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## WHEN IS A REDUCTIVE GROUP SCHEME LINEAR?

#### PHILIPPE GILLE

ABSTRACT. We show that a reductive group scheme over a base scheme S admits a faithful linear representation if and only if its radical torus is isotrivial; that is, it splits after a finite étale cover.

to the 75-th anniversary of Gopal Prasad

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#### 1. Introduction

Let S be a scheme. Let  $\mathfrak{G}$  be an S-group scheme. It is natural to ask whether  $\mathfrak{G}$  is linear; that is, there exists a group monomorphism  $\mathfrak{G} \to \mathrm{GL}(\mathcal{E})$  where  $\mathcal{E}$  is a locally free  $\mathcal{O}_S$ -module of finite rank. In particular,  $\mathfrak{G}$  admits a faithful representation on  $\mathcal{E}$ . This holds for affine algebraic groups over a field [4, II, §2.3.3].

In the case S is locally noetherian and G is of multiplicative type of constant type and of finite type, Grothendieck has shown that G is linear if and if G is isotrivial, i.e. G is split by a finite étale extension of S [11, XI.4.6]. In particular there exist non linear tori of rank 2 over the local ring (at a node) of a nodal algebraic curve (*ibid*, X.1.6). Firstly we extend that criterion over an arbitrary base by using Azumaya and separable  $\mathcal{O}_S$ -algebras (Theorem 3.3).

Secondly we deal with the case  $\mathfrak{G}$  reductive; that is,  $\mathfrak{G}$  is smooth affine with reductive (connected) geometric fibers. In this case a faithful representation is necessarily a closed immersion [11, XVI.1.5]. Positive results on the linearity question are due to M. Raynaud [11, VI<sub>B</sub>] and R. Thomason [13, 3.1] which is essentially the implication  $(i) \Longrightarrow (ii)$  in the theorem below.

We can restrict our attention to the case when  $\mathfrak{G}$  is of constant type (recall that the type is a locally constant function on S); this implies that there exists a Chevalley  $\mathbb{Z}$ -group scheme G such that  $\mathfrak{G}$  is locally isomorphic to  $G_S$  for the étale topology [11, XXII.2.3, 2.5]. A short version of our main result is the following.

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Theorem 1.1. The following are equivalent:

- (i) The radical torus  $rad(\mathfrak{G})$  is isotrivial;
- (ii) & is linear.

Furthermore if S is affine, the above are equivalent to

(ii') there exists a closed immersion  $i: \mathfrak{G} \to \operatorname{GL}_n$  with  $n \geq 1$  which is a homomorphism.

We recall that  $rad(\mathfrak{G})$  is the maximal central subtorus of  $\mathfrak{G}$  [11, XXIV.4.3.6] and that (i) means that  $rad(\mathfrak{G})$  splits after passing to a finite étale cover  $S' \to S$ . In the noetherian setting, a variant of the implication  $(i) \Longrightarrow (ii)$  has been shown by Margaux who furthermore provided an  $Aut(\mathfrak{G})$ -equivariant representation [9]. Note that condition (i) depends only on the quasi-split form of  $\mathfrak{G}$  and also that it is always satisfied if  $\mathfrak{G}$  is semisimple or if  $rad(\mathfrak{G})$  is of rank one. Furthermore, if S is a semilocal scheme, Demazure's characterization of isotrivial group schemes [11, XXIV.3.5] permits us to deduce that  $\mathfrak{G}$  is isotrivial if and only if  $\mathfrak{G}$  is linear, see section 5.

Finally for  $S = \operatorname{Spec}(R)$  with R noetherian, we complete Thomason's approach by showing that linearity for  $\mathfrak{G}$  is equivalent to the resolution property (Th. 6.2).

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#### 2. Definitions and basic facts

- 2.1. **Notation.** We use mainly the terminology and notation of Grothendieck-Dieudonné [5, §9.4 and 9.6] which agrees with that of Demazure-Grothendieck used in [11, Exp. I.4].
- (a) Let S be a scheme and let  $\mathcal{E}$  be a quasi-coherent sheaf over S. For each morphism  $f: T \to S$ , we denote by  $\mathcal{E}_T = f^*(\mathcal{E})$  the inverse image of  $\mathcal{E}$  by the morphism f. We denote by  $\mathbf{V}(\mathcal{E})$  the affine S-scheme defined by  $\mathbf{V}(\mathcal{E}) = \operatorname{Spec}(\operatorname{Sym}^{\bullet}(\mathcal{E}))$ ; it represents the S-functor  $Y \mapsto \operatorname{Hom}_{\mathcal{O}_Y}(\mathcal{E}_Y, \mathcal{O}_Y)$  [5, 9.4.9].
- (b) We assume now that  $\mathcal{E}$  is locally free of finite rank and denote by  $\mathcal{E}^{\vee}$  its dual. In this case the affine S-scheme  $\mathbf{V}(\mathcal{E})$  is of finite presentation (ibid, 9.4.11); also the S-functor  $Y \mapsto H^0(Y, \mathcal{E}_Y) = \operatorname{Hom}_{\mathcal{O}_Y}(\mathcal{O}_Y, \mathcal{E}_Y)$  is representable by the affine S-scheme  $\mathbf{V}(\mathcal{E}^{\vee})$  which is also denoted by  $\mathbf{W}(\mathcal{E})$  [11, I.4.6].

The above applies to the locally free quasi-coherent sheaf  $\mathcal{E}nd(\mathcal{E}) = \mathcal{E}^{\vee} \otimes_{\mathcal{O}_S} \mathcal{E}$  over S so that we can consider the affine S-scheme  $\mathbf{V}(\mathcal{E}nd(\mathcal{E}))$  which is an S-functor in associative and unital algebras [5, 9.6.2]. Now we consider the S-functor  $Y \mapsto \mathrm{Aut}_{\mathcal{O}_Y}(\mathcal{E}_Y)$ . It is representable by an open S-subscheme of  $\mathbf{V}(\mathcal{E}nd(\mathcal{E}))$  which is denoted by  $\mathrm{GL}(\mathcal{E})$  (loc. cit., 9.6.4).

(c) If  $\mathcal{B}$  is a locally free  $\mathcal{O}_S$ -algebra (unital, associative) of finite rank, we recall that the functor of invertible elements of  $\mathcal{B}$  is representable by an affine S-group scheme which is denoted by  $GL_1(\mathcal{B})$  [3, 2.4.2.1].

For separable and Azumaya algebras, we refer to [8]. Note that in [3, §2.5.1], separable algebras are supposed furthermore to be locally free of finite rank.

- If  $\mathcal{B}$  is a separable  $\mathcal{O}_S$ -algebra which is a locally free  $\mathcal{O}_S$ -algebra of finite rank, then  $GL_1(\mathcal{B})$  it is a reductive S-group scheme [3, 3.1.0.50].
- (d) We use the theory and terminology of tori and multiplicative group schemes of [11]; see also Oesterlé's survey [10].
- 2.2. Finite étale covers. The next lemma is a consequence of the equivalence of categories describing finite étale  $\mathcal{O}_S$ -algebra of rank N [3, §2.5.2]; it admits a simple direct proof.
- **Lemma 2.1.** Let N be a positive integer and let C be a finite étale  $\mathcal{O}_S$ -algebra of rank N. Then there exists a finite étale cover T of S of degree N! such that  $C \otimes_{\mathcal{O}_S} \mathcal{O}_T \xrightarrow{\sim} (\mathcal{O}_T)^N$ .
- Proof. We proceed by induction on N, the case N=1 being obvious. We put  $S'=\operatorname{Spec}(\mathcal{O}_C)$ , this is a finite étale cover of S of degree N. Since the diagonal map  $S'\to S'\times_S S'$  is closed and open [6, 4.17.4.2] there exists a decomposition  $\mathcal{C}\otimes_{\mathcal{O}_S}\mathcal{O}'_S=\mathcal{O}_{S'}\times\mathcal{C}'$  where  $\mathcal{C}'$  is a finite étale  $\mathcal{O}_{S'}$ -algebra of rank N-1. Applying the induction process to  $\mathcal{C}'$  provides a finite étale cover T of S' of degree (N-1)! such that  $\mathcal{C}'\otimes_{\mathcal{O}_{S'}}\mathcal{O}_T\cong (\mathcal{O}_T)^{N-1}$ . Thus  $\mathcal{C}\otimes_{\mathcal{O}_S}\mathcal{O}_T=\mathcal{O}_T\times (\mathcal{O}_T)^{N-1}$  and T is a finite étale cover of S of degree  $N!=N\times (N-1)!$ .
- 2.3. Isotriviality. [11, XXIV.4] Let  $\mathcal{H}$  be a fppf S-sheaf in groups and let  $\mathcal{X}$  be a  $\mathcal{H}$ -torsor. We say that  $\mathcal{X}$  is *isotrivial* if there exists a finite étale cover S' of S which trivializes  $\mathcal{X}$ ; that is, satisfying  $\mathcal{X}(S') \neq \emptyset$ .

The notion of locally isotrivial (with respect to the Zariski topology) is then clear and there is also the following variant of *semilocally isotrivial*.

We say that  $\mathcal{X}$  is *semilocally isotrivial* if for each subset  $\{s_1, \ldots, s_n\}$  of points of S contained in an affine open subset of S, there exists an open subscheme U of S containing  $s_1, \ldots, s_n$  such that  $\mathcal{X} \times_S U$  is isotrivial over U.

A reductive S-group scheme  $\mathfrak{G}$  is *isotrivial* if it is split by a finite étale cover S' of S. An isotrivial reductive S-group scheme  $\mathfrak{G}$  is necessarily of constant type. If  $\mathfrak{G}$  is of constant type with underlying Chevalley group scheme G,  $\mathfrak{G}$  is isotrivial if and only if the  $\operatorname{Aut}(G)$ -torsor  $\operatorname{Isom}(G_S,\mathfrak{G})$  is isotrivial.

2.4. Rank one tori. The simplest case is that of  $G = \mathbb{G}_{m,S}$ , the split S-torus of rank 1. The S-functor  $S' \mapsto \operatorname{Hom}_{S'-gp}(\mathbb{G}_{m,S'},\mathbb{G}_{m,S'})$  is representable by the constant S-group scheme  $\mathbb{Z}_S$  [11, VIII.1.5]. It follows that the S-functor  $S' \mapsto \operatorname{Isom}_{S'-gp}(\mathbb{G}_{m,S'},\mathbb{G}_{m,S'})$  is representable by the constant S-group scheme  $(\mathbb{Z}/2\mathbb{Z})_S = \operatorname{Aut}_{S-gp}(\mathbb{Z}_S)$ . On the other hand,  $(\mathbb{Z}/2\mathbb{Z})_S$  is the automorphism group of the split

étale cover  $S \sqcup S \to S$  of degree 2. By definition an S-torus of rank one is a form of  $\mathbb{G}_m$  for the fpqc topology; in the other hand, a degree 2 étale cover is a form of  $S \sqcup S$  for the finite étale topology (see for example Lemma 2.1) and a fortiori for the fpqc topology.

According to the faithfully flat descent technique (e.g. [11, XXIV.1.17]), there is then an equivalence of categories between the groupoid of rank one tori over S (resp. the groupoid of degree 2 étale covers of S) and the groupoid of  $(\mathbb{Z}/2\mathbb{Z})_S$ -torsors.

More precisely one associates to an S-torus T of rank 1 (resp. to an étale cover E of degree 2) the  $(\mathbb{Z}/2\mathbb{Z})_S$ -torsor  $\mathrm{Isom}_{gr}(\mathbb{G}_m,T)$  (resp.  $\mathrm{Isom}(S \sqcup S,E)$ ). The inverse map is given by twisting the split object by a given  $(\mathbb{Z}/2\mathbb{Z})_S$ -torsor.

Let T be an S-torus of rank one and let  $S' \to T$  be its associated étale cover of degree 2. Then T is splits after base change to S' and so is isotrivial. Furthermore we claim that T is isomorphic to the quotient  $Q = R_{S'/S}(\mathbb{G}_{m,S'})/\mathbb{G}_m$  where  $R_{S'/S}(\mathbb{G}_{m,S'})$  stands for the Weil restriction. We consider the  $(\mathbb{Z}/2\mathbb{Z})_S$ -equivariant exact sequences

$$1 \to \mathbb{G}_{m,S} \xrightarrow{\Delta} \mathbb{G}_{m,S} \times \mathbb{G}_{m,S} \xrightarrow{\Pi} \mathbb{G}_{m,S} \to 1$$

where  $\Pi(x,y) = x y^{-1}$  and the  $\mathbb{Z}/2\mathbb{Z}$ -action on  $\mathbb{G}_{m,S} \times \mathbb{G}_{m,S}$  is by  $(x,y) \mapsto (y,x)$ . The  $\mathbb{Z}/2\mathbb{Z}$ -action on the last factor is then by  $x \mapsto x^{-1}$ . Twisting this sequence by the  $(\mathbb{Z}/2\mathbb{Z})_S$ -torsor Isom $(S \sqcup S, S')$  yields the desired exact sequence

$$1 \to \mathbb{G}_m \to R_{S'/S}(\mathbb{G}_{m,S'}) \to Q \to 1$$

where the identification of the second term is left to the reader (it is similar to that of the proof of [11, XXIX.3.13]).

2.5. **Linear representations.** Let  $\mathfrak{G}$  be an S-group scheme. We say that  $\mathfrak{G}$  is *linear* if there exists a locally free  $\mathcal{O}_S$ -module  $\mathcal{E}$  is of finite rank and a group homomorphism  $\mathfrak{G} \to \mathrm{GL}(\mathcal{E})$  which is a monomorphism.

The notion of locally linear S-group scheme is then clear and there is also the following variant of  $semilocally\ linear$ .

We say that  $\mathfrak{G}$  is *semilocally linear* if for each subset  $\{s_1, \ldots, s_n\}$  of points of S contained in an affine open subset of S, there exists an open subscheme U of S containing  $s_1, \ldots, s_n$  such that  $\mathfrak{G} \times_S U$  is linear over U.

**Lemma 2.2.** Assume that  $S = \operatorname{Spec}(R)$  and let  $\mathcal{E}$  be a locally free  $\mathcal{O}_S$ -module of finite rank. Then  $\operatorname{GL}(\mathcal{E})$  embeds as a closed S-subgroup scheme in  $\operatorname{GL}_n$  for some  $n \geq 1$ .

Proof. Since the rank of  $\mathcal{E}$  is a locally constant function [5, Ch. 0, 5.4.1], we can assume that  $\mathcal{E}$  is locally free of constant of rank r. Then  $E = H^0(R, \mathcal{E})$  is a locally free R-module of rank r, so is finitely generated projective [12, Tag 00NX]. It follows that there exists an integer  $n \geq 1$  and a decomposition  $R^n = E \oplus E'$ . The homomorphism  $GL(\mathcal{E}) \to GL_n$  is a closed immersion.

**Lemma 2.3.** Let  $\mathfrak{G}$  be an S-group scheme and let S' be a finite locally free cover of S. Then  $\mathfrak{G}$  is linear if and only if  $\mathfrak{G} \times_S S'$  is linear.

*Proof.* We denote by  $p: S' \to S$  the structure map. If  $\mathfrak{G}$  is linear, then  $\mathfrak{G} \times_S S'$  is linear. Conversely we assume that there exists a monomorphism  $i: \mathfrak{G} \times_S S' \to \operatorname{GL}(\mathcal{E}')$  where  $\mathcal{E}'$  is a locally free  $\mathcal{O}_{S'}$ -module of finite rank. We put  $\mathcal{E} = p_*(\mathcal{E}')$ , this is a locally free  $\mathcal{O}_{S}$ -module of finite rank. We consider the sequence of S-functors in S-groups

$$\mathfrak{G} \to R_{S'/S}(\mathfrak{G} \times_S S') \xrightarrow{R_{S'/S}(i)} R_{S'/S}(\mathrm{GL}(\mathcal{E}')) \to \mathrm{GL}(\mathcal{E})$$

where  $R_{S'/S}$  stands for the Weil restriction and the first map is the diagonal map which is a monomorphism. Since the Weil restriction for S'/S transforms monomorphisms into monomorphisms, the map  $R_{S'/S}(i)$  is also a monomorphism and so is the last map since  $R_{S'/S}(GL(\mathcal{E}'))(T) \subset GL(\mathcal{E})(T)$  corresponds to automorphisms of  $\mathcal{E} \otimes_{\mathcal{O}_S} \mathcal{O}_T$  which are  $\mathcal{O}_{S'} \otimes_{\mathcal{O}_S} \mathcal{O}_T$ -linear. Since all maps are monomorphisms, we conclude that  $\mathfrak{G}$  is linear.

#### 3. Tori and group of multiplicative type

3.1. Maximal tori of linear groups. Let  $\mathcal{A}$  be an Azumaya  $\mathcal{O}_S$ -algebra. We consider the reductive S-group scheme  $\mathrm{GL}_1(\mathcal{A})$ . Let  $\mathcal{B} \subset \mathcal{A}$  be a separable  $\mathcal{O}_S$ -subalgebra of  $\mathcal{A}$  which is a locally free  $\mathcal{O}_S$ -module of finite rank and which is locally a direct summand of  $\mathcal{A}$  as  $\mathcal{O}_S$ -module. We get a monomorphism of reductive S-group schemes  $\mathrm{GL}_1(\mathcal{B}) \to \mathrm{GL}_1(\mathcal{A})$ . In particular, if  $\mathcal{B}$  is commutative,  $\mathcal{B}$  is a finite étale  $\mathcal{O}_S$ -algebra and  $\mathrm{GL}_1(\mathcal{B})$  is a torus. We come now to Grothendieck's definition of maximal étale subalgebras.

**Definition 3.1.** [7, Def. 5.6]. We say that a finite étale  $\mathcal{O}_S$ -subalgebra  $\mathcal{C} \subset \mathcal{A}$  is maximal if  $\mathcal{C}$  is locally a direct summand of  $\mathcal{A}$  as  $\mathcal{O}_S$ -module and if the rank of  $\mathcal{C} \otimes_{\mathcal{O}_S} \kappa(s)$  is the degree of  $\mathcal{A}_s \otimes_{\mathcal{O}_S} \kappa(s)$  for each  $s \in S$ .

If  $\mathcal{C} \subset \mathcal{A}$  is maximal finite étale  $\mathcal{O}_S$ —subalgebra of  $\mathcal{A}$ , then the torus  $GL_1(\mathcal{C})$  is a maximal torus of  $GL_1(\mathcal{A})$  since it is the case on geometric fibers. According to [7, §7.5], all maximal S—tori of  $GL_1(\mathcal{A})$  occur in that manner; this is part (3) of the following enlarged statement.

**Proposition 3.2.** Let  $\mathfrak{S}$  be a subgroup scheme of multiplicative type of  $GL_1(\mathcal{A})$  and put  $\mathcal{B} = \mathcal{A}^{\mathfrak{S}}$ , the centralizer subalgebra of  $\mathfrak{S}$ .

- (1)  $\mathcal{B}$  is a separable  $\mathcal{O}_S$ -algebra which is locally a direct summand of  $\mathcal{A}$  as  $\mathcal{O}_S$ -module.
- (2) Let C be the center of B; this is a finite étale  $\mathcal{O}_S$ -algebra of positive rank which is locally a direct summand of B (and A) as  $\mathcal{O}_S$ -module. We have the closed immersions

$$\mathfrak{S} \subset \mathrm{GL}_1(\mathcal{C}) \subset \mathrm{GL}_1(\mathcal{A}).$$

(3) If  $\mathfrak{S}$  is a maximal torus of  $GL_1(\mathcal{A})$ , then  $\mathfrak{S} = GL_1(\mathcal{C})$  and  $\mathcal{C}$  is a maximal finite étale  $\mathcal{O}_S$ -subalgebra of  $\mathcal{A}$ .

(4) If  $\mathfrak{S}$  is of constant type, then  $\mathfrak{S}$  is isotrivial.

*Proof.* According to [11, IX.2.5], the map  $\mathfrak{S} \to \operatorname{GL}_1(\mathcal{A})$  is a closed immersion so that  $\mathfrak{S}$  is of finite type over S. As a preliminary observation we notice that (1), (2), (3) are local for the étale topology. We can assume that  $S = \operatorname{Spec}(R)$ ,  $\mathcal{A} = \operatorname{M}_n(R)$  and that  $\mathfrak{S} = D(M)$  for M an abelian group. Note that M is finitely generated since  $\mathfrak{S}$  is of finite type (*ibid*, VII.2.1.b).

- (1) We consider the M-grading  $R^n = \bigoplus_{m \in M} R_m^n$ . The R-modules  $(R_m^n)_{m \in M}$  are finitely generated projective so locally free of finite rank. There is a finite subset  $M' \subset M$  such that  $R^n = \bigoplus_{m \in M'} R_m^n$ . Then  $\mathcal{B} = \prod_{m \in M'} \operatorname{End}_R(R_m^n)$  and each  $\operatorname{End}_R(R_m^n)$  is a separable R-algebra which is locally free of finite rank [8, III, example 2.8]. Since a product of separable algebras is a separable algebra (*ibid*, III, proposition 1.7), it follows that  $\mathcal{B}$  is a separable R-algebra. Furthermore  $\mathcal{B}$  is locally a direct summand of  $M_n(R)$  as R-module.
- (2) Let  $\mathcal{C}$  be the center of  $\mathcal{B}$ . We have R-monomorphisms of groups  $\mathfrak{S} \subset \mathrm{GL}_1(\mathcal{C}) \subset \mathrm{GL}_1(\mathcal{A})$ .
- (3) We assume that  $\mathfrak{S}$  is a maximal torus of  $GL_1(\mathcal{A})$  and want to establish that  $\mathfrak{S} = GL_1(\mathcal{C})$ . So  $GL_1(\mathcal{C})$  is an R-torus containing  $\mathfrak{T}$  and since maximality holds also in the naive sense [11, XII.1.4], we conclude that  $\mathfrak{T} = GL_1(\mathcal{C})$ .
- (4) We come back to the initial setting (i.e. without localizing). We want to show that  $\mathfrak{S}$  is isotrivial. According to [5, ch.0, 5.4.1], for each integer  $l \geq 0$ ,  $S_l = \{s \in S \mid \operatorname{rank}(\mathcal{C}_{\kappa(s)}) = l\}$  is an open subset of S so that we have a decomposition in clopen subschemes  $S = \coprod_{l \geq 0} S_l$ . Without loss of generality we can assume

that  $\mathcal{C}$  is locally free of rank l. Lemma 2.1 provides a finite étale cover S' of S such that  $\mathcal{C} \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \cong (\mathcal{O}_{S'})^l$ . Hence  $\operatorname{GL}_1(\mathcal{C}) \times_S S \cong (\mathbb{G}_m)^l \times_S S'$ . It follows that  $\mathfrak{S} \times_S S'$  is a subgroup S'-scheme of  $(\mathbb{G}_m)^l \times_S S'$  of multiplicative type. According to [11, IX.2.11.(i)] there exists a partition in clopen subsets  $S' = \sqcup_{i \in I} S'_i$  such that each  $\mathfrak{S} \times_S S'_i$  is diagonalizable. Since  $\mathfrak{S} \times_S S'$  is of constant type we conclude that  $\mathfrak{S} \times_S S'$  is diagonalizable.

## 3.2. Characterization of isotrivial groups of multiplicative type.

**Theorem 3.3.** Let  $\mathfrak{S}$  be an S-group scheme of multiplicative type of finite type and of constant type. Then the following are equivalent:

- (i) \mathcal{S} is isotrivial;
- (ii)  $\mathfrak{S}$  is linear;
- (iii)  $\mathfrak{S}$  is an S-subgroup scheme of an S-group scheme  $\mathrm{GL}_1(\mathcal{A})$  where  $\mathcal{A}$  is an Azumaya  $\mathcal{O}_S$ -algebra.
- *Proof.*  $(i) \Longrightarrow (ii)$ . This follows from Lemma 2.3.

 $(ii) \Longrightarrow (iii)$ . By definition we have a monomorphism  $\mathfrak{S} \to \mathrm{GL}(\mathcal{E})$  where  $\mathcal{E}$  is a locally free  $\mathcal{O}_S$ -module of finite rank. Since  $\mathrm{End}_{\mathcal{O}_S}(\mathcal{E})$  is an Azumaya  $\mathcal{O}_S$ -algebra, we get (iii).

$$(iii) \Longrightarrow (i)$$
. This follows of Proposition 3.2.(4).

**Examples 3.4.** (a) Grothendieck constructed a scheme S and an S-torus  $\mathfrak{T}$  which is locally trivial of rank 2 but which is not isotrivial [11, §X.1.6] (e.g. S consists of two copies of the projective line over a field pinched at 0 and  $\infty$ ). Theorem 3.3 shows that such an S-torus is not linear.

(b) Also there exists a local ring R and an R-torus  $\mathfrak{T}$  of rank 2 which is not isotrivial [11, §1.6]; the ring R can be taken as the local ring of an algebraic curve at a double point. Theorem 3.3 shows that such an R-torus is not linear.

#### 4. Reductive case

For stating the complete version of our main result, we need more notation. As in the introduction,  $\mathfrak{G}$  is a reductive S-group scheme of constant type and G is the underlying Chevalley  $\mathbb{Z}$ -group scheme. We denote by  $\operatorname{Aut}(G)$  the automorphism group scheme of G and we have an exact sequence of  $\mathbb{Z}$ -group schemes [11, th. XXIV.1.3]

$$1 \to G_{ad} \to \operatorname{Aut}(G) \to \operatorname{Out}(G) \to 1.$$

We remind the reader of the representability of the fppf sheaf  $\underline{\text{Isom}}(G_S, \mathfrak{G})$  by a  $\text{Aut}(G)_S$ -torsor  $\text{Isom}(G_S, \mathfrak{G})$  defined in [11, XXIV.1.8]. The contracted product

$$\operatorname{Isomext}(G_S, \mathfrak{G}) := \operatorname{Isom}(G_S, \mathfrak{G}) \wedge^{\operatorname{Aut}(G)_S} \operatorname{Out}(G)_S$$

is an  $\operatorname{Out}(G)_S$ -torsor (*ibid*, 1.10) which encodes the isomorphism class of the quasisplit form of  $\mathfrak{G}$ .

**Theorem 4.1.** The following are equivalent:

- (i) The torus  $rad(\mathfrak{G})$  is isotrivial;
- (ii) the  $Out(G)_S$ -torsor  $Isomext(G_S, \mathfrak{G})$  is isotrivial;
- (iii) & is linear;
- (iv)  $rad(\mathfrak{G})$  is linear.

Furthermore if S is affine, we can take a faithful linear representation in some  $GL_n$  for (iii) and (iv).

*Proof.*  $(i) \Longrightarrow (ii)$ . We assume that rad $(\mathfrak{G})$  is isotrivial and want to show that the Out $(G)_S$ -torsor  $\mathcal{F} = \text{Isomext}(G_S, \mathfrak{G})$  is isotrivial. In other words, we want to show that there exists a finite étale cover S' of S such that  $\mathfrak{G} \times_S S'$  is an inner form of G.

Without loss of generality we can assume that  $rad(\mathfrak{G})$  is a split torus. We quote now [11, XXIV.2.16] for the Chevalley group G over  $\mathbb{Z}$  which introduces the  $\mathbb{Z}$ -group scheme

$$H = \ker(\operatorname{Aut}(G) \to \operatorname{Aut}(\operatorname{rad}(G));$$

furthermore there is an equivalence of categories between the category of H-torsors over S and the category of pairs  $(\mathfrak{M}, \phi)$  where  $\mathfrak{M}$  is an S-form of G and  $\phi : \operatorname{rad}(G)_S \xrightarrow{\sim} \operatorname{rad}(\mathfrak{M})$ .

Since  $\operatorname{rad}(\mathfrak{G})$  is split, we choose an isomorphism  $\phi : \operatorname{rad}(G)_S \xrightarrow{\sim} \operatorname{rad}(\mathfrak{G})$  and consider an H-torsor  $\mathfrak{P}$  mapping to an object isomorphic to  $(\mathfrak{G}, \phi)$ . Furthermore the quoted reference provides an exact sequence of  $\mathbb{Z}$ -group schemes

$$1 \to G_{ad} \to H \xrightarrow{p} F \to 1$$

where F is finite étale over  $\mathbb{Z}$ , so is constant. We denote by  $S' = \mathfrak{M} \wedge^{H_S} F_S$  the contracted product of  $\mathfrak{M}$  and  $F_S$  with respect to  $H_S$ ; this is an F-torsor over S, hence is a finite étale cover of S. It follows that  $\mathfrak{P} \times_S S'$  admits a reduction to a  $G_{ad,S'}$ -torsor  $\mathfrak{Q}'$ . Since the map  $G_{ad} \to H \to \operatorname{Aut}(G)$  is the canonical map, we conclude that  $\mathfrak{G}_{S'} \cong {}^{\mathfrak{Q}}G$  is an inner form of G.

 $(ii) \Longrightarrow (iii)$ . Our assumption is that there exists a finite étale cover S'/S which splits the  $\operatorname{Out}(G)$ -torsor  $\operatorname{Isomext}(G_S,\mathfrak{G})$ . Lemma 2.3 permits us to replace S by S', so we can assume that the  $\operatorname{Out}(G)$ -torsor  $\operatorname{Isomext}(G_S,\mathfrak{G})$  is trivial; that is,  $\mathfrak{G}$  is an inner form of G. There exists a  $G_{ad}$ -torsor  $\mathfrak{Q}$  over S such that  $\mathfrak{G} \cong {}^{\mathfrak{Q}}G$ . Since  $G \rtimes G_{ad}$  is defined over  $\mathbb{Z}$ , it admits a faithful representation  $\rho: G \rtimes G_{ad} \to \operatorname{GL}_n$  over  $\mathbb{Z}$  [2, §1.4.5]. The map  $\rho$  is then  $G_{ad}$ -equivariant and can be twisted by the  $G_{ad}$ -torsor  $\mathfrak{Q}$ . We obtain a faithful representation  ${}^{\mathfrak{Q}}G \rtimes {}^{\mathfrak{Q}}G_{ad} \to {}^{\mathfrak{Q}}GL_n = \operatorname{GL}(\mathcal{E})$  where  $\mathcal{E}$  is the locally free  $\mathcal{O}_S$ -module of rank n which is the twist of  $(\mathcal{O}_S)^n$  by the  $\operatorname{GL}_n$ -torsor  $\mathfrak{Q} \wedge {}^{G_{ad}} \operatorname{GL}_n$ . Thus  ${}^{\mathfrak{Q}}G$  is linear.

 $(iii) \Longrightarrow (iv)$ . Obvious.

 $(iv) \Longrightarrow (i)$ . Since rad( $\mathfrak{G}$ ) is a form of rad(G), it is of constant rank and Theorem 3.3 shows that rad(G) is isotrivial.

Finally the refinement for S affine follows from Lemma 2.2.  $\Box$ 

**Corollary 4.2.** Under the assumptions of  $\mathfrak{G}$ , let  $\mathfrak{G}^{qs}$  be the quasi-split form of  $\mathfrak{G}$ . Then  $\mathfrak{G}$  is linear if and only if  $\mathfrak{G}^{qs}$  is linear.

The next corollary slightly generalizes a result by Thomason [13, cor. 3.2].

#### Corollary 4.3. Assume that either

- (i) S is locally noetherian and geometrically unibranch (e.g. normal);
- (ii)  $rad(\mathfrak{G})$  is of  $rank \leq 1$  (in particular if G is semisimple).

Then & is linear.

*Proof.* In case (i), the torus  $rad(\mathfrak{G})$  is isotrivial [11, X.5.16]. In case (ii), we have rad(G) = 1 or  $\mathbb{G}_m$  (since G is split), so that  $rad(\mathfrak{G})$  is split by a quadratic étale cover of S (§2.4), hence is isotrivial. Hence Theorem 1.1 implies that  $\mathfrak{G}$  is linear.

The next corollary extends Demazure's characterization of locally isotrivial reductive group schemes [11, XXIV.4.1.5].

Corollary 4.4. The following are equivalent:

- (i)  $\mathfrak{G}$  is locally (resp. semilocally) isotrivial;
- (ii) The torus rad( $\mathfrak{G}$ ) is locally (resp. semilocally) isotrivial;
- (iii) the  $Out(G)_S$ -torsor Isomext $(G_S, \mathfrak{G})$  is locally (resp. semilocally) isotrivial;
- (iv)  $\mathfrak{G}$  is locally (resp. semilocally) linear;
- (v) rad( $\mathfrak{G}$ ) is locally (resp. semilocally) linear.

*Proof.* In view of Theorem 4.1, it remains to establish the equivalence  $(i) \iff (ii)$ . Since this is precisely the quoted result [11, XXIV.3.5], the proof is complete.

Corollary 4.5. Let  $\mathfrak{H}$  be a reductive S-subgroup scheme of  $\mathfrak{G}$ . If  $\mathfrak{G}$  is locally (resp. semilocally) isotrivial, then  $\mathfrak{H}$  is locally (resp. semilocally) isotrivial.

*Proof.* Corollary 4.4 shows that  $\mathfrak{G}$  is locally (resp. semilocally) linear and so is  $\mathfrak{H}$ . Therefore  $\mathfrak{H}$  is locally (resp. semilocally) isotrivial.

#### 5. The semilocal case

We assume that  $S = \operatorname{Spec}(R)$  where R is a semilocal ring and continue to assume that the reductive S-group scheme  $\mathfrak{G}$  is of constant type. We remind the reader that  $\mathfrak{G}$  admits a maximal torus (Grothendieck, [11, XIV.3.20 and footnote]).

Corollary 5.1. Let  $\mathfrak{T}$  be a maximal torus of  $\mathfrak{G}$ . The following are equivalent:

- (i) & is isotrivial;
- (ii) The torus  $rad(\mathfrak{G})$  is isotrivial;
- (iii) the  $Out(G)_S$ -torsor  $Isomext(G_S, \mathfrak{G})$  is isotrivial;
- (iv) \mathbf{G} is linear;
- $(v) \operatorname{rad}(\mathfrak{G})$  is linear;
- (vi)  $\mathfrak{T}$  is linear;
- (vii)  $\mathfrak{T}$  is isotrivial.

*Proof.* From Corollary 4.4, we have the equivalences  $(i) \iff (ii) \iff (iii) \iff (iv) \iff (v)$ . On the other hand, the equivalence  $(vi) \iff (vii)$  holds according to Theorem 3.3. Now we observe that the implications  $(iv) \implies (vi)$  and  $(vi) \implies (v)$  are obvious so the proof is complete.

# 6. Equivariant resolution property

**Definition 6.1.** Let  $\mathfrak{G}$  be a flat group scheme over S acting on a locally noetherian S-scheme  $\mathfrak{X}$ . One says that  $(\mathfrak{G}, S, \mathfrak{X})$  has the resolution property (RE) for short) if for every coherent  $\mathfrak{G}$ -module  $\mathcal{F}$  on  $\mathfrak{X}$ , there is a locally free coherent  $\mathfrak{G}$ -module (i.e. a  $\mathfrak{G}$ -vector bundle  $\mathcal{E}$ ) and a  $\mathfrak{G}$ -equivariant epimorphism  $\mathcal{E} \to \mathcal{F} \to 0$ .

We strengthen Thomason's results.

- **Theorem 6.2.** Let  $\mathfrak{G}$  be a reductive S-group scheme. We assume that S is separated noetherian and that (1, S, S) satisfies the resolution property, e.g. S is affine or regular or admits an ample family of line bundles. Then the following are equivalent:
  - (i) \mathcal{G} is linear;
  - (ii)  $rad(\mathfrak{G})$  is isotrivial;
  - (iii) & satisfies (RE).
- *Proof.* (i)  $\iff$  (ii). This is a special case of Theorem 4.1.
- $(ii) \Longrightarrow (iii)$ . This is Thomason's result [13, Theorem 2.18].
- $(iii) \Longrightarrow (i)$ . This is Thomason's result [13, Theorem 3.1], see also [11, VI<sub>B</sub>.13.5].

**Remark 6.3.** Example 3.4.(b) is an example of a local noetherian ring R and of a rank two non-isotrivial torus  $\mathfrak{T}$ . Theorem 6.2 shows that  $\mathfrak{T}$  does not satisfy (RE). This answers a question of Thomason [13, §2.3].

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