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THE COMPLEXITY OF LINEAR-TIME TEMPORAL LOGIC OVER THE CLASS OF ORDINALS

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ABSTRACT. We consider the temporal logic with since and until modalities. This temporal logic is expressively equivalent over the class of ordinals to first-order logic by Kamp's theorem. We show that it has a PSPACE-complete satisfiability problem over the class of ordinals. Among the consequences of our proof, we show that given the code of some countable ordinal α and a formula, we can decide in PSPACE whether the formula has a model over α . In order to show these results, we introduce a class of simple ordinal automata, as expressive as Büchi ordinal automata. The PSPACE upper bound for the satisfiability problem of the temporal logic is obtained through a reduction to the nonemptiness problem for the simple ordinal automata.

INTRODUCTION

The main models for time are $\langle \mathbb{N}, \langle \rangle$, the natural numbers as a model of *discrete time* and the structure $\langle \mathbb{R}, \langle \rangle$, the real line as the model for *continuous time*. These two models are called the *canonical models of time*. A major result concerning linear-time temporal logics is Kamp theorem [Kam68, GHR94] which says that LTL(U, S), the temporal logic having "*Until*" and "*Since*" as only modalities, is expressively complete for first-order monadic logic of order over the class of Dedekind-complete linear orders. The canonical models of time are indeed Dedekind-complete. Another important class of Dedekind-complete orders is the class of ordinals.

In this paper, the satisfiability problem for the temporal logic with until and since modalities over the class of ordinals is investigated. This is the opportunity to generalize what is known about the logic over ω -sequences. Our main results are the following.

(1) The satisfiability problem for LTL(U, S) over the class of ordinals is PSPACE-complete.

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(2) A formula φ in LTL(U, S) has some α-model for some ordinal α iff it has an β-model for some β < ω^{|φ|+2} where |φ| denotes the size of φ for some reasonably succinct encoding (see forthcoming Corollary 3.3).

In order to prove these results we use an automata-based approach [Büc62, VW94]. In Section 2, we introduce a new class of ordinal automata which we call *simple ordinal automata*. These automata are expressive equivalent to Büchi automata over countable ordinals [BS73]. However, the locations and the transition relations of these automata have additional structures as in [Roh97]. In particular, a location is a subset of a base set B. Herein, we provide a translation from formulae in LTL(U, S) into simple ordinal automata that allows to characterize the complexity of the satisfiability problem for LTL(U, S). However, the translation of the formula ϕ into the automaton \mathcal{A}_{ϕ} provides an automaton of exponential size in $|\phi|$ but the cardinal of the basis of \mathcal{A}_{ϕ} is linear in $|\phi|$. Section 3 contains our main technical lemmas. We show there that every run in a simple ordinal automaton is equivalent to a short run. Consequently, we establish that a formula $\phi \in LTL(U, S)$ has an α -model for some countable ordinal α iff it has a model of length trunc_{$|\phi|+2}(\alpha)$ where</sub> trunc_{$|\phi|+2}(\alpha)$ is a truncated part of α strictly less than $\omega^{|\phi|+2} \times 2$ (see the definition of truncation</sub> in Section 3). In Section 4 we present two algorithms to solve the nonemptiness problem for simple ordinal automata. The first one runs in (simple) exponential time and does not take advantage of the short run property. The second algorithm runs in polynomial space and the short run property plays the main role in its design and its correctness proof.

In Section 5 we investigate several variants of the satisfiability problem and show that all of them are PSPACE-complete. Section 6 compares our results with related works. The satisfiability problem for LTL(U, S) over ω -models is PSPACE-complete [SC85]. Reynolds [Rey03, Rey10b] proved that the satisfiability problem for LTL(U, S) over the reals is PSPACE-complete. The proofs in [Rey03, Rey10b] are non trivial and difficult to grasp and it is therefore difficult to compare our proof technique with those of [Rey03, Rey10b] even though we believe cross-fertilization would be fruitful. We provide uniform proofs and we improve upper bounds for decision problems considered in [Cac06, DN07, Roh97], see also [BLW07]. We also compare our results and techniques with Rohde's thesis [Roh97]. Finally we show how our results entail most of the results from [DN07] and we solve some open problems stated there.

1. LINEAR-TIME TEMPORAL LOGIC WITH UNTIL AND SINCE

1.1. **Basic definitions on ordinals.** Let us start smoothly by recalling basic definitions and properties about ordinals, see e.g. [Ros82] for additional material. An *ordinal* is a totally ordered set which is *well ordered*, i.e. all its non-empty subsets have a least element. Order-isomorphic ordinals are considered equal. They can be more conveniently defined inductively by: the empty set (written 0) is an ordinal, if α is an ordinal, then $\alpha \cup \{\alpha\}$ (written $\alpha + 1$) is an ordinal and, if X is a set of ordinals, then $\bigcup_{\alpha \in X} \alpha$ is an ordinal. The ordering is obtained by $\beta < \alpha$ iff $\beta \in \alpha$. An ordinal α is a *successor* ordinal iff there exists an ordinal β such that $\alpha = \beta + 1$. An ordinal which is not 0 or a successor ordinal, is a *limit* ordinal. The first limit ordinal is written ω . Addition, multiplication and exponentiation can be defined on ordinals inductively: $\alpha + 0 = \alpha$, $\alpha + (\beta + 1) = (\alpha + \beta) + 1$ and $\alpha + \beta = \sup\{\alpha + \gamma : \gamma < \beta\}$ where β is a limit ordinal. Multiplication and exponentiation are defined similarly. Whenever $\alpha \leq \beta$, there is a unique ordinal γ such that $\alpha + \gamma = \beta$ and we write $\beta - \alpha$ to denote γ .

1.2. **Temporal logic.** The formulae of LTL(U, S) are defined as follows:

 $\phi ::= p \mid \neg \phi \mid \phi_1 \land \phi_2 \mid \phi_1 \mathsf{U} \phi_2 \mid \phi_1 \mathsf{S} \phi_2$

where $p \in PROP$ for some countably infinite set PROP of atomic propositions. Given a formula ϕ in LTL(U, S), we write $sub(\phi)$ to denote the set of subformulae of ϕ or their negation assuming that $\neg \neg \psi$ is identified with ψ . The size of ϕ is defined as the cardinality of $sub(\phi)$ and therefore implicitly we encode formulae as DAGs, which is exponentially more succinct that the representation by trees. This feature will be helpful for defining translations that increase only polynomially the number of subformulae but for which the tree representation might suffer an exponential blow-up. We use the following standard abbreviations $G\phi = \phi \land \neg (\top U \neg \phi), G^+\phi = \neg (\top U \neg \phi), F\phi = \neg G \neg \phi,$ $F^+\phi = \neg G^+ \neg \phi$, $X\phi = \perp U\phi$ and $X^{-1}\phi = \perp S\phi$ that do cause only a polynomial increase in size.

An α -model σ is a function $\sigma : \alpha \to \mathcal{P}(PROP)$ for some ordinal $\alpha \neq 0$. The satisfaction *relation* " ϕ holds in the α -model σ at position β " ($\beta < \alpha$) is defined as follows:

- $\sigma, \beta \models p \text{ iff } p \in \sigma(\beta),$
- $\sigma, \beta \models \neg \phi \text{ iff not } \sigma, \beta \models \phi$,
- σ,β ⊨ φ₁ ∧ φ₂ iff σ, β ⊨ φ₁ and σ, β ⊨ φ₂,
 σ,β ⊨ φ₁∪φ₂ iff there is γ ∈ (β, α) such that σ, γ ⊨ φ₂ and for every γ' ∈ (β, γ), we have $\sigma, \gamma' \models \phi_1$,
- $\sigma, \beta \models \phi_1 \mathsf{S} \phi_2$ iff there is $\gamma \in [0, \beta)$ such that $\sigma, \gamma \models \phi_2$ and for every $\gamma' \in (\gamma, \beta)$, we have $\sigma, \gamma' \models \phi_1.$

Observe that S and U are strict "since" and "until" modalities.

The (initial) satisfiability problem for LTL(U, S) consists in determining, given a formula ϕ , whether there is a model σ such that $\sigma, 0 \models \phi$. Note that ϕ is satisfiable in a model σ iff $\mathsf{F}\phi$ is initially satisfiable in σ . Therefore, there is a polynomial-time reduction from the satisfiability problem to the initial satisfiability problem. From now on, we will deal only with the initial satisfiability problem and for the sake of brevity we will call it "satisfiability problem".

We recall that well orders are particular cases of Dedekind complete linear orders. Indeed, a chain is Dedekind complete iff every non-empty bounded subset has a least upper bound. Kamp's theorem applies herein.

Theorem 1.1. [Kam68] LTL(U, S) over the class of ordinals is as expressive as the first-order logic.

Moreover, satisfiability for LTL(U, S) is known to be decidable and as stated below we can restrict ourselves to countable models.

Theorem 1.2.

- (I): [BS73] The satisfiability problem for LTL(U, S) over the class of countable ordinals is decidable.
- (II): (see e.g. [GS85, Lemma 6]) A formula in LTL(U, S) is satisfiable iff it is satisfiable in a model of length some countable ordinal.

Observe that in [BS73] it was proved that monadic second-order logic over the class of countable ordinals is decidable and in [GS85] it was shown that if a formula of the first-order monadic logic is satisfiable in a model over an ordinal then it is satisfiable in a model over a countable ordinal. (I) and (II) are immediate consequences of these results and the fact that LTL(U, S) can be easily translated into first-order logic.

Consequently, LTL(U, S) over the class of ordinals is certainly a fundamental logic to be studied. We recall below a central complexity result that we will extend to all ordinals.

Theorem 1.3. [SC85] Satisfiability for LTL(U, S) restricted to ω -models is PSPACE-complete.

2. TRANSLATION FROM FORMULAE TO SIMPLE ORDINAL AUTOMATA

In Section 2.1, we introduce a new class of ordinal automata which we call simple ordinal automata. These automata are expressive equivalent to Büchi automata over ordinals [BS73]. However, the locations and the transition relations of these automata have additional structures. In Section 2.3, we provide a translation from LTL(U, S) into simple ordinal automata which assigns to every formula in LTL(U, S) an automaton that recognizes exactly its models. We borrow the automata-based approach for temporal logics from [VW94, KVW00].

2.1. Simple ordinal automata.

Definition 2.1. A simple ordinal automaton \mathcal{A} is a structure $\langle B, Q, \delta_{next}, \delta_{lim} \rangle$ such that

- B is a finite set (the *basis* of A),
- $Q \subseteq \mathcal{P}(B)$ (the set of *locations*),
- $\delta_{next} \subseteq Q \times Q$ is the next-step transition relation,
- $\delta_{lim} \subseteq \mathcal{P}(B) \times Q$ is the *limit transition relation*.

 \mathcal{A} can be viewed as a finite directed graph whose set of nodes is structured. Limit transitions, whose interpretation is given below, allow reaching a node after an infinite amount of steps. Given a simple ordinal automaton \mathcal{A} , an α -path (or simply a path) is a map $r : \alpha \to Q$ for some $\alpha > 0$ such that

- for every $\beta + 1 < \alpha$, $\langle r(\beta), r(\beta + 1) \rangle \in \delta_{next}$,
- for every limit ordinal $\beta < \alpha$, $\langle B_{lim}(r,\beta), r(\beta) \rangle \in \delta_{lim}$ where

 $B_{lim}(r,\beta) \stackrel{\text{def}}{=} \{ a \in B : \exists \gamma < \beta \text{ such that for every } \gamma' \in (\gamma,\beta), \ a \in r(\gamma') \}.$

The set $B_{lim}(r,\beta)$ contains exactly the elements of the basis that belong to every location from some $\gamma < \beta$ until β . We sometimes write $B_{lim}(r)$ instead of $B_{lim}(r,\alpha)$ when α is a limit ordinal. Given an α -path r, for $\beta, \beta' < \alpha$ we write

- $r_{>\beta}$ to denote the restriction of r to positions greater or equal to β ,
- $r_{\leq\beta}$ to denote the restriction of r to positions less or equal to β ,
- $r_{[\beta,\beta')}$ to denote the restriction of r to positions in $[\beta,\beta')$ (half-open interval).

A simple ordinal automaton with acceptance conditions is a structure of the form

$$\langle B, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$$

where

- $I \subseteq Q$ is the set of *initial* locations,
- $F \subseteq Q$ is the set of *final* locations for accepting runs whose length is some successor ordinal,
- $\mathcal{F} \subseteq \mathcal{P}(B)$ encodes the accepting condition for runs whose length is some limit ordinal.

Given a simple ordinal automaton with acceptance conditions, an *accepting run* is a path $r : \alpha \to Q$ such that

- $r(0) \in I$,
- if α is a successor ordinal, then $r(\alpha 1) \in F$, otherwise $B_{lim}(r) \in \mathcal{F}$.

The nonemptiness problem for simple ordinal automata consists in checking whether \mathcal{A} has an accepting run. Our current definition for simple ordinal automata does not make them language acceptors since they have no alphabet. It is possible to add in the definition a finite alphabet Σ and to define the next-step transition relation as a subset of $Q \times \Sigma \times Q$, see an example on the right-hand side of Figure 1. Additionally, the current definition can be viewed as the case either when the alphabet is a singleton or when the read letter is encoded in the locations through the dedicated elements of the basis. This second reading will be in fact used implicitly in the sequel. We also write \mathcal{A} to denote either a simple ordinal automaton or its extension with acceptance conditions.

2.2. **Relationships with Büchi automata.** Simple ordinal automata with acceptance conditions and alphabet define the same class of languages as standard ordinal automata in the sense of [Büc64, Büc65]. Main arguments are provided below for the sake of completeness. However, we do not need this correspondence in our forthcoming developments. The main interest for our model of simple ordinal automata rests on the fact that it allows us to obtain the promised PSPACE upper bound. A *standard ordinal automaton* is a structure $\mathcal{A} = \langle \Sigma, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$ such that

- Σ is a finite alphabet,
- Q is a finite set of locations,
- $\delta_{next} \subseteq Q \times \Sigma \times Q$ and $\delta_{lim} \subseteq \mathcal{P}(Q) \times Q$,
- $I, F \subseteq Q$ and $\mathcal{F} \subseteq \mathcal{P}(Q)$.

A word $u: \alpha \to \Sigma$ is *accepted* by \mathcal{A} iff there is $r: \alpha \to Q$ such that

- for every $\beta + 1 < \alpha$, $\langle r(\beta), u(\beta), r(\beta + 1) \rangle \in \delta_{next}$,
- for every limit ordinal $\beta < \alpha$, $\langle \inf(r, \beta), r(\beta) \rangle \in \delta_{lim}$ where

 $\inf(r,\beta) \stackrel{\text{def}}{=} \{q \in Q : \text{for all } \gamma < \beta \text{ there is } \gamma' \in (\alpha,\beta) \text{ such that } r(\gamma') = q\}.$

As usual, $\inf(r, \beta)$ denote the set of locations that appear cofinally before β .

• $r(0) \in I$ and if α is a successor ordinal, then $r(\alpha - 1) \in F$, otherwise $\inf(r, \alpha) \in \mathcal{F}$.

We write L(A) to denote the set of words accepted by A. Similar definitions can be given for simple ordinal automata with acceptance conditions and alphabet.

Lemma 2.2.

- (I): Given a simple ordinal automaton \mathcal{A} , there is a standard ordinal automaton \mathcal{A}' such that $L(\mathcal{A}) = L(\mathcal{A}')$.
- (II): Given a standard ordinal automaton \mathcal{A} , there is a simple ordinal automaton \mathcal{A}' such that $L(\mathcal{A}) = L(\mathcal{A}')$.

Proof. (I) Let \mathcal{A} be a simple ordinal automaton $\mathcal{A} = \langle \Sigma, B, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$. We consider the standard ordinal automaton \mathcal{A}' of the form $\langle \Sigma, Q, I, F, \mathcal{F}, \delta_{next}, \delta'_{lim} \rangle$ such that $\langle Y, q \rangle \in \delta'_{lim}$ iff there is a limit transition $\langle Z, q \rangle \in \delta_{lim}$ satisfying the conditions below.

- for every $q' \in Y$, we have $Z \subseteq q'$,
- for every element $a \in (B \setminus Z)$, there is $q' \in Y$ such that $a \notin q'$.

One can easily check that $L(\mathcal{A}) = L(\mathcal{A}')$. Observe that \mathcal{A}' can be exponentially larger than \mathcal{A} . (II) Let $\mathcal{A} = \langle \Sigma, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$ be a standard ordinal automaton. We build a simple ordinal automaton $\mathcal{A}' = \langle \Sigma, B', Q', I', F', \mathcal{F}', \delta'_{next}, \delta'_{lim} \rangle$ as follows.

- $B' = \mathcal{P}(Q)$.
- $Q' = \{X \in \mathcal{P}(B') : \exists q \in Q, X = \{Y \in \mathcal{P}(Q) : q \in Y\}\}$. Below, when $q \in Q$, by abusing notation, we also write q to denote the corresponding location in Q' equal to $\{Y \in \mathcal{P}(Q) : q \in Y\}$.



Figure 1: Ordinal automata accepting L_0

- I' = I, F' = F and $\mathcal{F}' = \mathcal{F}$.
- For a ∈ Σ and q, q' ∈ Q, ⟨q, a, q'⟩ ∈ δ'_{next} only if ⟨q, a, q'⟩ ∈ δ_{next}.
 For Y' ⊆ B' and q ∈ Q, ⟨Y', q⟩ ∈ δ'_{lim} only if there is a limit transition ⟨Y, q⟩ ∈ δ_{lim} such that Y' = {a ∈ B' : Y ⊆ a}.

Again, one can easily check that $L(\mathcal{A}) = L(\mathcal{A}')$.

Let L₀ be the set of words $u: \alpha \to \{0, 1\}$ such that for $\beta < \alpha, \beta = \omega^2 \gamma$ for some ordinal γ iff $u(\beta) = 1$. The left-hand side of Figure 1 presents a standard ordinal automaton (with three locations) accepting L_0 . Next-step transitions are represented by plain arrows whereas limit transitions are represented by dashed arrows. Moreover, $F = \{q_1, q_\omega, q_{\geq \omega^2}\}$ and $\mathcal{F} = \mathcal{P}(\{q_1, q_\omega, q_{\geq \omega^2}\})$. The right-hand side of Figure 1 presents a corresponding simple ordinal automaton along the lines of the proof of Lemma 2.2. Its basis B is equal to $\mathcal{P}(\{q_1, q_\omega, q_{>\omega^2}\})$ and we write q_1 to denote $\{\{q_1\}, \{q_1, q_\omega\}, \{q_1, q_{\geq \omega^2}\}, \{q_1, q_\omega, q_{\geq \omega^2}\}\}$. \mathbf{q}_ω and $\mathbf{q}_{\geq \omega^2}$ are defined similarly.

2.3. Translation from LTL(U, S) formulae to simple ordinal automata. As usual, a set Y is a *maximally Boolean consistent* subset of $sub(\phi)$ when the following conditions are satisfied:

- for every $\psi \in sub(\phi), \neg \psi \in Y$ iff $\psi \notin Y$,
- for every $\psi_1 \wedge \psi_2 \in sub(\phi), \psi_1 \wedge \psi_2 \in Y$ iff $\psi_1, \psi_2 \in Y$.

Given a formula ϕ , the simple ordinal automaton $\mathcal{A}_{\phi} = \langle B, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$ is defined as follows:

- $B = sub(\phi)$.
- Q is the set of maximally Boolean consistent subsets of $sub(\phi)$.
- I is the set of locations that contain ϕ and no elements of the form $\psi_1 S \psi_2$.
- F is the set of locations with no elements of the form $\psi_1 U \psi_2$.
- A subset Y of B is in \mathcal{F} if there are no ψ_1 and ψ_2 such that $\{\psi_1, \neg \psi_2, \psi_1 \cup \psi_2\} \subseteq Y$.
- For all $q, q' \in Q$, $\langle q, q' \rangle \in \delta_{next} \stackrel{\text{def}}{\Leftrightarrow}$ the conditions below are satisfied: (next_U): for every $\psi_1 \cup \psi_2 \in sub(\phi)$, $\psi_1 \cup \psi_2 \in q$ iff either $\psi_2 \in q'$ or $\psi_1, \psi_1 \cup \psi_2 \in q'$, (next₅): for every $\psi_1 S \psi_2 \in sub(\phi)$, $\psi_1 S \psi_2 \in q'$ iff either $\psi_2 \in q$ or $\psi_1, \psi_1 S \psi_2 \in q$.
- For all $Y \subseteq B$ and $q \in Q$, $\langle Y, q \rangle \in \delta_{lim} \stackrel{\text{def}}{\Leftrightarrow}$ the conditions below are satisfied: (lim_U1): if $\psi_1, \neg \psi_2, \psi_1 \cup \psi_2 \in Y$, then either $\psi_2 \in q$ or $\psi_1, \psi_1 \cup \psi_2 \in q$, (lim_U2): if $\psi_1, \psi_1 \cup \psi_2 \in q$ and $\psi_1 \in Y$, then $\psi_1 \cup \psi_2 \in Y$, (lim_U3): if $\psi_1 \in Y$, $\psi_2 \in q$ and $\psi_1 \cup \psi_2$ is in the basis *B*, then $\psi_1 \cup \psi_2 \in Y$, (lim₅): for every $\psi_1 S \psi_2 \in sub(\phi)$, $\psi_1 S \psi_2 \in q$ iff $(\psi_1 \in Y \text{ and } \psi_1 S \psi_2 \in Y)$.

Even though the conditions above are compatible with the intuition that a location contains the formulae that are promised to be satisfied, at the current stage it might sound mysterious how the conditions have been made up (mainly for the conditions related to limit transitions). For some of them, their justification comes with the proof of Lemma 2.3.

Let σ be an α -model and ϕ be a formula in LTL(U, S). The *Hintikka sequence* for σ and ϕ is an α -sequence $H^{\sigma,\phi}$ defined as follows: for every $\beta < \alpha$,

$$H^{\sigma,\phi}(\beta) \stackrel{\text{def}}{=} \{ \psi \in sub(\phi) : \sigma, \beta \models \psi \}.$$

Given a run $r: \alpha \to Q$, we write $mod(r): \alpha \to \mathcal{P}(\text{PROP})$ to denote the α -model σ defined as follows: $\sigma(\beta) \stackrel{\text{def}}{=} \{ p \in \text{PROP} : p \in r(\beta) \}$. It is clear that if r is an Hintikka sequence for σ and ϕ , then $mod(r) = \sigma$.

Now we can state the correctness lemma.

Lemma 2.3.

- (I): If $\sigma, 0 \models \phi$, then the Hintikka sequence for σ and ϕ is an accepting run of \mathcal{A}_{ϕ} .
- (II): If r is an accepting run of \mathcal{A}_{ϕ} , then $mod(r), 0 \models \phi$ and r is the Hintikka sequence for mod(r) and ϕ .
- **(III):** ϕ is satisfiable iff A_{ϕ} has an accepting run.

Proof. First, (III) is an immediate consequence of (I) and (II).

(I) Suppose that there is a model $\sigma : \alpha \to \mathcal{P}(\text{PROP})$ (with $\alpha > 0$) such that $\sigma, 0 \models \phi$. By using LTL(U, S) semantics, it is straightforward to check that $H^{\sigma,\phi}$ is accepted by \mathcal{A}_{ϕ} .

(II) Let $r : \alpha \to Q$ be an accepting run of \mathcal{A}_{ϕ} . Let us show by structural induction that for all $\psi \in sub(\phi)$ and $\beta < \alpha$, we have $mod(r), \beta \models \psi$ iff $\psi \in r(\beta)$. The base case and the cases with Boolean operators in the induction step are by an easy verification. The only interesting cases in the induction step are related to the temporal operators U and S. Below, let σ be mod(r). Case U: $\psi = \psi_1 U \psi_2$.

Let us reason ad absurdum. Suppose that $\{\beta : \psi \in r(\beta)\} \neq \{\beta : \sigma, \beta \models \psi\}$. Let β be the smallest ordinal which belongs to only one of these sets. We consider two cases: $(\sigma, \beta \models \psi \text{ and } \psi \notin r(\beta))$ - Case I below - or $(\psi \in r(\beta) \text{ and } \sigma, \beta \not\models \psi)$ - Case II below.

Case I: Let γ be the smallest ordinal verifying $\beta < \gamma < \alpha, \sigma, \gamma \models \psi_2$ and for every $\gamma' \in \psi_2$ (β, γ) , we have $\sigma, \gamma' \models \psi_1 \land \neg \psi_2$. By induction hypothesis, $\psi_2 \in r(\gamma)$ and for every $\gamma' \in (\beta, \gamma), \{\psi_1, \neg \psi_2\} \subseteq r(\gamma').$

First, we are going to show that $\neg \psi \in r(\gamma')$ for every $\gamma' \in [\beta, \gamma)$. This is true for β . Assume that this is true for β' then it is true for $\beta' + 1$ by condition (next_U). Assume that γ' is a limit ordinal and $\psi \notin r(\beta')$ for every $\beta' \in [\beta, \gamma')$. Then, by condition (lim_U2) we obtain that $\neg \psi \in r(\gamma')$. Next, consider two cases:

Case a): γ is a successor, say $\gamma = \beta' + 1$. We have $\neg \psi \in r(\beta')$ and $\psi_2 \in r(\gamma)$. This contradicts condition ($next_U$).

Case b): γ is a limit ordinal. In this case $\{\neg \psi, \psi_1\} \subseteq B_{lim}(r, \gamma)$ and $\psi_2 \in r(\gamma)$. This contradicts condition ($\lim_{U} 3$).

Case II: now suppose that $\psi_1 \cup \psi_2 \in r(\beta)$ and $\sigma, \beta \not\models \psi_1 \cup \psi_2$.

Case a): For every γ such that $\gamma \in (\beta, \alpha)$, we have $\sigma, \gamma \not\models \psi_2$ (ψ_2 does not hold on σ strictly after β).

By induction hypothesis, for every $\gamma \in (\beta, \alpha), \neg \psi_2 \in r(\gamma)$. Let us show that for every $\gamma \in (\beta, \alpha), \{\psi_1, \psi_1 \cup \psi_2\} \subseteq r(\gamma).$

Base case:
$$\gamma = \beta + 1$$
.

By condition (next_U), $\psi_1 \cup \psi_2 \in r(\beta)$ and $\neg \psi_2 \in r(\beta+1)$ imply $\{\psi_1, \psi_1 \cup \psi_2\} \subseteq r(\beta+1)$. Induction step:

• if $\gamma = \gamma' + 1$, then by condition (next_U), $\psi_1 \cup \psi_2 \in r(\gamma')$ and $\neg \psi_2 \in r(\gamma' + 1)$ imply $\{\psi_1, \psi_1 \mathsf{U}\psi_2\} \subseteq r(\gamma'+1).$

 if γ is a limit ordinal then by induction hypothesis, {ψ₁, ¬ψ₂, ψ₁Uψ₂} ⊆ B_{lim}(r, γ). By condition (lim_U1), {ψ₁, ψ₁Uψ₂} ⊆ r(γ) since ψ₂ ∉ r(γ).

Consequently, if α is a limit ordinal, then $\{\psi_1, \neg \psi_2, \psi_1 \cup \psi_2\} \subseteq B_{lim}(r, \alpha)$ which is in contradiction with the definition of \mathcal{F} in \mathcal{A}_{ϕ} . Similarly, if $\alpha = \alpha' + 1$, then $\psi_1 \cup \psi_2 \in r(\alpha')$ which is in contradiction with the definition of F.

Case b): There is a minimal ordinal $\gamma \in (\beta, \alpha)$ such that $\sigma, \gamma \models \neg \psi_1 \land \neg \psi_2$ and for every $\gamma' \in (\beta, \gamma)$, we have $\sigma, \gamma' \models \psi_1 \land \neg \psi_2$. By induction hypothesis, $\{\neg \psi_1, \neg \psi_2\} \subseteq r(\gamma)$ and for every $\gamma' \in (\beta, \gamma)$, $\{\psi_1, \neg \psi_2\} \subseteq r(\gamma')$. Let us show that for every $\gamma' \in (\beta, \gamma)$, $\{\psi_1, \psi_1 \cup \psi_2\} \subseteq r(\gamma')$.

Base case: $\gamma' = \beta + 1$. By condition (next_U), $\psi_1 \cup \psi_2 \in r(\beta)$ and $\neg \psi_2 \in r(\beta + 1)$ imply $\{\psi_1, \psi_1 \cup \psi_2\} \subseteq r(\gamma')$. Induction step:

- If $\gamma' = \gamma'' + 1$, then by condition (next_U), $\psi_1 \cup \psi_2 \in r(\gamma'')$ and $\neg \psi_2 \in r(\gamma'' + 1)$ imply $\{\psi_1, \psi_1 \cup \psi_2\} \subseteq r(\gamma'' + 1)$.
- If γ' is a limit ordinal, then by induction hypothesis, {ψ₁, ¬ψ₂, ψ₁Uψ₂} ⊆ B_{lim}(r, γ'). By condition (lim_U1), {ψ₁, ψ₁Uψ₂} ⊆ r(γ') since ψ₂ ∉ r(γ').

Consequently, if γ is a limit ordinal, then $\{\psi_1, \neg \psi_2, \psi_1 \cup \psi_2\} \subseteq B_{lim}(r, \gamma)$ which leads to a contradiction by condition (lim_U1). Indeed, by induction hypothesis, $\{\neg \psi_1, \neg \psi_2\} \subseteq r(\gamma)$. Similarly, if $\gamma = \gamma' + 1$, then $\psi_1 \cup \psi_2 \notin r(\gamma')$ which leads to a contradiction by condition (next_U).

Case S: $\psi = \psi_1 \mathsf{S} \psi_2$.

Let us reason ad absurdum. Suppose that $\{\beta : \psi \in r(\beta)\} \neq \{\beta : \sigma, \beta \models \psi\}$. Let β be the smallest ordinal that belongs to only one of these sets. Again, we distinguish two cases, namely either $(\sigma, \beta \models \psi \text{ and } \psi \notin r(\beta))$ – Case I below – or $(\psi \in r(\beta) \text{ and } \sigma, \beta \not\models \psi)$ – Case II below.

- *Case I:* so $\beta > 0$ and there is $\gamma \in [0, \beta)$ such that $\sigma, \gamma \models \psi_2$ and for every $\gamma' \in (\gamma, \beta)$, we have $\sigma, \gamma' \models \psi_1$. By induction hypothesis, $\psi_2 \in r(\gamma)$ and for every $\gamma' \in (\gamma, \beta)$, $\psi_1 \in r(\gamma')$. Observe that for every $\gamma' \in (\gamma, \beta)$, we have $\sigma, \gamma' \models \psi$ and $\psi \in r(\gamma')$ (β is minimal).
 - If $\beta = \beta' + 1$ then by condition (next_S) $\psi_2 \notin r(\beta')$ and $\{\psi_1, \psi_1 S \psi_2\} \not\subseteq r(\beta')$. If $\gamma = \beta'$, then this leads to a contradiction since $\psi_2 \in r(\gamma)$. Similarly, if $\gamma < \beta'$, then $\psi \notin r(\beta')$ since $\psi_1 \in r(\beta')$. Since $\sigma, \beta' \models \psi_1 S \psi_2$, this leads to a contradiction by the minimality of β .
 - If β is a limit ordinal, then by condition (lim_S) either $\psi_1 \notin B_{lim}(r,\beta)$ or $\psi_1 S \psi_2 \notin B_{lim}(r,\beta)$. By induction hypothesis, $\psi_1 \in B_{lim}(r,\beta)$. Hence, there is $\beta' \in (\gamma,\beta)$ such that $\psi_1 S \psi_2 \notin r(\beta')$, which is in contradiction with the minimality of β .

Case II:

Case a): For every $\gamma \in [0, \beta)$, $\sigma, \beta \not\models \psi_2$.

By induction hypothesis, for every $\gamma \in [0, \beta)$, $\psi_2 \notin r(\gamma)$. Moreover, for every $\gamma \in [0, \beta)$, we have $\sigma, \beta \not\models \psi_1 S \psi_2$.

- If $\beta = \beta' + 1$ then by condition (next_S), $\{\psi_1, \psi_1 S \psi_2\} \subseteq r(\beta')$ which leads to a contradiction by minimality of β .
- If β is a limit ordinal, then {ψ₁, ψ₁Sψ₂} ⊆ B_{lim}(r, β) by condition (lim_S). Hence, for some β' < β, ψ₁Sψ₂ ∈ r(β'), which leads again to a contradiction by the minimality of β.

• If $\beta = 0$, then we also have a contradiction since r(0) does not contain any since formulae. Observe that in the previous case analyses with ordinals, the case "0" has been irrelevant.

Case b): $\sigma, \beta \not\models \psi$ and not a).

Remember that $\psi \in r(\beta)$. There is $\beta' < \beta$ such that $\sigma, \beta' \not\models \psi_1$. Otherwise, by induction hypothesis and by not a), we have $\sigma, \beta \models \psi$, a contradiction.

Case b.1: There is a maximal position $\gamma < \beta$ such that $\sigma, \gamma \not\models \psi_1$.

For every $\gamma' \in (\gamma, \beta)$, we have $\sigma, \gamma \not\models \psi_2$, otherwise $\sigma, \beta \models \psi$ which would lead to a contradiction. Let us show by transfinite induction that for every $\gamma' \in (\gamma, \beta]$, $\psi \notin r(\gamma')$. Base case: $\gamma' = \gamma + 1$.

 $\neg \psi_1, \neg \psi_2 \in r(\gamma)$ imply by condition (next_S) that $\psi \notin r(\gamma')$. *Induction step:*

- If γ' = γ'' + 1, then ¬ψ₂, ¬ψ ∈ r(γ'') by induction hypothesis. By condition (next_S) ψ ∉ r(γ').
- If γ' is a limit ordinal, then $\neg \psi \in B_{lim}(r, \gamma')$ and by condition (lim_S), $\psi \notin r(\gamma')$.
- Hence, $\psi \notin r(\beta)$, which leads to a contradiction.

Case b.2 There is no maximal position $\gamma < \beta$ such that $\sigma, \gamma \not\models \psi_1$ (the most delicate case). Consequently, there is a unique position $\gamma \leq \beta$ such that for every $\gamma' < \gamma$, there is $\gamma' < \gamma'' < \gamma$ verifying $\neg \psi_1 \in r(\gamma'')$. This means that

- for every $\gamma' \in [\gamma, \beta], \psi_1 \in r(\gamma'),$
- $\psi_1 \notin B_{lim}(r, \gamma)$ and,
- by condition (\lim_{S}) $\psi \notin r(\gamma)$.

Moreover, for every $\gamma' \in (\gamma, \beta)$, $\neg \psi_2 \in r(\gamma')$ otherwise by induction hypothesis, $\sigma, \beta \models \psi$, which would lead to a contradiction. Let us show by transfinite induction that for every $\gamma' \in (\gamma, \beta], \psi \notin r(\gamma')$.

Base case:
$$\gamma' = \gamma + 1$$
.

 $\neg \psi, \neg \psi_2 \in r(\gamma)$ imply by condition (nexts) $\psi \notin r(\gamma')$. *Induction step:*

 If γ' = γ" + 1, then ¬ψ₂, ¬ψ ∈ r(γ") by induction hypothesis. By condition (next_S) ψ ∉ r(γ').

• If γ' is a limit ordinal, then $\neg \psi \in B_{lim}(r, \gamma')$ and by condition (lim_S), $\psi \notin r(\gamma')$. Hence, $\psi \notin r(\beta)$, which leads to a contradiction.

3. SHORT RUN PROPERTIES

In this section, we establish pumping arguments that are useful to show that

- in order to check the satisfiability status of the formula ϕ , there is no need to consider models of length greater than $\omega^{|\phi|+2}$,
- simple ordinal automata cannot distinguish ordinals with identical tails (defined below precisely with the notion of *truncation*).

Let \mathcal{A} be a simple ordinal automaton and Y be a subset of its basis. Y is said to be *present* in \mathcal{A} iff either there is a limit transition of the form $\langle Y, q \rangle$ in \mathcal{A} or $Y \in \mathcal{F}$. Given a set Y present in \mathcal{A} , its *weight*, noted weight(Y), is the maximal l such that $Y_1 \subset Y_2 \subset \cdots \subset Y_l$ is a sequence of present subsets in \mathcal{A} and $Y_1 = Y$ (\subset denotes proper subset inclusion). Obviously, weight(Y) \leq card(B) + 1.

Given a path $r : \alpha \to Q$ in \mathcal{A} with a limit ordinal $\alpha \ge \omega$, its *weight*, noted weight(r), is the maximal value in the set

When α is a successor ordinal, the maximal value is computed only from the first set of the above union. By convention, if a path has no limit transition, then its weight is zero (equivalently, its length is strictly less than $\omega + 1$). Furthermore, we write $\operatorname{all}(r)$ to denote the set

$$\operatorname{all}(r) \stackrel{\text{def}}{=} \bigcap_{\beta < \alpha} r(\beta)$$

that corresponds to the set of elements from the basis that are present in all locations of the run r. Let r, r' be two paths of respective length α and α' . We say that r and r' are *congruent*, written $r \sim r'$, iff the conditions below are meet:

- (1) r(0) = r'(0).
- (2) Either both α and α' are successor ordinals and $r(\alpha 1) = r'(\alpha' 1)$ or both α and α' are limit ordinals and $B_{lim}(r) = B_{lim}(r')$.
- (3) $\operatorname{all}(r) = \operatorname{all}(r')$.

Let r_1 be a path of length α and r_2 be a path of length β such that if α is a limit ordinal then $\langle B_{lim}(r_1), r_2(0) \rangle \in \delta_{lim}$ otherwise $r_1(\alpha - 1) = r_2(0)$. The concatenation $r_1 \cdot r_2$ is the path r of length $\alpha + \beta$ such that for $\gamma \in [0, \alpha)$, $r(\gamma) = r_1(\gamma)$ and for $\gamma \in [0, \beta)$, $r(\alpha + \gamma) = r_2(\gamma)$. For every ordinal α , the concatenation of α -sequences of paths is defined similarly. The relation \sim is a congruence for the concatenation operation on paths as stated in details below.

Lemma 3.1.

- (I): Let $r \cdot r_0 \cdot r'$ and r_1 be two paths such that $r_0 \sim r_1$. Then, $r \cdot r_1 \cdot r'$ is a path that is congruent to $r \cdot r_0 \cdot r'$.
- (II): Let $r_0^0, r_0^1, r_0^2, \ldots$ and $r_1^0, r_1^1, r_1^2, \ldots$ be two ω -sequences of pairwise consecutive paths such that for $i \ge 0$, $r_0^i \sim r_1^i$ and their length is a successor ordinal. If $r \cdot (r_0^0 \cdot r_0^1 \cdot r_0^2 \cdot \ldots) \cdot r'$ is a path, then it is congruent to $r \cdot (r_1^0 \cdot r_1^1 \cdot r_1^2 \cdot \ldots) \cdot r'$.

The proof of the above lemma is by an easy verification but observe that for the proof of (II) the third set of equalities from the definition of the congruence \sim ensures that $r \cdot (r_0^0 \cdot r_0^1 \cdot r_0^2 \cdot \ldots) \cdot r'$ is a path.

Lemma 3.2. Let $r : \alpha \to Q$ be a path in \mathcal{A} for some countable ordinal α such that if α is a limit ordinal, then $B_{lim}(r)$ is present in \mathcal{A} . Then, there is a path $r' : \alpha' \to Q$ for $\alpha' < \omega^{max(1, weight(r))+1}$ such that $r \sim r'$ and weight $(r') \leq weight(r)$.

Proof. The proof is by induction on the weight of the paths. When the weight of the path is zero, r' = r already satisfies the condition $r \sim r'$. We only treat below the cases with paths of length some limit ordinals. The case with paths of length some successor ordinals is similar. All the runs r' built below satisfy that weight $(r') \leq \text{weight}(r)$ for the following reasons. Indeed, no additional limit transitions are applied when building r' from r and when r is of length some limit ordinal, $B_{lim}(r) = B_{lim}(r')$. Hence, below we shall not further emphasize weight $(r') \leq \text{weight}(r)$. *Base case 1*: weight(r) = 1 and $\alpha = \omega^2$. There is $n \geq 0$ such that

for every a ∈ B \ all(r), there is γ ≤ ω ⋅ n such that a ∉ r(γ),
 all(r_{>ω·n}) = B_{lim}(r).

The first condition states that if a does not belong to $\operatorname{all}(r)$, then this is already witnessed by $r_{\leq \omega \cdot n}$. Furthermore, in general $\operatorname{all}(r_{\geq \omega \cdot k}) \subseteq B_{lim}(r)$ but the second condition above states that for n large enough, we can obtain $B_{lim}(r) \subseteq \operatorname{all}(r_{\geq \omega \cdot n})$.

Hence, $\operatorname{all}(r_{\leq \omega \cdot n}) = \operatorname{all}(r)$ and $B_{lim}(r_{\geq \omega \cdot (n+1)}) = B_{lim}(r)$. Besides, $B_{lim}(r)$ is present in \mathcal{A} . Let $Y_i = B_{lim}(r_{\leq \omega \cdot i})$ for $i \geq n+1$. By construction of n, for all $i \geq n+1$, $B_{lim}(r) \subseteq Y_i$. Moreover, weight $(B_{lim}(r)) = 1$. Hence, for all $i \geq n+1$, $Y_i = B_{lim}(r)$. Consequently, $r' : \omega \cdot (n+1) \to Q$ with $r'(\beta) = r(\beta)$ for $\beta < \omega \cdot (n+1)$ verifies $r \sim r'$. In order to show that $\operatorname{all}(r) = \operatorname{all}(r')$ it is sufficient to observe that $\operatorname{all}(r) \subseteq \operatorname{all}(r')$ since r' contains less locations than r and $\operatorname{all}(r'_{\leq \omega \cdot n}) = \operatorname{all}(r)$.

Base case 2: weight(r) = 1 and $\alpha = \omega^2 \times \beta$.

The proof is by transfinite induction. The base case with $\beta = 1$ is actually the above base case 1. Now suppose that $\alpha = \omega^2 \times (\beta + 1)$. By induction hypothesis and by the base case 1, there are paths $r': \gamma \to Q$ and $r'': \gamma' \to Q$ such that $r' \sim r_{<\omega^2 \times \beta}$, $r'' \sim r_{\geq \omega^2 \times \beta}$ and $\gamma + \gamma' < \omega^2$. Consequently, the concatenation of r' and r'' provides a path satisfying the adequate conditions.

Now suppose that $\alpha = \omega^2 \times \beta$ where β is a limit ordinal. Since α is countable, there is an increasing sequence $(\beta_i)_{i \in \mathbb{N}}$ of ordinals strictly smaller than β such that $\beta_0 = 0$ and $\beta = \lim \beta_i$ (see e.g. [Ros82, Theorem 3.36]). Observe that for every i, $\beta_{i+1} - \beta_i < \beta$. Hence, for every i, by induction hypothesis, there is a path $r'_i : \gamma_i \to Q$ such that $r'_i \sim r_{[\omega^2 \times \beta_i, \omega^2 \times \beta_{i+1})}$ and $\gamma_i < \omega^2$. Consequently, $r'_0 \cdot r'_1 \cdot r'_2 \cdots$ is a path of length at most ω^2 congruent to r by Lemma 3.1 (the length may be exactly ω^2). By using again arguments from the base case 1, we obtain a path that satisfies the adequate conditions.

Base case 3: weight(r) = 1 and $\alpha = \omega^2 \times \beta + \omega \times n$ ($n \in \mathbb{N}$).

The existence of a path satisfying the adequate conditions is an immediate consequence of the base case 2.

Induction case.

Case 1: $\alpha = \omega^{\operatorname{weight}(r)+1}$.

There is $n \ge 0$ such that

- (1) for every $a \in B \setminus \operatorname{all}(r)$, there is $\gamma \leq \omega^{\operatorname{weight}(r)} \cdot n$ such that $a \notin r(\gamma)$,
- (2) $\operatorname{all}(r_{\geq \omega^{\operatorname{weight}(r)},n}) = B_{lim}(r).$

Hence, $\operatorname{all}(r_{\leq \omega^{\operatorname{weight}(r)} \cdot n}) = \operatorname{all}(r)$ and $B_{lim}(r_{\geq \omega^{\operatorname{weight}(r)} \cdot (n+1)}) = B_{lim}(r)$. Besides, $B_{lim}(r)$ is present in \mathcal{A} and $\operatorname{weight}(B_{lim}(r)) \leq \operatorname{weight}(r)$. If there is a limit ordinal $\beta \in [\omega^{\operatorname{weight}(r)} \cdot n, \alpha)$ such that $B_{lim}(r_{<\beta}) = B_{lim}(r)$, then $r' : \beta \to Q$ with $r'(\gamma) = r(\gamma)$ for $\gamma < \beta$ verifies the required conditions. Otherwise, suppose that for every limit ordinal $\beta \in [\omega^{\operatorname{weight}(r)} \cdot n, \alpha)$, we have $B_{lim}(r_{<\beta}) \neq B_{lim}(r)$. By construction of n, for every limit ordinal β in $[\omega^{\operatorname{weight}(r)} \cdot n, \alpha)$, we have $B_{lim}(r_{<\beta})$. By induction hypothesis, for every i > n, there is a path $r'_i : \gamma_i \to Q$ such that $r_{[\omega^{\operatorname{weight}(r)} \times i, \omega^{\operatorname{weight}(r)} \times (i+1))} \sim r'_i$ and $\gamma_i < \omega^{\operatorname{weight}(r)}$. Consequently, $r'_0 \cdot r'_1 \cdot r'_2 \cdots$ is a path of length less than $\omega^{\operatorname{weight}(r)}$ that is congruent to r by Lemma 3.1. *Case* 2: $\alpha = \omega^{\operatorname{weight}(r)+1} \times \beta$.

The proof is by transfinite induction as in the base case 2. Indeed, suppose that $\alpha = \omega^{\operatorname{weight}(r)+1} \times (\beta + 1)$. There are paths $r' : \gamma \to Q$ and $r'' : \gamma' \to Q$ such that $r' \sim r_{<\omega^{\operatorname{weight}(r)+1}\times\beta}$, $r'' \sim r_{\geq \omega^{\operatorname{weight}(r)+1}\times\beta}$ and $\gamma + \gamma' < \omega^{\operatorname{weight}(r)+1}$. Consequently, the concatenation of r' and r'' provides a path satisfying the adequate conditions.

Now suppose that $\alpha = \omega^{\operatorname{weight}(r)+1} \times \beta$ where β is a limit ordinal. Hence, there is an increasing sequence $(\beta_i)_{i \in \mathbb{N}}$ of ordinals strictly smaller than β such that $\beta_0 = 0$ and $\beta = \lim \beta_i$

(see e.g. [Ros82, Theorem 3.36]). Observe that for every i, $\beta_{i+1} - \beta_i < \beta$. Hence, for every i, by induction hypothesis, there is a path $r'_i : \gamma_i \to Q$ such that $r'_i \sim r_{[\omega^{\text{weight}(r)+1} \times \beta_i, \omega^{\text{weight}(r)+1} \times \beta_{i+1})}$ and $\gamma_i + 1 < \omega^{\text{weight}(r)+1}$. Consequently, $r'_0 \cdot r'_1 \cdot r'_2 \cdots$ is a path of length less than $\omega^{\text{weight}(r)+1}$ congruent to r by Lemma 3.1 (the length may be equal to $\omega^{\text{weight}(r)+1}$). By using the case 1 in the induction step, we can get a path that satisfies the adequate conditions.

Case 3: $\alpha = \omega^{\text{weight}(r)+1} \times \beta + \omega^{\text{weight}(r)} \times n_{\text{weight}(r)} + \dots + \omega^1 \times n_1$ with $n_{\text{weight}(r)}, \dots, n_1 \in \mathbb{N}$. The existence of a path satisfying the required conditions is an immediate consequence of the case 2.

Lemma 3.2 below states a crucial property for most of complexity results established in the sequel. Indeed, for usual ordinal automata, it is not possible to get this polynomial bound as an exponent of ω for the length of the short paths. Actually, the exponent is linear in the cardinal of its basis and can be logarithmic in the number of locations for large automata. By combination of Lemma 2.3 and Lemma 3.2, we obtain the following interesting result.

Corollary 3.3. If ϕ is satisfiable, then ϕ has an α -model with $\alpha < \omega^{|\phi|+2}$.

For $n \in \mathbb{N}$, let trunc_n be the function that assigns to every ordinal $\alpha > 0$ an ordinal in $(0, \omega^n 2)$ as follows. α can be written in the form $\alpha = \omega^n \gamma + \beta$ with $\beta \in [0, \omega^n)$. Then $\operatorname{trunc}_n(\alpha) = \omega^n \times \min(\gamma, 1) + \beta$. For instance $\operatorname{trunc}_2(\omega^3) = \omega^2$, $\operatorname{trunc}_2(\omega^2 + \omega) = \omega^2 + \omega$ and $\operatorname{trunc}_2(\omega^2 \times 2) = \omega^2$. The ordinals α, β are *n*-equivalent, written $\alpha \approx_n \beta$, $\stackrel{\text{def}}{\Leftrightarrow} \operatorname{trunc}_n(\alpha) = \operatorname{trunc}_n(\beta)$.

Lemma 3.4. Let A be a simple ordinal automaton.

- (I): If r is a path of length $\omega^{\text{weight}(r)+1} \times \alpha$ for some countable ordinal $\alpha > 0$, then there is a path r' of length $\omega^{\text{weight}(r)+1}$ such that $r \sim r'$ and $\text{weight}(r') \leq \text{weight}(r)$.
- (II): If a path r has length $\omega^{\text{weight}(r)+1}$ and $\text{weight}(r) \ge 1$, then for every ordinal $\alpha > 0$, there is a path r' of length $\omega^{\text{weight}(r)+1} \times \alpha$ such that $r \sim r'$ and $\text{weight}(r') \le \text{weight}(r)$.
- **(III):** If r is a path of length some countable ordinal α and $\beta \approx_{\operatorname{card}(B)+2} \alpha$, then there is a path r' of length β such that $r \sim r'$.

Only in (I), the ordinal α is supposed to be countable.

Proof. (III) is a direct consequence of (I) and (II). Indeed, suppose $\alpha = \omega^{\operatorname{card}(B)+2}\gamma_0 + \gamma_1$ and $\beta = \omega^{\operatorname{card}(B)+2}\gamma'_0 + \gamma'_1$ with $\gamma_1 = \gamma'_1 \in [0, \omega^{\operatorname{card}(B)+2})$, and $\gamma_0 \ge 1$ iff $\gamma'_0 \ge 1$. If $\gamma_0 = \gamma'_0 = 0$, then $\alpha = \beta$ and we are done. Otherwise (weight $(r) \ge 1$), let K > 0 such that K + weight $(r) = \operatorname{card}(B) + 2$. Since weight $(r) \le \operatorname{card}(B) + 1$ such a value K exists and therefore (I) can be applied. There is a run r' such that $r' \sim r_{\le \omega^{\operatorname{card}(B)+2}\gamma_0}$ and r' is of length $\omega^{\operatorname{weight}(r)+1}$ by (I). If weight $(r') \ne \operatorname{weight}(r')+1$. If again weight $(r'') \ne \operatorname{weight}(r')$, we cannot repeat this process more than $\operatorname{card}(B) + 1$ times. Eventually, we obtain a run r_0 such that $r_0 \sim r_{\le \omega^{\operatorname{card}(B)+2}\gamma_0}$ and r_1 is of length $\omega^{\operatorname{card}(B)+2}\gamma_0$ and r_0 is of length $\omega^{\operatorname{weight}(r_0)+1}$. By (II), there is a run r_1 such that $r_1 \sim r_0$ and r_1 is of length $\omega^{\operatorname{card}(B)+2}\gamma'_0$ by (II). Consequently, $r_1 \cdot r_{>\omega^{\operatorname{card}(B)+2}\gamma_0} \sim r$ and $r_1 \cdot r_{>\omega^{\operatorname{card}(B)+2}\gamma_0}$ is of length β .

(I) The proof is by transfinite induction on α . Again, all the runs r' built below satisfy that weight $(r') \leq \text{weight}(r)$ for the following reasons. Indeed, no additional limit transitions are applied when building r' from r and when r is of length some limit ordinal, $B_{lim}(r) = B_{lim}(r')$. Hence, below we shall not further emphasize weight $(r') \leq \text{weight}(r)$. We behave similarly for the

proof of (II).

Observe that the run r cannot be of length ω . In the sequel, we assume that weight $(r) \ge 1$. The base case with $\alpha = 1$ is immediate. Suppose that the induction assertion holds true for α and let us show that it holds true for $\alpha + 1$. By Lemma 3.2, there is a run r' of length strictly less than $\omega^{\text{weight}(r)+1}$ such that $r' \sim r_{<\omega^{\text{weight}(r)+1}\times\alpha}$. Hence $r' \cdot r_{\ge\omega^{\text{weight}(r)+1}\times\alpha} \sim r$ and its length is exactly $\omega^{\text{weight}(r)+1}$. Now suppose that α is a limit ordinal and for every smaller ordinal, the property holds true. Let r be a run of length $\omega^{\text{weight}(r)+1} \times \alpha$. There exists an increasing sequence $(\alpha_i)_{i\in\mathbb{N}}$ with $\alpha_0 = 0$ and $\alpha = \lim \alpha_i$ (see e.g. [Ros82, Theorem 3.36]). For $i \ge 0$, let α'_i be $\omega^{\text{weight}(r)+1}\alpha_i + \omega^{\text{weight}(r)}$. Observe that $\alpha'_i - \omega^{\text{weight}(r)+1}\alpha_i = \omega^{\text{weight}(r)}$ and $\omega^{\text{weight}(r)+1}\alpha_i < \alpha'_i < \omega^{\text{weight}(r)+1}\alpha_{i+1}$. For $i \ge 0$, let β_i be $\omega^{\text{weight}(r)+1} \times \alpha_i$. For every $i \ge 0$, let r_i be the path $r_{[\alpha'_i,\beta_{i+1})}$. By Lemma 3.2, for every $j \ge 0$, there is a path r'_j congruent to r_j of length strictly less than $\omega^{\text{weight}(r)+1}$ and weight (r'_j) . Let r' be the run $r_{[\beta_0,\alpha'_0)}r'_0r_{[\beta_1,\alpha'_1)}r'_1r_{[\beta_2,\alpha_2)}r'_2 \dots$. The path r' is exactly of length $\omega^{\text{weight}(r)+1}$ and it is congruent to r.

(II) The proof is by double induction on the weight and on α .

Base case: weight(r) = 1. Let $f : [\mathbb{N}]^2 \to Q \times \mathcal{P}(Q) \times Q$ be the function whose domain is made of unordered pairs $\{i, j\}$ of natural numbers (say, i < j) such that

$$f(\{i, j\}) \stackrel{\text{der}}{=} \langle r(\omega \times i), B_{lim}(r_{[\omega \times i, \omega \times j]}), r(\omega \times j) \rangle$$

By Ramsey Theorem (see e.g. [Ram30, Ros82]), there is an infinite set $Y \subseteq \mathbb{N}$ such that f restricted to $[Y]^2$ is constant. Hence, there is a value $\langle q^*, A, q^* \rangle$ and an infinite sequence $0 \le i_0 < i_1 < i_2 < \cdots$ such that for every $k \ge 0$, we have $f(\{i_k, i_{k+1}\}) = \langle q^*, A, q^* \rangle$. Observe that $A = B_{lim}(r)$ and for every k, we also have $B_{lim}(r) \subseteq B_{lim}(r_{[\omega \times i_k, \omega \times i_{k+1}]})$. Since weight(r) = 1, we get that $B_{lim}(r) = B_{lim}(r_{[\omega \times i_k, \omega \times i_{k+1}]})$.

Let us come back to the proof by induction. The base case with $\alpha = 1$ is immediate. Suppose that the property holds true for α and let us show that it holds true for $\alpha+1$. By induction hypothesis, there is a path r' congruent to r of length $\omega^2 \times \alpha$. Since $r_{\geq \omega \times i_0}$ is also a path of length ω^2 , $r' \cdot r_{\geq \omega \times i_0}$ is a path $(B_{lim}(r_{<\omega \times i_0}) = A)$, it is congruent to r and its length is precisely $(\omega^2 \times \alpha) + \omega^2$.

Now suppose that α is a limit ordinal and for every smaller ordinal, the property holds true. There exists a strictly increasing sequence $(\alpha_i)_{i \in \mathbb{N}}$ with $\alpha_0 = 0$ and $\alpha = \lim \alpha_i$. By the induction hypothesis there is a run r_j of length $\omega^2 \times (\alpha_{j+1} - \alpha_j)$ congruent to $r_{\geq \omega \times i_j}$ ($r_{\geq \omega \times i_j}$ is also of length ω^2). Then, $r_0 \cdot r_1 \cdot r_2 \cdots$ is congruent to r and it is of length $\omega^2 \times \alpha$. Observe that $B_{lim}(r_0 \cdot r_1 \cdot r_2 \cdots)$ is precisely A that is equal to $B_{lim}(r)$, as stated above.

Induction step:: weight(r) > 1 and the property holds for all the paths of weight strictly less than weight(r).

The base case with $\alpha = 1$ is immediate.

• Suppose that the property holds true for α and let us show that it holds true for $\alpha + 1$. As in the base case, we define a coloring function f such that we color the interval with endpoints at positions of the form $\omega^{\text{weight}(r)} \times n$. Similarly to the base case, there is a triple $\langle q^*, A, q^* \rangle$ and a sequence $0 \leq i_0 < i_1 < i_2 < \cdots$ such that for every $k \geq 0$, $f(\{i_k, i_{k+1}\}) = \langle q^*, A, q^* \rangle$. If there is $\beta < \omega^{\text{weight}(r)+1}$ such that $B_{lim}(r_{<\beta}) = B_{lim}(r)$ then by induction hypothesis, there is $r' \sim r$ such that r' is of length $\omega^{\text{weight}(r)+1} \times \alpha$ and $B_{lim}(r') = B_{lim}(r_{<\beta})$. Hence $r' \cdot r_{>\beta}$ is a path, $r' \cdot r_{>\beta} \sim r$ and its length is $\omega^{\text{weight}(r)+1} \times \alpha$. Now suppose that α is a limit ordinal and for every smaller ordinal, the property holds true. There exists a strictly increasing sequence (α_i)_{i∈N} with α₀ = 0 and α = lim α_i. As above, a triple of the form ⟨q^{*}, A, q^{*}⟩ and an ω-sequence i₀ < i₁ < i₂ < ... can be defined. Observe that for every k≥ 1, for every limit ordinal β ∈ [ω^{weight(r)} × (i_k-1), ω^{weight(r)} × i_k), B_{lim}(r) ⊆ B_{lim}(r, β) since A = B_{lim}(r) and A ⊆ B_{lim}(r, β). Hence the weight of r<sub>[ω^{weight(r)}×(i_k-1),ω^{weight(r)}×i_k), noted W_k, is less or equal to weight(r) × i_k) of length ω^{W_k+1} × (ω^{(weight(r)-W_k)} × (α_{k+1} - α_k), that is of length ω^{weight(r)+1}(α_{k+1} - α_k). Hence r' = r₁r₂r₃... is path, it is congruent to r and of length ω^{weight(r)+1} × α. It is worth observing that B_{lim}(r') = A.
</sub>

Because of the translation from formulae to automata, we can also establish a pumping lemma at the level of formulae.

Lemma 3.5.

- (I): Let \mathcal{A} be a simple ordinal automaton with acceptance conditions and α , β be countable ordinals such that $\alpha \approx_{\operatorname{card}(B)+2} \beta$. Then, \mathcal{A} has an accepting run of length α iff \mathcal{A} has an accepting run of length β .
- **(II):** Let ϕ be a formula in LTL(U, S) and α , β be countable ordinals such that $\alpha \approx_{|\phi|+2} \beta$. Then ϕ has an α -model iff ϕ has a β -model.

Proof. (I) Direct consequence of Lemma 3.2 and Lemma 3.4 since accepting runs can be viewed as paths.

(II) By Lemma 2.3, ϕ has an α -model iff \mathcal{A}_{ϕ} has an accepting run r of length α . Since the cardinal of the basis of \mathcal{A}_{ϕ} is precisely $|\phi|$, by (I) we get that \mathcal{A}_{ϕ} has an accepting run r of length α iff \mathcal{A}_{ϕ} has an accepting run r of length β . Equivalently, ϕ has a β -model.

4. CHECKING NONEMPTINESS OF SIMPLE ORDINAL AUTOMATA

In this section, we provide algorithms to check whether a simple ordinal automaton admits accepting runs. The first one runs in exponential time. Our optimal algorithm runs in polynomial space in the size of the basis (see Section 4.2).

4.1. An exponential-time algorithm for checking nonemptiness. Let \mathcal{A} be a simple ordinal automaton $\langle B, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$. We provide below an algorithm to check given $q, q' \in Q$ and $n \in \mathbb{N}$ whether there is path $r : \alpha + 1 \rightarrow Q$ such that $r(0) = q, r(\alpha) = q'$ and $\alpha < \omega^n$. Given an $(\alpha + 1)$ -path we write abs(r) to denote the triple $\langle r(0), all(r), r(\alpha) \rangle$. We define a family of relations containing the triples of the form abs(r). Each relation R_i below is therefore a subset of $Q \times \mathcal{P}(B) \times Q$.

• $R_0 = \{ \langle q, q \cap q', q' \rangle : \langle q, q' \rangle \in \delta_{next} \},$ • For $i \in \mathbb{N}$,

$$R'_{i} = \{ \langle q_{0}, \bigcap_{j=0}^{m} A_{j}, q_{m+1} \rangle : \exists q_{0}, \dots, q_{m+1}, A_{0}, \dots, A_{m} \text{ s.t.} \bigwedge_{j=0}^{m} \langle q_{j}, A_{j}, q_{j+1} \rangle \in R_{i} \}$$

- For i ∈ N, R_{i+1} is defined from R'_i as follows: ⟨q, A, q'⟩ ∈ R_{i+1} iff one of the conditions holds true:
 - 1. $\langle q, A, q' \rangle \in R'_i$,
 - 2. there exist $\langle q, A', q'' \rangle \in R'_i$ (2.1), $\langle q'', Y, q'' \rangle \in R'_i$ and a limit transition $\langle Y, q' \rangle \in \delta_{lim}$ (2.2) such that $A = A' \cap Y \cap q'$.

The above numbering will be reused in Figure 2.

Let us first observe a few facts, whose proofs are by an easy verification.

- (1) Whenever $\langle q, A, q' \rangle \in R_i, A \subseteq q \cap q'$.
- (2) Because $R_i \subseteq R_{i+1}$ for all *i*, for some $N \leq 2^{3 \times \operatorname{card}(B)} + 1$, $R_{N+1} = R_N$. The bound $2^{3 \times \operatorname{card}(B)} + 1$ takes simply into account that $Q \subseteq \mathcal{P}(B)$.

In the sequel, for $n \ge 0$ and for $\langle q, A, q' \rangle \in Q \times \mathcal{P}(B) \times Q$, we establish the equivalence of the propositions below:

- there is $\alpha + 1 < \omega^{n+1}$ and an $(\alpha + 1)$ -path r such that $abs(r) = \langle q, A, q' \rangle$,
- $\langle q, A, q' \rangle \in R'_n$.

Lemma 4.1. If $\langle q, A, q' \rangle \in R_n$, then there exist $\alpha < \omega^n$ and an $(\alpha + 1)$ -path such that $\operatorname{abs}(r) = \langle q, A, q' \rangle$.

Proof. The proof is by induction on n. For the base n = 0, the proof is by an easy verification. In the induction step, suppose that $\langle q, A, q' \rangle \in R_{n+1}$. First suppose that $\langle q, A, q' \rangle \in R'_n$, that is there are $q_0, \ldots, q_{m+1}, A_0, \ldots, A_m$ such that $\bigwedge_{j=0}^m \langle q_j, A_j, q_{j+1} \rangle \in R_n$, $A = \bigcap_{j=0}^m A_j$, $q_0 = q$ and $q_{m+1} = q'$. By induction hypothesis, for $i \in [0, m]$, there is a path $r_i : \alpha_i + 1 \to Q$ such that $\operatorname{abs}(r_i) = \langle q_i, A_i, q_{i+1} \rangle$ and $\alpha_i < \omega^n$. Hence, $r_0 \cdots r_m$ is a path of the desired form of length strictly less than ω^n .

If $\langle q, A, q' \rangle \notin R'_n$, then necessarily, by definition of R_{n+1} , there exist $\langle Y, q' \rangle \in \delta_{lim}$, $\langle q, A', q'' \rangle \in R'_n$ and $\langle q'', Y, q'' \rangle \in R'_n$ such that $A = A' \cap Y \cap q'$.

Hence, by definition of R'_n and by induction hypothesis there is a path $r: \alpha + 1 \to Q$ of length strictly less than ω^n between q and q''. Similarly, there is a path $r': \beta + 1 \to Q$ of length strictly less than ω^n between q'' and q''. Observe that $r'' = r \cdot (r')^{\omega}q'$ is a path of length strictly less than ω^{n+1} , $B_{lim}(r'') = Y$ and $\operatorname{abs}(r'') = \langle q, A, q' \rangle$.

Consequently, if $\langle q, A, q' \rangle \in R'_n$, then there is $\alpha + 1 < \omega^{n+1}$ and an $(\alpha + 1)$ -path r such that $abs(r) = \langle q, A, q' \rangle$. A converse result can also be established.

Lemma 4.2. Let $r : \alpha + 1 \to Q$ be a path such that $\alpha < \omega^n$. Then $abs(r) \in R'_n$.

Proof. The proof is by induction on n. The base case n = 0 is immediate. In the induction step, let r be a path of length $\alpha < \omega^{n+1}$. If $\alpha < \omega^n$, by induction hypothesis $\langle r(0), \operatorname{all}(r), r(\alpha) \rangle \in R'_n$ and therefore $\langle r(0), \operatorname{all}(r), r(\alpha) \rangle \in R_{n+1}$ since $R'_n \subseteq R_{n+1}$. Now suppose that $\alpha = \omega^n \times m + \beta$ with $\beta < \omega^n$ and m > 0. In order to show that $\langle r(0), \operatorname{all}(r), r(\alpha) \rangle \in R'_{n+1}$ it is sufficient to consider the case $\alpha = \omega^n$. Indeed, R'_{n+1} is closed under composition, i.e. if $\langle q_0, A_0, q'_0 \rangle \in R'_{n+1}$ and $\langle q'_0, A_1, q'_1 \rangle \in R'_{n+1}$, then $\langle q_0, A_0 \cap A_1, q'_1 \rangle \in R'_{n+1}$. So, suppose that r is of length $\omega^n + 1$. By induction hypothesis, for every $0 \le i < i'$, $\langle r(\omega^{n-1} \times i), A_{i,i'}, r(\omega^{n-1} \times i') \rangle \in R'_n$ for some $A_{i,i'}$. By Ramsey Theorem, there are $0 < i_0 < i_1 < \ldots$ such that $\langle r(\omega^{n-1} \times i_k), A_{i_k,i_{k+1}}, r(\omega^{n-1} \times i) \rangle$.

 $i_{k+1}\rangle$ is the same for all $k \ge 0$. Let $j = i_0$ and $j' = i_1$. By induction hypothesis, $\langle r(\omega^{n-1} \times j), A_{j,j'}, r(\omega^{n-1} \times j') \rangle \in R'_n$ since the length of $r_{[\omega^{n-1} \times j, \omega^{n-1} \times j']}$ is strictly less than ω^n . Moreover, we have $A_{j,j'} = B_{lim}(r, \omega^n)$. So, there exist $\langle r(0), A', q'' \rangle \in R'_n$ $(A' = A_{0,j}, q'' = r(\omega^{n-1} \times j))$, $\langle q'', Y, q'' \rangle \in R'_n$ $(Y = A_{j,j'})$ and a limit transition $\langle Y, r(\omega^n) \rangle \in \delta_{lim}$ such that $A = A' \cap Y \cap r(\omega^n)$. Consequently, $\langle r(0), \operatorname{all}(r), r(\alpha) \rangle \in R'_{n+1}$.

We provide below a first complexity result.

Lemma 4.3. The nonemptiness problem for simple ordinal automata with acceptance conditions can be checked in exponential time in card(B).

Proof. Let \mathcal{A} be of the form $\langle B, Q, I, F, \mathcal{F}, \delta_{next}, \delta_{lim} \rangle$. \mathcal{A} has an accepting run iff either (**A**) there are $q_0 \in I$, $q_f \in F$ and $A \subseteq B$ such that $\langle q_0, A, q_f \rangle \in R'_n$ for some n or (**B**) there are $q_0 \in I$, and a run r from q_0 such that $B_{lim}(r) \in \mathcal{F}$. (**A**) deals with accepting runs of length some successor ordinal, whereas (**B**) deals with accepting runs of length some limit ordinal.

In order to check (A), it is sufficient to test for $\langle q_0, A, q_f \rangle \in I \times \mathcal{P}(B) \times F$ whether $\langle q_0, A, q_f \rangle \in R'_{\operatorname{card}(B)+3} \subseteq R_{\operatorname{card}(B)+4}$. Since $\operatorname{card}(Q)$ is in $\mathcal{O}(2^{\operatorname{card}(B)})$, computing $R_{\operatorname{card}(B)+4}$ takes $\operatorname{card}(B) + 4$ steps that requires polynomial time in $|\mathcal{A}|$ and exponential time in $\operatorname{card}(B)$, we obtain the desired result. Observe that we can take advantage of the fact that computing the transitive closure of a relation and the maximal strongly connected components can be done in polynomial time in the size of the relations.

By Ramsey theorem, (B) is equivalent to the following condition: there are $q \in Q$, $A \subseteq B$, $A' \in \mathcal{F}$ and runs r_1 and r_2 such that $\operatorname{abs}(r_1) = \langle q_0, A, q \rangle$ and $\operatorname{abs}(r_2) = \langle q, A', q \rangle$.

Hence. in order to check these, it is enough to check whether there are $q_0 \in I$, $q \in Q$ and $A \subseteq B$ such that $\langle q_0, A, q \rangle \in R'_{\operatorname{card}(B)+3}$, $\langle q, A', q \rangle \in R'_{\operatorname{card}(B)+3}$ and $A' \in \mathcal{F}$. This can be done in exponential time as for (A).

As a corollary of Lemma 4.3, satisfiability for LTL(U, S) is in EXPTIME. Moreover, this can be improved as shown in the proof of Theorem 5.1 presented in Section 5.

4.2. A **polynomial-space algorithm.** We improve below the bound in Lemma 4.3 by taking advantage that the recursive depth is linear and only paths of at most exponential length need to be computed.

Theorem 4.4. *The nonemptiness problem for simple ordinal automata can be checked in polynomial space in* card(B).

Proof. By Lemma 4.1 and Lemma 4.2 and by the fact that for all $n \ge 0$, we have $R_n \subseteq R_{\operatorname{card}(B)+4}$, we obtain that \mathcal{A} has an accepting run iff (A) there are $q_0 \in I$, $q_f \in F$ and $A \subseteq B$ such that $\langle q_0, A, q_f \rangle \in R_{\operatorname{card}(B)+3}$ or (B) there are $q_0 \in I$, $q \in Q$ and $A' \subseteq B$ such that $\langle q_0, A', q \rangle \in R_{\operatorname{card}(B)+4}$, $\langle q, A', q \rangle \in R_{\operatorname{card}(B)+4}$ and $A' \in \mathcal{F}$. B denotes the basis of \mathcal{A} .

The function PATH defined in Figure 2 checks recursively whether a triple belongs to R_N . Typically, the specification is that there is an accepting computation for PATH($\mathcal{A}, \langle q, A, q' \rangle, N$) iff $\langle q, A, q' \rangle \in R_N$ for the ordinal automaton \mathcal{A} . It takes into account that the number of potential triples in R_N is bounded. Observe that the algorithm is nondeterministic and any guess that breaks some condition somewhere aborts the computation.

In order to check (A), the non-deterministic algorithm guesses $q_0 \in I$, $q_f \in F$ and $A \subseteq B$ (encoded in polynomial space in $\mathcal{O}(\operatorname{card}(B))$) and test whether

- $PATH(\mathcal{A}, \langle q, A, q' \rangle, N)$
- If N = 0 then (if (either $A \neq q \cap q'$ or $\langle q, q' \rangle \notin \delta_{next}$) then abort else return true);
- If N > 0 then go non-deterministically to 1. or 2.

(1.): Guess on-the-fly a sequence

$$\langle q_0, A_0, q_1 \rangle, \langle q_1, A_1, q_2 \rangle, \dots, \langle q_m, A_m, q_{m+1} \rangle$$

such that

 $-m < 2^{3 \times \operatorname{card}(B)+1} + 1,$ $- \text{ for } 0 \le i \le m, \operatorname{PATH}(\mathcal{A}, \langle q_i, A_i, q_{i+1} \rangle, N-1) \text{ returns true,}$ $-A = \bigcap_j A_j$ $-q = q_0, q' = q_{m+1};$ (2.): We guess here two long sequences:

(21). Consider the flag

(2.1): Guess on-the-fly a sequence

$$\langle q_0, A_0, q_1 \rangle, \langle q_1, A_1, q_2 \rangle, \dots, \langle q_m, A_m, q_{m+1} \rangle$$

such that

-
$$m < 2^{3 \times \operatorname{card}(B)+1} + 1$$
,
- for $0 \le i \le m$, PATH($\mathcal{A}, \langle q_i, A_i, q_{i+1} \rangle, N-1$) returns true,
- $A' = \bigcap_j A_j$;
- $q_0 = q$;

(2.2): Guess a limit transition $\langle Y, q' \rangle \in \delta_{lim}$ and on-the-fly a sequence

$$\langle q'_0, A'_0, q'_1 \rangle, \langle q'_1, A'_1, q'_2 \rangle, \dots, \langle q'_m, A'_{m'}, q'_{m'+1} \rangle$$

such that

$$\begin{array}{l} - \ m' < 2^{3 \times \operatorname{card}(B)+1}, \\ - \ \text{for} \ 0 \leq i \leq m', \ \text{PATH}(\mathcal{A}, \langle q'_i, A'_i, q'_{i+1} \rangle, N-1) \ \text{returns true}, \\ - \ A = (A' \cap q'_{m'+1}) \cap \bigcap_j A'_j, \ Y = \bigcap_j A'_j, \\ - \ q'_0 = q_{m+1}; \end{array}$$

• Return true.

Figure 2: Algorithm PATH

$PATH(\mathcal{A}, \langle q_0, A, q_f \rangle, card(B) + 4)$

returns true. Condition (B) admits a similar treatment. The non-deterministic algorithm PATH defined below works in polynomial space in card(B) assuming that the last argument is polynomial in card(B) which is the case with card(B) + 4.

In (1.), guessing on-the-fly a long sequence means that only two consecutive triples are kept in memory at any time. We introduce a counter that will guarantee that $m < 2^{3 \times \operatorname{card}(B)+1}$ and it requires only space in $\mathcal{O}(\operatorname{card}(B))$. Moreover, in order to check $A = \bigcap_j A_j$ we need two auxiliary variables that bookkeep the A_j computed so far. Similar techniques are used in (2.) to guarantee that this non-deterministic algorithm requires only polynomial space in $\mathcal{O}(\operatorname{card}(B) + N)$ (we only need more variables and steps). It is straightforward to show that PATH($\mathcal{A}, \langle q, A, q' \rangle, N$) has a computation that returns true (all the guesses were correct) iff $\langle q, A, q' \rangle \in R_N$. Finally, by using Savitch Theorem [Sav70], we can conclude that nonemptiness can be checked in deterministic polynomial space in $\operatorname{card}(B)$.

Observe that the algorithm in the proof of Theorem 4.4 runs in space $\mathcal{O}(\operatorname{card}(B) \times (\operatorname{card}(B) + \log (\operatorname{card}(Q)) + \log (\operatorname{card}(\delta_{lim})) + \log (\operatorname{card}(\delta_{next})))$. Indeed, the recursive depth is in $\mathcal{O}(\operatorname{card}(B))$.

This is certainly sufficient to get forthcoming results about the complexity of LTL(U, S). Nevertheless, the exact complexity characterization of the nonemptiness problem is open. It seems unlikely that the problem can be solved in NLOGSPACE.

5. COMPLEXITY OF SATISFIABILITY PROBLEMS

We establish new complexity results for problems related to LTL(U, S) satisfiability which follow from the intermediate results we have established so far.

5.1. Complexity of LTL(U, S). Here is the main result of the paper.

Theorem 5.1. The satisfiability problem for LTL(U, S) over the class of ordinals is PSPACEcomplete.

Proof. By Theorem 1.2(II), a formula is satisfiable iff it is satisfiable on some model of countable length. By Lemma 2.3, given a formula ϕ in LTL(U, S), there is an automaton \mathcal{A}_{ϕ} whose accepting runs correspond exactly to models of ϕ . In order to check nonemptiness of \mathcal{A}_{ϕ} , we do not build it explicitly (as usual) but we run the algorithm from the proof of Theorem 4.4 and we compute the locations, and transition relations of \mathcal{A}_{ϕ} on demand. Hence, we obtain a polynomial space non-deterministic algorithm since the cardinality of the basis of \mathcal{A}_{ϕ} is in $\mathcal{O}(|\phi|)$ and checking whether a subset of *B* is a location of \mathcal{A}_{ϕ} or $\langle q, q' \rangle \in \delta_{next}$ or $\langle Y, q \rangle \in \delta_{lim}$ can be done in polynomial space in $\mathcal{O}(|\phi|)$. Again by Savitch Theorem [Sav70], we get that the satisfiability problem for LTL(U, S) is in PSPACE. The PSPACE lower bound can be easily shown inherited from LTL.

Our procedure to show the PSPACE upper bound is not optimal and it is subject to many refinements but it is sufficient for our needs. For instance, it is possible to have as a base set for \mathcal{A}_{ϕ} the subset of $sub(\phi)$ made of until or since subformulae and propositional variables. Indeed, the implicit presence of other subformulae can be deduced thanks to maximal consistency. This refinement possibly decreases the length of the small models.

Due to Kamp's Theorem [Kam68], we get the following corollary.

Corollary 5.2. Let $LTL(U, S, O_1, ..., O_k)$ be an extension of LTL(U, S) with k first-order definable temporal operators.

Then the satisfiability problem for the logic $LTL(U, S, O_1, ..., O_k)$ over the class of ordinals is in PSPACE.

Indeed, every formula $O_i(p_1, \ldots, p_{n_i})$ encoded as a DAG can be translated into an equivalent formula in LTL(U, S) encoded as a DAG over the propositional variables p_1, \ldots, p_{n_i} . Since O_1, \ldots, O_k and their definition in LTL(U, S) are constants of LTL(U, S, O_1, \ldots, O_k), we obtain a translation in polynomial-time (with our definition for the size of formulae).

5.2. A family of satisfiability problems. The satisfiability problem for LTL(U, S) asks for the existence of a model for a given formula. A natural variant of this problem consists in fixing the length of the models in advance as for LTL. The satisfiability problem for LTL(U, S) over α -models, noted SAT(α , LTL(U, S)), is defined as follows:

input:: a formula ϕ in LTL(U, S);

question:: Is ϕ satisfiable over an α -model?

In this subsection we prove that $SAT(\alpha, LTL(U, S))$ is in PSPACE for every countable ordinal α . First we consider the case of ordinals strictly less than ω^{ω} . Let us establish that for every $\alpha < \omega^{\omega}$ there is a formula def_{α} in LTL(U, S) with the truth constant \top (no propositional variable) such that for every β -model σ , we have σ , $0 \models def_{\alpha}$ iff $\beta = \alpha$.

Lemma 5.3. Given an ordinal $0 < \alpha = \omega^{k_1} a_{k_1} + \cdots + \omega^{k_m} a_{k_m} < \omega^{\omega}$ with $k_1 > \ldots > k_m \ge 0$ and $a_{k_1}, \ldots a_{k_m} \in \mathbb{N} \setminus \{0\}$, there is a formula def α in LTL(U, S) of linear size in $\sum_i (k_i \times a_{k_i})$ such that for any model σ , we have $\sigma, 0 \models \operatorname{def}_{\alpha} iff \sigma$ is of length α .

Proof. We define a family $(\varphi_i)_{i>0}$ such that for all α -models σ and $\beta < \alpha$, we have $\sigma, \beta \models \varphi_i$ iff β is a multiple of ω^i . We set $\varphi_0 = \top$ and by induction $\varphi_{i+1} = \varphi_i \wedge \neg(\neg \varphi_i \mathsf{S} \varphi_i)$. Observe that $|\varphi_i|$ is polynomial in i since it is defined as the cardinality of $sub(\varphi_i)$. Now let us define def_{\alpha} as $t(\alpha)$ defined recursively below:

- $t(1) = \neg \mathsf{F}^+ \top$, $t(n) = \mathsf{X}t(n-1)$ for n > 1,
- $t(\omega^{k_1}a_{k_1} + \dots + \omega^{k_m}a_{k_m}) = \neg \varphi_{k_1} \mathsf{U}(\varphi_{k_1} \wedge t(\omega^{k_1}(a_{k_1} 1) + \dots + \omega^{k_m}a_{k_m}))$ with $k_1 > 0$ and $(a_{k_1} \ge 2 \text{ or } m > 1)$, • $t(\omega) = \mathsf{G}^+\mathsf{X}^{-1}\top \wedge \mathsf{F}^+\top \wedge \mathsf{G}^+\mathsf{X}\top$, • $t(\omega^{k_1}) = \mathsf{G}^+\neg \varphi_{k_1} \wedge \mathsf{GF}^+\varphi_{k_1-1}$ with $k_1 > 1$.

The size of def_{α} is in $\mathcal{O}(\sum_{i} (k_i \times a_{k_i}))$.

We are now in position to state the following result.

Corollary 5.4. For every $\alpha < \omega^{\omega}$, the problem SAT $(\alpha, LTL(U, S))$ is in PSPACE.

Proof. ϕ has a α -model iff $\psi = \phi \wedge def_{\alpha}$ is satisfiable over the class of ordinals. Thanks to Lemma 5.3 and Theorem 5.1, we obtain the PSPACE upper bound.

Now we consider the case of a countable ordinal $\alpha \geq \omega^{\omega}$. Let α' be the unique ordinal strictly less than ω^{ω} such that $\alpha = \omega^{\omega} \times \gamma + \alpha'$ for some ordinal γ . Note that for every k, trunc_k(α) = $\operatorname{trunc}_k(\omega^k + \alpha') < \omega^{\omega}$. By Lemma 3.5(II), ϕ has an α -model iff ϕ has a $\alpha_{|\phi|}$ -model with $\alpha_{|\phi|} =$ $\operatorname{trunc}_{|\phi|+2}(\alpha) = \operatorname{trunc}_{|\phi|+2}(\omega^{|\phi|+2} + \alpha')$. Hence, ϕ has an α -model iff $\phi \wedge \operatorname{def}_{\alpha_{|\phi|}}$ is satisfiable (over the class of countable ordinals). Since the size of $def_{\alpha_{|\phi|}}$ is polynomial in the size of ϕ , we derive from Theorem 5.1 the following result.

Corollary 5.5. For every countable $\alpha \geq \omega^{\omega}$, the problem $SAT(\alpha, LTL(U, S))$ is in PSPACE.

Corollaries 5.4, 5.5 and the arguments similar to the arguments in the proof of Corollary 5.2 imply the result below.

Theorem 5.6. The satisfiability problem for $LTL(O_1, \ldots, O_k)$ restricted to α -models is in PSPACE, for every finite set $\{O_1, \ldots, O_k\}$ of first-order definable temporal operators and for every countable ordinal α .

Observe that if α is finite, then SAT $(\alpha, LTL(O_1, \dots, O_k))$ is NP-complete otherwise PSPACEhardness for $SAT(\alpha, LTL(U, S))$ follows from PSPACE-completeness of $SAT(\omega, LTL(U, S))$.

5.3. Uniform satisfiability. Büchi (see, e.g., [BS73]) has shown that there is a *finite* amount of data concerning any countable ordinal that determines its monadic theory.

Definition 5.7 (Code of an ordinal). Let α be a countable ordinal and let m be in $[1, \omega]$.

(1) Write $\alpha = \omega^m \alpha' + \zeta$ with $\zeta < \omega^m$ (this can be done in a unique way), and let

$$p_m(\alpha) := \begin{cases} -2 & \text{if } \alpha' = 0\\ -1 & \text{if } 0 < \alpha' < \omega_1 \end{cases}$$

- (2) If ζ ≠ 0, write ζ = ∑_{i≤n} ωⁿ⁻ⁱ · a_{n-i} where a_i ∈ ω for i ≤ n and a_n ≠ 0 (this can be done in a unique way), and let t_m(α) := (a_n,..., a₀). If ζ = 0, let t_m(α) = -3.
- (3) The *m*-code of α is the pair $(p_m(\alpha), t_m(\alpha))$.

The following is implicit in [BS73].

Theorem 5.8 (Code Theorem). *There is an algorithm that, given a monadic second-order sentence* ϕ and the ω -code of a countable ordinal α , determines whether $\langle \alpha, \langle \rangle \models \phi$.

Lemma 3.5 can be rephrased as "the $(|\phi| + 2)$ -code of an ordinal α determines whether ϕ has a model of length α ".

Let $C = (b, a_n, \dots, a_0)$ be an *m*-code. Its size is defined as $n + a_0 + a_1 + \dots + a_n$. It is clear that for $m_1 < m_2$ the m_2 -code of an ordinal determines its m_1 -code and there is a linear-time algorithm, that given m_2 -code of an ordinal and $m_1 < m_2$ computes the m_1 -code of the ordinal.

The arguments used in the proof of Corollary 5.5 show the following theorem.

Theorem 5.9 (Uniform Satisfiability).

- (I): There is a polynomial-space algorithm that, given an LTL(U, S) formula ϕ and the ω -code of a countable ordinal α , determines whether ϕ has an α -model.
- (II): There is a polynomial-space algorithm that, given an LTL(U, S) formula ϕ and the ($|\phi|$ + 2)-code of a countable ordinal α , determines whether ϕ has an α -model.

6. Related Work

In this section, we compare our results with those from the literature related to satisfiability. It is worth noting that an axiomatization of LTL(U, S) over ordinals can be found in [Ven93]. Nevertheless, the concern in this above-mentioned paper is quite different from ours.

6.1. **Comparison with Rohde's thesis.** In [Roh97], it is shown that an uniform satisfiability problem for temporal logic with until (and without since) can be solved in exponential time (flows of time are countable ordinals). The inputs of this problem are a formula in LTL(U) and the representation of a countable ordinal. The satisfiability problem is also shown in EXPTIME. In order to obtain this upper bound, formulae are shown equivalent to alternating automata and a reduction from alternating automata into a specific subclass of non-deterministic automata is given. Finally, a procedure for testing nonemptiness is provided. Here are the similarities between [Roh97] and our results.

- (1) We also follow an automata-based approach and the class of non-deterministic automata in [Roh97] and ours have a structured set of locations and limit transitions use elements that are true from some position.
- (2) Existence of α -paths in the automata depends on some truncation of α .
- (3) The logical decision problems can be solved in exponential time.

However, our work improves some results from [Roh97].

(1) Our temporal logic includes the until and since operators (instead of until only) and it is therefore as expressive as first-order logic.

- (2) We establish a tight PSPACE upper bound (instead of EXPTIME) thanks to the introduction of simple ordinal automata.
- (3) Our proofs are shorter and transparent (instead of the lengthy developments from [Roh97]).

Consequently, the developments from [Roh97] and ours follow the same approach with different definitions for automata, different intermediate lemmas and distinct final complexity bounds. On the other hand, the structure of the whole proof to obtain the main complexity bounds is similar.

6.2. **LTL over other classes of linear orderings.** Even though the results for linear-time temporal logics from [Rey03, Rey10b] involve distinct models, our automata-based approach has similarities with these works that uses a different proof method, namely mosaics. Indeed, equivalence classes of the relation \sim between runs of length a successor ordinal roughly correspond to mosaics from [Rey03]. We recall the main results below.

Theorem 6.1.

- (I): [Rey10b] *The satisfiability problem for the temporal logic with until and since over the reals is* PSPACE-complete.
- (II): [Rey03] The satisfiability problem for LTL(U) over the class of all linear orders is PSPACE-complete.

The proofs in [Rey03, Rey10b] are much more involved than our proofs since the orders are more complex than the class of ordinals. Moreover, a recent work [Cri09] has established that LTL(U, S) over the class of linear orderings has an elementary complexity by using transducers as done in [Mic84] for standard LTL. More precisely, satisfiability for LTL(U, S) augmented with future and past Stavi operators is in 2EXPSPACE [Cri09]. Nevertheless, complexity of LTL(U, S)over the class of linear orderings has been recently solved: for any temporal logic with a finite set of modalities definable in the existential fragment of second-order logic has a PSPACE satisfiability problem over the class of linear orderings [Rab10a, Rab10b] (see also [Rey10a]). Moreover, observe that LTL(U, S) over the reals has been recently shown in PSPACE in [Rey10a], which allows us to obtain in a different way that LTL(U, S) over the countable ordinals is in PSPACE (see the full arguments in [Rab10a, Section 13]).

6.3. Quantitative temporal operators. In this section, we show that the main results from [DN07] are subsumed by the current paper. We also solve an open problem from [Cac06, DN07]. For every fixed countable ordinal $\alpha \leq \omega$, let us introduce the logic LTL(\mathcal{O}_{α}) where the set of temporal operators \mathcal{O}_{α} is defined as follows: {X^{β} : $\beta < \omega^{\alpha}$ } \cup {U^{β} : $\beta \leq \omega^{\alpha}$ }. The models of LTL(\mathcal{O}_{α}) as those of LTL(U, S) and the formulae of LTL(\mathcal{O}_{α}) are precisely defined by:

$$\phi ::= p \mid \neg \phi \mid \phi_1 \land \phi_2 \mid \mathsf{X}^{\scriptscriptstyleeta} \phi \mid \phi_1 \mathsf{U}^{\scriptscriptstyleeta} \phi_2.$$

The satisfaction relation is inductively defined below where σ is a model for LTL(\mathcal{O}_{α}) (we omit the obvious clauses):

- $\sigma, \beta \models \mathsf{X}^{\beta'} \phi$ iff $\beta + \beta'$ is a position of σ and $\sigma, \beta + \beta' \models \phi$,
- $\sigma, \beta \models \phi_1 \cup \beta' \phi_2$ iff there is $\gamma \in (0, \beta')$ such that $\beta + \gamma$ is a position of σ , we have $\sigma, \beta + \gamma \models \phi_2$ and for every $\gamma' \in (0, \gamma)$, we have $\sigma, \beta + \gamma' \models \phi_1$.

The satisfiability problem for $LTL(\mathcal{O}_{\alpha})$ consists in determining, given a formula ϕ , whether there is a model σ such that $\sigma, 0 \models \phi$. The main results of [Cac06, DN07] are the following:

- For every k ∈ N \ {0}, the satisfiability problem for LTL(O_k) restricted to models of length ω^k is PSPACE-complete when the natural numbers occurring in formulae are encoded in unary. With binary representation, it becomes EXPSPACE-hard (mainly because a temporal operator X^{2ⁿ} is helpful to specify concisely the cell contents of exponential-space Turing machines).
- (2) LTL(\mathcal{O}_{ω}) restricted to models of length ω^{ω} is decidable.

Observe that $LTL(\mathcal{O}_k)$ cannot express the temporal operator U over the class of countable ordinals but it can do it on models of length ω^k . Hence, each logic $LTL(\mathcal{O}_k)$ is less expressive than LTL(U, S).

Moreover, it is easy to show that for every $\alpha \leq \omega$, the logic LTL(\mathcal{O}_{α}) is expressively equivalent (over the class of countable ordinals) to its sublogic over the following set \mathcal{O}'_{α} of temporal operators:

$$\mathcal{O}'_{\alpha} = \{\mathsf{X}^{\omega^{i}}: \omega^{i} < \omega^{\alpha}, i \in \mathbb{N}\} \cup \{\mathsf{U}^{\omega^{\beta}}: \omega^{\beta} \leq \omega^{\alpha}, \beta \leq \omega\}.$$

This set is finite when α is finite. Moreover, there is a linear-time (and logarithmic space) meaning preserving translation from $LTL(\mathcal{O}_{\alpha})$ into $LTL(\mathcal{O}_{\alpha}')$.

Let us translate ϕ in LTL(\mathcal{O}'_{ω}) into a formula $t(\phi)$ in LTL(U, S) homomorphically for the Boolean operators and such that the propositional variables remain unchanged. Here are the remaining clauses of translation:

• $t(\psi_1 \mathsf{U}^{\omega^i} \psi_2) = (\neg \varphi_i \wedge t(\psi_1)) \mathsf{U}(\neg \varphi_i \wedge t(\psi_2)), t(\psi_1 \mathsf{U}^{\omega^\omega} \psi_2) = t(\psi_1) \mathsf{U}t(\psi_2),$

•
$$t(\mathsf{X}^{\omega^{i}}\psi_{1}) = \neg \varphi_{i}\mathsf{U}(\varphi_{i} \wedge t(\psi_{1})).$$

The formula φ_i is defined in the proof of Lemma 5.3. The following result is easy to show.

Lemma 6.2. (I) Let ϕ be in $LTL(\mathcal{O}'_{\omega} \setminus \{U^{\omega^{\omega}}\})$. $t(\phi)$ is equivalent to ϕ over the class of countable ordinals, i.e. for all α -models σ and $\beta < \alpha$, we have $\sigma, \beta \models \phi$ iff $\sigma, \beta \models t(\phi)$. (II) Let ϕ be in $LTL(\mathcal{O}'_{\omega})$. For all ω^{ω} -models σ and $\beta < \omega^{\omega}$, we have $\sigma, \beta \models \phi$ iff $\sigma, \beta \models t(\phi)$. Moreover, $|t(\phi)|$ is linear in $|\phi|$.

(I) is essentially based on the properties of formulae φ_i and on the exclusion of $U^{\omega^{\omega}}$. (II) simply takes advantage of the fact that for the ω^{ω} -models, U and $U^{\omega^{\omega}}$ are obviously equivalent.

We obtain alternative proofs for known results and we get new results.

Theorem 6.3. For every $k \in \mathbb{N} \setminus \{0\}$,

- (I): the satisfiability problem for $LTL(\mathcal{O}_k)$ over ω^k -models is in PSPACE with unary encoding of natural numbers,
- (II): the satisfiability problem for $LTL(\mathcal{O}'_k)$ restricted to ω^k -models is PSPACE-complete,
- (III): for every countable infinite ordinal α , the satisfiability problem for $LTL(\mathcal{O}'_k)$ restricted to α -models is PSPACE-complete.

(III) is an instance of Theorem 5.6. (II) is an instance of (III) (with unary encoding of natural numbers). (I) can be shown by observing that there is a logarithmic space meaning preserving translation from $LTL(\mathcal{O}_k)$ to $LTL(\mathcal{O}'_k)$. (I) is the main result of [DN07] with the unary encoding of natural numbers occurring in ordinal expressions. Finally, the corollary below improves the non-elementary bounds obtained in [Cac06, DN07] for $LTL(\mathcal{O}_{\omega})$ by reducing this temporal logic to the monadic second order logics, and then to Buchi ordinal automata.

Corollary 6.4. Satisfiability for $LTL(\mathcal{O}_{\omega})$ over the class of ω^{ω} -models is PSPACE-complete with unary encoding of natural numbers in formulae.

7. CONCLUSION

In the paper, we have shown that the linear-time temporal logic with until and since over the class of ordinals, namely LTL(U, S) has a PSPACE-complete satisfiability problem. Due to Kamp's Theorem [Kam68], we know that LTL(U, S) is a fundamental temporal logic since it is as expressive as first-order logic over the class of ordinals. In order to establish this tight complexity characterization, we have introduced the class of simple ordinal automata. This class of automata is more structured than usual ordinal automata and the sets of locations have some structural properties, typically it is a subset of the powerset of some set (herein called the basis). As a consequence, we are also able to improve some results from [Roh97, DN07]. For instance the uniform satisfiability problem is PSPACE-complete and we obtain alternative proofs for results in [DN07]. Recent results about the polynomial space upper bound for LTL over various classes of linear orderings can be found in [Rab10a, Rab10b] by using the so-called composition technique and the automata-based technique used in this paper.

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