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### OUTPUT FEEDBACK CONTROL OF A CASCADE SYSTEM OF LINEAR KORTEWEG-DE VRIES EQUATIONS\*

#### CONSTANTINOS KITSOS <sup>§†</sup>, EDUARDO CERPA <sup>‡</sup>, GILDAS BESANÇON <sup>§</sup>, AND CHRISTOPHE PRIEUR<sup>§</sup>

5 Abstract. This paper is about the stabilization of a cascade system of n linear Korteweg-de 6 Vries equations in a bounded interval. It considers an output feedback control placed at the left 7 endpoint of the last equation, while the output involves only the solution to the first equation. 8 The boundary control problems investigated include two cases: a classical control on the Dirichlet 9 boundary condition and a less standard one on its second-order derivative. The feedback control law 10 utilizes the estimated solutions of a high-gain observer system and the output feedback control leads 11 to stabilization for any n for the first boundary conditions case and for n = 2 for the second one.

12 **Key words.** Korteweg-de Vries equation, cascade systems, output feedback control

13 AMS subject classifications. 68Q25, 68R10, 68U05

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14 **1. Introduction.** In this paper, we study the following cascade system of n15 linear Korteweg-de Vries (KdV for short) equations posed in a bounded interval of 16 length L

$$v_t + v_x + v_{xxx} = (A_n - B)v, \text{ in } (0, \infty) \times (0, L),$$

19 where  $v = \begin{pmatrix} v_1 & \cdots & v_n \end{pmatrix}^\top$  is the state and

20 
$$A_{n} = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ & \ddots & \ddots & & \vdots \\ \vdots & & & & 1 \\ 0 & \cdots & & & 0 \end{pmatrix}, \qquad B = \operatorname{diag}(1, 1, \dots, 1, -1)$$

Let us consider two different types of boundary conditions, where the input control uin both of them is placed on the left side and only acts on the *n*-th coordinate of the state.

25 Boundary conditions A (BC-A):

$$\begin{aligned} & v_i(t,0) = 0, i = 1, \dots, n-1, \text{ for all } t > 0, \\ & v_n(t,0) = u(t), \text{ for all } t > 0, \\ & v_n(t,L) = 0, v_x(t,L) = 0, \text{ for all } t > 0. \end{aligned}$$

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28 Boundary conditions B (BC-B):

$$v_{i,xx}(t,0) = 0, i = 1, \dots, n-1, \text{ for all } t > 0,$$

29 (1.2b) 
$$v_{n,xx}(t,0) = u(t)$$
, for all  $t > 0$ ,

30 
$$v(t,L) = 0, v_x(t,L) = 0, \text{ for all } t > 0.$$

In order to complete our control system, we add an initial condition given by

32 (1.3) 
$$v(0,x) = v^0(x), x \in (0,L)$$

<sup>34</sup> and a distributed measurement given by the following output

35 (1.4) 
$$y(t,x) = Cv(t,x);$$

The nonlinear version of a single KdV equation describes propagation of waters with small amplitude in closed channels. It was introduced in 1895 and since then its properties have gained much consideration, see for instance [6]. Surveys on recent progresses and open problems on control and stabilization of such models can be found in [26] and [3].

The aim of the present work is to stabilize the cascade system (1.1) considering 43 any of the boundary control problems (BC-A) and (BC-B) and by utilizing the knowl-44 45 edge of the first state only, while the other states are estimated via an observer. Notice that this system is unstable due to the instability of the trajectory corresponding to 46 its last equation, as it can be seen by following classical energy arguments. In the 47 recent decades, stabilization of single KdV equations has gained significant interest, 48see for instance [4], where backstepping method is used for feedback controls placed 49on the left boundary, see also [29, 7, 27, 13]. Output feedback laws for single linearized 5051and nonlinear KdV equations have been already established via boundary observers in [21, 23] (see also [28, 11, 14, 2]), by means of backstepping and Lyapunov techniques. In these two works, the measurement injected in the observer involves the right end-53 point of the domain, more precisely, the second derivative of the boundary or the 54Dirichlet condition, depending on the boundary conditions. Output feedback control laws for systems written in the cascade form considered here have not yet appeared 56 in the literature, while controllability of coupled KdV equations but with couplings, 57 different from the ones studied here (particularly, internal couplings in one-order de-58rivatives), describing strong interactions of weakly nonlinear long waves, have been investigated for instance in [5]. However, coupling in zero-order internal terms, rep-60 resented by matrix  $A_n$ , might result from the linearization of coupled nonlinear KdV equations of some forms appearing in [20], describing oceanic and atmospheric phe-62 nomena, such as the atmospheric blockings, the interactions between the atmosphere and ocean, the oceanic circulations, and hurricanes (see system (27)-(28) and model 64 5, therein, according to the well-known Painlevé classification), see also the Hirota-65 Satsuma model [12] and [10] for multicomponent KdV equations (related to the weak 66 nonlinear dispersion). For these systems, it is often difficult to control and observe di-67 68 rectly all the equations. Also, general settings of coupled infinite-dimensional systems with couplings in zero-order terms, as the ones considered here, have been studied with respect to their controllability and observability properties, when considering 70 reduced numbers of controls and observations, see [1], [19]. In those works, the au-71thors have shown that the problem of control of undeactuated systems with reduced 72

<sup>73</sup> number of observations is quite challenging. Furthermore, placing the control on the

second derivative of the left boundary, as in the considered second boundary control

- 75 problem is even more original and its investigation exhibits some technical difficulties,
- 76 for which solutions are proposed in the present work. To the best of our knowledge, 77 boundary control problems of this second type have not appeared in the literature.
- boundary control problems of this second type have not appeared in the literature.
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Here, we aim at observing the full state of a system of KdV equations written in a cascade form and finally controlling it, by considering a single observation. Observer design for nonlinear systems of partial differential equations written in such a form, 80 based on the well-known high-gain methodology, have been considered, for instance 81 in [17, 16, 18], in the framework of first-order hyperbolic systems, extending results 82 for finite-dimensional systems [15]. A similar form considered here, in its linearized 83 84 version, allows an observer design, which relies on a choice of a sufficiently large parameter in its equations, while appropriate choice of the latter leads simultaneously 85 to the closed-loop output feedback stabilization. In summary, the contribution of the 86 present work first lies in stabilizing the trajectory of the last equation by means of an 87 observer relying on the measurement of the first state only. The control placed on the 88 left boundary, combined with the observer gain, brings this trajectory asymptotically 89 to zero in an arbitrarily fast manner (first part of Theorem 3.2). Subsequently, it 90 is proven that 1) the whole cascade system becomes asymptotically stable for any 91 L > 0, when boundary condition (BC-A) is considered, and 2) this result holds for 92 boundary condition (BC-B), only when n = 2 (number of equations), noting that for 93 n > 2, stabilization is achieved for quite small L (last part of Theorem 3.2). The 94 95 methodology relies on backstepping techniques and appropriate Lyapunov analysis. Exponential stabilization for (BC-B) is proven here to be linked to the solvability of an 96 ordinary differential equations problem, similar to the differential equation satisfied 97 by the eigenvectors of the associated differential operator to these KdV equations, 98 and being subject to some constraints. 99

In Section 2 we prove a preliminary result on the stability of a single damped KdV equation and then we prove the full state stabilization of the cascade system for both boundary condition problems. In Section 3, we first present the observer design for the coupled system and finally the main output feedback stabilization result. In Section 4, we provide conclusions and some perspectives.

**2. Full State Feedback Stabilization.** In this section, we study the full state feedback stabilization of system (1.1) for boundary control problems (BC-A) and (BC-B).

108 **2.1. Stability of a single KdV equation.** Prior to the stabilization of the 109 cascade system, we present a preliminary result about the stability of a single damped 110 linear KdV equation, which will be invoked in the sequel. Consider a single KdV 111 equation in the domain (0, L)

$$\lim_{t \to 3^{-1}} (2.1) \qquad \qquad w_t + w_x + w_{xxx} + \lambda w = 0, \text{ in } (0, \infty) \times (0, L),$$

114 satisfying one of the following distinct cases of boundary conditions

115 (2.2a) 
$$w(t,0) = w(t,L) = w_x(t,L) = 0, t > 0,$$

$$\lim_{t \to 1} (2.2b) \qquad \qquad w_{xx}(t,0) = w(t,L) = w_x(t,L) = 0, t > 0,$$

and initial condition of the form

 $\lim_{t \ge 0} (2.3) \qquad \qquad w(0,x) = w^0(x), x \in (0,L).$ 

121 The stability result for solutions w to the above problem is presented in the following

propositions. Although asymptotic stability assuming boundary conditions (2.2a) is ensured for every  $\lambda > 0$ , for (2.2b) asymptotic stability is guaranteed only when  $\lambda \ge \lambda_0$ 

for some  $\lambda_0 > 0$ . These results are stated precisely in the next two propositions and

125 will be used throughout this work.

126 PROPOSITION 2.1. Consider system (2.1) with boundary conditions (2.2a) and 127 initial condition  $w^0 \in L^2(0, L)$ . Then for all  $\lambda > 0$ , we have

$$\|w(t,\cdot)\|_{L^2(0,L)} \le e^{-\lambda t} \|w^0(\cdot)\|_{L^2(0,L)}, t \ge 0,$$

130 for every L > 0.

Proposition 2.1 concerning boundary conditions (2.2a) is a standard result and can be derived from energy estimates. Well-posedness of this equation is presented in Appendix B.1. Let us note here, that asymptotic stability for this case can be proven even when the damping is not constant in the domain but localized to a part of it, see for instance [24], and even when the damping is saturated, see [22].

To proceed to the stability result for boundary conditions (2.2b), we utilize the following lemma.

LEMMA 2.2. There exists  $\lambda_0 > 0$ , such that the following assertions hold true.

139 Assertion 1: For every  $\lambda \ge \lambda_0$ , there exist  $\pi(\cdot)$  in  $C^3(0,\infty)$  and b > 0, such that 140 the following holds for all  $x \ge 0$ 

141 (2.5) 
$$\begin{cases} \pi'''(x) + \pi'(x) - 2\lambda\pi(x) = -2b\pi(x), \\ \pi''(0)\pi(0) + (\pi'(0))^2 + \pi^2(0) \le 0, \\ \pi(x) > 0, \\ \pi'(x) \ge 0. \end{cases}$$

142

143 Assertion 2: For every  $\lambda \in (0, \lambda_0)$ , there exist  $\overline{L}, b > 0$  and  $\pi(\cdot)$  in  $C^3(0, \infty)$ 144 satisfying (2.5) for all  $x \in [0, \overline{L}]$ .

145 *Proof.* See Appendix A

146 The following proposition concerns the second case of boundary conditions.

147 PROPOSITION 2.3. Consider system (2.1) with boundary conditions (2.2b) and 148 initial condition  $w^0 \in L^2(0, L)$ . Then, there exists  $\lambda_0 > 0$ , such that:

149 1) For all  $\lambda \ge \lambda_0$ , there exist a, b > 0, such that the solution to (2.1)-(2.3)-(2.2b) 150 satisfies the following:

$$\|w(t,\cdot)\|_{L^2(0,L)} \le ae^{-bt} \|w^0(\cdot)\|_{L^2(0,L)}, t \ge 0,$$

153 for every L > 0.

154 2) For all  $\lambda \in (0, \lambda_0)$ , there exist  $\overline{L}$ , a, b > 0 such that (2.6) is satisfied for all 155  $L \in (0, \overline{L}]$ .

156 *Proof.* In this context, we are interested by unique solutions w beloging to

157  $C(0,\infty; L^2(0,L))$ . Well-posedness of the initial boundary value problem (2.1)-(2.3)-158 (2.2b) can be easily proven by invoking classical arguments, although these boundary 159 conditions are less common in the literature. More details about the well-posedness 160 of such systems are presented in Appendix B.1.

161 To prove the stability result, let us consider the following weighted  $L^2$ -norm

162  
163 
$$E(t) := \int_0^L \pi(x) w^2(x) \mathrm{d}x$$

along the  $L^2$  solutions to (2.1)-(2.3)-(2.2b), for some appropriate choice of positive 164  $\pi(\cdot) \in C^3[0,L]$ . Calculating its time-derivative and applying integrations by parts, 165166 we obtain

167 
$$\dot{E}(t) = \int_0^L \left(\pi'''(x) + \pi'(x) - 2\lambda\right) w^2(x) dx - 3 \int_0^L \pi'(x) w_x^2(x) dx + \left[ \left( -\pi''(x) - \pi(x) \right) w^2(x) - 2\pi(x) w_{xx}(x) w(x) + \pi(x) w_x^2(x) \right] dx$$

168 
$$+ \left[ (-\pi''(x) - \pi(x)) w^2(x) - 2\pi(x) w_{xx}(x) w(x) + 2\pi'(x) w_x(x) w(x) \right]_0^L.$$

168

Substituting boundary conditions (2.2b) we get 171

172 
$$\dot{E}(t) = \int_0^L \left(\pi'''(x) + \pi'(x) - 2\lambda\right) w^2(x) dx - 3 \int_0^L \pi'(x) w_x^2(x) dx$$

173 
$$- \begin{pmatrix} w_x(0) & w(0) \end{pmatrix} \begin{pmatrix} \pi(0) & -\pi'(0) \\ -\pi'(0) & -\pi(0) - \pi''(0) \end{pmatrix} \begin{pmatrix} w_x(0) \\ w(0) \end{pmatrix}$$

To ensure the exponential decay of E(t), we invoke assertions of Lemma 2.2 for  $\pi(\cdot)$ , 174for which we assume that it satisfies (2.5). By Assertions 1 and 2, the second integral 175and the third boundary term of the above equation become nonpositive and we obtain 176the existence of a constant b > 0, such that 177

$$E(t) \le -2bE(t)$$

and, therefore, (2.6) holds with  $a = \sqrt{\frac{\pi(L)}{\pi(0)}}$ . This completes the proof of Proposi-180tion 2.3. 181

**2.2. Full state stabilization.** Following the previous results, we are in a po-182sition to study the closed-loop stabilization. Here, the considered state feedback 183 controls, which are placed in the last equation, will be proven to be of the following 184form for each of the problems (BC-A) and (BC-B) 185

186 (2.7a) (BC-A): 
$$u(t) = \int_0^L p(0, y) v_n(t, y) dy$$
,

187 (2.7b) (BC-B): 
$$u(t) = -\frac{\omega+1}{3}Lv_n(t,0) + \int_0^L p_{xx}(0,y)v_n(t,y)dy$$

with  $\omega > 0$  to be chosen appropriately and kernel function  $p: \Pi \to \mathbb{R}$  depending on 189  $\omega$ , where  $\Pi := \{(x, y); x \in [0, L], y \in [x, L]\}.$ 190

We now present the exponential decay result of the solution v to the cascade 191system (1.1) via the control (2.7), which utilizes the full state. The proof uses back-192stepping tools appearing in [4], [3] for single KdV equations. 193

THEOREM 2.4. Consider system (1.1) with boundary conditions (BC-A) or (BC-194 B), feedback control laws of the form (2.7a) or (2.7b), respectively, and initial condi-195 tion  $v^0 \in L^2(0, L)^n$ . 196

a) If (BC-A) holds and  $n \ge 2$ , then for every L > 0, there exist constants c, d > 0, 197such that the solution v to (1.1) satisfies the following 198

$$\|v\|_{L^2(0,L)^n} \le ce^{-dt} \|v^0\|_{L^2(0,L)^n}, \forall t \ge 0.$$

b) If (BC-B) holds and n = 2, then for every L > 0, there exist constants c, d > 0, 201 such that solution v to (1.1) satisfies (2.8). 202

c) If (BC-B) holds and n > 2, then there exists  $\overline{L} > 0$ , such that (2.8) is guaran-203204 teed for all  $L \in (0, \overline{L}]$ .

*Proof.* The well-posedness of controlled system (1.1) with boundary conditions 205 206 (BC-A) or (BC-B) is shown in Appendix B.1.

We first prove a preliminary result concerning the exponential stability of  $v_n$ . We 207apply a Volterra transformation  $\mathcal{T}: L^2(0,L) \to L^2(0,L)$  of the form 208

209 (2.9) 
$$z(x) = \mathcal{T}[v_n](x) := v_n(x) - \int_x^L p(x, y) v_n(y) dy$$

to the solution to the last equation of the cascade system, with p defined on  $\Pi$ . Under 211appropriate choice of  $p(\cdot, \cdot)$ , we prove that this transformation maps solution  $v_n$  to 212 213 the trajectory z satisfying the following target equation in  $[0,\infty) \times [0,L]$ 

214 (2.10) 
$$z_t + z_x + z_{xxx} + \omega z = 0,$$

215 
$$(BC-A, z) : z(t, 0) = z(t, L) = z_x(t, L) = 0$$

216 (BC-B, z): 
$$z_{xx}(t,0) = z(t,L) = z_x(t,L) = 0.$$

with control given by (2.7). Indeed, performing standard differentiations and integra-218 tions by parts (for more intuition about such operations, the reader can refer to [4]), 219

we derive the following equations 220

221 
$$z_t(t,x) + z_x(t,x) + z_{xxx}(t,x) + \omega z(t,x) =$$

222 
$$-\int_{x}^{L} (p_{xxx}(x,y) + p_{yyy}(x,y) + p_{y}(x,y) + (\omega+1)p(x,y)) v_{n}(t,y) dy$$

223 
$$+ p(x,L)v_{n,xx}(t,L) + p(x,L)v_n(t,L) + p_{yy}(x,L)v_n(t,L) - p_y(x,L)v_{n,x}(t,L)$$

224 
$$+ \left(\omega + 1 + \frac{\mathrm{d}^2}{\mathrm{d}x^2}p(x,x) + \frac{\mathrm{d}}{\mathrm{d}x}p_x(x,x) + p_{xx}(x,x) - p_{yy}(x,x)\right)v_n(t,x)$$
225 
$$+ \left(p_x(x,x) + p_y(x,x) + 2\frac{\mathrm{d}}{\mathrm{d}x}p(x,x)\right)v_{n,x}(t,x).$$

By choosing  $p(\cdot, \cdot)$  satisfying the following equations 227

228 (2.11) 
$$\begin{cases} p_{xxx} + p_{yyy} + p_x + p_y + (\omega + 1)p = 0, & (x, y) \in \Pi \\ p(x, x) = p(x, L) = 0, & x \in [0, L] \\ p_x(x, x) = \frac{\omega + 1}{3}(L - x), & x \in [0, L] \end{cases}$$

we achieve to obtain target system (2.10) for both boundary problems (BC-A, z) and 230 (BC -B, z). Solutions to (2.11) are proven to be unique in  $C^{3}(\Pi)$  in [4], by following 231successive approximation methods. The feedback control u is easily checked to satisfy 232(2.7), if we use (2.9) and also calculate the value of the second derivative, viz. 233

234 
$$z_{xx}(x) = v_{n,xx}(x) + \frac{d}{dx}p(x,x)v_n(x) + p(x,x)v_{n,x}(x) + p_x(x,x)v_n(x) - \int_0^L p_{xx}(x,y)v_n(y)dy$$

237 for x = 0.

Now, as we saw in Propositions 2.1 and 2.3 of the previous subsection, solution 238z to target system (2.10) is asymptotically stable for every L > 0, if  $\omega > 0$  when 239 (BC A, z) holds and if  $\omega \geq 1$  when (BC B, z) holds. This implies the asymptotic 240stability of  $v_n$ , solution to (1.1), with control given by (2.7) for each of the boundary 241

problems (BC-A) and (BC-B). The latter follows from the fact that, as proven in 242 [4], transformation (2.9), mapping solution  $v_n$  to z, is bounded and invertible with 243bounded inverse. So, for every  $\bar{d} > 0$ , there exist  $\omega_0, \bar{c} > 0$ , such that for all  $\omega \ge \omega_0$ , 244we have 245

$$\|v_n\|_{L^2(0,L)} \le \bar{c}e^{-\bar{d}t} \|v_n^0\|_{L^2(0,L)}, \forall t \ge 0$$

To prove the asymptotic stability of the full state, consider vector  $v_{[n-1]}$  := 248  $\begin{pmatrix} v_1 & \cdots & v_{n-1} \end{pmatrix}^{\top}$ . Then,  $v_{[n-1]}$  satisfies the following equations 249

250 (2.13) 
$$\begin{cases} v_{[n-1],t} + v_{[n-1],x} + v_{[n-1],xxx} = (A_{n-1} - I_{n-1})v_{[n-1]} + \ell v_n, \\ (BC-A, v_{[n-1]}) : v_{[n-1]}(t,0) = v_{[n-1]}(t,L) = v_{[n-1],x}(t,L) = 0, \\ (BC-B, v_{[n-1]}) : v_{[n-1],xx}(t,0) = v_{[n-1]}(t,L) = v_{[n-1],x}(t,L) = 0, \end{cases}$$

where

$$\ell := \begin{pmatrix} 0 & \cdots & 0 & 1 \end{pmatrix}^{+}$$

To prove stability of this system, consider a Lyapunov functional of the following form 252

253  
254 
$$W(t) = \int_0^L \pi(x) |v_{[n-1]}(x)|^2 \mathrm{d}x$$

along the  $L^2(0,L)^{n-1}$  solutions  $v_{[n-1]}$  to the last equations, where  $\pi(\cdot)$  is a positive increasing  $C^3$  function to be chosen. After substistuting the above equations satisfied 255256 by  $v_{[n-1]}$  and applying integrations by parts, we obtain for the time-derivative of W 257

258  

$$\dot{W}(t) = \int_{0}^{L} (\pi'''(x) + \pi'(x)) |v_{[n-1]}(x)|^{2} dx - 3 \int_{0}^{L} \pi'(x) |v_{[n-1],x}(x)|^{2} dx$$
259  

$$- \int_{0}^{L} \pi(x) v_{[n-1]}^{\top}(x) \left(2I_{n-1} - A_{n-1}^{\top} - A_{n-1}\right) v_{[n-1]}(x) dx$$
260  
261  

$$+ 2 \int_{0}^{L} \pi(x) v_{n-1}(x) v_{n}(x) dx + W_{0},$$

260

261

262 with

263 
$$W_{0} := \left[ -(\pi''(x) + \pi(x))|v_{[n-1]}(x)|^{2} + \pi(x) \left( |v_{[n-1],x}(x)|^{2} - 2v_{[n-1],xx}^{\top}(x)v_{[n-1]}(x) \right) + 2\pi'(x)v_{[n-1],x}^{\top}(x)v_{[n-1]}(x) \right]_{0}^{L}.$$

Matrix  $2I_{n-1} - A_{n-1}^{\top} - A_{n-1}$  is positive definite and its eigenvalues are

$$\rho := 2 - 2\cos\frac{\pi j}{n}, j = 1, \dots, n - 1.$$

Hence, its minimal eigenvalue is given by 266

267 (2.15) 
$$\rho_n := \lambda_{\min}(2I_{n-1} - A_{n-1}^\top - A_{n-1}) = 2 - 2\cos\frac{\pi}{n}, \mathbb{N} \ni n \ge 2.$$

Since  $\pi'(x) \ge 0$ , by use of Young's inequality we obtain 269

270 
$$\dot{W}(t) \le \int_0^L (\pi'''(x) + \pi'(x) - \rho_n \pi(x)) |v_{[n-1]}(x)|^2 \mathrm{d}x$$

271  
272 
$$+ 2\delta \int_0^L \pi(x) |v_{[n-1]}(x)|^2 \mathrm{d}x + \frac{1}{2\delta} \int_0^L \pi(x) v_n^2 \mathrm{d}x + W_0$$

and  $\delta > 0$  is chosen sufficiently small as in (A.4) in the proof of Lemma 2.2 of the previous subsection.

Now, we choose  $\pi(\cdot)$  for each of the two boundary problems as follows. For (BC-A,  $v_{[n-1]}$ ) we choose

$$\pi(\cdot) = 1.$$

From this, taking also into account the exponential stability of  $v_n$  (2.12), we get for the case (BC-A,  $v_{[n-1]}$ ) the following estimate

278 (2.16) 
$$\dot{W}(t) \le -2dW(t) + \frac{1}{2\delta}\pi(L)\bar{c}^2 e^{-2\bar{d}t} \|v_n^0\|_{L^2(0,L)}^2$$

280 with  $d = \rho_n / 2 - \delta$ .

For (BC-B,  $v_{[n-1]}$ ) we choose a positive and increasing  $\pi(\cdot)$  satisfying (2.5) (see 281 Assertion 1 in Lemma 2.2) with  $\lambda = \frac{\rho_n}{2} - \delta$  and b > 0. It turns out by Assertion 1 282that there is  $\pi(\cdot)$  and b > 0 satisfying this equation for any L > 0, when  $\lambda = 1 - \delta$ , 283284corresponding to  $\rho_2 = 2$  (for n = 2). Then, the exponential decay of the Lyapunov 285 functional is ensured similarly as in Proposition 2.3. More precisely, there exists d > 0, such that for all L > 0, (2.16) is satisfied for (BC-B,  $v_{[n-1]}$ ) as well. Also, as shown 286in Proposition 2.3, for n > 2, which renders  $\rho_n < 2$ , (2.16) is satisfied for some  $\pi(\cdot)$ , 287b > 0, only when  $0 < L \leq \overline{L}$ , with  $\overline{L}$  depending on n. 288

Combining the above results, from (2.16), which holds for both (BC-A,  $v_{[n-1]}$ ) and (BC-B,  $v_{[n-1]}$ ), we derive by Gronwall's inequality

291 (2.17) 
$$W(t) \le e^{-2dt}W(0) + \frac{\pi(L)\bar{c}^2}{4\delta(d-\bar{d})} \left(e^{-2\bar{d}t} - e^{-2dt}\right) \|v_n^0\|_{L^2(0,L)}^2$$

recalling also, that  $\bar{d}$  depending on the parameter  $\omega$  of the control laws, can be chosen, such that  $\bar{d} > d$ . Combining (2.17) and (2.12), we get

295 
$$\|v\|_{L^2(0,L)^n} \le \|v_{[n-1]}\|_{L^2(0,L)^{n-1}} + \|v_n\|_{L^2(0,L)} \le \sqrt{\frac{\pi(L)}{\pi(0)}} e^{-dt} \|v_{[n-1]}(0,\cdot)\|_{L^2(0,L)^{n-1}}$$

296  
297 
$$+ \frac{\bar{c}\sqrt{\pi(L)}}{2\sqrt{\pi(0)\delta(\bar{d}-d)}} \sqrt{e^{-2dt} - e^{-2\bar{d}t}} \|v_n^0\|_{L^2(0,L)} + \bar{c}e^{-\bar{d}t} \|v_n^0\|_{L^2(0,L)}.$$

298 The last inequality leads to (2.8) for a suitable choice of c.

This concludes the proof and shows, also, that although the exponential convergence to zero of  $v_n$  can become arbitrarily fast by the choice of parameter  $\omega$  inside the controls, solution v to the whole cascade system has a fixed convergence rate.

*Remark* 2.5. Note that in the above proof, parameter  $\rho_n$  in (2.15), depending 302 on n, does not permit the stabilization of the closed-loop system to be achieved for 303 any number of equations n, when the length of the domain L is arbitrary. As it 304 305 was shown in Proposition 2.3, the damped KdV equation in the case of boundary conditions of the type (BC-B) requires a damping with coefficient  $\lambda$  larger than a 306 307 critical damping coefficient  $\lambda_0$ . The parameter  $\rho_n$ , which appears in the stabilization of the closed-loop system corresponding to the damping coefficient, is decreasing with 308 n. For n > 2 the stabilization cannot be ensured for any L > 0, since, because of 309 310  $\rho_n$ , the damping coefficient becomes lower than the critical one, while for n=2, the damping coefficient of the coupled equation is exactly equal to the critical one. 311

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312 3. Observer Design and Output Feedback Stabilization. In this section, 313 we first present the proposed observer, along with its convergence proof for each of the boundary control problems (BC-A) and (BC-B). Then, we study the output feeedback 314 stabilization of system (1.1) with controls placed on the left boundaries as described in 315 each of problems (BC-A) and (BC-B). We note here that, even though the considered 316 system is linear, the use of the high-gain observer design is instrumental in the output 317 feedback control in the two following manners and is based on the methodology [17], 318 introduced for quasilinear hyperbolic systems. 1) For (BC-B), the choice of the high-319 gain parameter is needed to establish convergence of the observer, contrarily to a 320 simpler Luenberger observer design, which would be sufficient for (BC-A); 2) The 321 high-gain parameter is used in the stabilization of the closed-loop system for both 322 323 boundary control problems (BC-A) and (BC-B).

In the following subsection we present the observer for the cascade system, whose exponential stability relies on the result presented in Proposition 2.3 of Section 2.

326 **3.1. Observer.** Define, first, diagonal matrix  $\Theta_n$  by

327 
$$\Theta_n := \operatorname{diag}\left(\theta, \theta^2, \dots, \theta^n\right),$$

where  $\theta > 0$  represents a gain, which will be selected later. Consider a vector gain  $K_n = (k_1 \cdots k_n)^\top$  and let  $P \in \mathbb{R}^{n \times n}$  be a symmetric and positive definite matrix satisfying a quadratic Lyapunov equation of the form

331 (3.1) 
$$P(A_n + K_nC) + (A_n + K_nC)^{\top}P = -I_n.$$

The previous equation is always feasible, due to the observability of the pair  $(A_n, C)$ . Then, our observer is defined to satisfy the following equations in  $(0, \infty) \times (0, L)$ 

$$334 \quad (3.2) \quad \hat{v}_t(t,x) + \hat{v}_x(t,x) + \hat{v}_{xxx}(t,x) = (A_n - B)\hat{v}(t,x) - \Theta_n K_n \left( y(t,x) - C\hat{v}(t,x) \right)$$

with boundary conditions for each of (BC-A) and (BC-B) as follows

$$\hat{v}_{i}(t,0) = 0, i = 1, \dots, n-1, \text{ for all } t > 0,$$

$$(BC-A): \quad \hat{v}_{n}(t,0) = u(t), \text{ for all } t > 0,$$

$$\hat{v}(t,L) = \hat{v}_{x}(t,L) = 0, \text{ for all } t > 0,$$

$$\hat{v}_{i,xx}(t,0) = 0, i = 1, \dots, n-1, \text{ for all } t > 0,$$

$$\hat{v}_{i,xx}(t,0) = 0, i = 1, \dots, n-1, \text{ for all } t > 0,$$

$$(BC-B): \quad \hat{v}_{n,xx}(t,0) = u(t), \text{ for all } t > 0,$$

 $\hat{v}(t,L) = \hat{v}_x(t,L) = 0$ , for all t > 0.

and initial condition

$$\hat{v}(0,x) = \hat{v}^0(x), x \in (0,L).$$

340 The main observer result is stated in the following theorem.

THEOREM 3.1. Consider system (1.1) with output (1.4) and boundary conditions satisfying (1.2) ((BC-A) or (BC-B)) and  $v^0 \in L^2(0,L)^n$ ,  $u \in L^2_{loc}(0,\infty)$ . Consider, also, P and  $K_n$  satisfying a Lyapunov equation as in (3.1). Then, (3.2), with boundary conditions (3.3) and initial condition  $\hat{v}^0 \in L^2(0,L)^n$  is an observer for solution of (1.1), in the sense that for  $\theta$  large it estimates the state v arbitrarily fast. More precisely, for every  $\kappa > 0$ , there exist  $\theta_0$ , such that for every  $\theta > \theta_0$ , the following holds for all  $v^0, \hat{v}^0 \in L^2(0,L)^n, t \ge 0$ :

348 (3.4) 
$$\|\hat{v}(t,\cdot) - v(t,\cdot)\|_{L^2(0,L)^n} \le \nu \theta^{n-1} e^{-\kappa t} \|\hat{v}^0(\cdot) - v^0(\cdot)\|_{L^2(0,L)^n},$$

350 with  $\nu > 0$ , depending on n and L.

351 *Proof.* First, we prove in Appendix B.2 that observer system (3.2)-(3.3) is well-352posed. Then, to prove its asymptotic convergence to the state v, let us define a scaled 353 observer error  $\varepsilon$  by

$$\mathfrak{z}_{355}^{54} \quad (3.5) \qquad \qquad \mathfrak{z} = \Theta_n^{-1}(\hat{v} - v).$$

356 Then,  $\varepsilon$  satisfies the following equations

$$\varepsilon_t + \varepsilon_x + \varepsilon_{xxx} = \theta(A_n + K_n C)\varepsilon - B\varepsilon$$

and boundary conditions for each of the cases (BC-A) and (BC-B) as follows 359

360 (3.7a) 
$$\varepsilon(t,0) = \varepsilon(t,L) = \varepsilon_x(t,L) = 0,$$

$$\varepsilon_{xx}(t,0) = \varepsilon(t,L) = \varepsilon_x(t,L) = 0.$$

We expect that solutions to the previous coupled equations can approach zero 363 exponentially fast, since  $A_n + K_n C$  being Hurwitz will exhibit a damping effect (as 364 in the single KdV equation), with its magnitude being controlled by  $\theta$ . Indeed, to 365 prove exponential stability, we choose the following Lyapunov functional defined on 366 the  $L^2(0,L)^n$  solutions to the observer error equations 367

368 (3.8) 
$$V(t) := \int_0^L \mu(x) \varepsilon^\top(x) P \varepsilon(x) \mathrm{d}x,$$

with positive  $\mu(\cdot) \in C^3[0, L]$  to be chosen suitably for each of the boundary conditions 370 371 cases. Taking its time-derivative and substituting (3.6) and Lyapunov equation (3.1), 372 yields

373 
$$\dot{V}(t) = \int_0^L \mu(x) \left[ -\partial_x^3 \left( \varepsilon^\top(x) P \varepsilon(x) \right) - \partial_x \left( \varepsilon^\top(x) P \varepsilon(x) \right) + 3\partial_x \left( \varepsilon_x^\top(x) P \varepsilon_x(x) \right) \right]$$

$$\frac{374}{75} \qquad -\theta \varepsilon^\top(x) \varepsilon(x) - 2\varepsilon^\top(x) P B \varepsilon(x) dx.$$

375

Performing successive integrations by parts, we obtain 376

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$$\dot{V}(t) \leq \int_0^L \left( \mu'''(x) + \mu'(x) + \left( -\theta \frac{1}{|P|} + 2 \frac{|P|}{\lambda_{\min}(P)} \right) \mu(x) \right) \varepsilon^\top(x) P \varepsilon(x) dx$$
378  
379  

$$-3 \int_0^L \mu'(x) \varepsilon_x^\top(x) P \varepsilon_x(x) dx + V_0,$$

where 380

398

381 
$$V_0 := \left[ \left( -\mu''(x) - \mu(x) \right) \varepsilon^\top(x) P \varepsilon(x) - \mu(x) \left( \varepsilon_{xx}^\top(x) P \varepsilon(x) + \varepsilon^\top(x) P \varepsilon_{xx}(x) \right) \right]^L$$

$$\frac{382}{383} \quad (3.9) \qquad \qquad +\mu(x)\varepsilon_x^{\top}(x)P\varepsilon_x(x) + \mu'(x)(\varepsilon_x^{\top}(x)P\varepsilon(x) + \varepsilon^{\top}(x)P\varepsilon_x(x))\Big]_0^L$$

and  $\lambda_{\min}(P)$  is the minimal eigenvalue of P. 384

Let us now choose for boundary conditions case (3.7a)385

$$\frac{386}{387}$$
 (3.10)  $\mu(\cdot) := 1,$ 

for which we obtain 388

$$V_0 = -\varepsilon_x^{\top}(0) P \varepsilon_x(0) \leq 0.$$

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Note that given (3.10) for the boundary conditions case (3.7a), for every  $\theta > \theta_{0,A}$ , with

$$\theta_{0,A} := 2 \frac{|P|^2}{\lambda_{\min}(P)}$$

395 we get

393 394

$$\dot{V}(t) \le -2\kappa_A V(t), t \ge 0,$$

398 for some  $\kappa_A > 0$ .

Considering boundary conditions of case (3.7b), (3.9) is written as

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401 
$$V_0 := - \begin{pmatrix} \varepsilon_x^\top(0) & \varepsilon^\top(0) \end{pmatrix} \begin{pmatrix} P\mu(0) & P\mu'(0) \\ P\mu'(0) & -P\left(\mu''(0) + \mu(0)\right) \end{pmatrix} \begin{pmatrix} \varepsilon_x(0) \\ \varepsilon(0) \end{pmatrix}$$

402 For this case (3.7b), we see here that for all

403  
404 
$$\theta \ge \theta_{0,B} := 2 \frac{|P|^2}{\lambda_{\min}(P)} + 2|P|,$$

405 Assertion 1 ((2.5) in Lemma 2.2) is satisfied with  $\mu(\cdot)$  in the place of  $\pi(\cdot)$ ,  $\lambda =$ 406  $\theta \frac{1}{2|P|} - \frac{|P|}{\lambda_{min}(P)}$ ,  $\lambda_0 = 1$  and  $b = \kappa_B$  for some  $\kappa_B > 0$ . For all  $\theta \ge \theta_0$ , we choose, 407 therefore,  $\pi(\cdot) = \mu(\cdot)$  satisfying (2.5) and we derive again (3.11) satisfied for every 408  $\theta \ge \theta_{0,B}$ , with  $\kappa_A$  substituted by  $\kappa_B$ .

Combining the previous estimates, we directly obtain (3.4) with

$$\nu := \sqrt{\frac{\mu(L)}{\mu(0)}} \sqrt{\frac{|P|}{\lambda_{\min}(P)}}$$

and this concludes the proof of Theorem 3.1.

**3.2.** Output feedback stabilization. Next, it is proven that plugging the ob-410 server's state considered in Theorem 3.1 in the feedback laws (2.7) of the previous 411 section, the closed-loop system is stabilized. This is done in two steps. First, it is 412 proven that the considered output feedback law stabilizes arbitrarily fast the solution 413 of the last KdV equation and second, the stabilization of the whole cascade system 414 of KdV equations follows. However, for system with boundary conditions (BC-B), 415 stabilization for any L is only achieved when n = 2, corresponding to a cascade sys-416 tem of two equations, while for n > 2, stabilization is achieved for small L, following 417 the result of Proposition 2.3 of the previous section. Even if this case is restrictive, 418 419we find several physical applications, where only two coupled equations appear in the model, see [20]. These statements are presented in the following theorem. 420

421 THEOREM 3.2. Consider the closed-loop system (1.1)-(3.2), output (1.4), and 422 boundary conditions being of the form (BC-A) or (BC-B). Then, for any  $\bar{d} > 0$ , 423 there exist an output feedback law u(t) of the form (2.7), where v is substituted by 424 the observer state  $\hat{v}$ , and constants  $\theta_0, \omega_0, \bar{c} > 0$ , such that for any design parameters 425  $\theta > \theta_0, \omega > \omega_0$  (with  $\theta$  involved in the observer and  $\omega$  involved in the control laws), 426 the closed-loop system solution with  $v^0, \hat{v}^0 \in L^2(0, L)^n$  satisfies the following stability 427 inequality (on the estimation error and last observer state)

- 432 Moreover, whenever the previous assertion holds, we get the following (full state 433 convergence)
- 434 a) When boundary conditions (BC-A) hold with  $n \ge 2$ , for every L > 0, there 435 exist constants c, d > 0, such that solutions  $v, \hat{v}$  satisfy the following
- 437 (3.13)  $\|\hat{v} v\|_{L^2(0,L)^n} + \|\hat{v}\|_{L^2(0,L)^n}$

$$\leq ce^{-dt} \left( \|\hat{v}^0 - v^0\|_{L^2(0,L)^n} + \|\hat{v}^0\|_{L^2(0,L)^n} \right), \forall t \geq 0.$$

440 with d depending on n.

441 b) When boundary conditions (BC-B) hold with n = 2, for every L > 0, there 442 exist constants c, d > 0, such that solutions  $v, \hat{v}$  satisfy (3.13).

443 c) When (BC-B) holds, with n > 2 there exists L > 0 small, such that asymptotic 444 stability (3.13) is guaranteed for all  $L \in (0, \overline{L}]$ .

445 *Proof.* To address the closed-loop control problem, let us rewrite observer error 446 and observer coupled equations, viz. (see (3.6), (3.2))

$$\begin{cases} 447 \\ 448 \end{cases} (3.14) \qquad \begin{cases} \varepsilon_t + \varepsilon_x + \varepsilon_{xxx} = -\theta(A_n + K_n C)\varepsilon - B\varepsilon, \\ \hat{v}_t + \hat{v}_x + \hat{v}_{xxx} = -(A_n - B)\hat{v} + \theta\Theta_n K_n\varepsilon_1 \end{cases}$$

449 with boundary conditions (3.7), (3.3).

Let us perform a Volterra transformation to the solution of the n-th equation of the observer, which by (3.2) is written as

$$\hat{v}_{n,t} + \hat{v}_{n,x} + \hat{v}_{n,xxx} = \hat{v}_n + k_n \theta^{n+1} \varepsilon_1.$$

454 The Volterra transformation

455 (3.16) 
$$q(x) = \mathcal{T}[\hat{v}_n](x) := (k_n \theta^{n+1})^{-1} \hat{v}_n(x) - (k_n \theta^{n+1})^{-1} \int_x^L p(x, y) \hat{v}_n(y) \mathrm{d}y,$$

under appropriate choice of  $p(\cdot, \cdot)$  maps (3.15) into target system

$$\begin{array}{l} 458 \\ 459 \end{array} \quad (3.17) \qquad \qquad q_t + q_x + q_{xxx} = -\omega q + \varepsilon_1 - \int_x^L p(x,y)\varepsilon_1(t,y) \mathrm{d}y, \end{array}$$

with  $\omega$  a constant involved in the controller, and boundary conditions for each of the two considered cases as follows

462 (3.18a) 
$$q(t,0) = q(t,L) = q_x(t,L) = 0,$$

463 (3.18b) 
$$q_{xx}(t,0) = q(t,L) = q_x(t,L) = 0.$$

Then, the kernel functions  $p(\cdot, \cdot)$  satisfy (2.11) for both problems (3.18a), (3.18b). It is easy to check this if we apply successive differentiations of (3.16) as in Theorem 2.4, we obtain the above target system, by choosing  $p(\cdot, \cdot)$  satisfying (2.11). Subsequently, the output feedback control  $u(\cdot)$  for (BC-A) is given by

469 (3.19a) 
$$u(t) = \int_0^L p(0, y) \hat{v}_n(t, y) dy,$$

471 and for (BC-B),

472 (3.19b) 
$$u(t) = -\frac{\omega+1}{3}L\hat{v}_n(t,0) + \int_0^L p_{xx}(0,y)\hat{v}_n(t,y)dy.$$

474 As noticed in proof of Theorem 2.4, it has been proven that the kernel equations (2.11) are solvable in  $\Pi$  and the corresponding Volterra transformation is bounded 475476 and injective with bounded inverse.

Consider now the Lyapunov function 477

 $U_1(t) = U_{1,1}(t) + U_{1,2}(t);$ 

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$$U_{1,1}(t) := \int_0^L \mu(x) \varepsilon^\top(x) P \varepsilon(x) \mathrm{d}x, U_{1,2}(t) := \int_0^L \sigma(x) q^2(x) \mathrm{d}x,$$

along the solutions to (3.6)-(3.7) and (3.17)-(3.18), where  $U_{1,1}$  is the same as (3.8) of Theorem 3.1 and  $\sigma(\cdot)$  is a positive  $C^3$  increasing function in [0, L] to be chosen later. 481 482 Taking the time-derivative of  $U_{1,2}$  and substituting (3.17), we infer 483

484 
$$\dot{U}_{1,2}(t) = \int_0^L \left(\sigma'''(x) + \sigma'(x) - 2\omega\right) q^2(x) dx - 3 \int_0^L \sigma'(x) q_x^2(x) dx$$
  
485 
$$+ 2 \int_0^L \sigma(x) q(x) \varepsilon_1(x) dx - 2 \int_0^L \sigma(x) q(x) \int_0^L p(x,y) \varepsilon_1(y) dy dx$$

$$+2\int_0^{\infty}\sigma(x)q(x)\varepsilon_1(x)dx - 2\int_0^{\infty}\sigma(x)q(x)\int_x^{\infty}q(x)dx + \left[\left(-\frac{\pi''(x)}{2}-\frac{\pi''(x)}{2}\right)-\frac{\pi''(x)}{2}\right]^2$$

486 
$$+ \left[ \left( -\sigma''(x) - \sigma(x) \right) q^{2}(x) - 2\sigma(x)q_{xx}(x)q(x) + \sigma(x)q_{x}^{2}(x) + 2\sigma'(x)q_{x}(x)q(x) \right]_{0}^{L} .$$

$$+2\sigma'(x)q_x(x)q(x)$$

By using 489

490 
$$2\int_{0}^{L} \sigma(x)q(x)\int_{x}^{L} p(x,y)\varepsilon_{1}(y)\mathrm{d}y\mathrm{d}x \leq U_{1,2}(t) + \sigma(L)\int_{0}^{L} \left(\int_{x}^{L} p(x,y)\varepsilon_{1}(y)\mathrm{d}y\right)^{2}\mathrm{d}x$$
  
491 
$$\leq U_{1,2}(t) + L^{2}\sigma(L)\max_{x,y\in[0,L]} p^{2}(x,y)\int_{0}^{L} \varepsilon_{1}^{2}(y)\mathrm{d}y$$

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$$\leq U_{1,2}(t) + L^2 \frac{\sigma(L)}{\mu(0)\lambda_{\min}(P)} \max_{x,y \in [0,L]} p^2(x,y) U_{1,1}(t)$$

494 we get

495 
$$\dot{U}_{1,2}(t) \leq \int_0^L \left(\sigma'''(x) + \sigma'(x) - 2(\omega - 1)\right) q^2(x) dx - 3 \int_0^L \sigma'(x) q_x^2(x) dx + h U_{1,1}(t) + \left[ \left(-\sigma''(x) - \sigma(x)\right) q^2(x) - 2\sigma(x) q_{xx}(x) q(x) + \sigma(x) q_x^2(x) \right] dx$$

497 (3.20) 
$$+2\sigma'(x)q_x(x)q(x)]_0^L$$
,

where  $h := \left(L^2 \max_{x,y \in [0,L]} p^2(x,y) + 1\right) \frac{\sigma(L)}{\mu(0)\lambda_{\min}(P)}$ . We can prove that for each of the two cases of boundary conditions we get 499500

$$50^{1}_{2}$$
 (3.21)  $U_{1}(t) \leq -2\bar{d}U_{1}(t).$ 

Case (BC-A): 503

We choose  $\mu(\cdot) = \sigma(\cdot) = 1$  and we obtain: 504

$$\dot{U}_{1,2}(t) \le -2(\omega - 1)U_{1,2}(t) + hU_{1,1}(t).$$

As seen in Theorem 3.1, for  $\mu(\cdot) = 1$ , we have: 507

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509 
$$\dot{U}_{1,1}(t) \le \left(-\theta \frac{1}{|P|} + 2\frac{|P|}{\lambda_{min}(P)}\right) U_{1,1}(t).$$

510 Combining the last two equations, if we choose  $\theta, \omega$  as follows:

511 (3.22) 
$$\theta > h|P| + 2\frac{|P|^2}{\lambda_{min}(P)}, \omega > 1,$$

513 we get a  $\overline{d} > 0$ , such that (3.21) holds.

514 **Case (BC-B):** 

515 We see for this case of boundary conditions that for all

516 (3.23) 
$$\theta \ge \theta_0 := 2 \frac{|P|^2}{\lambda_{min}(P)} + h|P| + 2|P|,$$

518 Assertion 1 ((2.5) in Lemma 2.2) is satisfied with  $\mu(\cdot)$  in the place of  $\pi(\cdot)$ ,  $\lambda = 6\frac{1}{2|P|} - \frac{|P|}{\lambda_{min}(P)} - \frac{h}{2}$ ,  $\lambda_0 = 1$ . For all  $\theta \ge \theta_0$ , we choose, therefore,  $\mu(\cdot)$  satisfying (2.5) 520 and we get that the first term of the right hand side of

521 
$$\dot{U}_1(t) \leq \int_0^L \left(\mu'''(x) + \mu'(x) - 2\left(\theta \frac{1}{2|P|} - \frac{|P|}{\lambda_{min}(P)} - \frac{h}{2}\right)\mu(x)\right)\varepsilon^\top(x)P\varepsilon(x)dx$$
  
523  $+ \dot{U}_{1,2}(t), t \geq 0.$ 

524 becomes negative. Similarly, for every

 $\omega \geq 2,$ 

we can find  $\sigma(\cdot) = \pi(\cdot)$  satisfying (2.5), with  $\lambda = \omega - 1$  and  $\lambda_0 = 1$  and by virtue of Proposition 2.3, right hand side of (3.20) becomes negative. Hence, returning to  $\dot{U}_1$  and choosing  $\theta \ge \theta_0$  and  $\omega \ge 2$ , we can always find  $\mu(\cdot), \sigma(\cdot)$  as in Assertion 1 of Lemma 2.2, in a such way that we always get a  $c_2 > 0$ , satisfying again (3.21).

529 Consequently, for each of the two problems (BC-A) and (BC-B), for each  $\bar{d} > 0$ 530 we can find  $\theta, \omega$ , chosen as before in such a way that there exists constant  $\gamma > 0$ 531 depending polynomially on  $\theta$ , such that

$$\lim_{533} \|\hat{v} - v\|_{L^2(0,L)^n} + \|q\|_{L^2(0,L)} \le \gamma e^{-\bar{d}t} \left( \|\hat{v}^0 - v^0\|_{L^2(0,L)^n} + \|q(0,\cdot)\|_{L^2(0,L)} \right), \forall t \ge 0.$$

Transformation  $\mathcal{T}$  is bounded with bounded inverse (see the comments in Theorem 2.4) and, therefore, we obtain an inequality as (3.12).

Remark 3.3. The previous calculations indicate that gain  $\theta$  appearing in observer 536 system (3.2)-(3.3) is crucial in the stabilization of the closed-loop system. Indeed, in 537(3.23), we see that choice of  $\theta$  compensates for some terms appearing therein. The 538dependence of the terms on the eigenvalues of matrix P indicates that a simpler Luenberger observer with pole placement would not suffice for the stabilization of 540541the closed-loop system. These terms play the role of the nonlinearities, appearing in the Lyapunov derivative used for the observer error in high-gain observer designs for 542finite-dimensional systems. Although in finite dimension, a pole-placement observer 543is enough for linear systems, in the present framework of infinite dimension, a design 544similar to high-gain observers in finite-dimension is required.

We are now in a position to prove the closed-loop stability for the whole system following the methodology of Theorem 2.4. Let  $\hat{v}_{[n-1]} := (\hat{v}_1 \cdots \hat{v}_{n-1})^\top$ . Then,  $\hat{v}_{[n-1]}$ satisfies the following equations

$$\hat{v}_{[n-1],t} + \hat{v}_{[n-1],x} + \hat{v}_{[n-1],xxx} = (A_{n-1} - I_{n-1})\hat{v}_{[n-1]} + \ell\hat{v}_n + \Theta_{n-1}K_{n-1}(\hat{v}_1 - v_1), 
549 (BC-A, \hat{v}_{[n-1]}) : \hat{v}_{[n-1]}(t,0) = \hat{v}_{[n-1]}(t,L) = \hat{v}_{[n-1],x}(t,L) = 0 
550 (BC-B, \hat{v}_{[n-1]}) : \hat{v}_{[n-1],xx}(t,0) = \hat{v}_{[n-1]}(t,L) = \hat{v}_{[n-1],x}(t,L) = 0$$

 $\begin{pmatrix} 0 & 1 \end{pmatrix}^{\top}$  and  $\Theta_{n-1}, K_{n-1}$  are involved in observer (3.2). where  $\ell := \begin{pmatrix} 0 & \cdots \end{pmatrix}$ By choosing 552

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554 
$$U_2(t) = \int_0^L \pi(x) |\hat{v}_{[n-1]}(x)|^2 \mathrm{d}x$$

as a Lyapunov functional along the  $L^2(0,L)^{n-1}$  solutions to the last equations, with 555  $\pi(\cdot)$  a positive increasing  $C^3$  function, we obtain 556

557 
$$\dot{U}_{2}(t) = \int_{0}^{L} (\pi'''(x) + \pi'(x)) |\hat{v}_{[n-1]}(x)|^{2} dx - 3 \int_{0}^{L} \pi'(x) |\hat{v}_{[n-1],x}(x)|^{2} dx$$
  
558  $-2 \int_{0}^{L} \pi(x) \hat{v}_{[n-1]}^{\top}(x) \text{Sym} (I_{n-1} - A_{n-1}) \hat{v}_{[n-1]}(x) dx$ 

559 560

$$+2\int_{0}^{L}\pi(x)\hat{v}_{n-1}(x)\hat{v}_{n}(x)\mathrm{d}x+2\int_{0}^{L}\pi(x)\hat{v}_{[n-1]}^{\top}\Theta_{n-1}K_{n-1}(\hat{v}_{1}-v_{1})\mathrm{d}x+U_{2,0},$$

where  $U_{2,0}$  is as  $W_0$  in (2.14) (see the proof of Theorem 2.4), while  $v_{[n-1]}$  is substituded 561by  $\hat{v}_{[n-1]}$ . Applying Young's inequality, we get 562

563 
$$\dot{U}_{2}(t) \leq \int_{0}^{L} \left(\pi'''(x) + \pi'(x) - \left(\rho_{n} - 2\delta\right)\pi(x)\right) |\hat{v}_{[n-1]}(x)|^{2} \mathrm{d}x$$
  
564 
$$(3.24) + \frac{1}{\delta} \int_{0}^{L} \pi(x)\hat{v}_{n}^{2}(x)\mathrm{d}x + \frac{1}{\delta}\theta^{2n-2}|K_{n-1}|^{2} \int_{0}^{L} \pi(x)|\hat{v}_{1}(x) - v_{1}(x)|^{2}\mathrm{d}x + U_{2,0}$$

 $\delta J_0$  $J_0$ 565 with  $\delta > 0$  chosen sufficiently small, as in (A.4), determined in the proof of Lemma 2.2 566

of previous section, and  $\rho_n$  defined in (2.15). 567

Now, to ensure negativity of the Lyapunov derivative, we choose  $\pi(\cdot)$  for each of 568 the two boundary problems as follows. 569

Case (BC A,  $\hat{v}_{[n-1]}$ ): 570

372

$$\pi(\cdot) = 1$$

Then, in conjunction with the previously proven equation (3.12), we get from (3.24)573

where  $d := \rho_n - 2\delta > 0$  and 576

577 (3.26) 
$$m := \frac{1}{\delta} \pi(L) \bar{c}^2 \max\left(1, \theta^{2n-2} |K_{n-1}|^2\right).$$

Case (BC B,  $\hat{v}_{[n-1]}$ ): 579

For boundary conditions (BC B,  $\hat{v}_{[n-1]}$ ), to obtain an asymptotic stability result, 580we first check that for n = 2, we have  $\rho_n = 2$ . For this  $\rho_2$ , proof of Lemma 2.2 581suggests that there exists  $\pi(\cdot)$  satisfying (2.5) for some b > 0, with the same  $\pi(\cdot)$ , 582 $\lambda = \frac{\rho_2}{2} - \delta$ . Then, a similar inequality as (3.25) is satisfied for all L > 0, d = b and 583m as in (3.26). Additionally, following Assertion 2 in the proof of Lemma 2.2, we see 584that for any n > 2, implying  $\rho_n < 2$ , there exist again  $\pi(\cdot)$ , d = b > 0, such that (2.5) 585holds for  $L \in (0, L]$ . 586

Now, we see that for both boundary problems (BC-A) and (BC-B), (3.25) gives 587

588 
$$U_2(t) \le e^{-2dt} W(0) + \frac{m}{2d - 2\bar{d}} (e^{-2\bar{d}t} - e^{-2dt}) \left( \|\hat{v}^0 - v^0\|_{L^2(0,L)^n} + \|\hat{v}_n^0\|_{L^2(0,L)} \right)^2$$

The latter implies 590

(3.27)

588

591 
$$U_2(t) \le \left(e^{-2dt} + \frac{m}{2d - 2\bar{d}}(e^{-2\bar{d}t} - e^{-2dt})\right) \left(\|\hat{v}^0 - v^0\|_{L^2(0,L)^n} + \|\hat{v}^0\|_{L^2(0,L)^n}\right)^2.$$

Recalling that d depends on the adjustable observer parameter  $\theta$ , we suppose, without loss of generality, that can be chosen such that d > d, so that the previous inequality 594has meaning.

Now, using trivial inequalities and by virtue of (3.27) and (3.12), we easily get: 596

597 
$$\|\hat{v} - v\|_{L^{2}(0,L)^{n}} + \|\hat{v}\|_{L^{2}(0,L)^{n}} \leq \|\hat{v} - v\|_{L^{2}(0,L)^{n}} + \|\hat{v}_{n}\|_{L^{2}(0,L)} + \|\hat{v}_{[n-1]}\|_{L^{2}(0,L)^{n-1}}$$
  
598 
$$\leq \left[\bar{c}e^{-\bar{d}t} + \frac{1}{\sqrt{\pi(0)}}\sqrt{e^{-2dt} + \frac{m}{2d - 2\bar{d}}(e^{-2\bar{d}t} - e^{-2dt})}\right]$$

$$\leq \left[ \bar{c}e^{-dt} + \frac{1}{\sqrt{\pi(0)}} \sqrt{e^{-2dt} + \frac{m}{2d - 2\bar{d}}} (e^{-2\bar{d}t} - e^{-2dt} \\ \times \left( \|\hat{v}^0 - v^0\|_{L^2(0,L)^n} + \|\hat{v}^0\|_{L^2(0,L)^n} \right).$$

The latter completes the proof of Theorem 3.2, suggesting also that the asymptotic 601 602 rate of the whole closed-loop cascade system is no larger than d, which is decreasing with n, contrary to the asymptotic rate for the last state  $v_n$ , which is adjusted by the 603 observer and control parameters. 604 Π

*Remark* 3.4. The considered stabilization problem of under-actuated and under-605 606 observed cascade systems of KdV equations was here limited to the linear case, and special forms of couplings. Even though stabilization results of the original nonlinear 607 KdV equation can be found (see survey [3]), or observer results for some infinite-608 dimensional systems with nonlinearities (satisfying some "triangular structure"), as 609 in [16, 18], extensions of our output feedback stabilization to more general couplings 610 and/or nonlinearities are quite challenging, and are thus left for future studies: a 611 612 strong difficulty comes from the coefficients of system's differential operator, where the presence of distinct elements raises problems related to the notion of algebraic 613 solvability, which has been given attention in [1, 19] and other works of the same 614 authors. In the Lyapunov-based approach we have considered, this problem translates 615into the lack of a commutative property between a Lyapunov matrix and coefficients of 616 system's differential operator. Handling nonlinearities in one-order term (for instance 617 terms  $v_i v_{i,x}$  or even couplings of this type between the equations) and zero-order 618 term at the same time is also part of the challenge, as this commutative property 619 would not be fulfilled. We also refer to [9], where some open problems concerning 620 such coupling are presented, while the reader can understand the difficulties in the 621 622 controllability analysis of under-actuated systems with nontrivial coefficients of the 623 differential operators and the presence of nonlinearities.

624 Notice yet that it could be possible to adopt an indirect approach, based on our previous approaches [18], to deal with the case where the one-order and dispersion 625 terms would be of the form  $A_1v_x + A_2v_{xxx}$ , for some  $A_1, A_2 \in \mathbb{R}^{n \times n}$ . Consideration of 626 linear lower triangular couplings of one-order and third-order terms would be feasible 627 as well, but more general cases remain open. 628

629 Notice also that this under-observed problem being already challenging, the case when only a boundary measurement is available (instead of an internal one, at least 630 localized to a part of the domain) is even more difficult: even though a solution does 631 exist for a single equation and boundary measurement [23], it does not easily extends 632 633 to the case of coupled KdV equations, via a backstepping and a single measurement instead of a distributed one. For the case of n coupled equations, a backstepping approach that would lead to an exponentially stable observer error system would fail, even for n = 2, if the observations were fewer than the states. In addition, the control problem of under-actuated systems by itself is a hard problem, and if  $2 \times 2$  systems have local solutions via backstepping, see [8], they concern hyperbolic systems where a

639 dissipative target system is feasible. For the coupled KdV equations, the exponentially 640 stable target system for the observer error would be needed to be a damped system,

641 which cannot be achieved by a single observation.

642 Some possible generalizations of the present framework, as the ones described 643 before, will be a subject of our future works.

**4. Conclusion.** In this work, output feedback stabilization for a class of cascade system of linear KdV equations was achieved. Two boundary control problems, with controls placed on the left side of the last equation, were investigated. Distributed measurement of the first state was considered, which provided an estimation (using a high-gain observer) of the states fed in the control laws. The cascade system is stabilized for both boundary problems, but with a limitation on the number of equations and length of the domain for the second one.

Future developments might include the same stabilization framework, but with more general couplings (in zero-order and one-order derivative terms), including localized terms and nonlinearities.

Appendix A. Proof of Lemma 2.2. To prove Assertions 1 and 2 of
 Lemma 2.2, it is more convenient to write the characteristic equation of the differential
 equation in (2.5) as

$$r^3 + r - s^3 - s = 0$$

(as in [25], a technique used to solve the characteristic equation of the KdV operator),where

$$s^3 + s = 2\lambda - 2b$$

and considering s being the real root of the latter equation. Then, solutions to (A.1) are given by

$$r_1 = s, r_2 = -\frac{s}{2} + i\frac{\sqrt{3s^2 + 4}}{2}, r_3 = -\frac{s}{2} - i\frac{\sqrt{3s^2 + 4}}{2}$$

and, therefore, unique solutions to the differential equation in (2.5) are given by

664 (A.3) 
$$\pi(x) = \alpha e^{sx} + \beta e^{-\frac{s}{2}x} \cos \frac{\sqrt{3s^2 + 4}}{2} x + \gamma e^{-\frac{s}{2}x} \sin \frac{\sqrt{3s^2 + 4}}{2} x$$

with  $\alpha, \beta, \gamma \in \mathbb{R}$  chosen, such that restriction on initial conditions in (2.5) is satisfied. We can check numerically that there exists a number  $\epsilon > 0$  near zero, such that for  $s \ge 1 - \epsilon, \pi(\cdot)$  given by (A.3) with initial conditions  $\pi(0) = 4, \pi'(0) = 2, \pi''(0) = -5$ (corresponding to  $\alpha = 56/25, \beta = 44/25, \gamma = 8/25$ ) is positive and increasing and, therefore, it satisfies (2.5). Defining a small constant  $\delta > 0$  by

$$\delta := \frac{\epsilon^3}{5} - \frac{3\epsilon^2}{5} + \frac{7\epsilon}{5},$$

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FIG. 1. Solutions to (2.5) for different s

we see from (A.2) that for  $s \ge 1 - \epsilon$  we have  $\lambda \ge \lambda_0 := 1 - \delta$  for choice  $b = \frac{11}{16}\lambda$ . 673 Thus, for all  $\lambda \geq \lambda_0$ , there exists b > 0, such that conditions (2.5) are satisfied. 674 Hence, Assertion 1 is proven to hold for  $\lambda_0 = 1 - \delta$ , where  $\delta$  is defined above. Now, 675 notice that for  $s < 1 - \epsilon$ , corresponding to  $\lambda < \lambda_0$ , and for any initial condition of 676  $\pi(\cdot)$ , satisfying second equation of (2.5), there is a  $\bar{L} > 0$ , such that for  $x > \bar{L}, \pi(\cdot)$ 677 becomes decreasing and, thus, fails to satisfy all conditions (2.5). This implies that 678 for  $0 < \lambda < \lambda_0$ , Assertion 2 is satisfied for some small  $\bar{L} > 0$ . Letting  $s \to 0^+$ , and 679 choosing initial conditions  $\pi(0) = 4, \pi'(0) = 2, \pi''(0) = -5$  as before,  $\pi$  approaches the 680 trajectory of  $\pi(x) = -1 + 5\cos(x) + 2\sin(x)$ , for which  $\pi'(x) < 0$  for  $L > \arctan(2/5)$ . 681 By this, for  $\lambda \to 0^+$ ,  $b \to 0^+$ , Assertion 2 is satisfied with  $\bar{L} = \arctan(2/5)$ . 682

In Figure 1, we represent the evolution of  $\pi(x)$  for choice of initial condition  $\pi(0) = 4, \pi'(0) = 2, \pi''(0) = -5$  and various values of s, corresponding to various values of  $\lambda$ . For small values of s, corresponding to small values  $\lambda, \pi(\cdot)$  is increasing until some point  $x = \overline{L}$  quite small, but for  $x > \overline{L}$ , it is decreasing and, thus, fails to satisfy fourth equation of (2.5) after this point, in accordance with Assertion 2. We also see that for all  $s \ge 1 - \epsilon$ , for  $\epsilon > 0$  small, given as before,  $\pi(\cdot)$  is always increasing, verifying Assertion 1. The proof is complete.

#### 690 Appendix B. Well-posedness of system and observer.

We show here the well-posedness of both controlled system (1.1)-(1.3) and observer system (3.2)-(3.3).

**B.1. Well-posedness of (1.1)-(1.3).** First, for system (1.1), with boundary conditions (BC-A) or (BC-B), feedback control laws of the form (2.7a) or (2.7b), respectively, and initial condition  $v^0 \in L^2(0, L)^n$ , it is sufficient to prove the wellposedness of target system, which results after applying the isomorphic transformation  $\mathcal{T}$ , see (2.9), in conjunction with (2.10):

 $\int v_{[n-1],t} + v_{[n-1],x} + v_{[n-1],xxx} = (A_{n-1} - I_{n-1})v_{[n-1]} + \ell \mathcal{T}^{-1}[z],$ 

$$\begin{cases} z_t + z_x + z_{xxx} + \omega z = 0 \\ (BC-A) : \eta(t, 0) = \eta(t, L) = \eta_x(t, L) = 0, \end{cases}$$

where  $\eta = \begin{pmatrix} v_{[n-1]} & z \end{pmatrix}$  is the target state and we adopt the same notation as in (2.13). We rewrite the above system as an abstract evolution system in  $L^2(0, L)^n$  as

$$\dot{\zeta} = \mathcal{A}\zeta$$

where  $\mathcal{A} := (\mathcal{A}_1 \cdots \mathcal{A}_n) : D(\mathcal{A}) \to L^2(0,L)^n$  is an linear unbounded operator defined as

706 
$$A_i \zeta_i = -\zeta_{i,x} - \zeta_{i,xxx} - \zeta_i + \zeta_{i+1}, i = 1, \dots, n-2$$

707 78§

$$\mathcal{A}_{n-1}\zeta_{n-1} = -\zeta_{n-1,x} - \zeta_{n-1,xxx} - \zeta_{n-1} + \mathcal{T}^{-1}[\zeta_n]$$

$$\mathcal{A}_{n-1}\zeta_{n-1} = -\zeta_{n-1,x} - \zeta_{n-1,xxx} - \zeta_{n-1} + \mathcal{T}^{-1}[\zeta_n]$$

710 with domain

711 
$$D(\mathcal{A}) = \left\{ \zeta \in H^3(0, L)^n; \zeta(0) = \zeta(L) = \zeta'(L) = 0, \text{ for (BC-A)}, \right.$$
  
712  
713 or  $\zeta''(0) = \zeta(L) = \zeta'(L) = 0, \text{ for (BC-B)} \right\}.$ 

714 Its adjoint operator satisfies

715 
$$\mathcal{A}_{i}^{*}\zeta_{i} = \zeta_{i,x} + \zeta_{i,xxx} - \zeta_{i} + \zeta_{i+1}, i = 1, \dots, n-2$$

716 
$$\mathcal{A}_{n-1}^* \zeta_{n-1} = \zeta_{n-1,x} + \zeta_{n-1,xxx} - \zeta_{n-1} + \mathcal{T}^{-1}[\zeta_n]$$

$$\mathcal{A}_{n}^{*}\zeta_{n} = \zeta_{n,x} + \zeta_{n,xxx} - \omega\zeta_{n},$$

719 with domain

720 
$$D(\mathcal{A}^*) = \{ \zeta \in H^3(0, L)^n; \zeta(0) = \zeta'(0) = \zeta(L) = 0, \text{ for (BC-A)}, 721 \\ \text{or } \zeta''(0) = -\zeta(0), \zeta(L) = \zeta'(0) = \zeta(L) = 0, \text{ for (BC-B)} \}.$$

723 Operator  $\mathcal{A}$  and its adjoint  $\mathcal{A}^*$  are closed with domains dense in  $L^2(0, L)^n$ . Further-724 more, they are both dissipative. Indeed, from the stability proof of Theorem 2.4, we 725 first see that  $\mathcal{A}_n$  is dissipative. Then, the exponential stability of  $\mathcal{T}[\zeta_n]$  in (2.12), in 726 conjunction with (2.17) implies that

727 (B.1) 
$$\langle \mathcal{A}_{[n-1]}\zeta_{[n-1]}, \pi\zeta_{[n-1]} \rangle_{L^2(0,L)^{n-1}} \leq 0,$$

where  $\pi(\cdot)$  satisfies (2.5). Inequality (B.1) is satisfied for every L > 0, if  $n \ge 2$  under boundary conditions (BC-A) and if n = 2 under boundary conditions (BC-B). For the latter case, the same inequality holds for n > 2, when  $L \in (0, \overline{L}]$ , for some  $\overline{L} > 0$ . This was shown in the stability proof of Theorem 2.4 and it implies that operator  $\mathcal{A}$ is dissipative, namely

$$\langle \mathcal{A}\zeta,\zeta\rangle_{L^2(0,L)^n} \le 0.$$

To show dissipativity of the adjoint operator  $\mathcal{A}^*$ , i.e., that  $\langle \mathcal{A}^*\zeta, \zeta \rangle_{L^2(0,L)^n} \leq 0$ , we can easily show first that  $\mathcal{A}_n^*$  is dissipative, by applying integrations by parts. Then, we show that  $\left\langle \mathcal{A}_{[n-1]}^*\zeta_{[n-1]}, \zeta_{[n-1]} \right\rangle_{L^2(0,L)^{n-1}} \leq 0$ . This implies that  $\mathcal{A}^*$  is

739 dissipative.

Consequently, we can apply the Lumer-Phillips theorem and we conclude that  $\mathcal{A}$  generates a  $C^0$ -semigroup of contractions and, thus, returning to the original coordinates via  $\mathcal{T}^{-1}$ , we have that for any initial condition  $v^0 \in L^2(0,L)^n$ , there exists a unique mild solution

$$v \in C^0(0,\infty; L^2(0,L)^n)$$

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for system (1.1)-(1.3), noting also, that for (BC-A), the above holds for all L > 0and  $n \ge 2$ , while for (BC-B), the above holds for all L > 0, when n = 2 and for all  $L \in (0, \overline{L}]$ , when n > 2, where  $\overline{L}$  is given in Lemma 2.2.

The above well-posedness result for the *n* coupled equations holds also for the single damped KdV equation, see (2.1)-(2.3), as this system's operator is equal to  $\mathcal{A}_n$ , as defined above.

**B.2. Well-posedness of (3.2)-(3.3).** Observer system given by (3.2) with boundary conditions (3.3) is well posed. To see this, it suffices to show the wellposedness of the error system (3.6)-(3.7), invoking also the well-posedness of initial system (1.1)-(1.3) that we showed before. The differential operator for error system (3.6)-(3.7) is given by

$$\mathcal{A}\zeta = -\zeta_x - \zeta_{xxx} + \theta(A_n + K_n C)\zeta - B\zeta,$$

753 with domain

754 
$$D(\mathcal{A}) = \left\{ \zeta \in H^3(0, L)^n; \zeta(0) = \zeta(L) = \zeta'(L) = 0, \text{ for (BC-A)}, \\ \text{or } \zeta''(0) = \zeta(L) = \zeta'(L) = 0, \text{ for (BC-B)} \right\}.$$

757 and its adjoint operator is given by

$$\mathcal{A}^*\zeta = \zeta_x + \zeta_{xxx} + \theta(A_n + K_nC)\zeta - B\zeta,$$

760 with domain

761 
$$D(\mathcal{A}^*) = \{ \zeta \in H^3(0, L)^n; \zeta(0) = \zeta'(0) = \zeta(L) = 0, \text{ for (BC-A)}, \}$$

764 By the stability proof in Theorem 3.1, we see that

765 (B.2) 
$$\langle \mathcal{A}\zeta, \mu P\zeta \rangle_{L^2(0,L)^n} \leq 0,$$

whenever  $\theta > \theta_0$ , where  $\theta_0$  is defined in the proof of Theorem 3.1 and where function  $\mu(\cdot)$  and matrix P are defined in the proof of Theorem 3.1. Inequality (B.2) implies that  $\mathcal{A}$  is dissipative. The adjoint  $\mathcal{A}^*$  is also dissipative and it can be shown by proving that  $\langle \mathcal{A}^*\zeta, P\zeta \rangle_{L^2(0,L)^n} \leq 0$ , by applying successive integrations by parts. This, similarly as in Appendix B.1, proves the well-posedness of the error equations, which along with the well-posedness of the initial system results in the well-posedness of the observer system (3.2)-(3.3), namely for any initial condition  $\hat{v}^0 \in L^2(0,L)^n$ , there exists a unique mild solution

$$\hat{v} \in C^0\left(0,\infty; L^2(0,L)^n\right),\,$$

for all  $\theta > \theta_0$ .

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