17})$$

$$\text{with } \mathbf{P}(\boldsymbol{\varepsilon}_{j-1}^e, \mathbf{E}_{j-1}^e, \boldsymbol{\Sigma}_{j-1}^e, \tilde{\boldsymbol{\Sigma}}_{j-1}^e) = \left(\int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t^2} \mathbf{D} \mathbf{F}^e \boldsymbol{\varepsilon}_{j-1}^e d\Omega \right) - \left(\int_{\Omega_e} \tilde{\mathbf{B}}^T \frac{1}{\Delta t} \mathbf{D} \mathbf{F}^Q \mathbf{E}_{j-1}^e d\Omega \right) + \left(\int_{\Omega_e} \tilde{\mathbf{B}}^{epT} \boldsymbol{\Sigma}_{j-1}^e d\Omega \right) + \left(\int_{\Omega_e} \tilde{\mathbf{B}}^{ppT} \tilde{\boldsymbol{\Sigma}}_{j-1}^e d\Omega \right) + \left(\int_{\Omega_e} \tilde{\mathbf{B}}^{ppT} \Delta t \boldsymbol{\Sigma}_{j-1}^e d\Omega \right) \quad (\text{A. 18})$$

and the matrix $\tilde{\mathbf{B}}$ is defined as a function of the previous matrices $\tilde{\mathbf{B}}^{ee}$, $\tilde{\mathbf{B}}^{ep}$ and $\tilde{\mathbf{B}}^{pp}$ and the time step as follows:

$$\tilde{\mathbf{B}} = \tilde{\mathbf{B}}^{ee} + \Delta t \tilde{\mathbf{B}}^{ep} + \Delta t^2 \tilde{\mathbf{B}}^{pp} \quad (\text{A. 19})$$

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