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Integrity basis of polyconvex invariants for modeling hyperelastic orthotropic materials — Application to the mechanical response of passive ventricular myocardium

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The present paper proposes a new Strain Energy Function (SEF) for modeling incompressible orthotropic hyperelastic materials with a specific application to the mechanical response of passive ventricular myocardium. In order to build our SEF, we have followed a classical strategy based on exponential functions, but we have chosen to work with polyconvex invariants instead of the standard ones. Actually, in the context of hyperelastic problems, the polyconvexity of the strain energy density is considered as a prerequisite for ensuring the existence of solutions. By selecting a set of polyconvex invariants, we demonstrate that our model can predict the experimental data with 6 different shear modes applied to passive ventricular myocardium.

1. Introduction

Understanding the behavior of anisotropic hyperelastic materials is of major importance for scientists because their modeling has a wide range of applications in engineering biosciences such as in health therapeutic, medical prosthesis, ergonomics or virtual surgery. The mechanical study of the shear deformation of myocardial layers is for example useful because these deformations are considered to play an important role in the mechanical behavior of the heart [1].

These past ten years, many works have been performed to investigate the structurally based model originally proposed by Holzapfel and Ogden in [2] in relation to the tests carried out in [1] where the orthotropic nature of the ventricular myocardium has been proven. Erikson et al. [3] have for example improved this model with structure parameters allowing the quantification of the degree of dispersion based on measured fiber and sheet angle data. Melnik et al. [4] have modified the hyperelastic Holzapfel-Ogden model by using Generalized Structure Tensors (GSTs) in order to account for fiber dispersion in every term of the strain-energy function. McEvoy et al. [5] have studied the effect of compressibility by combining a nonlinear volumetric hyperelastic component with the Holzapfel-Ogden anisotropic hyperelastic component for myocardium fibers. Palit et al. [6] have implemented the Holzapfel-Ogden constitutive law in the MSCMarc finite element software in order to inversely estimate the constitutive parameters of the model. Very recently, Li et al. [7] have extended the

Holzapfel–Ogden model by accounting for the mixed-invariants in the fiber-normal and sheet-normal directions.

All these models, like in most of the papers published in the literature, separate the SEF into an isotropic part and an anisotropic part. The first part is used to model the low strain behavior of the ground matrix and the second part accounts for the behavior of the fibers at higher strain. A first alternative to this standard approach is to introduce free-invariants SEF [8]. Another alternative consists in using an integrity basis of invariants as proposed in [9] and mixing them in a single SEF [10]. This second alternative, mathematically justified by the theory of invariant polynomials and by Noether's theorem, is inspired by the pioneering work of Thionnet et al. [11]. One advantage of this second alternative is to work with polyconvex invariants, the polyconvexity of the strain energy density being considered as a prerequisite for ensuring the existence of solutions in compatibility with physical requirements [12]. A wide survey with many proofs on polyconvexity of isotropic and transversely isotropic functions can be viewed in [13].

The present paper proposes a new SEF for modeling incompressible orthotropic hyperelastic materials with a specific application to the mechanical response of passive ventricular myocardium. In order to build our SEF, we have followed a strategy based on exponential functions, as proposed by Holzapfel et al. [2], but we have selected polyconvex invariants instead of the standard ones generally used in the literature [2–7]. Working with the set of polyconvex invariants exhibited in [10] allows to replace the classical mixed invariant I_8 , which is

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non polyconvex (the proof is in Section 4), by the polyconvex invariant L_4 defined by Eq. (10). This replacement provides consistent numerical results with [2] and our model can perfectly match the experimental data obtained by Dokos et al. [1] with 6 different shear modes applied to passive ventricular myocardium. In addition, sufficient conditions ensuring the convexity of the SEF are given in Section 7. Finally, our model can represent stretch effect in the 3 specific directions of passive ventricular myocardium, the myocyte axis direction, the direction lying within the muscle layer and transverse to the myocyte axis, and the direction normal to the muscle layer.

Notations

A bold-face Latin lowercase letter, say a, and a bold-face Latin capital letter, say A, will denote a vector and second-order tensor, respectively. The standard Euclidean inner product $\langle ., . \rangle$ in a n vector space dimension, its related norm $\|.\|$, and the product . \otimes . between two vectors a and b, are respectively defined by:

$$\langle \boldsymbol{A}\boldsymbol{a}, \boldsymbol{a} \rangle = \sum_{i=1}^{n} A_{ij} a_j a_i; \ \|\boldsymbol{a}\| = \sqrt{\sum_{i=1}^{n} (a_i)^2}; \ (\boldsymbol{a} \otimes \boldsymbol{b})_{ij} = a_i b_j$$

2. Kinematics and basic continuum mechanics

Consider a continuum body V with particle $P \in V$ which is embedded in the three-dimensional Euclidean space at a given instant t of time. As the continuum body V moves in space from one instant of time to another it occupies a continuous sequence of geometrical regions denoted by $\Omega_0 \dots \Omega_i$. Ω_i is referred to as the fixed reference (undeformed or Lagrangian) configuration (t = 0) of the body V while the configuration t is called the current (deformed or Eulerian) configuration. The position x of particle P in the current (or deformed) configuration can be deduced by the motion φ that depends on its initial position vector X and the time t:

$$\mathbf{x} = \varphi(\mathbf{X}, t) \tag{1}$$

The deformation gradient matrix F is defined by:

$$F = \frac{\partial x}{\partial X} = I + \frac{\partial U(X,t)}{\partial X}$$
(2)

I is the unity tensor and U(X,t) = x - X is the displacement vector of the particle. The tensors *C* and *B* are the so-called right and left Cauchy–Green strain tensors:

$$\boldsymbol{C} = \boldsymbol{F}^T \boldsymbol{F}; \ \boldsymbol{B} = \boldsymbol{F} \boldsymbol{F}^T \tag{3}$$

In terms of stress tensor, we remind that the second Piola–Kirchhoff stress tensor *S* and the corresponding Cauchy stress tensor σ are obtained by differentiating a SEF *W* with respect to *C*:

$$S = 2\frac{\partial W}{\partial C} - pC^{-1}; \ \sigma = J^{-1}FSF^{T}$$
(4)

where the extra pressure *p* is included in the formulation to account for the incompressibility condition $J = \det(F) = 1$. Combining the two equalities of Eq. (4) yields to:

$$\sigma = 2J^{-1}F\frac{\partial W}{\partial C}F^{T} - pI$$
(5)

Note that, in the following, the time t will be omitted because we are only interested in static problems.

3. Integrity basis of polyconvex invariants for modeling orthotropic materials

The mechanical response of passive ventricular myocardium to simple shear loading is sensitive to the shear mode as described in [1]. In this context, the fiber direction f_0 , the direction s_0 transverse to the fiber direction in plane of sheets, and the normal n_0 to sheets play a key role to define the material symmetry group (Fig. 1). In particular, the 3 planes perpendicular to the three directions f_0 , s_0 and n_0 are planes

of symmetry for the material. The material properties remain therefore invariant under the action of 3 reflections $R(f_0)$, $R(s_0)$ and $R(n_0)$ related to these 3 planes; 3 rotations $Q_{\pi}(f_0)$, $Q_{\pi}(s_0)$ and $Q_{\pi}(n_0)$ by an angle π around f_0 , s_0 and n_0 , and under I and -I:

$$R(f_0) = I - 2f_0 \otimes f_0 = -Q_\pi(f_0); R(s_0) = I - 2s_0 \otimes s_0 = -Q_\pi(s_0)$$
(6)

$$\boldsymbol{R}\left(\boldsymbol{n}_{0}\right) = \boldsymbol{I} - 2\boldsymbol{n}_{0} \otimes \boldsymbol{n}_{0} = -\boldsymbol{Q}_{\pi}\left(\boldsymbol{n}_{0}\right) \tag{7}$$

The set $S_8 = \{R(f_0), R(s_0), R(n_0), Q_{\pi}(f_0), Q_{\pi}(s_0), Q_{\pi}(n_0), I, -I\}$ built with the 8 invariant matrix operators defined by Eqs. (6)–(7) is stable under multiplication, contains the neutral element I and each element has an inverse in S_8 . This confers a group structure to S_8 . Using a mathematical argument based on the Reynolds operator and on the Noether's theorem, Ta et al. [9] have presented a systematic method to find a set of invariants associated with S_8 and demonstrated that the following 7 polynomial invariants K_i form an integrity basis of the ring of invariant polynomials under the material symmetry group S_8 :

$$K_1 = \rho_1; \ K_2 = \rho_2; \ K_3 = \rho_3; \ K_4 = \rho_4^2; \ K_5 = \rho_5^2; \ K_6 = \rho_6^2; \ K_7 = \rho_4 \rho_5 \rho_6$$
(8)

$$\begin{cases} \rho_1 = \langle Cf_0, f_0 \rangle; \ \rho_2 = \langle Cs_0, s_0 \rangle; \ \rho_3 = \langle Cn_0, n_0 \rangle \\ \rho_4 = \langle Cf_0, s_0 \rangle; \ \rho_5 = \langle Cf_0, n_0 \rangle; \ \rho_6 = \langle Cs_0, n_0 \rangle \end{cases} \tag{9}$$

Later, Cai et al. [10] combined the invariants K_i in order to build an integrity basis of 7 polyconvex invariants L_i :

$$\begin{cases} L_1 = K_1; \ L_2 = K_2; \ L_3 = K_3; \ L_4 = (K_1 + K_2)^2 + 4K_4; \\ L_5 = (K_1 + K_3)^2 + 4K_5 \\ L_6 = (K_2 + K_3)^2 + 4K_6; \\ L_7 = K_7 + \frac{1}{2} \left(K_1 K_2 K_3 - K_1 K_6 - K_2 K_5 - K_3 K_4 \right) \end{cases}$$
(10)

It is also demonstrated in [10] that L_7 contributes in Eq. (5) under the form of a redundant term with the extra pressure *p*. L_7 can therefore be removed from the set of invariants. Consequently, in order to benefit from the property of polyconvexity, we assume that the SEF *W* depends on the six first polyconvex invariants L_i . Therefore, Eq. (5) yields to:

$$\sigma = 2J^{-1}F\left(\sum_{i=1}^{6} \frac{\partial W}{\partial L_i} \frac{\partial L_i}{\partial C}\right)F^T - pI$$
(11)

The derivatives $\frac{\partial L_i}{\partial C}$ embedded in Eq. (11) are calculated straightforwardly from Eqs. (8)–(10):

$$\frac{\partial L_1}{\partial C} = f_0 \otimes f_0; \quad \frac{\partial L_2}{\partial C} = s_0 \otimes s_0; \quad \frac{\partial L_3}{\partial C} = n_0 \otimes n_0 \tag{12}$$

$$\frac{\partial L_4}{\partial C} = 2 \left\{ (L_1 + L_2) \left(f_0 \otimes f_0 + s_0 \otimes s_0 \right) + \sqrt{L_4 - (L_1 + L_2)^2} \left(f_0 \otimes s_0 + s_0 \otimes f_0 \right) \right\}$$
(13)

$$\frac{\partial L_5}{\partial C} = 2 \left\{ \left(L_1 + L_3 \right) \left(\boldsymbol{f_0} \otimes \boldsymbol{f_0} + \boldsymbol{n_0} \otimes \boldsymbol{n_0} \right) + \sqrt{L_5 - \left(L_1 + L_3 \right)^2} \left(\boldsymbol{f_0} \otimes \boldsymbol{n_0} + \boldsymbol{n_0} \otimes \boldsymbol{f_0} \right) \right\}$$
(14)

$$\frac{-0}{\partial C} = 2 \left\{ (L_2 + L_3) (s_0 \otimes s_0 + n_0 \otimes n_0) + \sqrt{L_6 - (L_2 + L_3)^2} (s_0 \otimes n_0 + n_0 \otimes s_0) \right\}$$
(15)

In order to calculate the derivatives $\frac{\partial W}{\partial L_i}$ also included in Eq. (11), it is necessary to define how the SEF *W* depends on the invariants L_i . This issue is discussed in the next section.

4. Strain energy function

Dokos et al. [1] have demonstrated that passive ventricular myocardium behaves differently depending on the plane of shear. The



Fig. 1. 6 possible modes of simple shear test in different planes.

6 different modes of shear are shown in Fig. 1 where the myocyte axis representing the fiber direction is depicted with green full lines. Building a consistent model thus requires accounting for the myocyte axis direction f_0 , the direction s_0 lying within the muscle layer and transverse to f_0 , and the direction n_0 normal to the muscle layer.

Due to the exponential trends of the stress observed experimentally, Holzapfel et al. [2] have proposed a SEF made of exponential functions:

$$W = \frac{a}{2b} exp \left[b \left(I_1 - 3 \right) \right] + \sum_{i=f,s} \frac{a_i}{2b_i} \left\{ exp \left[b_i \left(I_{4i} - 1 \right)^2 \right] - 1 \right\} + \frac{a_{fs}}{2b_{fs}} \left\{ exp \left[b_{fs} I_{8fs}^2 \right] - 1 \right\}$$
(16)

where *a*, *b*, a_f , a_s , b_f , b_s , a_{fs} and b_{fs} are 8 positive material constants, I_1 is the first principal invariant of *C*, and I_{4f} , I_{4s} and I_{8fs} are the classical mixed invariants combining *C* with f_0 and s_0 :

$$I_1 = Tr(\mathbf{C}); \ I_{4f} = \langle \mathbf{C}f_0, f_0 \rangle; \ I_{4s} = \langle \mathbf{C}s_0, s_0 \rangle; \ I_{8fs} = \langle \mathbf{C}f_0, s_0 \rangle$$
(17)

It is easy to check that the standard invariants (17) are connected to the polyconvex invariants L_i introduced by Eqs. (8)–(10) by:

$$I_1 = L_1 + L_2 + L_3; \ I_{4f} = L_1; I_{4s} = L_2; \ I_{8fs} = \frac{1}{2}\sqrt{L_4 - (L_1 + L_2)^2}$$
 (18)

And conversely:

$$L_1 = I_{4f}; \ L_2 = I_{4s}; \ L_3 = I_1 - I_{4f} - I_{4s}; \ L_4 = 4I_{8fs}^2 + (I_{4f} + I_{4s})^2$$
 (19)

However, it should be also noted that, if the classical invariants I_1 , I_{4f} and I_{4s} are polyconvex, as convex functions of F, it is not the case for I_{8fs} . Actually, from Eq. (17), the second derivative of I_{8fs} with respect to F is:

$$\frac{d^2 I_{8fs}}{dF^2}(F)(dF)(dG) = \langle dFf_0, dGs_0 \rangle + \langle dFs_0, dGf_0 \rangle$$
(20)

$$\Rightarrow \frac{d^2 I_{8fs}}{dF^2}(F)(dF)(dF) = 2 \langle dFf_0, dFs_0 \rangle$$
(21)

In order to demonstrate that I_{8fs} is non polyconvex, we just have to find a counterexample with a matrix dF giving a negative value in Eq. (21). We choose this dF as follows:

$$dF = -f_0 \otimes f_0 + f_0 \otimes s_0 + s_0 \otimes f_0 \tag{22}$$

One reports Eq. (22) in the inner product of Eq. (21):

$$\langle dFf_0, dFs_0 \rangle = \langle -f_0 + s_0, f_0 \rangle = \langle -f_0, f_0 \rangle = -1 < 0$$
 (23)

The proof of the non-polyconvexity of the classical mixed invariant $I_{8\rm fs}$ is complete. Besides, it is underlined in [2] that $I_{8\rm fs}$ generally has a destabilizing influence. It turns out that if polyconvexity is a prerequisite for building SEF in order to ensure existence of solutions, it would be better to choose the set of invariants defined by Eqs. (8)–(10) rather than the ones defined by Eq. (17). In order to make further comparison between the model introduced in [2] and our model, it is useful at this stage to remind the Cauchy stress calculated in [2]:

$$\sigma = a \exp\left[b\left(I_{1}-3\right)\right] \boldsymbol{B} - p\boldsymbol{I} + 2a_{f}\left(I_{4f}-1\right) \exp\left[b_{f}\left(I_{4f}-1\right)^{2}\right] \boldsymbol{f} \otimes \boldsymbol{f} + 2a_{s}\left(I_{4s}-1\right) \exp\left[b_{s}\left(I_{4s}-1\right)^{2}\right] \boldsymbol{s} \otimes \boldsymbol{s} + a_{fs}I_{8fs} \exp\left[b_{fs}I_{8fs}^{2}\right] (\boldsymbol{f} \otimes \boldsymbol{s} + \boldsymbol{s} \otimes \boldsymbol{f})$$
(24)

where f and s represent the deformed directions, respectively for the fiber and for the direction transverse to the fiber direction in plane of sheets:

$$f = Ff_0; \ s = Fs_0; \ n = Fn_0 \tag{25}$$

To build our model, we proceed at the beginning in the same manner as in [2], by expressing the SEF in the form of exponential functions such as in Eq. (16). However, instead of using the classical invariants introduced by Eq. (17), we select the set of polyconvex invariants defined by Eqs. (8)–(10):

$$W = \sum_{i=1}^{3} \frac{\alpha_i}{2\beta_i} \left\{ exp \left[\beta_i \left(L_i - 1 \right)^2 \right] - 1 \right\} + \frac{\alpha_4}{2\beta_4} \left\{ exp \left[\beta_4 \left(L_4 - 4 \right)^2 \right] - 1 \right\}$$
(26)

The 8 coefficients $\alpha_1, \alpha_2, \alpha_3, \alpha_4, \beta_1, \beta_2, \beta_3$ and β_4 are the material parameters of our model, the α_i parameters having the unit of stress while the parameters β_i are dimensionless. Note that we have omitted in Eq. (26) the dependence of W with respect to the invariants L_5 and L_6 in order to keep the same number of invariants as in the model proposed in [2]. It is also remarked that the SEF defined by Eq. (26) is null if the material is at rest. Actually, if the displacement is equal to zero, it comes from

Eqs. (2)–(3) that both tensors F and C are equal to the identity matrix I. Therefore, it follows from Eqs. (8)–(10) that: $L_1 = L_2 = L_3 = 1$; $L_4 = 4$. Consequently, W = 0. We now calculate the Cauchy stress corresponding to our model by reporting Eqs. (12)–(15) in Eq. (11) and by assuming the incompressible nature of the material (J = 1):

$$\sigma = 2F \left\{ \left[\frac{\partial W}{\partial L_1} + 2 \frac{\partial W}{\partial L_4} (L_1 + L_2) \right] f_0 \otimes f_0 + \left[\frac{\partial W}{\partial L_2} + 2 \frac{\partial W}{\partial L_4} (L_1 + L_2) \right] s_0 \otimes s_0 + \frac{\partial W}{\partial L_3} n_0 \otimes n_0 + 2 \frac{\partial W}{\partial L_4} \sqrt{L_4 - (L_1 + L_2)^2} (f_0 \otimes s_0 + s_0 \otimes f_0) \right\} F^T - pI$$
(27)

We next report the well-known property $n_0 \otimes n_0 = I - f_0 \otimes f_0 - s_0 \otimes s_0$ in Eq. (27) and we use Eq. (25):

$$\sigma = 2\frac{\partial W}{\partial L_3} \mathbf{B} - p\mathbf{I} + 2\left[\frac{\partial W}{\partial L_1} - \frac{\partial W}{\partial L_3} + 2\frac{\partial W}{\partial L_4} \left(L_1 + L_2\right)\right] \mathbf{f} \otimes \mathbf{f}$$
$$+ 2\left[\frac{\partial W}{\partial L_2} - \frac{\partial W}{\partial L_3} + 2\frac{\partial W}{\partial L_4} \left(L_1 + L_2\right)\right] \mathbf{s} \otimes \mathbf{s}$$
$$+ 4\frac{\partial W}{\partial L_4} \sqrt{L_4 - \left(L_1 + L_2\right)^2} \left(\mathbf{f} \otimes \mathbf{s} + \mathbf{s} \otimes \mathbf{f}\right)$$
(28)

We finally calculate the derivatives $\frac{\partial W}{\partial L_i}$ from Eq. (26):

$$\frac{\partial W}{\partial L_i} = \alpha_i \left(L_i - 1 \right) exp \left[\beta_i \left(L_i - 1 \right)^2 \right], i = 1, 2, 3;$$

$$\frac{\partial W}{\partial L_4} = \alpha_4 \left(L_4 - 4 \right) exp \left[\beta_4 \left(L_4 - 4 \right)^2 \right]$$
(29)

In order to compare the Cauchy stress of Eq. (28) with Eq. (24) extracted from [2], one reports Eq. (29) in Eq. (28):

$$\sigma = AB - pI + [B - A + C] f \otimes f + [D - A + C] s \otimes s + E (f \otimes s + s \otimes f)$$
(30)

$$A = 2\alpha_3 (L_3 - 1) \exp \left[\beta_3 (L_3 - 1)^2\right]; B = 2\alpha_1 (L_1 - 1) \exp \left[\beta_1 (L_1 - 1)^2\right]$$
(31)

$$C = 4\alpha_4 (L_4 - 4) (L_1 + L_2) exp \left[\beta_4 (L_4 - 4)^2 \right];$$

$$D = 2\alpha_2 (L_2 - 1) exp \left[\beta_2 (L_2 - 1)^2 \right]$$
(32)

$$E = 4\alpha_4 \left(L_4 - 4 \right) \sqrt{L_4 - \left(L_1 + L_2 \right)^2} exp \left[\beta_4 \left(L_4 - 4 \right)^2 \right]$$
(33)

It is observed that the same 5 tensors **B**, **I**, $f \otimes f$, $s \otimes s$ and $f \otimes s + s \otimes f$ are involved in both equations (24) and (30). But there exist fundamental differences since Eq. (24) is a sum with a single exponential associated to each term while several exponentials coming from the polyconvex invariants are mixed in the 3 last terms of Eq. (30), according to Eqs. (31)–(33). Beyond working with polyconvex invariants, the interest of Eq. (30) is to account for the invariant $I_{4n} = \langle Cn_0, n_0 \rangle$ and therefore to distinguish explicitly the effect of the stretch in the myocyte axis direction f_0 , in the direction s_0 lying within the muscle layer and transverse to f_0 , and in the direction n_0 normal to the muscle layer. To prove this claim, Eq. (30) is reorganized by factorizing the common terms with A and C:

$$\sigma = A \left(\mathbf{B} - \mathbf{f} \otimes \mathbf{f} - \mathbf{s} \otimes \mathbf{s} \right) + B \mathbf{f} \otimes \mathbf{f} + D \mathbf{s} \otimes \mathbf{s} - p \mathbf{I}$$
$$+ C \left(\mathbf{f} \otimes \mathbf{f} + \mathbf{s} \otimes \mathbf{s} \right) + E \left(\mathbf{f} \otimes \mathbf{s} + \mathbf{s} \otimes \mathbf{f} \right)$$
(34)

The first parenthesis in Eq. (34) is simplified by reporting inside the following result which holds in the basis (f_0, s_0, n_0) :

$$B = f \otimes f + s \otimes s + n \otimes n$$

$$\Rightarrow \sigma = An \otimes n + Bf \otimes f + Ds \otimes s - pI + C (f \otimes f + s \otimes s)$$
(35)

$$+E(f\otimes s+s\otimes f) \tag{36}$$

It is noted from Eqs. (19), (31) and (32) that the coefficients *B* and *D* are linked to the invariants I_{4f} and I_{4s} :

$$B = 2\alpha_1 (I_{4f} - 1) exp \left[\beta_1 (I_{4f} - 1)^2 \right];$$

$$D = 2\alpha_2 (I_{4s} - 1) exp \left[\beta_2 (I_{4s} - 1)^2 \right]$$
(37)

That means that the second and third terms of Eq. (36) represent the effect of the stretch in the directions f_0 and s_0 . Regarding the first term of Eq. (36), it follows from Eqs. (17), (19) and (31) that L_3 is equal to the mixed invariant I_{4n} :

$$L_{3} = Tr\left(C\left[I - f_{0} \otimes f_{0} - s_{0} \otimes s_{0}\right]\right) = Tr\left(Cn_{0} \otimes n_{0}\right) = I_{4n}$$
(38)

The coefficient A is therefore linked to I_{4n} via Eqs. (31) and (38):

$$A = 2\alpha_3 \left(I_{4n} - 1 \right) exp \left[\beta_3 \left(I_{4n} - 1 \right)^2 \right]$$
(39)

That means that the first term of Eq. (36) represents the effect of the stretch in the direction n_0 . It is thus possible to give a physical meaning to each of the 3 first terms of Eq. (36) with respect to the specific geometry of the material. This would be useful if one needed to focus specifically on the effect of stretching in the n_0 direction. This is a significant difference with the model proposed in [2] which uses the 3 invariants I_1 , I_{4f} and I_{4s} instead of I_{4f} , I_{4s} and I_{4n} .

5. Simple shear test

A shear experiment is driven by the shear deformation k as shown in Fig. 2. The displacement U, related for example to a simple shear deformation in the (n_0, f_0) plane, is expressed with respect to k as follows:

$$\boldsymbol{U} = \begin{cases} kn_0 \\ 0 \\ 0 \end{cases} \tag{40}$$

It follows from Eqs. (2), (3) and (40) that:

$$F = \begin{pmatrix} 1 & 0 & k \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}; \ C = \begin{pmatrix} 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & k^2 + 1 \end{pmatrix}; \ B = \begin{pmatrix} k^2 + 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & 1 \end{pmatrix}$$
(41)

Applying to the 6 different modes of shear the same kind of calculation as the one used for establishing Eqs. (40) and (41), it is easy to obtain the displacement U, the gradient deformation matrix F and the strain tensors C and B related to each of these 6 modes (Table 1).

By using the data contained in Table 1, the expressions of the polyconvex invariants L_1, L_2, L_3 and L_4 with respect to k (Table 2) come from Eqs. (8)–(10). Finally, by using Tables 1 and 2, Eq. (28) gives the Cauchy stress expressions corresponding to each of the 6 shear modes (Table 3). Since the (nf) and (ns) modes have the same invariant L_3 , they also have the same shear stresses. This is consistent with the experimental observations since the responses for the (nf) and (ns) modes are indistinguishable as reported in [1].

6. Comparison between the model of Eq. (26) and the experimental data of Dokos et al. [1]

In order to identify the 8 material parameters $\alpha_1, \alpha_2, \alpha_3, \alpha_4, \beta_1, \beta_2, \beta_3$ and β_4 of the SEF *W* defined by Eq. (26), we have used the classical coefficient of determination R^2 :

$$R^2 = 1 - \frac{S_{res}}{S_{tot}} \tag{42}$$

where S_{res} and S_{tot} are respectively the residual sum and the total sum of squares over the number of experimental data *n*:

$$S_{res} = \|\mathbf{y} - \mathbf{f}\|^2 = \sum_{i=1}^{n} (y_i - f_i)^2; \ S_{tot} = \|\mathbf{y} - \overline{\mathbf{y}}\|^2 = \sum_{i=1}^{n} (y_i - \overline{y})^2$$
(43)



Fig. 2. Simple shear test in the (n_0, f_0) plane.

 Table 1

 Displacement and strain tensors for the 6 shear modes.

Shear modes	U	F		С	В
(nf)	$ \begin{cases} kn_0 \\ 0 \\ 0 \end{cases} $	$ \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix} $	$\begin{pmatrix} k \\ 0 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & k^2 + 1 \end{pmatrix}$	$\begin{pmatrix} k^2 + 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & 1 \end{pmatrix}$
(ns)	$ \begin{cases} 0 \\ kn_0 \\ 0 \end{cases} $	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0\\k\\1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & k \\ 0 & k & k^2 + 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & k^2 + 1 & k \\ 0 & k & 1 \end{pmatrix}$
(fn)	$ \begin{pmatrix} 0 \\ 0 \\ kf_0 \end{pmatrix} $	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ k & 0 \end{pmatrix}$	$\begin{pmatrix} 0\\0\\1 \end{pmatrix}$	$\begin{pmatrix} k^2 + 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & 1 \end{pmatrix}$	$ \begin{pmatrix} 1 & 0 & k \\ 0 & 1 & 0 \\ k & 0 & k^2 + 1 \end{pmatrix} $
(fs)	$ \begin{cases} 0 \\ kf_0 \\ 0 \end{cases} $	$ \begin{pmatrix} 1 & 0 \\ k & 1 \\ 0 & 0 \end{pmatrix} $	$\begin{pmatrix} 0\\0\\1 \end{pmatrix}$	$\begin{pmatrix} k^2 + 1 & k & 0 \\ k & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & k & 0 \\ k & k^2 + 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
(sn)	$ \begin{cases} 0 \\ 0 \\ ks_0 \end{cases} $	$ \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & k \end{pmatrix} $	$\begin{pmatrix} 0\\0\\1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & k^2 + 1 & k \\ 0 & k & 1 \end{pmatrix}$	$ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & k \\ 0 & k & k^2 + 1 \end{pmatrix} $
(sf)	$ \begin{cases} ks_0 \\ 0 \\ 0 \end{cases} $	$\begin{pmatrix} 1 & k \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0\\0\\1 \end{pmatrix}$	$\begin{pmatrix} 1 & k & 0 \\ k & k^2 + 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} k^2 + 1 & k & 0 \\ k & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

Table 2

Polyconvex invariants for the 6 shear modes.

Shear modes	Polyconvex invariants				
	L_1	L_2	L_3	L_4	
(nf)	1	1	$k^2 + 1$	4	
(ns)	1	1	$k^2 + 1$	4	
(fn)	$k^2 + 1$	1	1	$(k^2 + 2)^2$	
(fs)	$k^2 + 1$	1	1	$(k^2+2)^2+4k^2$	
(sn)	1	$k^2 + 1$	1	$(k^2 + 2)^2$	
(sf)	1	$k^{2} + 1$	1	$\left(k^2+2\right)^2+4k^2$	

Table 3

Shear component of the Cauchy stresses for the 6 shear modes.

Shear modes	Cauchy stress - shear component
(nf)	$2k \frac{\partial W}{\partial L_3}$
(ns)	$2k \frac{\partial W}{\partial L_3}$
(fn)	$2k\left[\frac{\partial W}{\partial L_1} + 2\left(k^2 + 2\right)\frac{\partial W}{\partial L_4}\right]$
(fs)	$2k\left[\frac{\partial W}{\partial L_1} + 2\left(k^2 + 4\right)\frac{\partial W}{\partial L_4}\right]$
(sn)	$2k\left[\frac{\partial W}{\partial L_2} + 2\left(k^2 + 2\right)\frac{\partial W}{\partial L_4}\right]$
(sf)	$2k\left[\frac{\partial W}{\partial L_2} + 2\left(k^2 + 4\right)\frac{\partial W}{\partial L_4}\right]$

 y_i stands for the experimental data, f_i for the theoretical data and \overline{y} for the mean of the experimental data:

$$\overline{y} = \frac{1}{n} \sum_{i=1}^{n} y_i \tag{44}$$

Table 4		
Identified	material	narame

identified material parameters.					
α_1 (kPa)	α_2 (kPa)	α_3 (kPa)	α_4 (kPa)		
18.877	2.495	3.184	0.168		
$\beta_1(-)$	$\beta_2(-)$	$\beta_3(-)$	$\beta_4(-)$		
19.39	20.113	11.543	0.107		

Table 5 Coefficient of determ

Coefficient of determination R^2 .					
Shear modes	(nf)=(ns)	(fn)	(fs)	(sn)	(sf)
R^2	0.982	0.998	0.997	0.993	0.998

The closest to 1 R^2 is, the best the fit of the experimental data by the theoretical data will be. The aim is thus to find the set of material parameters $(\alpha_1, \alpha_2, \alpha_3, \alpha_4, \beta_1, \beta_2, \beta_3, \beta_4)$ minimizing the ratio $\frac{S_{res}}{S_{tot}}$. The experimental data were extracted from [1] and the theoretical values were computed by using the stress expressions of Table 3 in combination with Eq. (29):

$$\sigma_{nf} = \sigma_{ns} = 2k^{3}\alpha_{3}exp(\beta_{3}k^{4})$$
(45)

$$\sigma_{fn} = 2k^{3} \left[\alpha_{1}exp(\beta_{1}k^{4}) + 2\alpha_{4}(k^{2}+2)(k^{2}+4)exp(\beta_{4}k^{4}(k^{2}+4)^{2}) \right]$$
(46)
(46)

$$\sigma_{fs} = 2k^3 \left[\alpha_1 \exp\left(\beta_1 k^4\right) + 2\alpha_4 \left(k^2 + 4\right) \left(k^2 + 8\right) \exp\left(\beta_4 k^4 \left(k^2 + 8\right)^2\right) \right]$$
(47)

$$\sigma_{sn} = 2k^3 \left[\alpha_2 exp \left(\beta_2 k^4 \right) + 2\alpha_4 \left(k^2 + 2 \right) \left(k^2 + 4 \right) exp \left(\beta_4 k^4 \left(k^2 + 4 \right)^2 \right) \right]$$
(48)

$$\sigma_{sf} = 2k^3 \left[\alpha_2 exp \left(\beta_2 k^4 \right) + 2\alpha_4 \left(k^2 + 4 \right) \left(k^2 + 8 \right) exp \left(\beta_4 k^4 \left(k^2 + 8 \right)^2 \right) \right]$$
(49)

We have implemented equations Eqs. (45)-(49) in the Octave free software [14] and performed the material identification by using the optimization routine fminunc based on gradient search. The identified material parameters are shown in Table 4 and the corresponding coefficients of determination R^2 in Table 5. It is observed an excellent level of correlation, confirmed by Fig. 3 which shows a very good fit between the theoretical curves and the experimental data. That proves that a model using polyconvex invariants is as efficient as a model using standard invariants for predicting the mechanical response of passive ventricular myocardium. The worst prediction, with a coefficient of determination equal to 0.982, is obtained with the (nf) = (ns) modes, but it is not surprising because these modes concern the lowest level of stress and it is generally difficult for a model to match perfectly experimental low values. Finally, we have observed that the theoretical curves drawn in Fig. 3 are identical to the ones provided in Figure 6 of [2], proving the consistency of our model with the literature.



Fig. 3. Comparison between the model and the experimental data.

7. Convexity of the SEF defined by Eq. (26)

The convexity of a SEF is an important issue regarding computational efficiency, and particularly for ensuring the uniqueness of the solution of a hyperelastic problem. In order to present sufficient conditions providing this desired property of convexity, we proceeded at the beginning in the same way as in [2]. Since the SEF defined by Eq. (26) is expressed as a sum over exponential functions, a sufficient condition for ensuring convexity is to study separately the convexity for each term. The contribution in the SEF of the first invariant L_1 is for example written as follows from Eq. (26):

$$W_1(C) = f_1(L_1(C))$$
(50)

With the real-valued function f_1 defined by:

$$f_1(x) = \frac{\alpha_1}{2\beta_1} \left\{ exp \left[\beta_1 (x-1)^2 \right] - 1 \right\}$$
(51)

From Eq. (8)–(10), the invariant $L_1(C)$ can be expressed linearly with respect to *C* thanks to the trace operator Tr:

$$L_1(\mathbf{C}) = \langle Cf_0, f_0 \rangle = Tr\left(f_0 \otimes f_0 C\right)$$
(52)

Deriving twice Eq. (50), one obtains from Eq. (52):

$$W_1''(C)(dC)(dC) = f_1''(L_1(C)) \left[Tr(f_0 \otimes f_0 dC)\right]^2$$
(53)

where the second derivative of f_1 is obtained from Eq. (51):

$$f_{1}''(L_{1}(C)) = \alpha_{1} \left\{ 1 + 2\beta_{1} \left(L_{1}(C) - 1 \right)^{2} \right\} exp\left[\beta_{1} \left(L_{1}(C) - 1 \right)^{2} \right]$$
(54)

It is deduced from Eqs. (53) and (54) that a sufficient condition for W_1 to be strictly convex is:

$$\alpha_1 > 0; \ \beta_1 > 0$$
(55)

Following the same logic with the second and third polyconvex invariants L_2 and L_3 , it is obtained the additional sufficient conditions:

$$\alpha_2 > 0; \ \alpha_3 > 0; \ \beta_2 > 0; \ \beta_3 > 0$$
 (56)

The case of the fourth invariant is more complicated because $L_4(C)$ is expressed in a quadratic form with respect to C:

$$L_4(\mathbf{C}) = 4 \left[Tr \left(\mathbf{f}_0 \otimes \mathbf{s}_0 \mathbf{C} \right) \right]^2 + \left[Tr \left(\mathbf{f}_0 \otimes \mathbf{f}_0 \mathbf{C} \right) + Tr \left(\mathbf{s}_0 \otimes \mathbf{s}_0 \mathbf{C} \right) \right]^2$$
(57)

From Eq. (26), the contribution in the SEF of L_4 is:

$$W_4(\mathbf{C}) = f_4\left(L_4(\mathbf{C})\right) \tag{58}$$

With the real-valued function f_4 defined by:

$$f_4(x) = \frac{\alpha_4}{2\beta_4} \left\{ exp \left[\beta_4 (x-4)^2 \right] - 1 \right\}$$
(59)
Deriving twice Eqs. (57), (58) and (59), one obtains:

 $W_{4}^{\prime \prime}(C)(dC)(dC) = f_{4}^{\prime \prime}(L_{4}(C))[L_{4}^{\prime}(C)(dC)]^{2}$

$$+ f_{4}'(L_{4}(C))L_{4}''(C)(dC)(dC)$$
(60)

$$f_4'(L_4) = \alpha_4 \left(L_4 - 4 \right) \exp \left| \beta_4 \left(L_4 - 4 \right)^2 \right|$$
(61)

$$f_4''(L_4) = \alpha_4 \left(1 + 2\beta_4 \left(L_4 - 4 \right)^2 \right) exp \left[\beta_4 \left(L_4 - 4 \right)^2 \right]$$
(62)

 $L_4''(\mathbf{C})(\mathbf{dC})(\mathbf{dC}) = 8 \left[Tr \left(f_0 \otimes s_0 \mathbf{dC} \right) \right]^2$

$$+2\left[Tr\left(f_{0}\otimes f_{0}dC\right)+Tr\left(s_{0}\otimes s_{0}dC\right)\right]^{2}$$
(63)

Noting that $L_4''(C)(dC)(dC) \ge 0$, as a sum over positive quantities, and that $L_4 - 4 \ge 0$ in each of the 6 shear loadings studied in this work (last row of Table 2), it results from Eqs. (60)–(63) that a sufficient condition for W_4 to be convex is:

$$\alpha_4 > 0; \quad \beta_4 > 0 \tag{64}$$

Note that the material parameters identified in Table 4 satisfy the convexity conditions given by Eqs. (55), (56) and (64).

8. Conclusions

In this study, a new strain energy function (SEF) was proposed for modeling incompressible orthotropic hyperelastic materials with a specific application to the mechanical response of passive ventricular myocardium. The SEF was built by combining exponential functions as proposed in [2] but, instead of using standard mixed invariants, we have selected the integrity basis of polyconvex invariants introduced in [10].

Using this new set of invariants allows to replace the classical mixed invariant I_8 , which is demonstrated to be non-polyconvex, by the polyconvex invariant L_4 defined by Eqs. (8)-(10). With a SEF built on this basis, according to Eqs. (10) and (26), we demonstrated that our model is capable to accurately predict the experimental data obtained in [1] with 6 different shear modes applied to passive ventricular myocardium. In addition, our model is also consistent with results previously obtained in the literature [2]. Besides, we established sufficient conditions ensuring the convexity of the SEF and expressed them as inequalities involving the material parameters (Eqs. (55), (56) and (64)). Finally, our model can explicitly represent the stretch effect in the 3 specific directions of a passive ventricular myocardium: the myocyte axis direction f_0 , the direction s_0 lying within the muscle layer and transverse to f_0 , and the direction n_0 normal to the muscle layer. We demonstrated that 3 of the polyconvex invariants are equal to the squared stretches I_{4f} , I_{4s} and I_{4n} . Consequently, three terms directly connected to the 3 possible stretch effects are embedded in the stress tensor (Eq. (34)). This is a significant difference with the model proposed in [2] which uses the 3 invariants I_1 , I_{4f} and I_{4s} instead of I_{4f} , I_{4s} and I_{4n} .

Because polyconvexity is often considered as a prerequisite for ensuring the existence of solutions, the next step of our research work will be to implement the new SEF introduced in this paper in a university finite element code.

CRediT authorship contribution statement

Renye Cai: Methodology, Software, Formal Analysis, Investigation, Writing - review & editing. **Frédéric Holweck:** Conceptualization, Writing - review & editing, Supervision. **Zhi-Qiang Feng:** Conceptualization, Writing - review & editing, Supervision. **François Peyraut:** Conceptualization, Methodology, Software, Formal analysis, Writing original draft, Writing - review & editing, Visualization, Supervision, Project administration.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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