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Conjunctive Grammars, Cellular Automata and Logic

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Abstract

The expressive power of the class **Conj** of *conjunctive languages*, i.e. languages generated by the *conjunctive grammars* of Okhotin, is largely unknown, while its restriction **LinConj** to *linear conjunctive grammars* equals the class of languages recognized by *real-time one-dimensional one-way* cellular automata. We prove two weakened versions of the open question $\mathbf{Conj} \subseteq ? \mathbf{RealTime1CA}$, where **RealTime1CA** is the class of languages recognized by *real-time one-dimensional two-way* cellular automata:

1. it is true for *unary* languages;
2. $\mathbf{Conj} \subseteq \mathbf{RealTime2OCA}$, i.e. any conjunctive language is recognized by a *real-time two-dimensional one-way* cellular automaton.

Interestingly, we express the rules of a conjunctive grammar in two *Horn logics*, which *exactly characterize* the complexity classes **RealTime1CA** and **RealTime2OCA**.

2012 ACM Subject Classification Theory of computation → Complexity theory and logic; Theory of computation → Formal languages and automata theory

Keywords and phrases Computational complexity, Real-time, One-dimensional/two-dimensional cellular automaton, One-way/two-way communication, Grid-circuit, Unary language, Descriptive complexity, Existential second-order logic, Horn formula

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1 Introduction

For decades, logic has maintained close relationships with, on the one hand, computational models [31] and computational complexity [3], in particular through descriptive complexity [7, 16, 21, 11, 14, 2], and on the other hand with formal language theory and grammars [8, 21].

Conjunctive grammars versus logic. Okhotin [26] wrote that “context-free grammars may be thought of as a *logic* for inductive description of syntax in which the propositional connectives available... are restricted to *disjunction only*”. Thus, twenty years ago, the same author introduced *conjunctive grammars* [22] as an extension of context-free grammars by adding an explicit *conjunction* operation within the grammar rules.



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As shown by Okhotin [22], conjunctive grammars – and more generally, Boolean grammars [24, 26] – inherit the parsing algorithms of the ordinary context-free grammars, without increasing their computational complexity. However, the expressive power of these grammars is largely unknown. The fact that the class **Conj** of languages generated by conjunctive grammars has many closure properties – it is trivially closed under reverse, concatenation, Kleene closure, disjunction and conjunction – suggests that this class has equivalent definitions in computational complexity and/or logic.

Conjunctive grammars versus real-time cellular automata. Note that the **LinConj** subclass of languages generated by *linear* conjunctive grammars was found to be equal to the **Trellis** class of languages recognized by *trellis* automata [25], or equivalently, *one-way real-time* cellular automata. Faced with this result, it is tempting to ask the following question: is the larger class **Conj** equal to the class **RealTime1CA** of languages recognized by *two-way* real-time cellular automata? Either answer to this question has strong consequences:

- If $\text{Conj} = \text{RealTime1CA}$ then each of the two classes will benefit from the closure properties of the other class; in particular, **RealTime1CA** would be closed under reverse, which was shown by [15] to imply $\text{RealTime1CA} = \text{LinearTime1CA}$, i.e. real-time is nothing but *linear time* for cellular automata, a surprising positive answer to a longstanding open question [6, 28, 30].
- If $\text{Conj} \neq \text{RealTime1CA}$ then $\text{Conj} \subsetneq \text{DSPACE}(n)$ or $\text{RealTime1CA} \subsetneq \text{DSPACE}(n)$: any of these strict inclusions would be a striking result.

Real-time is the minimal time of cellular automata (CA). Recall that **RealTime1CA** (resp. **Trellis**) is the class of languages recognized in real-time by *one-dimensional* CA with *two-way* (resp. *one-way*) communication and input word given in parallel. We know the strict inclusion $\text{Trellis} \subsetneq \text{RealTime1CA}$. The robustness of these classes is attested by their characterization by two sub-logics of ESO – the *existential second-order* logic, which characterizes NP – with *Horn formulas* as their first-order parts¹, and called respectively **pred-ESO-HORN** and **incl-ESO-HORN**, see [12, 13]. For short, we write $\text{RealTime1CA} = \text{pred-ESO-HORN}$ and $\text{Trellis} = \text{incl-ESO-HORN}$.

Results of this paper. This paper focuses on the relationships between the class of conjunctive languages and the real-time classes of cellular automata. Although we do not know the answer to the question $\text{Conj} = ? \text{RealTime1CA}$ or even to the question of the inclusion $\text{Conj} \subseteq ? \text{RealTime1CA}$, we prove two weakened versions of this inclusion:

1. $\text{Conj}_1 \subseteq \text{RealTime1CA}_1$: The inclusion holds when restricted to *unary* languages².
2. $\text{Conj} \subseteq \text{RealTime2OCA}$: The inclusion holds for real-time of *two-dimensional one-way* cellular automata (2-OCA). (We have $\text{RealTime1CA} \subseteq \text{RealTime2OCA}$.)

To grasp the scope of inclusion (1), it is important to note that unlike the subclass CFL_1 of the unary languages of the class of context-free languages, which is reduced to regular languages, $\text{CFL}_1 = \text{Reg}_1$, the class Conj_1 was shown by Jez [17] to be much larger than Reg_1 . Understanding its precise expressiveness seems as difficult a problem to us as for **Conj**.

Our inclusion (2) improves the inclusion $\text{CFL} \subseteq \text{RealTime2SOCA}$, where **RealTime2SOCA** denotes the class of languages recognized by real-time *sequential* two-dimensional one-way cellular automata, proved by Terrier [29], who uses a result by King [18] and improves results by Kosaraju [20] and Chang et al. [4]. Terrier’s result derives transitively from (2): $\text{CFL} \subseteq \text{Conj} \subseteq \text{RealTime2OCA} \subseteq \text{RealTime2SOCA}$.

¹ The class **ESO-HORN** of languages defined by existential second-order formulas with Horn formulas as their first-order parts is exactly **PTIME**, see [10, 11].

² The subclass of the unary languages of a class of languages $\mathcal{C}$ is denoted $\mathcal{C}_1$.

Inclusion (2) seems difficult to improve. Since any problem in **RealTime1CA** is decidable in time $O(n^2)$ (by a RAM algorithm), the hypothetical inclusion $\text{Conj} \subseteq \text{RealTime1CA}$ implies that any conjunctive language is decidable in time $O(n^2)$: this would be a breakthrough!

Logic as a bridge from problems and grammars to real-time CAs. Logic has been the basis of logic programming and database queries for decades, especially Horn logic through the Prolog and Datalog programming languages [1, 19, 11]. Likewise, the above-mentioned logical characterizations of real-time complexity classes of CAs, $\text{RealTime1CA} = \text{pred-ESO-HORN}$ and $\text{Trellis} = \text{incl-ESO-HORN}$, have been used to easily show that several problems belong to the **RealTime1CA** or **Trellis** class by inductively expressing/programming the problems in the corresponding Horn logic, see [12, 13].

In this paper, the same logic programming method is adopted. We prove inclusion (1) $\text{Conj}_1 \subseteq \text{RealTime1CA}_1$ by expressing a unary language generated by a conjunctive grammar in the **pred-ESO-HORN** logic. Inclusion (1) follows, by the equality $\text{pred-ESO-HORN} = \text{RealTime1CA}$. Similarly, to prove inclusion (2) $\text{Conj} \subseteq \text{RealTime2OCA}$, we first design a logic denoted **incl-pred-ESO-HORN** so that $\text{incl-pred-ESO-HORN} = \text{RealTime2OCA}$. Then, we express any conjunctive language in this logic, proving that it belongs to **RealTime2OCA**, as claimed. Thus, the heart of each proof consists in presenting a formula of a certain *Horn logic*, which *inductively* expresses how a word is generated by a conjunctive grammar: the Horn clauses of the formula *naturally* imitate the rules of the grammar.

Our proof method and the paper structure. After Section 2 gives some definitions, Sections 3 and 4 present inclusions (1) and (2) and their proofs with a common plan: Subsection 3.1 (resp. 4.1) expresses the inductive generating process of a conjunctive grammar, assumed in binary (Chomsky) normal form in the logic **pred-ESO-HORN** (resp. **incl-pred-ESO-HORN**). Subsection 3.2 (resp. 4.2) shows that any formula of this logic can be normalized into a formula which mimics the computation of a two-dimensional (resp. three-dimensional) *grid-circuit* called **Grid** (resp. **Cube**); Subsection 3.3 (resp. 4.3) translates the grid-circuit into a real-time one-dimensional CA (resp. two-dimensional OCA). Note that we prove the equivalence of our logics with grid-circuits and CA real-time³: $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTime1CA}$ and $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$. Section 5 deals briefly with the meaning of our results and open problems around a diagram of the known relations between the **Conj** class and the CA complexity classes studied here, for the general case and for the unary case.

2 Preliminaries

2.1 Conjunctive grammars and their binary normal form

Conjunctive grammars extend context-free grammars with a conjunction operation.

► **Definition 1** (Conjunctive grammar, conjunctive language). [22, 23]

- A conjunctive grammar is a tuple $G = (\Sigma, N, P, S)$ where Σ is the finite set of terminal symbols, N is the finite set of nonterminal symbols, $S \in N$ is the initial symbol, and P is the finite set of rules, each of the form $A \rightarrow \alpha_1 \& \dots \& \alpha_m$, for $m \geq 1$ and $\alpha_i \in (\Sigma \cup N)^+$.

³ We have chosen to give here a simplified proof of the logical characterization $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTime1CA}$ already proved in [12] so that this paper is self-content, but above all because our proof of the similar result $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$ is an extension of it.

- The set of words $L(A) \subseteq \Sigma^+$ generated by any $A \in N$ is defined by induction: if the rules for A are $A \rightarrow \alpha_1^1 \& \dots \& \alpha_{m_1}^1 \mid \dots \mid \alpha_1^k \& \dots \& \alpha_{m_k}^k$, then $L(A) := \bigcup_{i=1}^k \bigcap_{j=1}^{m_i} L(\alpha_j^i)$. (As usual, take the least solution of the language equations defining the sets $L(A)$, for $A \in N$.)
- The language generated by the grammar G is $L(S)$. It is called a conjunctive language.

Okhotin [26] gave many examples of conjunctive languages which are not context-free. Moreover, Jez [17] proved that there are such languages on unary alphabet, in particular, the set $\{a^{4^k} \mid k \in \mathbb{N}\}$ is a conjunctive language which is not context-free (= not regular).

We will mainly use the binary normal form of conjunctive grammars, which extends the Chomsky normal form of context-free grammars. Each conjunctive grammar can be rewritten in an equivalent binary normal form [22, 26].

► **Definition 2** (Binary normal form [22]). A conjunctive grammar $G = (\Sigma, N, P, S)$ is in binary normal form if each rule in P has one of the two following forms:

- a long rule: $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$ ($m \geq 1, B_i, C_j \in N$);
- a short rule: $A \rightarrow a$ ($a \in \Sigma$).

2.2 Elements of logic

The underlying structure we will adopt to encode an input word $w = w_1 \dots w_n$ over its index interval $[1, n] = \{1, \dots, n\}$ uses the *successor* and *predecessor* functions and the monadic predicates $\min$ and $\max$ as its *only* arithmetic functions/predicates:

► **Definition 3** (structure encoding a word). Each nonempty word $w = w_1 \dots w_n \in \Sigma^n$ on a fixed finite alphabet Σ is represented by the first-order structure $\langle w \rangle := ([1, n]; (Q_s)_{s \in \Sigma}, \min, \max, \text{succ}, \text{pred})$ of domain $[1, n]$, monadic predicates Q_s , $s \in \Sigma$, $\min$ and $\max$ such that $Q_s(i) \iff w_i = s$, $\min(i) \iff i = 1$, and $\max(i) \iff i = n$, and unary functions succ and pred such that $\text{succ}(i) = i + 1$ for $i < n$ and $\text{succ}(n) = n$, $\text{pred}(i) = i - 1$ for $i > 1$ and $\text{pred}(1) = 1$. Let S_Σ denote the signature $\{(Q_s)_{s \in \Sigma}, \min, \max, \text{succ}, \text{pred}\}$ of the structure $\langle w \rangle$.

► **Notation 1.** Let $x + k$ and $x - k$ abbreviate the terms $\text{succ}^k(x)$ and $\text{pred}^k(x)$, for a fixed integer $k \geq 0$. We will also use the intuitive abbreviations $x = 1$, $x = n$ and $x > k$, for a fixed integer $k \geq 1$, in place of the formulas $\min(x)$, $\max(x)$ and $\neg \min(x - (k - 1))$, respectively.

2.3 Cellular automata and real-time

► **Definition 4** (1-CA and 2-OCA). A d -dimensional cellular automaton (CA) is a triple $(S, \mathcal{N}, f)$ where S is the finite set of states, $\mathcal{N} \subset \mathbb{Z}^d$ is the neighborhood, and $f : S^{|\mathcal{N}|} \rightarrow S$ is the transition function. We are interested in the following two special cases:

- 1-CA: It is a one-dimensional two-way cellular automaton $(S, \{-1, 0, 1\}, f)$, for which the state $\langle c, t \rangle$ of any cell c at a time $t > 1$ is updated in this way:
 $\langle c, t \rangle = f(\langle c - 1, t - 1 \rangle, \langle c, t - 1 \rangle, \langle c + 1, t - 1 \rangle)$.
- 2-OCA: It is a two-dimensional one-way cellular automaton $(S, \{(0, 0), (-1, 0), (0, -1)\}, f)$ for which the state $\langle c_1, c_2, t \rangle$ of any cell (c_1, c_2) at a time $t > 1$ is updated in this way:
 $\langle c_1, c_2, t \rangle = f(\langle c_1, c_2, t - 1 \rangle, \langle c_1 - 1, c_2, t - 1 \rangle, \langle c_1, c_2 - 1, t - 1 \rangle)$.

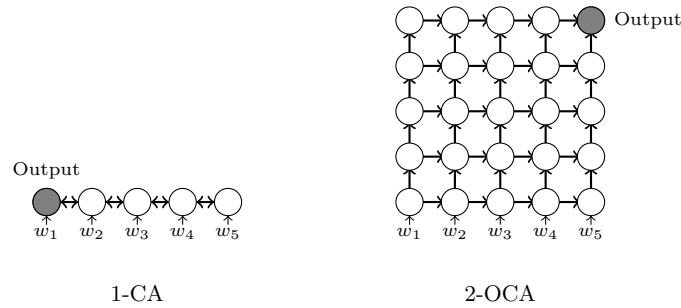
► **Definition 5** (permanent and quiescent states). In a CA, a state $\sharp$ is permanent if a cell in state $\sharp$ remains in this state forever. A state λ of a CA is quiescent if a cell in state λ remains in this state as long as the states of its neighborhood cells are quiescent or permanent.

► **Definition 6** (CA as a word acceptor). A cellular automaton $(S, \mathcal{N}, f)$ with an input alphabet $\Sigma \subset S$, a permanent state $\sharp$, a quiescent state λ , and a set of accepting states $S_{\text{acc}} \subset S$ acts as a word acceptor if it operates on an input word $w \in \Sigma^+$ in respecting the following conditions (see Figure 1).

Input. For a 1-CA, the i -th symbol of the input $w = w_1 \dots w_n$ is given to the cell i at the initial time 1: $\langle i, 1 \rangle = w_i$. All other cells are in the permanent state $\sharp$. For a 2-OCA, the i -th symbol of the input is given to the cell $(i, 1)$ at time 1: $\langle i, 1, 1 \rangle = w_i$. At time 1, the cells $(c_1, c_2) \in [1, n] \times [2, n]$ are in the quiescent state λ , all other cells are in the permanent state $\sharp$.

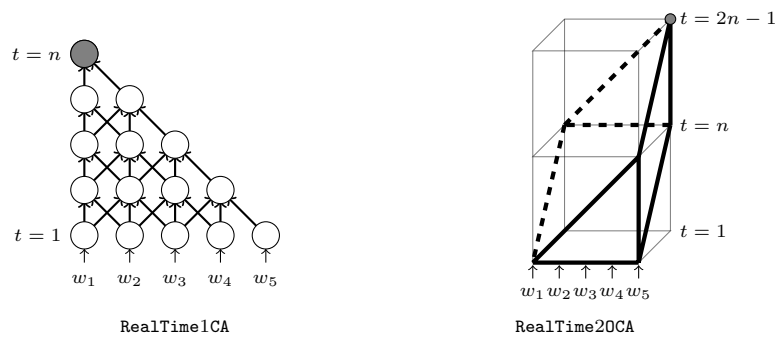
Output. One specific cell called the output cell gives the output, “accept” or “reject”, of the computation. For a 1-CA, the output cell is the cell 1. For a 2-OCA, the output cell is (n, n) .

Acceptance. An input word is accepted by a 1-CA (resp. 2-CA) at time t if the output cell enters an accepting state at time t .



■ **Figure 1** Input and output of a CA acting as a word acceptor.

► **Definition 7** (RealTime1CA, RealTime2OCA). A word is accepted in real-time by a 1-CA (resp. 2-OCA) if the word is accepted in minimal time for the output cell 1 (resp. (n, n)) to receive each of its letters. A language is recognized in real-time by a CA if it is the set of words that it accepts in real-time. The class RealTime1CA (resp. RealTime2OCA) is the class of languages recognized in real-time by a 1-CA (resp. 2-OCA).



■ **Figure 2** Space-time diagrams of RealTime1CA and RealTime2OCA.

3 Real-time recognition of a unary conjunctive language

In this section, we prove our first main result:

► **Theorem 8.** $\text{Conj}_1 \subseteq \text{RealTime1CA}_1$.

3.1 Expressing inductively a unary conjunctive language in logic

The generating process of a unary conjunctive language is naturally expressed in the logic **pred-ESO-HORN**, an inductive Horn logic whose only function is the predecessor function.

► **Definition 9** (**pred-ESO-HORN**). A formula of **pred-ESO-HORN** is a formula $\Phi := \exists \mathbf{R} \forall x \forall y \psi(x, y)$ where $\mathbf{R}$ is a finite set of binary predicates and ψ is a conjunction of Horn clauses, of signature $\mathcal{S}_\Sigma \cup \mathbf{R}$, and of one the three following forms:

- an input clause: $\min(x) \wedge (\neg) \min(y) \wedge Q_s(y) \rightarrow R(x, y)$ with $s \in \Sigma$ and $R \in \mathbf{R}$;
- a computation clause: $\delta_1 \wedge \dots \wedge \delta_r \rightarrow R(x, y)$ with $R \in \mathbf{R}$ and where each hypothesis δ_h is an atom $S(x, y)$ or a conjunction $S(x - i, y - j) \wedge x > i \wedge y > j$, with $S \in \mathbf{R}$ and $i, j \geq 0$ two integers such that $i + j > 0$;
- a contradiction clause: $\max(x) \wedge \max(y) \wedge R(x, y) \rightarrow \perp$ with $R \in \mathbf{R}$.

By abuse of notation, let us also call **pred-ESO-HORN** the class of languages defined by a formula of **pred-ESO-HORN**.

► **Notation 2.** We will freely use equalities (resp. inequalities) $x = i$ and $y = j$ (resp. $x > i$, $y > j$), for constants i, j , in our formulas since they can be easily defined in **pred-ESO-HORN**. For example, the binary predicate $R^{x>2}$ of intuitive meaning $R^{x>2}(x, y) \iff x > 2$ is defined inductively by the following clauses where $R^{x=a}(x, y)$ means $x = a$:

- $\min(x) \rightarrow R^{x=1}(x, y)$; $x > 1 \wedge R^{x=1}(x - 1, y) \rightarrow R^{x=2}(x, y)$;
- $x > 1 \wedge R^{x=2}(x - 1, y) \rightarrow R^{x>2}(x, y)$; $x > 1 \wedge R^{x>2}(x - 1, y) \rightarrow R^{x>2}(x, y)$.

Also, some other arithmetic predicates easily defined in **pred-ESO-HORN** will be used. For example, $y = 2x$ can be replaced by the atom $R^{y=2x}(x, y)$, where $R^{y=2x}$ is defined by the following two clauses using the predicates $R^{x=1}$, $R^{y=2}$, $R^{x>1}$ and $R^{y>2}$:

- $x = 1 \wedge y = 2 \rightarrow R^{y=2x}(x, y)$; $x > 1 \wedge y > 2 \wedge R^{y=2x}(x - 1, y - 2) \rightarrow R^{y=2x}(x, y)$.

► **Notation 3.** More generally, let $R^{\rho(x,y)}$ denote a binary predicate whose meaning is $R^{\rho(x,y)}(x, y) \iff \rho(x, y)$, for a property or a formula $\rho(x, y)$. We will also use a set of binary arithmetic predicates denoted by $\mathbf{R}_{\text{arith}}$, which consists of $R^{x=y}$, $R^{y=2x}$ and $R^{\rho(x,y)}$, for $\rho(x, y) := x \geq \lceil \frac{y}{2} \rceil$, and the predicates used to define them in **pred-ESO-HORN**.

Let us prove that for every unary conjunctive language, its complement can be defined in **pred-ESO-HORN**₁.

► **Lemma 10.** For each language $L \subseteq a^+$, if $L \in \text{Conj}_1$ then $a^+ \setminus L \in \text{pred-ESO-HORN}$.

Proof. Let $G = (\{a\}, N, P, S)$ be a conjunctive grammar in binary normal form which generates L . For each $A \in N$ and each unary word a^y , we have, according to the length y , the following equivalences which will be the basis of our induction:

- if $y = 1$, then $a^y = a \in L(A) \iff$ the short rule $A \rightarrow a$ belongs to P ;
- if $y > 1$, then $a^y \in L(A) \iff$ there is a long rule $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$ in P such that, for each $i \in \{1, \dots, m\}$, there exists $x \geq \lceil \frac{y}{2} \rceil$ such that either $a^x \in L(B_i)$ and $a^{y-x} \in L(C_i)$, or $a^{y-x} \in L(B_i)$ and $a^x \in L(C_i)$.

We want to construct a first-order formula $\forall x \forall y \psi_G(x, y)$ of signature $\mathcal{S}_\Sigma \cup \mathbf{R}$, for $\Sigma := \{a\}$ and the set of binary predicates $\mathbf{R} := \{\text{Maj}_A, \text{Min}_A \mid A \in N\} \cup \{\text{Sum}_{BC} \mid B, C \in N\} \cup \mathbf{R}_{\text{arith}}$ so that the formula $\Phi_G := \exists \mathbf{R} \forall x \forall y \psi_G$ belongs to **pred-ESO-HORN** and defines the language $a^+ \setminus L$. The intuitive meanings of the predicates Maj_A , Min_A and Sum_{BC} are as follows:

- $\text{Maj}_A(x, y) \iff \lceil \frac{y}{2} \rceil \leq x \leq y$ and $a^x \in L(A)$;
- $\text{Min}_A(x, y) \iff \lceil \frac{y}{2} \rceil \leq x < y$ and $a^{y-x} \in L(A)$;

- $\text{Sum}_{BC}(x, y) \iff$ there is some x' with $\lceil \frac{y}{2} \rceil \leq x' \leq x$ such that either $a^{x'} \in L(B)$ and $a^{y-x'} \in L(C)$, or $a^{y-x'} \in L(B)$ and $a^{x'} \in L(C)$.

Note that for $x = y$, the above equivalence for Maj_A implies $\text{Maj}_A(x, y) \iff a^y \in L(A)$.

Let us give and justify a list of Horn clauses whose conjunction ψ'_G defines the predicates Maj_A , Min_A and Sum_{BC} , using the arithmetic predicates of $\mathbf{R}_{\text{arith}}$ (see Notations 2 and 3), namely $R^{x=y}$, $R^{y=2x}$ and $R^{\rho(x,y)}$, for $\rho(x, y) := x \geq \lceil \frac{y}{2} \rceil$.

Short rules. Each rule $A \rightarrow a$ of P is expressed by the input clause:

- $\min(x) \wedge \min(y) \wedge Q_a(y) \rightarrow \text{Maj}_A(x, y)$.

Induction on the length y . If we have for $y > 1$ the inequalities $\lceil \frac{y-1}{2} \rceil \leq x \leq y-1$ and $x \geq \lceil \frac{y}{2} \rceil$ then $\lceil \frac{y}{2} \rceil \leq x \leq y$. This justifies the clause:

- $y > 1 \wedge \text{Maj}_A(x, y-1) \wedge x \geq \lceil \frac{y}{2} \rceil \rightarrow \text{Maj}_A(x, y)$ for all $A \in N$.

For $y > 1$ and $y = 2x$, we have $a^x = a^{y-x}$ and $\lceil \frac{y}{2} \rceil \leq x < y$. This justifies the clause:

- $y > 1 \wedge \text{Maj}_A(x, y-1) \wedge y = 2x \rightarrow \text{Min}_A(x, y)$ for all $A \in N$.

If for $x, y > 1$ we have the inequalities $\lceil \frac{y-1}{2} \rceil \leq x-1 < y-1$, then $\lceil \frac{y}{2} \rceil \leq x < y$. Moreover, $a^{(y-1)-(x-1)} = a^{y-x}$. This justifies the clause:

- $x > 1 \wedge y > 1 \wedge \text{Min}_A(x-1, y-1) \rightarrow \text{Min}_A(x, y)$ for all $A \in N$.

Concatenation. For all $B, C \in N$, it is clear that the concatenation predicate Sum_{BC} is defined inductively by the following three clauses:

- *initialization:* $\text{Maj}_B(x, y) \wedge \text{Min}_C(x, y) \rightarrow \text{Sum}_{BC}(x, y)$;
 $\text{Min}_B(x, y) \wedge \text{Maj}_C(x, y) \rightarrow \text{Sum}_{BC}(x, y)$;
- *induction:* $\neg \min(x) \wedge \text{Sum}_{BC}(x-1, y) \rightarrow \text{Sum}_{BC}(x, y)$.

Long rules. Each rule $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$ of P is expressed by the clause:

- $x = y \wedge \text{Sum}_{B_1 C_1}(x, y) \wedge \dots \wedge \text{Sum}_{B_m C_m}(x, y) \rightarrow \text{Maj}_A(x, y)$.

Thus, the formula $\forall x \forall y \psi'_G$ where ψ'_G is the conjunction of the above clauses defines the predicates Maj_A , Min_A , and Sum_{BC} .

Definition of $a^+ \setminus L$. We have the equivalence $\text{Maj}_S(n, n) \iff a^n \in L(S) \iff a^n \in L$. Therefore, the following contradiction clause expresses $a^n \notin L$:

- $\gamma_S := \max(x) \wedge \max(y) \wedge \text{Maj}_S(x, y) \rightarrow \perp$.

Finally, observe that the formula $\Phi_G := \exists \mathbf{R} \forall x \forall y \psi_G$ where ψ_G is $\gamma_{\text{arith}} \wedge \psi'_G \wedge \gamma_S$ and γ_{arith} is the conjunction of clauses that defines the arithmetic predicates of $\mathbf{R}_{\text{arith}}$, belongs to **pred-ESO-HORN**. Since we have $\langle a^n \rangle \models \Phi_G \iff a^n \notin L$, as justified above, then the language $a^+ \setminus L$ belongs to **pred-ESO-HORN**, as claimed. ◀

3.2 Equivalence of logic with grid-circuits

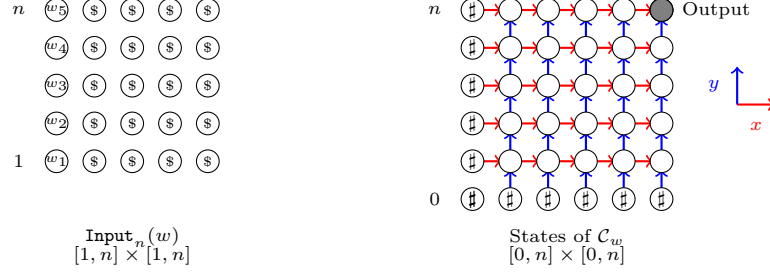
We introduce the *grid-circuit* as an intermediate object between our logic and the real-time cellular automaton: see Figure 3.

► **Definition 11.** A grid-circuit is a tuple $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ where

- Σ is the input alphabet and $(\text{Input}_n)_{n>0}$ is the family of input functions $\text{Input}_n : \Sigma^n \times [1, n]^2 \rightarrow \Sigma \cup \{\$ \}$ such that, for $w = w_1 \dots w_n \in \Sigma^n$, $\text{Input}_n(w, x, y) = w_y$ if $x = 1$ and $\text{Input}_n(w, x, y) = \$$ otherwise,
- $\mathbf{Q} \cup \{\#\}$ is the finite set of states and $\mathbf{Q}_{\text{acc}} \subseteq \mathbf{Q}$ is the subset of accepting states,
- $\mathbf{g} : (\mathbf{Q} \cup \{\#\})^2 \times (\Sigma \cup \{\$ \}) \rightarrow \mathbf{Q}$ is the transition function.

► **Definition 12** (computation of a grid-circuit). The computation $\mathcal{C}_w$ of a grid-circuit $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ on a $w = w_1 \dots w_n \in \Sigma^n$ is a regular grid of $(n+1)^2$ sites $(x, y) \in [0, n]^2$, each in a state $\langle x, y \rangle \in \mathbf{Q} \cup \{\#\}$ computed inductively:

- each site in $\{0\} \times [0, n]$ or $[0, n] \times \{0\}$ is in the particular state $\#$;
- the state of each site $(x, y) \in [1, n]^2$ is $\langle x, y \rangle = \mathbf{g}(\langle x, y-1 \rangle, \langle x-1, y \rangle, \text{Input}_n(w, x, y))$.



■ **Figure 3** The grid-circuit.

A word $w = w_1 \dots w_n \in \Sigma^n$ is *accepted* by the grid-circuit $\mathcal{C}$ if the output state $\langle n, n \rangle$ of $\mathcal{C}_w$ belongs to $\mathbf{Q}_{\text{acc}}$. The language *recognized* by $\mathcal{C}$ is the set of words it accepts. We denote by **Grid** the class of languages recognized by a grid-circuit.

Actually, our predecessor Horn logic is equivalent to grid-circuits.

► **Lemma 13** ([12]). $\text{pred-ESO-HORN} = \text{Grid}$.

Proof. In some sense, a grid-circuit is the “normalized form” of a formula of **pred-ESO-HORN**. So, the inclusion $\text{Grid} \subseteq \text{pred-ESO-HORN}$ is proved straightforwardly.

The first step of the proof of the converse inclusion $\text{pred-ESO-HORN} \subseteq \text{Grid}$ is to show that every formula $\Phi := \exists \mathbf{R} \forall x \forall y \psi(x, y)$ in **pred-ESO-HORN** is equivalent to a formula $\Phi' \in \text{pred-ESO-HORN}$ in which the only hypotheses of computation clauses are atoms $S(x, y)$ and conjunctions $S(x-1, y) \wedge x > 1$ and $S(x, y-1) \wedge y > 1$.

Elimination of atoms $R(x-i, y-j)$ for $i+j > 1$. The idea is to introduce new “shift” predicates $R^{x-i', y-j'}$ for fixed integers $i', j' > 0$ with the intuitive meaning:
 $R^{x-i', y-j'}(x, y) \iff R(x-i', y-j') \wedge x > i' \wedge y > j'$.

Let us explain the method by an example. Assume we have in ψ the Horn clause

(1) $x > 3 \wedge y > 2 \wedge S(x-3, y-2) \rightarrow T(x, y)$. This clause is replaced by the clause

(2) $S^{x-2, y-2}(x-1, y) \wedge x > 1 \rightarrow T(x, y)$

for which the predicates S^{x-1} , S^{x-2} , $S^{x-2, y-1}$ and $S^{x-2, y-2}$ are defined by the respective clauses: $x > 1 \wedge S(x-1, y) \rightarrow S^{x-1}(x, y)$, $x > 1 \wedge S^{x-1}(x-1, y) \rightarrow S^{x-2}(x, y)$, $y > 1 \wedge S^{x-2}(x, y-1) \rightarrow S^{x-2, y-1}(x, y)$, and $y > 1 \wedge S^{x-2, y-1}(x, y-1) \rightarrow S^{x-2, y-2}(x, y)$, which imply together the clause $x > 2 \wedge y > 2 \wedge S(x-2, y-2) \rightarrow S^{x-2, y-2}(x, y)$ and then also $x > 3 \wedge y > 2 \wedge S(x-3, y-2) \rightarrow S^{x-2, y-2}(x-1, y)$.

It is clear that the formula $\Phi := \exists \mathbf{R} \forall x \forall y \psi$ is equivalent to the formula $\Phi' := \exists \mathbf{R}' \forall x \forall y \psi'$ where $\mathbf{R}' := \mathbf{R} \cup \{S^{x-1}, S^{x-2}, S^{x-2, y-1}, S^{x-2, y-2}\}$ and ψ' is the conjunction $\psi_{\text{replace}} \wedge \psi_{\text{def}}$, where ψ_{replace} is the formula ψ in which clause (1) is replaced by clause (2), and ψ_{def} is the conjunction of the above clauses defining the new predicates of $\mathbf{R}'$.

Thus, any formula $\Phi \in \text{pred-ESO-HORN}$ is equivalent to a formula $\Phi' \in \text{pred-ESO-HORN}$ whose computation clauses only contain hypotheses of the following three forms:

$R(x-1, y) \wedge x > 1$; $R(x, y-1) \wedge y > 1$; $R(x, y)$. The next step is to eliminate these $R(x, y)$.

Elimination of hypotheses $R(x, y)$. (sketch of proof): The first idea is to group together in each computation clause the hypothesis atoms of the form $R(x, y)$ and the conclusion of the clause. As a result, the formula can be rewritten in the form

$$\Phi := \exists \mathbf{R} \forall x \forall y \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right]$$

where the C_i 's are the input clauses and the contradiction clauses, and each computation clause is written in the form $\alpha_i(x, y) \rightarrow \theta_i(x, y)$, where $\alpha_i(x, y)$ is a conjunction of formulas of the only forms $R(x-1, y) \wedge x > 1$, $R(x, y-1) \wedge y > 1$, and $\theta_i(x, y)$ is a Horn clause in which *all* atoms are of the form $R(x, y)$.

The second idea is to “solve” the Horn clauses θ_i according to the input clauses and *all the possible* conjunctions of hypotheses α_i that may be true. Notice the two following facts: the hypotheses of the input clauses are input literals and the conjuncts of the α_i 's are of the only forms $R(x-1, y) \wedge x > 1$, $R(x, y-1) \wedge y > 1$. So, we can prove by induction on the sum $x + y$ that the obtained formula Φ' in which no atom $R(x, y)$ appears as a clause hypothesis, is equivalent to the above formula Φ . The complete proof is given in Appendix A.

Transformation of the formula into a grid-circuit. Let $\mathbf{R} = \{R_1, \dots, R_m\}$ denote the set of binary predicates of the formula. By a case separation of the clauses, it is easy to transform the formula into an equivalent formula $\Phi := \exists \mathbf{R} \forall x \forall y \psi$ where ψ is a conjunction of clauses of the following forms (a-e), in which $s \in \Sigma$, $j \in [1, m]$, and A, B are (possibly empty) subsets of $[1, m]$:

- (a) $x = 1 \wedge y = 1 \wedge Q_s(y) \rightarrow R_j(x, y)$;
- (b) $x = 1 \wedge y > 1 \wedge Q_s(y) \wedge \bigwedge_{i \in A} R_i(x, y-1) \rightarrow R_j(x, y)$;
- (c) $x > 1 \wedge y = 1 \wedge \bigwedge_{i \in A} R_i(x-1, y) \rightarrow R_j(x, y)$;
- (d) $x > 1 \wedge y > 1 \wedge \bigwedge_{i \in A} R_i(x-1, y) \wedge \bigwedge_{i \in B} R_i(x, y-1) \rightarrow R_j(x, y)$;
- (e) $x = n \wedge y = n \wedge R_j(x, y) \rightarrow \perp$.

Now, transform this formula into a grid-circuit $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$. The idea is that the state of a site $(x, y) \in [1, n]^2$ is the set of predicates R_i such that $R_i(x, y)$ is true. Let $\mathbf{Q}$ be the power set of the set of $\mathbf{R}$ indices: $\mathbf{Q} := \mathcal{P}([1, m])$. There are four types of transition (a-d) which mimic the clauses (a-d) above. These are, for $s \in \Sigma$ and $q, q' \in \mathbf{Q}$:

- (a) $\mathbf{g}(\#, \#, s) = \{j \in [1, m] \mid \text{there is a clause (a) with } Q_s, \text{ and conclusion } R_j(x, y)\}$;
- (b) $\mathbf{g}(q, \#, s) = \{j \in [1, m] \mid \text{there is a clause (b) with } Q_s, \text{ and } A \subseteq q, \text{ and conclusion } R_j(x, y)\}$;
- (c) $\mathbf{g}(\#, q, s) = \{j \in [1, m] \mid \text{there is a clause (c) with } A \subseteq q, \text{ and conclusion } R_j(x, y)\}$;
- (d) $\mathbf{g}(q, q', s) = \{j \in [1, m] \mid \exists \text{ a clause (d) with } A \subseteq q, B \subseteq q', \text{ and conclusion } R_j(x, y)\}$.

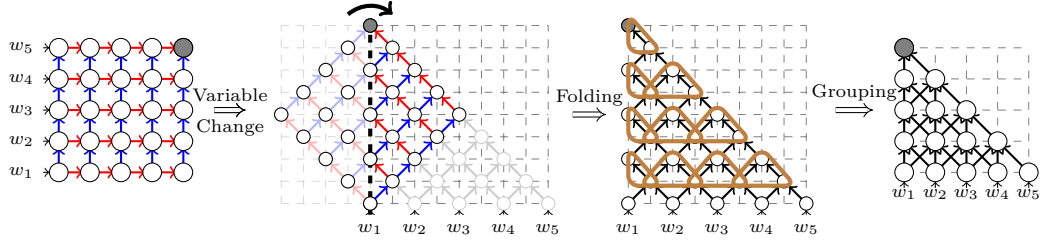
Of course, the set of accepting states of $\mathcal{C}$ is determined by the contradiction clauses (e): $\mathbf{Q}_{\text{acc}} := \{q \in \mathbf{Q} \mid q \text{ contains no } j \text{ such that } R_j \text{ occurs in a clause (e)}\}$.

We can easily check the equivalence, for each $w \in \Sigma^+$: $\langle w \rangle \models \Phi \iff \mathcal{C} \text{ accepts } w$. Therefore, the inclusion $\text{pred-ESO-HORN} \subseteq \text{Grid}$ is proved. ◀

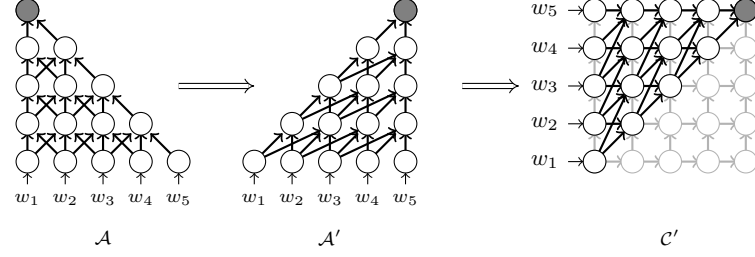
3.3 Grid-circuits are equivalent to real-time 1-CA

▶ **Lemma 14.** [12] $\text{Grid} = \text{RealTime1CA}$.

Proof. Figure 4 shows how Grid is simulated on RealTime1CA and Figure 5 shows how RealTime1CA is simulated on Grid . The proof is detailed in Appendix B. ◀



■ **Figure 4** Simulation of Grid on RealTime1CA.



■ **Figure 5** Simulation of RealTime1CA on the grid-circuit.

Proof of Theorem 8. Lemmas 13 and 14 give us the following equalities of classes: $\text{pred-ESO-HORN} = \text{Grid} = \text{RealTime1CA}$. These equalities trivially hold when restricted to unary languages: $\text{pred-ESO-HORN}_1 = \text{Grid}_1 = \text{RealTime1CA}_1$.

From the fact that the class RealTime1CA_1 is closed under complement and from Lemma 10, we deduce $\text{Conj}_1 \subseteq \text{pred-ESO-HORN}_1 = \text{Grid}_1 = \text{RealTime1CA}_1$. ◀

4 Real-time recognition of a conjunctive language: the general case

Recall the inclusions⁴ $\text{RealTime1CA} \subseteq \text{RealTime2OCA} \subseteq \text{RealTime2SOCA}$.

Our second main result strengthens the inclusion $\text{CFL} \subseteq \text{RealTime2SOCA}$ of Terrier [29]:

► **Theorem 15.** $\text{Conj} \subseteq \text{RealTime2OCA}$.

4.1 Expressing a conjunctive language in logic: the general case

The generating process of a conjunctive language is naturally expressed in the Horn logic $\text{incl-pred-ESO-HORN}$. This is a hybrid logic with three first-order variables x, y, z , whose name means that it makes inductions on the variable interval $[x, y]$, by *inclusion*, and on the individual variable z , by *predecessor*.

► **Definition 16** ($\text{incl-pred-ESO-HORN}$). A formula of $\text{incl-pred-ESO-HORN}$ is a formula $\Phi := \exists \mathbf{R} \forall x \forall y \forall z \psi(x, y, z)$ where $\mathbf{R}$ is a finite set of ternary predicates, and ψ is a conjunction of Horn clauses, of signature⁵ $\mathcal{S}_\Sigma \cup \mathbf{R} \cup \{=, \leq\}$, and of the three following forms:

■ an input clause: $x = y \wedge \min(z) \wedge Q_s(x) \rightarrow R(x, y, z)$ with $s \in \Sigma$ and $R \in \mathbf{R}$;

⁴ Recall that RealTime2SOCA is the class of languages recognized by *sequential* two-dimensional one-way cellular automata in real-time: this is the minimal time, $3n - 1$, for the output cell (n, n) to receive the n letters of the input word, communicated sequentially by the input cell $(1, 1)$.

⁵ This definition must consider $=$ and $\leq$ as primitive symbols.

- a computation clause: $\delta_1 \wedge \dots \wedge \delta_r \rightarrow R(x, y, z)$ with $R \in \mathbf{R}$ and where each hypothesis δ_n is an atom $S(x, y, z)$ or a conjunction $S(x + i, y - k, z - k) \wedge x + i \leq y - j \wedge z > k$ with $S \in \mathbf{R}$ and $i, j, k \geq 0$ three integers such that $i + j + k > 0$;
- a contradiction clause: $\min(x) \wedge \max(y) \wedge \max(z) \wedge R(x, y, z) \rightarrow \perp$ with $R \in \mathbf{R}$.

Let us also call **incl-pred-ESO-HORN** the class of languages defined by a formula of **incl-pred-ESO-HORN**.

► **Lemma 17.** *For each language $L \subseteq \Sigma^+$, if $L \in \text{Conj}$, then $\Sigma^+ \setminus L \in \text{incl-pred-ESO-HORN}$.*

Proof. The proof is a variation (an extension) of the proof of the same result, Lemma 10, in the unary case. This is why we insist on the differences. Let $G = (\Sigma, N, P, S)$ be a conjunctive grammar in binary normal form which generates L and let w be a word $w = w_1 \dots w_n \in \Sigma^+$. For each $A \in N$ and each factor $w_{x,y} := w_x \dots w_y$, we have, according to the length $y - x + 1$ of $w_{x,y}$, the following equivalences which will be the basis of our induction:

- if $x = y$, then $w_{x,y} \in L(A) \iff$ the short rule $A \rightarrow w_x$ belongs to P ;
- if $x < y$, then $w_{x,y} \in L(A) \iff$ there is a long rule $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$ in P such that, for each $i \in \{1, \dots, m\}$, there exists $z \geq \lceil (y - x + 1)/2 \rceil$ such that either $w_{x,x+z-1} \in L(B_i)$ and $w_{x+z,y} \in L(C_i)$, or $w_{x,y-z} \in L(B_i)$ and $w_{y-z+1,y} \in L(C_i)$. Thus, a double induction is performed, on the index interval $[x, y]$ of a factor $w_{x,y}$ and the maximal z among the lengths of the two sub-factors u, v of the m decompositions $w_{x,y} = uv$, $u \in L(B_i)$, $v \in L(C_i)$, for a long rule. This is naturally expressed in the logic **incl-pred-ESO-HORN**.

We want to construct a first-order formula $\forall x \forall y \forall z \psi_G$ of signature $\mathcal{S}_\Sigma \cup \mathbf{R} \cup \{=, \leq\}$, for the set of *ternary* predicates $\mathbf{R} := \{\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}} \mid A \in N\} \cup \{\text{Concat}_{BC} \mid B, C \in N\} \cup \mathbf{R}_{\text{arith}}$, so that the formula $\Phi_G := \exists \mathbf{R} \forall x \forall y \forall z \psi_G$ belongs to **incl-pred-ESO-HORN** and defines the language $\Sigma^+ \setminus L$. The intuitive meanings of the predicates $\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}}$ and Concat_{BC} are as follows:

- $\text{Pref}_A^{\text{Maj}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$ and $w_{x,x+z-1} \in L(A)$;
- $\text{Pref}_A^{\text{Min}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$ and $w_{x,y-z} \in L(A)$;
- $\text{Suff}_A^{\text{Maj}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$ and $w_{y-z+1,y} \in L(A)$;
- $\text{Suff}_A^{\text{Min}}(x, y, z) \iff \left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$ and $w_{x+z,y} \in L(A)$;
- $\text{Concat}_{BC}(x, y, z) \iff$ there is some z' with $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z' \leq z$ such that either $w_{x,x+z'-1} \in L(B)$ and $w_{x+z',y} \in L(C)$, or $w_{x,y-z'} \in L(B)$ and $w_{y-z'+1,y} \in L(C)$.

Note that the above equivalences for $\text{Pref}_A^{\text{Maj}}$ and $\text{Suff}_A^{\text{Maj}}$ imply in the particular case $z = y - x + 1$ the equivalences $\text{Pref}_A^{\text{Maj}}(x, y, z) \iff \text{Suff}_A^{\text{Maj}}(x, y, z) \iff w_{x,y} \in L(A)$.

Let us give and justify a list of Horn clauses whose conjunction ψ'_G defines the predicates $\text{Pref}_A^{\text{Maj}}, \text{Pref}_A^{\text{Min}}, \text{Suff}_A^{\text{Maj}}, \text{Suff}_A^{\text{Min}}$ and Concat_{BC} , using the arithmetic predicates $z = y - x + 1$, $y - x + 1 = 2z$, and $z \geq \left\lceil \frac{y-x+1}{2} \right\rceil$ easily defined in **incl-pred-ESO-HORN**.

Short rules. Each rule $A \rightarrow s$ of P is expressed by the two clauses:

- $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$; $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$.

Induction for prefixes. If we have for $x < y$ the inequalities

$\left\lceil \frac{(y-1)-x+1}{2} \right\rceil \leq z \leq (y-1) - x + 1$ and $z \geq \left\lceil \frac{y-x+1}{2} \right\rceil$ then $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x + 1$. This justifies the clause:

- $x \leq y - 1 \wedge \text{Pref}_A^{\text{Maj}}(x, y - 1, z) \wedge z \geq \left\lceil \frac{y-x+1}{2} \right\rceil \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$, for all $A \in N$.

For $x < y$ and $y - x + 1 = 2z$, we have $w_{x,x+z-1} = w_{x,y-z}$ and $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y - x$. This justifies the clause:

- $x \leq y - 1 \wedge \text{Pref}_A^{\text{Maj}}(x, y - 1, z) \wedge y - x + 1 = 2z \rightarrow \text{Pref}_A^{\text{Min}}(x, y, z)$, for all $A \in N$.

For $x < y$ and $z > 1$ and $\left\lceil \frac{(y-1)-x+1}{2} \right\rceil \leq z-1 \leq (y-1)-x$, we have $\left\lceil \frac{y-x+1}{2} \right\rceil \leq z \leq y-x$. This justifies the clause:

- $x \leq y-1 \wedge z > 1 \wedge \text{Pref}_A^{\text{Min}}(x, y-1, z-1) \rightarrow \text{Pref}_A^{\text{Min}}(x, y, z)$, for all $A \in N$.

Induction for suffixes. As this induction is symmetric to the one for prefixes, we do not justify the following list of induction clauses for the predicates $\text{Suff}_A^{\text{Maj}}$ and $\text{Suff}_A^{\text{Min}}$, $A \in N$:

- $x+1 \leq y \wedge \text{Suff}_A^{\text{Maj}}(x+1, y, z) \wedge z \geq \left\lceil \frac{y-x+1}{2} \right\rceil \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$;
- $x+1 \leq y \wedge \text{Suff}_A^{\text{Maj}}(x+1, y, z) \wedge y-x+1 = 2z \rightarrow \text{Suff}_A^{\text{Min}}(x, y, z)$;
- $x+1 \leq y \wedge z > 1 \wedge \text{Suff}_A^{\text{Min}}(x+1, y, z-1) \rightarrow \text{Suff}_A^{\text{Min}}(x, y, z)$.

Concatenation. For all $B, C \in N$, it is clear that the concatenation predicate Concat_{BC} is defined inductively by the following three clauses:

- *initialization:* $\text{Pref}_B^{\text{Maj}}(x, y, z) \wedge \text{Suff}_C^{\text{Min}}(x, y, z) \rightarrow \text{Concat}_{BC}(x, y, z)$;
 $\text{Pref}_B^{\text{Min}}(x, y, z) \wedge \text{Suff}_C^{\text{Maj}}(x, y, z) \rightarrow \text{Concat}_{BC}(x, y, z)$;
- *induction:* $z > 1 \wedge \text{Concat}_{BC}(x, y, z-1) \rightarrow \text{Concat}_{BC}(x, y, z)$.

Long rules. Each rule $A \rightarrow B_1 C_1 \& \dots \& B_m C_m$ of P is expressed by the two clauses:

- $z = y - x + 1 \wedge \text{Concat}_{B_1 C_1}(x, y, z) \wedge \dots \wedge \text{Concat}_{B_m C_m}(x, y, z) \rightarrow \text{Pref}_A^{\text{Maj}}(x, y, z)$;
- $z = y - x + 1 \wedge \text{Concat}_{B_1 C_1}(x, y, z) \wedge \dots \wedge \text{Concat}_{B_m C_m}(x, y, z) \rightarrow \text{Suff}_A^{\text{Maj}}(x, y, z)$.

Thus, the formula $\forall x \forall y \forall z \psi'_G$ where ψ'_G is the conjunction of the above clauses defines the predicates $\text{Pref}_A^{\text{Maj}}$, $\text{Pref}_A^{\text{Min}}$, $\text{Suff}_A^{\text{Maj}}$, $\text{Suff}_A^{\text{Min}}$, and Concat_{BC} .

Definition of $\Sigma^+ \setminus L$. We have the equivalence $\text{Pref}_S^{\text{Maj}}(1, n, n) \iff w \in L(S) \iff w \in L$. Therefore, the following contradiction clause expresses $w \notin L$:

- $\gamma_S := \min(x) \wedge \max(y) \wedge \max(z) \wedge \text{Pref}_S^{\text{Maj}}(x, y, z) \rightarrow \perp$.

Finally, observe that the formula $\Phi_G := \exists \mathbf{R} \forall x \forall y \forall z \psi_G$ where ψ_G is $\gamma_{\text{arith}} \wedge \psi'_G \wedge \gamma_S$ and γ_{arith} is the conjunction of clauses that define the arithmetic predicates, belongs to **incl-pred-ESO-HORN**. Since we have $\langle w \rangle \models \Phi_G \iff w \notin L$, as justified above, then the language $\Sigma^+ \setminus L$ belongs to **incl-pred-ESO-HORN**, as claimed. ◀

4.2 Equivalence of logic with cube-circuits

We now introduce the *cube-circuit*, an extension of the grid-circuit to three dimensions. It will make the link between our logic **incl-pred-ESO-HORN** and the class **RealTime2OCA**.

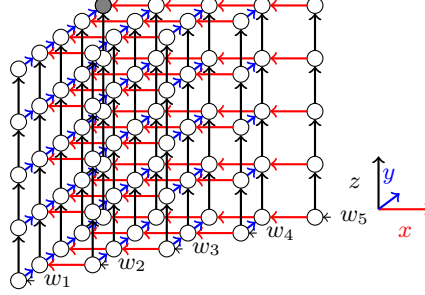
► **Definition 18.** A cube-circuit is a tuple $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ where

- Σ is the input alphabet and $(\text{Input}_n)_{n>0}$ is the family of input functions $\text{Input}_n : \Sigma^n \times [1, n]^3 \rightarrow \Sigma \cup \{\$ \}$ such that, for $w = w_1 \dots w_n \in \Sigma^n$, $\text{Input}_n(w, x, y, z) = w_x$ if $x = y$ and $z = 1$, and $\text{Input}_n(w, x, y, z) = \$$ otherwise,
- $\mathbf{Q} \cup \{\#\}$ is the finite set of states and $\mathbf{Q}_{\text{acc}} \subseteq \mathbf{Q}$ is the subset of accepting states,
- $\mathbf{g} : (\mathbf{Q} \cup \{\#\})^3 \times (\Sigma \cup \{\$ \}) \rightarrow \mathbf{Q}$ is the transition function.

► **Definition 19** (computation of a cube-circuit). The computation $\mathcal{C}_w$ of a cube-circuit $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$ on a word $w = w_1 \dots w_n \in \Sigma^n$ is a grid of $(n+1)^3$ sites $(x, y, z) \in [1, n+1] \times [0, n]^2$, each in a state $\langle x, y, z \rangle \in \mathbf{Q} \cup \{\#\}$ computed inductively:

- each site (x, y, z) such that $x > y$ or $z = 0$ is in the state $\#$;
- the state of each site $(x, y, z) \in [1, n]^3$ such that $x \leq y$ and $z > 0$ is $\langle x, y \rangle = \mathbf{g}(\langle x+1, y, z \rangle, \langle x, y-1, z \rangle, \langle x, y, z-1 \rangle, \text{Input}_n(w, x, y, z))$.

A word $w = w_1 \dots w_n \in \Sigma^n$ is *accepted* by the cube-circuit $\mathcal{C}$ if the output state $\langle 1, n, n \rangle$ of $\mathcal{C}_w$ belongs to $\mathbf{Q}_{\text{acc}}$. The language *recognized* by $\mathcal{C}$ is the set of words it accepts. We denote by **Cube** the class of languages recognized by a cube-circuit.



■ **Figure 6** The cube-circuit.

Actually, the logic **incl-pred-ESO-HORN** is equivalent to cube-circuits.

► **Lemma 20.** **incl-pred-ESO-HORN** = **Cube**.

Proof. The proof is similar to that of **pred-ESO-HORN** = **Grid** (Lemma 13). The cube-circuit can be seen as the “normalized form” of a formula of **incl-pred-ESO-HORN**, proving the inclusion **Cube** $\subseteq$ **incl-pred-ESO-HORN**. The proof of the inverse inclusion is divided into the same three steps as for Lemma 13, which must be adapted to three variables: 1) elimination of atoms $R(x+i, y-j, z-k)$ for $i+j+k > 1$ (instead of elimination of atoms $R(x-i, y-j)$ for $i+j > 1$); 2) elimination of hypotheses $R(x, y, z)$ (instead of elimination of hypotheses $R(x, y)$); 3) transformation of the resulting formula into a cube-circuit.

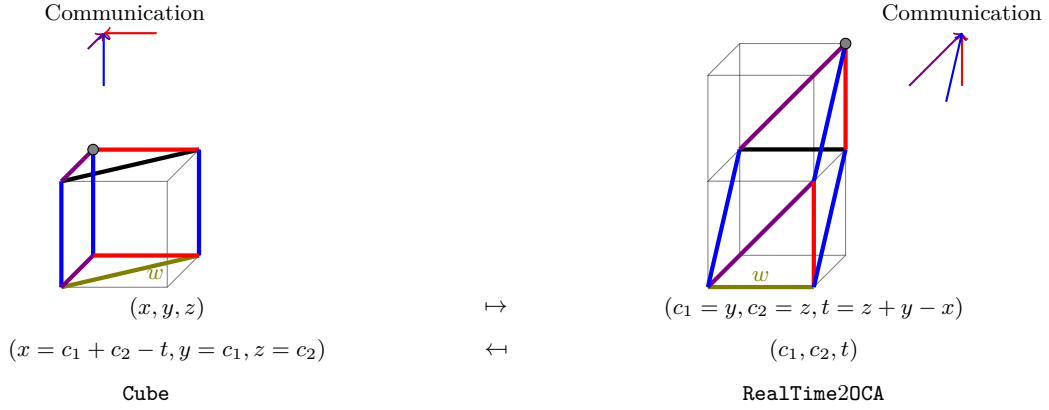
Steps 1 and 2 are adapted straightforwardly. Let us describe in detail step 3. Let $\mathbf{R} = \{R_1, \dots, R_m\}$ denote the set of ternary predicates of the formula resulting from step 2. By a case separation of the clauses, it is easy to transform this formula into an equivalent formula $\Phi := \exists \mathbf{R} \forall x \forall y \forall z \psi$ where ψ is a conjunction of clauses of the following forms (a-e), in which $s \in \Sigma$, $j \in [1, m]$, and A, B, C are (possibly empty) subsets of $[1, m]$:

- (a) $x = y \wedge z = 1 \wedge Q_s(x) \rightarrow R_j(x, y, z)$;
- (b) $x < y \wedge z = 1 \wedge \bigwedge_{i \in A} R_i(x+1, y, z) \wedge \bigwedge_{i \in B} R_i(x, y-1, z) \rightarrow R_j(x, y, z)$;
- (c) $x = y \wedge z > 1 \wedge \bigwedge_{i \in A} R_i(x, y, z-1) \rightarrow R_j(x, y, z)$;
- (d) $x < y \wedge z > 1 \wedge \bigwedge_{i \in A} R_i(x+1, y, z) \wedge \bigwedge_{i \in B} R_i(x, y-1, z) \wedge \bigwedge_{i \in C} R_i(x, y, z-1) \rightarrow R_j(x, y, z)$;
- (e) $x = 1 \wedge y = n \wedge z = n \wedge R_j(x, y, z) \rightarrow \perp$.

Now, transform this formula into a cube-circuit $\mathcal{C} := (\Sigma, (\text{Input}_n)_{n>0}, \mathbf{Q}, \mathbf{Q}_{\text{acc}}, \mathbf{g})$. The idea is still that the state of a site $(x, y, z) \in [1, n]^3$ is the set of predicates R_i such that $R_i(x, y, z)$ is true, and $\mathbf{Q}$ is again the power set of the set of $\mathbf{R}$ indices: $\mathbf{Q} := \mathcal{P}([1, m])$. There are four types of transition (a-d), which mimic the clauses (a-d) above. These are, for $s \in \Sigma$ and $q, q', q'' \in \mathbf{Q}$:

- (a) $\mathbf{g}(\#, \#, \#, s) = \{j \in [1, m] \mid \exists \text{ a clause (a) with } Q_s, \text{ and conclusion } R_j(x, y, z)\}$;
- (b) $\mathbf{g}(q, q', \#, \$) = \{j \in [1, m] \mid \exists \text{ a clause (b) with } A \subseteq q, B \subseteq q', \text{ and conclusion } R_j(x, y, z)\}$;
- (c) $\mathbf{g}(\#, \#, q, \$) = \{j \in [1, m] \mid \exists \text{ a clause (c) with } A \subseteq q, \text{ and conclusion } R_j(x, y, z)\}$;
- (d) $\mathbf{g}(q, q', q'', \$) = \{j \in [1, m] \mid \exists \text{ a clause (d) with } A \subseteq q, B \subseteq q', C \subseteq q'', \text{ and conclusion } R_j(x, y, z)\}$.

Here again, the set of accepting states of $\mathcal{C}$ is determined by the contradiction clauses (e): $\mathbf{Q}_{\text{acc}} := \{q \in \mathbf{Q} \mid q \text{ contains no } j \text{ such that } R_j \text{ occurs in a clause (e)}\}$.



■ **Figure 7** Bijection between the sites of $\mathcal{C}_w$ and the space-time sites of a 2-OCA on w .

We can easily check the equivalence, for each $w \in \Sigma^+$: $\langle w \rangle \models \Phi \iff \mathcal{C}$ accepts w . Therefore, the inclusion $\text{incl-pred-ESO-HORN} \subseteq \text{Cube}$ is proved. ◀

4.3 Cube-circuits are equivalent to real-time 2-OCA

One observes that by a one-to-one transformation, the computation $\mathcal{C}_w$ of a cube-circuit $\mathcal{C}$ on a word w is nothing else than the space-time diagram of a real-time 2-OCA on the input w . This yields:

► **Lemma 21.** $\text{Cube} = \text{RealTime2OCA}$.

Proof. The bijection between the sites (x, y, z) of the computation $\mathcal{C}_w$ of a cube-circuit $\mathcal{C}$ on a word w and the sites (c_1, c_2, t) of the space-time diagram of a real-time 2-OCA on the input w is depicted in Figure 7. We check that this bijection respects the communication scheme and the input/output sites of both computation models as shown in Figure 7. By this transformation, the transition function $\mathbf{g}$ of the cube-circuit, which is $\langle x, y, z \rangle = \mathbf{g}(\langle x+1, y, z \rangle, \langle x, y-1, z \rangle, \langle x, y, z-1 \rangle, \text{Input}_n(w, x, y, z))$ becomes the transition function $\mathbf{f}$ of the 2-OCA: $\langle c_1, c_2, t \rangle = \mathbf{f}(\langle c_1, c_2, t-1 \rangle, \langle c_1-1, c_2, t-1 \rangle, \langle c_1, c_2-1, t-1 \rangle)$, and vice versa. ◀

Proof of Theorem 15. Lemmas 20 and 21 give us the following equalities of classes: $\text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$.

From the fact that the class RealTime2OCA is closed under complement and from Lemma 17, we deduce $\text{Conj} \subseteq \text{incl-pred-ESO-HORN} = \text{Cube} = \text{RealTime2OCA}$. ◀

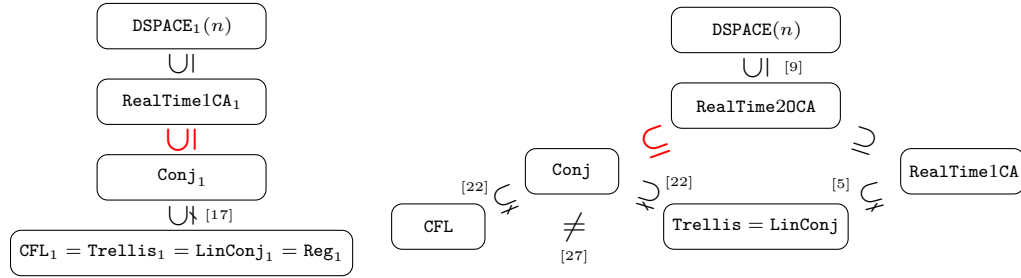
5 Conclusion

We have proved the inclusions $\text{Conj}_1 \subseteq \text{RealTime1CA}$ and $\text{Conj} \subseteq \text{RealTime2OCA}$ by expressing in two logics (proved equivalent to RealTime1CA and RealTime2OCA , respectively) the inductive process of a conjunctive grammar. These results contribute to a better knowledge of relationships between automata, grammars and logic. We think that they bring us closer to prove or disprove that Conj is a subclass of RealTime1CA .

Figure 8 recapitulates the known inclusions between the language classes that we have considered here. For each of the $\subseteq$ inclusions of this figure, whether it is strict or not is an open question. Note that it was necessary to add an extra dimension to the space-time

diagram to recognize any conjunctive language with a cellular automaton. Otherwise, any context-free or conjunctive language would always be decided by a RAM in time $O(n^2)$, which seems unlikely!

Besides, to grasp the expressive power, largely unknown, of the Conj (resp. Conj_1) class, it would be important to obtain exact characterizations of this class in logic and/or computational complexity. This is a fascinating question for future research!



■ **Figure 8** Relations between language classes over a unary or general alphabet.

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A Complement of proof for Lemma 13

Elimination of hypotheses $R(x, y)$. The first idea is to group together in each computation clause the hypothesis atoms of the form $R(x, y)$ and the conclusion of the clause. Accordingly, the formula obtained Φ can be rewritten in the form

$$\Phi := \exists \mathbf{R} \forall x \forall y \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right]$$

where the C_i 's are the input clauses and the contradiction clause and each computation clause is written in the form $\alpha_i(x, y) \rightarrow \theta_i(x, y)$ where $\alpha_i(x, y)$ is a conjunction of formulas of the only forms $R(x - 1, y) \wedge \neg \min(x)$, $R(x, y - 1) \wedge \neg \min(y)$ (but not $R(x, y)$), and $\theta_i(x, y)$ is a Horn clause whose *all* atoms are of the form $R(x, y)$.

We number $R_1, \dots, R_m$ the computation predicates of $\mathbf{R}$. To each subset $J \subseteq [1, k]$ of the family of implications $(\alpha_i(x, y) \rightarrow \theta_i(x, y))_{i \in [1, k]}$ let us associate the set

$$K_J := \{h \in [1, m] \mid \bigwedge_{i \in J} \theta_i(x, y) \rightarrow R_h(x, y) \text{ is a tautology}\}.$$

Note that the notion of *tautology* used in the definition of K_J is “propositional” because all the atoms involved are of the form $R_i(x, y)$, i.e., refer to the same pair of variables (x, y) . Also, note that the function $J \mapsto K_J$ is *monotonic*: for $J' \subseteq J$, we have $K_{J'} \subseteq K_J$ because $\bigwedge_{i \in J'} \theta_i(x, y) \rightarrow R_h(x, y)$ implies $\bigwedge_{i \in J} \theta_i(x, y) \rightarrow R_h(x, y)$.

Clearly, it is enough to prove the following claim:

▷ **Claim 22.** The formula Φ is equivalent to the following formula Φ' , whose clauses have *no hypothesis* $R(x, y)$.

$$\Phi' := \exists \mathbf{R} \forall x \forall y \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} \left(\bigwedge_{i \in J} \alpha_i(x, y) \rightarrow R_h(x, y) \right) \right]$$

Proof of the implication $\Phi \Rightarrow \Phi'$: It is enough to prove the implication

$$\left[\bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \rightarrow \left[\bigwedge_{i \in J} \alpha_i(x, y) \rightarrow \bigwedge_{h \in K_J} R_h(x, y) \right]$$

for all set $J \subseteq [1, k]$. The implication to be proved can be equivalently written:

$$\left[\bigwedge_{i \in J} \alpha_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \rightarrow \bigwedge_{h \in K_J} R_h(x, y).$$

The sub-formula between brackets above implies the conjunction $\bigwedge_{i \in J} \theta_i(x, y)$. As the implication $\bigwedge_{i \in J} \theta_i(x, y) \rightarrow \bigwedge_{h \in K_J} R_h(x, y)$ is a tautology (by definition of K_J), the implication to be proved is a tautology too.

The converse implication $\Phi' \Rightarrow \Phi$ is more difficult to prove. It uses a folklore property of propositional Horn formulas easy to be proved:

► **Lemma 23** (Horn property: folklore). *Let F be a strict Horn formula of propositional calculus, that is a conjunction of clauses of the form $p_1 \wedge \dots \wedge p_k \rightarrow p_0$ where $k \geq 0$ and the p_i 's are propositional variables. Let F' be the conjunction of propositional variables q such that the implication $F \rightarrow q$ is a tautology. F has the same minimal model⁶ as F' .*

Proof of the implication $\Phi' \Rightarrow \Phi$: Let $\langle w \rangle$ be a model of Φ' and let $(\langle w \rangle, \mathbf{R})$ be the minimal model of the Horn formula

$$\varphi' := \forall x \forall y \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} \left(\bigwedge_{i \in J} \alpha_i(x, y) \rightarrow R_h(x, y) \right) \right].$$

⁶ For example, for $F := p_1 \wedge p_3 \wedge (p_1 \wedge p_3 \rightarrow p_5) \wedge (p_1 \wedge p_2 \rightarrow p_4)$, we have $F' := p_1 \wedge p_3 \wedge p_5$, which has the same minimal model I as F ; this model is given by $I(p_1) = I(p_3) = I(p_5) = 1$ and $I(p_2) = I(p_4) = 0$.

It is enough to show that $(\langle w \rangle, \mathbf{R})$ also satisfies the formula

$$\varphi := \forall x \forall y \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right].$$

As each α_i is a conjunction of formulas of the form $R(x-1, y) \wedge \neg \min(x)$, or $R(x, y-1) \wedge \neg \min(y)$, we make an induction on the domain $\{(a, b) \in [1, n]^2 \mid a+b \leq t\}$, for $t \in [1, 2n]$. More precisely, we are going to prove, by recurrence on the integer $t \in [1, 2n]$, that the minimal model $(\langle w \rangle, \mathbf{R})$ of φ' satisfies the “relativized” formula φ_t of the formula φ defined by

$$\varphi_t := \forall x \forall y \left[x+y \leq t \rightarrow \left[\bigwedge_i C_i(x, y) \wedge \bigwedge_{i \in [1, k]} (\alpha_i(x, y) \rightarrow \theta_i(x, y)) \right] \right]$$

As the hypothesis $x+y \leq 2n$ holds for all x, y in the domain $[1, n]$, φ_{2n} is equivalent to φ on the structure $(\langle w \rangle, \mathbf{R})$.

Basis case: For $t=1$ the set $\{(a, b) \in [1, n]^2 \mid a+b \leq t\}$ is empty so that the “relativized” formula φ_1 is trivially true in the minimal model $(\langle w \rangle, \mathbf{R})$ of φ' .

Recurrence step: Suppose $(\langle w \rangle, \mathbf{R}) \models \varphi_{t-1}$, for an integer $t \in [2, 2n]$. It is enough to show that, for each couple $(a, b) \in [1, n]^2$ such that $a+b=t$, we have $(\langle w \rangle, \mathbf{R}) \models \bigwedge_{i \in [1, k]} (\alpha_i(a, b) \rightarrow \theta_i(a, b))$. Let $J_{a,b}$ be the set of indices $i \in [1, k]$ such that the couple (a, b) satisfies α_i :

$$J_{a,b} := \{i \in [1, k] \mid (\langle w \rangle, \mathbf{R}) \models \alpha_i(a, b)\}.$$

Recall that each $\alpha_i(a, b)$ is a (possibly empty) conjunction of atoms $R(a', b')$ with $(a', b') = (a-1, b)$ or $(a', b') = (a, b-1)$, therefore such that $a' + b' = t-1$. Let $J \subseteq [1, k]$ be any set. Let us examine the two possible cases:

1) $J \subseteq J_{a,b}$: then the conjunction $\bigwedge_{i \in J} \alpha_i(a, b)$ holds in $(\langle w \rangle, \mathbf{R})$; hence, in $(\langle w \rangle, \mathbf{R})$, the conjunction $\bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$ is equivalent to $\bigwedge_{h \in K_J} R_h(a, b)$;

2) $J \setminus J_{a,b} \neq \emptyset$: then the conjunction $\bigwedge_{i \in J} \alpha_i(a, b)$ is false in $(\langle w \rangle, \mathbf{R})$; hence, the conjunction $\bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$ holds in $(\langle w \rangle, \mathbf{R})$.

From (1) and (2), we deduce that in $(\langle w \rangle, \mathbf{R})$ the conjunction $\bigwedge_{J \subseteq [1, k]} \bigwedge_{h \in K_J} (\bigwedge_{i \in J} \alpha_i(a, b) \rightarrow R_h(a, b))$ is equivalent to the conjunction $\bigwedge_{J \subseteq J_{a,b}} \bigwedge_{h \in K_J} R_h(a, b)$, which can be simplified as $\bigwedge_{h \in K_{J_{a,b}}} R_h(a, b)$ because $J \subseteq J_{a,b}$ implies $K_J \subseteq K_{J_{a,b}}$. Consequently, for all $h \in [1, m]$, the minimal model $(\langle w \rangle, \mathbf{R})$ of the Horn formula φ' satisfies the atom $R_h(a, b)$ iff h belongs to $K_{J_{a,b}}$. By definition,

$$K_{J_{a,b}} := \{h \in [1, m] \mid \bigwedge_{i \in J_{a,b}} \theta_i(x, y) \rightarrow R_h(x, y) \text{ is a tautology}\}$$

or, equivalently,

$$K_{J_{a,b}} := \{h \in [1, m] \mid \bigwedge_{i \in J_{a,b}} \theta_i(a, b) \rightarrow R_h(a, b) \text{ is a tautology}\}.$$

As a consequence of Lemma 23, the two conjunctions

$$\bigwedge_{i \in J_{a,b}} \theta_i(a, b) \text{ and } \bigwedge_{h \in K_{J_{a,b}}} R_h(a, b)$$

have the same minimal model, which is also the restriction of the minimal model $(\langle w \rangle, \mathbf{R})$ of φ' to the set of atoms $R_h(a, b)$, for $h \in [1, m]$. Therefore, if $i \in J_{a,b}$, then $(\langle w \rangle, \mathbf{R}) \models \theta_i(a, b)$. If $i \in [1, k] \setminus J_{a,b}$, then we have $(\langle w \rangle, \mathbf{R}) \models \neg \alpha_i(a, b)$, by definition of $J_{a,b}$. Therefore, for all $i \in [1, k]$, we get $(\langle w \rangle, \mathbf{R}) \models \neg \alpha_i(a, b) \vee \theta_i(a, b)$. In other words, for all (a, b) such that $a + b = t$, we have : $(\langle w \rangle, \mathbf{R}) \models \bigwedge_{i \in [1, k]} (\alpha_i(a, b) \rightarrow \theta_i(a, b))$ and then $(\langle w \rangle, \mathbf{R}) \models \varphi_t$.

This concludes the inductive proof that $(\langle w \rangle, \mathbf{R}) \models \varphi_t$, for all $t \in [1, 2n]$, and then $\langle w \rangle \models \Phi$. This proves the converse implication $\Phi' \Rightarrow \Phi$. Claim 22 is demonstrated. $\square$

B Complement of proof for Lemma 14

Grid $\subseteq$ RealTime1CA. To prove this inclusion, we show how to simulate the computation of the grid-circuit on a real-time CA. The simulation is made by a geometric transformation that embeds the grid-circuit in the space-time diagram of a real-time CA. This transformation is divided into three steps:

1. a variable change: we apply to each site $(x, y) \in [1, n]^2$ of the grid-circuit the variable change $(x, y) \mapsto (c' = y - x + 1, t' = x + y - 1)$;
2. a folding: we fold the resulting diagram along the axis $c' = 1$: each site (c', t') with $c' < 1$ is sent to its symmetric counterpart $(-c' + 1, t')$;
3. a grouping: each site $(c, t) = (\lceil \frac{c'}{2} \rceil, \lceil \frac{t'}{2} \rceil)$ of the new diagram records the set of sites $\{(c' - 1, t' - 1), (c', t'), (c' + 1, t' - 1)\}$ with c' and t' odd and greater than 1.

The resulting diagram is the expected space-time diagram of a real-time CA, proving the inclusion.

RealTime1CA $\subseteq$ Grid. To simulate a real-time CA $\mathcal{A} = (\mathbf{S}, \mathbf{S}_{accept}, \{-1, 0, 1\}, \mathbf{f})$ on the grid, we first turn $\mathcal{A}$ into an equivalent CA $\mathcal{A}' = (\mathbf{S}, \mathbf{S}_{accept}, \{-2, -1, 0\}, \mathbf{f})$. This transformation can be seen as the variable change $(c, t) \mapsto (c + t - 1, t)$. The diagram of $\mathcal{A}'$ is then embedded on the grid-circuit $\mathcal{C}'$ by applying to its sites (c', t') the variable change $(c', t') \mapsto (t', c')$. The local and uniform communication of the embedded diagram can easily be carried out by the grid-circuit communication scheme.