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James Hansen, Makiko Sato, Pushker Kharecha, David Beerling, Robert Berner, et al.. Target Atmospheric CO: Where Should Humanity Aim?. The Open Atmospheric Science Journal, 2008, 2 (1), pp.217-231. 10.2174/1874282300802010217. hal-03192851

HAL Id: hal-03192851

https://hal.science/hal-03192851

Submitted on 9 Apr 2021

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Target Atmospheric CO₂: Where Should Humanity Aim?

James Hansen*, Makiko Sato^{1,2}, Pushker Kharecha^{1,2}, David Beerling³, Robert Berner⁴, Valerie Masson-Delmotte⁵, Mark Pagani⁴, Maureen Raymo⁶, Dana L. Royer⁷ and James C. Zachos⁸

Abstract: Paleoclimate data show that climate sensitivity is \sim 3°C for doubled CO₂, including only fast feedback processes. Equilibrium sensitivity, including slower surface albedo feedbacks, is \sim 6°C for doubled CO₂ for the range of climate states between glacial conditions and ice-free Antarctica. Decreasing CO₂ was the main cause of a cooling trend that began 50 m illion years ago, the planet being nearly ice-free until CO₂ fell to 450 \pm 100 ppm; barring prompt policy changes, that critical level will be p assed, in the opposite direction, within decades. If humanity wishes to preserve a planet similar to that on which civilization developed and to which life on Earth is adapted, paleoclimate evidence and ongoing climate change suggest that CO₂ will need to be reduced from its current 385 ppm to at most 350 ppm, but likely less than that. The largest uncertainty in the target arises from possible changes of non-CO₂ forcings. An initial 350 ppm CO₂ target may be achievable by phasing out coal use except where CO₂ is captured and adopting agricultural and forestry practices that sequester carbon. If the present overshoot of this target CO₂ is not brief, there is a possibility of seeding irreversible catastrophic effects.

Keywords: Climate change, climate sensitivity, global warming.

1. INTRODUCTION

Human a ctivities are a ltering Earth's atmospheric composition. Concern a bout gl obal warming due to long-lived human-made gre enhouse ga ses (GHGs) led to the United Nations Framework Convention on Climate Change [1] with the objective of s tabilizing GHGs in the atmosphere at a level preventing "dangerous anthropogenic interference with the climate system."

The Intergovernmental Panel on Climate Change [IPCC, [2]] and others [3] used several "reasons for concern" to estimate that global warming of more than 2-3°C may be dangerous. The European Uni on adopted 2°C above preindustrial global temperature as a goal to limit human-made warming [4]. Hansen *et al.* [5] a rgued for a limit of 1°C global warming (re lative to 2000, 1.7°C re lative to preindustrial time), a iming to avoid practically irreversible ice

sheet and species loss. This 1 °C limit, with nominal climate sensitivity of ${}^{3}\!/_{4}$ °C per W/m² and plausible control of ot her GHGs [6], implies maximum CO₂ ~ 450 ppm [5].

Our current analysis suggests that humanity must aim for an even lower level of GHGs. Paleoclimate data and ongoing global c hanges i ndicate that 's low' c limate fe edback processes not included in most climate models, such as ice sheet disintegration, vegetation migration, and GHG re lease from soils, t undra or oc ean s ediments, may be gin to come into play on time scales as short as centuries or less [7]. Rapid on-going climate changes and realization that Earth is out of energy balance, implying that more warming is 'in the pipeline' [8], add urgency to investigation of the dangerous level of GHGs.

A probabilistic analysis [9] concluded that the long-term CO_2 limit is in the range 300-500 ppm for 25 percent risk tolerance, de pending on c limate s ensitivity a nd non-C O_2 forcings. S tabilizing a tmospheric C O_2 and climate r equires that n et CO_2 e missions a pproach zero, because of the long lifetime of CO_2 [10, 11].

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We use paleoclimate data to show that long-term climate has high sensitivity to climate forcings and that the present global mean CO_2 , 385 ppm, is already in the dangerous zone. Despite rapid c urrent CO_2 growth, ~ 2 ppm/year, we show that it is conceivable to reduce CO_2 this century to less than the current amount, but only *via* prompt policy changes.

1.1. Climate Sensitivity

A gl obal c limate forc ing, m easured i n W /m² av eraged over the planet, is an imposed p erturbation of the planet's energy balance. Increase of solar irradiance (So) by 2% and doubling of a tmospheric CO_2 are each forcings of about 4 W/m² [12].

Charney [13] de fined an i dealized climate sensitivity problem, asking how much global surface temperature would increase if at mospheric CO₂ were instantly doubled, assuming that slowly-changing planetary surface conditions, such as ice sheets and forest cover, were fixed. Long-lived GHGs, except f or the specified CO₂ c hange, we re also fixed, not responding to c limate c hange. The Charney proble m thus provides a measure of c limate sensitivity including only the effect of 'fast' feedback processes, such as changes of water vapor, clouds and sea ice.

Classification o f cl imate ch ange m echanisms in to f ast and s low fe edbacks is us eful, e ven t hough t ime s cales of these ch anges m ay o verlap. We in clude as f ast f eedbacks aerosol changes, e.g., of desert dust and marine dimethylsulfide, that occur in response to climate change [7].

Charney [13] us ed climate m odels to e stimate fa st-feedback doubled CO_2 sensitivity of 3 ± 1.5 °C. Water vapor increase and sea ice decrease in response to global warming were both found to be strong positive feedbacks, amplifying the surface temperature response. Climate models in the current IPCC [2] assessment still agree with Charney's estimate.

Climate models alone are unable to define climate sensitivity more p recisely, b ecause it is difficult to p rove that models realistically in corporate all feedback processes. The Earth's history, however, allows empirical inference of both fast feedback climate sensitivity and long-term sensitivity to specified GHG c hange including the slow ice sheet feedback

2. PLEISTOCENE EPOCH

Atmospheric composition and surface properties in the late Pleistocene are known well enough for accurate assessment of the fast-feedback (Charney) climate sensitivity. We first compare the pre-industrial Holocene with the last glacial maximum [L GM, 20 ky B P (be fore pre sent)]. The planet was in energy balance in both periods within a small fraction of 1 W/m², as shown by considering the contrary: an imbalance of 1 W/m² maintained a few millennia would melt all ice on the planet or change ocean temperature an amount far outside measured variations [T able S1 of 8]. The approximate equilibrium characterizing most of E arth's h istory is unlike the current situation, in which G HGs are r ising at a rate much fa ster than the coupled c limate s ystem c an respond.

Climate forcing in the LGM equilibrium state due to the ice ag e surface properties, i.e., increased ice area, different vegetation distribution, and continental shelf exposure, was - $3.5 \pm 1 \text{ W/m}^2$ [14] relative to the Holocene. Additional forcing due to reduced amounts of long-lived GHGs (CO₂, CH₄, N₂O), including the indirect effects of CH₄ on tropospheric ozone and stratospheric water vapor (Fig. S1) was -3 ± 0.5 W/m². G lobal forc ing due to s light c hanges in the E arth's orbit is a negligible fraction of 1 W/ m² (Fig. S3). The total 6.5 W/m² forcing and global surface temperature change of 5 ± 1°C relative to the Holocene [15, 16] yi eld an empirical sensitivity $\sim \frac{3}{4} \pm \frac{1}{4}$ °C per W/m² forcing, i.e., a Charney sensitivity of 3 ± 1 °C for the 4 W/m² forcing of doubled CO₂. This empirical fast-feedback climate sensitivity allows water vapor, clouds, aerosols, sea ice, and all other fast feedbacks that exist in the real world to respond naturally to global climate change.

Climate sensitivity varies as Earth be comes warmer or cooler. Toward colder extremes, as the area of sea ice grows, the p lanet approaches runa way s nowball-Earth c onditions, and at high temperatures it can approach a runa way greenhouse effect [12]. At its present temperature Earth is on a flat portion of i ts fa st-feedback climate s ensitivity c urve (F ig. **S2**). T hus our empirical s ensitivity, a lthough s trictly the mean f ast-feedback s ensitivity f or climate s tates r anging from the ice age to the current in terglacial p eriod, is a lso today's fast-feedback climate sensitivity.

2.1. Verification

Our e mpirical fa st-feedback c limate s ensitivity, de rived by c omparing c onditions a t two poi nts i n ti me, c an be checked over the longer period of ic e core da ta. F ig. (1a) shows CO₂ and CH₄ data from the Antarctic Vostok ice core [17, 18] and sea level based on Red Sea sediment cores [18]. Gases are from the same ice core and have a consistent time scale, but dating with respect to sea level may have errors up to several thousand years.

We use the GHG and sea level data to calculate climate forcing by GHGs and surface albedo change as in prior calculations [7], but with two refinements. First, we specify the N_2O climate forcing as 12 percent of the sum of the CO_2 and CH_4 forcings, rather than the 15 percent estimated earlier [7] Because N_2O data are not available for the entire record, and its forcing is small and highly correlated with CO_2 and CH_4 , we take the GHG effective forcing as

Fe (GHGs) =
$$1.12 [Fa(CO_2) + 1.4 Fa(CH_4)],$$
 (1)

using published formulae for Fa of each gas [20]. The factor 1.4 accounts for the higher efficacy of CH_4 relative to CO_2 , which is due mainly to the indirect effect of CH_4 on tropospheric ozone and stratospheric water vapor [12]. The resulting GHG forcing between the LGM and late Holocene is 3 W/m², apportioned as 75% CO_2 , 14% CH_4 and 11% N_2O .

The s econd refinement in our c alculations is to surface albedo. Based on m odels of i ce s heet s hape, we take the horizontal a rea of t he ice s heet as proport ional to the 4/5 power of vol ume. Fig. (S4) co mpares o ur p resent a lbedo forcing with prior use [7] of exponent 2/3, showing that this

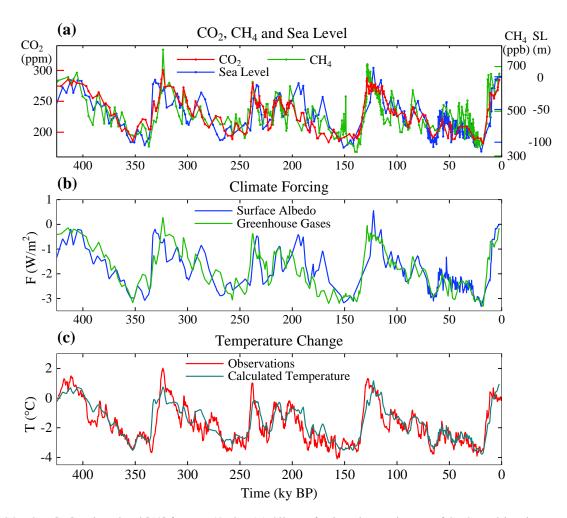


Fig. (1). (a) CO₂, CH₄ [17] and sea level [19] for past 425 ky. (b) Climate forcings due to changes of GHGs and ice sheet area, the latter inferred from sea level change. (c) Calculated global temperature change based on c limate sensitivity of ³/₄°C per W/m². Observations are Antarctic temperature change [18] divided by two.

choice and division of the ice into multiple ice sheets has only a minor effect.

Multiplying the sum of GHG and surface albedo forcings by climate sensitivity ³/₄°C per W/m² yields the blue curve in Fig. (1c). Vos tok temperature change [17] di vided by t wo (red curve) is used to crudely estimate global temperature change, a s typical gla cial-interglacial g lobal a nnual-mean temperature change is ~5 °C and is as sociated with ~1 0°C change on Antarctica [21]. Fig. (1c) shows that fast-feedback climate sensitivity ³/₄°C per W/m² (3°C for doubled CO₂) is a good approximation for the entire period.

2.2. Slow Feedbacks

Let us consider climate change averaged over a few thousand ye ars -1 ong e nough to a ssure e nergy ba lance a nd minimize effects of ocean thermal response time and climate change leads/lags between hemispheres [22]. At such temporal r esolution th e temperature v ariations in F ig. (1) a re global, with high latitude amplification, being present in polar ice cores and sea surface temperature derived from ocean sediment cores (Fig. S5).

GHG and surface albedo changes are mechanisms causing the large global climate changes in Fig. (1), but they do not in itiate these climate swings. Instead changes of GHGs and sea level (a measure of ic e sheet size) lag temperature change by several hundred years [6, 7, 23, 24].

GHG and s urface a lbedo changes a re positive c limate feedbacks. Major glacial-interglacial climate swings are instigated by s low changes of E arth's orbit, especially the tilt of Earth's spin-axis relative to the orbital plane and the precession of t he equinoxes that i nfluences t he i ntensity o f summer insolation [25, 26]. Global radiative forcing due to orbital changes is small, but ice sheet size i saf fected by changes of g eographical and s easonal insolation (e.g., ice melts at both poles when the spin-axis tilt increases, and ice melts at one pole when perihelion, the closest approach to the sun, o ccurs in late spring [7]. A lso a warming climate causes net release of GHGs. The most effective GHG feedback is release of CO₂ by the ocean, due partly to temperature dependence of C O2 s olubility b ut mostly to in creased ocean mixing in a warmer climate, which acts to flush out

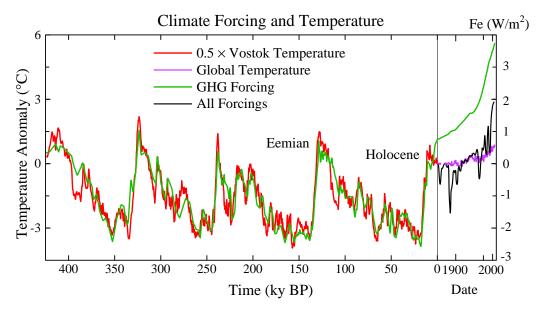


Fig. (2). Global temperature (left scale) and GHG forcing (right scale) due to CO₂, CH₄ and N₂O from the Vostok ice core [17, 18]. Time scale is expanded for the industrial era. Ratio of temperature and forcing scales is 1.5°C per W/m², i.e., the temperature scale gives the expected equilibrium response to GHG change including (slow feedback) surface albedo change. Modern forcings include human-made aerosols, volcanic aerosols and solar irradiance [5]. GHG forcing zero point is the mean for 10-8 ky BP (Fig. S6). Zero point of modern temperature and net climate forcing was set at 1850 [5], but this is also the zero point for 10-8 ky BP, as shown by the absence of a trend in Fig. (S6) and by the discussion of that figure.

deep oc ean C O_2 a nd a lters oc ean bi ological produc tivity [27].

GHG and surface albedo feedbacks respond and contribute to te mperature change caused by any climate forcing, natural or hum an-made, g iven sufficient time. The GHG feedback is nearly linear in global temperature during the late Pleistocene (Fig. 7 of [6, 28]). Surface albedo feedback increases as E arth b ecomes collder and the area of ice increases. Climate sensitivity on

Pleistocene t ime s cales includes s low feedbacks, and i s larger th an the Ch arney sensitivity, because the dominant slow feedbacks are positive. Other feedbacks, e.g., the negative feedback of increased weathering as CO₂ increases, become important on longer geologic time scales.

Paleoclimate da ta p ermit evaluation of l ong-term s ensitivity to specified GHG change. We assume only that, to first order, the a rea of ic e is a function of gl obal temperature. Plotting GHG forcing [7] from ice core d ata [18] a gainst temperature s hows that g lobal climate s ensitivity including the slow surface albedo feedback is 1.5°C per W/m² or 6°C for doubled CO₂ (Fig. 2), twice as large as the Charney fast-feedback sensitivity. Note that we assume the area of ice and snow on the planet to be predominately dependent on global temperature, but some changes of re gional ice sheet properties oc cur a s part of t he Earth orbit al c limate forcing (s ee Supplementary Material).

This e quilibrium s ensitivity of 6° C for doubl ed C O_2 is valid for s pecified GHG amount, as in studies that employ emission scenarios and coupled carbon cycle/climate models to determine GHG amount. If GHGs are included as a feedback (with say solar irradiance as forcing) sensitivity is still

larger on P leistocene time scales (see Supplementary Material), but the sensitivity may be reduced by negative feedbacks on ge ologic time scales [29, 30]. The 6 °C sensitivity reduces to 3 °C when the planet has become warm enough to lose its ice sheets.

This I ong-term c limate s ensitivity is re levant to GHGs that remain a irborne for centuries-to-millennia. The humancaused atmospheric GHG in crease will decline slowly if anthropogenic emissions from fos sil fue l burni ng de crease enough, as we illustrate below using a simplified carbon cycle model. On the other hand, if the globe warms much further, carbon cycle models [2] and empirical data [6, 28] reveal a pos itive GHG fe edback on c entury-millennia t ime scales. This amplification of GHG a mount is moderate if warming is kept within the range of recent interglacial periods [6], but larger warming would risk greater release of CH₄ and CO2 from methane hydrates in tundra and oc ean sediments [29]. On still longer, geological, time scales weathering of rocks causes a negative feedback on atmospheric CO₂ amount [30], as discussed in section 3, but this feedback is too slow to alleviate climate change of concern to humanity.

2.3. Time Scales

How long does it take to reach equilibrium temperature with specified GHG c hange? Response is slowed by oc ean thermal inertia and the time needed for ice sheets to disintegrate.

Ocean-caused de lay is estimated in Fig. (S7) using a coupled atmosphere-ocean model. One-third of the response occurs in the first few years, in part be cause of rapid response over land, one-half in ~25 years, three-quarters in 250 years, and nearly full response in a millennium. The ocean-

caused delay is a strong (quadratic) function of climate sensitivity and it depends on the rate of mixing of surface water and deep water [31], as discussed in the Supplementary Material Section.

Ice s heet r esponse time is often a ssumed to be s everal millennia, ba sed on the broad s weep of pa leo s ea le vel change (Fig. 1a) and primitive ice sheet models designed to capture that change. However, this long time scale may reflect the slowly changing orbital forcing, rather than inherent inertia, as there is no discernable lag between maximum ice sheet melt rate and local insolation that favors melt [7]. Paleo s ea lev el d ata w ith h igh tim e r esolution r eveal f requent 'suborbital' sea level changes at rates of 1 m/century or more [32-34].

Present-day obs ervations of Gr eenland a nd An tarctica show i ncreasing s urface melt [35], loss of but tressing i ce shelves [36], ac celerating i ce streams [37], and in creasing overall mass loss [38]. These rapid changes do not occur in existing ice sheet models, which are missing critical physics of ice sheet disintegration [39]. Sea level changes of several meters per century occur in the paleoclimate record [32, 33], in response to forcings slower and weaker than the present human-made forcing. It seems likely that large ice sheet response will occur within centuries, if human-made forcings continue to increase. Once ice sheet disintegration is underway, decadal changes of sea level may be substantial.

2.4. Warming "in the Pipeline"

The expanded time s cale for t he industrial era (Fig. 2) reveals a grow ing gap be tween actual global te mperature (purple cu rve) an d eq uilibrium (long-term) t emperature response based on the net estimated climate forcing (black curve). Ocean and ice sheet response times together account for this gap, which is now 2.0°C.

The forcing in Fig. (2) (black curve, Fe scale), when used to drive a global climate model [5], yields global temperature change that agrees closely (Fig. 3 in [5]) with observations (purple curve, Fig. 2). That climate model, which includes only fast feedbacks, has additional warming of ~0.6°C in the pipeline today because of ocean thermal inertia [5, 8].

The remaining gap between equilibrium temperature for current atmospheric composition and actual global temperature is ~1.4°C. This further 1.4°C w arming still to come is due to the s low s urface albedo f eedback, s pecifically ice sheet disintegration and vegetation change.

One m ay as k w hether the c limate s ystem, as the Ear th warms from its present 'interglacial' state, still has the capacity to supply s low f eedbacks t hat double t he fa stfeedback sensitivity. This issue can be addressed by considering longer time scales including periods with no ice.

3. CENOZOIC ERA

leistocene atmospheric CO₂ v ariations o ccur as a climate feedback, as carbon is exchanged among surface reservoirs: the ocean, atmosphere, soils and biosphere. The most effective feedback is increase of atmospheric CO₂ as climate warms, t he CO₂ tr ansfer b eing m ainly f rom o cean to atmosphere [27, 28]. On longer time scales the total amount of CO₂ in the surface reservoirs varies due to exchange of carbon with the solid earth. C O₂ thus becomes a primary agent of long-term climate change, leaving orbital effects as 'noise' on larger climate swings.

The Cenozoic era, the past 65.5 My, provides a valuable complement to the P leistocene for exploring climate sensitivity. Cenozoic data on c limate and a tmospheric c omposition are not as precise, but larger climate variations occur, including an ice-free planet, thus putting glacial-interglacial changes in a wider perspective.

Oxygen i sotopic composition of be nthic (de ep oc ean dwelling) for a minifera's hells in a global compilation of ocean sediment cores [26] provides a starting point for analyzing Cenozoic climate change (Fig. 3a). At times with negligible ice s heets, oxyge n is otope change, δ^{18} O, provi des a direct measure of deep o cean temperature (Tdo). Thus Tdo (°C) $\sim -4 \, \delta^{18}$ O + 12 between 65.5 and 35 My BP.

Rapid increase of δ^{18} O at about 34 My is associated with glaciation of Antarctica [26, 40] and global cooling, as evidenced by da ta from Nort h Am erica [41] a nd As ia [42]. From then until the present, ¹⁸O in deep oc ean foraminifera is affected by both ice volume and T_{do}, lighter ¹⁶O evaporating p referentially from the o cean and a ccumulating in ice sheets. Between 35 M y and the last ice age (20 ky) the change of δ^{18} O was ~ 3‰, change of T_{do} was ~ 6°C (from +5 to -1°C) and ice volume change ~ 180 m sl (m eters of s ea level). Given that a 1.5% change of δ^{18} O is associated with a 6°C T_{do} change, we assign the remaining $\delta^{18}\text{O}$ change to ice volume linearly at the rate 60 msl per mil δ^{18} O change (thus 180 msl for δ^{18} O between 1.75 and 4.75). Equal division of δ^{18} O b etween t emperature an d s ea lev el y ields s ea l evel change in the l ate P leistocene in r easonable a ccord with available sea level data (Fig. S8). Subtracting the ice volume portion of δ^{18} O yields deep ocean temperature T_{do} (°C) = -2 $(\delta^{18}\text{O} - 4.25\%)$ after 35 My, as in Fig. (**3b**).

The large (~14°C) Cenozoic temperature change between 50 My and the ice age at 20 ky m ust have been forced by changes of atmospheric composition. Alternative dri ves could come from out side (s olar irradiance) or the E arth's surface (continental locations). But s olar brightness in creased ~0.4% in the Cenozoic [43], a linear forcing change of only $+1 \text{ W/m}^2$ and of the wrong sign to contribute to the cooling tr end. Climate forcing due to continental lo cations was < 1 W/m², because continents 65 My ago were already close to present latitudes (Fig. S9). Opening or closing of oceanic gateways might affect the timing of glaciation, but it would not provi de t he c limate forc ing ne eded for gl obal cooling.

CO 2 concentration, in contrast, varied from ~180 ppm in glacial times to 1500 ± 500 ppm in the early Cenozoic [44]. This change is a forcing of more than 10 W/m² (Table 1 in [16]), an order of m agnitude larger than other known forc ings. C H₄ a nd N ₂O, p ositively co rrelated w ith CO₂ an d global temperature in the period with a ccurate data (ice cores), likely increase the total GHG forcing, but their forcings are much smaller than that of CO₂ [45, 46].

Fig. (3). Global deep ocean (a) $\delta^{18}O$ [26] and (b) temperature. Black curve is 5-point running mean of $\delta^{18}O$ original temporal resolution, while red and blue curves have 500 ky resolution.

3.1. Cenozoic Carbon Cycle

Solid Earth sources and sinks of CO₂ are not, in general, balanced at any given time [30, 47]. CO₂ is removed from surface reservoirs by: (1) chemical weathering of rocks with deposition of carbonates on the ocean floor, and (2) burial of organic matter; weathering is the dominant process [30]. CO₂ returns primarily *via* metamorphism and volcanic outgassing at locations where carbonate-rich oceanic crust is being subducted beneath moving continental plates.

Outgassing and burial of CO_2 are each typically 10^{12} - 10^{13} mol C/year [30, 47-48]. At times of unus ual p late tectonic activity, such as rapid subduction of carbon-rich ocean crust or s trong oroge ny, t he i mbalance be tween out gassing a nd burial can be a significant fraction of t he one-way carbon flux. Although negative feedbacks in the geochemical carbon cycle reduce the rate of surface reservoir perturbation [49], a net i mbalance $\sim 10^{12}$ m ol C/year c an be maintained ove r thousands of ye ars. S uch an imbalance, if confined to the atmosphere, would be ~ 0.005 ppm/year, but a s C O_2 is d istributed a mong s urface re servoirs, t his i s onl y ~ 0.0001 ppm/year. T his r ate is n egligible co mpared to the p resent human-made a tmospheric CO_2 increase of ~ 2 ppm/year, yet over a m illion y ears s uch a cr ustal im balance al ters at mospheric CO_2 by 100 ppm.

Between 60 a nd 50 M y a go India moved north rapidly, 18-20 c m/year [50], through a region that long had been a depocenter for carbonate and organic sediments. Subduction of car bon-rich cr ust w as surely a lar ge source of CO₂ outgassing and a prime cause of global warming, which peaked 50 My ago (Fig. **3b**) with the Indo-Asian collision. CO₂ must have then decreased due to a reduced subduction source and enhanced w eathering with uplift of the Him alayas/Tibetan Plateau [51]. Since then, the Indian and Atlantic Oceans have been major depocenters for c arbon, but subduction of c arbon-rich crust has been limited mainly to small regions near Indonesia and Central America [47].

Thus atmospheric CO_2 declined following the Indo-Asian collision [44] and climate cooled (Fig. **3b**) leading to Antarctic glaciation by $\sim 34\,$ My. Antarctica has been more or less glaciated ever since. The rate of CO_2 drawdown declines as atmospheric CO_2 de creases due to ne gative fe edbacks, including the effect of declining atmospheric temperature and plant growth rates on we athering [30]. These negative feedbacks te nd to c reate a ba lance be tween c rustal out gassing and drawdown of CO_2 , which have been equal within 1-2 percent over the past 700 ky [52]. Large fluctuations in the size of the Antarctic ice sheet have occurred in the past 34 My, possibly related to temporal variations of plate tectonics [53] and out gassing rates. The relatively c onstant a tmos-

pheric CO₂ amount of the past 20 My (Fig. **S10**) implies a near ba lance of out gassing and we athering rates over that period.

Knowledge of Cenozoic C O₂ is li mited to imprecise proxy measures except for recent ice core data. There are discrepancies a mong di fferent proxy m easures, a nd e ven between di fferent investigators u sing t he s ame proxy method, as discussed in conjunction with Fig. (S10). Nevertheless, the proxy data indicate that CO₂ was of the order of 1000 ppm in the early Cenozoic but <500 ppm in the last 20 My [2, 44].

3.2. Cenozoic Forcing and CO₂

The entire Cenozoic climate forcing history (Fig. 4a) is implied by the temperature reconstruction (Fig. 3b), assuming a fast-feedback sensitivity of ³/₄°C per W/m². Subtracting the s olar and s urface a lbedo forcings (Fig. 4b), the latter from Eq. S2 with ice sheet area vs time from δ^{18} O, we obtain the GHG forcing history (Fig. 4c).

We hinge our calculations at 35 My for several reasons. Between 65 and 35 My ago there was little ice on the planet. so c limate s ensitivity is defined mainly by fast feedbacks. Second, we want to estimate the CO₂ a mount that precipitated A ntarctic g laciation. F inally, the r elation b etween global surface air temperature change (ΔT_s) and deep ocean temperature change (ΔT_{do}) differs for i ce-free and glaciated worlds.

Climate models show that global temperature change is tied closely to ocean temperature change [54]. Deep ocean temperature is a function of high latitude ocean surface temperature, which tends to be amplified relative to global mean ocean's urface t emperature. H owever, 1 and tem perature change exceeds that of the ocean, with an effect on global temperature that t ends to offset the latitudinal variation of ocean temperature. Thus in the ice-free world (65-35 My) we take $\Delta T_s \sim \Delta T_{do}$ with generous (50%) uncertainty. In the glaciated world ΔT_{do} is limited by the freezing point in the deep ocean. ΔT_s between the last ice age (20 ky) and the present

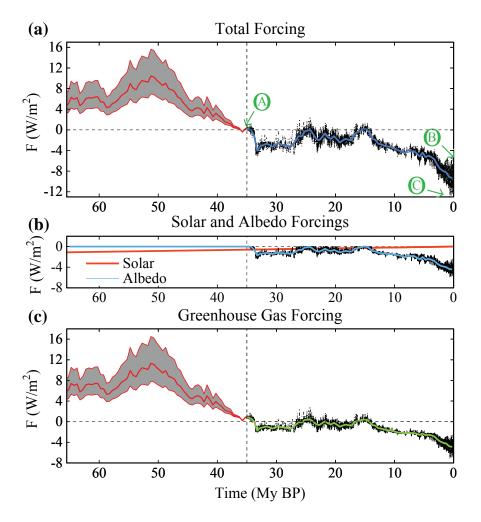


Fig. (4). (a) Total climate forcing, (b) solar and surface albedo forcings, and (c) GHG forcing in the Cenozoic, based on T_{do} history of Fig. (3b) and assumed fast-feedback climate sensitivity $\frac{3}{4}$ °C per W/m². Ratio of T_s change and T_{do} change is assumed to be near unity in the minimal ice world between 65 and 35 My, but the gray area allows for 50% uncertainty in the ratio. In the later era with large ice sheets we take $\Delta T_s/\Delta T_{do} = 1.5$, in accord with Pleistocene data.

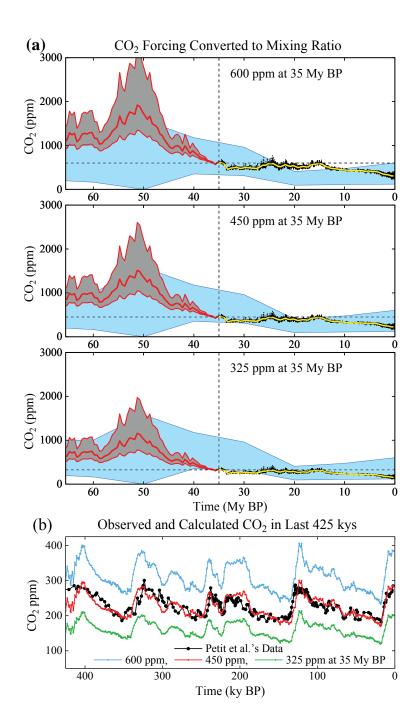


Fig. (5). (a) Simulated CO_2 amounts in the Cenozoic for three choices of CO_2 amount at 35 My (temporal resolution of black and colored curves as in Fig. (3); blue region: multiple CO_2 proxy data, discussed with Fig. (S10); gray region allows 50 percent uncertainty in ratio of global surface and deep ocean temperatures). (b) Expanded view of late Pleistocene, including precise ice core CO_2 measurements (black curve).

interglacial period ($\sim 5^{\circ}$ C) was ~ 1.5 times larger than ΔT_{do} . In Fig. (S5) we show that this relationship fits well throughout the period of ice core data.

If we specify C O_2 at 35 My, the GHG forcing defines CO_2 at other times, assuming CO_2 provides 75% of the GHG forcing, as in the late Pleistocene. $CO_2 \sim 450$ ppm at 35 My keeps CO_2 in the range of early Cenozoic proxies (Fig. 5a)

and yields a good fit to the amplitude and mean CO_2 amount in the late Pleistocene (Fig. **5b**). A CO_2 threshold for Antarctic gla ciation of ~ 500 ppm w as pr eviously i nferred from proxy CO_2 data and a carbon cycle model [55].

Indi vidual CO₂ proxies (Fig. **S10**) clarify limitations due to scatter among the measurements. Low CO₂ of some early Cenozoic proxi es, if va lid, would suggest higher climate

sensitivity. H owever, in g eneral the s ensitivities inferred from the Cenozoic and Phanerozoic [56, 57, 58] a gree well with our analysis, if we account for the ways in which sensitivity is defined and the periods emphasized in each empirical derivation (Table S1).

Target Atmospheric CO2: Where Should Humanity Aim?

 CO_2 estimate of ~450 ppm at 35 My (Fig. 5) serves as a prediction to compare with new data on CO₂ a mount. Model u ncertainties (Fig. S10) include possible changes of non-CO₂ GHGs and the relation of ΔT_s to ΔT_{do} . The model fails to a count for c ooling in the pa st 15 My if CO₂ increased, a s s everal proxie s suggest (F ig. S10). C hanging ocean currents, such as the closing of the Isthmus of Panama, may have contributed to climate evolution, but models find little effect on temperature [59]. Non-CO₂ GHGs also could have played a role, be cause little forcing would have be en needed to cause cooling due to the magnitude of late Cenozoic albedo feedback.

3.3. Implication

We infer from Cenozoic data that CO₂ was the dominant Cenozoic forcing, that CO_2 was $\sim 450 \pm 100$ ppm when Antarctica glaciated, and that glaciation is reversible. Together these inferences have profound implications.

Consider three points marked in Fig. (4): point A at 35 My, just before Antarctica glaciated; point B at recent interglacial periods; point C at the depth of recent ice ages. Point B is about half way between A and C in global temperature (Fig. 3b) and climate forcings (Fig. 4). The GHG for cing from the deepest recent ice age to current interglacial warmth is $\sim 3.5 \text{ W/m}^2$. Additional 4 W/m² forcing carries the planet, at equilibrium, to the ice-free state. Thus equilibrium climate sensitivity to GHG change, i ncluding the surface a lbedo change as a slow feedback, is almost as large between today and an ice-free world as between today and the ice ages.

The implication is that global climate sensitivity of 3°C for doubled CO₂, a lthough valid for the idealized Charney definition of c limate s ensitivity, is a considerable unde rstatement of e xpected equilibrium gl obal warming i n re sponse to imposed doubled CO₂. Additional warming, due to slow climate feedbacks in cluding loss of ice and spread of flora over the vast high-latitude land area in the Northern Hemisphere, a pproximately doubl es e quilibrium climate sensitivity.

Equilibrium sensitivity 6°C for doubl ed CO₂ is relevant to the case in which GHG changes are specified. That is appropriate to the anthropogenic case, provided the GH G amounts are estimated from carbon cycle models including climate feedbacks such as methane release from tundra and ocean sediments. The equilibrium sensitivity is even higher if the GHG fe edback is included as part of the climate response, as is appropriate for analysis of the climate response to Earth orbital perturbations. The very high sensitivity with both albedo and GHG s low feedbacks included accounts for the huge magnitude of glacial-interglacial fluctuations in the Pleistocene (Fig. 3) in response to small forcings (section 3 of Supplementary Material).

Equilibrium c limate re sponse would not be reached in decades or even in a century, b ecause surface w arming is slowed by the inertia of the ocean (Fig. S7) and ice sheets. However, E arth's hi story suggests that positive fe edbacks, especially s urface albedo c hanges, can s pur ra pid gl obal warmings, including sea level rise as fast as several meters per century [7]. Thus if humans push the climate system sufficiently far in to disequilibrium, positive climate feedbacks may set in motion dramatic climate change and climate impacts that cannot be controlled.

4. ANTHROPOCENE ERA

Human-made gl obal c limate forc ings now pre vail over natural forcings (Fig. 2). E arth m ay have e ntered the Anthropocene era [60, 61] 6-8 ky a go [62], but the net humanmade forcing was small, perhaps slightly negative [7], prior to the industrial era. GHG forcing overwhelmed natural and negative human-made forcings only in the past quarter century (Fig. 2).

Human-made climate change is delayed by o cean (Fig. S7) and ice sheet response times. Warming 'in the pipeline', mostly attributable to slow feedbacks, is now about 2°C (Fig. 2). No additional forcing is required to raise global temperature to at least the level of the Pliocene, 2-3 million years ago, a degree of warming that would surely yield 'dangerous' climate impacts [5].

4.1. Tipping Points

Realization that today's climate is far out of equilibrium with current c limate forcings raises the specter of 't ipping points', the concept that c limate c an re ach a point whe re, without additional forcing, rapid changes proceed practically out of our control [2, 7, 63, 64]. Arctic sea ice and the West Antarctic Ice Sheet are examples of potential tipping points. Arctic sea ice loss is magnified by the positive feedback of increased absorption of sunlight as global warming initiates sea i ce retreat [65]. West Antarctic ic e lo ss can be acc elerated by several feedbacks, once ice loss is substantial [39].

We define: (1) the tipping level, the global climate forcing that, if long maintained, gives rise to a specific consequence, and (2) the point of no return, a climate state beyond which the consequence is inevitable, even if climate forcings are reduced. A point of no return can be avoided, even if the tipping lev el is temporarily exceeded. Ocean and ice sheet inertia permit overshoot, provided the climate forcing is returned b elow the tipping lev el b efore in itiating irreversible dynamic change.

Points of no return are inherently difficult to define, because the dynamical problems are nonlinear. Existing models are more lethargic than the real world for phenomena now unfolding, i neluding c hanges of s ea ic e [65], ice s treams [66], ice shelves [36], and expansion of the subtropics [67, 68].

The tipping level is easier to assess, because the paleoclimate quasi-equilibrium response to known climate forcing is relevant. The tipping level is a measure of the long-term climate forcing that humanity must a im to stay beneath to avoid large climate impacts. The tipping level does not define the magnitude or period of tolerable overshoot. However, if overshoot is in place for c enturies, the thermal perturbation will so penetrate the ocean [10] that recovery without d ramatic ef fects, s uch as i ce s heet d isintegration, b ecomes unlikely.

4.2. Target CO₂

Combined, GHGs other than CO_2 cause c limate forcing comparable to that of CO_2 [2, 6], but grow tho f non- CO_2 GHGs is falling below IPCC [2] s cenarios. Thus total GHG climate forcing c hange is now d etermined mainly by CO_2 [69]. C oincidentally, CO_2 forcing is s imilar to the net human-made forcing, b ecause non- CO_2 GHGs tend to offs et negative aerosol forcing [2, 5].

Thus we take future CO_2 change as approximating the net human-made forcing change, with two caveats. First, special effort to reduce non- CO_2 GHGs could alleviate the CO_2 requirement, allowing up to about +25 ppm CO_2 for the same climate e ffect, while re surgent growth of non- CO_2 GHGs could reduce a llowed CO_2 as imilar a mount [6]. Second, reduction of human-made aerosols, which have a net cooling effect, could force stricter GHG requirements. However, an emphasis on reducing black soot could largely off-set reductions of high albedo aerosols [20].

Our estimated history of CO_2 through the Cenozoic Era provides a sobering perspective for a ssessing an appropriate target for future CO_2 levels. A CO_2 amount of order 450 ppm or larger, if long maintained, would push Earth toward the ice-free state. Although ocean and ice sheet in ertia li mit the rate of c limate change, such a CO_2 level likely would cause the passing of c limate ti pping points and i nitiate dyna mic responses that could be out of humanity's control.

The c limate s ystem, be cause of i ts i nertia, has not ye t fully responded to the recent increase of hum an-made climate forcings [5]. Yet climate impacts are already occurring that allow us to make an initial estimate for a tar get at mospheric C O_2 level. No doubt the target will need to be a djusted as climate data and knowledge improve, but the urgency and difficulty of re ducing the hum an-made for cing will be less, and more likely manageable, if excess forcing is limited soon.

Civilization is adapted to climate zones of the Holocene. Theory and models indicate that subtropical regions expand poleward w ith gl obal w arming [2, 67]. Da ta re veal a 4-degree latitudinal shift already [68], larger than model predictions, yielding increased aridity in southern United States [70, 71], the Mediterranean region, Australia and parts of Africa. Impacts of this climate shift [72] support the conclusion that 385 ppm CO₂ is already deleterious.

Alpine glaciers are in near-global retreat [72, 73]. After a one-time added fl ush of fre sh wa ter, gla cier de mise w ill yield summers and autumns of frequently dry rivers, including ri vers ori ginating in the H imalayas, Andes and Rocky Mountains that now supply water to hundreds of millions of people. Present glacier retreat, and warming in the pipeline, indicate that $385 \text{ ppm } CO_2$ is already a threat.

Equilibrium sea level rise for today's 385 ppm CO_2 is at least several meters, judging from paleoclimate history [19, 32-34]. A ccelerating m ass lo sses f rom G reenland [74] and

West Antarctica [75] he ighten concerns about ice sheet stability. An initial CO₂ target of 350 ppm, to be reassessed as effects on ice sheet mass balance are observed, is suggested.

Stabilization of Arctic sea ice cover requires, to first approximation, re storation of pl anetary e nergy ba lance. Climate models driven by known forcings yield a present planetary e nergy imbalance of + 0.5-1 W/m² [5]. Observed he at increase in the upper 700 m of the ocean [76] c onfirms the planetary e nergy i mbalance, but observations of the entire ocean are needed for quantification. CO $_2$ a mount must be reduced to 325-355 ppm to increase out going fl ux 0.5-1 W/m², if other forcings are unchanged. A further imbalance reduction, and thus CO $_2 \sim 300-325$ ppm, may be needed to restore sea ice to its area of 25 years ago.

Coral re efs a re s uffering from m ultiple s tresses, wi th ocean acidification and o cean w arming p rincipal among them [77]. Given additional w arming 'in-the-pipeline', 38 5 ppm CO₂ is already deleterious. A 300-350 ppm CO₂ target would significantly relieve both of these stresses.

4.3. CO₂ Scenarios

A large fraction of fossil fuel CO_2 emissions stays in the air a long time, one-quarter remaining a irborne for s everal centuries [11, 78, 79]. Thus moderate delay of fossil fuel use will not appreciably reduce long-term human-made climate change. Preservation of a climate resembling that to which humanity is ac customed, the climate of the H olocene, requires that most remaining fossil fuel carbon is never emitted to the atmosphere.

Coal is the largest reservoir of c onventional fossil fuels (Fig. **S12**), exceeding combined reserves of oil and g as [2, 79]. The only realistic way to sharply curtail CO_2 emissions is to p hase out coal u se ex cept where CO_2 is captured and sequestered.

Phase-out of c oal e missions by 2030 (F ig. 6) k eeps maximum CO_2 close to 400 ppm, depending on o il and gas reserves and reserve growth. IPCC reserves assume that half of r eadily ex tractable o il h as already b een u sed (Figs. 6, S12). EIA [80] estimates (Fig. S12) have larger reserves and reserve growth. Even if EIA estimates are accurate, the IPCC case remains valid if the most difficult to extract oil and gas is left in the ground, via a rising price on c arbon emissions that discourages remote exploration and environmental regulations that place some areas off-limit. If IPC C gas reserves (Fig. S12) are underestimated, the IPCC case in Fig. (6) remains valid if the additional gas reserves are used at facilities where CO_2 is captured.

However, even with phase-out of c oal emissions and assuming IPCC oil and gas reserves, CO₂ would remain above 350 ppm for m ore than two c enturies. Ongoing Arctic and ice s heet changes, examples of ra pid pa leoclimate change, and other criteria cited above all drive us to consider scenarios that bring CO₂ more rapidly back to 350 ppm or less.

4.4. Policy Relevance

Desire t o re duce a irborne C O_2 ra ises t he que stion of whether CO_2 could be drawn from the air artificially. There are no large-scale technologies for CO_2 air capture now, but

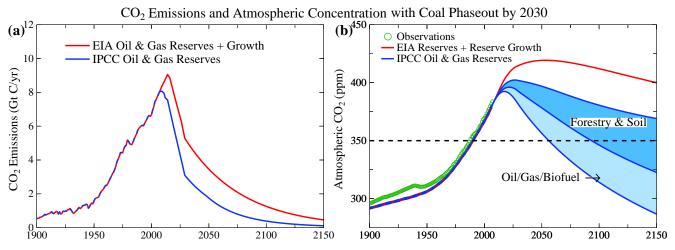


Fig. (6). (a) Fossil fuel CO₂ emissions with coal phase-out by 2030 based on IPCC [2] and EIA [80] estimated fossil fuel reserves. (b) Resulting atmospheric CO₂ based on use of a dynamic-sink pulse response function representation of the Bern carbon cycle model [78, 79].

with strong research and development support and industrialscale pilot projects sustained over decades it may be possible to a chieve c osts $\sim $200/tC$ [81] or pe rhaps 1 ess [82]. A t \$200/tC, the cost of re moving 50 ppm of CO_2 is ~\$20 tril-

Improved agricultural and forestry practices offer a more natural way to draw down CO₂. Deforestation contributed a net emission of 60±30 ppm over the past few hundred years, of which ~20 ppm CO₂ remains in the air today [2, 83] (Figs. (S12, S14). Reforestation could absorb a substantial fraction of the 60±30 ppm net deforestation emission.

Carbon sequestration in soil also has significant potential. Biochar, produced in pyrolysis of re sidues from crops, forestry, and animal wastes, can be used to restore soil fertility while storing carbon for centuries to millennia [84]. Biochar helps soil retain nutrients and fertilizers, reducing emissions of GHGs such as N₂O [85]. Replacing slash-and-burn agriculture with slash-and-char and use of agricultural and forestry w astes for bi ochar production could provi de a CO₂ drawdown of ~8 ppm or more in half a century [85].

In the Supplementary Material Section we define a forest/soil dr awdown s cenario t hat re aches 50 ppm by 215 0 (Fig. 6b). This scenario returns CO₂ below 350 ppm late this century, after about 100 years above that level.

More rapid drawdown could be provided by CO₂ capture at power plants fueled by gas and biofuels [86]. Low-input high-diversity biofuels grown on degraded or marginal lands, with associated b iochar production, could a ccelerate CO₂ drawdown, but the na ture of a bi ofuel a pproach must be carefully designed [85, 87-89].

A rising price on carbon emissions and payment for carbon s equestration is surely n eeded to make dra wdown of airborne CO₂ a reality. A 50 ppm drawdown via agricultural and forestry p ractices se ems p lausible. But if most of the CO₂ in coal is put into the air, no such "natural" drawdown of CO₂ to 350 ppm is feasible. Indeed, if the world continues on a business-as-usual path for even another decade without initiating phase-out of unconstrained coal use, prospects for

avoiding a dangerously large, extended overshoot of the 350 ppm level will be dim.

4.5. Caveats: Climate Variability, Climate Models, and **Uncertainties**

Climate has great variability, much of which is unforced and unpredictable [2, 90]. This fact raises a practical issue: what is the chance that climate variations, e.g., a temporary cooling trend, will affect publi c re cognition of climate change, making it difficult to implement mitigation policies? Also what are the greatest uncertainties in the expectation of a continued global warming trend? And what are the impacts of climate model limitations, given the inability of models to realistically s imulate m any as pects of cl imate change and climate processes?

The a tmosphere a nd ocean e xhibit c oupled nonlinear chaotic variability that cascades to all time scales [91]. Variability is so large that the significance of re cent de cadal global temperature change (Fig. 7a) would be very limited, if the da ta we re c onsidered s imply a s a time s eries, wit hout further i nformation. Howe ver, ot her knowl edge includes information on the causes of some of the temperature variability, the planet's energy im balance, and global climate forcings.

The El Nino Southern Oscillation (ENSO) [94] a counts for most low latitude temperature variability and much of the global variability. The global impact of E NSO is coherent from month to month, as shown by the global-ocean-mean SST (Fig. 7b), for which the o cean's thermal inertia minimizes the effect of weather noise. The cool anomaly of 2008 coincides with an E NSO minimum and does not imply a change of decadal temperature trend.

Decadal time scale variability, such as predicted weakening of the Atlantic overturning circulation [95], could interrupt global warming, as discussed in section 18 of the Supplementary Material. But the impact of regional dynamical effects on global temperature is opposed by the planet's energy imbalance [96], a product of the climate system's thermal inertia, w hich i s co nfirmed b y in creasing o cean h eat

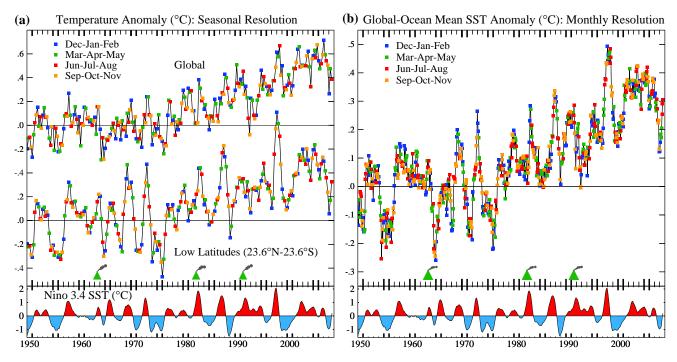


Fig. (7). (a) Seasonal-mean global and low-latitude surface temperature anomalies relative to 1951-1980, an update of [92], (b) global-ocean-mean sea surface temperature anomaly at monthly resolution. The Nino 3.4 Index, the temperature anomaly (12-month running mean) in a small part of the tropical Pacific Ocean [93], is a measure of ENSO, a basin-wide sloshing of the tropical Pacific Ocean [94]. Green triangles show major volcanic eruptions.

storage [97]. This energy imbalance makes decadal interruption of global warming, in the absence of a negative climate forcing, improbable [96].

Volcanoes a nd t he s un c an c ause s ignificant ne gative forcings. However, even if the solar irradiance remained at its value in the current solar minimum, this reduced forcing would be offset by increasing CO₂ within seven years (Supplementary Ma terial s ection 18). Hum an-made a erosols cause a greater negative forcing, both directly and through their effects on c louds. The first satellite observations of aerosols and clouds with accuracy sufficient to quantify this forcing are planned to begin in 2009 [98], but most analysts anticipate th at h uman-made a erosols will d ecrease in the future, rather than increase further.

Climate models have many deficiencies in their abilities to simulate climate change [2]. However, model uncertainties cut both ways: it is at least as likely that models underestimate effects of hum an-made GHGs as overestimate them (Supplementary Material section 18). Model deficiencies in evaluating tipping points, the possibility that rapid changes can occur without additional climate forcing [63, 64], are of special concern. Loss of Arctic sea ice, for example, has proceeded more rapidly than predicted by climate models [99]. There are reasons to expect that other nonlinear problems, such as ice sheet disintegration and extinction of interdependent species and ecosystems, also have the potential for rapid change [39, 63, 64].

5. SUMMARY

Humanity today, c ollectively, must face the uncomfortable fact that i ndustrial c ivilization it self has be come the

principal dri ver of gl obal c limate. If we s tay our pre sent course, using fossil fuels to feed a growing appetite for energy-intensive life styles, we will soon leave the climate of the Holocene, the world of pri or hum an history. The eventual re sponse to doubl ing pre-industrial a tmospheric C O_2 likely would be a nearly ice-free planet, preceded by a period of chaotic change with continually changing shorelines.

Humanity's task of m oderating hum an-caused gl obal climate change is u rgent. O cean and ice sheet in ertias p rovide a buffer delaying full response by centuries, but there is a danger that human-made forcings could drive the climate system beyond tipping points such that change proceeds out of our control. The time available to reduce the human-made forcing is unc ertain, be cause models of the global system and critical components such as ice sheets are inadequate. However, climate response time is surely less than the atmospheric lifetime of the human-caused perturbation of CO₂. Thus remaining fossil fuel reserves should not be exploited without a plan for retrieval and disposal of resulting atmospheric CO₂.

Paleoclimate evidence and ongoing global changes imply that t oday's CO_2 , about 385 ppm , is a lready too high to maintain the c limate to which h umanity, wildlife, and the rest of the biosphere are adapted. Realization that we must reduce the current CO_2 amount has a bright side: effects that had be gun to seem inevitable, including impacts of oc ean acidification, loss of fre sh water supplies, and shifting of climatic zones, may be averted by the necessity of finding an energy course beyond fossil fuels sooner than would otherwise have occurred.

We suggest an initial objective of reducing atmospheric CO₂ to 350 ppm, with the target to be adjusted as scientific understanding and empirical evidence of c limate effects accumulate. A lthough a case a lready could be made that the eventual target probably needs to be lower, the 350 ppm target is sufficient to qualitatively change the discussion and drive fundamental changes in energy policy. Limited opportunities for reduction of non-CO2 human-caused forcings are important to pursue but do not alter the initial 350 ppm CO₂ target. This target must be pursued on a timescale of de cades, as pa leoclimate and ongoing changes, and the ocean response time, suggest that it would be fool hardy to allow CO₂ to stay in the dangerous zone for centuries.

A practical global strategy almost surely requires a rising global price on C O₂ e missions and pha se-out of c oal us e except for cases where the CO₂ is captured and sequestered. The carbon price should e liminate us e of unc onventional fossil fuels, unless, as is unlikely, the CO₂ can be captured. A reward system for i mproved a gricultural and forestry practices that s equester c arbon c ould re move t he c urrent C O2 overshoot. Wit h s imultaneous pol icies t o r educe non-C O₂ greenhouse ga ses, it appears s till fe asible to avert c atastrophic climate change.

Present poli cies, with continued construction of coalfired power plants without CO₂ capture, suggest that de cision-makers do not appreciate the gra vity of the situation. We must be gin to move now toward the era be yound fos sil fuels. Continued growth of gre enhouse gas e missions, for just another decade, practically eliminates the possibility of near-term re turn of a tmospheric c omposition be neath t he tipping level for catastrophic effects.

The most difficult task, pha se-out over the next 20-25 years of coal use that does not capture CO₂, is Herculean, yet feasible w hen co mpared w ith the ef forts that w ent into World War II. The stakes, for all life on the planet, surpass those of any previous crisis. The greatest danger is continued ignorance and denial, which could make tragic consequences unavoidable.

ACKNOWLEDGMENTS

We thank H. Harvey and Hewlett Foundation, G. Lenfest, the Rockefeller Family Foundation, and NASA program managers D. Anderson and J. Kaye for research support, an anonymous re viewer, S. Baum, B. Brook, P. Essunger, K. Farnish, Q. Fu, L.D. Harvey, I. Horovitz, R. Keeling, C. Kutscher, J. Leventhal, C. McGrath, T. Noerpel, P. Read, J. Romm, D. Sanborn, S. Schwartz, J. Severinghaus, K. Ward and S. Weart for comments on a draft manuscript, G. Russell for the computations in Fig. (S3), and T. Conway and P. Tans of N OAA Earth System Research Laboratory and R. Andres, T. Boden and G. Marland of DOE CDIAC for data.

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Received: May 22, 2008 Revised: August 19, 2008 Accepted: September 23, 2008

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