



**HAL**  
open science

# One level density of low-lying zeros of quadratic Hecke L-functions to prime moduli

Peng Gao, Liangyi Zhao

► **To cite this version:**

Peng Gao, Liangyi Zhao. One level density of low-lying zeros of quadratic Hecke L-functions to prime moduli. Hardy-Ramanujan Journal, 2021, Volume 43 - Special Commemorative volume in honour of Srinivasa Ramanujan - 2020, pp.173-187. 10.46298/hrj.2021.7461 . hal-03208534

**HAL Id: hal-03208534**

**<https://hal.science/hal-03208534>**

Submitted on 26 Apr 2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# One level density of low-lying zeros of quadratic Hecke $L$ -functions to prime moduli

Peng Gao and Liangyi Zhao

**Abstract.** In this paper, we study the one level density of low-lying zeros of a family of quadratic Hecke  $L$ -functions to prime moduli over the Gaussian field under the generalized Riemann hypothesis (GRH) and the ratios conjecture. As a corollary, we deduce that at least 75% of the members of this family do not vanish at the central point under GRH.

**Keywords.** low-lying zeros, one level density, quadratic Hecke  $L$ -function

**2010 Mathematics Subject Classification.** 11M06, 11M26, 11M50

## 1. Introduction

The low-lying zeros of families of  $L$ -functions have important applications in problems such as determining the size of the Mordell-Weil groups of elliptic curves and the size of class numbers of imaginary quadratic number fields. For this reason, much work (see [FI03, ILS00, DM09, HM07, RR11, Ro01, BZ08, H-B04, Br92, Mi04, Yo05a, Gü05a, Du06, HR03]) has been done towards the density conjecture of N. Katz and P. Sarnak [KS99a, KS99b], which relates the distribution of zeros near the central point of a family of  $L$ -functions to the eigenvalues near 1 of a corresponding classical compact group.

In this paper, we focus on  $L$ -functions attached to quadratic characters. For the family of quadratic Dirichlet  $L$ -functions, this is initiated by A. E. Özlük and C. Snyder in [ÖS99], who studied the 1-level density of low-lying zeros of the family. Subsequent investigations were carried out in [Ga14, Ru01, Mi08], in which the cardinalities of families considered all have positive densities in the set of all such  $L$ -functions. For these families, the density conjecture is verified when the Fourier transforms of the test functions are supported in  $(-2, 2)$  if one assumes the Generalized Riemann Hypothesis (GRH) and the underlying symmetry of such families is unitary symplectic (USp).

Recently, J. C. Andrade and S. Baluyot [AB20] studied the 1-level density of quadratic Dirichlet  $L$ -functions over prime moduli. This is a sparse family in the sense that its cardinality has density 0 in the set of all such  $L$ -functions. It is shown in [AB20] that the symmetry of this family is also USp and their result supports the density conjecture when the Fourier transforms of the test functions are supported in  $(-1, 1)$  under GRH.

It is then interesting to study the 1-level density of various families of  $L$ -functions of sparse sets. Motivated by the above result of Andrade and Baluyot, we investigate in this paper the 1-level density of quadratic Hecke  $L$ -functions in the Gaussian field  $\mathbb{Q}(i)$  over prime moduli. Previously, we studied in [GZ20] the same family but over a set of positive density in the set of all such  $L$ -functions.

Throughout the paper, we denote by  $K = \mathbb{Q}(i)$  the Gaussian field and  $\mathcal{O}_K = \mathbb{Z}[i]$  the ring of integers in  $K$ . Note that in  $\mathcal{O}_K$ , every ideal co-prime to 2 has a unique generator congruent to 1 modulo  $(1+i)^3$ . Such a generator is called primary.

---

The first named author is supported in part by NSFC grant 11871082, and the second named author by the FRG grant PS43707 and the Goldstar Award PS53450 at the University of New South Wales (UNSW).

We thank [episciences.org](https://episciences.org) for providing open access hosting of the electronic journal *Hardy-Ramanujan Journal*

The quadratic symbol  $(\cdot)$  is defined in [GZ20, Sect. 2.1] and we shall write  $\chi_n$  for  $(\frac{\cdot}{n})$ . It is also shown in [GZ20, Section 2.1] that the symbol  $\chi_{(1+i)^5c}$  defines a primitive quadratic Hecke character modulo  $(1+i)^5c$  of trivial infinite type when  $c \in \mathcal{O}_K$  is odd and square-free. Here we recall that a Hecke character  $\chi$  of  $K$  is said to be of trivial infinite type if its component at infinite places of  $K$  is trivial and we say that any  $c \in \mathcal{O}_K$  is odd if  $(c, 2) = 1$  and  $c$  is square-free if the ideal  $(c)$  is not divisible by the square of any prime ideal.

Throughout the paper, we reserve the symbol  $\varpi$  for primes with  $(\varpi, 1+i) = 1$ . This means that  $(\varpi)$  is a prime ideal in  $\mathcal{O}_K$ . We would like to consider the family of  $L$ -functions consisting of  $L(s, \chi_\varpi)$  for  $\varpi$  being prime. Even though this is a natural choice, we consider instead in this paper the family

$$\mathcal{F} = \{L(s, \chi_{(1+i)^5\varpi}) : \varpi \text{ primary}\} \tag{1.1}$$

since the modulus of  $\chi_{(1+i)^5\varpi}$  is easier to describe. We remark here that our treatment for the above family certainly carries over to the family  $\{L(s, \chi_\varpi)\}$  as well.

Let  $\chi = \chi_n$  for some  $n \in \mathcal{O}_K$ , we write  $L(s, \chi)$  for the corresponding Hecke  $L$ -function and denote the non-trivial zeroes of  $L(s, \chi)$  by  $\frac{1}{2} + i\gamma_{\chi,j}$ . Without assuming GRH (so that  $\gamma_{\chi,j}$  is not necessarily real), we order them as

$$\dots \leq \Re\gamma_{\chi,-2} \leq \Re\gamma_{\chi,-1} < 0 \leq \Re\gamma_{\chi,1} \leq \Re\gamma_{\chi,2} \leq \dots$$

We further normalize the zeros by letting

$$\tilde{\gamma}_{\chi,j} = \frac{\gamma_{\chi,j}}{2\pi} \log X$$

and define, for an even Schwartz class function  $\phi$ , the 1-level density for the single  $L$ -function  $L(s, \chi)$  as the sum

$$S(\chi, \phi) = \sum_j \phi(\tilde{\gamma}_{\chi,j}).$$

Fix a non-negative and not identically zero Schwartz function  $w(t)$  which is compactly supported when restricted to  $(0, \infty)$ . The 1-level density of the family  $\mathcal{F}$  is the limit, as  $X \rightarrow \infty$ , of the sum

$$D(\phi; w, X) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) S(\chi_{(1+i)^5\varpi}, \phi), \tag{1.2}$$

where we use the expression  $\varpi \equiv 1 \pmod{(1+i)^3}$  to indicate that  $\omega \in \mathcal{O}_K$  is primary and we denote by  $N(n)$  the norm of any element  $n \in \mathcal{O}_K$ . Moreover  $W(X)$  is the corresponding total weight given by

$$W(X) = \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right). \tag{1.3}$$

We point out here that our formulation of the 1-level density is the more commonly used one in the literature, while in [AB20], the 1-level density is formed using a form factor, as initially used by Özlük and Snyder in [ÖS99]. Now we state our result on the one level density as follows.

**Theorem 1.1.** *Assume the truth of GRH for all the  $L$ -functions in  $\mathcal{F}$ . Let  $w(t)$  be a not identically zero and non-negative Schwartz function. Suppose that when restricted to  $(0, \infty)$ ,  $w$  has compact support. Further, let  $\phi(x)$  be an even Schwartz function whose Fourier transform  $\hat{\phi}(u)$  has compact support in  $(-1, 1)$ . Then,*

$$\lim_{X \rightarrow +\infty} D(\phi; w, X) = \int_{\mathbb{R}} \phi(x) W_{USp}(x) dx, \text{ where } W_{USp}(x) = 1 - \frac{\sin(2\pi x)}{2\pi x}. \tag{1.4}$$

First we note that the compact support of  $w$  on  $(0, \infty)$  is required for technical ease (see, among other things, the discussion around (2.17)). Moreover, this condition enables us to use Lemma 2.1 directly.

We further remark here that the kernel of the integral  $W_{USp}$  in (1.4) shows that the symmetry type of this family of quadratic Hecke  $L$ -functions is unitary symplectic and Theorem 1.1 implies that under GRH, the density conjecture is valid for this family when the Fourier transforms of the test functions are supported in  $(-1, 1)$ . The reason that our result only holds for  $\hat{\phi}(u)$  being supported in  $(-1, 1)$  in contrast to  $(-2, 2)$  obtained in [Ga14, GZ20] for families of quadratic  $L$ -functions having positive densities in the set of all such  $L$ -functions is that one is not able to apply Poisson summation to convert certain character sums to dual sums to obtain a better estimate. We are therefore bound to deploy only classical methods to estimate these sums which leads to a smaller admissible range of support for  $\hat{\phi}$ . Assuming the truth of GRH meanwhile helps us better control the error terms coming from certain sums over primes.

The density conjecture can be regarded as an assertion on the main term behavior of the  $n$ -level density of low-lying zeros of families of  $L$ -functions for all  $n$ . Besides the main term, one can also study the lower order terms of these  $n$ -level densities and computations as such are done in [Yo05b, RR10, Mi09]. In this direction, the  $L$ -functions ratios conjecture of J. B. Conrey, D. W. Farmer and M. R. Zirnbauer in [CFZ08, Section 5] has been shown to be a very useful tool. For various families of quadratic Dirichlet  $L$ -functions, ratios conjecture has been applied to predict precise lower order terms of the one level density in [CS07, FPS17, AB20].

In Section 4., we apply the ratios conjecture to investigate the lower-order terms of the one level density of low-lying zeros for the family  $\mathcal{F}$  given in (1.1). To state our result, we define for  $\alpha, \gamma \in \mathbb{C}$ ,

$$A(\alpha, \gamma) = \frac{2^{1+\alpha+\gamma} - 2^{\gamma-\alpha}}{2^{1+\alpha+\gamma} - 1} \quad \text{and} \quad A_\alpha(r, r) = \left. \frac{\partial}{\partial \alpha} A(\alpha, \gamma) \right|_{\alpha=\gamma=r}. \tag{1.5}$$

Then we have the following asymptotic expression for  $D(\phi; w, X)$ .

**Theorem 1.2.** *Assume the truth of GRH and Conjecture 4.B. (the ratios conjecture) for  $\mathcal{F}$ . Let  $w(t)$  and  $\phi(x)$  be as in Theorem 1.1. Then for any  $\varepsilon > 0$ ,*

$$D(\phi; w, X) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \mathfrak{J}(\varpi) + O_\varepsilon\left(X^{-1/2+\varepsilon}\right), \tag{1.6}$$

where

$$\begin{aligned} \mathfrak{J}(\varpi) = \frac{1}{2\pi} \int_{\mathbb{R}} & \left( 2 \frac{\zeta'_K(1+2it)}{\zeta_K(1+2it)} + 2A_\alpha(it, it) + \log\left(\frac{32N(\varpi)}{\pi^2}\right) + \frac{\Gamma'}{\Gamma}\left(\frac{1}{2}-it\right) + \frac{\Gamma'}{\Gamma}\left(\frac{1}{2}+it\right) \right. \\ & \left. - \frac{8}{\pi} X^\varpi \left(\frac{1}{2}+it\right) \zeta_K(1-2it) A(-it, it) \right) \phi\left(\frac{t \log X}{2\pi}\right) dt. \end{aligned}$$

One certainly expects that the result given in Theorem 1.2 implies the assertion of Theorem 1.1. We show this indeed is the case in Section 4. by adapting the approach in [FPS18].

One important application of the density conjecture is to obtain a non-vanishing result for the families of  $L$ -functions at the central point. It is a conjecture that goes back to S. Chowla [Ch65] that  $L(1/2, \chi) \neq 0$  for all primitive Dirichlet characters  $\chi$ . It is shown in [AB20, Theorem 3] that at least 75% of the family of quadratic Dirichlet  $L$ -functions to prime moduli does not vanish at the central point. Analogous to this, the following corollary shows that exactly the same percentage of the family of quadratic Hecke  $L$ -functions to prime moduli does not vanish at the central point.

**Corollary 1.3.** *Assume GRH and that  $1/2$  is a zero of  $L(s, \chi_{(1+i)^5 \varpi})$  of order  $m_\varpi \geq 0$ . As  $X \rightarrow \infty$ ,*

$$\sum_{\varpi \equiv 1 \pmod{(1+i)^3}} m_\varpi w \left( \frac{N(\varpi)}{X} \right) \leq \left( \frac{1}{4} + o_X(1) \right) W(X). \quad (1.7)$$

Moreover, as  $X \rightarrow \infty$

$$\#\{N(\varpi) \leq X : L(1/2, \chi_{(1+i)^5 \varpi}) \neq 0\} \geq \left( \frac{3}{4} + o_X(1) \right) \frac{X}{\log X}. \quad (1.8)$$

As the proof of (1.7) is standard (see that of [BF18, Corollary 2.1]), we omit it here and we note that (1.7) implies that

$$\sum_{\substack{\varpi \equiv 1 \pmod{(1+i)^3} \\ L(1/2, \chi_{(1+i)^5 \varpi}) \neq 0}} w \left( \frac{N(\varpi)}{X} \right) \geq \left( \frac{3}{4} + o_X(1) \right) W(X).$$

By taking  $w(t)$  to be any even Schwarz function whose support is in  $(0, 1)$  when restricted on the positive real axis such that  $0 \leq w(t) \leq 1$  and  $w(t) = 1$  for  $t \in (\varepsilon, 1 - \varepsilon)$  and applying (2.14) below, we deduce from the above that

$$\#\{N(\varpi) \leq X : L(1/2, \chi_{(1+i)^5 \varpi}) \neq 0\} \geq \left( \frac{3}{4} + o_X(1) \right) (1 - 2\varepsilon) \frac{X}{\log X}.$$

Letting  $\varepsilon \rightarrow 0^+$  on both sides above, we see that (1.8) follows.

## 2. Preliminaries

### 2.A. The Explicit Formula

Our proof of Theorem 1.1 starts with the following explicit formula, which converts a sum over zeros of an  $L$ -function to a sum over primes. Note that our weight function  $w$  is compactly supported on  $(0, \infty)$ . Thus the following lemma is sufficient for our purpose.

**Lemma 2.1.** *Let  $\phi(x)$  be an even Schwartz function whose Fourier transform  $\hat{\phi}(u)$  is compactly supported. For any square-free  $c \in \mathcal{O}_K$ ,  $N(c) \leq X$ ,*

$$S(\chi_c, \phi) = \hat{\phi}(0) \frac{\log N(c)}{\log X} - \frac{1}{2} \int_{-\infty}^{\infty} \hat{\phi}(u) du - 2S(\chi_c, X; \hat{\phi}) + O\left(\frac{\log \log 3X}{\log X}\right),$$

with the implicit constant depending on  $\phi$ . Here

$$S(\chi_c, X; \hat{\phi}) = \sum_{\substack{\varpi \equiv 1 \pmod{(1+i)^3} \\ N(\varpi) \leq X}} \frac{\chi_c(\varpi) \log N(\varpi)}{\sqrt{N(\varpi)}} \hat{\phi}\left(\frac{\log N(\varpi)}{\log X}\right).$$

We omit the proof of Lemma 2.1 here since it is standard and follows by combining the proof of [Gü05b, Lemma 4.1] and [GZ20, Lemma 2.4].

### 2.B. Conditional Estimates on GRH

In this section, we include two lemmas that are obtained by assuming the truth of GRH. The first is about sums over primes.

**Lemma 2.2.** *Assume the truth of GRH for  $L(s, \chi)$  with Hecke character  $\chi \pmod{m}$  of trivial infinite type. Then for  $y \geq 1$ ,*

$$S(y, \chi) = \sum_{\substack{\varpi \equiv 1 \pmod{(1+i)^3} \\ N(\varpi) \leq y}} \chi(\varpi) \log N(\varpi) = \delta_\chi y + O(y^{1/2} \log^2(2y) \log(2N(m))), \tag{2.9}$$

where  $\delta_\chi = 1$  if and only if  $\chi$  is principal and  $\delta_\chi = 0$  otherwise. Moreover,

$$\sum_{\substack{\varpi \equiv 1 \pmod{(1+i)^3} \\ N(\varpi) \leq y}} \frac{\log N(\varpi)}{N(\varpi)} = \log y + O(1). \tag{2.10}$$

*Proof.* The formula in (2.9) follows directly from [IK04, Theorem 5.15] and (2.10) follows from (2.9) by taking  $\chi$  to be the principal character and using partial summation.

We recall that the Mellin transform of  $w$  is given by

$$\tilde{w}(s) = \int_0^\infty w(t) t^s \frac{dt}{t}. \tag{2.11}$$

Integrating by parts implies that for  $\Re(s) < 1$  and any integer  $\nu \geq 1$ ,

$$\tilde{w}(s) \ll_\nu \frac{1}{|s||s-1|^{\nu-1}}. \tag{2.12}$$

Let  $\zeta_K(s)$  denote the Dedekind zeta function of  $K$  and  $\Lambda_K(n)$  the von Mangoldt function on  $K$ , which is the coefficient of  $N(n)^{-s}$  in the Dirichlet series of  $\zeta'_K(s)/\zeta_K(s)$ . Our next result gives estimates of various sums needed in this paper.

**Lemma 2.3.** *Assume GRH. For any even, not identically zero and non-negative Schwartz function  $w$  which is compactly supported when restricted to  $(0, \infty)$ , let  $W(X)$  be given as in (1.3) for  $X \geq 1$ . Let  $z \in \mathbb{C}$  be such that  $|z| \leq 1$  and that  $0 \leq \Re(z) \leq \frac{1}{2}$ . Then for any  $\varepsilon > 0$ ,*

$$\sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \log N(\varpi) = \tilde{w}(1)X + O\left(X^{1/2+\varepsilon}\right), \tag{2.13}$$

$$W(X) = \tilde{w}(1) \frac{X}{\log X} + O\left(\frac{X}{(\log X)^2}\right), \tag{2.14}$$

and

$$\frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) N(\varpi)^{-z} = X^{-z} + O\left(|z|^2 \log X + \frac{1}{\log X}\right). \tag{2.15}$$

*Proof.* We prove (2.13) first. Due to the rapid decay of  $w$  given in (2.12), we have that

$$\sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \log N(\varpi) = \sum_{(n)} w\left(\frac{N(n)}{X}\right) \Lambda_K(n) + O\left(\sum_{\substack{(\varpi) \\ N(\varpi)^j \leq X^{1+\varepsilon}, j \geq 2}} w\left(\frac{N(\varpi^j)}{X}\right) \log N(\varpi)\right),$$

where we write  $\sum_{(n)}$  and  $\sum_{(\varpi)}$  for the sum over non-zero integral and prime ideals of  $\mathcal{O}_K$ , respectively.

Note that

$$\sum_{\substack{(\varpi), j \geq 2 \\ N(\varpi)^j \leq X^{1+\varepsilon}}} w\left(\frac{N(\varpi^j)}{X}\right) \log N(\varpi) \ll X^\varepsilon \quad \sum_{\substack{(\varpi) \\ N(\varpi) \leq X^{1/2+\varepsilon}}} \log N(\varpi) \ll X^{1/2+\varepsilon}, \quad (2.16)$$

where we have used the bound  $w(u) \ll u^{-\varepsilon}$ , as  $w$  is a Schwartz function.

Now we apply Mellin inversion to get

$$\sum_{(n)} w\left(\frac{N(n)}{X}\right) \Lambda_K(n) = -\frac{1}{2\pi i} \int_{(2)} \frac{\zeta'_K(s)}{\zeta_K(s)} \tilde{w}(s) X^s ds.$$

We evaluate the above integral by shifting the line of integration to  $\Re(s) = 1/2 + \varepsilon$ . The only pole we encounter is at  $s = 1$  with residue  $-\tilde{w}(1)X$ . The integration on  $\Re(s) = 1/2 + \varepsilon$  can be estimated to be  $O(X^{1/2+\varepsilon})$  using (2.12) and the following estimate (see [IK04, Theorem 5.17]) for  $\zeta'_K(s)/\zeta_K(s)$  when  $\Re(s) \geq 1/2 + \varepsilon$ :

$$\frac{\zeta'_K(s)}{\zeta_K(s)} \ll \log(1 + |s|).$$

The expression given in (2.13) now follows.

Next, to obtain (2.14), we may assume that  $X$  is large and apply (2.9) and partial summation to see that

$$\begin{aligned} W(X) &= \int_{2^-}^{\infty} w\left(\frac{u}{X}\right) \frac{1}{\log u} d\left(\sum_{\substack{\varpi \equiv 1 \pmod{(1+i)^3} \\ N(\varpi) \leq u}} \log N(\varpi)\right) = \int_{2^-}^{\infty} w\left(\frac{u}{X}\right) \frac{1}{\log u} d\left(u + O(u^{1/2} \log^2(2u))\right) \\ &= \int_{2^-}^{\infty} w\left(\frac{u}{X}\right) \frac{1}{\log u} du + O(X^{1/2+\varepsilon}) = \int_{(2/X)^-}^{\infty} w(u) \frac{X}{\log u + \log X} du + O(X^{1/2+\varepsilon}) \\ &= \int_m^M w(u) \frac{X}{\log u + \log X} du + O(X^{1/2+\varepsilon}), \end{aligned} \quad (2.17)$$

where  $[m, M] \subset (0, \infty)$  is the support of  $w$ . Note that  $m$  and  $M$  are independent of  $X$ . Now we use the Taylor expansion of  $1/(\log u + \log X)$  and then extend the range of integration to  $(0, \infty)$  to get

$$W(X) = \tilde{w}(1) \frac{X}{\log X} + O\left(\frac{X}{(\log X)^2}\right).$$

It therefore remains to establish (2.15). For this, we set

$$f(z) = \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) N(\varpi)^{-z}.$$

Then we have

$$f'(z) = - \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) (\log N(\varpi)) N(\varpi)^{-z} = - \sum_{(n)} w\left(\frac{N(n)}{X}\right) \Lambda_K(n) N(n)^{-z} + O(X^{1/2+\varepsilon}),$$

where the last estimation above follows from (2.16), uniformly for  $z$  with  $\Re z \geq 0$ .

Now we apply Mellin inversion to get

$$\sum_{(n)} w\left(\frac{N(n)}{X}\right) \Lambda_K(n) N(n)^{-z} = -\frac{1}{2\pi i} \int_{(2)} \frac{\zeta'_K(s+z)}{\zeta_K(s+z)} \tilde{w}(s) X^s ds.$$

We evaluate the above integral by shifting the line of integration to  $\Re(s) = 1/2 - \Re(z) + \varepsilon$ . The only pole we encounter is at  $s = 1 - z$  with residue  $\tilde{w}(1 - z) X^{1-z}$ . Thus we obtain that

$$f'(z) = \tilde{w}(1 - z) X^{1-z} + O\left(X^{1/2+\varepsilon}\right).$$

Note that we have

$$\tilde{w}(1 - z) - \tilde{w}(1) = \int_0^{-z} \tilde{w}'(1 + s) ds,$$

where the integral takes the path being the line segment connecting 0 and  $-z$ . Based on our conditions on  $z$  and the definition of  $\tilde{w}$ , one sees that the function  $\tilde{w}'(1 + s)$  is uniformly bounded on the path so that we deduce that  $\tilde{w}(1 - z) = \tilde{w}(1) + O(|z|)$ . As  $X^{1-z} \ll X$  for  $\Re z \geq 0$ , we conclude that

$$f'(z) = \tilde{w}(1) X^{1-z} + O\left(|z|X + X^{1/2+\varepsilon}\right).$$

It follows from this that

$$f(z) - f(0) = \int_0^z f'(v) dv = \tilde{w}(1) \frac{X^{1-z}}{\log X} - \tilde{w}(1) \frac{X}{\log X} + O\left(|z|^2 X + X^{1/2+\varepsilon}\right).$$

Note that we have  $f(0) = W(X)$  so that we deduce from the above and (2.14) that

$$f(z) = \tilde{w}(1) \frac{X^{1-z}}{\log X} + O\left(|z|^2 X + \frac{X}{(\log X)^2} + X^{1/2+\varepsilon}\right).$$

Combining this with (2.14) again for the evaluation of  $W(X)$ , we readily deduce (2.15) and this completes the proof of the lemma.

### 2.C. The approximate functional equation

Let  $\chi$  be a primitive quadratic Hecke character modulo  $m$  of trivial infinite type defined on  $\mathcal{O}_K$ . As shown by E. Hecke,  $L(s, \chi)$  admits analytic continuation to an entire function and satisfies the functional equation ([IK04, Theorem 3.8])

$$\Lambda(s, \chi) = W(\chi) (N(m))^{-1/2} \Lambda(1 - s, \chi), \tag{2.18}$$

where  $|W(\chi)| = (N(m))^{1/2}$  and

$$\Lambda(s, \chi) = (|D_K| N(m))^{s/2} (2\pi)^{-s} \Gamma(s) L(s, \chi).$$

Let  $G(s)$  be any even function which is holomorphic and bounded in the strip  $-4 < \Re(s) < 4$  satisfying  $G(0) = 1$ . For  $s \in \mathbb{C}$ , we evaluate the integral

$$\frac{1}{2\pi i} \int_{(2)} \Lambda(u + s, \chi) G(u) \left(\frac{x}{\sqrt{|D_K| N(m)}}\right)^u \frac{du}{u}$$



by shifting the line of integration to  $\Re s = -2$  and proceed in a manner similar to [Ga20a, Section 2.5] to see that if

$$W(\chi) = N(m)^{1/2}, \quad (2.19)$$

then for any  $x > 1$ ,

$$\begin{aligned} L(s, \chi) = & \sum_{0 \neq \mathcal{A} \subset \mathcal{O}_K} \frac{\chi(\mathcal{A})}{N(\mathcal{A})^s} V_s \left( \frac{2\pi N(\mathcal{A})}{x} \right) \\ & + \left( \frac{(2\pi)^2}{|D_K|N(m)} \right)^{s-1/2} \frac{\Gamma(1-s)}{\Gamma(s)} \sum_{0 \neq \mathcal{A} \subset \mathcal{O}_K} \frac{\chi(\mathcal{A})}{N(\mathcal{A})^{1-s}} V_{1-s} \left( \frac{2\pi N(\mathcal{A})x}{|D_K|N(m)} \right). \end{aligned} \quad (2.20)$$

where

$$V_s(x) = \frac{1}{2\pi i} \int_{(2)} \frac{\Gamma(s+u)}{\Gamma(s)} \frac{x^{-u}}{u} G(u) du. \quad (2.21)$$

We note that it is shown in [Ga20b, Lemma 2.2] that (2.19) holds if  $\chi = \chi_{(1+i)^5 c}$  for any odd, square-free  $c \in \mathcal{O}_K$ . Thus the approximate functional equation (2.20) is valid for  $L(s, \chi_{(1+i)^5 c})$ .

### 3. Proof of Theorem 1.1

Note that  $\hat{\phi}(u)$  is smooth with support contained in  $(-1 + \varepsilon, 1 - \varepsilon)$  for some  $0 < \varepsilon < 1$ . We set  $Y = X^{1-\varepsilon}$  so that  $\hat{\phi}(\log N(\varpi)/\log X) \neq 0$  only when  $N(\varpi) \leq Y$ . Now we apply Lemma 2.1 to sum  $S(\chi_{(1+i)^5 \varpi}, X; \hat{\phi})$  over the primary primes  $\varpi$  against the weight function  $w$  to arrive at

$$\begin{aligned} D(\phi; w, X) &= \frac{\hat{\phi}(0)}{W(X) \log X} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \log N(\varpi) \\ &\quad - \frac{1}{2} \int_{-\infty}^{\infty} \hat{\phi}(u) du - \frac{2}{W(X)} S(X, Y; \phi, w) + O \left( \frac{\log \log 3X}{\log X} \right) \\ &= \int_{-\infty}^{\infty} \phi(t) dt - \frac{1}{2} \int_{-\infty}^{\infty} \hat{\phi}(u) du - \frac{2}{W(X)} S(X, Y; \phi, w) + O \left( \frac{\log \log 3X}{\log X} \right), \end{aligned} \quad (3.22)$$

where the last estimate follows from (2.13), (2.14) and

$$S(X, Y; \phi, w) = \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} \sum_{\substack{\varpi' \equiv 1 \pmod{(1+i)^3} \\ N(\varpi') \leq Y}} \frac{\chi_{(1+i)^5 \varpi}(\varpi') \log N(\varpi')}{\sqrt{N(\varpi')}} \hat{\phi} \left( \frac{\log N(\varpi')}{\log X} \right) w \left( \frac{N(\varpi')}{X} \right).$$

We note that by the quadratic reciprocity ([GZ20, (2.1)]) for Hecke characters, we have  $\chi_{\varpi}(\varpi') = \chi_{\varpi'}(\varpi)$  when  $\varpi, \varpi'$  are both primary. As it is shown in [GZ19, Sect. 2.1] that  $\chi_{\varpi'}$  is a Hecke character modulo  $16\varpi'$  of trivial infinite type, we can apply (2.9) and partial summation by noting that  $\log N(\varpi') \ll \log X$  to see that

$$\sum_{\varpi \equiv 1 \pmod{(1+i)^3}} \chi_{\varpi}(\varpi') w \left( \frac{N(\varpi')}{X} \right) \ll X^{1/2+\varepsilon/4}.$$

It follows that

$$\begin{aligned}
 S(X, Y; \phi, w) &= \sum_{\substack{\varpi' \equiv 1 \pmod{(1+i)^3} \\ N(\varpi') \leq Y}} \frac{\log N(\varpi') \chi_{(1+i)^5}(\varpi')}{\sqrt{N(\varpi')}} \hat{\phi} \left( \frac{\log N(\varpi')}{\log X} \right) \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} \chi_{\varpi}(\varpi') w \left( \frac{N(\varpi)}{X} \right) \\
 &\ll X^{1/2+\varepsilon/4} \sum_{\substack{\varpi' \equiv 1 \pmod{(1+i)^3} \\ N(\varpi') \leq Y}} \frac{\log N(\varpi')}{\sqrt{N(\varpi')}} \ll X^{1/2+\varepsilon/4} Y^{1/2} \log Y,
 \end{aligned}$$

where the last bound follows from (2.10) and partial summation.

We then deduce that when  $Y = X^{1-\varepsilon}$ ,  $S(X, Y; \phi, w) = O(X^{1-\varepsilon}) = o(W(X))$  by Lemma 2.3. By taking  $X \rightarrow \infty$  on both sides of (3.22), we obtain (1.4) and this completes the proof of Theorem 1.1.

## 4. One level density via ratios conjecture

### 4.A. The Ratios Conjecture for $\mathcal{F}$

In this section, we follow the recipe described in [CFZ08] to develop heuristically the appropriate statement of the ratios conjecture for the family  $\mathcal{F}$  given in (1.1). More precisely, we want to study the asymptotic behavior of

$$R(\alpha, \gamma) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{L(1/2 + \alpha, \chi_{(1+i)^5 \varpi})}{L(1/2 + \gamma, \chi_{(1+i)^5 \varpi})}. \tag{4.23}$$

Consider the approximate functional equation (2.20). Note that the function  $V_s(t)$  given in (2.21) is essentially 1 when  $|t| \leq 1$  and decreases exponentially when  $|t| > 1$  for fixed  $s$  satisfying  $\Re(s) \geq 1/4$  (this can be shown similar to [So00, Lemma 2.1]). Thus it stands to reason that for any odd, square-free  $c \in \mathcal{O}_K$ ,

$$L(s, \chi_{(1+i)^5 c}) \approx \sum_{N(\mathfrak{n}) \leq x} \frac{\chi_{(1+i)^5 c}(\mathfrak{n})}{N(\mathfrak{n})^s} + X_c(s) \sum_{N(\mathfrak{n}) \leq y} \frac{\chi_{(1+i)^5 c}(\mathfrak{n})}{N(\mathfrak{n})^{1-s}}, \tag{4.24}$$

where  $xy = N((1+i)^5 c)$  and

$$X_c(s) = \frac{\Gamma(1-s)}{\Gamma(s)} \left( \frac{\pi^2}{32N(c)} \right)^{s-1/2}. \tag{4.25}$$

On the other hand, by writing  $\mu_K$  for the Möbius function on  $K$ , we have for  $\Re(s) > 1$ ,

$$\frac{1}{L(s, \chi_{(1+i)^5 c})} = \sum_{\mathfrak{m} \neq 0} \frac{\mu_K(\mathfrak{m}) \chi_{(1+i)^5 c}(\mathfrak{m})}{N(\mathfrak{m})^s}, \tag{4.26}$$

where the summation above is over all non-zero ideals  $\mathfrak{m}$  of  $\mathcal{O}_K$ .

Substituting both (4.24) and (4.26) into (4.23), we see that

$$R(\alpha, \gamma) \sim R_1(\alpha, \gamma) + R_2(\alpha, \gamma),$$

where

$$R_1(\alpha, \gamma) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \sum_{\mathfrak{m}, \mathfrak{n}} \frac{\mu_K(\mathfrak{m}) \chi_{(1+i)^5 \varpi}(\mathfrak{m}\mathfrak{n})}{N(\mathfrak{m})^{1/2+\gamma} N(\mathfrak{n})^{1/2+\alpha}},$$

and

$$R_2(\alpha, \gamma) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) X_\varpi\left(\frac{1}{2} + \alpha\right) \sum_{\mathbf{m}, \mathbf{n}} \frac{\mu_K(\mathbf{m}) \chi_{(1+i)^5 \varpi}(\mathbf{nm})}{N(\mathbf{m})^{1/2+\gamma} N(\mathbf{n})^{1/2-\alpha}}.$$

We expect to get the main contributions to  $R_1, R_2$  when  $\mathbf{nm}$  is an odd square. In that case, we have

$$\frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \chi_{(1+i)^5 \varpi}(\mathbf{nm}) \sim 1.$$

It follows that

$$R_1(\alpha, \gamma) \sim \tilde{R}_1(\alpha, \gamma) = \sum_{\mathbf{nm}=\text{odd } \square} \frac{\mu_K(\mathbf{m})}{N(\mathbf{m})^{1/2+\gamma} N(\mathbf{n})^{1/2+\alpha}},$$

where we write  $\square$  for a perfect square. We deduce by computing Euler products that

$$\tilde{R}_1(\alpha, \gamma) = \frac{\zeta_K(1+2\alpha)}{\zeta_K(1+\alpha+\gamma)} A(\alpha, \gamma),$$

where  $A(\alpha, \gamma)$  is given in (1.5).

Similarly, we have

$$R_2(\alpha, \gamma) \sim \tilde{R}_2(\alpha, \gamma) = \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) X_\varpi\left(\frac{1}{2} + \alpha\right) \tilde{R}_1(-\alpha, \gamma).$$

We now summarize our discussions above in the following version of the ratios conjecture.

**Conjecture 4.B..** *Let  $\varepsilon > 0$  and let  $w$  be an even and nonnegative Schwartz test function on  $\mathbb{R}$  which is not identically zero. Suppose that the complex numbers  $\alpha$  and  $\gamma$  satisfy  $|\Re(\alpha)| < 1/4$ ,  $(\log X)^{-1} \ll \Re(\gamma) < 1/4$  and  $\Im(\alpha), \Im(\gamma) \ll X^{1-\varepsilon}$ . Then we have that*

$$\begin{aligned} \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \frac{L(1/2 + \alpha, \chi_{(1+i)^5 \varpi})}{L(1/2 + \gamma, \chi_{(1+i)^5 \varpi})} &= \frac{\zeta_K(1+2\alpha)}{\zeta_K(1+\alpha+\gamma)} A(\alpha, \gamma) \\ &+ \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) X_\varpi\left(\frac{1}{2} + \alpha\right) \frac{\zeta_K(1-2\alpha)}{\zeta_K(1-\alpha+\gamma)} A(-\alpha, \gamma) \\ &+ O_\varepsilon(X^{-1/2+\varepsilon}), \end{aligned} \tag{4.27}$$

where  $A(\alpha, \gamma)$  is defined in (1.5) and  $X_\varpi(s)$  is defined in (4.25).

By taking derivatives with respect to  $\alpha$  on both sides of (4.27) and noting that the residue of the simple pole of  $\zeta_K(s)$  at  $s = 1$  equals  $\pi/4$ , we deduce from Conjecture 4.B. the following result.

**Lemma 4.1.** *Assuming the truth of Conjecture 4.B., we have for any  $\varepsilon > 0$ ,  $(\log X)^{-1} \ll \Re(r) < 1/4$  and  $\Im(r) \ll X^{1-\varepsilon}$ ,*

$$\begin{aligned} \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) \frac{L'(1/2 + r, \chi_{(1+i)^5 \varpi})}{L(1/2 + r, \chi_{(1+i)^5 \varpi})} &= \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} + A_\alpha(r, r) \\ &- \frac{4}{\pi} \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) X_\varpi\left(\frac{1}{2} + r\right) \zeta_K(1-2r) A(-r, r) + O_\varepsilon(X^{-1/2+\varepsilon}). \end{aligned} \tag{4.28}$$

### 4.C. Derivation of the one level density from the ratios conjecture

In this section we prove Theorem 1.2 using the ratios conjecture and GRH. We recall the definition of  $D(\phi; w, X)$  from (1.2) and we note that GRH implies that the non-trivial zeros of the  $L$ -functions all have real parts equal to  $1/2$ . Thus by setting  $\mathcal{L} = \log X$ , we can recast  $D(\phi; w, X)$  as being equal to

$$\begin{aligned} &= \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \left( \int_{(a)} - \int_{(1-a)} \right) \frac{L'(s, \chi_{(1+i)^5 \varpi}}{L(s, \chi_{(1+i)^5 \varpi})} \phi \left( \frac{-i\mathcal{L}}{2\pi} \left( s - \frac{1}{2} \right) \right) ds \\ &= \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \int_{(a)} \left( \frac{L'(s, \chi_{(1+i)^5 \varpi}}{L(s, \chi_{(1+i)^5 \varpi})} - \frac{L'(1-s, \chi_{(1+i)^5 \varpi})}{L(1-s, \chi_{(1+i)^5 \varpi})} \right) \phi \left( \frac{-i\mathcal{L}}{2\pi} \left( s - \frac{1}{2} \right) \right) ds, \end{aligned} \tag{4.29}$$

where  $1/2 + 1/\log X < a < 3/4$  and the fact that  $\phi$  is even is used in the derivation of the late equality above.

We note that the functional equation (2.18) implies that

$$\frac{L'(s, \chi_{(1+i)^5 \varpi})}{L(s, \chi_{(1+i)^5 \varpi})} = \frac{X'_\varpi(s)}{X_\varpi(s)} - \frac{L'(1-s, \chi_{(1+i)^5 \varpi})}{L(1-s, \chi_{(1+i)^5 \varpi})}.$$

Inserting the above into (4.29), we obtain that  $D(\phi; w, X)$  is equal to

$$= \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \int_{(a-1/2)} \left( 2 \frac{L'(1/2+r, \chi_{(1+i)^5 \varpi})}{L(1/2+r, \chi_{(1+i)^5 \varpi})} - \frac{X'_\varpi(1/2+r)}{X_\varpi(1/2+r)} \right) \phi \left( \frac{i\mathcal{L}r}{2\pi} \right) dr. \tag{4.30}$$

Substituting (4.28) in (4.30), we deduce by noting the rapid decay of  $\phi$  that

$$\begin{aligned} D(\phi; w, X) &= \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \int_{(a-\frac{1}{2})} \left( 2 \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} + 2A_\alpha(r, r) - \frac{X'_\varpi(1/2+r)}{X_\varpi(1/2+r)} \right. \\ &\quad \left. - \frac{8}{\pi} X_\varpi(1/2+r) \zeta_K(1-2r) A(-r, r) \right) \phi \left( \frac{i\mathcal{L}r}{2\pi} \right) dr + O_\varepsilon \left( X^{-1/2+\varepsilon} \right). \end{aligned} \tag{4.31}$$

As the function

$$2 \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} + 2A_\alpha(r, r) - \frac{X'_\varpi(1/2+r)}{X_\varpi(1/2+r)} - \frac{8}{\pi} X_\varpi \left( \frac{1}{2} + r \right) \zeta_K(1-2r) A(-r, r)$$

is analytic in the region  $\Re(r) \geq 0$  (in particular it is analytic at  $r = 0$ ), we can now shift the line of integration in (4.31) to  $\Re(r) = 0$  to deduce readily the assertion of Theorem 1.2.

### 4.D. Proof of Theorem 1.1 using the ratios conjecture

In this section, we give another proof of Theorem 1.1 by assuming the ratios conjecture. To achieve this, we recall that we set  $\mathcal{L} = \log X$  and we first apply Lemma 2.3 to see that

$$\frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi} \int_{\mathbb{R}} \log \left( \frac{32N(\varpi)}{\pi^2} \right) \phi \left( \frac{t\mathcal{L}}{2\pi} \right) dt = \widehat{\phi}(0) + O \left( \frac{1}{\mathcal{L}} \right). \tag{4.32}$$

Moreover, by [MV07, Lemma 12.14], we have that

$$\begin{aligned} \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi} \int_{\mathbb{R}} \left( \frac{\Gamma'}{\Gamma} \left( \frac{1}{2} - it \right) + \frac{\Gamma'}{\Gamma} \left( \frac{1}{2} + it \right) \right) \phi \left( \frac{t\mathcal{L}}{2\pi} \right) dt \\ = 2 \frac{\Gamma'}{\Gamma} \left( \frac{1}{2} \right) \frac{\widehat{\phi}(0)}{\mathcal{L}} + \frac{2}{\mathcal{L}} \int_0^{\infty} \frac{e^{-t/2}}{1 - e^{-t}} \left( \widehat{\phi}(0) - \widehat{\phi} \left( \frac{t}{\mathcal{L}} \right) \right) dt = O \left( \frac{1}{\mathcal{L}} \right). \end{aligned} \quad (4.33)$$

We then deduce from (1.6), (4.32), (4.33) that

$$\begin{aligned} D(\phi; w, X) = \widehat{\phi}(0) + \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi} \int_{\mathbb{R}} \left( 2 \frac{\zeta'_K(1+2it)}{\zeta_K(1+2it)} + 2A_{\alpha}(it, it) \right. \\ \left. - \frac{8}{\pi} X_{\varpi} \left( \frac{1}{2} + it \right) \zeta_K(1-2it) A(-it, it) \right) \phi \left( \frac{t\mathcal{L}}{2\pi} \right) dt + O \left( \frac{1}{\mathcal{L}} \right). \end{aligned}$$

In view of (4.31) and the above expression for  $D(\phi; w, X)$ , we see that we can recast it as

$$\begin{aligned} D(\phi; w, X) = \widehat{\phi}(0) + \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \int_{(a')} \left( 2 \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} + 2A_{\alpha}(r, r) \right. \\ \left. - \frac{8}{\pi} X_{\varpi} \left( \frac{1}{2} + r \right) \zeta_K(1-2r) A(-r, r) \right) \phi \left( \frac{i\mathcal{L}r}{2\pi} \right) dr + O \left( \frac{1}{\mathcal{L}} \right), \end{aligned} \quad (4.34)$$

where  $1/\log X < a' < 1/4$ . By a straightforward computation we see that for  $1/\log X < \Re(r) < 1/4$ , we have

$$A_{\alpha}(r, r) + \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} = - \sum_{(\varpi)} \frac{\log N(\varpi)}{N(\varpi)^{1+2r} - 1}.$$

It follows from this and treatment similar to [FPS18, Lemma 4.1] and [FPS17, Lemma 3.7] that we have

$$\frac{1}{2\pi i} \int_{(a')} \left( 2 \frac{\zeta'_K(1+2r)}{\zeta_K(1+2r)} + 2A_{\alpha}(r, r) \right) \phi \left( \frac{i\mathcal{L}r}{2\pi} \right) dr = - \frac{2}{\mathcal{L}} \sum_{\substack{(\varpi) \\ j \geq 1}} \frac{\log N(\varpi)}{N(\varpi)^j} \widehat{\phi} \left( \frac{2j \log N(\varpi)}{\mathcal{L}} \right) = - \frac{\phi(0)}{2} + O \left( \frac{1}{\mathcal{L}} \right). \quad (4.35)$$

It now remains to treat the expression

$$I = - \frac{8}{\pi} \frac{1}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) \frac{1}{2\pi i} \int_{(a')} X_{\varpi} \left( \frac{1}{2} + r \right) \zeta_K(1-2r) A(-r, r) \phi \left( \frac{i\mathcal{L}r}{2\pi} \right) dr.$$

Note that it follows from (1.5) that

$$A(-\gamma, \gamma) = 2 - 2^{2r}.$$

Combining this with the definition of  $X_{\varpi}$  given in (4.25) and a change of variable  $r = 2\pi i\tau/\mathcal{L}$ , we deduce that

$$\begin{aligned} I = \int_{\mathcal{C}} - \frac{8}{\pi} \frac{1}{\mathcal{L}} \frac{\Gamma(1/2 - 2\pi i\tau/\mathcal{L})}{\Gamma(1/2 + 2\pi i\tau/\mathcal{L})} \left( \frac{\pi^2}{32} \right)^{2\pi i\tau/\mathcal{L}} \left( 2 - 2^{4\pi i\tau/\mathcal{L}} \right) \zeta_K \left( 1 - \frac{4\pi i\tau}{\mathcal{L}} \right) \\ \times \frac{\phi(\tau)}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w \left( \frac{N(\varpi)}{X} \right) N(\varpi)^{-2\pi i\tau/\mathcal{L}} d\tau, \end{aligned}$$

where  $C$  stands for the horizontal line  $\Im(\tau) = -\mathcal{L}a'/(2\pi)$ .

We now deform  $C$  to the path  $C' = C_0 \cup C_1 \cup C_2$ , where  $C_0 = \{\tau : \Im(\tau) = 0, |\Re(\tau)| \geq \mathcal{L}^\varepsilon\}$ ,  $C_1 = \{\tau : \Im(\tau) = 0, \eta \leq |\Re(\tau)| \leq \mathcal{L}^\varepsilon\}$  and  $C_2 = \{\tau : |\tau| = \eta, \Im(\tau) \leq 0\}$ , for some small  $\varepsilon, \eta > 0$ .

The integration of  $I$  over  $C_0$  can be estimated trivially by making use of the rapid decay of  $\phi$  to be of  $O(\mathcal{L}^{-1})$ . Using Taylor expansions, we see that on  $C_1 \cup C_2$ ,

$$-\frac{8}{\pi} \frac{1}{\mathcal{L}} \frac{\Gamma(1/2 - 2\pi i\tau/\mathcal{L})}{\Gamma(1/2 + 2\pi i\tau/\mathcal{L})} \left(\frac{\pi^2}{32}\right)^{2\pi i\tau/\mathcal{L}} \left(2 - 2^{4\pi i\tau/\mathcal{L}}\right) \zeta_K\left(1 - \frac{4\pi i\tau}{\mathcal{L}}\right) = \frac{1}{2\pi i\tau} + O\left(\frac{|\tau| + 1}{\mathcal{L}}\right).$$

It follows from (2.15) that the integrand of  $I$  on  $C_1 \cup C_2$  equals

$$\begin{aligned} &\left(\frac{1}{2\pi i\tau} + O\left(\frac{|\tau| + 1}{\mathcal{L}}\right)\right) \frac{\phi(\tau)}{W(X)} \sum_{\varpi \equiv 1 \pmod{(1+i)^3}} w\left(\frac{N(\varpi)}{X}\right) N(\varpi)^{-2\pi i\tau/\mathcal{L}} \\ &= \left(\frac{1}{2\pi i\tau} + O\left(\frac{|\tau| + 1}{\mathcal{L}}\right)\right) \left(X^{-2\pi i\tau/\mathcal{L}} + O\left(\frac{|\tau|^2}{\mathcal{L}} + \frac{1}{\mathcal{L}}\right)\right) \phi(\tau) \tag{4.36} \\ &= \frac{\phi(\tau)e^{-2\pi i\tau}}{2\pi i\tau} + O\left(\frac{1 + |\tau| + |\tau|^2}{\mathcal{L}|\tau|}\phi(\tau)\right). \end{aligned}$$

Now the integrals of the above main term and  $O$ -term over  $C_1 \cup C_2$  are treated separately and independently. In dealing with integral of the main term in (4.36), we shall take  $\eta$  to zero, while the integral of  $O$ -term in (4.36) is estimated directly for a fixed  $\eta$ . This treatment is similar to that for the analogous expressions in [FPS18].

To deal with the  $O$ -term in (4.36), it suffices to consider the integral

$$\int_{C_1 \cup C_2} \frac{\phi(\tau)}{\mathcal{L}|\tau|} d\tau.$$

Because of the rapid decay of  $\phi$ , we easily get that the above is  $\ll \mathcal{L}^{-1}$ , upon fixing a value of  $\eta$ . Now for

$$\int_{C_1 \cup C_2} \frac{\phi(\tau)e^{-2\pi i\tau}}{2\pi i\tau} d\tau,$$

we take  $\eta$  to zero and get that the above is,

$$\frac{\phi(0)}{2} - \frac{1}{2} \int_{\mathbb{R}} \frac{\sin(2\pi\tau)}{2\pi\tau} \phi(\tau) d\tau + O(\mathcal{L}^{-1}),$$

which absorbs the integral of the  $O$ -term in (4.36).

The assertion of Theorem 1.1 now follows by combining (4.34), (4.35) with the above expression.

**Acknowledgement.** Parts of this work were done when P.G. visited UNSW in September 2019. He wishes to thank UNSW for the invitation, financial support and warm hospitality during his pleasant stay. Finally, the authors thank the anonymous referee for his/her very careful reading of this manuscript and many helpful comments and suggestions.

## References

- [AB20] J.C. Andrade and S. Baluyot, *Small zeros of Dirichlet  $L$ -functions of quadratic characters of prime modulus*, Res. Number Theory **6** (2020), 1–20.
- [BZ08] S. Baier and L. Zhao, *On the low-lying zeros of Hasse-Weil  $L$ -functions for elliptic curves*, Adv. Math. **219** (2008), 952–985.
- [Br92] A. Brumer, *The average rank of elliptic curves, I*, Invent. Math. **109** (1992), 445–472.
- [BF18] H.M. Bui and A. Florea, *Zeros of quadratic Dirichlet  $L$ -functions in the hyperelliptic ensemble*, Trans. Amer. Math. Soc. **370** (2018), 8013–8045.
- [Ch65] S. Chowla, *The Riemann Hypothesis and Hilbert’s Tenth Problem*, Mathematics and Its Applications, vol. 4, Gordon and Breach Science Publishers, New York-London-Paris, 1965.
- [CFZ08] J.B. Conrey, D.W. Farmer and M.R. Zirnbauer, *Autocorrelation of ratios of  $L$ -functions*, Commun. Number Theory Phys. **2** (2008), 593–636.
- [CS07] J.B. Conrey and N.C. Snaith, *Applications of the  $L$ -functions ratios conjectures*, Proc. Lond. Math. Soc. (3) **94** (2007), 594–646.
- [Du06] E. Dueñez, *The low lying zeros of a  $GL(4)$  and a  $GL(6)$  family of  $L$ -functions*, Compos. Math. **142** (2006), 1403–1425.
- [DM09] E. Dueñez and S.J. Miller, *The effect of convolving families of  $L$ -functions on the underlying group symmetries*, Proc. London Math. Soc. (3) **99** (2009), 787–820.
- [FPS17] D. Fiorilli, J. Parks and A. Södergren, *Low-lying zeros of quadratic Dirichlet  $L$ -functions: lower order terms for extended support*, Compos. Math. **153** (2017), 1196–1216.
- [FPS18] D. Fiorilli, J. Parks and A. Södergren, *Low-lying zeros of quadratic Dirichlet  $L$ -functions: a transition in the ratios conjecture*, Q. J. Math. **69** (2018), 1129–1149.
- [FI03] E. Fouvry and H. Iwaniec, *Low-lying zeros of dihedral  $L$ -functions*, Duke Math. J. **116** (2003), 189–217.
- [Ga14] P. Gao,  *$n$ -level density of the low-lying zeros of quadratic Dirichlet  $L$ -functions*, Int. Math. Res. Not. **2014** (2014), 1699–1728.
- [Ga20a] P. Gao, *Moments and non-vanishing of central values of quadratic Hecke  $L$ -functions in the Gaussian field* (Preprint). arXiv:2002.11899.
- [Ga20b] P. Gao, *The fourth moment of central values of quadratic Hecke  $L$ -functions in the Gaussian field* (Preprint). arXiv:2004.12528.
- [GZ19] P. Gao and L. Zhao, *Mean values of some Hecke characters*, Acta Arith. **187** (2019), 125–141.
- [GZ20] P. Gao and L. Zhao, *One level density of low-lying zeros of quadratic and quartic Hecke  $L$ -functions*, Canad. J. Math. **72** (2020), 427–454.
- [Gü05a] A.M. Güloğlu, *Low-lying zeros of symmetric power  $L$ -functions*, Int. Math. Res. Not. **2005** (2005), 517–550.
- [Gü05b] A.M. Güloğlu, *On low lying zeros of automorphic  $L$ -functions*, Ph.D. Thesis. The Ohio State University (2005).
- [H-B04] D.R. Heath-Brown, *The average rank of elliptic curves*, Duke Math. J. **122** (2004), 225–320.
- [HM07] C.P. Hughes and S.J. Miller, *Low-lying zeros of  $L$ -functions with orthogonal symmetry*, Duke Math. J. **136** (2007), 115–172.
- [HR03] C.P. Hughes and Z. Rudnick, *Linear statistics of low-lying zeros of  $L$ -functions*, Q. J. Math. **54** (2003), 309–333.
- [IK04] H. Iwaniec and E. Kowalski, *Analytic Number Theory*, American Mathematical Society Colloquium Publications, vol. 53, American Mathematical Society, Providence, 2004.
- [ILS00] H. Iwaniec, W. Luo and P. Sarnak, *Low lying zeros of families of  $L$ -functions*, Inst. Hautes Etudes Sci. Publ. Math. **91** (2000), 55–131.
- [KS99a] N. Katz and P. Sarnak, *Zeros of zeta functions and symmetries*, Bull. Amer. Math. Soc. **36** (1999), 1–26.
- [KS99b] N. Katz and P. Sarnak, *Random matrices, Frobenius eigenvalues, and monodromy*, American Mathematical Society Colloquium Publications, vol. 45, American Mathematical Society, Providence, 1999.
- [Mi04] S.J. Miller, *One- and two-level densities for rational families of elliptic curves: evidence for the underlying group symmetries*, Compos. Math. **140** (2004), 952–992.
- [Mi08] S.J. Miller, *A symplectic test of the  $L$ -functions ratios conjecture*, Int. Math. Res. Not. IMRN (2008). Art. ID rnm146, 36 pp.
- [Mi09] S.J. Miller, *Lower order terms in the 1-level density for families of holomorphic cuspidal newforms*, Acta Arith. **137** (2009), 51–98.
- [MV07] H.L. Montgomery and R.C. Vaughan, *Multiplicative number theory. I. Classical theory*, Cambridge Studies in Advanced Mathematics, vol. 97, Cambridge University Press, Cambridge, 2007.
- [ÖS99] A. E. Özlük and C. Snyder, *On the distribution of the nontrivial zeros of quadratic  $L$ -functions close to the real axis*, Acta Arith. **91** (1999), 209–228.

- [RR11] G. Ricotta and E. Royer, *Statistics for low-lying zeros of symmetric power L-functions in the level aspect*, Forum Math. **23** (2011), 969–1028.
- [RR10] G. Ricotta and E. Royer, *Lower order terms for the one-level densities of symmetric power L-functions in the level aspect*, Acta Arith. **141** (2010), 153–170.
- [Ro01] E. Royer, *Petits zéros de fonctions L de formes modulaires*, Acta Arith. **99** (2001), 147–172.
- [Ru01] M.O. Rubinstein, *Low-lying zeros of L-functions and random matrix theory*, Duke Math. J. **209** (2001), 147–181.
- [So00] K. Soundararajan, *Nonvanishing of quadratic Dirichlet L-functions at  $s = \frac{1}{2}$* , Ann. of Math. (2) **152** (2000), 447–488.
- [Yo05a] M.P. Young, *Low-lying zeros of families of elliptic curves*, J. Amer. Math. Soc. **19** (2005), 205–250.
- [Yo05b] M.P. Young, *Lower-order terms of the 1-level density of families of elliptic curves*, Int. Math. Res. Not. **10** (2005), 587–633.

**Peng Gao**

School of Mathematical Sciences  
Beihang University  
Beijing 100191 China  
*e-mail*: penggao@buaa.edu.cn

**Liangyi Zhao**

School of Mathematics and Statistics  
University of New South Wales  
Sydney NSW 2052 Australia  
*e-mail*: l.zhao@unsw.edu.au