

Revisiting taxonomy of cephalopod prey of sperm whales caught commercially in subtropical and Southern Ocean waters

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1 RESEARCH PAPER

3	Revisiting taxonomy of cephalopod prey of sperm whales caught
4	commercially in subtropical and Southern Ocean waters
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18 ABSTRACT

19 The sperm whale is the largest toothed whale that feeds almost exclusively on oceanic cephalopods. Since it was actively hunted commercially, considerably more is known about 20 21 its food than for many other large marine apex predators. However, the use of those unique dietary information is today hampered by out-of-date cephalopod taxonomy. Here, the names 22 of cephalopod prey of sperm whales were revisited by reviewing taxonomic investigations 23 and tracking over time the names of sclerotized beaks that accumulate in predators' stomachs. 24 25 The study focused on the seminal investigations by Clarke (1980) and Clarke and MacLeod (1982), which form the basis of our knowledge on the feeding habits of sperm whales in the 26 27 Southern Hemisphere. Forty-five different beaks were identified, of which the labelling of 24% taxa is valid today, 7% are still undetermined, and the name of the 69% remaining beaks 28 had to be changed due to improvement in both taxonomy (31%) and beak identification 29 30 (27%), and to initial misidentifications (11%). Few taxonomic changes occurred at the family level, but changes at the species level are substantial and reveal the dietary importance of 31 32 poorly known squid species (e.g. Galiteuthis suhmi, Histioteuthis macrohista). Within the 33 southern subtropics, sperm whales feed primarily on five histioteuthids, with Histioteuthis atlantica ranking first numerically, and on octopoteuthids, cranchiids and onychoteuthids. In 34 contrast, whales caught in the Antarctic prey mainly upon three species of Southern Ocean 35 endemics, the cranchiid Mesonychoteuthis hamiltoni, and the onychoteuthids Filippovia 36 knipovitchi and Moroteuthopsis longimana. In conclusion, revisiting taxonomy allowed a 37 better understanding of the deep-sea ecosystem by improving our knowledge on oceanic 38 39 cephalopods and on the feeding habits of sperm whales, a cephalopod predator that has a key trophic role in the oceans. 40

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42 *Keywords:* Antarctica, cetacean, Histioteuthidae, odontocete, squid, trophic relationships

The sperm whale *Physeter macrocephalus* is the largest of the toothed whales 45 (odontocetes) and the commonest large cetacean today. It has a wide geographic range from 46 the equator to high latitudes, where its diet consists almost exclusively of oceanic 47 cephalopods, mainly oegopsids, with fish being a significant prey group in some areas (Clarke 48 49 1996). The sperm whale is an apex predator that was estimated to consume ~ 110 (96-320) million tons of cephalopods each year, thus highlighting its major role and the importance of 50 cephalopods both as prey and as consumers within the trophic web of the pelagic ecosystem 51 52 of the World Ocean (Clarke 1977, Santos et al. 2001). Since the sperm whale was historically heavily harvested, considerably more is known about its food than about other cephalopod-53 eating whales (Clarke 1986b). 54

55 The initial step in studying the feeding habits of sperm whales was to identify cephalopods morphologically, with unfortunately no or little quantification of the species 56 57 involved (Kawakami 1980, Mikhalev et al. 1981). The second step was to use the cephalopod beaks that accumulate by hundreds and thousands in the whale stomach (over 18,000 beaks in 58 a single stomach; Clarke 1986b). Identification and quantification of cephalopod prey of 59 marine predators by using the morphology of their sclerotized beaks was initiated in the 60s 60 and subsequently developed with an initial focus on sperm whale food (Clarke 19862a,b, 61 1972, 1986a). The method was at its best in a seminal and beautifully illustrated monography 62 that depicts the feeding habits of sperm whales caught in the southern subtropics and the 63 64 Southern Ocean (Clarke 1980). Tens of thousands of lower beaks were described, illustrated, numbered and measured, thus allowing detailed identification of the cephalopod prey of 65 sperm whales in South Africa, western Australia and in the Antarctic. This and subsequent 66 publications (Clarke and MacLeod 1982, Pascoe et al. 1990, Clarke and Roper 1998, Evans 67

and Hindell 2004, Gomez-Villota 2007) form the basis of our knowledge about the food of
this overwhelmingly important cephalopod predator worldwide.

Unfortunately, several limitations now hinder an easy use of those data. Firstly, the 70 71 initial monograph (Clarke 1980) was published in an important journal that is now difficult to get access to a copy. Secondly, and more fundamentally, our knowledge of cephalopod 72 taxonomy has developed over the last 40 years, thus obscuring the picture regarding beak 73 names in previous investigations. Four features are notable within that context. Cephalopod 74 75 taxonomy improved, thus inducing (i) new names for well-known species (e.g. Filippovia knipovitchi, formerly Moroteuthis knipovitchi; Bolstad 2010), and (ii) the description of new 76 77 species to science (e.g. Asperoteuthis lui; Braid 2017). Moreover, improvement in the identification of lower beaks resolved (iii) previous mismatches between beak names and 78 well-described species (e.g. ?Psychroteuthis, now Discoteuthis discus; Clarke and Roeleveld 79 1998), and (iv) the links between well-described beaks and the corresponding squid species 80 (e.g. Moroteuthis A and Moroteuthopsis ingens; Clarke 1986a). Hence, a recent review of 81 82 taxonomy and of the tracking of beak names over time increased substantially the diversity of pelagic squids in the Southern Ocean and underlined the importance of previously poorly 83 known taxa as prey of seabirds and marine mammals (Cherel 2020). 84

The main goal of the present work was to synthesize the dispersed information on 85 cephalopod taxonomy and on identification of cephalopod beaks to upgrade the list of 86 cephalopod prey of sperm whales in southern subtropical waters and in the Southern Ocean. 87 Its ultimate objective was to facilitate the use of this important scientific literature to 88 89 researchers developing programs on cetaceans and on cephalopods, their trophic relationships, and on the functioning of the pelagic ecosystems of the Southern Hemisphere. Revisiting the 90 91 food of sperm whales caught commercially brings up to date unique data sets that cannot be collected again, because commercial whaling has ceased and the species is protected 92

worldwide. The sperm whale is today globally designated as Vulnerable on the IUCN Red
List of Threatened species (Taylor et al. 2019).

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2. Materials and methods

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98 2.1. Study sites, physical oceanography and biogeography

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The study focused on two sperm-whale dietary investigations that complement each 100 other in terms of geographical coverage of the Southern Hemisphere, from the southwestern 101 Atlantic to the southwestern Pacific Ocean, thus including the southern Atlantic and Indian 102 Oceans (Clarke 1980, Clarke and MacLeod 1982). Food samples came firstly from three 103 whaling stations that were located on the west coast (Donkergat) and on the east coast 104 105 (Durban) of South Africa, and on the west coast of Australia (Albany) (Clarke 1980). Secondly, some samples were collected from pelagic factories operating in the Tasman Sea 106 107 (Clarke and MacLeod 1982) and in the Antarctic, including South Georgia and the pelagic 108 Antarctic (the South Shetland Islands and South Orkney Islands) (Clarke 1980).

According to physical oceanography, sperm whales were caught in subtropical waters 109 and within the Southern Ocean. The latter is defined as water masses located south of the 110 Subtropical Front (STF). The main marine fronts within the Southern Ocean are the 111 Subantarctic and the Polar Fronts; they delineate the following oceanic zones, from North to 112 South: the subantarctic Zone (SAZ), Polar Frontal Zone (PFZ) and Antarctic Zone (AZ) 113 (Pollard et al. 2002). The oceanic zone north of the Southern Ocean (north of the STF) is the 114 Subtropical Zone (STZ). Hence, sperm whales from Donkergat (western South Africa), 115 Durban (eastern South Africa) and Albany (western Australia) (Clarke 1980) were caught 116 within the STZ of the eastern Atlantic, and of the western and eastern Indian Ocean, 117

respectively. Whales from the Tasman Sea (Clarke and MacLeod 1982) were killed mainly
within the STZ of the western Pacific, with some of them coming from the SAZ (to 47°S).
Finally, sperm whales from South Georgia, and from the South Orkney and South Shetland
Islands (Clarke 1980) were caught further south, within the AZ of the southwestern Atlantic.

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2.2. Analysis and nomenclature

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Cephalopod beaks that were identified in sperm whale food samples (Clarke 1980, Clarke and 125 MacLeod 1982) were listed and the validity of each taxon was thoroughly examined using 126 various means (Table 1), including successive updates by M Clarke (Clarke and MacLeod 127 1982, Clarke 1986a, Clarke et al. 1993, Clarke and Roeleveld 1998, Clarke and Roper 1998, 128 Clarke and Young 1998). Synonymies of beak names were tracked over publications and time 129 130 (details in Cherel 2020). The work also benefited from the opportunistic examination of beaks from Clarke's collection by the author, because beaks from sperm whale stomachs (Clarke 131 1980) were deposited to several museums and scientific organizations, including the British 132 Antarctic Survey (Cambridge, UK), and the Port Elizabeth Museum at Bayworld (South 133 Africa). The work on beaks was completed by a review of articles (1980-2020) and of recent 134 grey literature (PhD) devoted to species, species groups and assemblages to complete and 135 update both taxonomy and biogeography (e.g. Braid 2017, Evans 2018, Fernandez-Alvarez 136 2018, Kelly 2019). 137

The three following issues limited the retrospective analysis of dietary data on fresh items. Firstly, the lack of detailed information precludes knowing which cephalopods were caught within the STZ and/or within the SAZ of the Tasman Sea (Clarke and MacLeod 1982). However, since only one whaling position lied within the SAZ, it was assumed that all the cephalopods from the Tasman Sea refer to the STZ (Tables 2 and 3). Secondly, while it is

expected that the southernmost caught fresh Octopoteuthis specimens in the Tasman Sea (in 143 the SAZ, at 47°S) refer to *Octopoteuthis fenestra* (see below), it was not detailed how many 144 individuals were eaten by the whales, thus explaining why O. fenestra was not listed in Table 145 146 2. Thirdly, I removed two Filippovia knipovitchi and added two Onykia robsoni amongst the fresh remains of cephalopods from South Georgia (Table 2), because (i) fresh remains of O. 147 robsoni were identified from sperm whales caught at South Georgia, but no fresh specimens 148 were recorded in the corresponding table (Clarke 1980), (ii) there was a mismatch in the 149 number of fresh F. knipovitchi when comparing different tables (two more or less specimens), 150 and (iii) beaks of the two species are closely-related and easy to confuse, thus likely 151 152 explaining why their accumulated beaks were pooled (Clarke 1980).

It is important to consider the following issues regarding data on accumulated beaks. 153 Retrospective analysis necessitated back calculation of the beak numbers of some species by 154 155 using percentages that were indicated within the text and tables (Clarke 1980). There were also some limitations due to the initial data analysis (Table 3). Firstly, lower beaks of 156 157 Liguriella podophtalma included those from Galiteuthis glacialis (see below). Secondly, beaks of Histioteuthis eltaninae at South Georgia and in the pelagic Antarctic were pooled 158 with those of *H. atlantica*, as were beaks from *O. robsoni* with those of *F. knipovitchi* (Clarke 159 1980). Thirdly, according to beak size and location, Octopoteuthis spp. included two to three 160 different taxa (see below) that were numbered in Clarke and MacLeod (1982) but pooled in 161 Clarke (1980). Fourthly, beaks called "Others" included those from Discoteuthis discus at 162 Donkergat and Durban (Clarke 1980). Finally, the lack of a database including detailed beak 163 measurements (lower rostral length, LRL) precluded updating the estimation of cephalopod 164 size (mantle length, body mass) and thus of the absolute and relative species-specific 165 biomasses consumed by sperm whales. 166

Overall, forty-five different beaks were identified and described in Clarke (1980) and Clarke and MacLeod (1982). Amongst those 45 cephalopod taxa (Table 1), the labelling of 11 (24.4%) beaks was right, three (6.7%) are still undetermined at the species level, and the name of the 31 (68.9%) remaining taxa had to be changed due to improvement in both taxonomy (n = 14, 31.1%) and beak identification (n = 12, 26.7%), and to initial misidentifications (n = 5, 11.1%).

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3.1. Taxonomic updates and species notes

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179 Most taxonomic updates were detailed in Cherel (2020), but some taxa required some180 additional information.

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182 3.1.1. Chiroteuthidae

Five Chiroteuthis beaks, namely C. joubini, C. ?joubini, Chiroteuthis sp. A, 183 Chiroteuthis sp. B and Chiroteuthis sp. C were described in Clarke (1980) and Clarke and 184 MacLeod (1982). While Chiroteuthis sp. A and Chiroteuthis sp. C were subsequently 185 synonymized with C. joubini and C. veranvi, respectively (Clarke et al. 1993), the species 186 identification of C. ?joubini, and Chiroteuthis sp. B was problematic. The presence of 187 luminous patches on eyes rather than photophores and its Antarctic location of capture 188 suggests that the single head of Chiroteuthis sp. B corresponds to C. veranyi. C. ?joubini 189 beaks are closely similar to those described as Chiroteuthis A from sperm whales caught off 190 Peru (Clarke and MacLeod 1982). Drawings of Chiroteuthis A beaks (Clarke et al. 1976) look 191 like those of *C. veranyi*, which is the single species of *Chiroteuthis* recorded in the area (Nesis 192

193 1987, Alexeiev 1994). Overall, this suggests that *C. ?joubini* and *Chiroteuthis* sp. also
194 correspond to *C. veranyi*.

Two recent taxonomic studies synonymized ?*Mastigoteuthis* A and ?*Mastigoteuthis* B (Clarke 1980) with two species of the chiroteuthid genus *Asperoteuthis*, *A. lui* (Braid 2017) and *A. acanthoderma* (Cherel 2021), respectively. ?*Mastigoteuthis* B beaks were erroneously named *Mastigoteuthis* A in Table 1, but not in the text of Clarke and MacLeod (1982).

199 3.1.2. Cranchiidae

Lower beaks of Galiteuthis armata refer to three different species of cranchilds (Cherel 200 201 2020), namely Galiteuthis suhmi in Clarke and MacLeod (1982), and L. podophtalma and G. glacialis in Clarke (1980 Text-fig. 225). Clarke (1980) found two modes in beak size of G. 202 armata, suggesting two species, the largest being G. armata (= L. podophtalma) and the 203 204 smallest a second species he also found in albatross food samples. Indeed, lower beaks from L. podophtalma and G. glacialis are pretty similar, but those from L. podophtalma darken at, 205 and reach, a larger size than those from G. glacialis, the latter species being one of the 206 commonest cephalopod prey of albatrosses within the Southern Ocean (Cherel 2020). 207 Confusion was increased when beaks named ?Taonius megalops (Clarke 1980 Text-fig. 209) 208 were re-named G. armata, because one head was in sufficiently good condition to indicate 209 that the species was not a Taonius but a Galiteuthis species (Clarke and MacLeod 1982). 210 Indeed, both ?Taonius megalops (Clarke 1980) and G. armata (Clarke and MacLeod 1982) 211 212 now correspond to the rarely reported Galiteuthis suhmi (Evans 2018, Cherel 2020).

Voss (1985) synonymized *Galiteuthis* (= *Teuthowenia*) sp. B (Clarke and MacLeod 1982, misnamed as *G. armata* within the text p 33 but not in Tables 1 and 2) with *Teuthowenia pellucida* (details in Cherel 2020).

The genus *Liocranchia* includes two species, with only one, *L. reinhardti*, living in subtropical waters where it is common (Nesis 1987), thus explaining the shift here from *Liocranchia* sp. (Clarke 1980) to *L. reinhardti*.

219 3.1.3. Cycloteuthidae

Beaks described as ?Large *Psychroteuthis* and ?*Psychroteuthis* (Clarke 1980), and as ?*Discoteuthis* (Clarke 1980, Clarke and MacLeod 1982) correspond to those of the two species of the genus *Discoteuthis*, *D. discus* and *D. laciniosa*, respectively (Clarke et al. 1993, Clarke and Roeleveld 1998, Clarke and Young 1998).

224 3.1.4. Octopoteuthidae

Two and three size modes of *Octopoteuthis* lower beaks were found in the diet of sperm whales caught at Donkergat and Durban (Clarke 1980), and at Albany and in the Tasman Sea (Clarke 1980, Clarke and MacLeod 1982), respectively. Modes refer either to gender because females have larger beaks than males (Kelly 2019), or more likely to different taxa.

- The smallest *Octopoteuthis* species (mode at 8-9 mm LRL) from Donkergat, Durban and Albany remains undetermined. Clarke (1980) tentatively identified it as *O. sicula*, but the species is endemic to the Atlantic and is not recorded from the Indian Ocean (Kelly 2019).
- O. *rugosa* corresponds to the peak at 10-11 mm LRL, max 12.5 mm LRL
 (Donkergat, Durban and Albany) (Clarke 1980) and to beaks with LRL <11.5 mm
 (Tasman Sea) (Clarke and MacLeod 1982). The species has a southern circumglobal
 distribution (Kelly 2019).
- The third peak occurred at 13.0-14.5 mm LRL at Albany (Clarke 1980) and it
 corresponds to beaks with LRL>11.5 mm of *Octopoteuthis* sp. A in the Tasman Sea
 (Clarke and MacLeod 1982). Clarke (1980) tentatively identified the corresponding

species as O. longiptera, which is today a nomen dubium (Kelly 2019). The species 240 remains unidentified, but the newly described O. fenestra (Kelly 2019) is a good 241 candidate because: i) its maximum LRL is 13.6 mm, a larger size than that of all the 242 other small Octopoteuthis species (Kelly 2019), (ii) O. fenestra has been collected 243 south of Tasmania and in New Zealand waters (Kelly 2019), which fits well with its 244 presence in the diet of sperm whales caught in Australian waters and not in South 245 Africa (Clarke 1980, Clarke and MacLeod 1982)), and (iii) Octopoteuthis flesh 246 recorded from sperm whales caught within the SAZ in the Tasman Sea (Clarke and 247 MacLeod 1982) is likely to correspond to O. fenestra, which is the southernmost 248 249 and single Octopoteuthis species living in the Southern Ocean (Kelly 2019).

In the Tasman Sea, large beaks with a LRL >15 mm were called *Octopoteuthis* sp.
 (giant) B (Clarke and MacLeod 1982). They correspond to the still undescribed
 giant Pacific *Octopoteuthis* sp. that lives in the western Pacific and possibly has a
 southern circumglobal distribution (Kelly 2019).

254 Two size modes of Taningia danae lower beaks were found in the diet of sperm whales caught at Donkergat and Durban (Clarke 1980), and in the Tasman Sea (Clarke and MacLeod 255 1982), thus suggesting that the genus Taningia is composed to two species (Clarke and 256 MacLeod 1982). Indeed, a recent taxonomic revision split the monotypic T. danae into five 257 different taxa, including the cosmopolitan tropical-subtropical T. danae and the newly 258 described Southern Hemisphere T. fimbria (Kelly 2019). The correspondence between beak 259 size and species is not established but available information suggest that the large and the 260 small LRL peaks correspond primarily to T. danae and T. fimbria, respectively. 261

262 3.1.5. Ommastrephidae

Beaks of Todarodes sp. were collected at Donkergat, Durban, Albany and in the 263 Tasman Sea (Clarke 1980, Clarke and MacLeod 1982). Morphology and biogeography 264 indicate that they were either *T. angolensis*, *T. filippovae* or a mix of both. The picture is even 265 266 more complicated because firstly, the taxonomic status of *T. angolensis* outside South African waters needs to be investigated (Cherel 2020), and secondly, beaks from the Ommastrephes 267 bartramii species complex that occurs in the area (Fernandez-Alvarez 2018) are 268 morphologically similar to those of the genus *Todarodes* (Cherel 2020). Indeed, a subsequent 269 270 analysis of new material that included whole specimens from sperm whales caught at Durban found two O. bartramii (now O. cylindraceus, Fernandez-Alvarez 2018) and showed that all 271 272 Todarodes specimens were T. filippovae (Clarke and Roeleveld 1998).

273 3.1.6. Pholidoteuthidae

According to size and morphology, beaks of *P. massyae* eaten by sperm whales clustered into three groups (Clarke 1980, 1986a), including *P. boschmai* (= *massyae*) A and B (Clarke and MacLeod 1982), thus suggesting that there may well be three species in the Southern Hemisphere (Clarke 1986a).

278 3.1.7. Alloposidae

Alloposus mollis (Clarke 1980, Clarke and MacLeod 1982) is a junior synonym of
Haliphron atlanticus (Kristensen and Knudsen 1983).

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282 *3.2. Cephalopods in the diet of sperm whales*

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A total of 1991 fresh remains were identified either morphologically or from beaks that were sorted from buccal masses (Table 2). Most of them (70%) came from Durban, which was the most investigated locality by far (Clarke 1980, Clarke and Roeleveld 1998). Two 287 oegopsid families dominated by number the diet of sperm whales in the southern subtropics, 288 the octopoteuthids (25.0%) and histioteuthids (21.3%), while onychoteuthids (59.1%) and 289 cranchiids (32.6%) were the two most important families within the Southern Ocean. Six 290 squids totalled >5% by number of fresh items in the STZ, by decreasing order of importance: 291 *T. filippovae/angolensis* > *O. rugosa* > *Ancistrocheirus lesueurii* > *Histioteuthis bonnellii* 292 *corpuscula* > *H. miranda* > *G. suhmi.* In contrast, only three species dominated the whale diet 293 in the Antarctic, *M. longimana* > *Mesonychoteuthis hamiltoni* > *F. knipovitchi.*

294 A total of 131,577 lower beaks were sorted from the stomach of 237 sperm whales (Table 3). Thirty-six taxa from 16 families were identified, almost all oegopsids, but two 295 296 species of Octopodiformes, the vampire squid Vampyroteuthis infernalis and the incirrate seven-arm octopus H. atlanticus. The number of taxa ranged from 26 (Albany) to 32 297 (Donkergat and Durban), thus depending on localities. There was a strong difference in the 298 299 main squid prey in the AZ and STZ, and items were locality-specific in the subtropics. In Antarctic waters, three families including four species overall dominated the whale diet, the 300 301 onychoteuthids (58.5% by number) with F. knipovitchi (39.4%) and M. longimana (16.8%), 302 the cranchilds (17.5%) with *M. hamiltoni* (15.5%), and the histioteuthids (10.5%) with *S.* arcturi (8.8%). 303

304 Within the STZ, histioteuthids ranked first at Donkergat (37.5%), Durban (44.7%) and Albany (41.4%) and second in the Tasman Sea (30.5%). H. atlantica was important 305 everywhere, but H. b. corpuscula, H. macrohista, H. miranda, and S. hoylei were notably 306 abundant at Durban, Donkergat, Albany and in the Tasman Sea, respectively (Table 3, Fig. 2). 307 308 Octopoteuthids ranged from 8.8% in Albany to 33.7% in the Tasman Sea, the only locality where they dominated and where they were detailed at the species level, in the decreasing 309 order: O. ?fenestra (11.7%) > T. danae/fimbria (10.5%) > O. rugosa (10.0%) > giant Pacific 310 Octopoteuthis sp. (1.5%). Cranchiids were important at Donkergat (20.0%) with G. suhmi and 311

in the Tasman Sea (10.9%) where *Megalocranchia* sp. was a significant prey item. Other squids that amounted to >5% by number were *O. robsoni* at Donkergat, *A. lesueurii* and *Chiroteuthis* spp. (pooled *C. joubini* and *C. veranyi*) at Durban, *T. filippovae* at Albany, and *P. massyae* at Donkergat, Albany and in the Tasman Sea (Table 3).

Many more beaks were analyzed at Donkergat and Durban than elsewhere, with the same 32 taxa being identified at the two South African localities (Table 3). Statistical analyses (equality of two proportions tests) showed that proportions significantly differed (all $p \le$ 0.460, with p < 0.0001 in 27 comparisons) for almost all taxa but three, the numerically important *H. atlantica* (Z = 0.24, p = 0.814), and the occasional *M. ingens* (Z = 0.23, p =0.816), and Others (including *D. discus*) (Z = 1.00, p = 0.319).

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323 **4. Discussion**

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Revisiting taxonomy changed considerably the species list of cephalopods initially 325 326 identified from stomachs of sperm whales commercially hunted in the southern Atlantic, 327 Indian and Pacific Oceans. This greatly improves our knowledge on cephalopod biodiversity and on trophic relationships within the deep-sea ecosystems of the subtropics and the 328 Southern Ocean. By reviewing the existing literature, the study also highlights the marked 329 contrast in the dietary habits of sperm whales caught within the STZ and AZ, which is related 330 to the different teuthofaunas inhabiting temperate and cold waters of the Southern 331 Hemisphere. 332

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334	4.1. Revisiting	cephalopod	taxonomy
554	I.I. INCRESSING	cepnalopou	iaxonomy

Dietary investigations from the 1970s to 1990s contain out-of-date scientific names of 336 prey species and include initial misidentifications, because identifying cephalopods from their 337 beaks is challenging (Cherel 2020). This is especially problematic in pioneer studies that are 338 339 either impossible (e.g. Imber 1973) or difficult (e.g. Rodhouse et al. 1987; Ridoux 1994) to use, including the seminal works on the cephalopod prey of sperm whales (Clarke 1980, 340 Clarke and MacLeod 1982). Taxonomic updating of the latter studies changed the name of a 341 majority (69%) of the 45 different cephalopods that were identified morphologically and/or 342 from their lower beaks (Table 1). Shifts from one family to another family are restricted to 343 four species that were not major prey of sperm whales (Table 3), namely the chiroteuthids A. 344 345 lui and A. acanthoderma (formerly mastigoteuthids), the cycloteuthid D. discus (formerly a psychroteuthid) and the neoteuthid A. antarcticus (formerly a cranchiid). Hence, the relative 346 importance of cephalopod families in the diet of sperm whales remains essentially unchanged 347 348 (Clarke 1980, Clarke and MacLeod 1982) and conclusions about them worldwide are likely valid today (Clarke 1986b, 1996). 349

350 In contrast, within-families changes at the species level are substantial (Table 1). 351 Three issues are especially relevant: (i) the importance of H. macrohista (formerly H. ?meleagroteuthis) in the diet of whales at Donkergat; (ii) the disentangling of the beaks 352 previously named ?Taonius megalops and G. armata adds significant new records and trophic 353 information about the two rarely reported cranchilds G. suhmi and L. podophtalma, the former 354 species being one of the main prey of sperm whales at Donkergat; and (iii), improving beak 355 identification overall increases our knowledge on squid biodiversity and biogeography (e.g. 356 357 the poorly-known A. lui and A. acanthoderma). Despite these changes, the initial analysis of lower beaks is remarkable and the hypothesis that various LRL modes of fully darkened beaks 358 within a given taxa represent different species was validated (e.g. G. armata that corresponds 359 to G. suhmi/G. glacialis) or in the process of being validated (e.g. Octopoteuthis spp. and 360

Taningia danae/fimbria). However, the absence of some beaks is puzzling and suggests that 361 362 at least some of them were not recognized morphologically as distinct from others and, hence, that some taxa are a diverse mix of different species. Within the STZ and Southern Ocean, 363 relevant missing species include Batoteuthis skolops, Mastigoteuthis psychrophila, the 364 neoteuthid Nototeuthis dimegacotyle, the onychoteuthids Moroteuthopsis sp. B (Imber) and 365 Notonykia africanae, and the recently described cranchilds Taonius expolitus and possibly T. 366 tanuki (Evans 2018, Cherel et al. 2020). Indeed, B. skolops was subsequently identified 367 amongst fresh items in the stomach of sperm whales caught within the AZ (Lubimova 1985). 368

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- 370 *4.2. Cephalopod prey within the Subtropical Zone (STZ)*
- 371

Fresh remains of 10 squids were identified at all the four subtropical localities (A. 372 373 lesueurii, A. dux, C. sirventi, H. atlantica, H. miranda, L. grimaldii, O. rugosa, T. danae/fimbria, O. robsoni and P. massyae), thus indicating they form the basis of cephalopod 374 375 prey of sperm whales within the STZ circumglobally (Table 2). Accumulated beaks confirmed that general pattern with the diet being dominated by histioteuthids and to a lesser 376 extent octopoteuthids, while A.dux, C. sirventi and L. grimaldii were not numerically 377 important (<3%) (Table 3). Histioteuthids also accounted for 54.7% and 60.6% of 378 accumulated beaks in stranded individuals from Tasmania and New Zealand, respectively 379 (Evans and Hindell 2004, Gomez-Villota 2007), thus highlighting the importance of this 380 family in the diet of sperm whales within the STZ (Fig. 1). Five species were involved and H. 381 atlantica ranked first or second everywhere (Fig. 2). Moreover, it is likely that numerous H. 382 atlantica beaks from Tasmania were misidentified as H. eltaninae (Evans and Hindell 2004), 383 because LRL measurements are too large for the latter species and fit well with the former. 384 The four other histioteuthids are H. miranda (1.1%-11.5%), H. b. corpuscula (1.1%-21.6%, 385

excluding New Zealand), *H. macrohista* at Donkergat, and finally *S. hoylei* in the western
Pacific (3.1%-9.0% in Tasmania, the Tasman Sea and New Zealand). All the above species
were commonly recorded in nets in subtropical waters and at the Subtropical Front (Voss et
al. 1998). Elsewhere, histioteuthids are dominant numerically (73.2%-76.9%) in the diet of
sperm whales from the northern Atlantic and the Mediterranean Sea, where *H. b. bonnellii* is
the main prey item (Clarke et al. 1993, Spitz et al. 2011, Foskolos et al. 2020).

392 At the family level, octopoteuthids, cranchilds and onychoteuthids are also important food items of sperm whales within the STZ (Fig. 1). Octopoteuthids dominated numerically in 393 the Tasman Sea, ranked second at Durban, and third at Donkergat and Albany, with 394 395 Octopoteuthis spp. being more abundant than T. danae/fimbria at every locality (Table 3). Cranchiids ranked second at Donkergat and in Tasmania (Evans and Hindell 2004) and New 396 Zealand (Gomez-Villota 2007), and third at Albany and in the Tasman Sea. Several species 397 398 were involved but G. suhmi and Megalocranchia sp. were notably abundant (>5%) at Donkergat, and in the Tasman Sea and New Zealand, respectively. Finally, onychoteuthids 399 400 amounted to >5% everywhere, mainly due to the abundance of O. robsoni circumglobally and 401 of the subantarctic endemic *M. ingens* in New Zealand waters (Gaskin and Cawthorn 1967a,b, Clarke and Roper 1998, Gomez-Villota 2007). Onychoteuthids also included the two 402 Southern Ocean endemics F. knipovitchi and M. longimana, which indicate a recent 403 northward migration of some of the whales (see below). 404

405

406 *4.3. Cephalopod prey within the Southern Ocean*

407

Three Southern Ocean endemics form the bulk (90.7% by number of fresh items) of the cephalopod diet of sperm whales in the Antarctic, the colossal squid *M. hamiltoni* and the two onychoteuthids *F. knipovitchi* and *M. longimana* (Table 2), which is in general agreement

with qualitative information collected from Soviet and Japanese factories (Mikhalev et al. 411 412 1981, Nemoto et al. 1988, Filippova 2002). The other squids eaten within the AZ include G. antarcticus and two other Southern Ocean endemics (A. lui and P. glacialis). A review of the 413 414 literature adds four species, again all endemics, to the squid prey of sperm whales in the Antarctic (B. skolops, G. glacialis, A. antarcticus, and M. ingens), and six species to the prey 415 taken further north within the Southern Ocean (A. dux, C. sirventi, H. atlantica, H. eltaninae, 416 P. massyae and H. atlanticus) (Vovk et al. 1978, Mikhalev et al. 1981, Lubimova 1985, 417 Filippova 2002). Comparing fresh remains of cephalopods from sperm whales caught within 418 the AZ and the STZ highlights the dietary importance of different squids living in these two 419 420 contrasting oceanic environments (Table 2). Twenty-three species occurred in the STZ only, six in the AZ only, and three were recorded in the two zones. The latter species (T, T)421 danae/fimbria, T. filippovae/angolensis and O. robsoni) are primarily subtropical species 422 423 whose distribution extends to the northern Southern Ocean (Cherel 2020), where, accordingly, they are minor prey items for sperm whales. 424

425 Analysis of accumulated beaks fit well with quantification of fresh items, with the three 426 same species (M. hamiltoni, F. knipovitchi and M. longimana) accounting together for 71.6% of the total number of lower beaks within the AZ (Table 3). This proportion is lower than for 427 fresh items, however, which is the consequence of the much larger diversity of cephalopods 428 identified from beaks than from fresh items, since most beaks were found in whales caught in 429 both subtropical and Antarctic waters. Fresh items are indicative of local food, while the 430 much larger number of beaks results primarily from their progressive accumulation in the 431 432 stomach over time (maximum ~10 days; Clarke 1980). Male sperm whales migrate back and forth over years between their main feeding zone in cold waters and the reproductive zone in 433 warmer waters where females and juveniles live all year-round. Hence, the presence of beaks 434 of subtropical cephalopods in the stomach of adult males caught in the Antarctic indicates a 435

recent southward migration, and *vice-versa* (Clarke 1972, 1980). Within that context, the
puzzling large number of eroded lower beaks of *S. arcturi* in two whales caught in the pelagic
Antarctic (South Shetlands) likely results from their southward migration (Clarke 1980), since *S. arcturi* does not occur within the Southern Ocean (Cherel 2020), but it was recorded in
subtropical waters of the southwest Atlantic (Voss et al. 1998).

- 441
- 442

4.4. Predators, trophic niches, and the pelagic ecosystems

443

Within the STZ and AZ, sperm whales are apex predators that feed almost exclusively 444 445 on medium- to large-sized cephalopods (Clarke 1980, Mikhalev et al. 1981), with the Patagonian toothfish being a significant prey item in some areas (Vukhov 1972). To my 446 knowledge, only two other apex predators feed significantly on the same squids as the sperm 447 448 whale, the Antarctic sleeper shark Somniosus antarcticus and large Diomedea albatrosses. The sleeper shark lives in slope waters surrounding subantarctic and Antarctic islands where 449 450 it has a catholic diet including large M. longimana, M. hamiltoni and T. danae/fimbria (Cherel 451 and Duhamel 2004). Diomedea albatrosses are endemic from the Southern Ocean and southern subtropical waters where they prey on large fish and squids, which include mainly 452 adult onychoteuthids (M. longimana), histioteuthids (H. atlantica, H. eltaninae) and 453 cranchiids (G. glacialis) (Xavier et al. 2014, Cherel et al. 2017). The sperm whale and sleeper 454 shark feed in the deep-sea, but the former catch live prey, while the latter both predates and 455 scavenges. *Diomedea* albatrosses are also scavengers that feed at the sea surface, where they 456 457 catch dead or dying post-spawning squid species that have positive buoyancy (Cherel and Weimerskirch 1999). Hence, each apex consumer occupies a unique trophic niche, thus 458 459 limiting or avoiding direct competition within the oceanic ecosystems of the Southern Ocean and subtropics. 460

5. Conclusion

464	Revisiting taxonomy of cephalopod prey of sperm whales improved our knowledge on
465	their feeding habits and on cephalopods from the southern subtropics and the Southern Ocean.
466	It reveals how important previously poorly known squid species are, as the histioteuthid H.
467	macrohista, and the cranchiids G. suhmi and Megalocranchia sp. The study highlights the
468	need to continue improving both the taxonomy of cephalopods and the description of their
469	sclerotinized beaks, with the ecologically-fruitful outcome of using the numerous beaks of
470	rarely net-caught species and adults of cephalopods that accumulate in predators' stomachs.
471	
472	Declaration of competing interest
473	The author declare that he has no known competing financial interests or personal
474	relationships that could have appeared to influence the work reported in this paper.
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Table 1 Improvement in cephalopod identification and taxonomy over time: revisiting and

updating cephalopod prey of sperm whales from the Southern Hemisphere

Previous tax	Actual taxonomy	
Clarke (1980)	Clarke and MacLeod (1982)	
Architeuthis sp.	Architeuthis sp.	Architeuthis dux
Todarodes sp. (T. angolensis, T. filippovae)	Todarodes sp.	Todarodes angolensis and T. filippovae
Kondakovia longimana	Kondakovia longimana	Moroteuthopsis longimana
Moroteuthis knipovitchi		Filippovia knipovitchi
Moroteuthis robsoni	Moroteuthis robsoni	Onykia robsoni
Moroteuthis A	Moroteuthis A	Moroteuthopsis ingens
Pholidoteuthis boschmai	Pholidoteuthis boschmai A and B	Pholidoteuthis massyae
Gonatus antarcticus		Gonatus antarcticus
Ancistrocheirus lesueuri	Ancistrocheirus lesueuri	Ancistrocheirus lesueurii
Octopoteuthis rugosa	Octopoteuthis rugosa	Octopoteuthis rugosa
Octopoteuthis ?sicula		Undetermined Octopoteuthis
Octopoteuthis ?longiptera	Octopoteuthis sp. A	Octopoteuthis ?fenestra
	Octopoteuthis sp. (giant) B	Giant Pacific Octopoteuthis sp.
Taningia danae	Taningia danae	Taningia danae and T. fimbria
Lepidoteuthis grimaldii	Lepidoteuthis grimaldii	Lepidoteuthis grimaldii
Chiroteuthis joubini		Chiroteuthis joubini
Chiroteuthis sp. A		Chiroteuthis joubini
Chiroteuthis sp. B		Undetermined Chiroteuthis, possibly C. verany
Chiroteuthis sp. C	Chiroteuthis sp. C	Chiroteuthis veranyi
	Chiroteuthis ?joubini	Undetermined Chiroteuthis, possibly C. verany
Mastigoteuthis A		Asperoteuthis lui
Mastigoteuthis B	?Mastigoteuthis B	Asperoteuthis acanthoderma
Cycloteuthis akimushkini	Cycloteuthis akimushkini	Cycloteuthis sirventi
?Discoteuthis	?Discoteuthis	Discoteuthis laciniosa
A1 Histioteuthis ?meleagroteuthis	Histioteuthis A1 ?meleagroteuthis	Histioteuthis macrohista
A2 Histioteuthis bonnellii corpuscula	Histioteuthis A2 bonnellii corpuscula	Histioteuthis bonnellii corpuscula
A3 Histioteuthis miranda	Histioteuthis A3 miranda	Histioteuthis miranda
A4 Histioteuthis dofleini	Histioteuthis A4 ?dofleini	Stigmatoteuthis hoylei and S. arcturi
A5 Histioteuthis sp.		Stigmatoteuthis hoylei and S. arcturi
B1 Histioteuthis ?eltaninae		Histioteuthis eltaninae
B2 Histioteuthis reversa		Histioteuthis eltaninae
B3 Histioteuthis atlantica	Histioteuthis B3 atlantica	Histioteuthis atlantica
B4 Histioteuthis sp.	Histioteuthis B4	Histioteuthis atlantica
Psychroteuthis		Psychroteuthis glacialis
Large Psychroteuthis, ?Psychroteuthis		Discoteuthis discus
Liocranchia sp.		Liocranchia reinhardti
Crystalloteuthis glacialis		Alluroteuthis antarcticus
Taonius megalops	Galiteuthis armata	Galiteuthis suhmi
Taonius pavo	Taonius pavo	Taonius notalia
Phasmatopsis cymoctypus	Megalocranchia sp.	Megalocranchia sp.
Galiteuthis armata		Liguriella podophtalma and Galiteuthis glacial
	Galiteuthis sp. B	Teuthowenia pellucida
Mesonychoteuthis hamiltoni	Mesonychoteuthis hamiltoni	Mesonychoteuthis hamiltoni
	Alloposus mollis	Haliphron atlanticus

Vampyroteuthis infernalis

Vampyroteuthis infernalis

Vampyroteuthis infernalis

Species	Donkergat Clarke (1980)	Durban Clarke (1980) Clarke and Roeleveld (1998)	Albany Clarke (1980)	Tasman Sea Clarke and MacLeod (1982)	Subt	ropical 2	Zone	South Georgia Clarke (1980)	Pelagic Antarctic Clarke (1980)	Antarctic Zone		
	(n)	(n)	(n)	(n)	FO (n)	(n)	(%)	(n)	(n)	(n)	(%)	
Ancistrocheirus lesueurii	5	144	4	33	4	186	10.3					
Architeuthis dux	19	8	4	6	4	37	2.1					
Asperoteuthis lui								2		2	1.0	
Chiroteuthis joubini		34	2		2	36	2.0					
Chiroteuthis veranyi		9		5	2	14	0.8					
Undetermined Chiroteuthis								1		1	0.5	
Galiteuthis suhmi	37	40		13	3	90	5.0					
Liguriella podophtalma		2			1	2	0.1					
Liocranchia reinhardti		3			1	3	0.2					
Megalocranchia sp.		4	1	18	3	23	1.3					
Mesonychoteuthis hamiltoni								33	30	63	32.6	
Taonius notalia	6			1	2	7	0.4					
Cycloteuthis sirventi	4	12	6	2	4	24	1.3					
Discoteuthis discus		1			1	1	0.1					
Discoteuthis laciniosa	1	1			2	2	0.1					
Gonatus antarcticus								1	2	3	1.6	
Histioteuthis atlantica	1	36	2	17	4	56	3.1					
Histioteuthis bonnellii corpuscula	7	171		2	3	180	10.0					
Histioteuthis eltaninae		4			1	4	0.2					
Histioteuthis macrohista	7				1	7	0.4					
Histioteuthis miranda	6	108	18	4	4	136	7.6					
Lepidoteuthis grimaldii	1	2	2	5	4	10	0.6					
Octopoteuthis rugosa	15	326	1	23	4	365	20.3					
Giant Pacific Octopoteuthis sp.				1	1	1	0.1					
Taningia danae/fimbria	1	42	7	33	4	83	4.6	4		4	2.1	

587 Table 2 Fresh remains (whole specimens, heads, buccal masses) of cephalopod prey of sperm whales from the Southern Hemisphere

	2			1	2	0.1				
3	378	5		3	386	21.5	4		4	2.1
							13	14	27	14.0
							55	30	85	44.0
10	60	6	7	4	83	4.6	2		2	1.0
30	5	9	13	4	57	3.2				
								1	1	0.5
2				1	2	0.1		1	1	0.5
	1			1	1	0.1				
155	1393	67	183	4	1798	100.0	115	78	193	100.0
	3 10 30 2	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$							

Location Number of whales	Donkergat 89 Clarke (1980)		Durban 72 Clarke (1980)		Albany 6 Clarke (1980)		Tasman Sea 30 Clarke and MacLeod (1982)		South Georgia 28 Clarke (1980)		Pelagic Antarctic 12 Clarke (1980)	
Species	(n)	(%)	(n)	(%)	(n)	(%)	(n)	(%)	(n)	(%)	(n)	(%)
Ancistrocheirus lesueurii	2319	4.48	3914	6.02	75	2.77	116	3.52	46	2.81	48	0.67
Architeuthis dux	153	0.30	153	0.24	10	0.37	54	1.64	18	1.10	2	0.03
Asperoteuthis acanthoderma	164	0.32	63	0.10	6	0.22	16	0.48				
Asperoteuthis lui									57	3.48	53	0.74
Chiroteuthis spp.	404	0.78	5392	8.30	36	1.33	46	1.39			12	0.17
Galiteuthis suhmi	7153	13.82	975	1.50	73	2.69	68	2.06	8	0.49	26	0.36
Liguriella podophtalma	405	0.78	827	1.27	14	0.52			7	0.43	27	0.38
Liocranchia reinhardti	7	0.01	49	0.08					1	0.06		
Megalocranchia sp.	1087	2.10	377	0.58	4	0.15	246	7.46	16	0.98	51	0.71
Mesonychoteuthis hamiltoni	942	1.82	713	1.10	120	4.42	11	0.33	377	23.00	989	13.7
Taonius notalia	766	1.48	342	0.53	27	1.00	21	0.64	16	0.98	27	0.38
Teuthowenia pellucida							15	0.45				
Cycloteuthis sirventi	386	0.75	708	1.09	78	2.88	29	0.88	4	0.24	11	0.15
Discoteuthis laciniosa	418	0.81	681	1.05			22	0.67				
Gonatus antarcticus	59	0.11	12	0.02					3	0.18	274	3.82
Histioteuthis atlantica	6046	11.68	7617	11.72	764	28.17	382	11.58	49	2.99	54	0.75
Histioteuthis bonnellii corpuscula	3060	5.91	14012	21.56	30	1.11	143	4.33	1	0.06		
Histioteuthis eltaninae	1206	2.33	2257	3.47	8	0.29			yes	?	yes	?
Histioteuthis macrohista	7425	14.34	314	0.48	2	0.07	28	0.85				
Histioteuthis miranda	1656	3.20	4831	7.43	312	11.50	121	3.67	25	1.53	25	0.35
Stigmatoteuthis hoylei and S. arcturi	5	0.01	24	0.04	8	0.29	298	9.03	47	2.87	729	10.1
Undetermined histioteuthids							33	1.00				
Lepidoteuthis grimaldii	268	0.52	133	0.20	38	1.40	57	1.73	14	0.85	46	0.64
Alluroteuthis antarcticus	31	0.06	326	0.50	5	0.18			3	0.18	104	1.4
Octopoteuthis spp.	5568	10.75	12756	19.63	157	5.79	764	23.16	3	0.18	34	0.47

Table 3 Accumulated cephalopod beaks identified from stomach contents of sperm whales caught in the Southern Hemisphere.

Taningia danae and T. fimbria	828	1.60	1577	2.43	83	3.06	348	10.55	177	10.80	50	0.70
Todarodes filippovae	91	0.18	1853	2.85	394	14.53	38	1.15	34	2.07	5	0.07
Filippovia knipovitchi	150	0.29	66	0.10					292	17.82	3180	44.30
Onykia robsoni	3188	6.16	1543	2.37	83	3.06	135	4.09	yes	?	yes	?
Moroteuthopsis ingens	231	0.45	284	0.44			10	0.30	34	2.07	175	2.44
Moroteuthopsis longimana	1366	2.64	1990	3.06	118	4.35	102	3.09	354	21.60	1124	15.66
Pholidoteuthis massyae	5656	10.92	291	0.45	263	9.70	172	5.21	1	0.06	25	0.35
Psychroteuthis glacialis									1	0.06	22	0.31
Vampyroteuthis infernalis	34	0.07	110	0.17			1	0.03			2	0.03
Haliphron atlanticus	63	0.12	29	0.04	1	0.04	6	0.18	49	2.99	42	0.59
Others (including Discoteuthis discus)	637	1.23	758	1.17	3	0.11	17	0.52	2	0.12	41	0.57
Total	51772	100.00	64977	100.00	2712	100.00	3299	100.00	1639	100.00	7178	100.00

Figure 1 Numerical importance of the four main families of cephalopods in the diet of sperm whales caught in the southern subtropics. The
eastern Atlantic, western Indian and eastern Indian Ocean refer to Donkergat, Durban and Albany, respectively. Data from Clarke (1980), Clarke
and MacLeod (1982), Evans and Hindell (2004) and Gomez-Villota (2007)

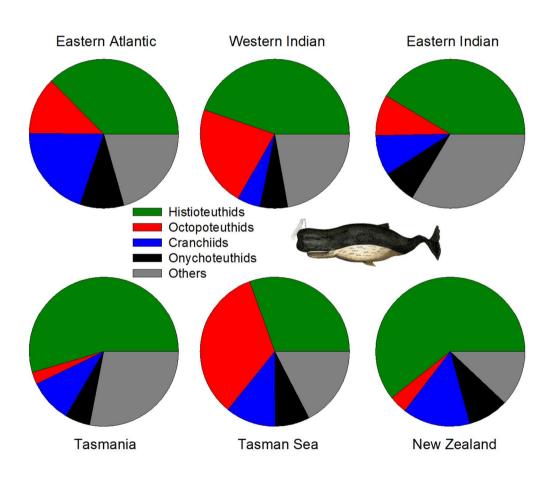


Figure 2 Numerical importance of histioteuthid squids (*Histioteuthis atlantica, H. bonnellii corpuscula, H. macrohista, H. miranda* and Stigmatoteuthis arcturi/hoylei) in the diet of sperm whales caught in the southern subtropics. In Tasmania, *H. atlantica* and *H. eltaninae* were pooled (see text). The eastern Atlantic, western Indian and eastern Indian Ocean refer to Donkergat, Durban and Albany, respectively. Data from Clarke (1980), Clarke and MacLeod (1982), Evans and Hindell (2004) and Gomez-Villota (2007)

