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1 Fused filament fabrication of scaffolds for tissue 2 engineering; How realistic is shape-memory? A review

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8 Abstract

9 Since the invention of additive manufacturing (AM) in the 1980s, great advances are today
10 conceivable thanks to considerable evolution in recent years. Medicine, and particularly tissue
11 engineering (TE), have high expectations regarding AM, which allows the manufacturing of
12 complex personalized parts. Among existing techniques, fused filament fabrication (FFF) is
13 very promising in the biomedical field, due to its many advantages, particularly for specific
14 applications such as scaffolds for TE. This review, in interaction with biomedical, process and
15 material sciences, aims to help researchers understand the importance of process
16 parameterization (build orientation, raster angle, layer thickness, etc.) combined with an
17 appropriate material choice, to develop optimized scaffolds using FFF. This review also
18 reflects the state of existing advances and opens perspectives on the subject, especially with
19 the use of biodegradable and biocompatible shape-memory polymers, the principle of which
20 will be revisited and the few studies concerning shape-memory scaffolds will be gathered.

21 Keywords: Additive manufacturing (AM); fused filament fabrication (FFF); scaffolds; shape-
22 memory polymers (SMPs); 4D printing

23 Nomenclature

24 ABS: acrylonitrile butadiene styrene

25 AM: additive manufacturing

26 EBM: electron beam melting

27 FFF: fused filament fabrication

28 HA: hydroxyapatite

29 HDPE: high-density polyethylene

- 30 HIPS: high-impact polystyrene
- 31 MSC: mesenchymal stem cell
- 32 PA: polyamide
- 33 PBS: phosphate-buffered saline
- 34 PCL: polycaprolactone
- 35 PDLLA: poly (D,L-lactic acid)
- 36 PE: polyethylene
- 37 PEEK: polyether ether ketone
- 38 PEG: poly (ethylene glycol)
- 39 PEI: polyetherimide
- 40 PELA: poly (D,L-lactic acid-*co*-ethylene glycol-*co*-D,L-lactic acid)
- 41 PGA: poly (glycolic acid)
- 42 PHAs: polyhydroxyalkanoates
- 43 PLA: poly (lactic acid)
- 44 PLGA: poly (lactic-*co*-glycolic acid)
- 45 PLLA: poly (L-lactic acid)
- 46 PMMA: poly (methyl methacrylate)
- 47 PP: polypropylene
- 48 PS: polystyrene
- 49 PU: polyurethane
- 50 PVA: poly (vinyl alcohol)
- 51 PVC: poly (vinyl chloride)
- 52 SLA: stereolithography
- 53 SLM: selective laser melting
- 54 SLS: selective laser sintering
- 55 SM: shape memory
- 56 SME: shape-memory effect
- 57 SMP: shape-memory polymer
- 58 TCP: tricalcium phosphate
- 59 TE: tissue engineering
- 60 TPU: thermoplastic polyurethane
- 61 UHMWPE: ultra-high-molecular-weight polyethylene
- 62 UTS: ultimate tensile strength

63 Introduction

64 Additive manufacturing (AM) is defined in the ISO/ASTM 52900 standard as “process of
65 joining materials to make parts from 3D model data, usually layer upon layer, as opposed to
66 subtractive manufacturing and formative manufacturing methodologies” [1]. Several different
67 AM techniques are commercially available and share common advantages like the possibility
68 to design devices for small-scale manufacturing at a low price in contrast to usual processing
69 techniques such as injection molding, whose equipment costs (e.g. expensive molds for each
70 part) must be made cost-effective by large-scale production. AM is a time-saver for rapid
71 prototyping and for personalized applications, and it also limits raw material waste as
72 compared to subtractive manufacturing processes. Finally, AM allows the designing and
73 printing of very complex structures that are not always achievable with traditional processing
74 techniques [2].

75 With this in mind, AM appears very interesting for the biomedical field as each patient is
76 unique and should have access to products adapted to their needs. It is even truer in the
77 precise field of tissue engineering (TE) and regenerative medicine, which is one of the major
78 topics of research. Indeed, most tissues are able to recover from minor damages only. For
79 example, bones have natural means of renewal and strengthening through the joint action of
80 osteoclasts and osteoblasts [3]. However, their capacity of renewal is limited in the case of
81 severe damage and tissues require medical intervention to repair, regenerate and strengthen.
82 This could be done thanks to the development of scaffolds and progress in TE. Scaffolds are
83 meant to provide a structure for cells to attach, to proliferate and differentiate. Traditional
84 techniques for scaffold production include solvent casting [4,5], electrospinning [6,7],
85 particulate/salt leaching [4,8], supercritical gas foaming [9] and so on. Still, these techniques
86 can somehow be unpredictable in terms of porosity control, while thanks to AM, processing
87 parameters could be chosen in order to obtain precise and reproducible structures and
88 porosity.

89 Techniques of AM used in biomedical fields include selective laser sintering (SLS), selective
90 laser melting (SLM), electron beam melting (EBM), fused filament fabrication (FFF) and
91 stereolithography (SLA) [10]. They differ in the transformation of the raw material into a
92 succession of layers. SLS, SLM, EBM and FFF partially or totally melt the raw material
93 (powder, pellets, filament) while SLA cures a liquid resin [11]. FFF appears attractive since
94 the equipment and its maintenance are quite inexpensive and FFF is an easy-to-use AM

95 technique [12,13]. It also requires lower cost materials (e.g. thermoplastic filament) than most
96 of the other techniques.

97 Of all thermoplastic polymers, their potential to be printed and, then, to be implanted in the
98 human body are critical points. From the technical side, adequate rheological and thermo-
99 physical properties are essential. Duty *et al.* developed a model to assess material
100 “printability” and identify adequate processing conditions [14]. From the biological side,
101 biocompatibility and bioactivity are obviously necessary characteristics. A synthetic scaffold
102 is also meant to be degraded simultaneously with the development of new tissues, without
103 releasing toxic compounds.

104 Finally, shape-memory polymers (SMPs) were recently introduced to avoid invasive surgery.
105 Indeed, SMPs permit the production of small parts (small temporary shapes) to limit the size
106 of the surgical incision and to facilitate their insertion into damaged tissues. The parts then
107 deploy thanks to an intrinsic stimulus (e.g. temperature, moisture) after implantation and
108 recover their permanent shape. This feature could be very interesting to produce scaffolds for
109 regenerative medicine.

110 Many reviews gather pieces of information about the different 3D printing techniques for
111 biomedical applications [15–20], AM of shape-memory materials for biomedicine [18,21,22],
112 biomaterials for scaffolds produced with traditional techniques and the interactions between
113 scaffolds and cells [20,23–26] but to the best authors’ knowledge, no review focuses on the
114 peculiar FFF technique to produce biodegradable and shape-memory scaffolds for TE. For
115 these reasons, the aim of this work is to show that FFF has great potential for the production
116 of scaffolds for TE and permits to overcome the problems encountered with traditional
117 techniques. In addition, it enables to print SMPs (4D printing). Required material
118 characteristics for body implantation will first be summarized. Then, the FFF process, its
119 advantages and adequate settings for scaffolding will be discussed. The last section will
120 introduce SMPs to conclude with scaffold 4D printing.

121 I. Required polymer characteristics to manufacture scaffolds for TE

122 I.1. Biocompatibility

123 The most important characteristic of a polymer intended to be in contact with or implanted in
124 the human body is its biocompatibility. Initially synonymous with inert, that is, not inducing a
125 reaction from the organism, the term “biocompatible” has evolved. Now, biocompatibility

126 also includes the ability of the material to obtain a positive response from the organism with a
 127 minimal inflammatory reaction. A wide range of biocompatible and/or natural polymers is
 128 used or is being investigated to be used in biomedical applications (Table 1).

129 *Table 1 Biocompatible polymers and copolymers and their current/potential biomedical applications*

Category	Polymer/Copolymer	Applications	Ref
Polyesters	PLA	Sutures, scaffolds for TE, drug delivery	[27–29]
	PGA		
	PLGA		
	PCL		
	PHAs		
Polyurethanes	PU	Bioadhesives, dentistry, breast implants, orthopedics, cardiovascular devices, wound dressing, tissue reconstruction, contraception	[30]
Polyolefins	PE, HDPE, UHMWPE	Bone replacement, tubing, packaging films	[31–34]
	PP	Sutures, mesh, orthopedics, syringes, catheters	[35,36]
Polyamides	PA 12, PA 6, PA 66, PA 612	Bone scaffolds, tubing, catheters, surgical instruments, sutures	[34]
Vinyl polymers	PVC	Tubes, blood bags, disposable medical devices	[37]
	PVA	Drug delivery, wound dressing	[38,39]
Styrene polymers	PS, HIPS	Tissue culture trays, petri dishes, test tubes, catheter trays, heart pump trays, epidural trays, respiratory care equipment, syringe hubs, suction canisters	[34]
Acrylic polymers	PMMA	Intraocular lens, dental and mandibular corrections, bone cement	[40,41]
Polyaryletherketones	PEEK	Spinal, orthopedic and maxillofacial surgery	[42,43]
Natural polymers	Collagen, chitosan, alginate, cellulose	Drug delivery, implants for TE (scaffolds, skin replacement, bone substitutes, artificial blood vessels and valves), wound healing	[44–48]

HDPE=high-density polyethylene; HIPS= high-impact polystyrene; PA=polyamide; PCL=polycaprolactone; PE=polyethylene; PEEK=polyether ether ketone; PGA=poly (glycolic acid); PHAs=polyhydroxyalkanoates; PLA=poly (lactic acid); PLGA=poly (lactic-co-glycolic acid); PMMA=poly (methyl methacrylate); PP=polypropylene; PS=polystyrene; PU=polyurethane; PVA=poly (vinyl alcohol); PVC=poly (vinyl chloride); UHMWPE=ultra-high-molecular-weight polyethylene

130
 131 However, for scaffolding, some of these polymers are more suitable than others and additional
 132 properties (e.g. bioactivity, biodegradability, mechanical properties) are needed to meet the
 133 TE requirements. Copolymers, polymer blends [29] and composites with bioactive
 134 components are often produced to obtain these properties. Bernard *et al.* published a
 135 comprehensive review regarding the biocompatibility of polymer-based materials for medical
 136 devices containing precious information about the standards and regulations for biological
 137 evaluation [49].

138 I.2. Bioactivity

139 Bioactive materials stimulate the biological response of the body. Chemical (e.g. surface
140 hydrophilicity), physical (e.g. surface roughness, Young's modulus) improvements, or both
141 can generate bioactivity.

142 Calcium phosphate ceramics such as hydroxyapatite (HA) $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ and tricalcium
143 phosphate in its β form (β -TCP) $\text{Ca}_3(\text{PO}_4)_2$ are often used as bioactive fillers [4,31,32,50–53].
144 HA is highly biocompatible, osteoconductive and is similar to biological apatite (main
145 constituent of enamel, dentin and bone) [54]. Marra *et al.* used formulations of HA and
146 PCL/PLGA to produce scaffolds and highlighted viable cells and formation of collagen 500
147 μm deep into the scaffold containing 10 wt.% of HA [8]. Jeong *et al.* produced PLA/HA (5
148 wt.% and 20 wt.%) scaffolds by electrospinning and revealed viable cells and continuous cell
149 proliferation for 21 days [6]. They concluded that their scaffolds were effective to grow pre-
150 osteoblasts (for bone regeneration). β -TCP also demonstrates inherent biomimetic potential
151 and can be used as a bioactive filler in scaffolds for TE [55]. It has the advantage over HA of
152 being much more soluble in biological media [56]. However, β -TCP is less advantageous in
153 terms of mechanical properties and HA and β -TCP are sometimes combined to achieve better
154 flexural strength and fracture toughness with HA and higher biodegradability with β -TCP
155 [57]. HA and β -TCP have also proved to increase surface roughness and thus, cell attachment,
156 proliferation, differentiation and tissue mineralization [5,58]. Other fillers such as bioactive
157 glasses (silicate glasses and glass-ceramics) can induce strong bonding between hard and soft
158 tissues and are of particular interest for TE [59]. In Serra *et al.*'s study, surface roughness
159 increased from 117.72 ± 60.50 nm for PLA to 1003.89 ± 228.45 nm for PLA-glass (50 wt.%)
160 [60,61].

161 Altankov and Groth pointed out that mammalian cells' interaction with materials was better
162 when their surface was hydrophilic than in the case of non-wettable surfaces [62]. They
163 observed a high proliferation of fibroblasts on hydrophilic clean glass whereas on octadecyl
164 glass, which is hydrophobic, no cell growth occurred. Hydrophilicity can also be tuned by
165 choosing the right material composition. For instance, PLGA is known to be more hydrophilic
166 than other polyesters such as poly (L-lactic acid) (PLLA), and hydrophilicity of their blends
167 can be tuned by varying PLLA/PLGA ratios [63]. Kao *et al.* used polydopamine to coat PLA
168 scaffolds and found that it greatly increased their hydrophilicity. They observed reduced
169 contact angles from 130° for the pure PLA scaffold to lower than 51.9° and 0° (totally

170 hydrophilic) for the coated ones with increasing dopamine content in the coating solution
171 (from 1 to 2 mg/mL in 10 mM Tris, pH 8.5) [64]. They showed that polydopamine helped to
172 promote the proliferation of human adipose-derived stem cells and increased collagen I, cell
173 cycle, and cell adhesion. Wang *et al.* used cold atmospheric plasma to functionalize the
174 surface of PLA scaffolds for bone regeneration and showed that this treatment improved the
175 surface of their samples both physically and chemically [65]. Indeed, the water contact angle
176 measured on the surface of the scaffolds decreased from $70 \pm 2^\circ$ to $24 \pm 2^\circ$ and the nano-scale
177 surface roughness increased from 1.2 nm to a maximum of 27.6 nm. As a result, it promoted
178 both osteoblast and mesenchymal stem cell (MSC) attachment and proliferation.

179 Certain properties such as surface roughness can also be adjusted by selecting suitable
180 processing parameters. This will be discussed in a later section.

181 I.3. Biodegradability

182 Some polymers have the advantage of being biodegradable. Such polymers could be
183 implanted in the body and be automatically eliminated after an adequate time, thus avoiding a
184 second surgical intervention to remove the implant. Polyesters and natural polymers presented
185 in Table 1 can biodegrade in certain conditions. PLA, PGA and PCL are biodegradable
186 polyesters that are already well implanted in the biomedical field and formulations containing
187 PLA, PGA and PCL have already been approved by US and European agencies [18,66–68].

188 Their biodegradation is dependent on several factors and can be tuned, for example, by
189 controlling their molecular weight [69]. In the particular case of PLA, chirality influences its
190 biodegradation rate. The presence of D and L/D forms are known to increase the rate of
191 degradation of PLA [70]. Actually, crystallinity, directly related to the chirality of PLA, has a
192 huge impact on degradation. Amorphous regions degrade faster than crystalline ones, so that
193 tuning the material crystallinity induces changes in its degradation kinetics [25,71]. Chen *et al.*
194 mention that blending PLLA with poly (D,L-lactic acid) (PDLLA) can increase the
195 kinetics of degradation of the final compound thanks to the amorphous nature of PDLLA [72].

196 PLGA (a copolymer of PLA and PGA) is the most studied biodegradable polymer for
197 biomedicine because its properties can easily be tuned for specific applications by choosing
198 the right lactic/glycolic molar ratio [71]. To determine the rate of degradation and the
199 different steps of degradation of PLGA (different formulations) scaffolds, Wu and Ding used
200 a phosphate-buffered saline (PBS) solution at 37°C , in order to mimic the natural body
201 environment [73]. They measured the properties of PLGA (85/15 and 75/25) and PDLLA

202 scaffolds at different times after immersion in the solution. Their results showed that the
203 PLGA 85/15 scaffold of higher molecular weight resisted degradation longer than the 75/25,
204 while the PDLLA scaffold stayed almost intact after 26 weeks. Peng *et al.* used PLGA to
205 adjust the degradation rates of PCL/PLGA scaffolds, as PCL has the advantage of being
206 flexible but has a slow degradation rate and quite low bioactivity [74]. They showed that the
207 addition of PLGA drastically increased the kinetics of degradation because of PLGA
208 hydrophilicity. According to them, the 0.5PCL/0.5PLGA scaffold presents the ideal
209 degradation rate so that degradation and cell growth, and tissue maturation match (alveolar
210 bone).

211 PUs are also materials of choice for biomedical implants and Santerre *et al.* devoted an entire
212 review to the subject of their biodegradation [75].

213 Finally, the architecture of a whole device influences its rate of degradation. Bosworth and
214 Downes summarized the two schools of thought regarding this aspect [76]. The first is that
215 porous structures have more specific surface area and degrade faster than dense structures,
216 because of the facility for water molecules to penetrate them. The second is that porous
217 structures permit better evacuation of acidic products, decreasing autocatalysis. Results from
218 their own study on degradation of PCL scaffolds in PBS at 37°C tend to support the first
219 assumption that higher surface area to volume ratio induces faster degradation. A process
220 allowing a good control of the porosity seems therefore appropriate to tailor the degradation
221 kinetics of biomedical devices.

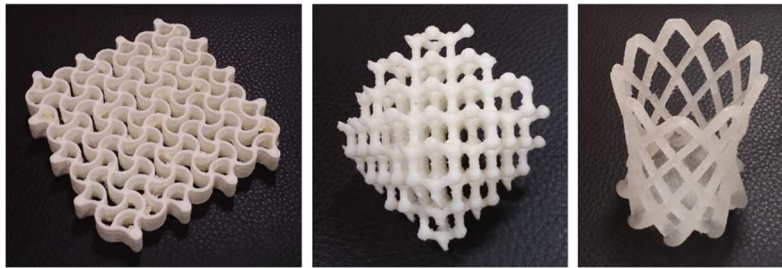
222 II. Fused filament fabrication (FFF)

223 II.1. Principle

224 Each AM technique needs to start from the design of a 3D part using computer-aided design
225 or imaging techniques such as magnetic resonance imaging, computed tomography scans, etc.
226 The resulting file (.stl) is then loaded in a slicing software to transform the 3D designed part
227 into a layered structure and to generate a file in “G-code”, which is a common programming
228 language to define a toolpath. The AM machine then reads this file, containing essential
229 information like the layer thickness or the deposition angle, and manufactures the 3D part.

230 FFF is based on extrusion that consists of melting/plasticizing raw materials in an extrusion
231 head moving in the x and y directions. The molten material is pushed out of a nozzle to form a
232 filament, which is deposited on a build plate to participate in the formation of a layer, thanks
233 to the movements of the extrusion head governed by the G-code file. Once the first layer is

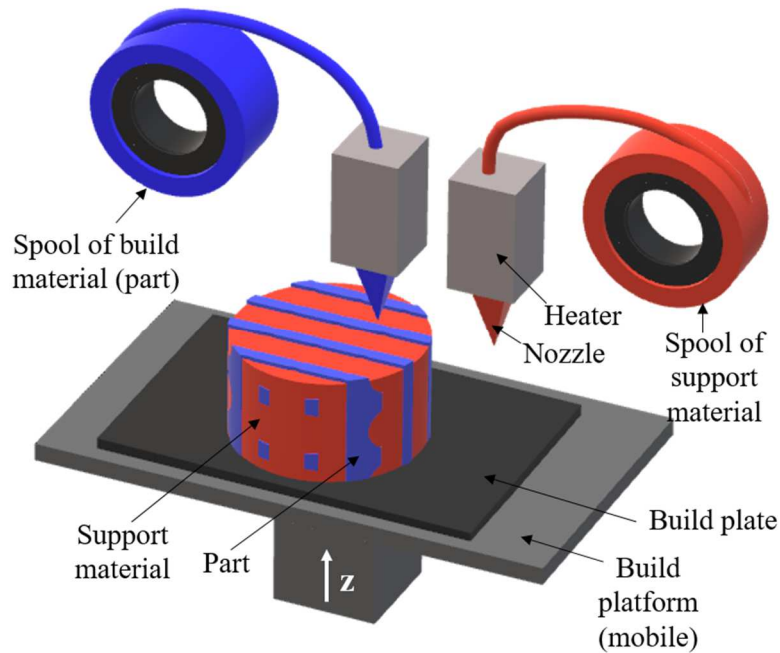
234 completed, the build plate moves down in the z direction for a distance equal to the layer
235 thickness and the deposition of the second layer starts. This cycle is reproduced until the
236 complete fabrication of the part, which can be of very complex shape, as seen in Figure 1.
237 Although the raw material is usually a thermoplastic polymer or a composite with a
238 thermoplastic matrix (e.g. PLA and hydroxyapatite particles [58]) in the form of filament
239 spools (Figure 2), a few innovative AM machines now allow the use of pellets (Freeformer
240 from Arburg, Germany; Pam Series P from Pollen AM, France, David from Sculptify, USA,
241 etc.).



242

243

Figure 1 : Complex shapes manufactured with an Arburg Freeformer (FFF)



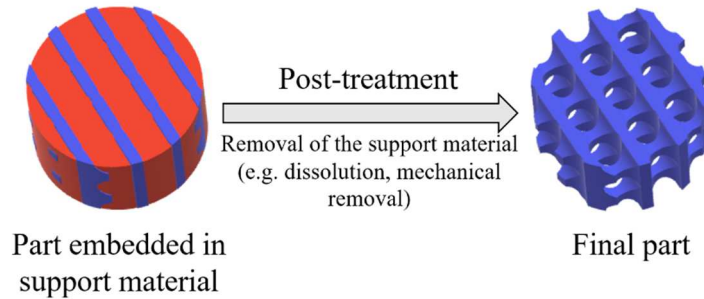
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245

Figure 2 : Schematic FFF process

246 Post-treatments of the resulting parts are sometimes required. For instance, a post-treatment is
247 necessary when the part is printed with support material (also called sacrificial material) that
248 has to be removed (Figure 3). With FFF machines equipped with two extrusion heads, the
249 support material is different from the material constituting the part. In certain cases, it can be

250 dissolved in a specific solvent, such as water to dissolve PVA. With FFF machines equipped
251 with only one extrusion head, the support material, printed in the same material as the part,
252 has to be mechanically removed. To facilitate this step, support material structures are printed
253 with a lower density than the part.



254

255

Figure 3 : Post-treatment of a part; support material removal

256 In some cases, post-treatments are required to decrease the surface roughness. For example,
257 Garg *et al.* exposed acrylonitrile butadiene styrene (ABS) FFF-printed parts (with different
258 orientations) to cold vapors of acetone and showed that this treatment was very efficient [77].
259 For instance, they reached a surface roughness of $2.5 \mu\text{m}$ with chemical treatment compared
260 to $6.27 \mu\text{m}$ before treatment for the 15° -oriented part, with minimal variations in the
261 geometric accuracy of the part. The applications considered by the authors were obviously not
262 targeted for biomedical applications, since high surface roughness tends to promote cell
263 adhesion.

264 Although FFF is very easy to handle to produce complex parts, it also has several drawbacks.
265 Compared to parts produced with traditional polymer processes, FFF-printed parts tend to
266 have lower mechanical properties because of their internal structure consisting of a succession
267 of filaments and layers. The deposition angles induce anisotropy in the part, and the
268 cylindrical geometry of the filament limits contact between adjacent filaments, which tends to
269 create intrinsic porosity and thus, to weaken the mechanical properties of the part.
270 Additionally, the mechanical properties of an FFF-printed part depend on the adhesion
271 strength between adjacent filaments that is led by the process parameters. The deposition of
272 warm layers onto cold ones creates thermal stresses, promoting negative phenomena like
273 shrinkage and warping effects and the delamination of adjacent layers. That is why, the choice
274 of adequate printing parameters is a key point.

275 II.2. Optimization of the FFF process

276 II.2.1. For dimensional accuracy

277 Polymers should have suitable rheological and viscoelastic properties to be well transformed
278 by FFF and to reach shape and dimension stability during the entire process, meaning that
279 shrinkage and warpage should be avoided or limited as far as possible [14]. Shrinkage and
280 warpage can usually be minimized by using amorphous materials [78] as crystallization
281 induces volume reduction and undesired effects [79], by controlling the temperature of the
282 manufacturing chamber, the nozzle and the bed, and/or by mixing the polymer with fillers.
283 Wu *et al.* minimized the warpage of PEEK samples by choosing the right chamber (130°C)
284 and nozzle (350°C) temperatures [80]. The warping deformation decreased from 1.93 mm to
285 0.65 mm by increasing the chamber temperature from 90°C to 130°C. They attributed the
286 warpage to uneven crystallization occurring during rapid cooling, causing high internal stress,
287 and they concluded that the chamber temperature had more influence on warpage than the
288 nozzle temperature. Spoerk *et al.* revealed that a chamber temperature of 55°C (compared to
289 25°C) permitted to decrease internal stress in PP-borosilicate parts [81]. Indeed, during
290 printing, the part is submitted to the chamber temperature for a certain time. This is equivalent
291 to annealing, which releases stress and gives the material more time to crystallize. As a result,
292 parts printed in warmer construction environments tend to be less subject to geometric
293 variations after printing. Wang *et al.* showed the absence of warping deformation when the
294 chamber temperature was equal to the glass transition temperature (T_g) of the polymer [82].
295 However, a balance must be found to minimize warpage but still enable layer solidification.
296 That is why, even though the T_g of ABS is close to 104°C, the chamber temperature (or
297 envelope temperature) is often lower (e.g. 70°C [83]; 75°C [84]).

298 Fillers are often used to reduce shrinkage and to control warpage [85]. Kochesfahani studied
299 different formulations of PLA and minerals (talc, calcium carbonate, mica, kaolin etc.) to be
300 processed via FFF [86]. The results revealed that minerals reduced shrinkage, warpage and
301 improved the thermal stability of PLA. In some cases, minerals permitted to anneal the parts
302 without losing their original shape and to reach maximum crystallinity at a reasonable speed,
303 thanks to a better nucleation.

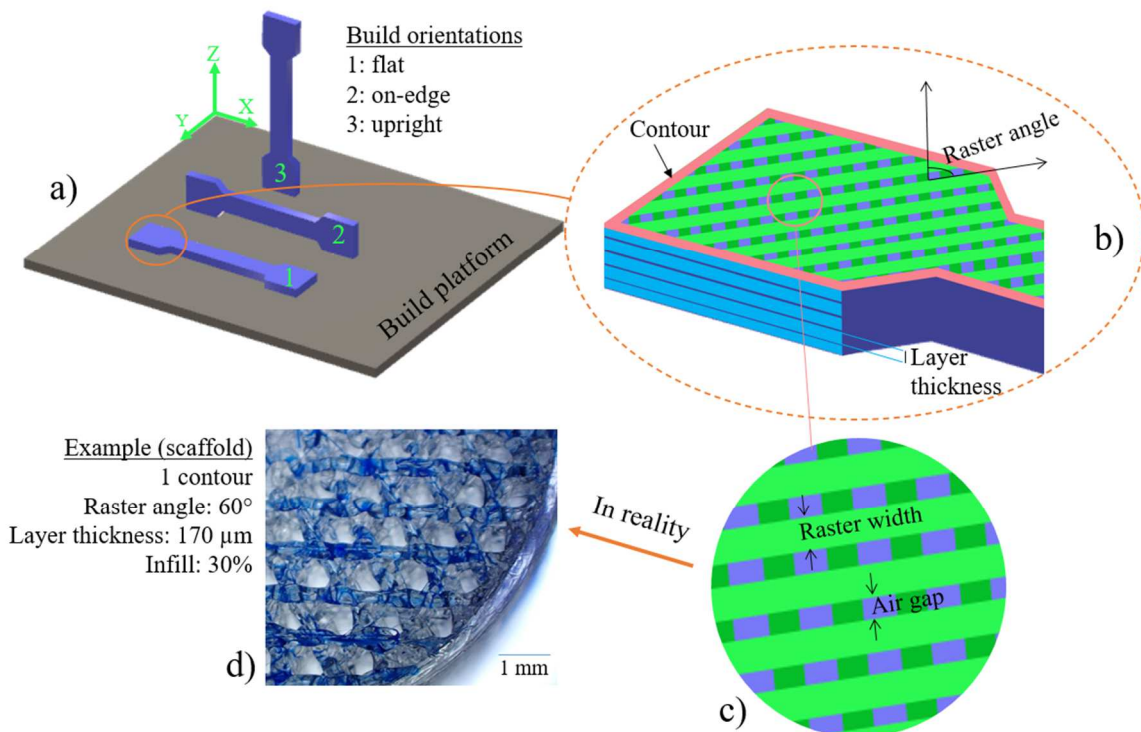
304 II.2.2. For surface properties

305 Regarding the surface aspect, in general, a small layer thickness induces a low surface
306 roughness, and adjusting processing parameters such as the part orientation during its
307 production can influence the well-known staircase effect [13]. Depending on the application,

308 a high or low surface roughness may be preferred and layer thickness, surface slopes,
 309 deposition angles, types of support and filling methods were shown to have an impact on it.
 310 Both experimental and simulation studies allow to adjust the processing parameters as a
 311 function of the desired properties [87,88]. The nozzle temperature also has an impact on
 312 surface roughness, as revealed in Chaidas *et al.*'s study [89]. They measured the surface
 313 roughness (average mean surface roughness Ra, surface roughness depth Rz, total height of
 314 the roughness profile Rt and arithmetic mean width of profile elements Rsm) of PLA samples
 315 printed with different nozzle temperatures and found that the increase in the temperature
 316 induced decreases in all the roughness parameters (Ra, Rz, Rt and Rsm). In a similar study,
 317 Wang *et al.* explained that higher temperatures enabled higher molecular movement and thus,
 318 better diffusion, and managed to model the surface roughness as a function of printing
 319 parameters [90].

320 *II.2.3. For mechanical properties*

321 Many parameters can have an impact on the mechanical properties of an FFF printed part.
 322 Figure 4 illustrates certain parameters that can be set on the machine and some features
 323 resulting from the machine settings.



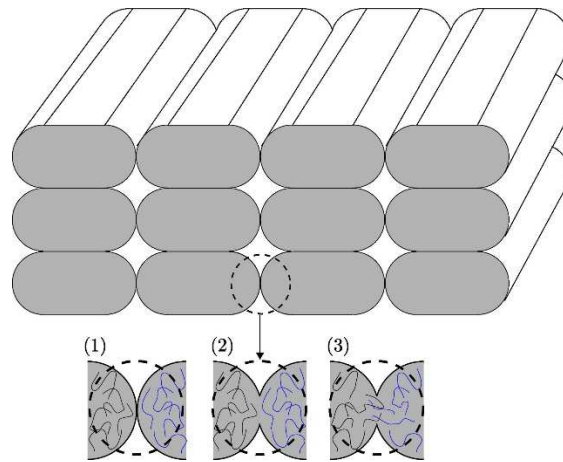
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325 *Figure 4 : a) Different build orientations; b) Tunable parameters; c) Raster width and air gap; d) Example of an FFF-*
 326 *printed scaffold and its printing settings*

327 The FFF process is well known to create anisotropic mechanical properties in the three
328 directions of printed parts. Actually, Chacón *et al.* studied the effect of the build orientation
329 on the mechanical properties of PLA samples [91]. They built samples upright, on-edge and
330 flat on the platform, resulting in different mechanical performances. Flat and on-edge samples
331 showed the highest mechanical properties (tensile and flexural strengths and stiffness) and
332 ductile trans-layer failure. On the contrary, upright samples showed the lowest mechanical
333 properties (e.g. tensile strength 78% to 37% lower than on-edge and flat orientations with
334 different printing parameters). Indeed, during tensile testing, the weakest inter-layer bonds are
335 solicited for upright samples, which showed brittle intra-layer failure. Rodríguez-Panes *et al.*
336 revealed that increasing the infill (i.e. smaller air gap) resulted in denser specimens with less
337 porosity and thus, higher mechanical strength [92]. Popescu *et al.* reviewed more than 49
338 papers about the influence of FFF parameters and their interactions on the mechanical
339 properties of various printed parts [93]. They concluded that the most significant changes
340 were governed by the air gap (mechanical properties enhanced with a reduced or even
341 negative air gap), the build orientation, the raster angle, the layer thickness (small layer
342 thickness increasing the mechanical strength) and the infill percentage.

343 From a thermal point of view, Faes *et al.* quantified the influence of the inter-layer cooling
344 time (*i.e.* the time between the deposition of two consecutive layers) on the mechanical
345 properties of ABS parts printed by FFF [94]. They found that a longer inter-layer cooling time
346 induces weaker bonding and thus, decreases the mechanical properties (Young's modulus,
347 ultimate tensile strength (UTS) and elongation at break) when the part is built in the upright
348 direction. For example, the UTS of the upright samples decreased from 20.5 MPa to 13.3 MPa
349 when the inter-layer cooling time increased from 3.59 s to 45.27 s. This was not observed for
350 the flat samples whose UTS remained constant (19 MPa) while the inter-layer cooling time
351 increased from 54.92 s to 282.71 s. Indeed, when parts are printed upright and tested in
352 tension, their inter-layer bonds are loaded, whereas for flat samples, the intra-layer bonds are
353 solicited (stronger than the inter-layer bonds). Process temperatures also have a great effect on
354 the mechanical properties of the printed parts. Shelton *et al.* proved that the chamber
355 temperature conditions the envelope temperature, which is defined as the ambient temperature
356 around the printed part [95]. They varied the envelope temperature from 110°C to 170°C and
357 determined a 20% higher UTS for the sample made of ULTEM™ 9085 resin with an
358 envelope temperature of 170°C compared to the sample with an envelope temperature of
359 110°C. They explained this improvement of the UTS by an increased neck development at

360 higher temperature. Garzon-Hernandez *et al.* represent neck formation as follows in Figure 5
361 [96].



362

363 *Figure 5 : Formation of a neck between filaments (1) surface contact; (2) neck growth; (3) neck growth and molecular*
364 *diffusion at the interface. Reprinted with permission from Ref. [96], copyright (2020), Elsevier.*

365 Many studies and reviews were found in literature about the influence of different parameters
366 on the mechanical properties of various printed parts [91,93–95,97,98] and a few studies even
367 developed methods to predict the mechanical properties of a part by knowing the parameters
368 used [96].

369 To sum up, FFF seems to be a promising process to manufacture specific and personalized
370 objects for biomedical applications since it has many advantages and few drawbacks, which
371 can be limited by setting optimization. The main limitation to the use of FFF in the
372 biomedical field is the lack of existing pharmaceutical grade polymers with the appropriate
373 properties to be printed with this technique [99]. Indeed, entire studies and reviews focus on
374 the influence of FFF processing parameters on mechanical properties of printed parts, degree
375 of bonding, and many other properties, but biocompatible and biodegradable polymers (with
376 the exception of PLA) are rarely found in the literature (generally ABS, polyetherimide (PEI),
377 PEEK). However, even though these current materials do not have the same properties as
378 polymers used for biomedical applications, the influence of the above-mentioned parameters
379 should follow the same trends. Also, Popescu *et al.* point out that further research should
380 evaluate the mechanical performances of FFF parts for specific applications (e.g. scaffolds)
381 and not only test specimens [93].

382 III. FFF for scaffolding

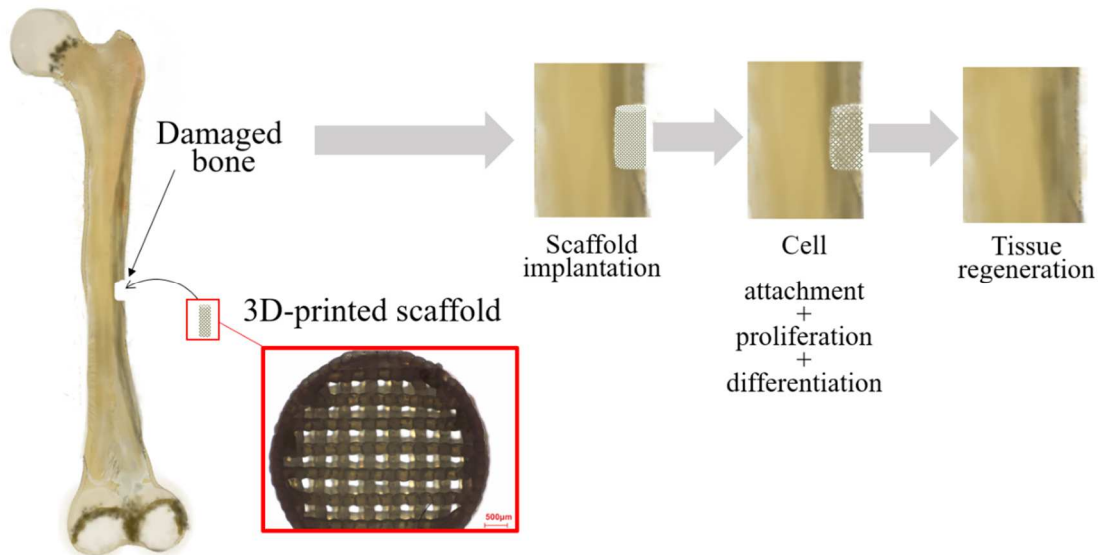
383 III.1. Potentially adequate materials for scaffold printing (FFF)

384 A few articles evaluate the performance of scaffolds manufactured by FFF. Among these
385 works, PLA is often studied because it has the required characteristics to be used in
386 biomedical applications and in AM processing [100,101]. PLA has a melting temperature
387 close to 160°C [102] and shows good dimensional stability and good adhesion to build
388 platforms, meaning that it does not need to be printed on a heated build platform [92,103]. Its
389 printing temperature range is rather low and Shahriar *et al.* estimated it was 160°C-190°C
390 with a maximum value of bonding length at 167°C (measured with two adjacent filaments in
391 an oven) [102]. Nevertheless, it is not rare to find higher printing temperatures in literature
392 such as in Grasso *et al.*'s work, where PLA is printed at 215°C [104]. Drummer *et al.* studied
393 the suitability of PLA/TCP for FFF and concluded that this composite material could be used
394 to print scaffolds for TE [78]. Amorphous PCL is also commonly used because of its low T_g
395 close to -60°C and its high thermal stability (decomposition temperature of 350°C compared
396 to 235°C-255°C for other aliphatic polyesters tested), making it easy to print without
397 degradation [105,106]. Most importantly, PCL has very suitable rheological and viscoelastic
398 properties, facilitating its extrusion and enhancing its processability [18]. PLGAs are
399 thermolabile, which means that processing at high temperature such as during FFF can
400 provoke thermal degradation [107], the formation of toxic component and unpredictable
401 behavior in the human body since the integrity of the material can be altered [108]. PLGAs
402 integrity is highly reliant on the residence time during processing [109] and depending on
403 their lactic/glycolic molar ratio (higher lactic content increasing thermo-oxidative stability
404 [110]), PLGAs might not always be well suited for FFF. Still, some research proved the
405 feasibility of using PLGAs for scaffolding via FFF [107,111].

406 Many other polymers should be tested (Table 1) to evaluate the whole potential of scaffolds
407 manufactured by FFF.

408 III.2. Scaffold optimization

409 The aim of scaffolds is to provide space and a support structure for cells to infiltrate, attach,
410 proliferate (Figure 6) and produce new extracellular matrix.

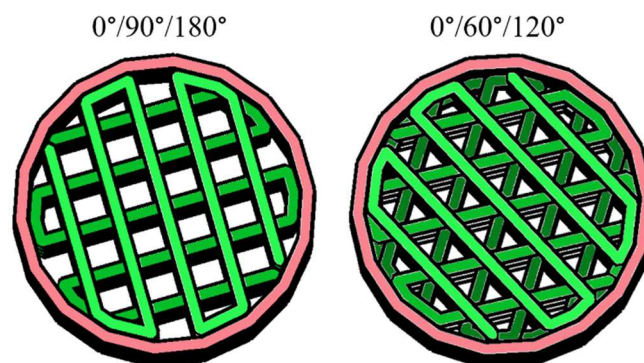


411

412

Figure 6 : Representation of a 3D printed (FFF) scaffold for bone regeneration

413 To do so, they need to possess specific properties such as biocompatibility, bioactivity, and
 414 biodegradability, as discussed earlier. Other properties, such as high enough mechanical
 415 properties (rigidity, resistance to impact and to friction, etc.) matching those of the tissues
 416 meant to be colonized, are essential to maintain the structure after implantation. Porosity
 417 features are also of great importance for tissue ingrowth and vascularization. The effect of
 418 different pore sizes has already been reviewed in detail [112] as well as materials for scaffold
 419 production, required structure, and mechanical properties of human tissues [113]. Some of
 420 these properties directly result from the materials used but some result from the structure of
 421 the medical device (scaffold), sometimes induced by the processing technique. In the
 422 particular case of FFF, various architectures can be obtained (examples given in Figure 7) and
 423 induce different cell behaviors.



424

425

Figure 7 : Examples of scaffold architectures induced by different raster orientations

426 Zein *et al.* studied several different FFF printed scaffold architectures, compared their
427 properties and showed that they could be significantly impacted by the processing parameters
428 [106]. Indeed, all the scaffolds were printed with the same material, namely PCL, but
429 differences in their architecture were generated by variation in the filament width, porosity or
430 lay down pattern (raster orientations). These induced properties such as a compressive
431 stiffness varying from 4 MPa to 77 MPa, a yield strength from 0.4 MPa to 3.6 MPa and a
432 yield strain from 4% to 28%. With the same value of porosity, raster orientations of
433 $0^\circ/90^\circ/180^\circ$ and $0^\circ/60^\circ/120^\circ$ induced differences in the mechanical properties of the scaffolds.
434 According to Ostrowska *et al.*'s study, repetitive $0^\circ/60^\circ/120^\circ$ raster orientation globally leads
435 to a high elastic modulus and the highest shear storage modulus as compared to $0^\circ/15^\circ/30^\circ$,
436 $0^\circ/30^\circ/60^\circ$, $0^\circ/45^\circ/90^\circ$, $0^\circ/75^\circ/150^\circ$ and $0^\circ/90^\circ/180^\circ$, and is one of the most commonly used
437 orientations in the treatment of bone diseases [114]. Differences can be explained by the
438 number of filament connections between layers (higher for $0^\circ/90^\circ/180^\circ$ and $0^\circ/60^\circ/120^\circ$) and
439 pore shape. For instance, square shape ($0^\circ/90^\circ/180^\circ$ orientation) is associated to a low shear
440 storage modulus. Ostrowska *et al.* took their research a step further by analyzing the effect of
441 their PCL scaffold architecture on cell seeding, proliferation and osteogenic differentiation.
442 $0^\circ/15^\circ/30^\circ$ structure presented a higher cell number after 8 hrs since the tortuous architecture
443 of this scaffold helped trap the cells and enabled better seeding. However, after 35 days, the
444 architecture $0^\circ/60^\circ/120^\circ$ displayed the highest cell number. They found only slight variations
445 in the differentiation of MSC on their constructs.

446 In most studies, though, researchers adjust both the FFF parameters and the scaffold
447 composition to achieve optimal properties. Bruyas *et al.* made a very complete study on
448 processing parameters and scaffold composition to print PCL/ β -TCP scaffolds [55]. For
449 instance, they varied the strut distance (air gap), that influenced porosity, and measured the
450 mechanical properties under compression of scaffolds of different compositions. They
451 concluded that porosity was mostly dependent on the strut distance (more porous with longer
452 strut distance), whereas the β -TCP amount had only little influence. They measured Young's
453 modulus values varying from 12 MPa (highest strut distance and highest β -TCP amount) to
454 188 MPa (lowest strut distance and highest β -TCP amount), and yield strength values from
455 0.7 MPa (highest strut distance and highest β -TCP amount) to 15.4 MPa (lowest strut distance
456 and lowest β -TCP amount). According to them, the amount of β -TCP does not influence the
457 Young modulus in the case of high porosity scaffolds while increasing the amount of β -TCP
458 increases the Young modulus in the case of low porosity scaffolds. Regarding the yield

459 strength, the strut distance has clearly more influence than the composition of the scaffolds.
 460 Composition, however, had a major effect on other properties. Indeed, β -TCP greatly
 461 increased the surface roughness of the scaffolds (178.3 ± 67.6 nm, 645.7 ± 84.7 nm, $1193.6 \pm$
 462 97.6 nm, and 1837.6 ± 317.6 nm for β -TCP ratios of 0%, 20%, 40%, and 60%, respectively).
 463 They performed accelerated ageing (alkaline conditions) and demonstrated that increasing the
 464 amount of β -TCP in the composites tremendously accelerated degradation (less than 20 hrs to
 465 fully degrade the 60% filled composite as compared to <1% weight loss for pure PCL after 54
 466 hrs). These differences in properties induced various cellular behaviors. After 11 days of the
 467 proliferation study, the number of cells was about 50% higher on the scaffolds that contained
 468 β -TCP. β -TCP also had a great impact on osteogenic differentiation and 40% and 60%
 469 formulations revealed an increase in the alkaline phosphatase activity at day 11.

470 Many examples of scaffold compositions for TE produced by FFF with several different
 471 processing parameters and scaffold behavior toward cells were found in the literature and
 472 gathered in Table 2.

473 *Table 2 : Non-exhaustive list of scaffolds manufactured for TE printed via FFF and their main characteristics (Tn=nozzle*
 474 *temperature, Tp=platform temperature, Φ =diameter)*

Materials	Process parameters Device main features	Applications	Main results	Ref
PLA-based scaffolds				
PLA	Tn: 195°C Nozzle Φ : 500 μ m Pore size: 350 μ m Strut diameter: 700 μ m Porosity: 40%	Scaffold for bone TE	The pore size and strut diameter measured were very close to the design values (350 ± 80 μ m and 700 ± 120 μ m, respectively) Elastic modulus: 183.62 ± 22.85 MPa and 210.29 ± 19.20 MPa after post-heating $>115^\circ\text{C}$ for 300 s	[115]
PLA	Tn: 210°C Nozzle Φ : 400 μ m Layer thickness: 200 μ m Speed : 30 mm.s ⁻¹ Pore size: 550-600 μ m	Scaffold for bone regeneration	Pore size obtained (550 μ m in XY and 620 μ m in XZ) close to the designed pore size (650 μ m in XY and 600 μ m in XZ) thanks to FFF accuracy No significant degradation after 8 weeks in PBS at 37°C	[116]
PLA and PLA/5%HA	Tn: 200°C Nozzle Φ : 400 μ m Layer thickness: 200 μ m Speed: 50 mm.s ⁻¹ Infill: 100% Perimeters: 3 Top/bottom layers: 3	Scaffold for bone TE	Successful fabrication of a porous 3D maxillary sinus via FFF Effects of HA: Good dispersion, \uparrow flexural modulus (~7%) HA content should be increased	[117]

PLA and PLA/HA 50/50	Tn(first layer) : 190°C Tn(further layers) : 180°C Nozzle Ø : 900 µm Speed : 30 mm.s ⁻¹ Theoretical porosity: 50% Size: 12.7 × 12.7 × 25.4 mm ³	Scaffold for bone regeneration	FFF enables the use of particles, even in high amounts Effects of HA: ↑ surface roughness, homogeneous dispersion, ↑ porosity (from 39% to 55%), ↑ available surface area, amorphous material, ↓ stiffness (239 MPa to 124 MPa) but still compatible with that of trabecular bone (129 MPa)	[58]
PLA and PLA/15%HA	Tn(PLA): 180°C Tn(PLA/HA): 210°C Nozzle Ø: 350 µm Layer thickness: 200 µm Speed: 30 mm.s ⁻¹ Pore size: 700 µm (design 800 µm) + interconnectivity Porosity: 30%	Self-fitting implants for bone replacement	Obtained pore size of 700 µm close to that of the modeled pore size of 800 µm thanks to FFF Effect of HA: crack inhibition during compression-heating-compression cycles	[118]
PLA and PLA/Titanium (Ti) particles	Tn: 210°C Tp: 90°C Nozzle Ø: 400 µm Layer thickness: 200 µm Speed: 30 mm.s ⁻¹ Pore size: 560-790 µm Porosity: 47%	Scaffold for bone TE	FFF permitted to obtain a porous scaffold with controlled porosity and without cracks or defects, matching the initial design ↑ compressive/tensile properties with 5 vol% and 10 vol% of Ti Compressive strengths and moduli in the ranges of 20–30 MPa and 5–7 GPa (between those of human cancellous and cortical bones) Improved biocompatibility with Ti particles Higher surface roughness with Ti (↑ cell attachment + osteogenic differentiation)	[119]
PLGA-based scaffolds				
PLGA; comparison with PCL	Tn: 110°C Pressure: 670 kPa Nozzle Ø: 350 µm No chamber = fabrication at room temperature Size: 10 × 10 × 5 mm ³ Pore size: 600 µm + interconnectivity	Scaffold for bone TE	FFF was found to be a promising tool for scaffold fabrication (uniform pore size, regular layers throughout the scaffold thickness) Compressive modulus: 2.55 ± 0.11 MPa PLGA = safe scaffold material Bone generation but high degradation (no scaffold structure remained) PCL was judged more promising (longer degradation rate = better for large defects) even without carrying cells before insertion	[111]
PLGA and PLGA/collagen II	Tn: 170°C Nozzle Ø: 150 µm Cylinder: Ø 7.5 mm; height 2.5 mm Stacking angles in 4D (0°/90°/45°/135°) or 8D	Scaffolds for cartilage TE	FFF induced a decrease of PLGA M_w of 40% PLGA scaffolds: interconnected macropores PLGA/collagen II scaffolds: sponge-like structure (50–150 µm pores +	[107]

	(0°/90°/45°/135°/22.5°/67.5°/112.5°/157.5°) Spacing between adjacent fibers in the same layer: 0.35 mm, 0.65 mm or 1.15 mm		interconnectivity) Mechanical strength comparable to that of native cartilage ↑ cell seeding ratio from 70% to 90% for higher fiber spacing Smaller fiber spacing induced accumulation of acidic degradation products = autocatalytic effect and further degradation	
PCL-based scaffolds				
PCL	Tn: 80°C-90°C Nozzle Ø: 400 µm Speed: 5 mm.s ⁻¹ Number of layers: 4	Scaffolds for TE	The FFF technology used did not strongly affect the geometry of the samples Complete cell (fibroblasts) adhesion and colonization of the structure	[120]
PCL and PCL/β-TCP; comparison with collagen	Tn: 110°C Pressure: 500 kPa Line width: 500 µm Size: 10 × 10 × 0.32 mm ³ Pore size: 250 µm	Membrane for guided bone regeneration (dentistry)	FFF permitted the use of biomaterials, blends and addition of powders + greater structural stability than that of commercially available collagen membranes Higher mechanical properties of collagen when dry Higher elastic modulus of PCL (171 MPa) and PCL/β-TCP (213 MPa) when wet (collagen: 12 MPa) Similar tensile stresses when wet: ~ 5 MPa PCL/β-TCP membrane demonstrated better bone regeneration performance than the collagen membrane	[52]
PCL + chitosan hydrogel filling (hybrid scaffold)	Tn: 130°C Pressure: 1.5-3.0 bar Nozzle Ø: 400 µm Speed: 1-3 mm.s ⁻¹ Pore size: 325.2 ± 26.3 µm Porosity: 62.4 ± 0.23%	Scaffold for bone TE	FFF allowed the printing of a porous PCL scaffold with well-controlled open and interconnected pores that allowed for a successful hydrogel filling afterwards Compressive strength: 6.7 MPa Low degradation ratio of PCL Favorable microenvironment for cell growth and osteogenesis	[121]
PCL and PCL/HA15%	Lay-down pattern: 0°/60°/120° Size: 10 × 10 × 8 mm Porosity: 65%	Scaffold for bone TE	FFF-made scaffolds may have a load-bearing application compared to foams that have low strength and low stiffness Stiffness: 7-11 MPa Increased after <i>in vivo</i> implantation = growth of tissue and ECM Constant rate of cell proliferation Tissue sheets were observed No significant difference between PCL and PCL/HA	[122]

476 Several benefits of FFF for the production of scaffolds can be identified from all of these
477 studies; the main one being the fine control of the scaffold structure. The differences between
478 the modeled scaffolds and the printed ones reside in the polymer thermal expansion during
479 printing or shrinkage but this can be rectified by designing slightly wider pores, knowing the
480 polymer thermal behavior, or readjusting the printing parameters. Also, it has been
481 highlighted that the structures of the scaffolds obtained by FFF are more likely to enable load-
482 bearing, as compared to traditional techniques that often lead to scaffolds with low strength
483 and stiffness [122]. Moreover, the ability to use a variety of materials, even those composed
484 of particles, offers promising new possibilities. Therefore, a well-set FFF process combined to
485 a good choice of materials and bioactivity “boosters” provide very promising perspectives for
486 scaffolding in TE.

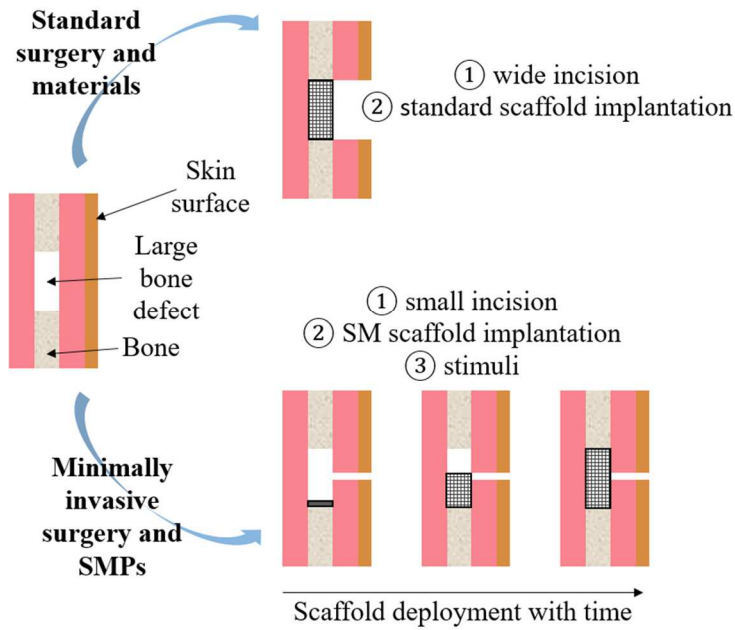
487 However, further disadvantages of the FFF technique also emerge from these studies.
488 Material degradation can occur at high temperature, when hydrolysis-sensitive polymers are
489 processed, which is most often the case, but this problem can be partially solved, by
490 thoroughly drying the materials before printing. In addition, low-temperature deposition
491 manufacturing techniques based on FFF can be used [123]. The main drawback that can be
492 deduced by looking at Table 2 is the limited resolution conditioned by the nozzle diameter.
493 Indeed, the smallest nozzle diameter of all the studies described was found to be 150 μm . This
494 results in a deposited filament with a thickness equal to or greater than this value and,
495 therefore, scaffolds with rather low porosities (30% to 65%) compared to what can be
496 obtained with other techniques (e.g. porosity of 94% with electrospinning [124]). In addition,
497 the use of small diameter nozzles limits the ability to process materials that contain particles
498 or fibers as they can cause clogging.

499 Nevertheless, the FFF process offers many new possibilities, among which the use of shape-
500 memory polymers for scaffolding seems to be of particular interest.

501 IV. Shape-memory polymers for scaffolding

502 IV.1. Principle of SMPs for biomedical applications

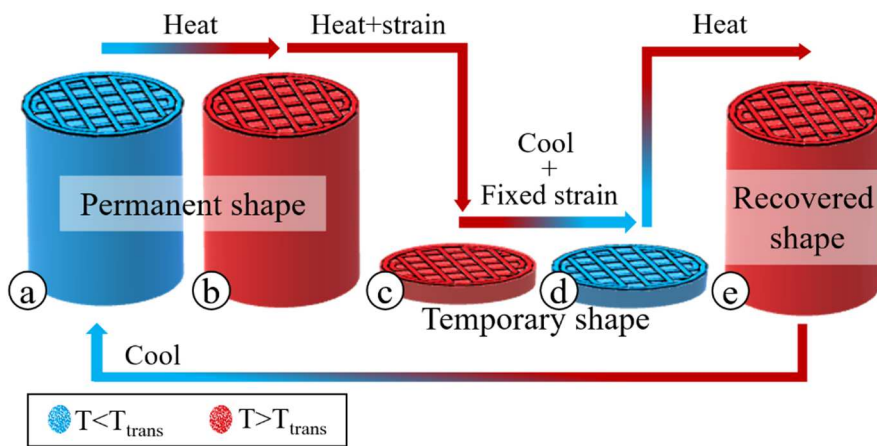
503 Shape-memory polymers (SMPs) are of great interest in biomedical applications based on the
504 principle that a small temporary shape can be used to avoid invasive surgery and facilitate
505 patients' healing (Figure 8).



506

507 *Figure 8 : Example of the interest of using SMPs for minimally invasive scaffold implantation for large bone defects*

508 SMPs can recover from a temporary shape to their permanent shape under certain external
 509 stimuli, such as water [125], pH [126], light/laser [127–129], magnetism [130] or temperature
 510 [131,132]. Most reported SMPs are thermo-activated and have two different phases, a hard
 511 phase and a switching phase [133]. They can be deformed from their permanent shape (Figure
 512 9 a) to a temporary shape by increasing temperature above their transition temperature (T_{trans})
 513 (Figure 9 b) and by applying a certain strain (Figure 9 c) to respectively soften and deform the
 514 switching segments (reversible). The temporary shape is then fixed by decreasing temperature
 515 below T_{trans} and maintaining the strain (Figure 9 d). By re-increasing temperature above T_{trans} ,
 516 the deformed part recovers its permanent shape through the hard segments (Figure 9 e).



517

518

Figure 9 : Mechanism of a thermo-sensitive SMP scaffold

519 T_{trans} is generally a melting temperature (T_m) or a T_g , depending on the polymer type
520 (thermoset, thermoplastic, blend, copolymer, amorphous or semi-crystalline polymer) and on
521 the type of cross-links. Cross-links can be chemical (covalent bonds) and physical (weak
522 interactions, crystalline domains, entanglements, etc.), and enable to set the permanent shape
523 and to return to it upon heating above T_{trans} while the switching segments are soft [134].

524 The ability of an SMP to recover its permanent shape can be quantified by the shape-recovery
525 ratio (R_r) according to equation (1).

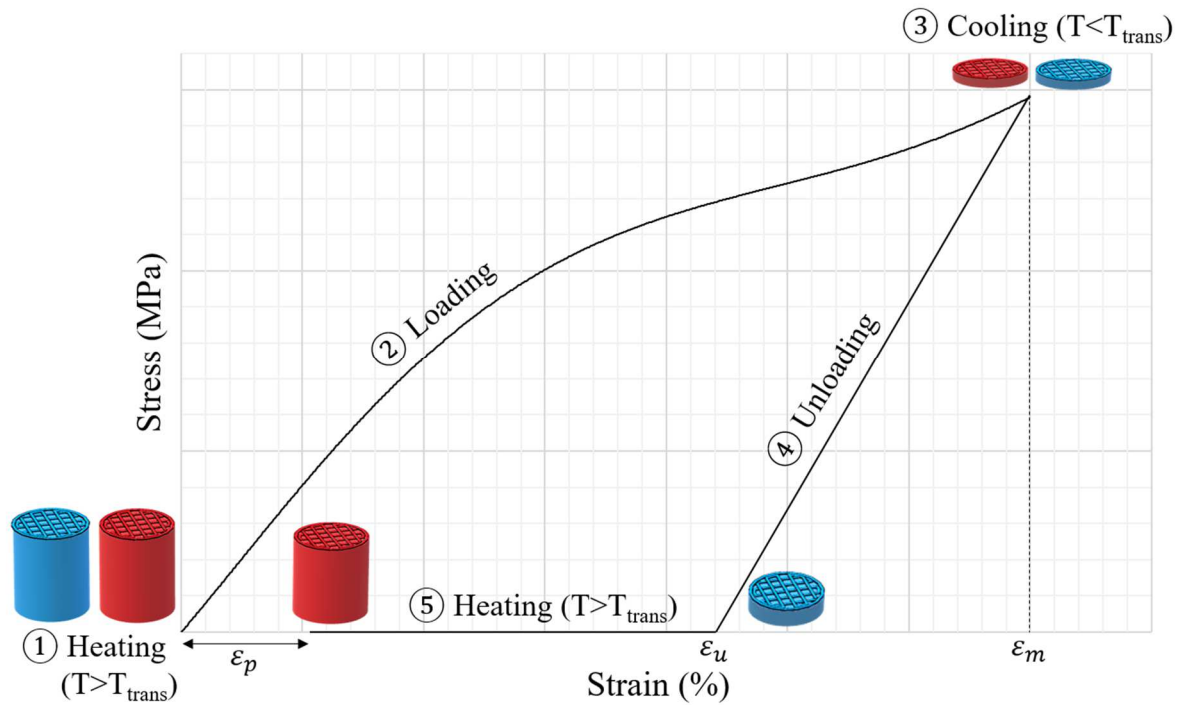
$$R_r = \frac{\varepsilon_u - \varepsilon_{p(N)}}{\varepsilon_m - \varepsilon_{p(N-1)}} \times 100 \quad (1)$$

526 Where N is the cycle number, ε_p is the strain of the stress-free sample before applying yield
527 stress. ε_u is the strain of the stress-free sample after the programming step and ε_m is the
528 maximum strain under loading [135]. The higher the R_r , the more complete the recovery of
529 the part.

530 Shape-fixity ratio (R_f), on the other hand, characterizes the material's ability to keep its given
531 temporary shape. It can be calculated by following equation (2) [135].

$$R_f = \frac{\varepsilon_{u(N)}}{\varepsilon_m} \times 100 \quad (2)$$

532 Achieving a high R_f is essential to prevent the part from premature expansion and recoil
533 [136]. Figure 10 represents these notions. After heating (1), the polymer is loaded (2) and
534 cooled down under loading (3). Then, the scaffold is unloaded (4) and goes from its maximum
535 strain under compression (ε_m) to its strain without stress (ε_u), and it can be noticed in this
536 example that shape fixity is not equal to 100% as $\varepsilon_m \neq \varepsilon_u$. After being reheated (5), the
537 scaffold recovers its permanent shape but does not reach a 0% strain, revealing that shape
538 recovery is not optimal either.



539

540

Figure 10 : Shape memory cycle test on an SMP scaffold

541 Various chemical and physical networks can trigger shape-fixing and shape-recovery
 542 mechanisms, dividing SMPs in several different categories. Liu *et al.* classify them in four
 543 categories: chemically cross-linked glassy thermosets, chemically cross-linked semi-
 544 crystalline rubbers, physically cross-linked thermoplastics and physically cross-linked block
 545 copolymers [137]. Other classifications also exist. Sun *et al.* classified SMPs into two
 546 categories: covalently/physically cross-linked polymer network structures and polymer blends
 547 [138]. Indeed, blending two immiscible polymers together permits to generate two immiscible
 548 phases, with one of the polymers being highly crystalline and acting as the hard phase while
 549 the other one is amorphous and acts as the switching phase. Karger-Kocsis and Kéki
 550 distinguish thermo-activated SMPs as a function of their T_{trans} , which is either a T_g or a T_m
 551 [139].

552 SMPs are used in biomedical applications based on the facts that the body has a temperature
 553 of 37°C (for heat-activated SMPs), that its pH is about 7.4 (for pH-activated SMPs) and that it
 554 contains water (for water-activated SMPs). Sometimes, SMPs with several stimuli can be
 555 obtained, such as in the study of Sessini *et al.*, who designed blends of ethylene-vinyl acetate
 556 and thermoplastic starch responsive to both humidity and thermal stimuli [140].

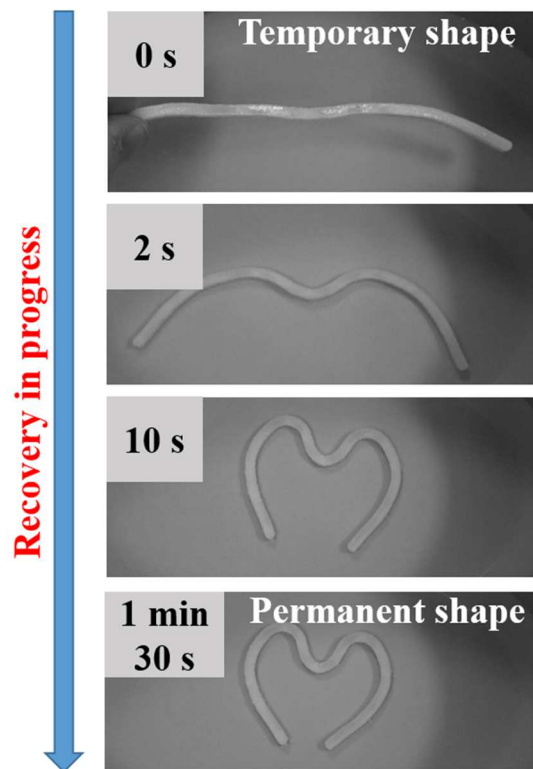
557 IV.2. Biodegradable and biocompatible SMPs

558 PUs include thermosetting PUs and thermoplastic PUs, also known as TPUs. The latter are
559 copolymers with alternating hard and soft segments. Depending on the nature of these
560 segments, their proportion, etc., an impressive variety of TPUs can be synthesized with all
561 kinds of properties, such as shape memory (SM) for some of them. Biodegradable PU-based
562 SMPs seem to have potential for medical applications because of their properties such as their
563 biocompatibility and their T_g that can be tailored [141]. PCL, PLLA, PLGA, and many others,
564 can be used as soft segments [142]. However, elasticity is not always optimal and the rate of
565 biodegradation of such PU-based SMPs is lower (weight loss < 2.5% after 10 weeks of
566 immersion in PBS at 37°C) than that of other common biodegradable polymers (weight loss
567 close to 65% after 10 weeks for PLGA in the same conditions [63]). Blends of TPU and other
568 polymers such as PLA are commonly used to enhance the degradation rate of the resulting
569 material and as a counterpart, increase the other polymer SM properties [143,144]. Many
570 other biodegradable polymers (PLA, PCL etc.) present SM properties. However, the main
571 problems are that the recovery stress, shape-recovery, shape-fixity ratios, etc. may not be high
572 enough to be used for very precise applications such as in the biomedical field. Moreover,
573 PLA is brittle, poorly resistant to impact and has a low elongation at break, reducing its
574 suitability as SMP with high strain ratios. For these reasons, polymers are rarely used pure,
575 but rather blended with other matrices, additives and/or plasticizers. Custom copolymers can
576 also be synthesized to meet specific needs. In Zheng *et al.*'s research, the addition of particles
577 (HA) improved the shape-memory effect (SME) of PDLA composites, which exhibited
578 higher shape-recovery ratios (over 95%) as well as faster recovery times (higher speeds of
579 recovery) as compared to pure PDLA (R_r around 80%) [145]. Zhang *et al.* managed to
580 enhance PLA elongation at break by blending it with biodegradable polyamide elastomer,
581 while providing it with remarkable SM properties (recovery to permanent shape after 100%
582 strain in 8 s and 3 s at 80°C and 90°C, respectively) [146]. However, in order to be used in the
583 biomedical field, the T_{trans} of SMPs should be lower than 60°C and this temperature should
584 only be maintained for a short period of time to avoid tissue necrosis [147]. The use of
585 plasticizers can be a good way to decrease the T_{trans} of SMPs by enhancing chain mobility,
586 while increasing the elongation at break of fragile polymers such as PLA. Poly (ethylene
587 glycol) (PEG) is often used because of its biocompatibility and has been found in numerous
588 studies, blended (or copolymerized) with PLA and other polymers [148–151]. Cai *et al.* were
589 able to decrease the T_{trans} of PLA/TPU blends and noticed a threshold value (10% PEG) above

590 which the increase in SM properties was no longer significant [150]. In the study of Guo *et*
591 *al.*, PEG blended with PLA permitted to obtain suitable morphology (fewer pores, as samples
592 were produced by solvent evaporation), hydrophilicity, and mechanical properties without too
593 much impacting the SM properties [149]. Peterson *et al.* published a complete review
594 dedicated to biodegradable SMPs in medicine, where a table containing 50 different
595 formulations can be found [152].

596 IV.3. 4D printing for scaffold production via FFF

597 4D polymer printing combines the advantages of AM and those of SMPs to make smart
598 objects. With time as an additional dimension to 3D printing, the term 4D printing was
599 introduced. An example of a 4D printed object is given in Figure 11. A heart-shaped part was
600 4D printed via FFF and placed in hot water ($\sim 70^\circ\text{C}$) to be deformed into a temporary shape
601 (Figure 11-0 s) by holding the part during cooling. The temporary shape was then placed in a
602 hot water bath at 70°C and its recovery was recorded until the part regained its permanent
603 shape (Figure 11-1 min 30 s).



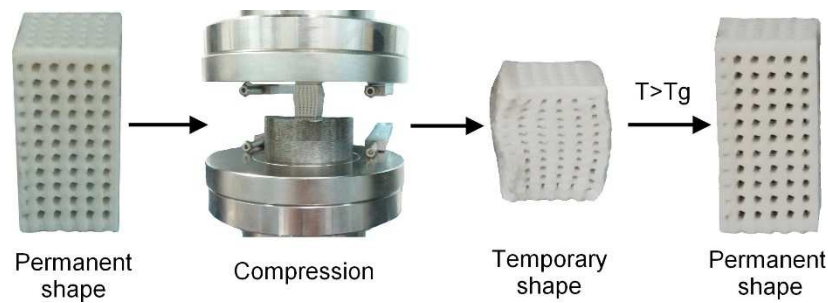
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605 *Figure 11 : 4D printed heart-shaped object taking back its permanent shape from a temporary shape (0 s) in hot water*

606

$\sim 70^\circ\text{C}$; TPU with a T_{trans} of 43°C

607 As mentioned earlier, not all thermoplastics are easily printable via FFF and are well suited to
608 biomedical applications and many fewer have SM properties. Therefore, only a few 4D
609 printed (FFF) scaffolds were found in the literature. Senatov *et al.* used PLA to manufacture
610 SM porous scaffolds (Figure 12 and Figure 13) because of its suitable rheological and SM
611 properties, due to physical entanglements of the long chains of PLA, playing the role of fixing
612 phase [118,153].



613

614 *Figure 12 : 4D printed scaffold programming and recovery. Reprinted with permission from Ref. [118], copyright (2016),*
615 *Elsevier.*

616 They added inorganic particles of high stiffness, in this particular case, HA, to provide
617 bioactivity as well as an additional fixing phase. In their first study, they almost doubled the
618 compression strength (at 15% strain) of the HA-filled scaffold as compared to that of the pure
619 PLA one and achieved a great shape-recovery ratio of 98% after the first compression cycle
620 [118]. They also reached a maximum recovery stress of 3 MPa at 70°C but concluded that the
621 SME activation temperature should be decreased for their scaffolds to be deployed in the
622 human body. In the second study, they revealed that their PLA/HA SM scaffolds induced
623 viable MSCs and stimulated their proliferation [153].

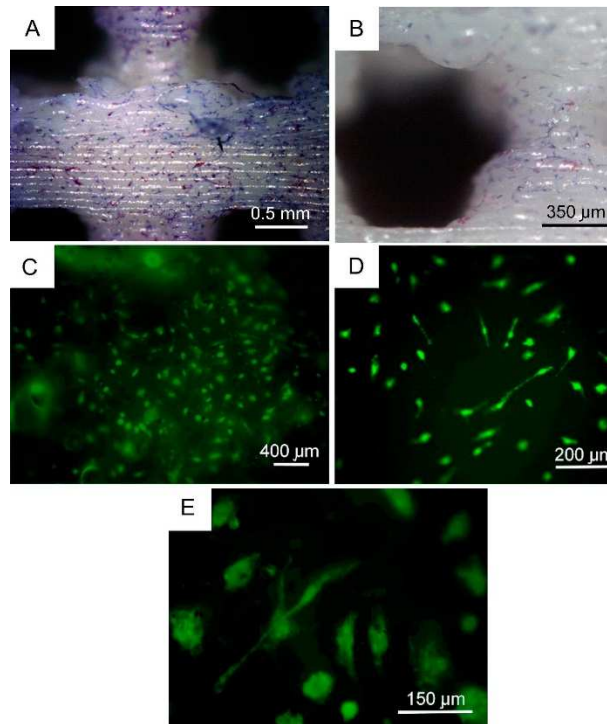


Figure 13 : PLA/HA 4D printed (FFF) scaffold showing, in green, MSC colonization at its surface. Reprinted with permission from Ref. [153], copyright (2017), Elsevier.

624

625
626

627 Kutikov *et al.* studied the SM properties of thermoplastic amphiphilic poly (D,L-lactic acid-
628 *co*-ethylene glycol-*co*-D,L-lactic acid) (PELA) and HA-PELA composites and successfully
629 printed (via FFF) biodegradable scaffolds from these materials, which exhibited rapid
630 recovery (~3 s) at 50°C [147]. The SME of amphiphilic polymers can be attributed to
631 segregation between the hydrophilic and hydrophobic phases, where the phase with the
632 highest thermal transition induces the hard segments while the other phase constitutes the soft
633 part. Hendrikson *et al.* used a shape-memory PU DiAPLEX[®] MM 3520 from SMP
634 Technologies Inc. to print scaffolds and found maximum recovery at 37°C after 30 min [154].
635 They tried two raster orientations, 0°/45°/90° and 0°/90°/180° and revealed that the scaffolds
636 built with the 0°/45°/90° raster orientation exhibited a higher shape recovery. As the surface
637 of the scaffolds was not adequate for cell attachment, they functionalized them by covalently
638 binding type I collagen. Results of MSC culture at 37°C for 14 days revealed 100% cell
639 viability, leading to the conclusion that these scaffolds have potential to be used for tissue
640 regeneration. However, the scaffold biodegradability was not assessed.

641 4D printing of scaffolds via FFF encounters similar difficulties as 3D printing via the same
642 printing technique (limited mechanical properties, anisotropy, shrinkage/warpage of the parts,
643 thermal degradation, rather low scaffold porosity, impossibility to use polymers other than
644 thermoplastics). In 4D printing by FFF, these difficulties are more apparent as the choice of

645 materials is even more limited, particularly for the production of scaffolds. Indeed, these
646 materials must be biocompatible, biodegradable, possess SM properties (with $37^{\circ}\text{C} < T_{\text{trans}} \ll$
647 60°C), in addition to being thermoplastic. These materials are rare, as evidenced by the
648 scarcity of studies on the subject, and the development of new polymers meeting these criteria
649 is growing.

650 Conclusions and perspectives

651 Additive manufacturing and especially fused filament fabrication (FFF) have a great future
652 for medical applications, and affordable implants adapted to the patients' needs should
653 become more common in the biomedical and regenerative medicine fields.

654 Many advantages of the FFF processing technique were highlighted in the reviewed studies,
655 such as the possibility of printing complex load-bearing parts like scaffolds for tissue
656 engineering (TE). Studies revealed the possibility of finely controlling the properties of the
657 scaffolds (biocompatibility, bioactivity, biodegradation, mechanical properties, shape
658 memory, etc.) by choosing appropriate materials (neat polymers, filled polymers, copolymers,
659 polymer blends, etc.) and suitable scaffold structures (depending on process parameters like
660 raster width, air gap, layer thickness, angle deposition, etc.). On the one hand, results
661 described in this review are very promising and tend to encourage researchers to continue to
662 optimize FFF printed scaffolds. On the other hand, a severe lack of available biomaterials
663 well suited for scaffolding and developed especially for FFF was pointed out, opening up new
664 research opportunities.

665 Moreover, many studies deal with the influence of printing parameters on the properties of the
666 parts but these studies generally use materials that are not adapted for scaffolding. Research
667 also seems to be at an academic level since the printed parts are often test specimens and not
668 parts for special applications. This induces many research perspectives in the field of
669 scaffolds for TE produced by FFF, since printing parameters have an influence on their
670 properties. This has been addressed in a few studies but this has rarely been combined with
671 shape-memory polymers (SMPs), even though printing parameters seem to have a great effect
672 on their efficient deployment in the human body. Nevertheless, the few studies concerning 4D
673 printing for the production of scaffolds are very encouraging and make the use of SMPs
674 realistic for this kind of application.

675 Declaration of interest

676 The authors declare that they have no known competing financial interests or personal
677 relationships that could have appeared to influence the work reported in this paper.

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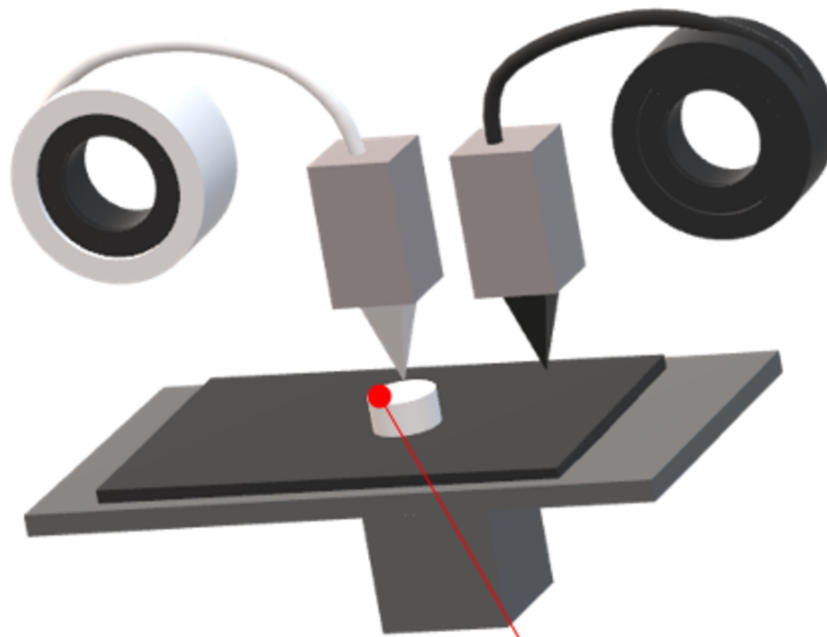
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Material's features

Biocompatibility
Bioactivity
Biodegradability
...



FFF settings

Temperatures
Layer thickness
Deposition angles
Filling rate
Build orientation
...

3D printing (FFF) of scaffolds

Scaffold properties

Pore size
Porosity
Mechanical properties
Microstructure
Shape-memory
Behavior *in vivo*
...

