

Evolution of SARS-CoV-2: Review of Mutations, Role of the Host Immune System

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1	Evolution of SARS-CoV-2: review of mutations, role of the host immune system
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51 ABSTRACT

52 Since the reporting of the first cases of Covid in China and the publication of the first 53 sequence of SARS-CoV-2 in December 2019, the virus has undergone numerous mutations. 54 In Europe, the spring outbreak (March-April) was followed by a drop in the number of cases 55 and deaths. The disease may have evolved into a milder form. The increase in PCR-positive 56 cases in late summer 2020 did not lead to the expected increase in hospitalizations. ICU 57 admissions and deaths, based on the severity of the disease in the spring. This difference in 58 disease severity could be due to factors independent of the virus or to the evolution of the 59 virus.

60 This review attempts to identify the mutations that have appeared since the beginning 61 of the pandemic and their role in the temporal evolution of the pandemic.

There is a cell and humoral type cross-reactivity in a large part of the population to common cold coronaviruses (HCoVs) and SARS-CoV-2.

Evolutionarily important mutations and deletions have emerged in the SARS-CoV-2 genes encoding proteins that interact with the host immune system. In addition, one of the major mutations (in viral polymerase) is logically associated with a higher frequency of mutations throughout the genome. This frequency fluctuates over time and shows a peak at the time when the epidemic was most active. The rate of mutations in proteins involved in the relationship to the immune system continues to increase after the first outbreak.

The cross-reactivity on the one hand and the viral mutations observed on the other hand could explain the evolution of the pandemic until the summer of 2020, partly due to the evolution of the virus in relation to the host immune system.

73 The immunization campaign began in December 2020: concerns are emerging about a 74 possible escape of the circulating variants vaccines in early 2021. These variants could also 75 escape immunity acquired through infection with the 2020 strains.

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104 EVOLUTION OF THE EPIDEMIC

105 The SARS-Cov-2 pandemic has been occurring in several phases since the emergence 106 of the virus in China at the end of 2019. In Europe, the spring outbreak (March-April) was followed by a decrease in the number of cases and deaths. According to some physicians who 107 108 treated Covid-19 patients during the consecutive phases of the outbreak, the virus is believed 109 to have evolved into a milder phenotype from the end of May 2020. But several factors 110 independent of the virus could have impacted the case-fatality rate (number of deaths/case 111 numbers). At the beginning of 2020, testing capabilities were poorly developed. Mask use 112 was not widespread and its use became widespread late, which may have reduced the inoculum of infected persons and the severity of cases, and the clinical management of 113 114 COVID-19 had to improve over time. 115 However, this is not the case everywhere: according to the IHU Marseille (France) [1], the 116 mortality of patients hospitalized since mid-June is lower than that of the March-April phase. 117 This cannot be due to a bias in the comparison of the mortality rate between these two periods

because the strategy of testing and clinical and therapeutic management has remained the

119 same Figure 1.





- 121 Figure 1 (from Colson et al. [1] : Number of PCR tests, positive diagnoses, and deaths from
- 122 February to September.
- 123 A. Number of PCR tests performed at IHU Méditerranée Infection;
- 124 B: Number of PCR- positive patients performed at IHU Méditerranée Infection;
- 125 C: Number of deaths among SARS-CoV-2-positive patients in Marseille public hospitals
- 126 (Assistance Publique-Hôpitaux de Marseille).
- 127
- 128 In Philadelphia (Pennsylvania), where the massive testing campaign started late, in contrast to
- 129 Marseille (France), the curves of positive cases, hospitalizations and deaths also show this
- 130 epidemic peak in March-April followed by a very clear decline. The increase in
- 131 hospitalizations and deaths at the end of the summer is also not proportional to the number of
- 132 infections, fig2.



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Figure 2: (https://www.phila.gov/programs/coronavirus-disease-2019-covid-19/testing-and-135 data/#/) Number of tests performed, infections, hospitalizations and deaths between March 136 and November 2020, Philadelphia, Pennsylvania.

138 According to Ghayda *et al.* [2], who evaluate the best way to estimate the case-fatality rate

139 dynamically as the epidemic evolves, the case-fatality rate would appear to decrease

140 regardless of the evaluation method.Fig.3.



- 141
- 142 Figure 3 : Timeline of CFR worldwide among countries with COVID-19 reports until August
- 143 12, 2020: (A) According to date and (B) According to days since the first confirmed case.
- 144 COVID-19: Coronavirus 2019, CFR: case fatality rate, Fixed: fixed-effect model, Random:
- random-effect model, Pooled: calculated CFR based on incidence and mortality data, N:
- 146 number. Gayda *et al*. [2]
- 147 Wesley et al [3] have proposed that RNA viruses undergo natural genetic attenuation that
- 148 contributes to the transient nature of pandemics caused by these viruses. Hygiene measures

- 149 (such as the physical distancing provided by wearing masks) and host immune defences
- 150 (partial immunity that may result in the case of Covid-19 from cross-reactivity with HCoVs)
- 151 would reduce inoculum levels and accelerate the mutation rate, which should result in greater 152 bottlenecks and accelerated decline.
- 153 The emergence of another human coronavirus, HCoV-OC43 has been proposed to be linked
- 154 to a host change around 1890, a time that coincides with a pandemic of respiratory disease in 155 humans (4).
- 156 Could SARS-CoV-2, responsible for Covid-19, follow this evolutionary path as suggested by Benedetti et al.[5]?
- 157 158
- 159 160

CROSS REACTIVITY BETWEEN SARS-CoV-2 AND HCoVs

161 Cross-reactivity to common cold viruses and SARS-CoV-2 is now established [6]. 162 This reactivity is logically directed against antigens common to all coronaviruses and not against SARS-CoV-2 specific antigens. These common antigens are found on the structural 163 164 proteins N, M and Spike and also on non-structural proteins (NSPs, including viral RNA 165 replication enzymes). Important differences in cellular reactivity to coronavirus epitopes are 166 found between exposed and unexposed individuals and between severely affected and asymptomatic or poorly affected individuals. According to Grifoni et al. [7], the unexposed 167 168 preferentially (compared to the exposed) reacts to ORF1 proteins (open reading frame = area 169 of the genome that codes for many overlapping proteins) whereas the exposed recognize 170 structural proteins; according to Mateus *et al.* [8], epitopes of M (the membrane protein) are 171 not recognized by the unexposed, whereas they are recognized in a robust way in Covid 172 (CD4+) cases. Li et al. [9], also did not find the same type of cellular response according to 173 the severity of the disease in 2003 SARS-CoV.

174 Non-structural proteins are highly conserved among coronaviruses. According to Le 175 Bert et al. [10], exposed but uninfected individuals would develop cellular reactivity towards 176 NSPs involved in viral RNA replication, in particular NSP1 (encoded by the Orf1 region). Indeed this protein is essential for virus replication, and is therefore expressed first. 177 178 Therefore, ORF1-specific T cells could hypothetically interrupt viral production by lysing 179 SARS-CoV-2 infected cells before the formation of mature virions. NSP1 is involved in 180 escape to the host immune system (it blocks innate immunity and interferon synthesis)[11]. 181 While most of the scientific literature assumed pre-existing T cells could be beneficial, there is also the possibility that pre-existing immunity might actually be detrimental (Sette and 182 183 Crotty [12]. According to Bacher et al. [13], cross-reactive T cells have a low avidity, 184 negatively impacting the response quality against neoantigens such as SARS-CoV-2 and 185 inappropriate immune reactions. But these authors suggest that pre-existing memory T cells 186 targeting HCoVs epitopes and having a low cross-reactivity with SARS-CoV-2 epitopes 187 could prevent the activation of naïve T cells and the selection of high affinity clones. This is 188 inconsistent with the documented presence of cross-reactive T cells in all donors exposed or 189 unexposed to Covid-19 and with the fact that the majority of individuals infected with SARS-190 CoV-2 have a mild or asymptomatic infection. If there is a harmful role for these low affinity 191 memory T cells it appears to correlate with the immunological age of the patient. Moreover, 192 according to Sagar et al. [14], a recent HCoV infection would protect against a severe Covid. 193

194 According to Kevin et al. [15], antibodies directed against the S2 subunit of the spike protein 195 of SARS-CoV-2 would pre-exist in many uninfected subjects, they would come from 196 previous HCoV infections. In Africa, where Covid has had little effect, Tso et al. [16], find a

197 predominance of cross-reactive antibodies directed against the N protein. The prevalence of 198 these antibodies is 6-8 times higher than that found in the USA where the incidence and 199 morbidity of Covid has been higher.

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203 EVOLUTION OF THE VIRUS

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It seems that the emergence of the virus dates back to late summer 2019 in China, and that the virus entered the West as early as October 2019 (or earlier?). This is suggested by Li Y. et al.
[17] based on mutation analysis. Van Dorp et al. [18] indicate that the disease spread

worldwide probably from the beginning of the pandemic. Chaw et al. [19] also believe that

SARS- CoV-2 circulated cryptically well before the late 2019 outbreak in China, Gambaro F
 et al. [20] say the same for France.

A hypothesis on the origin of SARS-CoV-2, discussed by Sallard et al. [21], proposes that

212 SARS-CoV-2 is derived from a virus that appeared in a mine in China in 2012, was collected

in a laboratory and may have escaped from it during manipulations in 2018 or 2019. This

214 hypothesis could account for the circulation of the virus before the outbreak, during this early

215 period the virus could have undergone undetected mutations. Thus, there would be a lack of

archives from the early period of the pandemic and mutations that occurred during this period

would have gone unnoticed. Moreover, only a few tens of thousands of sequences have been 120

analyzed out of the 34 million suspected cases of Covid-19. Of the 295 000 published sequences (Gisaid https://www.gisaid.org) a minority were analyzed for their biological and

evolutionary significance. It will therefore be necessary to follow in the coming months the

221 publications on late phase isolated sequences.

What are the main mutations found on SARS-CoV-2 compared to the first published Chinese

sequence dated December 2019? This work will be mainly limited to the first two phases of the pandemic (from December 2019 to summer 2020).

Genome	Protein	Mutated	Remark	Role or assumed role	
region		nucleotide			
Leader 5'UTR		C251T	G clade	not transcribed	d
		Mutated			
		amino-acid			
orf1ab	NSP1		excess of	Immune evasi	on
			mutations		
	NSP2	T265I			
	NSP3	F106F	G clade	inflammasome	
			silent	interaction	
	NSP6	L37F	V clade	innate immuni	ty
		L3606F		interaction	
	NSP12	P323L	G clade	RNAdependentR	NApolymerase
	NSP13	P504L		Helicase	
		Y541C			
	NSP14			Exonuclease p	roofreading
Spike	S	D614G	not in RBD	increase infect	ivity
			G clade	increase viral load URT	
		N439K	in RBD	increase infectivity	
		deletions			
orf3a	viroporin	Q57H	USA	cellular release of virus	
		G215V	V clade (China)	replication	
				virulence	
orf8	ORF8	L84S	S clade	host immune i	response
		deletions		immunogenic	protein
Nucleocapside	Ν	R203K	GR clade	increase infect	ivity
		R202K	these 3 muta-	and replication	า
		G204R	ions are linked		
orf10		deletions		non coding reg	jion
				not essential	

5 Table 1: Main mutations and deletions.

227 228 Deletions

They are mainly found in regions coding for proteins that interact with the host response and in the spike protein.

231 A hotspot of deletion in the Nsp1 region has been found in several countries, 232 suggesting that it is due to potential convergent evolution. Particularly the Δ 500-532 is 233 correlated with lower viral load, non severe traits and lower serum IFN- β , with possible 234 implications for proper immune response against SARS-CoV-2 infection [22]

In April 2020 [23], a deletion is found in the orf7 region (Orf = open reading frame) from the original sequence. It concerns a region that could be important for the adaptation of the virus to humans (because it is close to the Orf8 region identified in 2003 for SARS-CoV-1 [24].

Between January and February 2020, a team from Singapore recovered a deletion of
382 nucleotides in the Orf8 region [25]. The authors suggest that the deletion could lead to an
attenuated SARS-CoV-2 phenotype. This mutation then disappeared after March 2020.
Hospitalized patients with this mutation had less severe Covid than those without it. The

243 Orf8 region is believed to be involved in immune evasion.

Patients carrying this variant have better T cell responses and higher production of gamma interferon. The proteins produced by *orf8* are highly immunogenic and induce early antibody synthesis during the disease. The absence of these proteins in patients carrying the mutation could explain the lesser inflammatory response.

This deletion could have been selected by the pressure of the host immune system:
Muth D. *et al.* had already proposed this for a deletion in ORF8 found on a strain of SARS-

250 CoV-1 [24].

- 251 McCarthy *et al.* highlight [26] the importance of deletions in immune evasion on the
- 252 evolutionary trajectory of SARS-CoV-2 to an endemic virus. According to Parvez et al. [27]
- and Rahman *et al.* [28], deletions in ORF7, ORF8 and ORF10 found in Bangladesh were
- associated with reduced virulence. According to Liu et al. [29], isolates containing deletions
- in or near the furin polybasic site of the spike protein have been associated with mild or
- asymptomatic outcome. Variants with deletions may be under-represented in the databases
- due to their low frequency and thus their elimination, Nagy *et al.* [30]. Moreover, very few
- complete sequences have been deposited at GISAID, Wang R et al. [31].
- 259
- 260 Observed mutations

The selected point mutations (SNPs) would be in epistatic interaction: they are the signatures
of the observed clades which present distinct spatial and temporal dynamics [32]. According
to Rice *et al.* [33], untranscribed regions are subject to selection (*orf10* for example).
According to Nagy *et al.* [30], the absence or modification of a NSP and non-transcribed
regions can influence the expression of the viral genome and have as much effect on
pathogenicity as non-synonymous mutations.

Below will be listed possible correlations between point mutations and disease severity and/or infectivity. But it should be kept in mind that SNPs have co-evolved to form the clades that have become dominant and these associations of synonymous and nonsynonymous mutations and deletions could strongly influence fitness and virulence.

- 271
- 272 Mutations on the Spike protein (D614G and others)

The D614G mutation (located outside the RBD-receptor binding domain) resulted in the replacement of an asparagine by a glycine on the C-terminal part of the surface spike protein. This mutation never appears alone but is part of a haplotype of 4 mutations (including those that alter NSP12 (NSP = non-structural protein), 5' TRU, and silently NSP3), which constitute the clade G originating from China and established in Europe [34]

constitute the clade G originating from China and established in Europe [34].

It is not certain that this mutation increases infectivity but it is now accepted that it does not increase the severity of the disease [35]. However, in April 2020 (first phase of the pandemic) Becerra-Flores and Cardozo noted a correlation between the frequency of the D614G variant and the case-fatality rate [36], although this kind of analysis can be complicated by different availability of testing and care in different nations [35]. Wesley et al. [37] validate previous studies showing that patients infected with variant D614G have higher viral loads in the upper respiratory tract without worsening the disease. Plante et al. propose that strains carrying

- 285 D614G would be less adapted to the lower respiratory tract [38].
- Other mutations appeared during the summer in the spike protein, in particular N439K in
 RBD: according to Chen J et al. [39], the most frequent mutations in the spike (including
 D614G, N439K and S477N) increase its transmissibility. A strain with D614G associated
 with mutations at RBD is more infective and resistant to some neutralizing antibodies with
- 290 obvious implication for the recovery of COVID-19 patients [40].
- 291
- 292 Mutations related to the host immune system
- 293

Wang R et al. [41] show by studying C>T mutations in the viral genome that about 65% of

these are imposed by the host immune response: the APOBEC system (apolipoprotein B

- 296 mRNA editing enzyme, catalytic polypeptide-like) edits the viral genes and in return T>C
- 297 mutations are caused by the virus' protection mechanism, T>C.

298 This C>T ratio increases with age (older people are more affected) and may also explain the

299 differences in the populations' level of infection (Africans and Oceanians are less affected by

this mutation and the epidemic in their geographical area has been less severe than

301 elsewhere).

According to Rice *et al.* [33], this codon bias could be a route to attenuation of the virus through reduced immunogenicity.

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Jaroszewski et al. [42] showed an excess of mutations in proteins Nsp1, Nsp2 and in Orf3a, Orf8b. Orf14 (involved in virus-host interactions) compared to other sites. The spike, the

membrane M protein and RdRp (RNA dependent RNA polymerase virale = Nsp12)

308 show a lower rate of false-sense mutations compared to the others: this would be due to the

309 purifying selection effect given the importance of RdRp for the biology of SARS-CoV-2.

The Nsp1 protein allows immune evasion of the virus [11] a mutation in this location can

311 make the virus vulnerable to immune clearance. Nsp1 plays a role in inhibiting host RNA

expression [43] and in IFN-β response, as seen above [22]. Exposed and unexposed
 individuals have a different T cell response to the NSP1 protein [10].

NSP3 could be involved in the cytokine releasing inflammation observed in severe Covid;

this protein would interact with the inflammasome (protein complex involved in inflammation

and innate immunity) and in particular through its hypervariable part. The expression of the

317 NSP3 protein in IFN-activated macrophages (IFN : interferon) would indirectly promote

prolonged pro-inflammatory expression of IFN-stimulated genes. This would participate in

the cytokine storm characteristic of severe cases of COVID-19 [44].

320 The synonymous mutation in NSP3 (F106F) has co-evolved with the other signature

321 mutations of clade G and could, although silent, affect the fitness of the virus [34].

According to Wang R *et al.* [45], the NSP6 L37F mutation, frequently found at the beginning

323 of the pandemic, mainly in Asia, is associated with a high frequency of asymptomatic cases.

However, it disappeared in the later phases of the pandemic and belonged to the GISAID

clade V [46]. NSP6 decreases the autophagic capacity of infected cells, which provides an

innate defense against viral infections. This capacity also promotes cell death and morbidity.
 The Q57H mutation is found on the Orf3a region, Wang R *et al.* [47].

ORF3a proteins are involved in apoptosis and activate the inflammasome. The ORF8 protein

is involved in immune system evasion: the temporal evolution of the L84S mutation, which

mainly appeared in the USA and is associated with two other helicase mutations (NSP13,

P504L, Y541C), follows the evolution of deaths in the first phase of the epidemic (March to
 June 2020). The Y541C and P504L mutations would prevent the SARS-CoV-2 from

interacting effectively with the host interferon signaling molecules and NSP13 from
 participating effectively in the replication/transcription process.

On the contrary, according to Nagy *et al.* [30], mutations associated with a lower result were localized in the surface (S) glycoprotein, in RoRp, in exonuclease 3'-5', in ORF3a, NSP2 and N. Mutations associated with a low result were localized in ORF8, NSP6, ORF3a, NSP4 and

338 in nucleocapsid phosphoprotein N. However, only isolates associated with clinical data were

studied (10% of the total published sequences) and the geographical distribution of the

340 sequences obtained is unbalanced (very few come from America and Africa, the majority

- 341 from Asia). This could bias the results.
- As Wang *et al.* [45], Banerjee *et al.* [48] and Pachetti *et al.* [49] find spatial-temporal
- variations as well as Zhao *et al.*[50] for whom the incidence of some of these sites decreased

after reaching a (often local) peak. This could indicate a potential signal for positive selection

in genes encoding ORF1ab and structural proteins. Mutations in NSP6, NSP13, ORF3a and

ORF8 show high-frequency peaks at the beginning of the epidemic and only in some regions,

but have subsequently declined sharply and are now low.

348 On the other hand, the frequency of mutations in Nsp2, Nsp12, ORF3a 57, N and S has 349 increased since their introduction into the viral genome. They are present in great abundance 350 in the second half of March, either globally or in certain continents.

351 Eskier et al. [51] also study the temporal evolution of the co-mutations of the G and GH

352 clades, unlike Europe, North and South America, where RdRp-P323L became the dominant

353 form with its co-mutations, RdRp323 and its co-mutations remained the minor form in Asia. 354 which may explain the epidemiological differences between these continents.

355 The results suggest that SARS-CoV-2 genomes with the RdRp-323 mutation are 1.5 times

356 more likely to have high mutation rates in other parts of the genome in terms of time and

357 location: a more error-prone mutant RdRp should increase viral genetic diversity and allow

358 the virus to spread under different selective pressures in different populations. 359

Mutations in NSP14, an error-correcting protein exonuclease [41] are most strongly

360 associated with an increased mutation load throughout the genome.

361 Finally, there is a relationship between SARS-CoV-2 mutation densities and the dynamics of viral transmission in human populations, when the number of new daily cases started to reach 362 363 a plateau. The increase in mutation density ended when the number of new daily cases began 364 to reach a plateau. But synonymous and non-synonymous mutations in the S and orfla genes 365 continued to accumulate until the number of cases and deaths declined sharply. According to Alam et al. [52], the progression of the pandemic and the number of deaths is correlated over 366 367 time with the frequency of G clades, and then GH and GR derived from it. The exponential 368 increase in deaths during the first phase of the pandemic is correlated with the frequency of G 369 clade except in the Western Pacific zone. The GR clade is significantly associated with a low 370 death/case ratio. The progression of the disease and the death/case ratio leads to infer an 371 adaptation of the virus (fitness would compromise the virulence of the virus).

372 Tomaszewki et al. [34] also studied the rate of mutations in the SARS-CoV-2 genome 373 between January and May 2020. Between January and April, the mutation rate increased and 374 then slowed for Spike and NSP12 and NSP13. Conversely, certain regions of the genome 375 have a mutation rate that continues to increase after April: this concerns the N protein 376 (particularly the R203K and G204R mutations) and viroporin 3a (encoded by orf3a, Q57H 377 and G251V mutations). These viral molecules can subvert the immune response, in particular 378 the response to interferon: protein N, protein 3a and NSP6 are antagonists of interferon β 379 which operate in coronavirus diseases.

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381 EXPLANATORY ASSUMPTIONS

383 As demonstrated by Wang et al. [41], the majority of SARS-CoV-2 mutations are imposed by 384 the APOBEC antiviral defense system and have a priori no adaptive value. The evolution of 385 emerging viruses results from selective pressures (adaptation to the host immune system, 386 increased transmissibility) but most mutations are selectively neutral or slightly deleterious. 387 According to Chen *et al.* [39], mutations on the spike protein all increase transmissibility. 388 As described above, no single SNP studied in isolation is clearly associated with a difference 389 in disease severity. However, deletions on proteins interacting with the immune system could 390 easily go unnoticed (on ORF7 and 8). Clades that have become dominant are characterized by 391 associations of synonymous and non-synonymous mutations (and possibly deletions): positive 392 selection could have selected these associations. For example, the silent mutation (because on 393 an untranscribed region) on the leader 5'UTR (C251T) is part of the signature of the clade G 394 that has rapidly become dominant overall [52]. 395 In addition to the pressure on transmissibility, the selective pressure that can act globally on

the evolution of the virus is that of innate and pre-existing immunity (perhaps by cross-396

397 reaction with other coronaviruses). Indeed adaptive immunity plays a much less important 398 role because it appears later, once the infected person has been able to eventually transmit the 399 virus.

400 Severely ill people fought the virus ineffectively, but those exposed but not ill destroyed most

401 of the infecting virus and were able to select the less virulent (less efficiently replicating)

402 forms not affected by the innate immune system. Indeed, immunopathological phenomena

403 seem to be responsible for the severity of the disease [6]. Virions that stimulate these

404 phenomena less, by interacting less with innate immunity, would be selected and the virus 405

would evolve towards a benign phenotype.

406 Most of the available sequences were isolated from severely ill patients [20].

407 In asymptomatic patients (the majority of infected individuals), few complete sequences are

408 isolated and therefore little is known about the mutations responsible for this attenuation. But 409 we can assume that these "less agressive" viruses are finally the ones that circulate the most in

410 the general population now, to the point of completely supplanting the "more aggressive"?

411 The work of Eskier et al. [51] Wang et al. [45] and Tomasezwski et al. [34] would tend to

412 show that mutations favoring the virus can also disadvantage it: RdRp makes more errors

413 when it is faster. If these mutations are associated with mutations on the Nsp14 that repairs

414 these errors, mutations in structural proteins may accumulate that could also explain the

415 decline of the epidemic. The variation in the mutation rate of the dominant strains in Europe

416 and the USA is correlated with the temporal evolution of the epidemic : this concerns

417 mutations related to the immune system especially in the declining phase of the epidemic.

418

419

420 CONCLUSION

421

422 It is not impossible that the known common cold coronaviruses, when they jumped from 423 animals to humans (they are all originally responsible for zoonoses), began their evolutionary 424 course with a pandemic like Covid-19. But at the time, the means of investigation in virology 425

and molecular biology did not exist and were not identified.

426 There is a cross-reactivity of cellular and humoral type in a large part of the population to

427 common cold coronaviruses and SARS-CoV-2. Vijgen et al. [4] proposed that the common

428 cold coronavirus HCoV-OC43 may have followed the same trajectory as the SARS-CoV-2: a

429 species jump resulting in a pandemic, followed by an evolution to a more benign common 430 cold coronavirus.

431 This evolution seems to be common to emerging viruses (viral attenuation). Evolutionarily

432 important mutations have appeared in the SARS-CoV-2 genes encoding proteins that interact

433 with the host immune system. One of the major mutations (in viral polymerase) is logically

434 associated with a higher frequency of mutations throughout the genome. This frequency

435 fluctuates over time and peaks at the epidemic peak in the spring of 2020.

436 Cross-reactivity on the one hand and the viral mutations observed on the other hand could

437 explain the evolution towards a benign phenotype of SARS-CoV-2, in part by evolution of the 438 virus against the host immune system, at least until the summer of 2020.

439 Sustained attention will need to be paid to mutations that could reduce the efficacy of

440 vaccines (directed against spike protein) [53] and PCRs depending on the probes used [54].

441 In December 2020 a new variant was identified in the UK (VUI 202012/01 or B.1.17),

442 defined by multiple mutations in the spike protein ($\Delta 69$ -70, $\Delta 144$, N501Y, A570D, D614G,

443 P681H, T716I, S982A, D1118H). This variant would not be associated with more severe

444 infections, would not preferentially affect an age group compared to previously circulating

445 viruses, but being more contagious it resulted in January 2021 in the highest mortality rate in

446 the UK since the start of the pandemic [55].

447 The B.1.1.7 variant is unlikely to escape recognition by antibodies generated by prior 448 infection or the vaccines [56], although a recent report suggested that the full set of Spike 449 mutations present in the B.1.1.7 variant may reduce the neutralizing activity of the Pfizer 450 vaccine BNT162b2 [57]. 451 In December 2020 the variant B.1.351 (501Y.V2) was first isolated in South Africa, it carries 452 8 characteristic mutations in the spike protein [58] and may have increased transmissibility, 453 but no change in disease severity has been shown to date. It has been identified in several 454 European countries [59]. 455 Of note, recent statements by Johnson & Johnson [60] and Novavax [61] reported that vaccine 456 efficacy may be reduced against the South Africa variant. Viral neutralisation by sera induced 457 by the ChAdOx1 nCoV-19 coronavirus vaccine against the B.1.351 coronavirus variant were 458 substantially reduced when compared with the original strain of the coronavirus [62]. 459 The P.1 variant has so far only been identified in Brazil, and in travelers from Brazil reported 460 in Japan and South Korea. This variant includes 20 unique mutations, some of which could be 461 responsible for an escape of the antibodies [63]. 462 Several data are emerging regarding the effect of SARS-CoV-2 mutation on neutralizing 463 antibodies in convalescent patients with possible implication for disease recurrence. Lineage 464 501Y.V2 exhibits complete escape from three classes of therapeutically relevant monoclonal 465 antibodies [64], and serum antibodies [65]. In lineages carrying the E 484 mutation (present 466 in South Africa and Brazil variants), neutralization by some sera is reduced >10 fold [66]. 467 468 469 470 471 472 473 REFERENCES 474 475 1 Colson P, Levasseur A, Delerce J, Chaudet H, Bossi V, Ben Khedher M, et al. Dramatic 476 increase in the SARS-CoV-2 mutation rate and low mortality rate during the second epidemic 477 in summer in Marseille. Preprint IHU 2020; https://doi.org/10.35088/68c3-ew82 478 479 2 Ghayda RA, Lee KH, Han YJ, Ryu S, Hong SH, Yoon S, Jeong GH, Lee J, Lee JY, Yang JW, Effenberger M, Eisenhut M, Kronbichler A, Solmi M, Li H, Jacob L, Koyanagi A, Radua 480 481 J, Shin JI, Smith L. Estimation of global case fatality rate of coronavirus disease 2019 482 (COVID-19) using meta-analyses: Comparison between calendar date and days since the 483 outbreak of the first confirmed case. Int J Infect Dis. 2020 Nov;100:302-308. 484 485 3 Brewer W.H., Smith F.D. and Sanford J.C. Information Loss: Potential for Accelerating 486 Natural Genetic Attenuation of RNA Viruses. Biological Information. July 2013, 369-384 487 488 4 Vijgen, L., Keyaerts, E., Moes, E., Thoelen, I., Wollants, E., Lemey, P., Vandamme, A.M., 489 Van Ranst, M., 2005. Complete genomic sequence of human coronavirus OC43: molecular 490 clock analysis suggests a relatively recent zoonotic coronavirus transmission event. J. Virol. 491 79, 1595-1604. 492 493 5 Benedetti F, Pachetti M, Marini B, Ippodrino R, Ciccozzi M, Zella D. SARS-CoV-2: March 494 toward adaptation. J Med Virol. 2020 Jun 29:10.1002/jmv.26233. 495

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