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A silicon nitride ISFET based immunosensor for Tumor Necrosis Factor-1 alpha detection in saliva. A promising tool for heart failure monitoring 2

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28 Abstract

- According to the European statistics, approximately 26 million patients worldwide suffer 29 from heart failure (HF), and this number seems to be steadily increasing. Inflammation plays 30 a central role in the development of HF, and the pro-inflammatory cytokine Tumor necrosis 31 factor- α (TNF- α) represents inflammation gold-standard biomarker. Early detection plays a 32 crucial role for the prognosis and treatment of HF. An Ion Sensitive Field Effect Transistor 33 34 (ISFET) based on silicon nitride transducer and biofunctionalized with anti-TNF-a antibody 35 for label-free detection of salivary TNF- α is proposed. Electrochemical impedance spectroscopy (EIS) was used for TNF-a detection. Our ImmunoFET offered a detection limit 36 of 1 pg mL⁻¹, with an analytical reproducibility expressed by a coefficient of variance (CV) 37 resulted < 10 % for the analysis of saliva samples, and an analyte recovery of 94 \pm 6 %. In 38 addition, it demonstrated high selectivity when compared to other HF biomarkers such as 39 Inteleukin-10, N-terminal pro B-type natriuretic peptide, and Cortisol. Finally, ImmunoFET 40 accuracy in determining the unknown concentration of TNF- α was successfully tested in 41 saliva samples by performing standard addition method. The proposed ImmunoFET showed 42 great promise as a complementary tool for biomedical application for HF monitoring by a 43 non-invasive, rapid and accurate assessment of TNF-α. 44 45
- 46

47 Keywords

- 48 Biosensor
- 49 ImmunoFET
- 50 Tumor necrosis factor- α
- 51 Saliva analysis
- 52 Electrochemical impedance spectroscopy
- 53 Heart failure

54 1. Introduction

Heart failure (HF) is a complex clinical syndrome caused by a wide range of cardiovascular 55 disorders, such as structural or functional abnormalities of the heart, which results in the 56 impairment of the heart ability to fill or to pump out blood may eventually lead to the clinical 57 58 syndrome of HF. This cardiovascular chronic disease is currently the main cause of mortality and poor quality of life in western societies, affecting approximately 26 million people 59 60 worldwide, and its prevalence continues to rise over time, with aging of the population [1,2]. Since HF imposes both direct costs to healthcare systems and indirect costs to society through 61 morbidity, unpaid care costs, premature mortality and lost productivity, the estimation of 62 global economic burden of HF is not accurately feasible. Therefore, increase of the population 63 prone to HF requires a more specific and focused attention to both diagnosis and monitoring 64 of the disease, both in the interest of patients than in the better management of costs linked to 65 the spread of this disease [3,4]. In addition, patients with HF often present with signs and 66 symptoms that are often nonspecific and with a wide differential diagnosis, making diagnosis 67 by clinical presentation alone challenging that, unfortunately, can often result in delays in 68 definitive diagnosis and treatment, and such delays are linked with poor prognosis [5]. 69 70 Therefore, additional tools to aid clinical assessment would result helpful in making an 71 accurate and prompt diagnosis, and effectively prognosticate, treat and better identify highrisk subjects [6]. The detection of specific biomarkers, which are measurable biological 72 73 markers of a pathological process, provides information about variety biological conditions whether normal or pathological, and they can also be exploited not only to diagnose HF, but 74 75 also to monitor the course of the therapy [7]. Among the established HF biomarkers, a raised circulating level of several inflammatory cytokines has been observed due to systemic 76 inflammation that characterizes HF patients [8–10]. In particular, Tumor necrosis factor-alpha 77 (TNF- α) is a pro-inflammatory cytokine observed following cardiac stress and whose 78 dysregulation and excessive production were demonstrated implicated in the pathogenesis of 79 80 HF as well as to adverse effects on other organs and apparatus, as well as on coagulation and immune system [11–13]. TNF- α is usually quantified in blood or plasma representing an 81 additional stress, especially for elderly. Its concentration in healthy human plasma is usually 82 less than 40 pg/mL [14,15], but its level increases up to hundreds according to HF severity 83 [16,17]. TNF- α quantification is usually carried out in blood and by immunochemical 84 methods, which provide accurate and provide rapid screening and multiple analyses, but 85 86 several disadvantages also due to their high cost, the requirement of qualified personnel, and no possibility for real time measurement. For these reasons, biosensors are a very promising 87

88 alternative due to their easy to use, reduced cost, portability, and possibility of on-line monitoring of biomarkers by in-situ measurements [18-20]. At the same time, the 89 90 concentration of TNF- α in serum is reflected by its salivary levels [21] making TNF- α an ideal salivary biomarker for HF monitoring. The interest to use saliva as target matrix is due 91 92 to the several offers advantages offered by saliva analysis if compared to blood: an easier and 93 unobtrusively sampling (even from critical subjects such as children, elder and disabled 94 people), the suitability for the screening of a large population by passing several drawbacks 95 such as invasiveness and psychological stress (especially if repeated sampling is needed), and less health risks for patients and healthcare professionals [15,22–25]. 96 In this paper we presented the development of an Ion Sensitive Field Effect Transistor 97 (ISFET) based on silicon nitride transducer (Si₃N₄) and biofunctionalized for TNF- α 98 detection in saliva for HF monitoring. For this purpose, monoclonal antibody TNF-a (mAb 99 anti-TNF-a) were addressed onto the Si₃N₄ surface through covalent bonding of the aldehyde-100 101 silane (11-(triethoxysilyl) undecanal) TESUD obtaining an ImmunoFET. Our device provided a linear response and an adequate sensitivity for the target concentration range. Moreover, 102 103 ImmunoFET selectivity was proven by analyzing samples containing three other HF biomarkers such as Inteleukin-10 (IL-10), N-terminal pro B-type natriuretic peptide (NT-104 proBNP), and Cortisol. Matrix effect was also properly investigated. Finally, the developed 105 106 ImmunoFET was used to quantify TNF- α in real saliva samples by performing the standard addition method (STAM). Satisfactory results, proven by a mean accuracy of 98%, confirmed 107 108 that our ImmunoFET can represent a promising tool for TNF- α monitoring in saliva for HF monitoring. Even if some papers already report the development of electrochemical sensors or 109 biosensors for salivary TNF- α detection [19,20,26], our device would offer an innovative and 110 111 promising approach based on the first time use of ISFET as sensing transducer combined with electrochemical impedance spectroscopy (EIS) for saliva sample analysis. 112

113

114 **2.** Materials and methods

115 **2.1.** Chemicals and reagents

- 116 TNF-α (Cat. No. 210-TA), mAb anti-TNF-α (Cat. No. MAB610), IL-10 (Cat. No. 1064-IL),
- sterile phosphate buffer saline solution (PBS) and PBS containing 0.1% bovine serum
- albumin (Cat. No. RB01 and RB02, respectively) were from R&D Systems (BioTechne,
- 119 France). Hydrocortisone (cortisol, purity 99%, Cat. No. ab141250) was from abcam (France).
- 120 NT-proBNP (Cat. No. 8NT2) was from HyTest (Finland). Millipore Milli-Q nanopure water

- 121 (resistivity > 18 M Ω cm) was produced by a Millipore Reagent Water System (France). 11-
- triethoxysilyl undecanal (TESUD, 90%) was purchased from abcr (Germany). Pure ethanol
- 123 (purity 95.0%) and sterile phosphate buffer saline (PBS) tablets were purchased from Sigma-
- 124 Aldrich (France). PBS buffer used in this study was prepared by dissolving PBS tablets in the
- nanopure water as indicated by the supplier by yielding 0.01 M phosphate buffer (pH 7.4)
- 126 containing 0.0027 M potassium chloride and 0.137 M sodium chloride. Epoxy resin EPO
- 127 TEK H70E2LC was from Epoxy Technology (France).
- 128

129 **2.2. Instrumentation**

- 130 Wire bonding was performed using Kulicke & Soffa 4523 A Digital instrument from Kulicke
- 131 & Soffa (Singapore). The UV/Ozone ProcleanerTM (BioForce, Germany) was used in order to
- 132 activate the ISFET's surface by creating –OH groups. The electrochemical measurements
- 133 were performed using a VMP3 multichannel potentiostat purchased from Biologic-EC-Lab
- 134 (France). Data acquisition and analysis were accomplished using EC-Lab software V11.30.
- 135

136 **2.3. ISFET bio-functionalization**

- 137 Microelectronic fabrication process for ISFET realization has been carried out at the National
- 138 Microelectronics Centre (CSIC) of Institute of Microelectronics of Barcelona and it is
- 139 described elsewhere [27]. ISFETs were bio-functionalized by the immobilization of mAb
- 140 anti-TNF- α onto the ISFETs surface.
- 141 mAb anti-TNF- α have been diluted at 0.5 mg mL⁻¹ in RB01 buffer according the procedure
- 142 provided from the supplier. This stock solution was subsequently aliquoted and then stored at
- 143 -20 °C until use. The 10 µg mL⁻¹ mAb anti-TNF- α standard solution needed for ISFET
- 144 functionalization has been obtained by further dilution of mAb mother solution in RB01 after
- 145 gentle defrosting at 4 °C for 15 min before use.
- 146 The bio-functionalization process was started by cleaning the ISFETs with acetone/ethanol
- 147 using sonicator bath; ISFETs were then thoroughly rinsed with Milli-Q water. Afterwards, the
- 148 device was then placed for 30 min into the UV/O₃ cleaner to activate ISFET surface by
- 149 creating -OH groups onto the Si_3N_4 surface. Subsequently, the activated ISFETs were
- 150 functionalized with TESUD ((11-triethoxysilyl) undecanal) using vapor-phase method, an
- activation process already presented by our group in which Si₃N₄ and HfO₂ surfaces have
- been aldehyde-functionalized to detect human serum albumin [28] and salivary cortisol [29],
- 153 respectively

- 154 Then, the devices were placed into an oven at 100 °C for 1 h. After that, ISFETs were rinsed
- 155 with absolute ethanol and dried using nitrogen to eliminate the excess of TESUD. Next, the
- functionalized ISFETs were incubated with mAb anti-TNF- α (10 µg mL⁻¹ in PBS). Finally,
- the ImmunoFET was left in ethanolamine (1% v/v in PBS) for 45 min at room temperature
- 158 $(20 \pm 2 \text{ °C})$. This step was very important to prevent nonspecific bonding at the detection
- 159 stage.
- 160

161 2.4. Standard solutions and saliva samples preparation

- 162 TNF- α have been reconstituted at 0.1 mg mL⁻¹ in RB02 buffer according to the reconstitution
- 163 procedure provided from the supplier. This stock solution was then aliquoted and stored at
- 164 -20 °C until use. Before use, each aliquot (10 μ L) was gently defrosted at 4 °C for 15 min and
- then it was further diluted in PBS to obtain working standard solutions needed for both
- 166 calibrations in PBS (in the concentration range $1 50 \text{ pg mL}^{-1}$) and for spiking saliva
- 167 samples.
- 168 Standard solutions containing other HF biomarkers in PBS (e.g. IL-10, NT-proBNP, and
- 169 cortisol, in the concentration range $5 20 \text{ pg mL}^{-1}$) have been prepared in a similar way to
- 170 carry out the interference study.
- 171 Saliva has been collected from nominally healthy volunteers according to a procedure
- described elsewhere [23,30]. Briefly, subjects were asked to freely roll a synthetic swab
- 173 (Salivette[®] from Sarstedt, Germany) in their mouth. Saliva was then recovered by
- 174 centrifugation of the swab at 7000 rpm for 5 min at 4 $^{\circ}$ C, and all samples were pooled. The
- 175 pooled saliva sample (PSS) was then aliquoted.
- 176 One aliquot (5 mL) was used for preparing calibration samples (TNF- α calibration in saliva) to
- 177 investigate both method linearity and matrix effect. Matrix effect was excluded by comparing,
- at a confidence level of 95%, the slopes, reported with the corresponding standard deviation, of
- 179 the calibration curves obtained by analyzing TNF- α standard solutions in PBS and saliva
- samples spiked in the same concentration range [31].
- 181 Another aliquot (450 μ L) was analyzed by performing the STAM. To confirm the result, two
- 182 other aliquots (450 μ L) were spiked with a known amount of TNF- α to obtain two samples
- 183 containing 50 and 330 pg mL⁻¹ respectively. These samples have been treated as *unknown*
- 184 *samples* and analyzed by carrying out the STAM.
- 185 STAM samples were prepared by adding a constant volume (50 μ L) of sample to each of four
- 186 1.5 mL Eppendorf[®] Lo-bind centrifuge tubes (Eppendorf, France). The first tube was then

- made up to a final volume of 1 mL by adding 950 μ L of PBS, obtaining the sample C₀.
- 188 Increasing volumes of a 500 ng mL⁻¹ TNF- α standard solution were added to the subsequent
- tubes and each flask was then made up to 1 mL with PBS, obtaining sample C_1 (addition of 20
- 190 pg mL⁻¹), sample C₂ (addition of 50 pg mL⁻¹), and sample C₃ (addition of 100 pg mL⁻¹),
- 191 respectively. Afterwards, SAM samples were analyzed by EIS as described in 2.5.
- 192

193 2.5. EIS measurements

- EIS measurements have been carried out after incubation at room temperature $(20 \pm 2 \text{ °C})$ of
- the ImmunoFET in standard solutions, spiked saliva samples or STAM samples for 30 min
- 196 each, followed by PBS washing. EIS measurements were carried out in PBS, with a frequency
- 197 ranged from 10 KHz to 10 Hz, two frequency points per frequency decade, and using a
- modulation voltage of 75 mV (E_{ac}). During the measurements, the potential was kept at 0 V
- 199 (E_{dc}) versus the Ag/AgCl reference. EIS measurements were performed at room temperature
- 200 $(20 \pm 2 \text{ °C})$ and in a faraday cage to avoid electrical and luminosity interference. The
- 201 modeling of the obtained EIS data was achieved by the EC-Lab software using the
- 202 Randomize + Simplex method, stopped on 5000 iterations.
- 203 Data fitting on EIS spectra was achieved using an equivalent circuit model $[R_1 + Q_2/R_2]$ shown
- in Fig. 1, in which $R_1 = R_s$ and it corresponds to the resistance of the electrolyte solution (PBS);
- Q_2 is the constant phase element (CPE) that is in parallel with R_2 , which is the charge transfer
- resistance (R_{ct}). Curves were obtained by plotting the $\Delta R/R$ normalized data as a function of
- 207 TNF- α concentration. $\Delta R/R$ values have been normalized using the following equation (R_{Ag} 208 R_{Ab})/R_{Ab}, where R_{Ag} corresponds to R₂ of the antigen (TNF- α), and R_{Ab} to the R₂ of mAb anti-
- 209 TNF-α.
- 210
- 211

212 **3. Results and Discussion**

213 **3.1. Standard solution analysis**

- 214 Our ImmunoFET was first tested to verify the interaction between immobilized mAb anti-
- 215 TNF- α and recombinant human TNF- α in the concentration range from 1 to 50 pg mL⁻¹. This
- concentration range was chosen taking into account both the dilution factor of 20 provided for
- 217 STAM sample preparation and the TNF- α concentration range expected in saliva collected
- from HF patients (from few dozen up to 1000 pg mL⁻¹). Fig .1A shows the Nyquist plots
- obtained by plotting the real part of impedance (Re (Z)) against the imaginary part of
- impedance (-Im (Z)). The R_{ct} increased accordingly to TNF- α concentration, confirming that

- our developed ImmunoFET was sensitive to the raise of TNF- α concentration. The increase in
- 222 R_{ct} is explained by the binding of TNF- α to the mAb anti-TNF- α immobilized onto the
- 223 ISFET, which produce an insulating layer that increases the R_{ct}. Then, the Nyquist plots
- obtained were fitted using the equivalent circuit described in 2.5 and shown in Fig. 1A, and
- the corresponding fitting parameters are presented in Table 1. Fig. 1B shows the related
- 226 calibration curve, confirming that our ImmunoFET response is linear to TNF- α concentration,
- as demonstrated by R^2 equal to 0.988 \pm 0.027 (CV% 2%). The calibration curve resulted y =
- 228 $0.062 (\pm 0.011) x + 0.276 (\pm 0.011)$. The limit of detection (LOD) of our ImmunoFET was
- obtained from equation 3 S/m [32], where S is the residual standard deviation of the linear
- regression and m is the slope of the regression line, and resulted 1 pg mL⁻¹.
- 231





238

239

- 240
- **Table 1.** Fitting parameters obtained from the equivalent circuit model $[R_1 + Q_2/R_2]$. $R_1 = R_s$ is the resistance of the electrolyte solution; Q_2 is the constant phase element; $R_2 = R_{ct}$ is the charge transfer resistance, and χ is the error on the fit.

Concentration	R ₁ (kΩ)	Q ₂ (nF.s ^(a-1))	R ₂ (kΩ)	X
Reference	33.270	1.339	2461	7.318 10 ⁻³
1 pg mL^{-1}	36.155	1.312	3004	7.431 10 ⁻³
5 pg mL^{-1}	39.539	1.098	4316	5.731 10 ⁻³
10 pg mL^{-1}	40.171	1.080	5032	4.821 10 ⁻³
20 pg mL^{-1}	43.680	1.058	6468	6.216 10 ⁻³
50 pg mL^{-1}	45.287	0.9759	11540	3.371 10-3

246 **3.2.** Selectivity study

247 Selectivity study was carried out by analyzing standard solutions containing TNF- α and three other HF biomarkers namely IL-10, NT-proBNP, and Cortisol in order to assess the levels of 248 interferences from these biomarkers, using the same experimental conditions and concentration 249 range from 5 to 50 pg mL⁻¹. From Fig. 2, our ImmunoFET demonstrate to be highly selective 250 toward TNF- α when compared to the other three HF biomarkers. In fact, the corresponding 251 calibration curves resulted y = 0.060x + 0.372 (R² = 0.993) for TNF- α ; y= -0.001x + 0.357 (R² 252 = 0.813) for IL-10; y = -0.002x + 0.494 (R² = 0.701) for NT-proBNP; and y = 0.003x + 0.196 253 $(R^2 = 0,708)$ for cortisol. 254

255 256



Fig 2. Results from interference study obtained by analyzing standard solution containing TNF- α or other HF biomarkers (e.g. IL–10, NT-proBNP, and Cortisol) in the concentration range 5 – 50 pg mL⁻¹ using the ImmunoFET functionalized with mAb-TNF- α .

262 **3.3. Saliva samples**

263 **3.3.1.** Calibration in saliva sample

Analysis of saliva calibration samples described in 2.4. provided a calibration curve described

by $y = 0.058 (\pm 0.014) + 0.144 (\pm 0.130)$ and confirmed the method linearity by an R² equal

- to 0.995 ± 0.002 (CV 0.2%). The presence of matrix effect was ruled out by comparing the
- slopes of the calibration curves (i.e. PBS and spiked saliva samples) at a confidence level of
- 268 95%. The comparison of calibration curves in PBS and real saliva is shown in Fig. 3.
- 269



Fig 3. Comparison of calibration curves used for method linearity and matrix effect investigation that were obtained from the analysis of TNF- α standard solution in PBS (black, described by y = 0.062 (± 0.011) x + 0.276 (± 0.011) with R² = 0.988 ± 0.027) and in spiked saliva samples (red, described by y = 0.058 (± 0.014) + 0.144 (± 0.130) with R² = 0.995 ± 0.002), respectively.

- 275 276
- 277

278 **3.3.2.** TNF-α quantification in saliva samples by STAM

279 TNF- α was then quantified in three aliquots of PSS (namely Aliquot I, II, and III,

respectively) by performing the standard addition method. As explained in 2.4, Aliquot I was

unspiked PSS, whereas Aliquot II and Aliquot III were PSS spiked with TNF- α standard

solution obtaining samples containing 50 and 330 pg mL⁻¹, respectively.

- 283 The corresponding curves obtained from Aliquot I (which corresponded to unspiked PSS)
- analysis by performing the STAM resulted linear and described by $y = 0.076 (\pm 0.019) +$

285 0.182 (\pm 0.053), with R² = 0.998 (\pm 0.007). According to STAM procedure, the concentration

of TNF- α in Aliquot I was extrapolated from the curve by multiplying for the dilution factor

of 20 the absolute value on x-axis for y = 0. In this case, TNF- α concentration in Aliquot I

resulted in 48 ± 12 pg mL⁻¹. Aliquot II analysis provided a curve described by y = 0.013 (±

- 289 0.001) x + 0.059 (\pm 0.009) with R² = 0.995 (\pm 0.006) and TNF- α concentration resulted 90 \pm
- 290 11 pg mL⁻¹, whereas Aliquot III analysis provided a curve described by $y = 0.013 (\pm 0.002) x$
- 291 $+ 0.253 (\pm 0.056)$, with R² = 0.987 (± 0.007) and, consequently, TNF- α concentration resulted
- 292 $370 \pm 30 \text{ pg mL}^{-1}$. Table 2 summarizes the results from analysis of STAM samples. Method
- 293 precision, here expressed as recovery %, was evaluated by considering the bias between the
- 294 expected TNF- α concentration and TNF- α calculated by extrapolation from the STAM curve,
- and resulted 94 ± 6 %.

Sample name	Sample type	Expected TNF- α concentration [pg mL ⁻¹]	Calculated TNF- α concentration [pg mL ⁻¹]
Aliquot I	Unspiked PSS	Unknown	$48\pm12~pg~mL^{-1}$
Aliquot II	PSS spiked with 50 pg mL ⁻¹	TNF- α concentration in Aliquot I + 50 pg mL ⁻¹	$90\pm11~pg~mL^{-1}$
Aliquot III	PSS spiked with 330 pg mL $^{-1}$	TNF- α concentration in Aliquot I + 330 pg mL ⁻¹	$370\pm30~pg~mL^{-1}$

297 Table 2. Data from saliva sample analysis by performing the STAM to quantify TNF-α.

300 These data (e.g. linearity, selectivity, high precision, and LOD of 1 pg mL⁻¹) allowed to

301 consider our ImmunoFET as a very promising tool for monitoring salivary TNF- α

302 concentration and further tests will be carried out and implemented to confirm these results

and carry out a pre-clinical study on HF patients.

304 305

306 4. Conclusions

Preliminary results from ImmunoFET analytical validation (e.g. linearity, selectivity, high 307 precision, and LOD of 1 pg mL⁻¹) allowed to consider our ImmunoFET as a very promising 308 tool for monitoring salivary TNF- α concentration. Our device also showed high selectivity 309 towards TNF-α when compared to other HF biomarkers such as IL-10, NT-proBNP, and 310 Cortisol. Finally, preliminary tests on TNF- α quantification saliva samples by performing the 311 STAM proved the capacity of our ImmunoFET to determine the TNF-α concentration with a 312 313 recovery almost quantitative, confirming the satisfying precision of the method. An implementation of a clinical study would fully validate our device. In fact, these results, 314 315 combined with further improvements in term of accuracy and ImmunoFET integration into a LoC, can allow the monitoring of salivary levels of TNF-α confirming our ImmunoFET as a 316 very promising tool for biomedical application such as HF monitoring by saliva analysis. 317 318 319 Acknowledgements The authors acknowledge the financial support of the European Union's Horizon 2020 320

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324 Competing interests

- 325 The authors declare no competing interests.
- 326
- 327

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