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Flow-induced instabilities of springs-mounted plates in viscous flows: a global stability approach

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The linear stability of a typical aeroelastic section, consisting in a rectangular plate mounted on flexion and torsion springs, is revisited here for low-Reynolds-number incompressible flows. By performing global stability analyses of the coupled fluid-solid equations, we find four types of unstable modes related to different physical instabilities and classically investigated with separate flow models: coupled-mode flutter, single-mode flutter and static divergence at high reduced velocity U^* and vortex-induced vibrations at low U^* . Neutral curves for these modes are presented in the parameter space composed of the solid-to-fluid mass ratio and the reduced velocity. Interestingly, the flutter mode is seen to restabilize for high reduced velocities thus leading to a finite extent flutter region, delimited by low- U^* and high- U^* boundaries. At a particular low mass ratio, both boundaries merge such that no flutter instability is observed for lower mass ratios. The effect of the Reynolds number is then investigated, indicating that the high- U^* restabilization strongly depends on viscosity. The global stability results are compared to a statically calibrated Theodorsen model: if both approaches converge in the high mass ratio limit, they significantly differ at lower mass ratios. In addition, the Theodorsen model fails to predict the high- U^* restabilization of the flutter mode.

I. INTRODUCTION

Flow-induced vibrations of coupled fluid-solid systems are ubiquitous in engineering. One of their most classical illustrations is probably the *coupled-mode flutter* of aircraft wings at high speed^{1,2}. This phenomenon designates vibrations that develop around a steady angle of attack close to zero, through the flow-induced coupling of at least two natural modes of the wing (*e.g.* flexion and torsion). When the steady angle of attack is increased, the flow tends to stall^{3,4}, leading to a different type of flutter, usually involving one natural solid mode only and referred to as *stall flutter*⁵⁻⁷. When the flow is fully separated at post-stall angles of attack, the airfoil can be viewed as a bluff body. The well-known vortex-shedding flow-instability may then interact with a natural mode of the solid with similar frequency, leading to the so-called *vortex-induced vibrations*⁸ (VIV). Historically, these different types of vibrations were mostly observed on large-scale objects surrounded by high-speed flows — plane wings, helicopter blades, tall buildings, bridges, *etc* — where they put at risk the integrity of the structure. In the recent years however, several new and smaller-scale applications have been proposed that take advantage of flow-induced vibrations for energy harvesting purposes⁹ or for improving the performance of small-scale mixers^{10,11} and heat exchangers^{12,13}. For these applications, the objective is generally to design the system so as to maximize the vibrations. This can be done either experimentally¹⁴⁻²⁰ or numerically by running multiple simulations of the coupled fluid-solid nonlinear equations^{18,21-26}. In order to minimize the experimental or computational effort, it is however interesting

to first delimit the regions of the parameter space where flow-induced vibrations will occur, using linear stability analysis^{21,22}.

As coupled-mode flutter, stall flutter or vortex-induced vibrations originate from different physical mechanisms, their respective description usually involves separate flow models.

For coupled-mode flutter, a classical choice is to resort to potential flow theories^{15,21,22}, such as the Theodorsen model²⁷, that have encountered a great success for the computation of aeroelastic stability of aircraft²⁸. However, in contrast to aircraft applications that naturally yield high-Reynolds-number flows, energy harvesting or micro-scale mixing typically involve low-to-moderate Reynolds numbers^{10,11,18,21-26}, ranging from $Re = 10^1$ to $Re = 10^5$. For these Reynolds numbers, the validity of the potential flow assumption must be questioned, as shown by Bruno and Fransos²⁹ or Brunton and Rowley³⁰ for the case of thin plates forced in heaving or pitching motions. As these studies were primarily interested in accurately predicting the aerodynamic forces consecutive to forced motions, the question of the impact of low-to-moderate Reynolds numbers on the self-sustained flutter instability was not investigated. This naturally motivates a study discussing coupled-mode flutter in viscous flows. Such an interest is further justified from the perspective of modern flying devices, like nano- and micro- aerial vehicles that also operate at low-to-moderate Reynolds numbers³¹.

In the case of stall flutter and vortex-induced vibrations, large regions of flow separation are involved, thus implying that flow models based on potential theories are unsuitable. For the particular case of a stall flutter involving only a transverse motion of the structure, and where vibrations are slow enough, so-called quasi-steady flow models may be used³². The advantage of the latter is that they only require knowing the *steady* aerody-

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dynamic loads at various angles of attack. For all situations where the quasi-steady approaches cannot be used — *e.g.* vortex-induced vibrations — one may either develop tailored semi-empirical reduced-order models^{33,34} or rather retain the full complexity of the time-dependent nonlinear Navier–Stokes equations^{23–25,35}, but at the cost of an intensive computational effort.

When linear stability is the primary interest, a path of intermediate cost may be taken where a full Navier–Stokes flow model is retained, but in a linearized form. This approach, often referred to as *global stability analysis*, was originally used to investigate the linear stability of flows around fixed objects by computing the leading eigenvalues of the Navier–Stokes Jacobian matrix^{36,37}. This framework was first extended to fluid-solid interaction problems to investigate the vortex-induced vibrations of spring-mounted rigid cylinders in low-Reynolds-number flows^{38–41}. More recently, it was used to predict the transonic shock buffeting instability of spring-mounted airfoils^{42,43}. Other possible applications are the study of path instabilities of freely falling or rising rigid objects^{44–46} or of the onset of pitch-oscillations on airfoils at transitional Reynolds numbers⁴⁷. These analyses were recently extended to account for deformable structures governed by elastic laws^{48–51} or to address the fluid-solid stability analysis of self-propelled flapping foils⁵². To our knowledge however, they have not been used to investigate the instabilities occurring on spring-mounted slender bodies that are typical of coupled-mode or stall flutter.

In the present study, we thus aim at investigating, in low-Reynolds number flows, the flow-induced instabilities that occur on a typical aeroelastic section² consisting in a heaving and pitching spring-mounted rigid plate. Our goal is to demonstrate the use of global fluid-solid linear stability analysis for providing a parametric exploration of this configuration by varying the reduced velocity, the solid-to-fluid mass ratio, the Reynolds number and the steady angle of attack. The paper is organized as follows. In section II, we briefly introduce the spring-mounted plate model and the global fluid-solid stability approach. In section III, we exhibit the different types of instabilities that appear at zero steady angle of attack, depending on the reduced velocity and solid-to-fluid mass ratio. We then explore the effect of the Reynolds number and present a comparison of our results with a calibrated version of the Theodorsen model. In section IV, we finally explore the effect of increasing the steady angle of attack on the flutter instability.

II. PROBLEM SETTINGS AND METHODS

We investigate the dynamics of a rigid plate of chord \tilde{c} , thickness \tilde{e} and span \tilde{s} . The two-dimensional section of the plate is almost rectangular with small rounded corners of radius $\tilde{e}/10$. Its chord-to thickness ratio is $\tilde{c}/\tilde{e} = 20$ similarly to previous investigations^{14,19}. The mass of the plate and its moment of inertia at the center

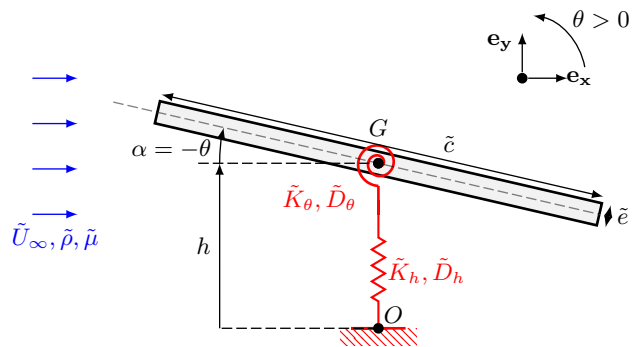


FIG. 1. “Typical section” model.

of mass G are denoted \tilde{m} and \tilde{I}_G , respectively. This plate is immersed in a fluid of density $\tilde{\rho}$ and dynamic viscosity $\tilde{\mu}$, with a uniform upstream velocity \tilde{U}_∞ . Assuming the span is much larger than the chord, *i.e.* $\tilde{s} \gg \tilde{c}$, the dynamics of the plate may be modelled by the typical aeroelastic section² sketched in fig. 1. Heaving and pitching springs of stiffness (*resp.* damping) \tilde{K}_h (*resp.* \tilde{D}_h) and \tilde{K}_θ (*resp.* \tilde{D}_θ) are attached to the two-dimensional section at the so-called elastic center. In the present study, the latter is identical to the center of mass G and we refer, for example, to Peng and Zhu²¹ for an investigation of the effect of its position on the dynamics.

All quantities presented above and noted with the symbol $\tilde{\cdot}$ are dimensional variables. In this work however, we will rather use non-dimensional variables, that we note without this symbol. The non-dimensionalization is performed using \tilde{c} as a characteristic length, $\tilde{c}/\tilde{U}_\infty$ as a characteristic time and $\tilde{\rho}\tilde{c}^2$ as a characteristic mass (per unit span). The seven non-dimensional parameters listed in table I then govern the dynamics of the typical section interacting with the surrounding incompressible flow. Some of them involve only fluid (*resp.* solid) dimensional quantities and are thus labelled as fluid (*resp.* solid) non-dimensional parameters. Others involve both fluid and solid quantities and are thus referred to as coupling parameters. The only fluid parameter is the Reynolds number defined as $\mathcal{Re} = \tilde{\rho}\tilde{U}_\infty\tilde{c}/\tilde{\mu}$. Four solid parameters describe the spring-mounted plate: $\Omega = \tilde{\omega}_{0,h}/\tilde{\omega}_{0,\theta}$ is the ratio between the natural heaving and pitching frequencies of the plate, respectively defined as $\tilde{\omega}_{0,h} = \sqrt{\tilde{K}_h/\tilde{m}}$ and $\tilde{\omega}_{0,\theta} = \sqrt{\tilde{K}_\theta/\tilde{I}_G}$; ζ_h and ζ_θ are the non-dimensional heaving and pitching damping ratios; $r_\theta = \sqrt{\tilde{I}_G/(\tilde{m}\tilde{c}^2)}$ is the non-dimensional radius of gyration. Finally, two parameters control the fluid-solid coupling: the solid-to-fluid mass ratio $m = \tilde{m}/(0.5\tilde{\rho}\tilde{c}^2\tilde{s})$ and the reduced velocity $U^* = \tilde{U}_\infty/(\tilde{c}\tilde{\omega}_\theta)$ that is also equal to the inverse the non-dimensional pitching frequency $U^* = 1/\omega_\theta$.

In the present study, the four solid parameters are kept fixed to the values reported in table I. Though not strictly equal, the values of Ω , ζ_h and ζ_θ are inspired from the

set-up of Amandolese, Michelin, and Choquel¹⁴. The radius of gyration is evaluated under the hypothesis of a rectangular cross-section with homogeneous solid density, yielding $r_\theta^2 = (1/12)(1 + (\tilde{\epsilon}/\tilde{c})^2)$. The fluid and coupling parameters are varied in the ranges reported in table I. Low Reynolds numbers are explored in the range $10^1 \leq \mathcal{Re} \leq 10^4$, which contains the values used in several previous works on energy harvesting devices^{18,21–25}. Our study however excludes the moderate Reynolds number range $10^4 \leq \mathcal{Re} \leq 10^5$ where transitional effects come into play and trigger specific fluid-solid instabilities^{53–55}. This regime indeed requires the use of turbulence models with adequate transition prediction that are out of the scope of the present work. For a plate made of a given material, the mass ratio m may significantly vary due to variation of the fluid density. For example, in the experimental configuration by Amandolese, Michelin, and Choquel¹⁴, a steel plate immersed in air flow corresponds to $m \sim 1800$, while a steel airfoil immersed in water flow in the experimental set-up by Boudreau *et al.*²⁰ now corresponds to $m \sim 1.7$. Low values of the mass ratio, typically of the order $m \sim 10$, can be obtained in air flows with very light materials, such as balsa wood⁵⁶. In order to explore these limit scenarios and the transition between them, we consider a large range of mass ratios, $10^0 \leq m \leq 10^4$. Finally, the reduced velocity varies roughly between 10^{-2} and 10^1 which allows visiting both low- U^* instabilities like vortex-induced vibrations and higher- U^* instabilities like flutter and divergence.

A. Mathematical models

The motion of the plate is described in the laboratory frame of reference $(O, \mathbf{e}_x, \mathbf{e}_y)$ with two degrees of freedom: the non-dimensional displacement $h(t)$ (in the direction \mathbf{e}_y) and the pitching angle $\theta(t)$ (oriented counterclockwise, *i.e.* opposite to the angle of attack α , see fig. 1). They are governed by the following coupled system of linear equations:

$$\begin{aligned} \frac{d^2 h}{dt^2} + 2\zeta_h \left(\frac{\Omega}{U^*} \right) \frac{dh}{dt} + \left(\frac{\Omega}{U^*} \right)^2 h &= \frac{1}{m} \left(\mathcal{C}_L(\mathbf{U}, P, \theta) \right. \\ &\quad \left. + \mathcal{C}_{F_{\text{ext}}} \right) \\ \frac{d^2 \theta}{dt^2} + 2\zeta_\theta \left(\frac{1}{U^*} \right) \frac{d\theta}{dt} + \left(\frac{1}{U^*} \right)^2 \theta &= \frac{1}{m r_\theta^2} \left(\mathcal{C}_M(\mathbf{U}, P) \right. \\ &\quad \left. + \mathcal{C}_{M_{\text{ext}}} \right) \end{aligned} \quad (1)$$

where the ratio Ω/U^* is the (non-dimensional) natural heaving frequency $\tilde{\omega}_{0,h}$ while $1/U^*$ is the (non-dimensional) natural pitching frequency $\tilde{\omega}_{0,\theta}$. The above equations are two damped harmonic oscillators forced by the aerodynamic lift $\mathcal{C}_L = \tilde{L}/(0.5\tilde{\rho}\tilde{U}_\infty^2\tilde{c}\tilde{s})$ (with \tilde{L} the lift force) and moment $\mathcal{C}_M = \tilde{M}/(0.5\tilde{\rho}\tilde{U}_\infty^2\tilde{c}^2\tilde{s})$ (with \tilde{M} the aerodynamic moment) coefficients that depend on

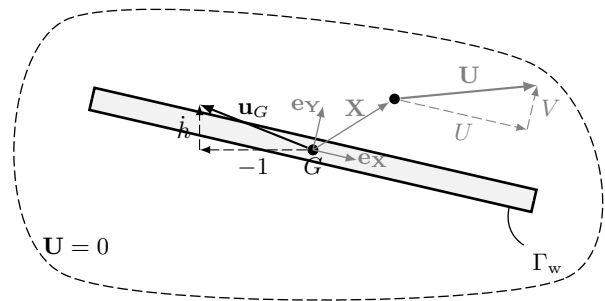


FIG. 2. Illustration of the quantities describing the (non-dimensional) Navier–Stokes formalism by Mougin and Magnaudet⁵⁷.

the flow velocity \mathbf{U} and pressure P , as further detailed in eq. (5). These aerodynamics coefficients are responsible for the coupling between the heaving and pitching components of the solid motion. In addition, a constant external force $\mathcal{C}_{F_{\text{ext}}}$ and moment $\mathcal{C}_{M_{\text{ext}}}$ are taken into account, their use being specified in section II B.

The two-dimensional incompressible flow may be described by the pressure field $p(\mathbf{x}(t), t)$ and the velocity field vector $\mathbf{u}(\mathbf{x}(t), t) = (u, v)^T$ expressed in fixed laboratory frame $(O, \mathbf{e}_x, \mathbf{e}_y)$. These fields then satisfy the incompressible Navier–Stokes equations in a spatial domain that depends on time due to the plate’s motion, as emphasized by the time dependency of the spatial coordinate $\mathbf{x}(t) = (x(t), y(t))^T$. In order to avoid the deformation of the fluid domain, we adopt the formalism proposed by Mougin and Magnaudet⁵⁷ where the spatial positions, denoted $\mathbf{X} = (X, Y)$, are expressed in the frame $(G(t), \mathbf{e}_X(t), \mathbf{e}_Y(t))$ that is attached to the center of mass $G(t)$ and that rotates with the principal axes of the plate (see fig. 2). Using these spatial coordinates, the fluid domain becomes time-independent. The *absolute* velocity vector written in the rotating axes $(\mathbf{e}_X(t), \mathbf{e}_Y(t))$ is then denoted $\mathbf{U}(\mathbf{X}, t) = (U, V)^T$ and may be linked to \mathbf{u} by:

$$\begin{aligned} \mathbf{U}(\mathbf{X}, t) &= \mathbf{R}(\theta(t))^T \mathbf{u}(\mathbf{x}(t), t) \\ \text{where } \mathbf{R}(\theta) &= \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix} \end{aligned}$$

is the rotation matrix of angle θ . We also denote the pressure field depending on \mathbf{X} as $P(\mathbf{X}, t) = p(\mathbf{x}(t), t)$. With these specific choices, the incompressible Navier–Stokes equations read⁵⁷:

$$\frac{\partial \mathbf{U}}{\partial t} + \dot{\theta} \mathbf{e}_z \wedge \mathbf{U} + \nabla \mathbf{U} (\mathbf{U} - \mathbf{W}) - \nabla \cdot \boldsymbol{\sigma}(\mathbf{U}, P) = 0 \quad (2a)$$

$$\nabla \cdot \mathbf{U} = 0 \quad (2b)$$

where $\boldsymbol{\sigma}(\mathbf{U}, P) = -P\mathbf{I} + \mathcal{Re}^{-1} (\nabla \mathbf{U} + \nabla \mathbf{U}^T)$ is the Cauchy stress tensor, and, with a slight abuse of notation, $\mathbf{e}_z \wedge \mathbf{U} = (-V, U)^T$. Compared to the classical

TABLE I. Non-dimensional parameters governing the typical aeroelastic section ordered as the fluid parameter ($\mathcal{R}e$), solid parameters (Ω , ζ_h , ζ_θ and r_θ) and coupling parameters (m and U^*).

Parameter	$\mathcal{R}e$	Ω	ζ_h	ζ_θ	r_θ	m	U^*
Definition	$\frac{\tilde{\rho}\tilde{U}_\infty\tilde{c}}{\tilde{\mu}}$	$\sqrt{\frac{\tilde{K}_h/\tilde{m}}{\tilde{K}_\theta/\tilde{I}_G}}$	$\frac{\tilde{D}_h}{2\sqrt{\tilde{m}\tilde{K}_h}}$	$\frac{\tilde{D}_\theta}{2\sqrt{\tilde{I}_G\tilde{K}_\theta}}$	$\sqrt{\frac{\tilde{I}_G}{\tilde{m}\tilde{c}^2}}$	$\frac{\tilde{m}}{0.5\tilde{\rho}\tilde{c}^2\tilde{s}}$	$\frac{\tilde{U}_\infty}{\tilde{c}\sqrt{\tilde{K}_\theta/\tilde{I}_G}}$
Values	$10^1 - 10^4$	0.8	0	0.05	0.29	$10^0 - 10^4$	$10^{-2} - 10^1$

formulation of the Navier–Stokes equations, we note the Coriolis-like acceleration term that depends on the angular velocity $\dot{\theta} = d\theta/dt$ of the plate, as well as the modification of the convective velocity by the so-called grid velocity \mathbf{W} , often introduced in the Arbitrary Lagrangian Eulerian framework⁵⁸. In the rotating axes, this grid velocity reads

$$\mathbf{W}(\theta, \dot{h}, \dot{\theta}; \mathbf{X}) = \mathbf{R}(\theta)^T \mathbf{u}_G + \dot{\theta}(\mathbf{e}_z \wedge \mathbf{X}) \quad (3)$$

with $\dot{h} = dh/dt$ and where $\mathbf{u}_G = (-1, \dot{h})^T$ is the (non-dimensional) velocity of the center of mass G , written in the fixed laboratory axes ($\mathbf{e}_x, \mathbf{e}_y$). In particular, the plates moves at the velocity -1 along the direction \mathbf{e}_x (see fig. 2). The second term in the above expression is the angular velocity at point \mathbf{X} . When evaluating this grid velocity at points \mathbf{X}_w on the plate Γ_w , we recover the solid velocity expressed in the rotating axes. The equality of the fluid and solid velocities at this interface thus reads:

$$\mathbf{U}(\mathbf{X}_w) = \mathbf{W}(\theta, \dot{h}, \dot{\theta}; \mathbf{X}_w) \quad \mathbf{X}_w \in \Gamma_w \quad (4)$$

The far-field flow velocity is $\mathbf{U}(\mathbf{X}, t) = 0$. Finally, the lift coefficient \mathcal{C}_L and moment coefficient \mathcal{C}_M evaluated at point G , that appear in the right-hand side of the solid equations (1) can now be explicitly written as:

$$\mathcal{C}_L(\mathbf{U}, P, \theta) = 2 \left\{ \mathbf{R}(\theta) \int_{\Gamma_w} \boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N} d\mathbf{X}_w \right\} \cdot \mathbf{e}_y \quad (5a)$$

$$\mathcal{C}_M(\mathbf{U}, P) = 2 \int_{\Gamma_w} \left\{ X_w(\boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N})_Y - Y_w(\boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N})_X \right\} d\mathbf{X}_w \quad (5b)$$

where \mathbf{N} is the (outward) unit vector normal to the solid (written in the rotating axes). Note in particular the term between curly brackets in eq. (5a) where the fluid force evaluated in the rotating axes is projected back onto the laboratory axes using the rotation matrix, before extracting the \mathbf{e}_y component *via* the scalar product.

In the following, the equations (1) to (5) governing the fluid-solid interaction are formally written as the first-order-in-time evolution equation:

$$\begin{pmatrix} \mathcal{M}_s & 0 \\ 0 & \mathcal{M}_f \end{pmatrix} \frac{\partial}{\partial t} \begin{pmatrix} \mathbf{q}_s \\ \mathbf{q}_f \end{pmatrix} + \begin{pmatrix} \mathcal{R}_s(\mathbf{q}_s, \mathbf{q}_f) \\ \mathcal{R}_f(\mathbf{q}_s, \mathbf{q}_f) \end{pmatrix} = 0 \quad (6)$$

where $\mathbf{q}_s = (h, \theta, \dot{h}, \dot{\theta})^T$ and $\mathbf{q}_f = (\mathbf{U}, P)^T$ gather the solid and flow variables, respectively. The detailed expressions of the solid \mathcal{M}_s and fluid \mathcal{M}_f mass matrices, as well as the solid \mathcal{R}_s and fluid \mathcal{R}_f residual vectors are given in appendix A.

B. Global fluid-solid linear stability analysis

We investigate the linear stability of steady solutions, denoted $(\mathbf{q}_s^0, \mathbf{q}_f^0)^T$, that are time-independent solutions of eq. (6), by superimposing unsteady perturbations as:

$$\begin{pmatrix} \mathbf{q}_s(t) \\ \mathbf{q}_f(\mathbf{X}, t) \end{pmatrix} = \begin{pmatrix} \mathbf{q}_s^0 \\ \mathbf{q}_f^0(\mathbf{X}) \end{pmatrix} + \epsilon \begin{pmatrix} \mathbf{q}_s^1(t) \\ \mathbf{q}_f^1(\mathbf{X}, t) \end{pmatrix} \quad \epsilon \ll 1.$$

The infinitesimal fluid-solid perturbation $(\mathbf{q}_s^1, \mathbf{q}_f^1)^T$ is further decomposed in the form of global modes³⁶:

$$\begin{pmatrix} \mathbf{q}_s^1(t) \\ \mathbf{q}_f^1(\mathbf{X}, t) \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \hat{\mathbf{q}}_s \\ \hat{\mathbf{q}}_f(\mathbf{X}) \end{pmatrix} e^{\sigma t} + \text{c.c.}$$

where c.c. is the abbreviation for *complex conjugate* and $\sigma = \lambda + i\omega$ is a complex number whose real (resp. imaginary) part is the growth rate (resp. frequency) of the complex-valued fluid-solid mode $(\hat{\mathbf{q}}_s, \hat{\mathbf{q}}_f)^T$. Inserting the above decomposition in (6) yields that $(\mathbf{q}_s^0, \mathbf{q}_f^0)^T$ is solution of the steady nonlinear problem:

$$\begin{pmatrix} \mathcal{R}_s(\mathbf{q}_s^0, \mathbf{q}_f^0) \\ \mathcal{R}_f(\mathbf{q}_s^0, \mathbf{q}_f^0) \end{pmatrix} = 0 \quad (7)$$

and that $(\sigma, (\hat{\mathbf{q}}_s, \hat{\mathbf{q}}_f)^T)$ are eigenpairs of the following eigenproblem:

$$\sigma \begin{pmatrix} \mathcal{M}_s & 0 \\ 0 & \mathcal{M}_f \end{pmatrix} \begin{pmatrix} \hat{\mathbf{q}}_s \\ \hat{\mathbf{q}}_f \end{pmatrix} + \begin{pmatrix} \mathcal{J}_{ss} & \mathcal{J}_{sf} \\ \mathcal{J}_{fs} & \mathcal{J}_{ff} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{q}}_s \\ \hat{\mathbf{q}}_f \end{pmatrix} = 0 \quad (8)$$

The operators $\mathcal{J}_{\alpha\beta} = \partial \mathcal{R}_\alpha / \partial \mathbf{q}_\beta|_{(\mathbf{q}_s^0, \mathbf{q}_f^0)}$, with $\alpha, \beta = \{s, f\}$, define by blocks the fluid-solid Jacobian operator. They implicitly depend on the steady solution as specified in the detailed expressions provided in appendix A. The long term stability of steady solutions is assessed by scrutinizing the eigenvalue of largest real part. If all

eigenvalues have negative real parts ($\lambda < 0$) the system is stable in the sense that any infinitesimal perturbation will eventually decay to zero. If at least one eigenvalue has positive real part ($\lambda > 0$) then the system is unstable. The case $\lambda = 0$ describes a situation where a perturbation will neither be amplified nor damped, and is said neutrally stable.

a. Method for computing steady fluid-solid solutions In the steady solution $(\mathbf{q}_s^0, \mathbf{q}_f^0)^T$ of (7), the heaving h^0 and pitching θ^0 components are unknowns of the fluid-solid problem. In this work however, we choose to impose the value of h^0 and θ^0 as parameters in order to investigate directly the effect of the steady angle of attack on the fluid-solid instabilities. More precisely, we use $h^0 = 0$ and $\theta^0 = -\alpha^0$ with α^0 the steady angle of attack (oriented positive clockwise). The solid part \mathbf{q}_s^0 of the steady solution is thus known in advance as $\mathbf{q}_s^0 = (0, -\alpha^0, 0, 0)^T$. The fluid part \mathbf{q}_f^0 of the steady solution is then obtained by solving the purely fluid steady problem:

$$\mathcal{R}_f(\mathbf{q}_s^0, \mathbf{q}_f^0) = 0 \quad (9)$$

Note that the resulting fluid-solid solution $(\mathbf{q}_s^0, \mathbf{q}_f^0)^T$ is a solution of the original steady problem (7) by opportunely choosing the exterior force and moment coefficients, $\mathcal{C}_{F_{\text{ext}}}$ and $\mathcal{C}_{M_{\text{ext}}}$, so as to enforce the steady solid momentum balance (1):

$$\begin{aligned} \mathcal{C}_{F_{\text{ext}}} &= -\mathcal{C}_L(\mathbf{U}^0, P^0, -\alpha^0) \\ \mathcal{C}_{M_{\text{ext}}} &= mr\theta^2 \left(\frac{1}{U^*}\right)^2 (-\alpha^0) - \mathcal{C}_M(\mathbf{U}^0, P^0) \end{aligned}$$

It is important to notice here that $\mathcal{C}_{F_{\text{ext}}}$ and $\mathcal{C}_{M_{\text{ext}}}$ are *constant* exterior forces applied to eq. (1) as they depend only on the steady solution and not on the unknown time-dependent solution $(\mathbf{q}_s(t), \mathbf{q}_f(\mathbf{X}, t))^T$. They thus play no role in the linear stability analysis as can be seen in the fluid-solid Jacobian expressions of appendix A where they do not appear. Overall, by using such a procedure, the steady solution is made independent on the solid $(\Omega, \zeta_h, \zeta_\theta, r_\theta)$ and coupling (U^*, m) non-dimensional parameters and only depends on the fluid non-dimensional parameter — here the Reynolds number $\mathcal{R}e$ — and the chosen steady angle of attack α^0 .

b. Method for determining neutral curves To delimit stable ($\lambda < 0$) from unstable ($\lambda > 0$) regions in a parameter space, one could discretize the latter and solve the eigenproblem (8) for each discrete point. A more efficient method consists in considering the critical values of these parameters as unknowns of a problem that incorporates the criticality condition $\lambda = 0$. For a two-dimensional parameter space, for instance composed of the mass ratio m and reduced velocity U^* (see section III C), the so-called neutral curve is defined as the critical velocity U_c^* as a function of the mass ratio m and is obtained as follows. The growth rate being, by definition, equal to zero on the neutral curve, the critical

eigenmode $(\hat{\mathbf{q}}_s^c, \hat{\mathbf{q}}_f^c)^T$ and frequency ω_c satisfy:

$$i\omega_c \begin{pmatrix} \mathcal{M}_s & 0 \\ 0 & \mathcal{M}_f \end{pmatrix} \begin{pmatrix} \hat{\mathbf{q}}_s^c \\ \hat{\mathbf{q}}_f^c \end{pmatrix} + \begin{pmatrix} \mathcal{J}_{ss}(U_c^*) & \mathcal{J}_{sf} \\ \mathcal{J}_{fs} & \mathcal{J}_{ff} \end{pmatrix} \begin{pmatrix} \hat{\mathbf{q}}_s^c \\ \hat{\mathbf{q}}_f^c \end{pmatrix} = 0 \quad (10)$$

where we recall the dependency of the solid Jacobian matrix on the critical velocity U_c^* . The latter being here an additional (real-valued) unknown of the problem instead of a parameter, an additional (real-valued) scalar condition is imposed, formally written as:

$$\mathcal{C}(\hat{\mathbf{q}}_s^c, \hat{\mathbf{q}}_f^c, \omega_c) = 0 \quad (11)$$

In practice, we only introduce this additional condition for dynamic ($\omega_c \neq 0$) instabilities. The phase of the critical eigenmode is fixed by imposing that the real part of the heaving degree of freedom is zero, i.e. $\mathcal{C}(\hat{\mathbf{q}}_s^c, \hat{\mathbf{q}}_f^c, \omega_c) = \Re(\hat{h}^c) = 0$. The arbitrary amplitude of the critical eigenmode is finally imposed using the following normalization condition:

$$((\hat{\mathbf{q}}_s^c)^H, (\hat{\mathbf{q}}_f^c)^H) \begin{pmatrix} \mathcal{M}_s & 0 \\ 0 & \mathcal{M}_f \end{pmatrix} \begin{pmatrix} \hat{\mathbf{q}}_s^c \\ \hat{\mathbf{q}}_f^c \end{pmatrix} - 1 = 0 \quad (12)$$

The coupled system of equations (10), (11) and (12) is nonlinear due to the dependence of the Jacobian operator in U_c^* and to the presence of ω_c in front of the mass matrix term in eq. (10). A Newton method is used to solve iteratively this system of equations. Thus we obtain the critical velocity U_c^* (as well as the critical frequency ω_c and eigenmode $\hat{\mathbf{q}}_s^c, \hat{\mathbf{q}}_f^c$) for a fixed mass ratio m (the remaining parameters being also imposed). From this point of the neutral curve, we can efficiently determine the entire curve by implementing a continuation algorithm, such as the pseudo-arclength method (see the book by Govaerts⁵⁹). This method allows, in particular, to follow the neutral curve around turning points (see for instance fig. 8).

c. Numerical method The Navier–Stokes equations are discretized in space with a SUPG-stabilized finite element method⁶⁰, implemented using the FreeFEM language⁶¹. A Newton method is then used to solve the steady equation (9) and the shift-and-invert method is used to compute the largest eigenvalues of the eigenproblem (8). We refer to Moulin, Jolivet, and Marquet⁶² for a detailed description of these numerical methods in a purely aerodynamic problem. They require to invert large sparse linear systems, which is done here using the sparse LU solver MUMPS⁶³. In view of reproducibility, we make available at <https://bitbucket.org/jmoulin/pof2021> the codes that enable to solve the steady-state problem (7) and eigenproblem (8). We finally mention that our implementations have been validated with respect to the well-documented case of a heaving-spring-mounted circular cylinder undergoing vortex-induced vibrations in laminar flows. The results of this validation are reported in appendix B.

III. FLOW-INDUCED INSTABILITIES AT ZERO ANGLE OF ATTACK

In this section, we investigate the various flow-induced instabilities that may emerge for spring-mounted plates immersed in viscous flows when varying the non-dimensional parameters at zero steady angle of attack, $\alpha^0 = 0$. First, results of the fluid-solid stability analysis are described in section III A for fixed values of all non-dimensional parameters, allowing a detailed description of the leading (*i.e.* most unstable) modes: two coupled-mode flutter (flutter and anti-flutter modes) and one vortex-shedding mode. Secondly, the effect of the reduced velocity U^* is investigated in section III B where the transition from a vortex-induced vibration instability to coupled-mode flutter and finally to a steady divergence mode is analyzed. We finally investigate in section III D the effect of the Reynolds number on coupled-mode flutter and divergence. For coupled-mode flutter in particular, we compare our approach to the classical Theodorsen model in order to show the magnitude of the viscous effects on this instability.

A. Coupled-mode flutter and vortex-shedding instabilities

We first investigate the case of a plate immersed in a flow at Reynolds number $Re = 2900$ and without angle of incidence, *i.e.* $\alpha^0 = 0$. The streamwise velocity (along \mathbf{e}_x) of the steady solution is displayed in fig. 3(a) using the absolute velocity. As explained in the description of the fluid model (2), it is equal to zero away from the plate and to -1 , the (non-dimensional) velocity of the plate, at the wall. This solution is clearly symmetric with respect to the axis $x = 0$ so that the steady lift and moment coefficients exerted on the plate are both equal to zero. For that low Reynolds number, the steady flow is mostly attached to the plate and, in particular, we do not observe any flow separation around the leading edge. A recirculation region, delimited by the black curve in the figure, is visible at the blunt trailing edge. Further downstream, the velocity profile is typical of a wake flow, with a velocity defect that decreases when progressing away from the plate.

The fluid-solid linear stability of that steady solution is then analyzed by solving the eigenproblem eq. (8) for the mass ratio $m = 1000$ and an arbitrary reduced velocity of $U^* = 4.7$, which has been chosen just high enough to exhibit a coupled-mode flutter instability, as we will see. The leading eigenvalues, *i.e.* the eigenvalues of largest growth rate, are displayed in fig. 3(b) in the complex plane (λ, ω) , where λ is the growth rate and ω the frequency. Note that only the eigenvalues of positive frequencies are shown here, since the eigenvalue spectrum is symmetric with respect to the real axis. Thus, all complex eigenvalues ($\omega \neq 0$) appear in fact by pairs of complex conjugates. Most of the eigenvalues, marked with black crosses in the figure, are strongly stable ($\lambda < 0$).

On the contrary, the three eigenvalues, highlighted with colored circles, lie close to the neutral axis $\lambda = 0$. As seen in the close-up view of the figure, one of them is unstable (red circle) while the other two (black and blue) are stable. In the following, we discuss the eigenmodes associated to these three eigenvalues.

The frequency of the unstable mode (red dot) $\omega = 0.17$ is close to the natural heaving frequency of the plate $\omega_{0,h} = \Omega/U^* = 0.8/4.7 = 0.17$. Its spatial structure is shown in fig. 4(a). The instantaneous pressure perturbation is depicted in the left figure with the blue-white colormap. The orange arrows correspond to the instantaneous displacement field of the rigid plate. Both are shown for the phase $\phi = \omega t = 3\pi/4$ of the temporal oscillation. In the right part of the figure, we represent the oscillating part (*i.e.* neglecting the exponential growth) of the perturbation generated by the mode for the heaving $h^1(t)$ (solid line) and pitching $\theta^1(t)$ (dashed line) components. For the latter, we display the angle of attack that is opposite to the pitching angle, *i.e.* $-\theta^1(t)$. The pressure flow field exhibits extreme values close to the leading edge. The displacement field of the plate (orange arrows) couples the heaving and pitching motions. For that specific phase $\omega t = 3\pi/4$, the angle of attack is close to its minimal values while the heaving displacement is close to its maximal value, as seen in the right figure. The phase shift between the heaving and pitching motion is close to π . By comparing the minimums of the heaving and pitching curves, we observe that the pitching signal (dashed line) leads on the heaving signal (solid line). This feature corresponds to a swimming-like motion of the airfoil that is typical of the coupled-mode flutter instability, as detailed in §6.6 of the book by Bisplinghoff and Ashley⁶⁴ or in §3.3.2 of the book by De Langre⁶⁵.

Let us now examine the stable mode depicted with the black dot in fig. 3(b). Its frequency ($\omega = 0.15$) is also close to the natural heaving ($\omega_{0,h} = \Omega/U^* = 0.17$) and pitching ($\omega_{0,\theta} = 1/U^* = 0.21$) frequencies. The corresponding eigenmode shown in fig. 4(b) exhibits very similar features to the flutter mode described above. However, the pressure field is of opposite sign for the same phase $\omega t = 3\pi/4$, as the angle of attack is now close to its maximal value for that phase (see right figure). The displacement field still couples the heaving and pitching components but the latter is of larger amplitude, compared to the flutter mode. More interestingly, the phase shift between these two components is now close to $\pi/2$ and the pitching motion (dashed curve) lags behind the heaving motion (solid). This mode is hereafter referred to as *anti-flutter mode*, as opposed to the unstable flutter mode described before. It should be noted here that both the flutter and anti-flutter modes can be obtained using simpler flow models such as a quasi-steady approach⁶⁵, though the second is rarely discussed because it is stable.

The flutter and anti-flutter modes described above are only obtained when considering a fluid-solid stability analysis, unlike all the other modes in the eigenvalue

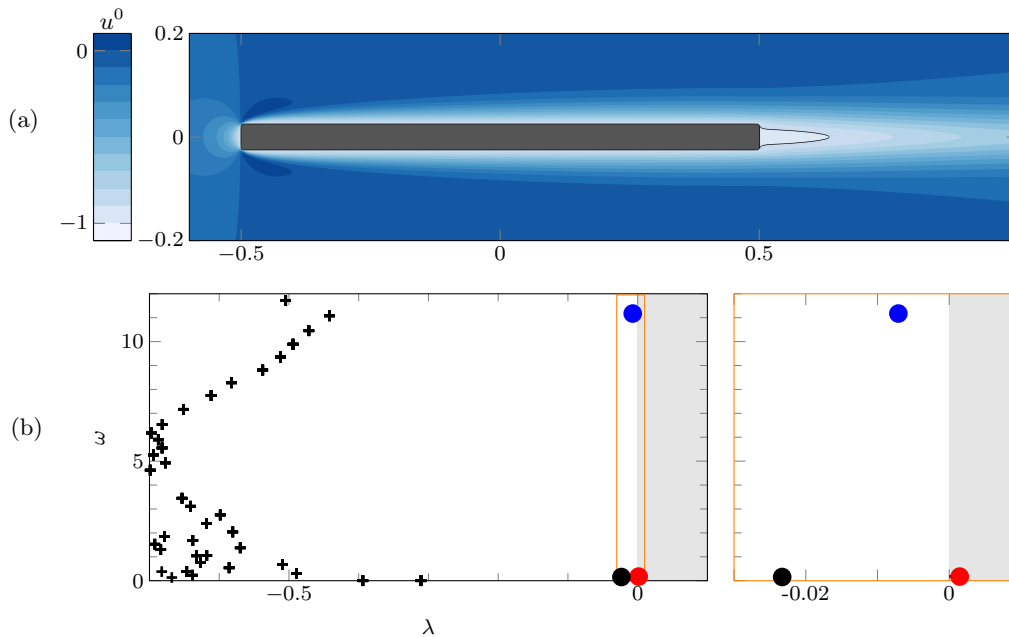


FIG. 3. Linear stability analysis of the spring-mounted plate at $U^* = 4.7$, $Re = 2900$ and $m = 1000$. (a) Streamwise velocity field of the steady solution and (b) eigenvalue spectrum of the fluid-solid stability problem with (right) a close-up view around the three modes of largest real part, highlighted with colored circles. The eigenvalues corresponding to the coupled-mode flutter instability are highlighted with red (flutter) and black (anti-flutter) dots while the eigenvalue corresponding to the wake instability is marked with the blue dot. The spatial structure of the corresponding eigenmodes is depicted in fig. 4.

spectrum of fig. 3(b) that can also be obtained with a purely-hydrodynamic stability analysis. The latter consists in computing the leading eigenvalues of the fluid Jacobian matrix \mathcal{J}_{ff} . Most of these eigenvalues are strongly damped, but for the one highlighted with the blue dot. Its negative growth rate is very close to zero and its frequency ($\omega = 11.2$) is much higher than the natural heaving and pitching frequencies. The spatial structure of this high-frequency mode, shown in fig. 4(c), exhibits very different features compared to the flutter and anti-flutter modes. The solid perturbation (heaving and pitching) seen in the right figure is negligible because the flow perturbation associated to this mode does not induce significant lift or moment on the plate. Indeed, the pressure fluctuation is negligible close to the plate and large amplitude fluctuations are only observed in its wake, where the spatial pattern oscillates in the stream-wise direction. This is a typical feature of vortex-shedding modes that get unstable in the wake of bluff bodies^{66–68} where the steady solution exhibits a recirculation region. In the case of the fixed plate, the purely-hydrodynamic mode gets unstable around $Re_{c,\text{wake}} \simeq 2925$ and its Strouhal number based on the thickness \tilde{e} of the plate is $St_{\tilde{e}} = (\tilde{e}/\tilde{c})\omega/(2\pi) \simeq 0.09$. It is difficult to discuss precisely this value in regards of previous works^{69–71} since the latter often focused on higher Reynolds numbers where nonlinear effects involving both leading and trailing edge vortex shedding come into play.

B. Effect of the reduced velocity

Keeping the mass ratio to $m = 1000$, we now vary the reduced velocity in the range $0.05 \leq U^* \leq 8$ (or similarly the natural pitching frequency in the range $20 \geq \omega_{\theta}^0 \geq 0.125$) and investigate the effect on the three eigenvalues identified in the previous section. Figure 5 shows the path of these eigenvalues in the complex plane. The case $U^* = 4.7$ explored in the previous section is marked with dots of colors identical to fig. 3(b), while small circles correspond to the eigenvalues obtained for other values of U^* , with a varying step size. The right figures are close-up views around high (top) and low (bottom) frequencies. The dashed lines materialize the paths of the natural heaving (red) and pitching (black) modes.

Let us first examine the path of the red eigenvalue that corresponds to the flutter mode at $U^* = 4.7$. When increasing the reduced velocity further above 4.7, this unstable flutter mode tends to restabilize. We will see later in fig. 8 that it is even stable again for very high U^* . When decreasing U^* , the flutter mode stabilizes at $U^* = 4.5$. Its path (red solid curve) then closely follows the locus of the neutrally stable ($\lambda = 0$) natural heaving mode (red dashed line). The light damping of the red branch in comparison to the natural heaving mode is due to the interaction with the flow. However, when the frequency of the heaving mode gets close to the frequency of the vortex-shedding mode (blue) for small U^* , the modes interact leading to a destabiliza-

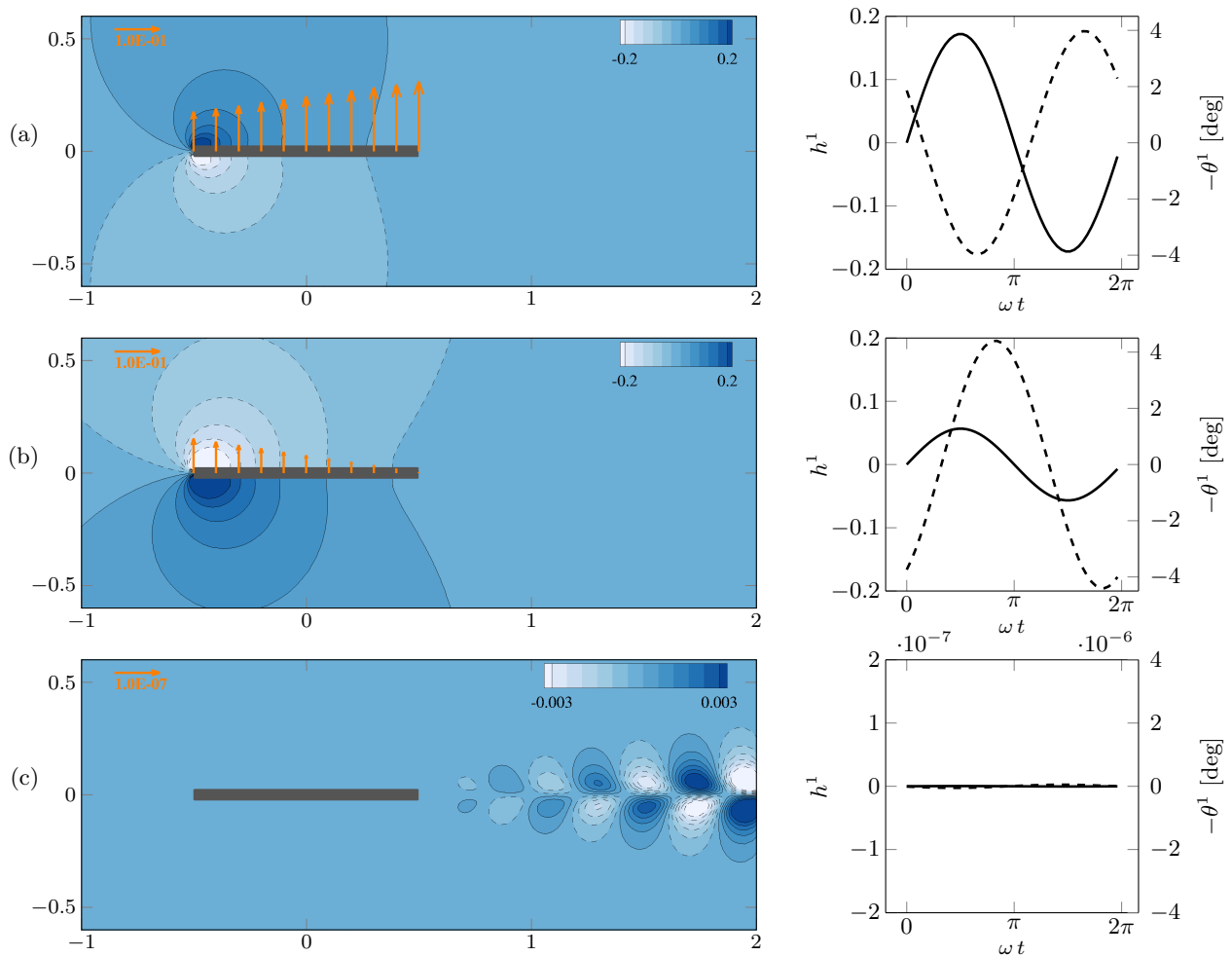


FIG. 4. Eigenmodes corresponding to the three eigenvalues of fig. 3(b) marked with colored dots: (a) red, unstable flutter mode; (b) black, stable anti-flutter mode; (c) blue, stable vortex-shedding mode. The left figures display a snapshot of the eigenmode at phase $\omega t = 3\pi/4$ of the oscillation. The colormap depicts the pressure flow field, while the vectors represent the displacement field of the plate. The right figures show the vertical displacement (solid line) and instantaneous angle of attack (dashed line) as a function of the phase ωt .

tion of the red eigenvalue between $U_{V1}^* = 0.0712$ and $U_{V2}^* = 0.0725$, as emphasized in the close-up view (high-frequency). The interaction between these two modes is revealed by the shape of the eigenmode corresponding to the red eigenvalue. The pressure field, shown in fig. 6(a), is very similar to that of the vortex-shedding mode, already shown in fig. 4(c). In particular, the lift force exerted on the plate is small. However, the perturbation of the plate motion is significantly different since the heaving component is not negligible anymore (unlike the pitching component that remains negligible). This is characteristic of a resonance-like phenomena where a small lift force may induce a large heaving displacement. A similar resonance effect has been reported and described on spring-mounted circular cylinder flows, based on stability analysis^{38,40,72} and nonlinear temporal simulations^{35,39,40}. More recently similar phenomena were highlighted for spring-mounted airfoils undergoing tran-

sonic buffet flow conditions^{42,43}.

Let us now examine the black eigenvalue whose path in the complex plane closely follows that of the natural pitching mode (black dashed line) when it is stable at low reduced velocity. As U^* increases, the growth rate increases and the frequency ω suddenly deviates from the natural pitching frequency to reach zero. Then it gets unstable as shown in the close-up view (low frequency) in fig. 5. The mode corresponding to this eigenvalue is presented in fig. 6(b). This destabilization of the pitching mode by a static instability ($\omega = 0$) is an example of the classical divergence instability¹. Note that here, the divergence mode includes both heaving and pitching displacements. However, it is well-known that divergence is possible with only the pitching degree of freedom, for example in the thin airfoil theory limit.

To better analyze the flutter and divergence instabilities, we display in fig. 7 the evolution of their growth

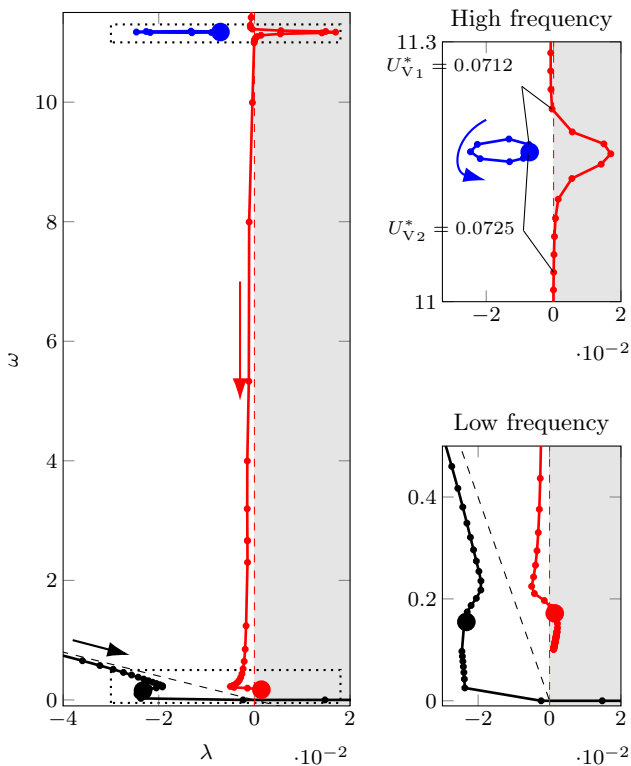


FIG. 5. Paths of the three eigenvalues in the complex plane when varying the reduced velocity in the range $0.05 \leq U^* \leq 8$. The big colored dots correspond to the eigenvalues at $U^* = 4.7$ previously shown in fig. 3(b). The dashed straight lines indicate the paths of the natural solid modes (heaving in red, pitching in black). The dotted rectangles materialize the zones of VIV type and flutter/divergence type instabilities, of which magnified views are exposed in the right part of the figure. The filled gray area represents the unstable half plane.

rate (top) and frequency (bottom) as a function of the reduced velocity U^* , which is here varied in the range $0.5 \leq U^* \leq 8$. The lower bound being larger than the range for vortex-induced vibrations $0.0712 \leq U^* \leq 0.0725$ (top right part of fig. 5), the latter are not observed. The growth rate λ and frequency ω of the eigenvalues are both normalized by the natural pitching frequency $\omega_{0,\theta}$. With this new scaling, we avoid the large variation of frequency observed in fig. 5, the latter remaining close to unity. It is clear in fig. 7 that for $U^* \rightarrow 0$, the red and black modes respectively tend towards the solid natural eigenmodes:

$$\frac{\lambda_h + i\omega_h}{\omega_{0,\theta}} = (-\Omega\zeta_h + i\Omega\sqrt{1 - \zeta_h^2}) = 0 + 0.8 \times i$$

$$\frac{\lambda_\theta + i\omega_\theta}{\omega_{0,\theta}} = (-\zeta_\theta + i\sqrt{1 - \zeta_\theta^2}) = -0.05 + 0.999 \times i$$

As U^* increases, the frequencies of the branches first approach each other while growth rates slightly decrease. Around $U^* = 4$, both branches have equal frequency and a brutal increase of the growth rate of the heaving branch

is observed so that the heaving branch goes unstable at the critical flutter velocity, $U_F^* = 4.5$. As a consequence, flutter is linked to the heaving and pitching frequencies approaching each other. For even higher U^* , the pitching branch finally gets unstable through the static divergence mode (fig. 6(b)), at a critical divergence velocity of $U_D^* = 7.4$. Let us finally mention that in the particular case studied here, it is the heaving branch that gets unstable *via* the flutter instability. However, by changing the damping parameters ζ_h and ζ_θ , we could observe different scenarios where it is the pitching branch that gives rise to flutter.

C. Effect of the mass ratio

In the previous sections, we identified the critical velocities U_D^* , U_F^* and U_V^* for the destabilization of the divergence, flutter and vortex-induced vibrations modes at Reynolds number $Re = 2900$ and mass $m = 1000$. Keeping the Reynolds number fixed, we now vary the mass ratio and use the continuation method introduced in section II B to determine, in the parameter space (m, U^*) , the neutral curves corresponding to each instability.

The neutral curves $U_D^*(m)$, $U_F^*(m)$ and $U_V^*(m)$ corresponding to the divergence, flutter and vortex-induced vibrations instabilities are shown in fig. 8 in a log-log plot with grey, red and blue curves, respectively. The instability regions being shaded with the corresponding colors, the white area is the stable region. In this figure, we do not display the frequency of the corresponding critical eigenmode, but we stress that its order of magnitude is that of the natural solid modes, represented on the right vertical axis by the pitching frequency $\omega_{0,\theta} = 1/U^*$. We clearly identify two regions of instabilities: (i) the high-reduced-velocity region (upper part of the figure) where flutter and divergence occur with low or zero frequency and (ii) the low-reduced-velocity region (lower part of the figure) where the vortex-induced vibration instability occurs in a narrow range of high frequencies.

Let us first consider the neutral curves for flutter (red) and divergence (grey). At high mass ratio, the flutter instability occurs at critical reduced velocities smaller than for the divergence instability. When decreasing the mass ratio, the critical reduced velocity decreases in both cases, with a slope close to 1/2 in the log-log plot. The neutral curve of the divergence instability is in fact a straight line that is in good agreement with the theoretical expression $U_D^*(m) = \sqrt{r\theta^2/(\pi/2)m}$ obtained under the hypothesis of pure pitching motion and thin airfoil theory modelling the aerodynamics². When decreasing the mass ratio, the slope of the flutter neutral curve tends to decrease, while the flutter frequency increases. For instance, the critical frequency is $\omega_c \sim \mathcal{O}(0.1)$ for $m \sim 10^3$ and $\omega_c \sim \mathcal{O}(1)$ for $m \sim 10$. As m further decreases, the critical flutter velocity reaches its minimal value around $m \simeq 20$ before increasing and crossing the neutral curve for divergence instability at $m \simeq 11$. For

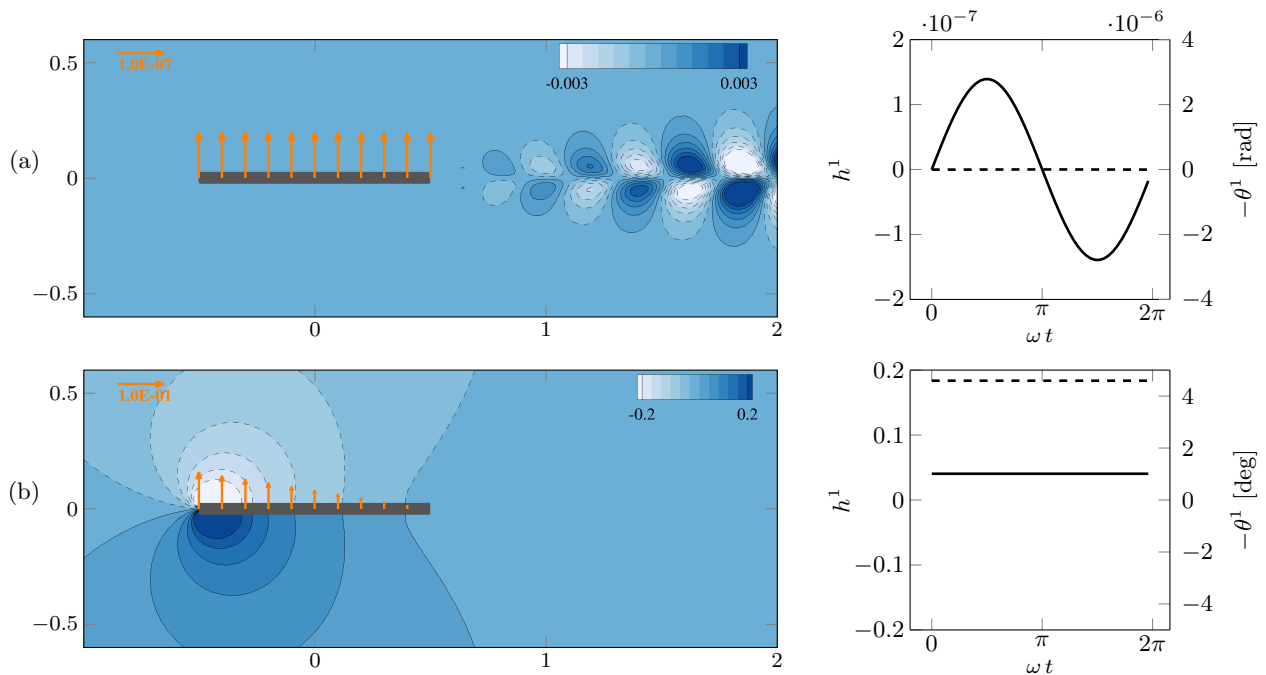


FIG. 6. Eigenmodes corresponding to (a) the (heaving) VIV instability ($U^* = 0.0716$) and (b) the divergence instability ($U_D^* = 7.4$) observed in fig. 5. Color codes and legends are identical to fig. 4.

lower mass ratio, the divergence instability thus occurs prior to the flutter instability that may not even occur for $m \leq 7$. Indeed, we observe a turning point in the neutral curve of flutter. As a consequence, for $m > 7$, there exists a reduced velocity higher than U_F^* for which the flutter mode restabilizes. To our best knowledge, this “second” neutral curve for which the flutter mode restabilizes at high reduced velocity is reported here for the first time. This effect and the underlying physical mechanism are further discussed in the next subsection when investigating the effect of viscosity. At the intersection of the flutter and divergence neutral curves, a codimension-two point can be identified for a particular mass ratio $m^{II} \simeq 11$ and $U^* \simeq 0.9$. At this point, both the flutter and divergence modes are neutrally stable and could be involved in the nonlinear dynamics. Typically, the simultaneous presence of these two modes may explain the occurrence of so-called “dynamic divergence”^{73,74} where a combination of oscillations and mean deviation of the wing/plate is observed in the nonlinear regime. These studies were performed at higher Reynolds numbers than ours ($Re \simeq 10^6$), on a different 2D section (NACA0016 airfoil) and with different structural parameters. However, the range of dynamic divergence reported there is roughly $m \in [1.6, 6.3]$, which is the same order of magnitude as the values found in the present work.

Finally, we consider the region of vortex-induced-vibration instability depicted in blue in fig. 8. Whatever the mass ratio, this instability occurs at much lower values of U^* compared to the flutter and divergence instabilities. Its typical frequency $\omega_c \sim 10$ barely de-

pends of the mass ratio, unlike the shape of the neutral curve. For high m , the vortex-induced vibration mode is unstable in a very narrow range of reduced velocity around $U^* \simeq 0.07$. It is associated to a resonance between the solid heaving mode and the vortex-shedding mode, that occurs when their frequency coincide, i.e. $\omega_{0,h} = \Omega/U^* \simeq \omega_{\text{wake}}$, as indicated in the figure with the lower dashed line. For intermediate m , a second region of instability appears for slightly larger reduced velocity $U^* \simeq 0.09$. It is then associated to the resonance of the vortex-shedding mode with the pitching mode when $\omega_{0,\theta} = 1/U^* \simeq \omega_{\text{wake}}$ (upper dashed line). The existence of two separated instability regions at intermediate mass ratio is thus clearly related to the resonance of the vortex-shedding mode with the two (heaving and pitching) solid modes at different U^* . When decreasing m , these two instability regions widen in U^* , as for the case of a spring-mounted cylinder⁴⁰, until they merge around $m = 8$. For lower mass ratio, vortex-induced vibrations may thus occur far enough from the resonance conditions marked by the thin horizontal dashed lines.

D. Effect of viscosity

In the previous sections we explored the linear behavior of the spring-mounted plate by varying some classical aeroelastic parameters that are the reduced velocity and the mass ratio. We now exploit the full potential of a global stability approach by studying how viscosity affects flutter and divergence. Its effect on the VIV in-

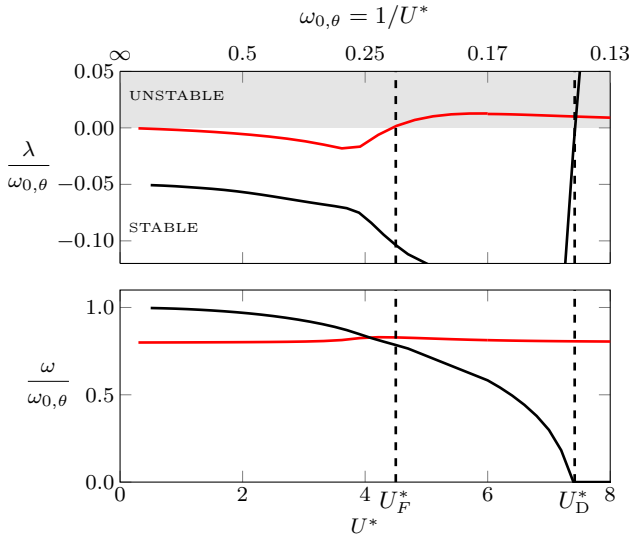


FIG. 7. Evolution of the flutter and anti-flutter eigenmodes, marked respectively by the red and black disks in fig. 3(b), as a function of the reduced velocity ($\mathcal{R}e = 2900$, $m = 1000$). The flutter critical velocity U_F^* is defined as the reduced velocity for which the flutter mode represented in fig. 4(a) becomes unstable. For even higher U^* , a static divergence instability occurs for $U^* > U_D^*$. As $U^* \rightarrow 0$ both branches tend towards the solid-only eigenmodes. For the particular parameters used in the figure, those modes reduce to $\sigma = i0.8\omega_{0,\theta}$ (heaving mode) and $\sigma = (-0.05 + i0.999)\omega_{0,\theta}$ (pitching mode).

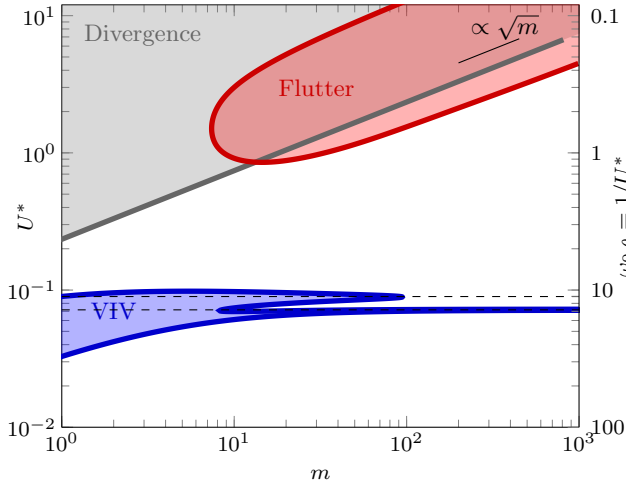


FIG. 8. Neutral curves and instability regions for the flutter (red), divergence (gray) and vortex-induced-vibration (blue) modes in the parameter space (m, U^*) or equivalently ($m, \omega_{0,\theta}$). The two horizontal dashed lines indicate the value of the reduced velocity for which the natural heaving (lower line) and pitching (upper line) frequencies correspond exactly to the frequency of the vortex-shedding mode ($\mathcal{R}e = 2900$, $\alpha^0 = 0^\circ$).

TABLE II. Effect of the Reynolds number on the steady lift and moment slopes at $\alpha^0 = 0$. The last column recalls the potential flow values from thin airfoil theory.

$\mathcal{R}e$	10	110	500	2900	∞
$\frac{dC_L^{\text{stat}}}{d(-\theta)}$	3.22	4.07	4.88	5.88	2π
$\frac{d(-C_M^{\text{stat}})}{d(-\theta)}$	0.89	1.04	1.23	1.52	$\pi/2$

stability is left aside and we refer the interested reader to dedicated works^{40,72}.

1. Flutter and divergence thresholds for different Reynolds numbers

In fig. 9, we show the flutter (red) and divergence (gray) neutral curves for three different Reynolds numbers spanning three orders of magnitude: $\mathcal{R}e = 10$ (a), $\mathcal{R}e = 110$ (b) and $\mathcal{R}e = 2900$ (c). First, by looking for example at the value of U_D^* at $m = 1$, one can observe that the divergence curve is shifted towards lower U^* as $\mathcal{R}e$ increases. This trend is linked to the increase in the slope of the steady lift C_L^{stat} and moment C_M^{stat} coefficients as $\mathcal{R}e$ increases (see table II), which naturally leads to lower divergence velocities according to the classical formula for torsional divergence: $U_D^*(m) = \sqrt{r_\theta^2 / (dC_M^{\text{stat}}/d\theta|_{\theta_0}) m}$. For the flutter threshold, a similar trend is observed in the high- m limit. For lower mass ratios, we note a large change in the position of the folding point that drops from $m \sim 300$ to $m \sim 20$ when increasing the Reynolds number from $\mathcal{R}e = 10$ to $\mathcal{R}e = 110$. Between $\mathcal{R}e = 110$ and the baseline case at $\mathcal{R}e = 2900$, further shift is observed but in less important proportions. In addition, it is observed that the high- U^* boundary of the flutter curve varies significantly more with $\mathcal{R}e$ than the low- U^* boundary. It indicates that the high- U^* restabilization of the flutter mode is strongly dependent on viscous effects and tends to explain why it was never reported before, in high- $\mathcal{R}e$ flows. More insights on that aspect are provided in the next paragraph where we compare the present approach with the classical Theodorsen theory.

Due to the wide variations of the high- U^* limit of the flutter region, two scenarios are possible depending on $\mathcal{R}e$. At high-enough $\mathcal{R}e$ (c), a classical scenario is observed where, as one increases U^* , the flutter mode destabilizes first, remains unstable and then the divergence mode destabilizes. On the contrary, at low Reynolds (a), the flutter mode destabilizes first, then restabilizes — leaving the system stable on some narrow range of U^* — and finally divergence is triggered.

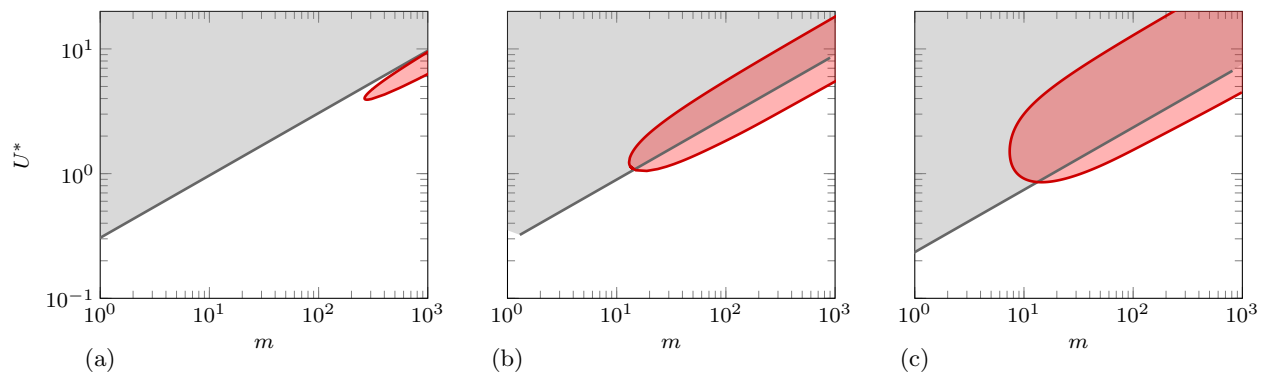


FIG. 9. Linear stability neutral curves for flutter (red) and divergence (gray) instabilities in the plane (m, U^*) for (a) $\mathcal{Re} = 10$, (b) $\mathcal{Re} = 110$ and (c) $\mathcal{Re} = 2900$. The unstable regions are shaded as in fig. 8.

2. A comparison of viscous flutter thresholds to the Theodorsen model

In this section, we compare the flutter stability results from the global stability approach with the ones obtained using a *statically calibrated* version of the Theodorsen²⁷ theory. Indeed, if the original Theodorsen model makes the hypothesis of potential flows, it is of common use¹⁴ to empirically extend its range of application to viscous flows by calibrating it using the *steady* viscous lift and moment slopes from table II instead of the original values from thin airfoil theory. In the following, we will use this simple-enough calibration strategy as we believe it offers a fairer comparison of the present computational approach with an analytical Theodorsen(-like) approach. Details of this static calibration procedure are reported in appendix C.

The flutter neutral curves obtained with the global stability approach (solid) and the calibrated Theodorsen model (dashed) are reported in fig. 10(a) for the three Reynolds numbers $\mathcal{Re} = 10$ (brown), $\mathcal{Re} = 100$ (gray) and $\mathcal{Re} = 2900$ (black). First, in the limit of high m , the Theodorsen curves tend towards the viscous curves. This is shown more quantitatively in fig. 10(b) where the relative error between both approaches for the low- U^* flutter boundary is presented. Focusing temporarily on the case $\mathcal{Re} = 2900$ (black), we observe that the Theodorsen model remains fairly close (less than 10% deviation) to the Navier-Stokes prediction as the mass ratio decreases down to $m \simeq 10^2$. For lower values, the critical velocity is increasingly overestimated by Theodorsen, of as much as 35% at $m = 10$. For $\mathcal{Re} = 110$, similar observations can be made. For the lowest Reynolds, $\mathcal{Re} = 10$ (brown), the Theodorsen model fails in predicting the rapid shift of the turning point to higher mass ratios. As a consequence, it predicts the occurrence of flutter for mass ratios $10 \leq m \leq 200$ where it cannot appear according to global stability.

For all three Reynolds numbers, the Theodorsen model predicts a vertical asymptote of the neutral curve for mass ratios between 5 and 10. This is clearly different

from the global stability results that indicate a turning point and subsequent high- U^* restabilization of the flutter mode, as described in the previous section. Some intuition about this observation may be gained by thinking of the Theodorsen model as an asymptotic limit of the global stability approach for large Reynolds numbers. Indeed, if the high- U^* flutter restabilization tends to delay as \mathcal{Re} increases, then it is expected to never occur for $\mathcal{Re} \rightarrow \infty$. This corresponds to the asymptotic behaviour of the Theodorsen model. Overall, the aforementioned discrepancies between the statically calibrated Theodorsen model and the global stability results suggest that significant unsteady viscous effects — that cannot be captured with a *static* calibration of a potential model — come into play at the Reynolds numbers considered here.

IV. EFFECT OF THE STEADY ANGLE OF ATTACK

We end this study by investigating the effect of the steady angle of attack α^0 of the plate on the flutter instability. As this parameter modifies the steady solutions around which the fluid-solid perturbations develop, we first describe the evolution of this steady solution. Results are displayed in fig. 11 for the Reynolds number $\mathcal{Re} = 500$. For low angles of attack, the lift and moment coefficients shown in fig. 11(a) evolve linearly. The steady flow, depicted in fig. 11(b) with the stream-wise velocity, is attached to the plate until $\alpha^0 = 6^\circ$ (except for the small recirculation region visible at the trailing edge). When increasing the angle of attack in $6^\circ < \alpha^0 < 10^\circ$, a flow separation first occurs at the trailing edge and then progressively moves towards the leading edge. The slope of the lift and moment coefficients then diminishes. When they reach their maximal values slightly below $\alpha^0 = 10^\circ$, the flow is fully separated, as seen in fig. 11(b). The recirculation region in the wake of the plate keeps growing when further increasing the angle, leading to a slight decrease of the magnitude of the lift and moment coefficients. This behaviour is similar

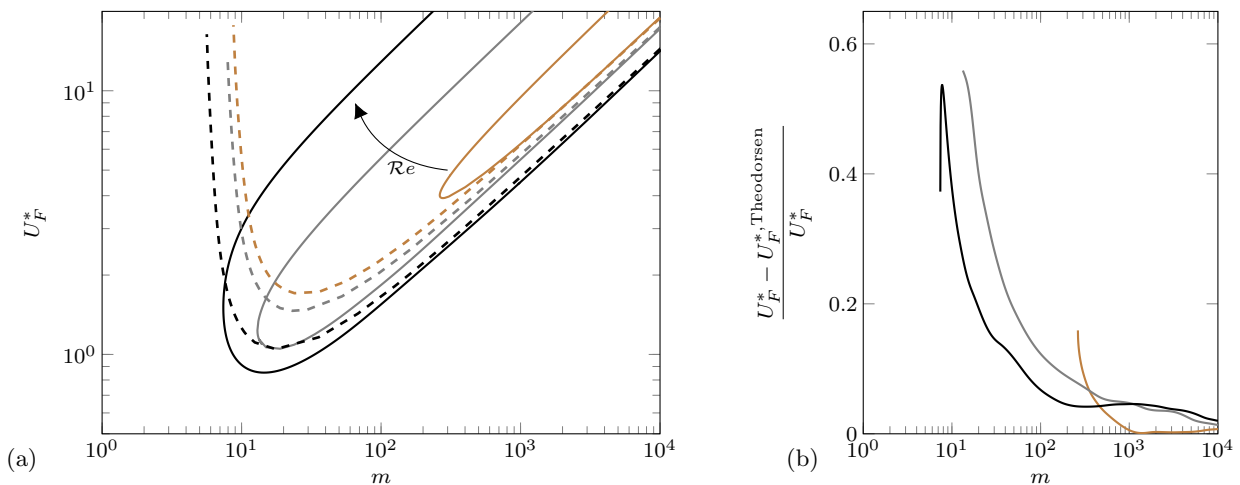


FIG. 10. (a) Comparison of the statically calibrated Theodorsen model (dashed) and the global stability approach (solid) for the prediction of flutter neutral curves at different Reynolds numbers: $\mathcal{R}e = 10$ (brown), $\mathcal{R}e = 110$ (gray), $\mathcal{R}e = 2900$ (black). For a clear identification of the stable/unstable regions, the reader is reported to fig. 8. (b) Relative error for the prediction of the low- U^* flutter threshold between the global stability and calibrated Theodorsen approaches.

to the stall of airfoils that occurs for turbulent flows at higher Reynolds number^{3,4}, but the lift drop is much less pronounced. Such a *light* stall is a known feature of low-to-moderate Reynolds flows around plates¹⁴ or airfoils⁷⁵. A purely-hydrodynamic stability analysis of these steady solutions reveals the destabilization of an unsteady mode for $\alpha^0 \geq 9.8^\circ$. This is a vortex-shedding mode whose frequency $\omega_{\text{wake}} \simeq 4$ can be rescaled with the frontal length $\sin(\alpha^0)$ yielding the Strouhal number $St = 0.68$. The steady flow is thus unstable for $\alpha^0 \geq 9.8^\circ$, as emphasized with the dashed line in fig. 11(a). The steady lift and moment coefficients reported for such high angles should then be interpreted with caution, when comparing with time-averaged results of temporal simulations or experiments. Indeed, the flow unsteadiness is likely to modify the steady flow, so that the mean lift value progressively drift away from the steady lift reported here. To avoid such effects, the subsequent discussion on the flutter instability is restricted to $0^\circ \leq \alpha^0 \leq 10^\circ$.

In fig. 12(a), we present the evolution of the heaving (red curves) and pitching (black curves) eigenvalues as a function of the reduced velocity for different values of the steady angle of attack. The case $\alpha^0 = 0^\circ$ (dashed curves) corresponds to the typical coupled-mode flutter (CMF) scenario already described in section III B. When increasing the reduced velocity, the frequencies of the two modes (bottom figure) approach each other leading to the destabilization of the heaving mode (red dashed curve in top figure). When increasing the angle up to $\alpha^0 = 6^\circ$ (dash-dotted curve), a coupled-mode flutter scenario is also observed but with slight differences. Indeed, the frequency of the two modes gets close to each other for higher reduced velocity, thus delaying the occurrence of flutter. Moreover, increasing the reduced velocity tends to stabilize the heaving mode (red) and to destabilize the pitching mode (black). Thus, it is the pitching mode,

rather than the heaving mode, that gets unstable for that angle. Therefore, as the angle increases in $0^\circ \leq \alpha^0 \leq 6^\circ$, there is a smooth transition from a heaving coupled-mode flutter to a pitching coupled-mode flutter. The critical reduced velocity U_F^* , shown in fig. 12(b), progressively increases with the angle of attack.

For $\alpha^0 \geq 7^\circ$, the coupled-mode flutter scenario is not observed anymore. The destabilization of the pitching mode (black dotted curve, $\alpha^0 = 8^\circ$) still occurs although the heaving and pitching frequencies remain well separated. This is referred to as a *pitching Single-Mode Flutter* scenario (pSMF). Instead of a coupled heaving and pitching motion, the critical mode for pSMF mainly oscillates along the pitching degree of freedom, as illustrated in fig. 13(a). As seen in fig. 12(b), a strong decrease of the critical reduced velocity is observed after the transition from CMF to pSMF around $\alpha^0 \sim 7^\circ$. It is interesting to note that the features reported above have been observed experimentally, for example by Razak, Andrianne, and Dimitriadis⁶, where the flutter instability on a heaving and pitching NACA0012 profile was shown to transition from CMF to pSMF as the static angle of attack is increased. However, the higher Reynolds number ($\mathcal{R}e \simeq 10^5$) and different airfoil profile used in their study does not allow a quantitative comparison with our results.

For $\alpha^0 = 10^\circ$, we notice in fig. 12(a) that, in addition to the pitching mode (solid black line) becoming unstable around $U_F^{* \text{pSMF}} = 4.8$, the heaving mode (solid red line) is also very close to unstable. It actually does become slightly unstable around $U_F^{* \text{hSMF}} = 5.2$, giving rise to a secondary *heaving Single-Mode Flutter* (hSMF), where the eigenmode mainly oscillates along the heaving degree of freedom (see fig. 13(b)). The frequency at criticality is close to the one of the natural heaving mode, *i.e.* $\omega_c^{\text{hSMF}} = \Omega/U_F^{* \text{hSMF}} \sim 0.15$. This low-frequency indi-

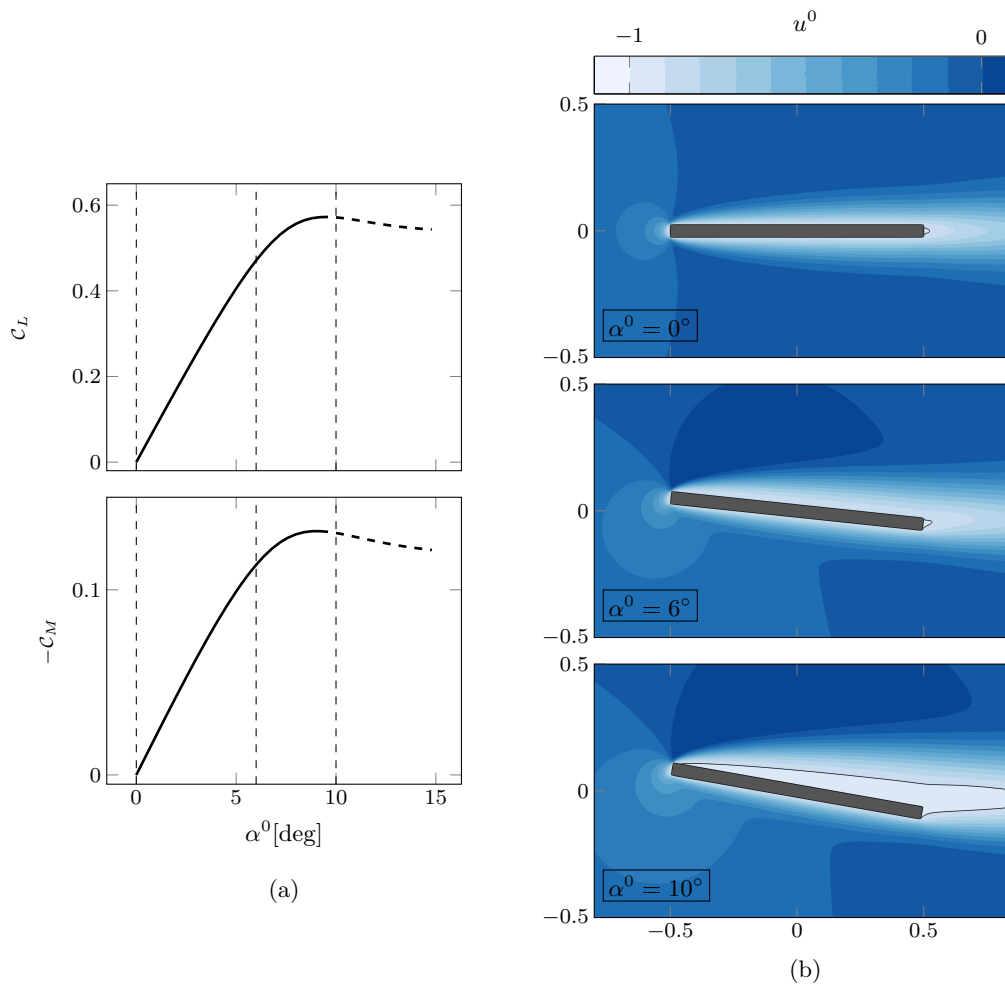


FIG. 11. Characteristics of the steady flow around the fixed plate at $\mathcal{R}e = 500$ and for different angles of attack. (a) Aerodynamic coefficients as a function of the angle of attack α^0 . Stable and unstable solutions are shown with solid and dashed curves, respectively. (b) Streamwise velocity field shown for different angles of attack indicated in the figures and reported in (a) with vertical dashed lines. Black curves delimit the regions of flow recirculation.

cates that hSMF is another aeroelastic instability, well-separated from the high-frequency wake-mode ($\omega_{\text{wake}} = 4$ at $\alpha^0 = 10^\circ$). The critical velocity for hSMF is materialized in fig. 12(b) by the red disk. We should mention that hSMF could have been anticipated already by observing the negative slope of the lift curve fig. 11(a) at $\alpha^0 = 10^\circ$. Indeed, using quasi-steady arguments, it is well-known that a negative steady lift slope may induce negative aerodynamic damping on an only-heaving body, provided the Glauert–Den Hartog criterion is met³², which we verified (not shown) it is here for $\alpha^0 = 10^\circ$.

V. CONCLUSION

In this paper, we revisited the linear stability of a typical aeroelastic section with an incompressible Navier–Stokes flow model. The linear stability of the fluid–solid system was assessed using a global stability approach

which consists in scrutinizing the eigenvalue spectrum of the fluid–solid Jacobian matrix. The latter was obtained by analytically deriving the linearized equations and space-discretizing the Navier–Stokes model with finite elements.

Using this approach, one can capture, with one uniform flow model, various types of fluid–solid instabilities. First, a classical coupled-mode flutter occurred at high-enough reduced velocity due to the convergence of the heaving and pitching frequencies. For even higher velocity, a static divergence instability was found. For low reduced velocities, vortex induced vibrations instabilities occur, as the hydrodynamic wake mode interacts with the natural heaving or pitching mode. By varying the angle of attack of the steady solution, we showed how the flutter instability progressively transitions from a coupled-mode flutter involving both heaving and pitching motions to a single-mode flutter involving only the pitching mode. This transition is concomitant with the appearance of a

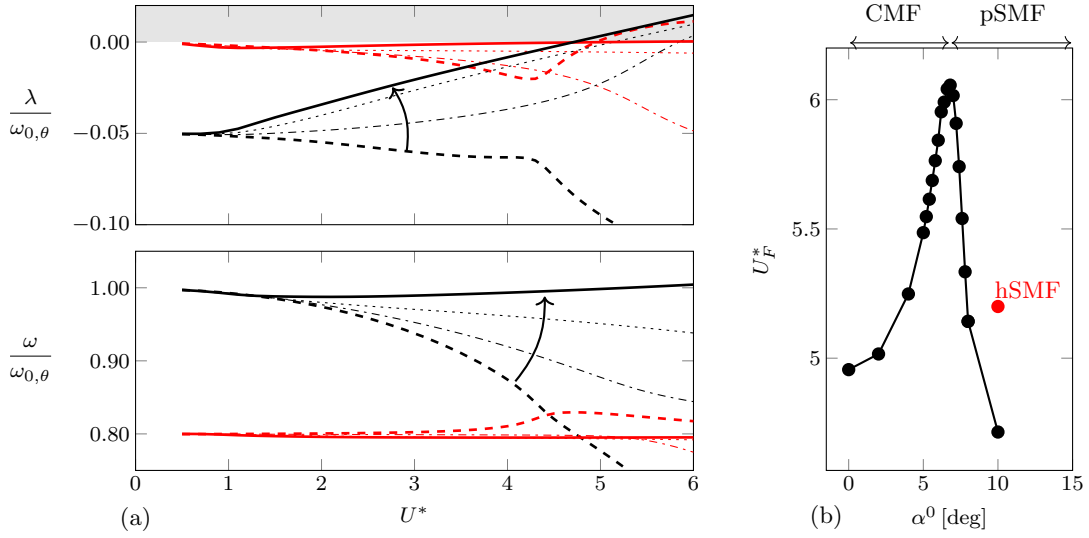


FIG. 12. Transition from Coupled-Mode Flutter (CMF) to pitching Single-Mode Flutter (pSMF) as the steady angle of attack increases ($\mathcal{R}e = 500, m = 10^3$). (a) Evolution of the growth rate (top) and frequency (bottom) as a function of the reduced velocity for the pitching (black) and heaving (red) modes and different steady angles of attack : $\alpha^0 = 0^\circ$ (dashed), 6° (dash-dotted), 8° (dotted) and 10° (solid). (b) Evolution of the critical reduced velocity with the steady angle of attack. When the steady solution is stalled ($\alpha^0 > 9^\circ$), a heaving Single-Mode Flutter (hSMF) also gets unstable.

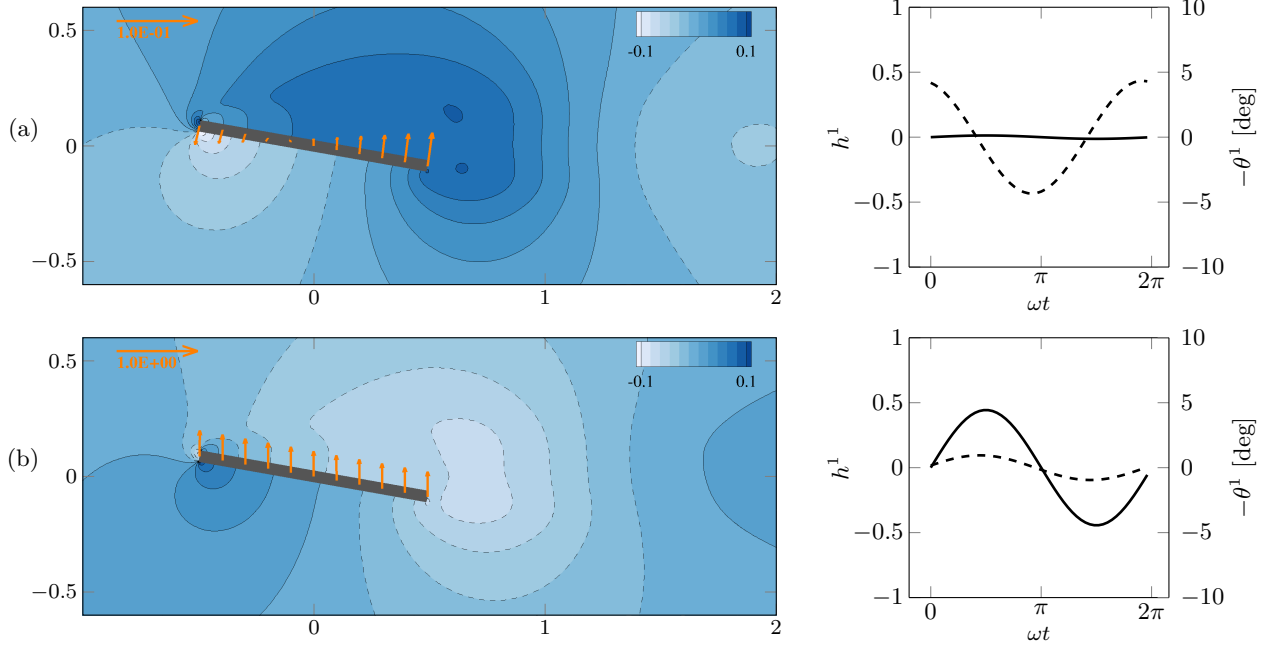


FIG. 13. Critical eigenmodes for (a) pSMF ($U_F^* = 4.8$) and (b) hSMF ($U_F^* = 5.2$) at ($\mathcal{R}e = 500, m = 10^3, \alpha^0 = 10^\circ$). Color codes and legends are identical to fig. 4.

large recirculation region on the plate suction side.

Having identified these different instabilities, neutral curves were presented in the (m, U^*) plane for the case of zero steady angle of attack. For all mass ratios, vortex-induced vibrations occurred on a range of reduced velocities centered around two well-defined values that correspond to the coincidence of the pitching (resp. heaving)

frequencies with the hydrodynamic wake instability frequency. Decreasing the mass ratio tends to widen the range of reduced velocity where vortex-induced vibrations occur. At a particular, low, mass ratio m^{II} (about $m^{II} \sim 10$ at $\mathcal{R}e = 2900$) a codimension-two point is found where both flutter and divergence occur at the same critical reduced velocity. For mass ratios higher

than m^{II} , flutter occurs prior to divergence whereas divergence precedes flutter for mass ratios below m^{II} . In addition, it was shown that for low mass ratios, slightly below m^{II} , the flutter neutral curve features a turning point. The latter implies the existence of a high- U^* flutter boundary above which the flutter mode restabilizes. A study of the effect of the Reynolds number showed that this high- U^* restabilization of the flutter mode is delayed by increasing Re , thus leading us to suggest this unexpected behavior is due to viscosity effects. The exact mechanism at play however remains to be precised. The flutter neutral curves obtained from a calibrated version of the Theodorsen model, using viscous steady lift and moment slopes, were compared to the ones obtained with our fully viscous analysis. In the limit of large mass ratios, where the critical flutter frequency is typically low, the calibrated Theodorsen model well predicts the low- U^* flutter threshold. However, as the mass ratio decreases, such a model increasingly overestimates the threshold, typically of about 35% at $m = 10$ and $Re = 2900$. In addition, the Theodorsen model is not able to predict the turning of the flutter neutral curve and the consecutive high- U^* restabilization observed with global fluid-solid stability. This result further seems to indicate that the high- U^* restabilization is linked to unsteady viscous effects, not taken into by a statically calibrated Theodorsen model.

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DATA AVAILABILITY

The data corresponding to the figures and tables of this manuscript are available on request from the author. In addition, the FreeFEM codes used to compute steady solutions, eq. (7) and solve the fluid-solid stability problem, eq. (8) are available at <https://bitbucket.org/jmoulin/pof2021>.

Appendix A: Definition of the fluid and solid operators in the compact formulations

In this appendix, we provide the detailed expressions of the fluid and solid operators used in the compact formulation of the evolution equation (6), the steady state equation (7) and the eigenproblem (8), for the solid variable $\mathbf{q}_s = (h, \theta, \dot{h}, \dot{\theta})^T$ and the fluid variable $\mathbf{q}_f = (\mathbf{U}, P)^T$.

The mass matrices are defined as

$$\mathcal{M}_s = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{and} \quad \mathcal{M}_f = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

The solid \mathcal{R}_s and fluid \mathcal{R}_f residual vectors in the nonlinear equations (6) and (7) read:

$$\begin{aligned} \mathcal{R}_s(\mathbf{q}_s, \mathbf{q}_f) &= \begin{pmatrix} -\dot{h} \\ -\dot{\theta} \\ 2\zeta_h \frac{\Omega}{U^*} \dot{h} + \frac{\Omega^2}{U^{*2}} h - m^{-1}(\mathcal{C}_L(\mathbf{U}, P, \theta) + \mathcal{C}_{F_{\text{ext}}}) \\ 2\zeta_\theta \frac{1}{U^*} \dot{\theta} + \frac{1}{U^{*2}} \theta - m^{-1}r_\theta^{-2}(\mathcal{C}_M(\mathbf{U}, P) + \mathcal{C}_{M_{\text{ext}}}) \end{pmatrix}, \\ \mathcal{R}_f(\mathbf{q}_s, \mathbf{q}_f) &= \begin{pmatrix} \dot{\theta} \mathbf{e}_z \wedge \mathbf{U} + \nabla \mathbf{U} (\mathbf{U} - \mathbf{W}(\theta, \dot{h}, \dot{\theta})) - \nabla \cdot \boldsymbol{\sigma}(\mathbf{U}, P) \\ \nabla \cdot \mathbf{U} \end{pmatrix}. \end{aligned}$$

where $\boldsymbol{\sigma}$ is the classical Cauchy stress tensor. For convenience, we recall here the definitions of the lift coefficient \mathcal{C}_L , the moment coefficient \mathcal{C}_M computed at the center of mass G located at $\mathbf{X} = (0, 0)$ and of the grid velocity \mathbf{W} :

$$\begin{aligned} \mathcal{C}_L(\mathbf{U}, P, \theta) &= 2 \left\{ \mathbf{R}(\theta) \int_{\Gamma_w} \boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N} d\mathbf{X}_w \right\} \cdot \mathbf{e}_y \\ \mathcal{C}_M(\mathbf{U}, P) &= 2 \int_{\Gamma_w} \left\{ X_w(\boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N})_Y \right. \\ &\quad \left. - Y_w(\boldsymbol{\sigma}(\mathbf{U}, P) \mathbf{N})_X \right\} d\mathbf{X}_w \end{aligned}$$

$$\mathbf{W}(\theta, \dot{h}, \dot{\theta}; \mathbf{X}) = \mathbf{R}(\theta)^T (-1, \dot{h})^T + \dot{\theta} (\mathbf{e}_z \wedge \mathbf{X})$$

The submatrices in the eigenproblem (8) are obtained by linearization of the solid and fluid residual vectors around the solid \mathbf{q}_s^0 and fluid \mathbf{q}_f^0 steady states. For the solid equations, they read:

$$\begin{aligned} \mathcal{I}_{ss}(\mathbf{q}_s^0, \mathbf{q}_f^0) &= \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ \Omega^2 U^{*-2} & -\mathcal{L}_\theta & 2\zeta_h \Omega U^{*-1} & 0 \\ 0 & U^{*-2} & 0 & 2\zeta_\theta U^{*-1} \end{pmatrix} \\ \mathcal{I}_{sf}(\mathbf{q}_s^0, \mathbf{q}_f^0) &= \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ -\mathcal{L}_U & -\mathcal{L}_P \\ -\mathcal{M}_U & -\mathcal{M}_P \end{pmatrix} \end{aligned}$$

where the terms \mathcal{L}_θ , \mathcal{L}_U and \mathcal{L}_P are the derivatives of the lift coefficient \mathcal{C}_L defined as:

$$\mathcal{L}_\theta = m^{-1} \frac{\partial \mathcal{C}_L}{\partial \theta}, \quad \mathcal{L}_U = m^{-1} \frac{\partial \mathcal{C}_L}{\partial \mathbf{U}}, \quad \mathcal{L}_P = m^{-1} \frac{\partial \mathcal{C}_L}{\partial P}$$

while \mathcal{M}_U and \mathcal{M}_P are the derivatives of the moment coefficient \mathcal{C}_M defined as:

$$\mathcal{M}_U = m^{-1} r_\theta^{-2} \frac{\partial \mathcal{C}_M}{\partial \mathbf{U}}, \quad \mathcal{M}_P = m^{-1} r_\theta^{-2} \frac{\partial \mathcal{C}_M}{\partial P}$$

The submatrices for the fluid equations are defined as:

$$\mathcal{J}_{\text{fs}}(\mathbf{q}_s^0, \mathbf{q}_f^0) = \begin{pmatrix} 0 & \mathcal{D}_\theta & \mathcal{D}_h & \mathcal{D}_{\dot{\theta}} \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\mathcal{J}_{\text{ff}}(\mathbf{q}_s^0, \mathbf{q}_f^0) = \begin{pmatrix} \mathcal{A} & \nabla \bullet \\ \nabla \cdot \bullet & 0 \end{pmatrix}.$$

where \mathcal{A} is a short notation for the derivative of the Navier–Stokes momentum equation with respect to the fluid velocity \mathbf{U} :

$$\mathcal{A} = \nabla(\bullet) (\mathbf{U}^0 - \mathbf{W}(0, \theta^0, 0)) + \nabla \mathbf{U}^0 \bullet \\ - \mathcal{R}e^{-1} \nabla \cdot (\nabla \bullet + \nabla \bullet^T)$$

The terms \mathcal{D}_θ , \mathcal{D}_h and $\mathcal{D}_{\dot{\theta}}$ are the so-called *shape derivatives*, i.e. the derivatives of the Navier–Stokes equations with respect to the solid motion:

$$\mathcal{D}_\theta = -\nabla \mathbf{U}^0 \frac{\partial \mathbf{W}}{\partial \theta}, \quad \mathcal{D}_h = -\nabla \mathbf{U}^0 \frac{\partial \mathbf{W}}{\partial h}$$

$$\mathcal{D}_{\dot{\theta}} = -\nabla \mathbf{U}^0 \frac{\partial \mathbf{W}}{\partial \dot{\theta}} + \mathbf{e}_z \wedge \mathbf{U}^0$$

where the derivatives of the grid velocity \mathbf{W} to the solid variables are straightforward to obtain.

Appendix B: Validation: spring-mounted cylinder case

For validation purposes, our linear stability solver was tested on the configuration consisting in the flow around a circular cylinder mounted on a heaving spring, which has been largely documented in the literature^{38,40,41}. To this end, we adapt our set of non-dimensional numbers to the conventions used by Navrose and Mittal⁴⁰, Sabino *et al.*⁴¹, yielding:

$$U^* = \frac{2\pi \tilde{U}_\infty}{\tilde{D} \sqrt{\tilde{K}_h / \tilde{m}}}, \quad m = \frac{4\tilde{m}}{\pi \tilde{\rho} \tilde{D}^2 \tilde{s}}, \quad \mathcal{C}_L = \frac{\tilde{L}}{0.5 \tilde{\rho} \tilde{U}_\infty^2 \tilde{D} \tilde{s}}$$

which gives the following variant of the heaving equation:

$$\frac{d^2 h}{dt^2} + \left(\frac{2\pi}{U^*} \right)^2 h = \frac{2}{\pi m} \mathcal{C}_L$$

The pitching spring, for its part, is kept infinitely rigid. For a particular Reynolds number $\mathcal{R}e = 60$ and mass ratio $m = 20$, we show in fig. 14 the variations of the so-called⁴⁰ fluid (blue) and solid (red) modes as a function of U^* . Our results, marked by open circles, perfectly match the previous work by Sabino *et al.*⁴¹ (dashed lines). In addition, we verify that in the limit of an infinitely rigid heaving spring ($U^* \rightarrow 0$), the fluid mode (blue mode) tends towards the vortex shedding mode classically obtained^{66,76–78} for a fixed cylinder (horizontal blue lines). These results validate our computational approach by showing it accurately reproduces the classical results for a heaving spring-mounted cylinder undergoing vortex-induced vibrations.

Appendix C: Static calibration of the Theodorsen model

In the original work by Theodorsen²⁷, analytical expressions were derived for the fluid lift and moment applied by a potential flow on an infinitely thin flat plate forced to oscillate in pitch and plunge. Using the particular sign and non-dimensionalization conventions adopted in the present work, these read:

$$\mathcal{C}_L = -\frac{\pi}{2} \left[\dot{\theta} + \ddot{h} - a\ddot{\theta} \right] \\ + C(k) \frac{d\mathcal{C}_L^{\text{stat}}}{d\theta} \Big|_{\theta_0} \left[\theta + \dot{h} + \left(\frac{1}{4} - a \right) \dot{\theta} \right]$$

$$\mathcal{C}_M = \frac{\pi}{4} \left[a\ddot{h} - \left(\frac{1}{16} + 2a^2 \right) \ddot{\theta} \right] + \frac{\pi}{4} \left(2a - \frac{1}{2} \right) \dot{\theta} \\ + C(k) \frac{d\mathcal{C}_M^{\text{stat}}}{d\theta} \Big|_{\theta_0} \left[\theta + \dot{h} + \left(\frac{1}{4} - a \right) \dot{\theta} \right] \quad (\text{C1})$$

where a is the nondimensional distance between the elastic axis and the mid-chord (counted positively when the elastic axis is downstream of the mid-chord) — here $a = 0$ —, and where $C(k = \omega/2)$ is the Theodorsen function, which can be analytically expressed using Hankel’s functions. In particular, the rightmost terms in eq. (C1) involve the steady lift and moment slopes at a steady pitching angle θ^0 , $d\mathcal{C}_L^{\text{stat}}/d\theta|_{\theta_0}$ and $d\mathcal{C}_M^{\text{stat}}/d\theta|_{\theta_0}$. In the original theory, the thin airfoil theory values are naturally substituted for the lift and moment slopes:

$$\frac{d\mathcal{C}_L^{\text{stat}}}{d\theta} \Big|_{\theta_0} = -2\pi \quad \frac{d\mathcal{C}_M^{\text{stat}}}{d\theta} \Big|_{\theta_0} = \frac{\pi}{2} (1 + 4a)$$

In the present work, we use instead a simple calibration strategy which consists in replacing the above by their viscous counterparts that can be either obtained experimentally¹⁴ or, in our case, computed numerically. Indeed, by differentiating the lift and moment coefficients, eq. (5), with respect to θ , we obtain:

$$\frac{d\mathcal{C}_L^{\text{stat}}}{d\theta} \Big|_{\theta_0} = \frac{\partial \mathcal{C}_L}{\partial \theta} \Big|_0 + \frac{\partial \mathcal{C}_L}{\partial \mathbf{U}} \Big|_0 \frac{\partial \mathbf{U}^0}{\partial \theta} + \frac{\partial \mathcal{C}_L}{\partial P} \Big|_0 \frac{\partial P^0}{\partial \theta}$$

$$\frac{d\mathcal{C}_M^{\text{stat}}}{d\theta} \Big|_{\theta_0} = 0 + \frac{\partial \mathcal{C}_M}{\partial \mathbf{U}} \Big|_0 \frac{\partial \mathbf{U}^0}{\partial \theta} + \frac{\partial \mathcal{C}_M}{\partial P} \Big|_0 \frac{\partial P^0}{\partial \theta} \quad (\text{C2})$$

The only unknown quantities in (C2) are the steady fluid velocity and pressure increments, $\partial \mathbf{U}^0 / \partial \theta$ and $\partial P^0 / \partial \theta$, due to an unit variation in θ . A linear problem governing these quantities can be derived by differentiating the steady-state Navier–Stokes equations with respect to θ , which yields:

$$\begin{pmatrix} \mathcal{A} & \nabla \bullet \\ \nabla \cdot \bullet & 0 \end{pmatrix} \begin{pmatrix} \partial \mathbf{U}^0 / \partial \theta \\ \partial P^0 / \partial \theta \end{pmatrix} = \begin{pmatrix} -\mathcal{D}_\theta \\ 0 \end{pmatrix}$$

where the operators \mathcal{A} and \mathcal{D}_θ have been previously defined in appendix A. As a consequence, the steady slopes of the lift and moment coefficients at θ^0 can be obtained

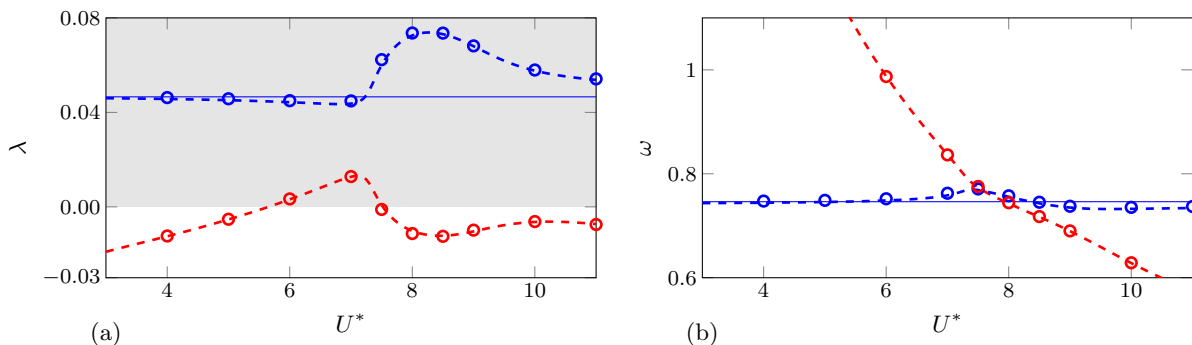


FIG. 14. Validation of the linear stability analysis solver on the spring-mounted cylinder flow ($\mathcal{R}e = 60, m = 20$). The variation with U^* of the growth rate (a) and frequency (b) of the so-called⁴⁰ fluid (blue circles) and solid (red circles) modes are compared with the results of Sabino *et al.*⁴¹ (dashed). The thin horizontal blue lines materialize the vortex shedding mode of the fixed cylinder case.

from the steady-state solution at θ^0 at the (only) additional cost of solving the above linear problem.

For a range of Reynolds numbers between 10 and 10^4 we show in fig. 15 the values of the lift (a) and moment (b) slopes obtained through eq. (C2), showing significant variations away from the thin airfoil theory values materialized by the thin horizontal lines. Two additional remarks must be made. First, it is remarkable that here the thin airfoil theory turns out to fairly predict the flow at a Reynolds close to the critical Reynolds for the wake instability on the fixed plate, $\mathcal{R}e_{c,wake}$ (vertical dashed line). It would be interesting to investigate whether this is pure coincidence, or a more broadly observed feature. Second, one must take the large slopes obtained for $\mathcal{R}e > \mathcal{R}e_{c,wake}$ with caution as we remind that they are computed from *steady* Navier–Stokes solutions which are unstable at those high Reynolds numbers.

In fig. 15(c) we compare the critical flutter velocity predicted by the global stability approach (solid line) and the calibrated Theodorsen model (dashed line with square symbols) for $10 \leq \mathcal{R}e \leq 10^4$ and a mass ratio $m = 10^4$. For such a large mass ratio, the calibration yields a good agreement between both the Theodorsen model and the global stability results. In contrast, the non-calibrated Theodorsen theory (thin horizontal line) is only valid for the particular Reynolds number, that happens to present steady slopes close to the thin airfoil theory predictions. As a consequence, for a large mass ratio such as $m = 10^4$, calibrating the Theodorsen model with steady viscous slopes is well-adapted to capture the variation of the critical velocity due to varying the Reynolds number. This not true anymore for lower mass ratios, as seen in fig. 10.

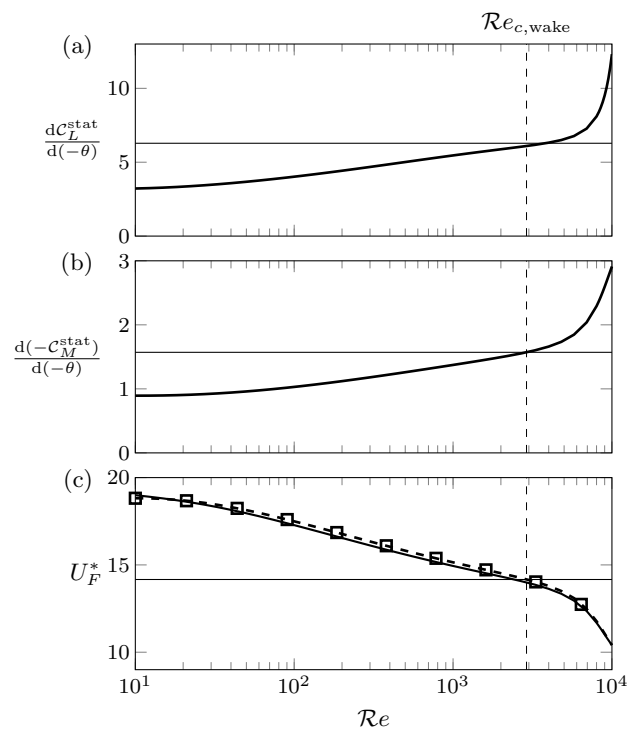


FIG. 15. Calibration of the Theodorsen model with the slopes of the steady $C_L^{stat}(\theta)$ and $C_M^{stat}(\theta)$ curves, evaluated at $\theta_0 = 0$. The slopes of the lift and moment coefficients are shown as a function of $\mathcal{R}e$ in (a) and (b) respectively. In (c), we compare the critical flutter velocity at $m = 10^4$ obtained with full Navier–Stokes (solid line) and the statically calibrated Theodorsen model (dashed line with square symbols). The thin horizontal lines shows the potential flow (a,b) or non-calibrated Theodorsen theory (c) values. The vertical dashed line materializes the critical Reynolds for the wake instability.

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