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# The role of the synthetic chromophore of GFP in generating polymorphism-dependent on/off photoluminescence

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## ABSTRACT

Polymorphism, i.e. the ability of a solid material to exist in more than one crystal form, gives unique opportunity to study the correlation between the solid-state molecular arrangement and the photoluminescence properties, and allows optimizing the performances of materials with reduced synthesis effort. Compound **1**, which results from the combination of 2-phenylbenzoxazole (PBO) and benzylideneimidazolinone (BDI), was known to be weakly fluorescent in solution and in the solid state. Time-dependent density functional theory (TD-DFT) and spin-flip TD-DFT calculations were first used to revisit the behaviour of the isolated molecule in solution. It was shown that the relaxation pathway in the lowest singlet excited state leads to a thermally-accessible conical intersection (CI), which favours non-radiative deactivation. Then, crystallizing molecule **1** under precise experimental conditions allowed four distinct polymorphs and one solvate to be obtained. Remarkably, forms **1a** and **1b** exhibited strong photoluminescence (PL), while polymorphs **1c-e** were virtually not emissive. Recrystallization allowed passing reversibly from one crystalline species to another. Mechanical stimuli, exhibition to solvent vapours and heating were not very effective, but a non-trivial effect of PL enhancement upon freezing was observed for **1a** and **1b**. The non-emissive polymorphs were characterized by the presence of a centrosymmetric dimer

1 structured by hydrogen bonds, in which paired molecules vibrate with the same mode and are  
2 in turn subjected to maximum deformation, according to DFT calculations. Based on the  
3 whole set of results, possible deactivation mechanisms were proposed to account for the  
4 opposite PL behaviour of the two types of crystalline species. It is shown that the emergence  
5 of polymorphism and of a rare on/off PL mechanism may be attributed to the presence of the  
6 BDI unit in the molecule.  
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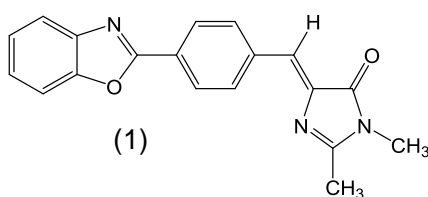
## 10 11 12 13 14 **1. Introduction** 15 16 17 18 19

20 Organic crystalline materials that exhibit tunable and switchable photoluminescence  
21 (PL) emission find promising applications in the fields of sensors, data storage and  
22 optoelectronic devices [1,2]. There is considerable research interest in controlling their optical  
23 properties through non-covalent routes, by modulating molecular conformation, as well as the  
24 collective arrangement and interaction mode of molecules in the solid [3–5]. The smartest way  
25 to achieve this goal is probably to take advantage of polymorphism, i.e. the ability of a solid  
26 material to exist in more than one crystal form [6–9]. Since this approach considers exactly  
27 the same molecule, it gives unique opportunity to make precise structure-property  
28 correlations, and leads to optimized performances of materials with reduced synthesis effort.  
29 Moreover, as polymorphs generally differ by a small amount of energy, the transition between  
30 them may be easily achieved under ambient conditions, and this opens the door to stimuli-  
31 responsive materials and their applications [4,5,9–11]. There are many examples of  
32 polymorphs that exhibit very different emission colour and intensity [11–19] while total or  
33 almost total on/off switching of emission has rarely been reported [20–26].  
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45 Over the last few years, our group introduced new molecules [27,28] resulting from  
46 the combination of 2-phenylbenzoxazole (PBO) [29] and benzylideneimidazolinone (BDI),  
47 i.e. a synthetic derivative of the chromophore of the well-known Green Fluorescent Protein  
48 [30] (Fig. S1). All these molecules had the same electron conjugated system and were weakly  
49 fluorescent in organic solutions. Those bearing an alkyl chain (from C4 to C16) on the BDI  
50 nitrogen atom showed strong aggregation-induced emission enhancement (AIEE) effect, [31]  
51 and were good solid-state emitters. Curiously, the first member of the series bearing a methyl  
52 group (**1**, Fig. 1) was almost not emissive in the aggregated and solid states. This discrepancy  
53 prompted us to undertake the present work. First, the photophysical mechanism that explains  
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the weak fluorescence in solution was revisited using spin-flip time-dependent density functional theory (SF-TD-DFT) and TD-DFT calculations. Then, it was shown that not only compound **1** exists as many polymorph forms, an unprecedented case for PBO derivatives, but polymorphism controls on/off solid-state PL. In conjunction with the results of IR spectroscopy and various quantum chemical calculations, an original mechanism was proposed to rationalize these data, and to explain how the presence of the BDI unit endows the molecules with this exceptional behaviour.



**Fig. 1.** Chemical structure of compound **1**.

## 2. Experimental section

### 2.1. Materials and methods

Methanol (HPLC grade) and petroleum ether (reagent grade) were from Fisher Chemicals. Chromasolv HPLC grade dichloromethane and *n*-heptane, and reagent grade chloroform, were from Sigma-Aldrich. HPLC grade acetonitrile and analytical grade THF were from SDS. All solvents were used without further purification.

Compound **1** was synthesized as previously described [27] and purified on silica column chromatography using petroleum ether/CH<sub>2</sub>Cl<sub>2</sub> as eluent, resulting in yellow microcrystalline powder. Purity was checked by thin layer chromatography. Single crystals were grown by dissolving an aliquot (3 mg) of the pristine powder in an organic solvent with gentle heating, and then evaporating the solvent in conditions indicated in Table S1.

### 2.2. Apparatus

The melting points were measured on a Stuart Automatic SMP40 apparatus. The photoluminescence spectra were recorded using a Xenius SAFAS spectrofluorimeter equipped with a BaSO<sub>4</sub> integrating sphere. They were corrected using a home-made

1 correction curve. Low temperature measurements were done on powder samples dispersed in  
2 KBr. For the measurement of quantum yields, the excitation source was scanned in order to  
3 evaluate the reflected light for the empty sphere ( $L_a$ ), the samples facing the source light ( $L_c$ )  
4 and the sample out of the irradiation beam ( $L_b$ ). The luminescence spectra were recorded with  
5 the sample facing the source light ( $E_c$ ) and out from direct irradiation ( $E_b$ ). The PM voltage  
6 was adapted to the measurement of reflected light and emission spectra, respectively, and  
7 proper correction was applied to take into account the voltage difference. The absolute  
8 photoluminescence quantum yield values ( $\Phi_{PL}$ ) were calculated by a method based on the one  
9 developed by de Mello *et al.* [32] using the formula:

$$16 \quad \Phi_{PL} = [E_c - (1 - \alpha)E_b] / L_a \alpha \quad (1)$$

17 with  $\alpha = 1 - L_c / L_b$ . The error on the  $\Phi_{PL}$  value was estimated to be about 20%. Fourier  
18 transform infrared (FTIR) spectra were recorded using a Nexus ThermoNicolet FTIR  
19 spectrophotometer equipped with a diamond ATR.  
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### 25 2.3. Crystallography

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29 Crystal data were collected at 193(2)K (**1b**, **1c** and **1d**) and 293(2)K (**1e**) on a Bruker-  
30 AXS APEX II diffractometer equipped with a 30 W air-cooled microfocus source (ImS) with  
31 focusing multilayer optics (**1b**, **1c** and **1d**) and on a Bruker-AXS D8-Venture diffractometer  
32 equipped with a CMOS detector (photon100), using MoK $\alpha$  radiation ( $\lambda = 0.71073 \text{ \AA}$ ). The  
33 structures were solved using an intrinsic phasing method (ShelXT) [33]. All non-hydrogen  
34 atoms were refined anisotropically using the least-squares method on  $F^2$  [34]. Molecular  
35 graphics were generated using the program MERCURY [35]. Molecular views and crystal  
36 data of all polymorphs are given in Fig. S2 and Table S2, respectively. CCDC supplementary  
37 crystallographic data can be obtained free of charge from The Cambridge Crystallographic  
38 Data Centre via <https://www.ccdc.cam.ac.uk/structures>.  
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47 The X-ray powder diffraction patterns were recorded in the “Nano X platform of CEMES-  
48 CNRS”. The powders were placed in a sample holder with no background noise. The  
49 measurements were made in reflection mode using a Bragg-Brentano configuration on a  
50 Bruker D8 Advance diffractometer equipped with a Copper anticathode (Cu K $\alpha$ 1 = 1.54059  $\text{\AA}$   
51 and K $\alpha$ 2 = 1.54439  $\text{\AA}$ ) and a 1D Lynx eye detector.  
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### 58 2.4. DFT, TD-DFT and SF-TD-DFT calculations

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1 DFT calculations of the harmonic normal modes were performed at the B3LYP/6-  
2 31+G(d,p) level of theory [36] for dimers **1a**, **1b**, **1c**, **1d**, **1e** obtained from X-ray data (Table  
3 S5). The frequencies were calculated using a scaling factor of 0.9613 [37]. The ground- and  
4 first excited-state potential energy surfaces of a model isolated monomer were explored at the  
5 TD-DFT and SF-TD-DFT levels of theory. TD-DFT and SF-TD-DFT calculations were  
6 performed with the PBE0 [38] functional and BH&HLYP [39] functionals, respectively, and  
7 the 6-31+G(d,p) basis set. The SF-TD-DFT calculations used a reference triplet state obtained  
8 from a restricted open-shell DFT calculation. The excited-state transition state was optimized  
9 at the TD-DFT level only, for which analytical second derivatives of the energy with respect  
10 to nuclear coordinates could be computed. On the other hand, the twisted minimum and  
11 conical intersection could only be optimized with SF-TD-DFT, which allows a correct  
12 description of the potential energy surface topology where the ground- and first excited state  
13 couple [40]. DFT and TD-DFT calculations were performed with Gaussian [41] and Gamess  
14 [42] quantum chemistry codes, respectively. The geometries (Cartesian coordinates) of  
15 optimized structures obtained at TD-DFT and SF-TD-DFT levels are given in Table S6.

### 3. Results and discussion

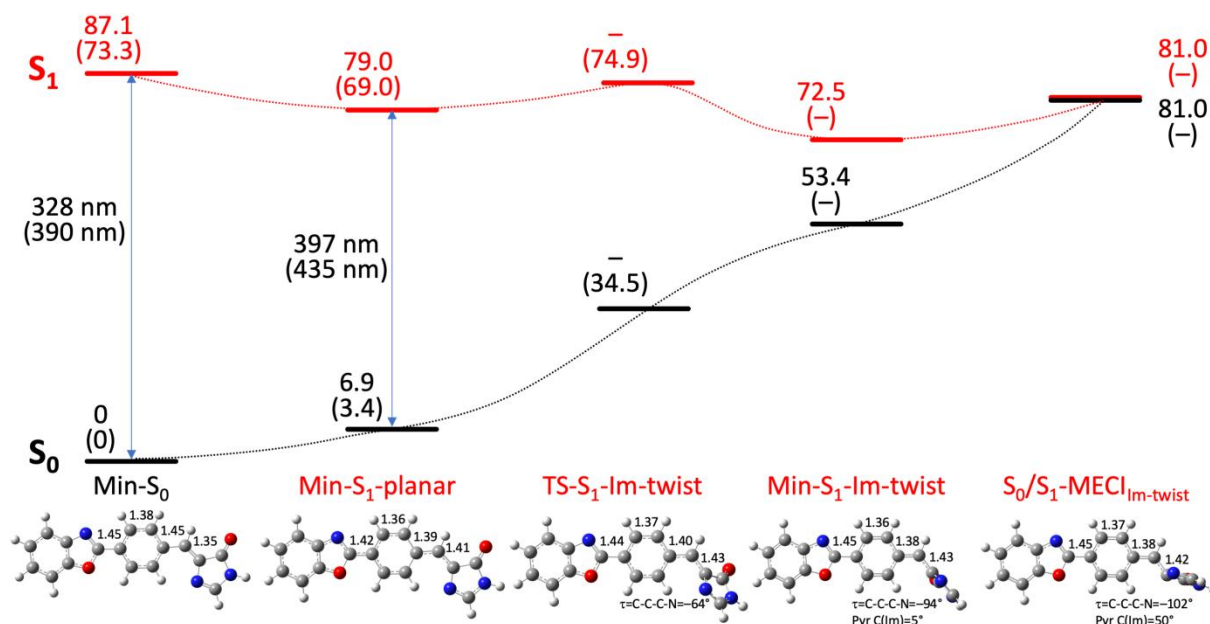
#### 3.1. Revisiting the spectroscopic behaviour in solution: Spin-flip TD-DFT calculations

16 It was shown in our previous work that all compounds of this series emit weak blue  
17 light in organic solvents (e.g.  $\lambda_{em}^{max} = 448$  nm,  $\Phi_F = 0.02$  for **1** in ethanol) [27]. To explain  
18 this behaviour, it was proposed that the two molecular moieties that rotate around the  
19 vinylene link lead to dispersion of the excitation energy. The PL enhancement observed for  
20 the long-chain analogues was classically attributed to rigidification of the molecular backbone  
21 and restriction of internal rotations [31]. However, regarding recent findings in this research  
22 field [43,44], it seemed necessary to us to better understand what happens in solutions. To do  
23 so, time-dependent density functional theory (TD-DFT) and its spin-flip variant (SF-TD-  
24 DFT), which allows the correct description of conical intersections (CIs) between ground and  
25 excited states [45], were used to investigate the lowest singlet excited state ( $S_1$ ) relaxation  
26 pathway by first considering an isolated model system *in vacuum*. The model system was  
27 built by replacing the two methyl groups of the imidazolinone moiety by hydrogen atoms.

28 **Fig. 2** shows that upon excitation to the  $S_1$  state, the system first relaxes to a planar  
29 structure (Min- $S_1$ -planar) which is assigned to the emissive species. The calculated emission  
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1 wavelength at the TD-DFT level (435 nm) is consistent with that observed in solution. This  
2 structure is characterized by a slight shortening of 0.03 Å of the C–C bond between the  
3 benzoxazole and BDI moieties, a more substantial shortening of 0.06 Å of the phenyl-bridge  
4 C–C bond length and a lengthening of 0.06 Å of the imidazolinone-bridge C–C bond length.  
5 However, this minimum is not the lowest energy excited-state structure on the  $S_1$  potential  
6 energy surface. Along the torsion coordinate of the imidazolinone moiety, a lower energy  $S_1$   
7 minimum (Min- $S_1$ -Im-twist) can be found in which the torsion dihedral angle of the  
8 imidazolinone moiety is  $94^\circ$  with respect to the rest of the molecule. A slight  
9 pyramidalization (ca.  $5^\circ$ ) of the carbon atom linked to the bridge is also involved. This global  
10 minimum on the  $S_1$  potential energy surface is located 6.5 kcal/mol below the  $S_1$  planar  
11 minimum, and can be reached by overcoming a small excited-state potential energy barrier of  
12 about 6 kcal/mol from Min- $S_1$ -planar. Interestingly, upon further imidazolinone torsion to  
13  $102^\circ$  and pyramidalization of the imidazolinone carbon atom linked to the bridge to  $50^\circ$ , a  
14 low-lying  $S_0/S_1$  minimum energy conical intersection ( $S_0/S_1$ -MECI<sub>Im-twist</sub>) is reached requiring  
15 only an activation energy of 8.5 kcal/mol from Min- $S_1$ -Im-twist. This CI is located at a  
16 similar energy to the  $S_1$  planar minimum and below the Franck-Condon region. This is  
17 reminiscent of the potential energy surface topology found in the neutral green fluorescent  
18 protein chromophore belonging to the BDI family [46]. This CI, *i.e.* a critical structure of the  
19 potential energy surfaces where the  $S_1$  and  $S_0$  states are degenerate and the probability of non-  
20 radiative internal conversion is most efficient [29], is most likely responsible for the very  
21 efficient non-radiative decay of **1** in solution, where molecules can move freely.  
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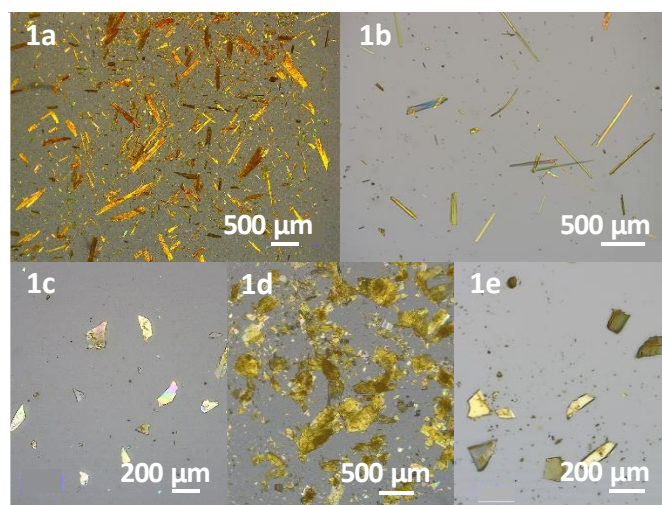
**Fig. 2.** Schematic potential energy profile for the isolated model molecule of **1** along the main S<sub>1</sub> excited-state relaxation pathway. Energies are in kcal/mol with respect to the ground-state minimum (Min-S<sub>0</sub>). SF-TD-DFT values are in normal font and TD-DFT values are in parentheses. Geometries are obtained at SF-TD-DFT level, except for TS-S<sub>1</sub>-Im-twist (optimized with TD-DFT). Relevant bond lengths (Å) and angles for torsion and pyramidalization are shown.

### 3.2. Preparation and characterization of the various crystalline forms

To study the properties of **1** in the solid state, this compound was synthesized according to a variant of our previously described procedure and single crystals suitable for X-ray analysis were grown by evaporation of organic solutions under various experimental conditions (Table S1). In some cases, two types of crystals co-existed and were sorted manually. The X-ray analysis led to identification of one acetonitrile solvate (**1b**) and four polymorphs (**1a**, **1c-e**) including one previously reported structure [27]. It is obvious that not only the solvent, but also the temperature and speed of solvent evaporation, played a key role in the preparation of these various crystalline forms. Regarding outward appearance, the crystals were roughly divided into two groups. Forms **1a** and **1b** had needle or rod-like shapes, the other ones (**1c-e**) were irregular plates of varying thickness (Fig. 3). The crystal colour ranged from pale yellow to yellow-orange. The melting points were between 214.7 and 216.0 °C, with one exception (207.4°C) for solvate **1b**. The very close energy levels explain



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2 the formation of concomitant polymorphs and the easy transition from one form to another  
3 that was observed in the course of the preparation of crystals.



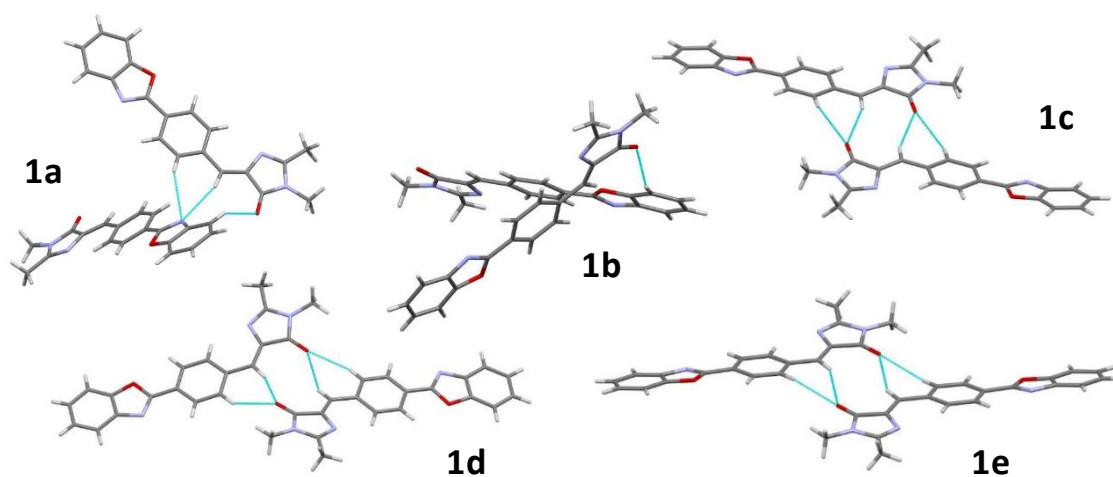
23 **Fig. 3.** Optical microscopy images of solvate **1b** and polymorphs **1a**, **1c**, **1d** and **1e**.

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27 The examination of the X-ray structures provides a lot of precious information on the  
28 geometry of molecules and mutual interactions between them. Crystallographic data are  
29 collected in Table S2 and molecular structures are given in Fig. S2. The inversion between  
30 oxazole N and O atoms is frequently found in crystallographic structures of PBO [29]. In the  
31 present case, this trend and the dissymmetry brought by the BDI unit lead to the emergence of  
32 two conformers, named *syn* and *anti* depending on the relative position of the benzoxazole  
33 and imidazolinone oxygen atoms on the same side or on the opposite side with respect to the  
34 long axis of the molecule (Fig. S3). For instance, crystals of **1d** (and possibly **1a** and **1c**)  
35 contained only molecules in the *anti* conformation, while **1b** and **1e** contained more than 80%  
36 of molecules in the *syn* conformation.

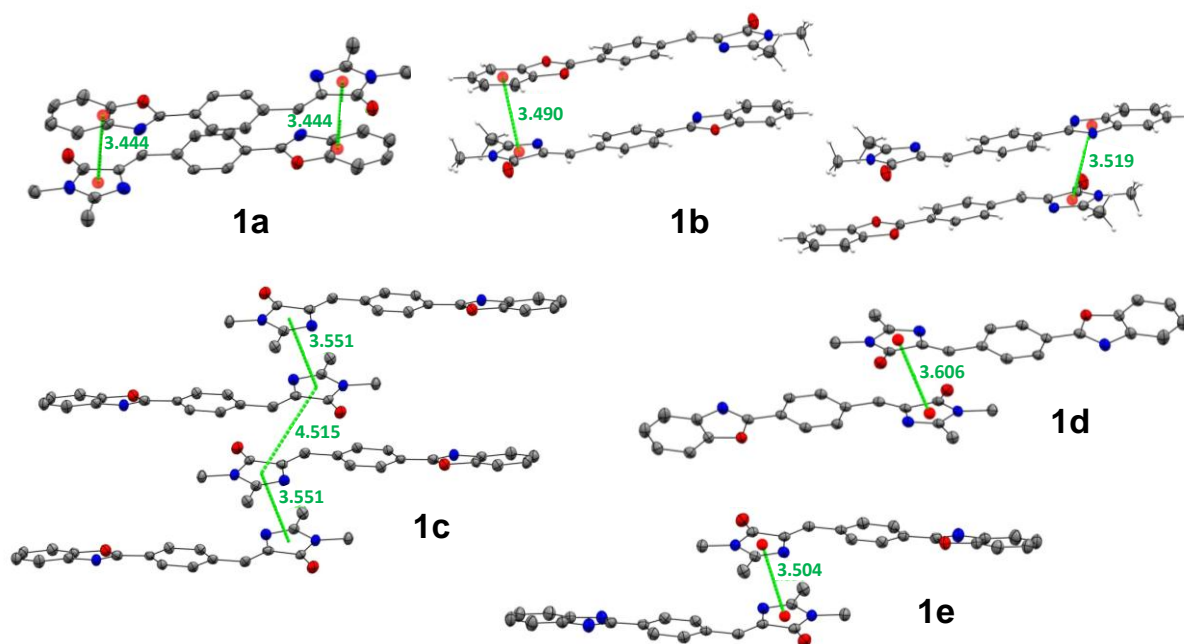
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45 Molecules were almost planar in solvate **1b**, where packing forces are weak, and in **1a**.  
46 They were moderately twisted in polymorphs **1c-e**, with the benzoxazole and imidazolinone  
47 moieties forming a dihedral angle below or equal to 20° (Table S3). It must be underlined that  
48 this twist is distributed over the entire molecular structure, and not localized between two  
49 adjacent aromatic rings. If the relative position of the oxazole N and O atom is not considered,  
50 the superimposition of all molecules reveals that other geometrical changes are not very  
51 significant (Fig. S4). Two types of molecular arrangements can be distinguished as illustrated  
52 in Fig. 4. In crystals of **1a** and **1b**, molecules showed a crossed arrangement, typical of many  
53 PBO derivatives [29]. In the other crystals, molecules were aligned along their long axis, with  
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the PBO moieties situated in parallel (**1c** and **1e**) or slightly different planes (**1d**). In every case, the nearest neighbours were distant by  $\sim 3.3$  Å in the stacking direction, and were anti-parallel to each other. Several  $\pi$ - $\pi$  interactions were detected for every crystalline form (see [Table S3](#) and [Fig. 5](#)). Noticeably, the overlap of the aromatic moieties was pronounced for **1a**, slightly weaker for **1b**. The strongest  $\pi$ - $\pi$  interactions are between the benzoxazole ring of one molecule and the imidazolinone ring of a neighbouring molecule in the stacking direction. In contrast, no or very weak overlap of the aromatic moieties was observed for **1c-e**, due to slippage of molecules in the long molecular axis direction ([Fig. S5](#)), and  $\pi$ - $\pi$  interactions take place between two imidazolinone rings. At least one CH- $\pi$  interaction involving one hydrogen atom borne by the methyl group of the imidazolinone cycle and a 6-membered ring can also be found for each crystalline species. Regarding hydrogen bonds between 2.4 and 2.8 Å ([Table S4](#)), the only commonality between all crystals is the involvement of the imidazolinone oxygen atom in an intermolecular H-bond that strongly structures the network. In **1a** and **1b**, this bond is directed towards one hydrogen atom of the benzoxazole moiety of the neighboring molecule, leading to a compact arrangement. In contrast, for **1c-e**, the H-bond involves the hydrogen atoms of the phenyl and vinyl groups, so that molecules form sort of centrosymmetric dimers. The overall result is that the BDI moieties of the molecules seem to be tightly bound as columns, while the benzoxazole moieties have a looser environment. Other types of short contacts were encountered, or not, in the various structures, involving for example the oxazole nitrogen and oxygen atoms.



**Fig. 4.** Molecular arrangement of the five crystalline forms of **1**, showing the short contacts that involve the imidazolinone oxygen atom (blue ink).



**Fig. 5.** Molecular views showing  $\pi$ - $\pi$  interactions for the five crystalline forms of **1**. Distances in Å.

### 3.3. Infrared spectroscopy and DFT calculations

To examine the H-bonds formed by the imidazolinone oxygen atom in greater detail, the FTIR spectra of the five crystalline species were recorded. The peaks corresponding to the C=O stretching vibrations were situated around  $1701\text{ cm}^{-1}$  for **1a** and **1b**, and around  $1707\text{ cm}^{-1}$  for **1c-e** (Fig. S7). As the same molecule is considered, these results suggest that the order of the covalent bond is weaker, and hence the H-bond is stronger, for **1a** and **1b** than for **1c-e**. At lower frequencies, in the fingerprint region, peaks were at  $749$  and  $733\text{ cm}^{-1}$ , respectively (Fig. S8). They seem to indicate that deformations of C-H bonds were stronger in **1c-e** than in **1a** and **1b**. The differences between the frequency values are small, but it is clear that the two types of crystals have a distinct IR signature.

To get a deeper insight, DFT frequency analyses were first performed on the HBDI unit in the gas phase used as reference, and then on the five crystalline species (Table S5). Adjacent molecules linked by H-bonds involving the C=O(imid) group were considered in each case on the basis of X-ray data. The choice was made to keep the constraints imposed by the crystal packing, instead of calculating the equilibrium geometries, which do not reflect what is actually taking place in the crystals. In this case, the calculation of harmonic

frequencies is not exact. Consequently, the results collected in [Table 1](#) must be considered with some caution, but we think that they provide precious elements of comparison between the crystalline species. For the centrosymmetric dimers of **1c**, **1d** and **1e**, the H-bonds formed between the two C=O(imid) and either H-C(phen) or H-C(vinyl) were examined. Remarkably, for every calculated frequency, two very close values were found, respectively associated with very high and near-zero intensity. This indicates that the normal modes of vibration are degenerated, the two molecules vibrating together with the same mode. A consequence is the dramatic overall deformation of the dimer, the two molecules of which undergo maximal deformation alternately. In contrast, for **1a** and **1b**, each C=O(imid) group presented its own stretching vibration of moderate intensity. Therefore, molecular deformation was weak, as for HBDI in the gas phase. Films showing the stretching vibrations of carbonyl groups, and related molecular deformations, are consigned as supplementary data. Calculations suggest that the associated intensities play a far more important role than the actual frequency values. These data are not accessible experimentally, because they are hidden by the envelope of IR spectra, as shown by the calculated IR spectra ([Fig. S10](#)).

**Table 1**

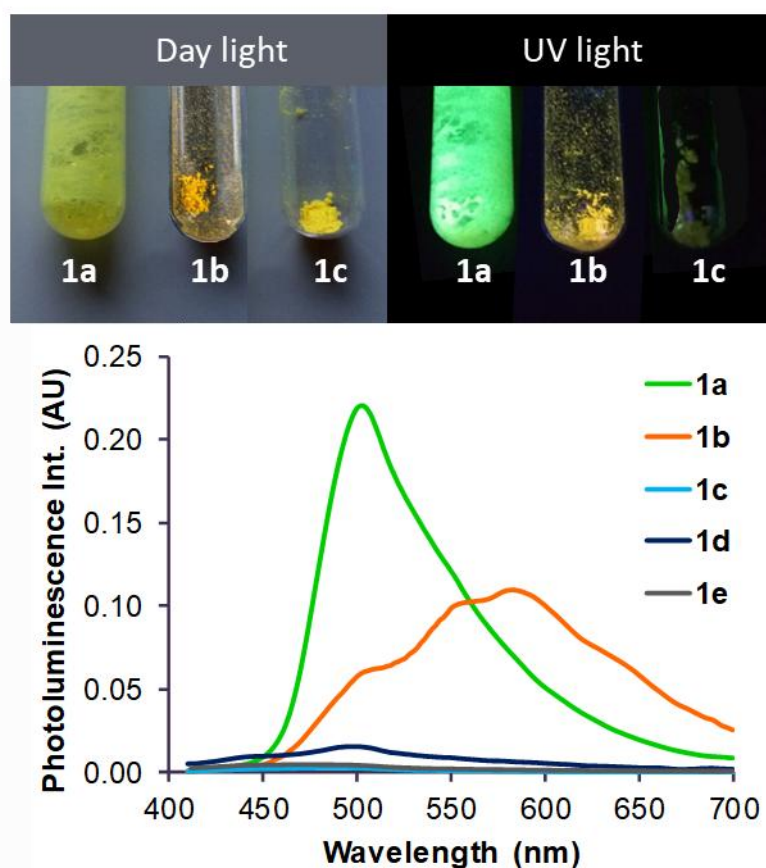
Calculated C=O(imid)···H-C frequencies in  $\text{cm}^{-1}$  with a scaled factor of 0.9613, and associated intensities between brackets.

Compound	HBDI	<b>1a</b>	<b>1b</b>	<b>1c</b>	<b>1d</b>	<b>1e</b>
$\nu_{\text{C=O}\cdots\text{H-C}}$ in	1707(455)	1657(541)	1762 (729)	1759 (1626)	1710 (1210)	1773 (1522)
$\text{cm}^{-1}$ (Intensity)		1710 (411)	1756 (372)	1754 (0.00)	1707 (0.24)	1768 (0.00)

### 3.4. Spectroscopic properties

The microcrystalline powder of **1a** appeared to be strongly emissive in the green region, with maximum near 502 nm and photoluminescence quantum yield of 0.22 ([Fig. 6](#)). Agglomerates of microcrystals had a slightly more yellow emission, probably due to reabsorption effects [47]. With aging, the sample exhibited a shoulder near 550 nm ([Fig. 7b](#)), but no distinct crystalline species could be characterized. Solvate **1b** emitted in the yellow-orange region (582 nm), with a weaker but still significant quantum yield (0.11). The differences observed between the emission properties of **1a** and **1b** can be explained as follows. The sharp green emission of **1a** could be assigned to excitons [48,49] or individual

1 molecules that interact with each other in the crystalline environment [50], while the broad  
2 long-wavelength emission of **1b** suggests the additional contribution of excimers as  
3 previously proposed for the long chain analogues [27]. At the opposite, polymorph **1d** was  
4 very weakly emissive, and **1c** and **1e** were virtually not emissive.



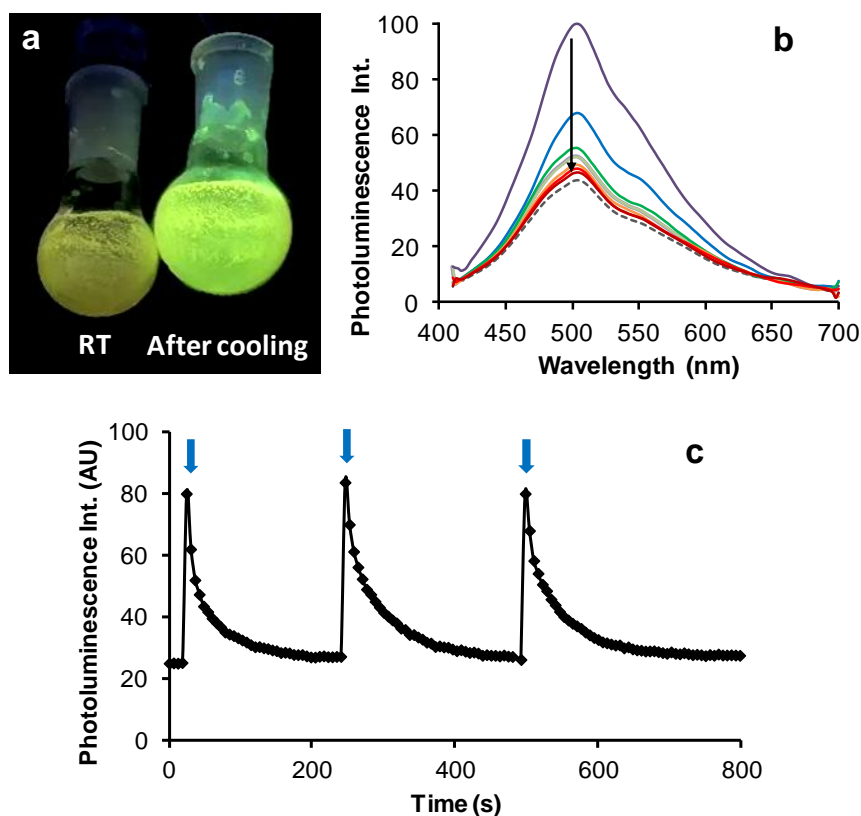
38 **Fig. 6.** Top: Photographs of microcrystalline powders of **1a**, **1b** and **1c** illuminated by day  
39 light and UV light (365 nm). Bottom: PL spectra of the five crystalline forms.  $\lambda_{\text{ex}} = 380$  nm,  
40 maximum intensity proportional to quantum yield.

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45 Passing from **1a** and **1b** to **1c-e** by recrystallization in appropriate solvent, and *vice*  
46 *versa*, resulted in turning photoluminescence on and off. The process was reversible many  
47 times, although the non-emissive polymorphs were more and more difficult to obtain over  
48 time, probably due to their lower stability and to the unavoidable presence of germs of the  
49 emissive polymorphs in the laboratory [51]. Other methods of switching from one crystalline  
50 form to another have been tried. Upon grinding, the shape of the PL spectrum of **1a** was  
51 unchanged. In contrast, the PL spectrum of **1b** was shifted to the blue till 500 nm. Exposition  
52 of the ground powder to acetonitrile vapours during 48 h led to a marked decrease of  
53 intensity, accompanied by a broadening of the PL spectrum due to the appearance of a band in  
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1 the orange region (Fig. S9). This partially reversible mechanofluorochromic effect was rather  
2 attributed to amorphization of the sample and then to partial restoration of the crystalline  
3 structure due to solvent vapours. Grinding also led to the appearance of a weak PL signal for  
4 the non-emissive polymorphs, which rapidly faded when the sample was left to stand alone at  
5 room temperature. Efforts were not pursued in this direction because the effects were not  
6 strong enough to be harnessed. Finally, heating samples of **1a** and **1d** at 90°C for 24 h did not  
7 alter their emission properties, suggesting that this temperature rise did not allow  
8 interconversion between polymorphs.  
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10 However, an interesting effect was noticed when studying the behaviour of our  
11 compounds at various temperatures. In fact, dropping a flask of **1a** into liquid nitrogen  
12 resulted in a sharp increase of PL, without noticeable change of the initial colour (Fig. 7a).  
13 Since our fluorimeter did not allow controlling the temperature of solid samples,  
14 measurements started when the sample was just removed from the liquid nitrogen, and  
15 continued when progressively returning to room temperature. In these conditions, the  
16 maximum PL intensity recorded for the samples at low temperature (LT) was 3.2 times more  
17 intense than at room temperature, and this difference would probably be greater if the sample  
18 could be maintained at -196 °C. The intensity change was consistent during many thermal  
19 cycles (Fig. 7b). No alteration of the spectrum shape was observed (Fig. 7c), indicating that  
20 there is no change in the crystal structure (i.e. crystal packing release followed by large  
21 structural relaxation [52,53] or interconversion of polymorph forms by heating and cooling  
22 [54,55]) or photophysical processes [56,57] with changing temperature. Very close results  
23 were obtained for **1b**, with the difference that the long-wavelength region of the spectrum was  
24 narrower for the frozen sample than at room temperature. In contrast, no significant PL  
25 enhancement was detected at low temperature for samples of **1c-e**.  
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**Fig. 7.** a) Comparative emission of microcrystalline powder **1a** illuminated by UV light (365 nm) at room temperature and after cooling in liquid nitrogen. b) Variation of the PL spectrum just after cooling (purple line) and during progressive return to room temperature (one spectrum every 50 s). The arrow indicates the sense of the variation. The dashed line corresponds to the initial spectrum recorded at 20°C. c) Variation of the emission intensity just after cooling the sample (arrows) and during progressive return to room temperature ( $\lambda_{\text{ex}} = 380 \text{ nm}$ ,  $\lambda_{\text{em}} = 550 \text{ nm}$ ).

### 3.5. Towards an understanding of the contrasting solid-state spectroscopic behaviour

The chemical structure of molecule **1** was unchanged in the various crystalline samples, which nevertheless can be divided in two groups with opposite emission behaviours. Explaining the emission of **1a** and **1b** by evoking the restriction of molecular motions in the solid state is obviously insufficient, because rigidification also occurs for the non-emissive species. The origin of the spectroscopic variations must be sought in the crystal structure. Obviously, the emission properties do not depend on the *syn* or *anti* molecular conformation. The planarity of **1a** and **1b** was a good indication for PL, but the twist observed for **1c-e** is not



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enough to account for the extinction of luminescence. In fact, the butyl and hexadecyl analogues of **1** have dihedral angles close to 14° and 31° (Fig. S6), respectively, and are very emissive in the solid state [27,28].

It was shown above that the presence of a thermally-accessible conical intersection is probably responsible for the non-radiative release of excitation energy of the molecule in solution. It is reasonable to assume that it also opens the main non-radiative deactivation pathway of **1** in the solid state. The CI may be located at higher energies due to steric constraints in solids, impeding the non-radiative deactivation pathway and enhancing the PL emission with respect to solutions. This restricted access to conical intersection (RACI) is now recognized for underlying the behaviour of numerous SLE-active molecules [44]. To explain why the two types of polymorphs have such a different behaviour, it is tempting to say that the CI is higher in energy and thus less accessible in **1a** and **1b** than in **1c-e**. Optimizing the CI structures and finding their energy position in the different polymorphs are beyond the aim of this work, although sophisticated computational strategies have been proposed to take the crystal environment into account in such calculations [58]. Here, we will simply use qualitative arguments to consider the possibility of molecular motions within the crystals to provide some clues as to whether the CI is accessible or not.

In **1a** and **1b**, the overlap of the aromatic moieties is significant and  $\pi$ - $\pi$  interactions take place at short distances. The  $\pi$ - $\pi$  stacking and the strong packing due to short contacts limit molecular motions [26], so that rotation is impeded and the CI becomes inaccessible. Only the relaxation pathway leading to the planar minimum Min-S<sub>1</sub>-planar responsible for PL would be available for molecules situated in the crystal bulk, thus explaining the strong PL of these samples. Moreover, in the present case, the molecules situated at the crystal surface could have more freedom to rotate, and act as PL traps. This would be in line with the observations made at low temperature. Indeed, the PL increase observed at LT most probably arises from the reduced rate of thermally-activated processes, which encompass molecular motions [49,59–61], as well as exciton migration and quenching by non-emissive surface defects [62]. For solvate **1b**, the narrowing of the long-wavelength region of the spectrum of the frozen sample also suggests the involvement of excimers, whose emission can be reduced at LT [63].

For **1c-e**, at first glance, the BDI units seem to be quite rigid in the crystals due to both stacking of the imidazolinone moiety and formation of the centrosymmetric dimers. However, the BDI units are stacked between one another, whereas in **1a-b**, they are facing the benzoxazole units. This would possibly allow for a concerted torsion of the imidazolinone

1 moieties allowing access to the CI in the solid state. Another option would be that the CIs  
2 involved in the non-radiative decay process in the aggregate phase of **1c-e** are different from  
3 that computed for the isolated monomer. The steric constraints imposed by the crystal  
4 environment may forbid the access to the fairly large amplitude motion necessary to reach  
5  $S_0/S_1$ -MECI<sub>Im-twist</sub>, and could possibly involve a more volume-conserving motion, as observed  
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7 for example in some acenimides [44].  
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11 Finally, another possibility is that the extinction of PL is correlated with the presence  
12 of several hydrogen bonds. Assuming that the deformations observed for **1c**, **1d** and **1e** in the  
13 ground state also take place in the excited state, they could be partly responsible for the  
14 extinction of PL via dispersion of the excitation energy along the C=O...H-C vibrations. It can  
15 be noticed that total PL extinction was observed for samples **1c** and **1e**, where deformation is  
16 the strongest. Such a deactivation pathway would be particularly original because H-bonds  
17 are generally considered to rigidify the molecular structures and activate the SLE processes of  
18 numerous fluorophores [64–67], although the opposite behaviour was recently reported by us  
19 for hydroxy-substituted PBO derivatives [68]. Clearly, dimeric structures like the ones studied  
20 in the present work would deserve more attention regarding their solid-state photophysical  
21 properties.  
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#### 31 32 33 34 **4. Conclusion** 35 36 37

38 In conclusion, five distinct crystalline forms were identified for the same PBO  
39 derivative, which is unprecedented, and a rare on/off fluorescence switching effect was  
40 reported. In the literature, non-emissive polymorphs of a solid-state fluorescent compound  
41 result from a molecular twist [20–23], or from a detrimental packing mode, including edge-  
42 to-face interactions [26] or face-to-face  $\pi$ - $\pi$  stacking [23–25]. To the best of our knowledge,  
43 the involvement of CI was proposed here for the first time.  
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49 Obviously, both polymorphism and PL switching are related to the presence of the  
50 small BDI unit, which is also responsible for the SLE behavior of compound **1**. It is now  
51 important to check whether the BDI moiety may confer this set of properties to other types of  
52 molecules, which would make it a precious “polymorphogenic” building block. In addition to  
53 their interest for practical applications, such BDI derivatives would be ideal tools for  
54 exploring the link between molecular arrangement, vibrational modes and excited states in  
55 photoluminescent organic crystals.  
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Furthermore, a fast screening with more than 30 commercially-available dyes in the solid state indicated that PL enhancement at LT is a non-trivial process, which was only observed for one sixth of the investigated dyes (i.e. Pyrene, Coumarin 6, Coumarin 153, Rhodamin B, DCM). Remarkably, no significant PL enhancement at LT was detected for dyes that do not emit at room temperature. It could be instructive to know what these dyes have in common with compound **1**, from a photophysical point of view. This could enable further developments towards new thermochromic materials.

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## Electronic supplementary data

Conditions for the preparation of the various crystalline forms, physico-chemical characteristics, crystallographic data, molecular views, optical microscopy images, IR spectra, DFT calculations of the H-bond frequencies and videos showing molecular deformations. CCDC: 1997405, 1997406, 1997407, 1997408.

## Keywords

Photoluminescence; Polymorphism; Organic Crystal; Green Fluorescent Protein; Conical intersection

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