Transparent glass-windowed ultrasound transducers

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Abstract-Glass windowed ultrasound transducers have several potential uses ranging from multi-modal research 2 (ultrasound and optics) to industrial application in oil and gas 3 or chemistry. 4

In our work here we compare four different designs 5 for transparent glass windowed ultrasound transducers. Each design was characterised using field scanning, radiation force measurements, frequency sensitivity measurement and FEM 8 simulations. 9

Field scans showed that small variations in design can greatly 10 affect the size and location of the acoustic focus. The results 11 coincided with those seen in the simulations. Radiation force 12 measurements showed that the devices were able to easily exceed 13 acoustic powers of 10 W, with efficiencies of up to 40%. Isostatic 14 simulations shows that the design also affects the physical 15 strength of the devices. Current designs were able to withstand 16 between 300 and 700 psi on the front surface. 17

The devices were cost effective due to the minimal amount 18 of materials necessary and the simple fabrication process. More 19 work needs to be done to improve the power output and stress 20 handling capabilities. 21

I. INTRODUCTION

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Optical measurements are a cornerstone of today's 23 technology, they are widely used for measuring speed and 24 distance, performing chemical analysis, and more [1], [2]. 25 Optical equipment draws great advantages from modern 26 micro-electronics knowledge, making it extremely compact. 27 Nevertheless, optical equipment tends to be very susceptible 28 to physical damage hence needs to be shielded and requires an 29 optically clear path, e.g., a glass window. Some environments 30 makes this difficult to obtain, for example measurements 31 in oil pipes where crude oil will attach to the optical 32 measurement window. Ultrasound is also used in several 33 chemical production techniques to homogenise emulsions, and 34 optical spectroscopy is required to evaluate the quality of the 35 emulsion. Currently such problems are solved by measuring 36 after emulsification and evaluating the quality of the finished 37 product [3]. 38

In our work here, we aim to solve such problems by creating 39 an optically transparent transducer. The cavitational effects of 40 the ultrasound can be used to clean the optical window or 41 homogenise emulsions, whilst the optically clear path allows a 42 vast range of optical based measurements, from spectroscopy, 43 to high-frame rate imaging cameras, or optical microscopy. 44 These optically transparent transducers need to be able to 45

handle high isostatic pressures, as in oil and gas pipelines the pressures may reach 1000 psi [4]. The transducers need to 47 be able to withstand acidic corrosion, e.g. in harsh chemical environments, and they need to be powerful enough to induce inertial cavitation.

We evaluated several geometric designs to see the effect on the acoustic output and evaluate the ability to handle isostatic pressures via finite element modelling simulations.

II. MATERIALS AND METHODS

A. Design

Typical ultrasound transducers are fabricated from a single piezoelectric disc to generate acoustic pressure waves, or use a bolt-clamped langevin type design to generate mechanical motion [5]. The transparent glass windowed ultrasound transducers took advantages from both types of transducers designs. A low frequency (300-kHz) piezoelectric ring was used in place of the disc. A low frequency was used as it is easier to generate cavitation at lower frequencies. The hole in the middle of the ring was sealed, then a glass disc was bonded to the surface of the piezoelectric ring. A glass disc was used as it is low cost, has the best optical clarity compared to plastics, has a higher yield strength and resists erosion (chemical and cavitation induced) well. In addition the glass could be replaced with stronger equivalent materials such as sapphire.

Four designs variations were fabricated and evaluated (c.f. Figure 1). A minimum of two of each design were made to evaluate consistency between devices. Table I shows the dimensions of these designs.

B. Fabrication

The transducers were fabricated using an established 76 process with minor variations [6]. The piezoelectric material 77 of choice was Pz26 with silk screen printed silver electrodes 78 (Meggit, PLC, Christchurch, United Kingdom) due to its low 79 losses and high mechanical quality factor. Designs 1, 3 and 80 4 were fabricating by first aligning and bonding the glass 81 disc (H. Baumbach & Co Ltd, Suffolk, United Kingdom) to 82 the Pz26 ring using epoxy (EpoFix, Struers A/S, Ballerup, 83 Denmark) and left to dry for 24 hours under a 2-kg weight. The 84 central hole in the Pz26 ring was filled with modelling putty to 85 ensure no epoxy seeped into the optical window. Excess epoxy 86

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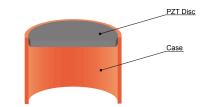
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A. Traditional Transducer



B. Glass windowed Transducers

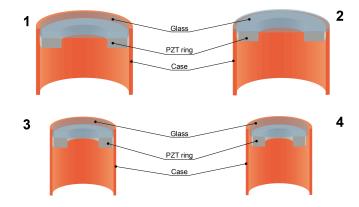


Fig. 1. Graphical representation showing the construction of (\mathbf{A}_{\cdot}) a traditional transducer and (B. four different transparent transducer designs.)

between the PZT-ring and glass disc was removed using a 87 scalpel to access the printed surface electrode of the PZT-ring. 88 A microcoaxial cable was bonded using conductive Ag-epoxy 89 (Agar Scientific, Essex, United Kingdom) as a side connection 90 on the PZT-glass interface and on the back electrode of the 91 PZT-ring. The microcoaxial cable was subsequently soldered 92 to a larger diameter 50- Ω RG174 cable for increased stability 93 and reliability. The stacked PZT-glass disc was then placed 94 centrally in an aluminium cylinder and bonded to adhesive 95 UV tape (Adwill D, LINTEC, Tokyo, Japan). The interface 96 between the PZT-glass stack and aluminium cylinder was then 97 bonded with Epofix epoxy and left to cure for 24 hours. The 98 RG174 cable threaded through a 3-mm hole drilled into the 99 aluminium case wall. The back side of the case was sealed 100 with a polycarbonate disc. Bonding and sealing was achieved 101 using generic 5-minute epoxy. 102

103 Design 2 was fabricated in a similar manner. The PZT ring was first bonded to the aluminium case, and the front 104 face was connected to the aluminum case with silver paint 105 (Agar Scientific, Essex, United Kingdom) and the glass disc 106 was bonded to both the PZT and aluminium case. (Instead 107 of bonding the earth of the coaxial cable to the ring itself, 108 it was now bonded to the aluminum case). All other steps 109 remained the same. Table I shows the dimensions of the 110 different designs. 111

TABLE I TRANSPARENT GLASS WINDOWED ULTRASOUND TRANSDUCERS DIMENSIONS. ALL UNITS ARE IN MM. (KEY: ID - INNER DIAMETER, OD -OUTER DIAMETER, TH - THICKNESS, H - HEIGHT)

Design	PZT			Glass		Case		
	ID	OD	TH	OD	TH	ID	OD	Н
1	20	40	5	40	4	44.5	51	50
2	20	40	5	50	2	44.5	51	50
3	10	25	4	25	3	31.5	38	50
4	10	25	4	30	3	31.5	38	50

C. Characterization

Field scans were performed to visualise the acoustic 113 propagation pattern. The acoustic power was measured using 114 a radiation force balance and frequency characterizations were 115 performed to measure the bandwidth of the devices. 116

1) Field scan: The ultrasound field was inspected using 117 a closed loop, custom made 3D-scanning system using a 118 200- μ m hydrophone. The z-axis was denoted as the acoustic 119 propagation direction, and the x- and y-axis are the lateral 120 and elevation directions, respectively. For each transducer 3 121 different scans were performed. Two in the xy-plane, one in 122 the focus of the transducer, and one, < 1-mm away from the 123 front surface of the transducer. The third scan was performed 124 in the yz-plane including the entire near field of the transducer 125 in addition to the focus.

2) Frequency Characterization: The frequency response of the transducers were characterized using a pulse-response method.

The transducers were characterised between 100 kHz and 1 MHz with a frequency step size of 1 kHz. The bandwidth in percentage was then calculated by

$$BW\% = \frac{f_c}{f_{6dB+} - f_{6dB-}} \cdot 100\%, \tag{1}$$

where f_c is the centre frequency, $f_{6\,dB+}$ and $f_{6\,dB-}$ are the 133 upper and lower frequency cut-off points; $-6 \, dB$ left and right 134 of f_c , the frequency with the maximum sensitivity. The + and - sign indicate that the frequency is higher and lower than 136 the centre frequency, respectively. 137

3) Power and Pressure Characterization: The power output 138 of the transducer were measured using an absolute calibration 139 technique with a radiation force balance, based on the standard 140 IEC 61161 [7]. The setup used was based on a "weigh-all" 141 approach, which uses an acoustic absorber (Aptflex F28, 142 Precision Acoustics Ltd, Dorset, United Kingdom) attached 143 to a precision scale. The acoustic power can be calulated by 144

$$P = c \cdot F = c \cdot m \cdot g, \tag{2}$$

where P is the acoustic power, F is the acoustic force exerted 145 on the absorber, c is the speed of sound in water, m is the 146 weighed "acoustic mass", and q is the gravitational constant. 147

The powers were measured for multiple input electrical 148 powers, and were subsequently plotted against the output 149 voltage from the waveform generator. 150

The pressure was calculated by

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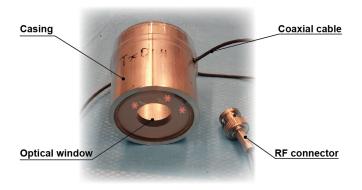


Fig. 2. Photograph showing final construction of prototype glass windowed ultrasound transducer based on Design 1. Red stars indicate bonding defects.

$$p = \frac{F}{A} = \frac{P}{c \cdot A},\tag{3}$$

where p is the calculated pressure, and the area A of the acoustic focus at 6-dB. Whilst this was not the ideal method to estimate the pressures, it gave a rough estimate to compare performance.

4) Simulations: Finite element modelling (FEM) 156 simulations were performed using Solidworks 2014 simulation 157 (Dassault Systèmes, Velizy Villacoublay, France). An isostatic 158 pressure load was applied across the whole front surface of 159 the transducers, whilst the outer surface of the case was fixed. 160 Failure points were when the stress in any of the materials 161 exceeded the tensile modulus. Acoustic simulations were 162 performed using PzFlex 2014 (Weidlinger Associates Inc., 163 New York, NY). 164

III. RESULTS AND DISCUSSION

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Figure 2 shows an example of a prototype transparent glass 166 windowed ultrasound transducer. This transducer is based on 167 Design 1. The optical window can be seen in the centre of the 168 device. The device was driven by a single RF power supply. 169 The stars indicate minor bonding defects, *i.e.*, air pockets 170 stuck due to inhomogeneities in the PZT surface. Whilst these 171 defects were minor, they did have an effect on the acoustic 172 profile (c.f. Fig. 3). These defects resulted in acoustic "dead 173 spots", affecting the shape of the acoustic focus. These air 174 pockets could be resolved by placing the device in a vacuum 175 chamber prior to curing, or applying a stronger force during 176 curing. 177

Figure 3 shows a typical response from the 3D field scan. 178 179 The field scans showed that the acoustic propagation pattern was very similar to traditional ultrasound transducers, yet 180 the different constructions affected the focal distance and 181 sidelobes. The acoustic focus of design 1, was seen at around 182 81-mm from the front face of the transducer. An extremely 183 long focal length of 111 mm can be seen. The near field shows 184 typical behaviour, similar to that expected from a traditional 185 piston type ultrasound transducer. A slight asymmetry can be 186 seen, possibly due to the bonding defects described earlier. 187

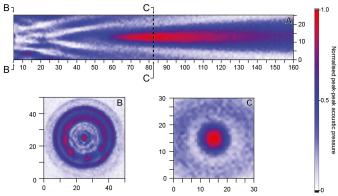


Fig. 3. 2D scans of the acoustic field of the transducer with Design 1.

TABLE II SUMMARY OF OUTPUT PROPERTIES FROM DIFFERENT GLASS WINDOWED ULTRASOUND TRANSDUCERS.

Design	f _c (kHz)	BW (%)	Power efficiency (%)	Pressure output per W (kPa/W)	Focal distance (mm)	Focal Length (mm)
1	465	71	13	92	81	111
2	358	77	12	143	11	26
3	615	36	40	312	34	153
4	630	37	43	478	39	100

The location of these bonding-defects can clearly be seen in 188 Fig. 3B where there are several breaks in the high pressure 189 areas (red) in the concentric rings. A single high pressure 190 point can be seen at the centre of the glass disc/PZT ring. This 191 may indicate that the glass behaves as some sort of oscillating 192 diaphragm, a desired effect. This would ensure pressure peak 193 at the centre of the ultrasound transducer on the front face 194 increasing the amount of cavitation based cleaning in the 195 desired location. The acoustic focus was perfectly spherical 196 with a pseudo-Gaussian pressure distribution (c.f. Fig. 3C). 197 Only minor side lobes were visible. 198

Table II summarises the acoustic outputs of the four designs. 199 The two larger devices had a lower centre frequencies of 200 358 kHz and 465 kHz with mean bandwidths of 74%. The 201 power efficiency of the devices was at 13%, with a theoretical 202 maximum of 50%. The location of the acoustic focus, and 203 size, between the two devices was significantly different, 204 with Design 1 having a long, distant focus, and Design 2 205 having a short focus, extremely close to the front face of the 206 device. Designs 3 and 4 were much more similar with only 207 15 kHz difference in centre frequency, a bandwidth of 36% 208 and efficiencies of 42%. The significant difference between 209 these two designs was the size of the acoustic focus. Design 210 3 has a focal length of 153 mm; 50% larger than Design 2. 211 The low power output may be due to the mismatch of acoustic 212 impedances between PZT, glass, and water. 213

Figure 4 shows the acoustic power output as a function 214 of waveform generator voltage. Design 3 and 4 shows a 215 significantly higher output power when compared to Designs 216

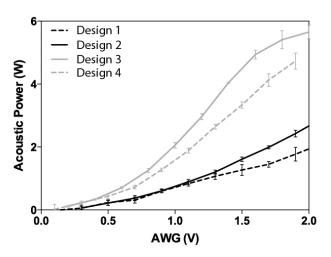


Fig. 4. Acoustic power output of various designs when driven at their resonance frequencies measured via a radiation force balance.

1 and 2. This may be due to electrical impedance mismatch
between amplifier and load. The slight increase in output
power of Design 2 when compared to Design 1 may be due
to the thinner glass used, reducing acoustic attenuation.

Preliminary results showed that all devices were able to induce inertial cavitation, not only at the centre frequency, but also at sub-harmonics and over harmonics.

Table III summarises the simulated failure points of the 224 different designs due to external isostatic pressures. In Designs 225 1 and 2, where the optical aperture was largest, the glass was 226 seen to fail first. Design 1 failed at around 700 psi, where 227 as Design 2 failed at 300 psi. This is due to the thinness 228 of the glass in Design 2, hence glass thickness plays a large 229 role in the strength of the devices. Design 3 and 4 both 230 failed at around 500 psi. In contrast with Design 1 and 2, 231 the piezoelectric material failed first. As the ratio of optical 232 window size to glass thickness was significantly larger than 233 Designs 1 and 2, the glass was able to deform more yet 234 distribute the stress better. Nevertheless, the stress was then 235 distributed into the PZT, which has a lower tensile strength 236 than glass inducing early failure. 237

TABLE III ISOSTATIC PRESSURE SIMULATIONS.

Design	Failure Material	Failure pressure [Psi]
1	Glass disk	700
2	Glass disk	300
3	PZT-ring	500
4	PZT-ring	500

In oil and gas pipelines, the pressure may vary between 300 and 1000 psi, hence there is potential for such devices [4].

Acoustic simulations (data not shown) showed near identical results when compared to the measured values in terms of acoustic propagation pattern. 5) Future Perspectives: A primary issue during fabrication was ensuring a perfect bond. Air pockets (*c.f.* Fig.2) can get trapped between the glass and PZT. This adhering process needs to be optimised to extract all possible air pockets. Placing the device in a vacuum may help extract the trapped air. 248

The glass used did not have an optimised thickness, hence destructive interference may be occurring in the glass. Using quarter wavelength thick glass, or odd multiple of would greatly improve the efficacy of the devices. In addition electrical impedance matching would greatly help reduce electrical reflections.

A major issue with the current design was thermal degradation. When running continuously in excess of 100 W input power, the PZT would overheat and de-polarise. Adding active cooling would resolve such issues.

As both simulations show promise, in future, such designs will be simulated and virtually optimised to match all the necessary criteria prior to fabrication.

IV. CONCLUSION

Fabricating high-power transparent windowed ultrasound 263 transducers is feasible at a low cost. Early prototypes have 264 shown that the design can drastically vary the electric and 265 acoustic profile of the devices. Simulations shows that it is 266 possible to predict the acoustic profile and that the designs 267 have potential for use in high-pressure environments. Such 268 devices may have many applications in the field of both 269 research and industry. Nevertheless, these devices are only 270 prototypes and there is vast room for improvement.

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