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ALGEBRAIC COHERENT CONFLUENCE AND HIGHER GLOBULAR KLEENE ALGEBRAS

CAMERON CALK ERIC GOUBAULT PHILIPPE MALBOS GEORG STRUTH

Abstract – We extend the formalisation of confluence results in Kleene algebras to a formalisation of coherent confluence proofs. For this, we introduce the structure of higher globular Kleene algebra, a higher-dimensional generalisation of modal and concurrent Kleene algebra. We calculate a coherent Church-Rosser theorem and a coherent Newman’s lemma in higher Kleene algebras by equational reasoning. We instantiate these results in the context of higher rewriting systems modelled by polygraphs.

Keywords – Modal Kleene algebras, confluence, coherence, higher dimensional rewriting.

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1. INTRODUCTION

Rewriting is a model of computation widely used in algebra, computer science and logic. Rules of computation or algebraic laws are described by *rewrite relations* on symbolic or algebraic expressions. Rewriting theory is strongly based on diagrammatic intuitions. A central theme is the completion of certain *branching shapes* with *confluence shapes* into *confluence diagrams*. Traditionally, the rewriting machinery has been formalised in terms of algebras of binary relations: confluence properties are described by union, composition and iteration operations. A natural generalisation is given by Kleene algebras, in which proofs of classical confluence results such as the Church-Rosser theorem or Newman's lemma can be calculated [8, 38, 39]. Beyond that, Kleene algebras and similar structures are known for their ability to capture complex computational properties by simple equational specifications and reasoning [10, 27, 40, 42] and their capacity to unify various semantics of computational interest, including formal languages, binary relations, path algebras or execution traces of automata [24].

Rewriting supports constructive proofs of coherence properties in categorical algebra. In this setting, such properties are formulated via a notion of contractibility for higher categories. By contrast to the standard diagrammatic and relational methods, coherence properties can be generated by pasting a given set of higher-dimensional witnesses for confluence or local confluence diagrams. The approach has been initiated by Squier [35] in the context of homotopical finiteness conditions in string rewriting, and more recently been extended to a method for higher or higher-dimensional rewriting [19]. This method has been applied, for instance, to give constructive proofs for coherence in monoids [13, 21, 22] and for coherence theorems in monoidal categories [17].

Here we combine the two lines of research on Kleene-algebraic and higher rewriting into a unified framework. We show how some calculational confluence proofs in Kleene algebras, such as the Church-Rosser theorem and Newman's lemma, can be extended to coherent confluence proofs. To achieve this, we introduce higher globular Kleene algebras with many compositions and domain and codomain operations, which generalise both modal Kleene algebras [9] and concurrent Kleene algebras [23]. These structures capture the semantics of higher abstract rewriting algebraically. We also relate these generalised results to the point-wise approach of higher rewriting systems described by polygraphs. The main contribution of this work is therefore the provision of a point-free algebraic approach to coherence in higher rewriting that seems of general interest in categorical algebra.

In this work, we only consider rewriting on *strict* higher categories, where all composition operations are strictly associative, identities are strict under all compositions and all compositions commute with each other, that is, all interchange laws are strict. Calculating confluences in higher categories with a weakening of these axioms remains a difficult open problem. It requires considering a notion of higher rewriting modulo some axioms based on polygraphs modulo certain relations [12], and the mechanisms of rewriting modulo equations [25]. Further, the higher globular Kleene algebra structure that could be used for coherent confluence proofs in a weak setting remains to be identified. We also point out that this work does not address the decidability of equations in higher categories.

Abstract coherent reduction

Coherence proofs by rewriting are based on coherent formulations of confluence results such as the Church-Rosser theorem and Newman's lemma. We present the coherent extension of the former as an

example. An *abstract rewriting system* on a set X is, as usual, a family $\rightarrow = \{\rightarrow_i\}_{i \in I}$ of binary relations on X . It is *confluent* if it satisfies the inclusion

$$\leftarrow^* \cdot \rightarrow^* \subseteq \rightarrow^* \cdot \leftarrow^*, \quad (1.0.1)$$

where \rightarrow^* denotes the reflexive, transitive closure of \rightarrow , the relation \leftarrow denotes its converse and \cdot denotes relational composition. Moreover, \rightarrow has the *Church-Rosser property* if the inclusion

$$\leftrightarrow^* \subseteq \rightarrow^* \cdot \leftarrow^*$$

holds, where $\leftrightarrow^* = (\leftarrow \cup \rightarrow)^*$ denotes the reflexive, symmetric, transitive closure of \rightarrow . The Church-Rosser theorem for \rightarrow states that these two inclusions between relations are equivalent. It can be formulated more abstractly in a Kleene algebra K using the *Kleene star* operation $(-)^* : K \rightarrow K$, which generalises the reflexive, transitive closure operation on relations [38], see also (4.1.3) below. Now, for all $x, y \in K$,

$$x^* \cdot y^* \leq y^* \cdot x^* \iff (x + y)^* \leq y^* \cdot x^*.$$

The binary relations over a set X form a Kleene algebra with respect to relational composition, relational union, the reflexive transitive closure operation, the empty relation and the unit relation. The Church-Rosser theorem for \rightarrow is thus an instance in the Kleene algebra of binary relations for $x = \leftarrow$ and $y = \rightarrow$.

The diagrammatic interpretation of \rightarrow views an arrow $u \rightarrow v$ as a rewriting step whenever (u, v) is an element of \rightarrow . When (u, v) is an element of \rightarrow^* (resp. \leftarrow^*), we say that u is related to v by a *rewriting sequence* (resp. *zig-zag sequence*) of finitely many rewriting steps. We denote such sequences by f, g, \dots . A *branching* (resp. *confluence*) is a pair (f, g) (resp. (f', g')) of rewriting sequences of the shape

$$u_1 \xleftarrow{f} u \xrightarrow{g} v_1, \quad (\text{resp.} \quad u_1 \xrightarrow{f'} u' \xleftarrow{g'} v_1).$$

The Church-Rosser theorem then states that, for all branchings (f, g) of rewriting sequences, there exists a confluence (f', g') if, and only if, for any zig-zag sequence h there exists a confluence (h', k') :



By contrast to the relational Church-Rosser theorem, we can now no longer use inclusions as witnesses of the forall/exist-relationships between branchings or zig-zags and confluences in these diagrams. Formally, we need to replace inclusions as 2-cell in the 2-category Rel of relations by more general 2-cells, for which we write α, β, \dots . This leads to the coherent Church-Rosser theorems of higher rewriting. In two dimensions, it holds if there exists a set Γ of 2-dimensional cells such that, if every branching can be completed to a confluence diagram filled with elements of Γ that are pasted together along their 1-dimensional borders, then every zig-zag sequence can be completed to a Church-Rosser diagram filled with elements of Γ that are pasted along their 1-dimensional borders (and of course vice versa).

1. Introduction

Diagrammatically, for 2-cells α and β built from 2-cells in Γ ,

$$\begin{array}{ccc}
 \begin{array}{ccc}
 & u & \\
 f \swarrow & & \searrow g \\
 u_1 & & v_1 \\
 \Downarrow \alpha & & \\
 f' \swarrow & u' & \searrow g' \\
 & &
 \end{array}
 & \Leftrightarrow &
 \begin{array}{ccc}
 & h & \\
 u \longleftarrow & & \longrightarrow v \\
 \Downarrow \beta & & \\
 h' \swarrow & u' & \searrow k' \\
 & &
 \end{array}
 \end{array}$$

Algebraic coherence. The coherent Church-Rosser theorem constitutes one step in the proof of Squier’s theorem for higher rewriting systems, which provides a constructive approach to *coherence results* in higher categories. These are related to the fact that certain algebraic properties of a categorical or algebraic structure may only hold up to the existence of higher-dimensional morphisms. The classical coherence conditions on associators and unitors in monoidal categories, for example, require that if certain diagrams of natural isomorphisms commute, then all the diagrams built from the corresponding natural isomorphisms do. A key issue is then the reduction of the property “every diagram commutes” to the property “if a certain set of diagrams each commute then every diagram commutes” [29, 36]. For any collection of higher-dimensional morphisms, coherence is thus the requirement that the whole structure be contractible, that all parallel morphisms be linked by higher morphisms. A coherence theorem states that, for each generating collection of such morphisms, coherence is satisfied. An objective is thus to obtain a minimal collection of generating higher morphisms.

To solve coherence problems for monoids, formulated as two-dimensional word problems, Squier introduced graph-theoretical methods on string rewriting systems [35]. His idea was to compute extensions of string rewriting systems by homotopy generators, which model the relations amongst rewriting sequences, so that every pair of zig-zag sequences with same source and same target can be paved by composing these generators. In Squier’s approach, the homotopy generators are defined by the confluence diagrams of the critical branchings of the string rewriting system, provided the string rewriting system is convergent.

Organisation and main results of the article

Higher rewriting. In Section 2 we summarise notions from higher rewriting. We first recall *polygraphs*, which represent systems of generators and relations for higher categories used for modelling higher coherence properties. Polygraphs, also called *computads*, were introduced by Street and Burroni [3, 37]. They are widely used as rewriting systems that present higher algebraic structures [16, 32]. Furthermore, polygraphs allow formulating homotopical properties of rewriting systems through polygraphic resolutions [18, 31], as well as coherence properties for monoids [13, 19, 20], higher categories [16], and monoidal categories [17]. The latter are inspired by Squier’s approach to coherence results for monoids using convergent string rewriting systems [35].

Formally, an *n-polygraph* is a higher rewriting system made of globular cells of dimension $0, 1, \dots, n$. It is defined recursively as a sequence $P := (P_0, P_1, \dots, P_n)$, where for $0 \leq k \leq n$, the set P_k consists of *generating k-cells* of globular shape:

$$\begin{array}{ccc}
 & s_{k-1}(\alpha) & \\
 \curvearrowright & & \curvearrowleft \\
 s_{k-2}(\alpha) & \Downarrow \alpha & t_{k-2}(\alpha) \\
 \curvearrowleft & & \curvearrowright \\
 & t_{k-1}(\alpha) &
 \end{array}$$

The *source* $s_{k-1}(\alpha)$ and *target* $t_{k-1}(\alpha)$ belong to the free $(k-1)$ -category generated by the underlying $(k-1)$ -polygraph $(P_0, P_1, \dots, P_{k-1})$. A generating n -cell $f : u \rightarrow v$ in P_n corresponds to an n -dimensional *rule*, reducing the $(n-1)$ -cell u to the $(n-1)$ -cell v .

The free category on the polygraph P , denoted by P_n^* , is the category of higher *rewriting sequences* generated by the rules in P_n . Its n -cells are $(n-1)$ -compositions

$$f_1 \star_{n-1} f_2 \star_{n-1} \dots \star_{n-1} f_k$$

of *rewriting steps* with respect to P_n . The free $(n, n-1)$ -category on P_n , denoted by P_n^\top , is the category of *zig-zag sequences* generated by the rules in P_n , which correspond to congruences between $(n-1)$ -cells in P_{n-1}^* modulo the rules in P_n .

In this work, we study the confluence properties of polygraphs by considering *cellular extensions* of the n -categories P_n^* and P_n^\top , whose elements are $(n+1)$ -cells that are *confluence witnesses*. Formally, a cellular extension of the free n -category P_n^* (resp. free $(n, n-1)$ -category P_n^\top) consists of a set of globular $(n+1)$ -cells that relate the n -cells of P_n^* (resp. P_n^\top).

Coherent confluence. A *branching* in an n -polygraph P , for $n \geq 1$, is a pair (f, g) of n -cells of the free n -category P_n^* with the same $(n-1)$ -source. A branching is *local* when f and g are rewriting steps. A cellular extension Γ of the free $(n, n-1)$ -category P_n^\top is a *confluence filler* of the branching (f, g) if there exist n -cells f', g' in the free n -category P_n^* and two $(n+1)$ -cells α and α'

$$\begin{array}{ccc} & u & \\ f^- \nearrow & & \searrow g \\ u_1 & & v_1 \\ & \Downarrow \alpha & \\ & u' & (g')^- \end{array} \qquad \begin{array}{ccc} & u & \\ f \nearrow & & \searrow g^- \\ u_1 & & v_1 \\ & \Downarrow \alpha' & \\ (f')^- & & u' \nwarrow g' \end{array}$$

in the free $(n+1, n-1)$ -category $P_n^\top[\Gamma]$ over P_n^\top generated by Γ . The cellular extension Γ is a (*local*) *confluence filler* for P if it is a confluence filler for each of its (local) branchings. Further, Γ is a *confluence filler* of an n -cell h in P_n^\top if there exist n -cells h' and k' in P_n^* and an $(n+1)$ -cell α in the free $(n+1, n-1)$ -category $P_n^\top[\Gamma]$ of the form

$$\begin{array}{ccc} u & \xrightarrow{h} & v \\ & \searrow h' & \nearrow (k')^- \\ & u' & \end{array} \quad \Downarrow \alpha$$

The cellular extension Γ is a *Church-Rosser filler* for an n -polygraph P if it is a confluence filler for every n -cell in P_n^\top .

Theorem 2.3.5 below states that, for an n -polygraph P , a cellular extension Γ of P_n^\top is a confluence filler for P if, and only if, Γ is a Church-Rosser filler for P . Theorem 2.3.8 below states that, when P is *terminating*, then Γ is a local confluence filler if, and only if, Γ is a confluence filler for P . These statements are coherent, higher-dimensional extensions of the Church-Rosser theorem and Newman's lemma, respectively. In Section 2.4, we relate these filler properties to the standard coherent confluence properties used in higher rewriting [15].

1. Introduction

Modal and concurrent Kleene algebras. The forall/exist-relationships between higher-dimensional cells and their sources and targets, expressed using various fillers, can be captured algebraically through the *higher globular Kleene algebras* introduced in Section 3. Before discussing them, we briefly review the modal Kleene algebras [9] and concurrent Kleene algebras [23] on which they are based.

Kleene algebras extend additively idempotent semirings $(S, +, 0, \cdot, 1)$, in which addition models a notion of nondeterministic choice or union and multiplication a non-commutative composition, with a Kleene star $(-)^*$ that models a finite repetition or iteration as a least fixpoint. Models include binary relations under union, relational composition and reflexive-transitive closure, and sets of paths in a quiver or directed graph under union, a complex product based on path composition and a Kleene star that iteratively composes all paths in a given set with each other. Kleene algebras allow specifying and proving the Church-Rosser theorem of abstract rewriting [39] using the fixpoint induction for the Kleene star instead of the standard explicit induction on the number of peaks in zig-zags. Their path model forms the basis for higher path algebras associated with polygraphic models of higher rewriting.

Modal Kleene algebras equip Kleene algebras K with forward and backward modal operators introduced via domain and codomain operations $d : K \rightarrow K$ and $r : K \rightarrow K$. In the relational model, the domain of a relation describes the set of all elements that it relates to another element; its codomain describes those elements to which it relates another element. In the path model, the domain of a set of paths describes the set of all source elements of paths in the set, and the codomain all target elements. The relational model of Kleene algebra provides the standard relational Kripke semantics of modal diamond operators based on d and r . The forward diamond $|x\rangle p = d(x \cdot p)$, for a relation x and a set p , for instance, models the set of all elements that may be related by x with an element in p . In Kleene algebra, this generalises to arbitrary elements x and domain elements p , which are fixpoints of the domain operator. Modal box operators, as duals of diamonds, can be defined if the domain elements forms a Boolean algebra. They can be based on antidomain and anticodomain operators, which model the Boolean complements of domain and codomain operators. The antidomain of a relation, for instance, models the set of elements that it does not relate to any other element. Noethericity and wellfoundedness can be expressed in modal Kleene algebras. Newman's lemma for abstract rewriting systems can therefore be proved in this setting [8].

Finally, a *concurrent Kleene algebra* [23] is a double Kleene algebra in which $+$ and 0 are shared and the two compositions \cdot_0 and \cdot_1 interact via a *weak interchange law*

$$(w \cdot_1 x) \cdot_0 (y \cdot_1 z) \leq (w \cdot_0 y) \cdot_1 (x \cdot_0 z),$$

and the two multiplicative units coincide. Typical models come from concurrency theory. They include shuffle language models from interleaving concurrency and partial-order-based models from non-interleaving concurrency.

Higher globular Kleene algebras. In Section 3.2, we introduce a notion of globular higher modal Kleene algebra. First, we define a *0-dioid* as a bounded distributive lattice, and for $n \geq 1$, an *n-dioid* as a family $(S, +, 0, \odot_i, 1_i)_{0 \leq i < n}$ of dioids, or additively idempotent semirings, satisfying weak interchange laws between the multiplications, akin to those of concurrent Kleene algebras. We then equip this structure with domain and codomain operations $d_i, r_i : S \rightarrow S$ for $0 \leq i < n$, satisfying typical axioms for n -categories such as $d_{i+1} \circ d_i = d_i$, and $r_{i+1} \circ r_i = r_i$ for any i .

The domain and codomain operations yield forward and backward diamond operators: for any $A \in S$, the $|A\rangle_i, \langle A|_i$ are modal operators on the *i-dimensional domain algebra* $S_i := d_i(S)$. These are defined as usual and thus encode higher-dimensional generalisations of the relational Kripke semantics: $|A\rangle_i \phi$, for

instance, denotes the subset of S_i containing the i -cells from which a set A of n -cells may lead to the set ϕ of i -cells. A concrete polygraphic model that underpins these intuitions is introduced in Section 3.3. In (3.2.11) we impose conditions for globularity, conducing to the notion of *globular modal n -dioid*.

We further equip these structures with Kleene stars $(-)^{*i} : K \rightarrow K$ for each $0 \leq i < n$. These are *lax morphisms* with respect to the i -multiplication of j -dimensional elements on the right (resp. left). Hence, for all $0 \leq i < j < n$, all elements $A \in K$ and all $\phi \in K_j$ in the j -dimensional domain algebra,

$$\phi \odot_i A^{*j} \leq (\phi \odot_i A)^{*j} \quad \text{and} \quad A^{*j} \odot_i \phi \leq (A \odot_i \phi)^{*j}.$$

The resulting structures are called *globular modal n -Kleene algebras*.

In Section 3.3 we relate this structure to polygraphs. We provide a model for higher Kleene algebras in the form of a higher path algebra $K(P, \Gamma)$ induced by an n -polygraph P and a cellular extension Γ .

Algebraic coherent confluence. Section 4 features our main results. After revisiting the Church-Rosser theorem and Newman's lemma in modal Kleene algebras in Section 4.1, we define notions of fillers in a globular modal n -Kleene algebra K in (4.2.1). For j -dimensional elements $\phi, \psi \in K_j := d_j(K)$, $A \in K$ is an *i -confluence filler* (resp. *i -Church-Rosser filler*) for (ϕ, ψ) if

$$|A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq \phi^{*i} \odot_i \psi^{*i} \quad (\text{resp. } |A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq (\psi + \phi)^{*i}).$$

The property on the left states that the set of all i -cells for which there exists an i -confluence for (ϕ, ψ) with witness A contains the i -branching for (ϕ, ψ) . The explanation for the property on the right is analogous. We define a notion of *local i -confluence filler* along the same lines.

We introduce a notion of whiskering in n -Kleene algebras in (4.2.3). We define, for $\phi, \psi \in K_j$ and an i -confluence filler $A \in K$ of (ϕ, ψ) , the *j -dimensional i -whiskering* of A as

$$\hat{A} := (\phi + \psi)^{*i} \odot_i A \odot_i (\phi + \psi)^{*i}.$$

We then prove two variants of the coherent Church-Rosser theorem in globular n -Kleene algebras. The first, Proposition 4.2.7, uses an explicit inductive argument external to the n -Kleene structure, based on powers that can be defined in any n -semiring. For $0 \leq i < j < n$, it states that for every $\phi, \psi \in K_j$, every i -confluence filler A of (ϕ, ψ) and every natural number k there exists an $A_k \leq \hat{A}^{*j}$ such that

$$r_j(A_k) \leq \psi^{*i} \phi^{*i} \quad \text{and} \quad d_j(A_k) \geq (\phi + \psi)^{k_i},$$

where $(\phi + \psi)^{0_i} = 1_i$ and $(\phi + \psi)^{k_i} = (\phi + \psi) \odot_i (\phi + \psi)^{k_i-1}$.

By contrast, the proof of the second theorem relies only on the internal fixpoint induction given by the axioms for the Kleene star. It constitutes our first main result.

Theorem 4.2.8. *Let K be a globular n -modal Kleene algebra and $0 \leq i < j < n$. Then, for every $\phi, \psi \in K_j$ and every i -confluence filler $A \in K$ of (ϕ, ψ) ,*

$$|\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}) \geq (\phi + \psi)^{*i}.$$

*Thus \hat{A}^{*j} is an i -Church-Rosser filler for (ϕ, ψ) .*

1. Introduction

In Section 4.3, we introduce notions of termination and well-foundedness in n -Kleene algebras in which the domain algebras K_i have a Boolean structure for all $i \leq p < n$. This leads to our second main result: a specification and proof of a coherent Newman's lemma in such algebras.

Theorem 4.3.2. *Let $0 \leq i \leq p < j < n$, and let K be a globular p -Boolean modal Kleene algebra such that*

- i) $(K_i, +, 0, \odot_i, 1_i, \neg_i)$ is a complete Boolean algebra,
- ii) K_j is continuous with respect to i -restriction, that is, for all $\psi, \psi' \in K_j$ and every family $(p_\alpha)_{\alpha \in I}$ of elements of K_i such that $\sup_I(p_\alpha)$ exists,

$$\psi \odot_i \sup_I(p_\alpha) \odot_i \psi' = \sup_I(\psi \odot_i p_\alpha \odot_i \psi').$$

Then, for any $\psi \in K_j$ i -Noetherian, and $\phi \in K_j$ i -well-founded, if A is a local i -confluence filler for (ϕ, ψ) , then

$$|\hat{A}^{*i}|_j(\psi^{*i} \phi^{*i}) \geq \phi^{*i} \psi^{*i}.$$

*Thus \hat{A}^{*i} is an i -confluence filler for (ϕ, ψ) .*

Finally, in Section 4.4, we instantiate these results in the context of higher abstract rewriting, using the higher-dimensional path model defined in Section 3.3.

Outlook

Toward an algebraic Squier's theorem. Our results provide formal equational proofs of the coherent Church-Rosser theorem and the coherent Newman's lemma in higher globular Kleene algebras. These are the main ingredients in the proof of Squier's coherence theorem [35] for string rewriting systems, used in constructive proofs of coherence in categorical algebra. It remains to formalise this result within the higher Kleene algebras framework. A first obstacle is the formalisation of the coherent critical branching lemma, stating that local coherent confluence is equivalent to coherence confluence of all critical branchings. This requires taking the algebraic and syntactic nature of terms in the rewriting system into account [2, 6, 34]. This remains an open problem in formalisms such as Kleene algebras. In particular, it would be interesting to identify the enrichment of the Kleene algebra structure needed for formalising the critical confluence property of string or term rewriting systems.

Formalisation of cofibrant replacements. A second obstacle is to capture normalisation strategies in higher Kleene algebras algebraically [5]. Squier's coherence theorem is the first step in the construction of cofibrant replacements of algebraic structures using convergent presentations [18]. We expect that the material introduced in this article will enable us to give an algebraic formalisation of acyclicity, which could in turn yield an algebraic criterion for cofibrance.

Formalisation of cofibrant replacements in proof assistants. The results of this article are part of a research program that aims at developing constructive methods for higher algebras based on rewriting. The aim is to formalise, by rewriting, the computation in internal monoids of monoidal categories, which categorify the associative rewriting paradigm. This framework generalises word and term rewriting, linear rewriting, operadic and propadic rewriting. The overall goal is to compute cofibrant replacements

of these structures by rewriting and to formalise these computations. In this article, we formalise the abstract coherent Church-Rosser and Newman theorems in globular Kleene algebras. The Knuth-Bendix procedure provides a characterisation of local confluence for algebraic rewriting systems in terms of critical branchings. Our aim is to extend the formalisation of the abstract case to coherent rewriting systems of internal monoids. We expect to implement the proofs of the coherence theorems in higher rewriting with Isabelle, Coq or Lean.

Another objective an algebraic formalisation of normalisation strategies in rewriting. These allow building cofibrant $(\omega, 1)$ -categorical replacements of algebraic structures presented by confluent and terminating rewriting systems [18]. We expect that these constructions can be formalised in ω -globular Kleene algebras.

2. PRELIMINARIES ON HIGHER REWRITING

In this preliminary section, we introduce the relevant notions of higher rewriting. In its two subsections we recall the definition of polygraphs and their properties as rewriting systems presenting higher categories. In Section 2.3 we introduce the notion of *confluence filler* for polygraphs with respect to cellular extensions. We then formulate and give point-wise proofs of the coherent versions of the Church-Rosser theorem and Neman's lemma in the polygraphic setting. Finally, in its last subsection, we relate the confluence filler property to a more standard coherent confluence property [15].

2.1. Polygraphs

We first recall basic notions of polygraphs [4], also called *computads* in [37], see also [18, 31]. Yet we start from higher categories and refer to standard textbooks for details [28, 30].

2.1.1. Higher categories. Let n be a natural number. A (*strict globular*) n -category \mathcal{C} consists of the following data.

- i) It is a reflexive n -globular set, that is, a diagram of sets and functions of the form

$$\mathcal{C}_0 \begin{array}{c} \xleftarrow{s_0} \\ \xrightarrow{t_1} \\ \xleftarrow{t_0} \end{array} \mathcal{C}_1 \begin{array}{c} \xleftarrow{s_1} \\ \xrightarrow{t_2} \\ \xleftarrow{t_1} \end{array} \cdots \begin{array}{c} \xleftarrow{s_{n-2}} \\ \xrightarrow{t_{n-1}} \\ \xleftarrow{t_{n-2}} \end{array} \mathcal{C}_{n-1} \begin{array}{c} \xleftarrow{s_{n-1}} \\ \xrightarrow{t_n} \\ \xleftarrow{t_{n-1}} \end{array} \mathcal{C}_n$$

whose functions $s_i, t_i : \mathcal{C}_{i+1} \rightarrow \mathcal{C}_i$ and $\iota_i : \mathcal{C}_{i-1} \rightarrow \mathcal{C}_i$ satisfy the *globular relations*

$$s_i \circ s_{i+1} = s_i \circ t_{i+1}, \quad t_i \circ s_{i+1} = t_i \circ t_{i+1} \tag{2.1.2}$$

and the *identity relations*

$$s_i \circ \iota_{i+1} = \text{id}_{\mathcal{C}_i}, \quad t_i \circ \iota_{i+1} = \text{id}_{\mathcal{C}_i}. \tag{2.1.3}$$

- ii) It is equipped with the structure of a category on

$$\mathcal{C}_k \begin{array}{c} \xleftarrow{s_k^\ell} \\ \xrightarrow{t_k^\ell} \end{array} \mathcal{C}_\ell$$

2. Preliminaries on higher rewriting

for all $k < \ell$, where

$$s_k^\ell := s_k \circ \dots \circ s_{\ell-2} \circ s_{\ell-1} \quad \text{and} \quad t_k^\ell := t_k \circ \dots \circ t_{\ell-2} \circ t_{\ell-1},$$

and whose k -composition morphism on \mathcal{C}_ℓ is denoted by $\star_k^\ell : \mathcal{C}_\ell \star_k \mathcal{C}_\ell \rightarrow \mathcal{C}_\ell$.

iii) The 2-globular set

$$\mathcal{C}_j \begin{array}{c} \xleftarrow{s_j^k} \\ \xleftarrow{t_j^k} \end{array} \mathcal{C}_k \begin{array}{c} \xleftarrow{s_k^\ell} \\ \xleftarrow{t_k^\ell} \end{array} \mathcal{C}_\ell$$

is a 2-category for all $j < k < \ell$, see [30, XII. 3.].

2.1.4. Notations. The elements of \mathcal{C}_k are called k -cells of \mathcal{C} . For $0 \leq k < n$, we abuse notation, denoting by \mathcal{C}_k the underlying k -category of k -cells of \mathcal{C} . The maps s_i , t_i and ι_i are called *source*, *target* and *unit* maps respectively. For a k -cell f of \mathcal{C} and for $0 \leq i < k$, we call $s_i(f)$ (resp. $t_i(f)$) the i -source (resp. i -target) of f . We denote the identity $(k+1)$ -cell of $t_{k+1}(f)$ by 1_f . When f and g are i -composable k -cells, for $i < k$, that is when $t_i(f) = s_i(g)$, we denote their i -composite by $f \star_i g$. By condition iii), the compositions satisfy the *interchange law*

$$(f \star_j f') \star_k (g \star_j g') = (f \star_k g) \star_j (f' \star_k g'), \quad (2.1.5)$$

for all $0 \leq j < k < n$, and whenever all compositions are defined.

The $(k-1)$ -composition of k -cells f and g is denoted by juxtaposition fg , and the $(k-1)$ -source $s_{k-1}(f)$ and the $(k-1)$ -target $t_{k-1}(f)$ of a k -cell f are denoted by $s(f)$ and $t(f)$, respectively. To highlight the relative dimensions of cells, we denote cells by single arrows \rightarrow , double arrows \Rightarrow , and triple arrows \Rrightarrow . In particular, if we denote a k -cell in \mathcal{C} by $f : u \Rightarrow v$, then we denote $(k-1)$ -cells of \mathcal{C} by $u : p \rightarrow q$ and the $(k+1)$ -cells of \mathcal{C} by $A : f \Rrightarrow g$ in to distinguish their dimensions notationally. Such globular cells are depicted as follows:

$$\begin{array}{ccc} & u & \\ & \curvearrowright & \\ p & \begin{array}{c} \Downarrow f \\ \Downarrow g \end{array} & q \\ & \curvearrowleft & \\ & v & \end{array} \quad \begin{array}{c} \Rrightarrow \\ \Rrightarrow \\ \Rrightarrow \end{array} \quad \begin{array}{c} \Downarrow g \\ \Downarrow g \\ \Downarrow g \end{array}$$

The globular relations (2.1.3) imply that any k -cell f has globular shape:

$$\begin{array}{ccc} & s_{i+1}(f) & \\ & \curvearrowright & \\ s_i \circ s_{i+1}(f) = s_i \circ t_{i+1}(f) & \begin{array}{c} \Downarrow f \\ \Downarrow f \end{array} & t_i \circ s_{i+1}(f) = t_i \circ t_{i+1}(f) \\ & \curvearrowleft & \\ & t_{i+1}(f) & \end{array}$$

With this diagrammatic notation, the interchange law (2.1.5), for instance, becomes

$$\begin{array}{ccc} \begin{array}{ccc} p & \begin{array}{c} \Downarrow f \\ \Downarrow f' \end{array} & q \end{array} \star_k \begin{array}{ccc} q & \begin{array}{c} \Downarrow g \\ \Downarrow g' \end{array} & r \end{array} & = & \begin{array}{ccc} p & \begin{array}{c} \Downarrow f \\ \Downarrow f' \end{array} & q \end{array} \star_j \begin{array}{ccc} q & \begin{array}{c} \Downarrow g \\ \Downarrow g' \end{array} & r \end{array} \end{array}$$

2.1.6. Identities and whiskers. Given a k -cell f , the identity l -cell on f for $k \leq l \leq n$ is denoted by $\iota_k^l(f)$ and defined by induction, setting $\iota_k^k(f) := f$ and $\iota_k^l(f) := 1_{\iota_k^{l-1}(f)}$ for $k < l \leq n$. In this way, for $0 \leq k < l \leq n$, we associate a unique identity cell $\iota_k^l(f)$ of dimension l to every k -cell f , which is called the l -dimensional identity on f .

In higher categories, such iterated identities are important for defining compositions. For $0 \leq i < k < l \leq n$, a k -cell f and a l -cell g such that $t_i(f) = s_i(g)$, the i -composite of f and g is defined as

$$f \star_i g = \iota_k^l(f) \star_i g.$$

If $t_i(g) = s_i(f)$, we define $g \star_i f = g \star_i \iota_k^l(f)$.

For $0 \leq i < j \leq k$, an (i, j) -whiskering of a k -cell f is a k -cell $\iota_j^k(u) \star_i f \star_i \iota_j^k(v)$, where u and v are j -cells, as in the diagram

$$\begin{array}{ccccc}
 & & s_j(f) & & \\
 & & \curvearrowright & & \\
 s_i(u) & \xrightarrow{u} & s_{j-1}(f) & \xrightarrow{\quad} & t_{j-1}(f) & \xrightarrow{v} & t_i(v) \\
 & \searrow \iota_j^k(u) & & \downarrow f & & \searrow \iota_j^k(v) \\
 & & & t_j(f) & &
 \end{array}$$

To simplify notation, we denote this k -cell by $u \star_i f \star_i v$. A $(k-1, k-1)$ -whiskering $1_u \star_{k-1} f \star_{k-1} 1_v$ of a k -cell f is called a *whiskering* of f and denoted by ufv .

2.1.7. (n, p) -categories. If \mathcal{C} is an n -category and $0 \leq i < k \leq n$, a k -cell f of \mathcal{C} is *i -invertible* if there exists a k -cell g in \mathcal{C} with i -source $t_i(f)$ and i -target $s_i(f)$ in \mathcal{C} called the *i -inverse* of f , which satisfies

$$f \star_i g = 1_{s_i(f)} \quad \text{and} \quad g \star_i f = 1_{t_i(f)}.$$

The i -inverse of a k -cell is necessarily unique. When $i = k-1$, we say that $f : u \rightarrow v$ is *invertible* and we denote its $(k-1)$ -inverse by $f^{-1} : v \rightarrow u$ or $f^- : v \rightarrow u$ for short, which we simply call its *inverse*. If in addition the $(k-1)$ -cells u and v are invertible, then there exist k -cells

$$u^- \star_{k-2} f^- \star_{k-2} v^- : u^- \rightarrow v^-, \quad v^- \star_{k-2} f^- \star_{k-2} u^- : v^- \rightarrow u^-$$

in \mathcal{C} . For a natural number $p \leq n$, or for $p = n = \infty$, an (n, p) -category is an n -category whose k -cells are invertible for every $k > p$. When $n < \infty$, this is a p -category enriched in $(n-p)$ -groupoids and, when $n = \infty$, a p -category enriched in ∞ -groupoids.

2.1.8. Spheres and cellular extensions. Let \mathcal{C} be an n -category. A *0-sphere* of \mathcal{C} is a pair of 0-cells of \mathcal{C} . For $1 \leq k \leq n$, a *k -sphere* of \mathcal{C} is a pair (f, g) of k -cells such that $s_{k-1}(f) = s_{k-1}(g)$ and $t_{k-1}(f) = t_{k-1}(g)$. We denote by $\text{Sph}_k(\mathcal{C})$ the set of k -spheres of \mathcal{C} .

A *cellular extension* of \mathcal{C} is a set Γ equipped with a map $\partial : \Gamma \rightarrow \text{Sph}_n(\mathcal{C})$. For $\alpha \in \Gamma$, the *boundary* of the sphere $\partial(\alpha)$ is denoted $(s_n(\alpha), t_n(\alpha))$, defining in this way two maps $s_n, t_n : \Gamma \rightarrow \mathcal{C}_n$ satisfying the globular relations

$$s_{n-1} \circ s_n = s_{n-1} \circ t_n \quad \text{and} \quad t_{n-1} \circ s_n = t_{n-1} \circ t_n.$$

The free $(n+1)$ -category over \mathcal{C} generated by the cellular extension Γ is the $(n+1)$ -category, denoted by $\mathcal{C}[\Gamma]$ and defined as follows:

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- i) its underlying n -category is \mathcal{C} ,
- ii) its $(n + 1)$ -cells are built as formal i -compositions, for $0 \leq i \leq n$, of elements of Γ and k -cells of \mathcal{C} , seen as $(n + 1)$ -cells with source and target in \mathcal{C}_n .

The *quotient* of the n -category \mathcal{C} by Γ , denoted by \mathcal{C}/Γ , is the n -category we obtain from \mathcal{C} by identifying the n -cells $s_n(\alpha)$ and $t_n(\alpha)$, for every n -sphere α of Γ .

The free $(n + 1, n)$ -category over \mathcal{C} generated by Γ , denoted by $\mathcal{C}(\Gamma)$, is defined by

$$\mathcal{C}(\Gamma) = \mathcal{C}[\Gamma, \Gamma^-]/\text{Inv}(\Gamma),$$

where

- i) Γ^- is the cellular extension of \mathcal{C} made of spheres $\alpha^- = (t_n(\alpha), s_n(\alpha))$, for each α in Γ ,
- ii) $\text{Inv}(\Gamma)$ is the cellular extension of the free $(n + 1)$ -category $\mathcal{C}[\Gamma, \Gamma^-]$, made of $(n + 1)$ -spheres

$$(\alpha \star_n \alpha^-, \mathbf{1}_{s_n(\alpha)}), \quad (\alpha^- \star_n \alpha, \mathbf{1}_{t_n(\alpha)}).$$

We refer to [31] for explicit free constructions on cellular extensions over n -categories.

2.1.9. n -polygraphs. Polygraphs are models of free higher categories. They are defined by induction on the dimension. For $n \geq 0$, an n -polygraph P consists of a set P_0 and for every $0 \leq k < n$ a cellular extension P_{k+1} of the free k -category

$$P_0[P_1] \dots [P_k].$$

For $0 \leq k \leq n$, the elements of P_k are called the *generating k -cells* of P .

The free n -category $P_0[P_1] \dots [P_{n-1}][P_n]$ (resp. the free $(n, n - 1)$ -category $P_0[P_1] \dots [P_{n-1}](P_n)$) generated by P will be denoted by P_n^* (resp. P_n^\top). We refer to [31] for the details of the free constructions on an n -polygraph. Note that a 0-polygraph is a set and an 1-polygraph corresponds to a directed graph, whose set of vertices is P_0 and P_1 is the set of arrows f with source $s_0(f)$ and target $t_0(f)$.

2.2. Rewriting properties of polygraphs

2.2.1. Polygraphic rewriting. A *rewriting step* of an n -polygraph P is an n -cell of the free n -category P_n^* of the form

$$u_{n-1} \star_{n-2} (u_{n-2} \star_{n-3} \dots \star_2 (u_2 \star_1 (u_1 \star_0 f \star_0 v_1) \star_1 v_2) \star_2 \dots \star_{n-3} v_{n-2}) \star_{n-2} v_{n-1},$$

for a generating n -cell f in P_n and i -cells u_i, v_i in P_n^* , with $1 \leq i < n$. We denote by P_n^c the set of rewriting steps of P . An $(n - 1)$ -cell u of P_{n-1}^* is *irreducible* with respect to P if there is no rewriting step of P with source u . A *rewriting sequence* of P of length k is an $(n - 1)$ -composition

$$f_1 \star_{n-1} f_2 \star_{n-1} \dots \star_{n-1} f_k$$

in the free n -category P_n^* , where the f_i are rewriting steps of P . If there exists such a rewriting sequence, we say that the $(n - 1)$ -cell $s_{n-1}(f_1)$ *rewrites* to the $(n - 1)$ -cell $t_{n-1}(f_k)$. A *zig-zag sequence* of P of length k is an $(n - 1)$ -composition

$$f_1^{\epsilon_1} \star_{n-1} f_2^{\epsilon_2} \star_{n-1} \dots \star_{n-1} f_k^{\epsilon_k}$$

in the free $(n, n - 1)$ -category P_n^\top , where the f_i are rewriting steps of P , and $\epsilon_1, \dots, \epsilon_k \in \{-1, 1\}$, and which is reduced with respect the rules $f \star_{n-1} f^- \rightarrow 1$, for n -cells f in P_n^* .

The rewriting steps of P define an abstract rewriting system on the set of parallel $(n - 1)$ -cells of the free n -category P_n^* , whose binary relation, denoted by \rightarrow_{P_n} , is defined by $u \rightarrow_{P_n} u'$ if there exists a rewriting step of P that reduces u to u' .

2.2.2. Remark. Given a cellular extension Γ of an n -category \mathcal{C} , we also denote by Γ^c the set of *cells of Γ in context*, that is the set of $(n + 1)$ -cells of the form

$$f_n \star_{n-1} \dots \star_2 (f_2 \star_1 (f_1 \star_0 \alpha \star_0 g_1) \star_1 g_2) \star_2 \dots \star_{n-1} g_n,$$

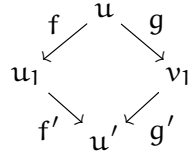
where f_i, g_i are i -cells of \mathcal{C} for $0 \leq i \leq n$, and $\alpha \in \Gamma$. Recall from [16, Prop. 2.1.5], that any $(n + 1)$ -cell γ in the free $(n + 1)$ -category $\mathcal{C}[\Gamma]$ can be written as an n -composition

$$\gamma = \gamma_1 \star_n \gamma_2 \star_n \dots \star_n \gamma_k,$$

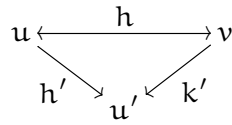
where the γ_i are $(n + 1)$ -cells of Γ^c , using the algebraic laws of higher categories, most notably the interchange laws.

2.2.3. Rewriting properties of an n -polygraph. The rewriting properties of an n -polygraph P are those of the reduction relation \rightarrow_{P_n} . In particular, an n -polygraph P is *terminating* if there is no infinite rewriting sequences with respect to \rightarrow_{P_n} .

A *branching* of an n -polygraph P is an unordered pair (f, g) of rewriting sequences of P such that $s_{n-1}(f) = s_{n-1}(g)$. Such a branching is *local* when f and g are rewriting steps. We say that P is *confluent* (resp. *locally confluent*) if for any branching (resp. local branching) (f, g) there exist rewriting sequences f' and g' of P with $t_{n-1}(f') = t_{n-1}(g')$ such that the compositions $f \star_{n-1} f'$ and $g \star_{n-1} g'$ are defined, as illustrated in the diagram



The *source* of a branching (f, g) is the common $(n - 1)$ -source u of f and g . We say that P is *Church-Rosser* if for any zig-zag sequence h of P there exist rewriting sequences h' and k' of P as in the diagram



2.2.4. Example: one-dimensional polygraphs. One-dimensional polygraphs are models of abstract rewriting systems. Recall that an *abstract rewriting system* $\mathcal{A} = (X, \{\rightarrow_i\}_{i \in I})$ consists of a set X and a family $\rightarrow = \{\rightarrow_i\}_{i \in I}$ of binary relations on X , that is, $\rightarrow_i \subseteq X \times X$ for all $i \in I$ [41]. Then \mathcal{A} can be described by a 1-polygraph $P = (P_0, P_1)$, whose set of generating 0-cells is X , and whose set of generating 1-cells consists of

$$u_{(x,y,i)} : x \rightarrow y$$

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for all $x, y \in X$ and $i \in I$ such that $(x, y) \in \rightarrow_i$. If I is a singleton, then \mathcal{A} is a set X together with a binary relation \rightarrow , and the underlying directed graph of the free 1-category P_1^* is isomorphic to the reflexive and transitive closure $\overset{*}{\rightarrow}$ of the relation \rightarrow . The underlying directed graph of the $(1, 0)$ -category P_1^\top is isomorphic to the symmetric closure of the relation $\overset{*}{\rightarrow}$.

2.2.5. Example: two-dimensional polygraphs. Two-dimensional polygraphs are models of string rewriting systems. A *string rewriting system* is an abstract rewriting system on a free monoid [2]. It can be defined as a 2-polygraph $P = (P_0, P_1, P_2)$, where P_0 is a singleton, P_1 is an alphabet, and the maps $s_0, t_0 : P_1 \rightarrow P_0$ are trivial. The free 1-category P_1^* has one single 0-cell. It thus isomorphic to the free monoid generated by P_1 , whose elements are the strings on P_1 . The cellular extension P_2 defines a binary relation on strings on P_1 , whose elements are the pairs $(s_1(\alpha), t_1(\alpha))$, for α in P_2 , and which are rules of the string rewriting system. The binary relation \rightarrow_{P_2} is the rewrite relation generated by the set of rules.

2.2.6. Example: three-dimensional polygraphs. Three-dimensional polygraphs are models of rewriting systems on free 2-categories. A *two-dimensional diagrammatic rewriting system* is a 3-polygraph $P = (P_0, P_1, P_2, P_3)$, where the underlying polygraph (P_0, P_1) is the *signature*, made of *sorts* in P_0 and *generators* in P_1 , the cellular extension P_2 is the set of *operators* with a finite number of inputs and outputs, and the *rules* relate *two-dimensional diagrams* made of 0-compositions and 1-compositions of operators in P_2 . Applications include term rewriting systems for the explicit manipulation of variables in terms [14], and rewriting systems on monoidal categories [17], 2-categories [16, 32] and linear 2-categories [11].

2.3. Coherent confluence

We now define two notions of coherence of an n -polygraph P with respect to a cellular extension Γ :

- i) a *vertical* one in which coherence cells, $(n + 1)$ -cells generated by Γ , have branchings as n -sources and confluences as n -targets,
- ii) a *horizontal* one in which coherence cells have rewriting sequences as n -sources and n -targets.

A vertical approach has been used previously in Kleene algebra, the horizontal approach is the classical polygraphic approach.

2.3.1. Coherent confluence. Let P be an n -polygraph and (f, g) be a branching of P . A cellular extension Γ of P_n^\top is a *confluence filler* for (f, g) if there exist n -cells f' and g' in P_n^* , and two $(n + 1)$ -cells α and α' in the $(n + 1)$ -category $P_n^\top[\Gamma]$ of the form $\alpha : f^- \star_{n-1} g \Rightarrow f' \star_{n-1} (g')^-$ and $\alpha' : g^- \star_{n-1} f \Rightarrow g' \star_{n-1} (f')^-$:

$$\begin{array}{ccc}
 \begin{array}{ccc} f & u & g \\ \swarrow & & \searrow \\ u_1 & & v_1 \\ \searrow & & \swarrow \\ f' & u' & g' \end{array} &
 \begin{array}{ccc} f^- & u & g \\ \swarrow & & \searrow \\ u_1 & & v_1 \\ \searrow & \Downarrow \alpha & \swarrow \\ f' & u' & (g')^- \end{array} &
 \begin{array}{ccc} f & u & g^- \\ \swarrow & & \searrow \\ u_1 & & v_1 \\ \searrow & \Downarrow \alpha' & \swarrow \\ (f')^- & u' & g' \end{array}
 \end{array} \quad (2.3.2)$$

The cellular extension Γ is a *confluence filler* (resp. *local confluence filler*) for the polygraph P if Γ is a confluence filler for each of its branchings (resp. local branchings).

2.3. Coherent confluence

Let h be an n -cell in P_n^\top . The cellular extension Γ is a *Church-Rosser filler* for h if there exist n -cells h' and k' in P_n^* and an $(n+1)$ -cell α in the $(n+1)$ -category $P_n^\top[\Gamma]$ of the form $\alpha : h \Rightarrow h' \star_{n-1} k'^{-}$:

$$\begin{array}{ccc}
 \begin{array}{ccc} u & \xleftarrow{h} & v \\ & \searrow h' & \swarrow k' \\ & u' & \end{array} & & \begin{array}{ccc} u & \xleftarrow{h} & v \\ & \searrow h' & \swarrow (k')^{-} \\ & \Downarrow \alpha & \\ & u' & \end{array}
 \end{array} \quad (2.3.3)$$

The cellular extension Γ is a *Church-Rosser filler* for an n -polygraph P if it is a Church-Rosser filler of every n -cell in P_n^\top .

Remarks. The $(n+1)$ -cells α and α' in the definitions above are n -compositions of $(n+1)$ -cells of Γ^c as defined in Remark 2.2.2. Whiskering the $(n+1)$ -cells α and α' in (2.3.2) yields $(n+1)$ -cells

$$\begin{aligned}
 \beta &:= (g^- \star_{n-1} f) \star_{n-1} \alpha \star_{n-1} (g' \star_{n-1} (f')^{-}) : g' \star_{n-1} (f')^{-} \rightarrow g^- \star_{n-1} f, \\
 \beta' &:= (f^- \star_{n-1} g) \star_{n-1} \alpha' \star_{n-1} (f' \star_{n-1} (g')^{-}) : f' \star_{n-1} (g')^{-} \rightarrow f^- \star_{n-1} g,
 \end{aligned}$$

as in the diagrams

$$\begin{array}{ccc}
 \begin{array}{ccc} & u & \\ f^- \nearrow & & \searrow g \\ u_1 & \Uparrow \beta' & v_1 \\ & u' & \\ f' \searrow & & \nearrow (g')^{-} \end{array} & & \begin{array}{ccc} & u & \\ f \nearrow & & \searrow g^- \\ u_1 & \Uparrow \beta & v_1 \\ & u' & \\ (f')^{-} \searrow & & \nearrow g' \end{array}
 \end{array} \quad (2.3.4)$$

2.3.5. Theorem (Church-Rosser coherent filler lemma). *Let P be an n -polygraph. A cellular extension Γ of P_n^\top is a confluence filler for P if, and only if, Γ is a Church-Rosser filler for P .*

Proof. First suppose Γ is a Church-Rosser filler for P . Then, for any branching (f, g) , the composites $f^- \star_{n-1} g$ and $g^- \star_{n-1} f$ are n -cells of P_n^\top , and Γ is thus a Church-Rosser filler for them. This yields the cells α and α' as in (2.3.2), and so Γ is a confluence filler for P .

Conversely, suppose Γ is a confluence filler for P and let f be an n -cell of P_n^\top . We prove by induction on the length of f that Γ is a Church-Rosser filler for f . This shows that Γ is a Church-Rosser filler for P . For f of length 0 or 1, f is clearly Γ -confluent, since it suffices to take an identity $(n+1)$ -cell. So suppose every n -cell of length $i \geq 2$ is Γ -confluent and that f is of length $i+1$. Then $f = f_1 \star_{n-1} f_2$ with $f_1 : u \rightarrow u_1$ in P_n^\top of length i and f_2 of length 1 in P_n^* is either of the form $v \rightarrow u_1$ or $u_1 \rightarrow v$. By the induction hypothesis, there exist n -cells h and k in P_n^* , and an $(n+1)$ -cell α in $P_n^\top[\Gamma]$ such that $\alpha : f \Rightarrow h \star_{n-1} k^-$. If $f_2 : u_1 \rightarrow v$, there exist n -cells k' and f'' in P_n^* , and an $(n+1)$ -cell β in $P_n^\top[\Gamma]$ as shown in diagram (2.3.6) since Γ is a confluence filler for P . Thus $(\alpha \star_{n-1} f_2) \star_n (h \star_{n-1} \beta)$ is a Church-Rosser filler for f .

$$\begin{array}{ccccc}
 u & \xleftarrow{f_1} & u_1 & \xleftarrow{f_2} & v \\
 & \searrow h & & \swarrow k^- & \\
 & & u' & \xrightarrow{k'} & u'' \\
 & & & \swarrow f''^- & \\
 & & & & v
 \end{array} \quad (2.3.6)$$

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Otherwise, if $f_2 : v \rightarrow u_1$, the $(n+1)$ -cell $\alpha \star_{n-1} f_2^-$ is a Church-Rosser filler for f :

$$\begin{array}{ccccc}
 & & f_1 & & \\
 & & \longrightarrow & & \\
 u & & & u_1 & \xrightarrow{(f_2)^-} & v \\
 & \searrow h & & \nearrow k^- & & \\
 & & & u' & &
 \end{array}
 \quad (2.3.7)$$

□

2.3.8. Theorem (Coherent Newman filler lemma). *Let P be a terminating n -polygraph and Γ a cellular extension of P_n^\top . Then Γ is a local confluence filler for P if, and only if, Γ is a confluence filler for P .*

Proof. First observe that if Γ is a confluence filler for P , then it is also a local confluence filler for P since local branchings are branchings.

Now suppose Γ is a local confluence filler for P . We prove by Noetherian induction that, for every $(n-1)$ -cell u of P_n^* , Γ is a confluence filler for every branching of P with source u . For the base case, if u is irreducible for P , then $(1_u, 1_u)$ is the only branching with source u , and it is Γ -confluent, taking the $(n+1)$ -cell 1_{1_u} in $P_n^\top[\Gamma]$.

For the induction step, suppose u is a reducible $(n-1)$ -cell of P_n^* and Γ a confluence filler for every branching with source an $(n-1)$ -cell u' such that u rewrites to u' . Let (f, g) be a branching of P with source u . If one of f or g is an identity, f say, then Γ is a confluence filler for (f, g) by considering the $(n+1)$ -cells 1_g and 1_{g^-} in $P_n^\top[\Gamma]$. Otherwise, if the n -cells f and g are not identities, then we may write $f = f_1 \star_{n-1} f_2$ and $g = g_1 \star_{n-1} g_2$, where g_1, f_1 are rewriting steps and g_2, f_2 are n -cells of P_n^* . Since Γ is a local confluence filler for P , there exist n -cells f'_1, g'_1 in P_n^* , and an $(n+1)$ -cell α in $P_n^*[\Gamma]$ as in the diagram (2.3.9). We can apply the induction hypothesis to the branching (f_2, f'_1) , which yields n -cells f'_2, h in P_n^* and an $(n+1)$ -cell β in $P_n^*[\Gamma]$ as in the diagram (2.3.9). Finally, we can apply the induction hypothesis again to the branching $(g'_1 \star_{n-1} h, g_2)$, which yields n -cells k and g'_2 in P_n^* and an $(n+1)$ -cell γ in $P_n^*[\Gamma]$ as in (2.3.9).

$$\begin{array}{ccccccc}
 & & & & u & & \\
 & & & & \nearrow f_1^- & & \searrow g_1 \\
 & & & & u_1 & & v_1 \\
 & \nearrow f_2^- & & \searrow f'_1 & & \nearrow (g'_1)^- & \searrow g_2 \\
 u_2 & & & & u' & & v_2 \\
 & \searrow f'_2 & & \nearrow h^- & & \searrow \gamma & \\
 & & & & u'_2 & & \\
 & & & & \searrow k & & \nearrow (g'_2)^- \\
 & & & & u'' & &
 \end{array}
 \quad (2.3.9)$$

The n -composition

$$\delta = (((f_2^- \star_{n-1} \alpha) \star_n (\beta \star_{n-1} (g'_1)^-)) \star_{n-1} g_2) \star_n (f'_2 \star_{n-1} \gamma)
 \quad (2.3.10)$$

is an $(n + 1)$ -cell in $P_n^*[\Gamma]$ with source $f^- \star_{n-1} g$ and target $f_2' \star_{n-1} k \star_{n-1} (g_2')^-$. We can similarly find an $(n + 1)$ -cell δ' with source $g^- \star_{n-1} f$ and with target a confluence. As a consequence, Γ is a confluence filler for P , which proves the result. \square

2.3.11. Remark. Readers familiar with abstract rewriting may notice that the proofs of Theorems 2.3.5 and 2.3.8 are similar to the classical ones for abstract rewriting systems. Indeed, forgetting the $(n + 1)$ -dimensional coherence cells and look only at their n -dimensional borders in (2.3.6), (2.3.7) and (2.3.9) yields precisely the diagrams used to prove the 1-dimensional results for abstract rewriting systems. The higher-dimensional approach is thus consistent with the abstract case while offering several advantages. First, using explicit witnesses for confluence allows for a constructive formulation of classical results using normalisation strategies. Furthermore, as the higher-dimensional cells may be considered as rewriting systems in their own right, and as the procedures described above work in any dimension, higher rewriting provides a constructive method for calculating resolutions and cofibrant replacements of algebraic structures. Another advantage is that we work directly on rewrite sequences instead of relations.

2.4. Γ -confluence and filling

Recall from [15] that, for any n -polygraph P and a cellular extension of P_n^* , we say that P is Γ -confluent (resp. Γ -locally confluent) if for every branching (resp. local branching) (f, g) of P there exist n -cells f', g' in the free n -category P_n^* , and an $(n + 1)$ -cell $\alpha : f \star_{n-1} f' \Rightarrow g \star_{n-1} g'$ in the free $(n + 1, n)$ -category $P_n^*(\Gamma)$ as in the diagram

$$\begin{array}{ccc}
 & u & \\
 f \swarrow & & \searrow g \\
 u_1 & \xrightarrow{\alpha} & v_1 \\
 f' \swarrow & & \searrow g' \\
 & u' &
 \end{array}
 \tag{2.4.1}$$

We say that P is Γ -Church-Rosser if for every n -cell h of P_n^\top there exist n -cells h' and k' in the free n -category P_n^* and an $(n + 1)$ -cell $\alpha : h \star_{n-1} h' \Rightarrow k'$ in the free $(n + 1, n)$ -category $P_n^\top(\Gamma)$ as in the diagram

$$\begin{array}{ccc}
 & h & \\
 u \swarrow & & \searrow v \\
 & \xrightarrow{\alpha} & \\
 h' \swarrow & & \searrow (k')^- \\
 & u' &
 \end{array}
 \tag{2.4.2}$$

Theorems 2.3.5 and 2.3.8 were formulated in terms of fillers above. Now we express them using Γ -confluence.

2.4.3. Theorem (Church-Rosser coherent lemma). *Let P be an n -polygraph and Γ a cellular extension of P_n^* . The polygraph P is Γ -confluent if, and only if, it is Γ -Church-Rosser.*

Proof. The proof is similar to that of Theorem 2.3.5, but with $(n + 1)$ -cells oriented horizontally in the

3. HIGHER MODAL KLEENE ALGEBRAS

In this section we introduce higher globular modal Kleene algebras. In its first subsection, we list the axioms of *modal Kleene algebra* [9] and two of its main models. Its relational model provides the original intuition for defining modal operators based on relational domain and codomain operations over Kripke frames. Its path model, which can be defined over any graph, forms the basis for using modal Kleene algebras in higher rewriting. We then define n -dimensional dioids and equip these with domain, codomain and star operations to obtain *modal n -Kleene algebras*. Finally, we construct a higher path algebra associated to an n -polygraph with a cellular extension Γ as a model of this structure.

3.1. Modal Kleene algebras

3.1.1. Semirings. A *semiring* is a structure $(S, +, 0, \cdot, 1)$ made of a set S and two binary operations $+$ and \cdot such that $(S, +, 0)$ is a commutative monoid, $(S, \cdot, 1)$ is a monoid whose *multiplication operation* \cdot distributes over the *addition operation* $+$, from the left and right, and 0 is a left and right zero of multiplication. A *dioid* is a semiring S in which addition is idempotent: $x + x = x$ for all $x \in S$. In this case, $(S, +, 0)$ is a semilattice with partial order defined by

$$x \leq y \iff x + y = y, \quad (3.1.2)$$

for all $x, y \in S$, with respect to which addition and multiplication are order-preserving and 0 is minimal. We will often denote multiplication simply by juxtaposition.

A *bounded distributive lattice* is a dioid $(S, +, 0, \cdot, 1)$, whose multiplication \cdot is commutative and idempotent, and $x \leq 1$, for every $x \in S$.

3.1.3. Domain semirings. A *domain semiring* [9] is a dioid $(S, +, 0, \cdot, 1)$ equipped with a *domain operation* $d : S \rightarrow S$ that satisfies the following five axioms. For all $x, y \in S$,

- i) $x \leq d(x)x$,
- ii) $d(xy) = d(xd(y))$,
- iii) $d(x) \leq 1$,
- iv) $d(0) = 0$,
- v) $d(x + y) = d(x) + d(y)$.

These structures are called *domain semirings* and not *domain dioids* because semirings equipped with a domain operation are automatically idempotent [9].

Intuitions for the domain axioms are given in Examples 3.1.13 and 3.1.14 below. In the first, we explain that the domain of a binary relation, which models the set of all elements that it relates to another element of the underlying set, satisfies the domain semiring axioms. The second example shows that the algebra of sets of paths over a digraph or quiver, represented by a 1-polygraph, satisfies the domain semiring axioms. The domain of a set of paths then corresponds to the set of all sources of paths in the set.

3. Higher modal Kleene algebras

Consequences of the domain semiring axioms include the fact that the image of S under d is precisely the set of fixpoints of d , that is,

$$S_d := \{x \in S \mid d(x) = x\} = d(S),$$

and that S_d forms a distributive lattice with $+$ as join and \cdot as meet, bounded by 0 and 1 . It contains the largest Boolean subalgebra of S bounded by 0 and 1 . We henceforth write p, q, r, \dots for elements of S_d and refer to S_d as the *domain algebra* of S . In particular, S_d is a subsemiring of S in the sense that its elements satisfy the semiring axioms, 0 and 1 are in the set, and the set is closed with respect to \cdot and $+$.

In the relational model of domain semirings, the set S_d consists of the set of all relations included in the identity relation, called *subidentities*. In the path model, it consists of subsets of the set of all paths of length 0 . In both cases, the distributive sublattices form Boolean algebras.

Further properties of domain semirings include

$$d(0) = 0, \quad d(px) = pd(x), \quad x \leq y \Rightarrow d(x) \leq d(y),$$

for all $x, y \in S_d$, and d commutes with all existing sups [9].

3.1.4. Boolean domain semirings. A limitation of domain semirings is that Boolean complementation in S_d cannot be expressed; these structures admit chains as models [9]. Yet complementation is desirable for at least two reasons: It reflects the Boolean nature of the path models, in which we are interested, more faithfully. It also allows us to define a modal box operator from the modal diamond, built using domain, via standard De Morgan duality, see (3.1.9). We need both Boolean domain algebras and the box-diamond duality in the proof of coherent Newman's lemma in Section 4.3.

To enforce Boolean domain algebras, it is standard to axiomatise a notion of antidomain that abstractly describes those elements that are *not* in the domain of a particular element. The antidomain of a relation, for instance, models the set of all elements that are not related to any other element of the underlying set; the antidomain of a set of paths corresponds to the set of all vertices of the underlying graph that are not a source of any path in the set.

A *Boolean domain semiring* [9] is a dioid $(S, +, 0, \cdot, 1)$ equipped with an *antidomain operation* $ad : S \rightarrow S$ that satisfies, for all $x, y \in S$:

- i) $ad(x)x = 0$,
- ii) $ad(xy) \leq ad(x ad^2(y))$,
- iii) $ad^2(x) + ad(x) = 1$.

As the antidomain operation is, implicitly, the Boolean complement of the domain operation, we have $d = ad^2$. Hence we recover a domain semiring: d satisfies the domain semiring axioms. In the presence of ad , the subalgebra S_d of all fixpoints of d in S is now the greatest Boolean algebra in S bounded by 0 and 1 , and $S_d = ad(S)$ and ad acts indeed as Boolean complementation on S_d . We therefore write \neg for the restriction of ad to S_d .

3.1.5. Modal semirings. We denote the *opposite* of a semiring S , in which the order of multiplication has been reversed, by S^{op} . It is once again a semiring. A *codomain* (resp. *Boolean codomain*) *semiring* is a semiring equipped with a map $r : S \rightarrow S$ (resp. $ar : S \rightarrow S$) such that (S^{op}, r) (resp. (S^{op}, ar)) is a domain (resp. Boolean domain) semiring.

As expected, the codomain operation models the domain of the converse relation in the relational model, and in the path model the set of all targets of paths in a given set of paths.

Consider a semiring equipped with a domain and a codomain operation. The domain and codomain axioms alone do not imply that $S_d = S_r$, let alone the compatibility properties

$$d(r(x)) = r(x), \quad r(d(x)) = d(x), \quad (3.1.6)$$

for every $x \in S$. Indeed, consider the domain and range semiring $S = (\{a\}, +, 0, \cdot, 1, d, r)$ with addition defined by $0 < a < 1$, multiplication by $a^2 = a$, domain by $d(a) = 1$ and codomain by $r(a) = a$. Then $S_d = \{0, 1\} \neq \{0, a, 1\} = S_r$ and $d(r(a)) = 1 \neq a = r(a)$, but $r \circ d = d$ fails in the opposite semiring.

A *modal semiring* S [9] is a domain semiring and a codomain semiring that satisfies the compatibility properties (3.1.6). Boolean domain semirings that are also Boolean codomain semirings are called *Boolean modal semirings*. In this case, maximality of S_d and $S_r = \{x \in S \mid r(x) = x\}$ forces the domain and range algebra of S to coincide, so that the extra axioms (3.1.6) are unnecessary. We provide a formal proof, as this fact has so far been overlooked in the literature.

3.1.7. Lemma. *In every Boolean modal semiring the compatibility properties (3.1.6) hold.*

Proof. Suppose S is a Boolean modal semiring and let x in S . Then

$$\begin{aligned} d(r(x)) &= (ar(x) + r(x))d(r(x)) \\ &= ar(x)d(r(x)) + r(x)d(r(x))(ar(x) + r(x)) \\ &= 0 + r(x)d(r(x))ar(x) + r(x)d(r(x))r(x) \\ &= 0 + r(x)r(x) = r(x) \end{aligned}$$

proves the first identity in (3.1.6). In the third step, $ar(x)d(r(x)) = 0$ because $ar(x)r(x) = 0$ and $yz = 0 \Leftrightarrow yd(z) = 0$ hold in any Boolean modal semiring. In the fourth step, $r(x)d(r(x))ar(x) = 0$ because $d(r(x)) \leq 1$ and again $ar(x)r(x) = 0$. Moreover $r(x)d(r(x))r(x) = r(x)r(x)$ because $d(y)y = y$ holds in any modal semiring. The proof of the second identity in (3.1.6) follows by opposition. \square

In Boolean modal semirings, $d(x) = x$ therefore implies $r(x) = r(d(x)) = d(x) = x$, while $r(x) = x$ implies $d(x) = x$ by opposition. This forces that $S_d = S_r$, as desired.

3.1.8. Modal Kleene algebras. A *Kleene algebra* is a dioid K equipped with a *Kleene star* $(-)^* : K \rightarrow K$ that satisfies, for all $x, y, z \in K$,

- i) (*unfold axioms*) $1 + xx^* \leq x^*$ and $1 + x^*x \leq x^*$,
- ii) (*induction axioms*) $z + xy \leq y \Rightarrow x^*z \leq y$ and $z + yx \leq y \Rightarrow zx^* \leq y$.

The axioms on the left are the opposites of those on the right. Intuitively, the axioms for the Kleene star model a finite iteration of an element x as a least fixpoint. The first unfold axiom, for instance, states

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that iterating x either amounts to doing nothing, that is, doing 1 , or doing x once and then continuing the iteration. As possibly infinite iterations would satisfy such unfold laws, too, the induction laws filter out the least fixpoints of the corresponding pre-fixpoint equations. More detailed explanations of the induction laws can be found in the literature. In the relational model, $(-)^*$ is the reflexive-transitive closure of a relation, in the path model it captures the repetitive composition of paths in a given set.

Useful consequences of Axioms **i**) and **ii**) include, for all $x, y \in K$, and $i \in \mathbb{N}$,

$$x^i \leq x^* \quad x^*x^* = x^* \quad x^{**} = x^* \quad x(yx)^* = (xy)x^* \quad (x + y)^* = x^*(yx^*)^* = (x^*y^*)^*,$$

where x^i denotes the i -fold multiplication of x with itself, as well as the quasi-identities

$$x \leq 1 \Rightarrow x^* = 1 \quad x \leq y \Rightarrow x^* \leq y^* \quad xz \leq zy \Rightarrow x^*z \leq zy^* \quad zx \leq yz \Rightarrow zx^* \leq y^*z.$$

The *Kleene plus* $(-)^+ : K \rightarrow K$ is defined as $x^+ = xx^*$. It corresponds to the transitive closure operation in the relational model.

The above notions of domain and codomain extend to Kleene algebras without any additional axioms. A *(Boolean) modal Kleene algebra* is thus a Kleene algebra that is also a (Boolean) modal-semiring.

3.1.9. Modal Operators. In our algebraic approach to higher rewriting, modalities allow relating sets of higher-dimensional cells to their sets of lower-dimensional source and target cells, see (3.2.11), and thus expressing the forall/exists properties defining fillers and pasting conditions in proofs of higher rewriting.

In the relational model of the 1-dimensional case, $|x\rangle p$ indicates the subset of the underlying set from which one may reach the set p along relation x , and $\langle x|p$ the set that one may reach from p along x . Similarly, $|x|p$ indicates the set from which we must reach the set p along x , and $\langle x|p$ the set that we must reach from p along x . Similar intuitions underlie the path model of modal Kleene algebra, and these generalise to the notions of higher paths and their relations expressed in the filler properties and pasting conditions of higher rewriting. These explanations motivate the following algebraic definitions.

Let $(S, +, 0, \cdot, 1, d, r)$ be a modal semiring. For $x \in S$ and $p \in S_d$, we define the forward and backward modal diamond operators

$$|x\rangle p = d(xp) \quad \text{and} \quad \langle x|p = r(px). \quad (3.1.10)$$

When S is a Boolean modal semiring, we additionally define the forward and backward modal box operators

$$|x]p = \neg|x\rangle(\neg p) \quad \text{and} \quad [x|p = \neg\langle x|(\neg p). \quad (3.1.11)$$

Beyond the intuitions given, these are modal operators in the sense of Jónsson and Tarski's Boolean algebras with operators [26] because the identities

$$|x\rangle(p + q) = |x\rangle p + |x\rangle q, \quad |x\rangle 0 = 0, \quad \langle x|(p + q) = \langle x|p + \langle x|q, \quad \langle x|0 = 0,$$

hold, and dually

$$|x](pq) = |x]p + |x]q, \quad |x]1 = 1, \quad [x|(pq) = [x|p + [x|q, \quad [x|1 = 1.$$

It is easy to see that $|-\rangle$ and $\langle -|$, as well as $|-\]|$ and $[-\]|$ are related by opposition. In a (Boolean) modal Kleene algebra, following Jónsson and Tarski, this can be expressed by the conjugation laws

$$|x\rangle p \cdot q = 0 \Leftrightarrow p \cdot \langle x|q = 0 \quad \text{and} \quad |x]p + q = 1 \Leftrightarrow p + [x|q = 1.$$

In the relational model, it can be expressed explicitly using relational converse.

In a Boolean modal semiring, boxes and diamonds are related by De Morgan duality by their definition (3.1.11) and additionally by

$$|x\rangle p = \neg |x|(\neg p) \quad \text{and} \quad \langle x|p = \neg [x](\neg p). \quad (3.1.12)$$

Finally, boxes and diamonds are adjoints in Galois connections:

$$|x\rangle p \leq q \Leftrightarrow p \leq [x]q \quad \text{and} \quad \langle x|p \leq q \Leftrightarrow p \leq |x]q.$$

As a consequence, diamonds preserve all existing sups in S , whereas boxes reverse all existing infs to sups, and all modal operators are order preserving. Finally, we mention the properties $|xy\rangle = |x\rangle \circ |y\rangle$, $\langle xy| = \langle y| \circ \langle x|$, $|xy] = |x] \circ |y]$ and $[xy| = [y| \circ [x|$.

3.1.13. Example: relation Kleene algebra. Here we put our aforementioned intuitions on solid foundations. The relational model of plain Kleene algebra has been the starting point for Kleene-algebraic proofs of the Church-Rosser theorem of abstract rewriting, that of modal Kleene algebra has motivated the Kleene-algebraic proof of Newman's lemma.

For any set X , the structure

$$(\mathcal{P}(X \times X), \cup, \emptyset_X, ;, Id_X, (-)^*)$$

forms a Kleene algebra, the *full relation Kleene algebra* over X . The operation $;$ is relational composition defined by $(a, b) \in R; S$ if, and only if, $(a, c) \in R$ and $(c, b) \in S$, for some $c \in X$. The relation $Id_X = \{(a, a) \mid a \in X\}$ is the identity relation on X and $(-)^*$ is the reflexive transitive closure operation defined, for $R^0 = Id_X$ and $R^{i+1} = R; R^i$, by

$$R^* = \bigcup_{i \in \mathbb{N}} R^i.$$

The subidentity relations below Id_X form the greatest Boolean subalgebra between \emptyset_X and Id_X . It is isomorphic to the power set algebra $\mathcal{P}(X)$. Every subalgebra of a full relation Kleene algebra is a *relation Kleene algebra*.

The full relation Kleene algebra over X extends to a *full relation Boolean modal Kleene algebra* over X by defining, as expected,

$$d(R) = \{(a, a) \mid \exists b \in X. (a, b) \in R\} \quad \text{and} \quad r(R) = \{(a, a) \mid \exists b. (b, a) \in R\}.$$

The domain algebra $\mathcal{P}(X \times X)_d$ equals the Boolean algebra of subidentity relations.

The antidomain and anticodomain maps are then given by relative complementation $ad(R) = Id_X \setminus d(R)$ and $ar(R) = Id_X \setminus r(R)$ within the domain algebra. Finally, it is straightforward to check that the algebraic definitions of boxes and diamonds expand to their standard relational Kripke semantics:

$$\begin{aligned} |R\rangle P &= \{(a, a) \mid \exists b \in X. (a, b) \in R \wedge (b, b) \in P\}, \\ [R]P &= \{(a, a) \mid \forall b \in X. (a, b) \in R \Rightarrow (b, b) \in P\}, \end{aligned}$$

and likewise for the backward modalities. This requires swapping (a, b) to (b, a) in the above expressions, which amounts to taking relational converse.

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3.1.14. Example: path Kleene algebras. The path model of modal Kleene algebra is a stepping stone towards polygraph models of higher Kleene algebras. Instead of a 1-polygraph, we could speak of a directed graph or quiver. So let P^* be the free 1-category generated by the 1-polygraph $P = (P_0, P_1)$. Its elements are paths in P to which we assign source and target maps s_0 and t_0 as well as a path composition \star_0 in the standard way. Then $(\mathcal{P}(P_1^*), \cup, \emptyset, \odot, \mathbb{1}, (-)^*)$ forms a Kleene algebra, the *full path (Kleene) algebra* $K(P)$ over P . Here, composition is defined as a complex product

$$\phi \odot \psi = \{ u \star_0 v \mid u \in \phi \wedge v \in \psi \wedge t_0(u) = s_0(v) \},$$

for any $\phi, \psi \in \mathcal{P}(P_1^*)$, and $\mathbb{1}$ is the set of all identity arrows, or paths of length zero, of P . The Kleene star is defined as

$$\phi^* = \bigcup_{i \in \mathbb{N}} \phi^i$$

where $\phi^0 = \mathbb{1}$ and $\phi^{i+1} = \phi \odot \phi^i$. It models the repetitive composition of the paths in ϕ mentioned before. Every subalgebra of the full path Kleene algebra over P is a *path Kleene algebra*. As in the case of relational Kleene algebras, the set of all subidentities (subsets of $\mathbb{1}$), the set of sets of identity arrows, forms a Boolean subalgebra.

The full path algebra over P extends to a *full path Boolean modal Kleene algebra* over P by defining

$$d(\phi) = \{1_{s(u)} \mid u \in \phi\} \quad \text{and} \quad r(\phi) = \{1_{t(u)} \mid u \in \phi\}$$

where 1_x denotes the identity arrow on an object $x \in P_0$. The domain algebra induced equals the Boolean algebra of subidentities. The antidomain and anticodomain maps are therefore given again by relative complementation $ad(\phi) = \mathbb{1} \setminus d(\phi)$ and $ar(\phi) = \mathbb{1} \setminus r(\phi)$ within the domain algebra. Finally, unfolding definitions shows that

$$|\phi\rangle p = \{1_{s(u)} \mid u \in \phi \wedge t(u) \in p\} \quad \text{and} \quad |\phi]p = \{1_{s(u)} \mid u \in \phi \Rightarrow t(u) \in p\},$$

where $p \subseteq \mathbb{1}$ is some set of identity arrows. Reachability along a relation has now been replaced by reachability along a set of paths. Similar expressions for backward modalities can be obtained again by swapping source and target maps in the right places.

The relational model and the path model are very similar. In fact the relational model can be obtained from the path model by applying a suitable homomorphism of modal Kleene algebras.

3.2. Higher globular Kleene algebras

We now extend the axiomatisations in the previous sections to a new notion of globular n -dimensional modal Kleene algebra. First, we provide axioms for n -dimensional dioids that satisfy lax interchange laws between multiplications of different dimension, similar to those of concurrent Kleene algebra [23]. We then extend it with domain operations of different dimension and add further axioms that capture globularity. Finally we equip these algebras with star operations for each dimension and impose novel lax interchange laws between compositions and stars of different dimension.

3.2.1. n -Diod. A 0 -diod is a bounded distributive lattice; a 1 -diod is a dioid. More generally, for $n \geq 1$, an n -diod is a structure $(S, +, 0, \odot_i, 1_i)_{0 \leq i < n}$ satisfying the following conditions:

- i) $(S, +, 0, \odot_i, 1_i)$ is a dioid for $0 \leq i < n$,
- ii) the following *lax interchange laws* hold for all $0 \leq i < j < n$:

$$(x \odot_j x') \odot_i (y \odot_j y') \leq (x \odot_i y) \odot_j (x' \odot_i y'), \quad (3.2.2)$$

- iii) higher-dimensional units are idempotents of lower-dimensional multiplications, for $0 \leq i < j < n$,

$$1_j \odot_i 1_j = 1_j \quad (3.2.3)$$

With lax interchange laws we need not worry about an Eckmann-Hilton collapse.

3.2.4. Domain n -semirings. For $n = 0$, we stipulate that a *domain 0-semiring* is a 0 -diod. For $n \geq 1$, a *domain n -semiring* is an n -diod $(S, +, 0, \odot_i, 1_i)_{0 \leq i < n}$ equipped with n domain maps $d_i : S \rightarrow S$, for all $0 \leq i < n$, satisfying the following conditions:

- i) $(S, +, 0, \odot_i, 1_i, d_i)$ is a domain semiring,
- ii) $d_{i+1} \circ d_i = d_i$.

For $0 \leq i < n$, the set $S_{d_i} = d_i(S)$ is called the *i -dimensional domain algebra* and denoted by S_i . Furthermore, to distinguish elements of different dimensions $0 \leq i < j < n$, we henceforth denote elements of S_i by p, q, r, \dots , elements of S_j by ϕ, ψ, ξ, \dots , and other elements of S by A, B, C, \dots . This simplifies reading proofs where elements of different dimension are interacting. For any natural number k , the *k -fold i -multiplication* of an element A of S , for $0 \leq i < n$, is defined by

$$A^{0i} = 1_i, \quad A^{ki} = A \odot_i A^{(k-1)i}.$$

The axioms **ii**) and **iii**) from (3.2.1) for n -dioids provide the basic algebraic structure for reasoning about higher rewriting systems. Indeed, the dependencies between multiplications of different dimension expressed by the lax interchange laws capture the lifting of the equational interchange law for n -categories, while the idempotence of i -multiplication for the j -unit expresses completeness of the set of j -dimensional cells in an n -category with respect to i -composition. In this way, these axioms begin to capture the higher dimensional character of polygraphs, as is explained in (3.3.1), in which we provide a model of this structure based on polygraphs. The domain axiom **ii**) from (3.2.4) further captures characteristics of dimension, which are expressed abstractly in the following proposition.

3.2.5. Proposition. *In any domain n -semiring S such that $n \geq 1$, for all $0 \leq i < j < n$,*

- i) $d_j \circ d_i = d_i$,
- ii) $d_j(1_i) = 1_i$,
- iii) $1_i \leq 1_j$,
- iv) $S_i \subseteq S_j$,

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- v) $(S_j, +, 0, \odot_i, 1_i, d_i)$ is a domain sub-semiring of $(S, +, 0, \odot_i, 1_i, d_i)$ and $d_i(S_j) = S_i$,
- vi) $(S_j, +, 0, \odot_k, 1_k, d_k)_{0 \leq k \leq i}$ is a domain sub- $(i+1)$ -semiring of $(S, +, 0, \odot_k, 1_k, d_k)_{0 \leq k \leq i}$,
- vii) $(S_j, +, 0, \odot_j, 1_j)$ is a 0-diod.

Proof. The first identity is proved by a simple induction on axiom **ii**) in (3.2.4). The second one quickly follows, since $d_i(1_i) = 1_i$ follows from the domain semiring axioms, and thus $d_j(1_i) = 1_i$ using **i**). The third identity is again a direct consequence, since by **ii**) we know that $1_i \in S_j$, and that 1_j is the greatest element of S_j . The fourth one follows since $x \in S_i$ if, and only if, $d_i(x) = x$, which is equivalent to $d_j(x) = x$ by **i**). The fifth identity is verified by noticing that the inclusion $S_j \hookrightarrow S$ is a morphism of domain semirings with the operation \odot_i . Furthermore, since $d_i(S_j) \subseteq S_i$ and $S_i \subseteq S_j$, we have $d_i(S_j) = S_i$. Noticing that, in fact, $S_j \hookrightarrow S$ is a morphism of domain semirings with the operation \odot_k for any $0 \leq k \leq i$ gives us **vi**). The final result follows from basic properties of domain semirings. \square

For any n -semiring S , we denote by S^{op} the n -semiring in which the order of each multiplication operation has been reversed. An n -semiring S is a *codomain n -semiring* if S^{op} is a domain n -semiring. The codomain operations are denoted by r_i . A *modal n -semiring* is an n -semiring with domains and codomains, in which the coherence conditions $d_i \circ r_i = r_i$ and $r_i \circ d_i = d_i$ hold for all $0 \leq i < n$.

3.2.6. Remarks. Section (3.1.14) explains that the path algebra $K(P)$ defined as the power set of 1-cells in the free category generated by a 1-polygraph $P = (P_0, P_1)$ is a model of modal 1-semirings. The domain algebra $K(P)_d$ is isomorphic to the power set of P_0 . According to (3.1.3), in the general case of a domain semiring $(S, +, 0, \cdot, 1, d)$, the domain algebra S_d forms a bounded distributive lattice with $+$ as join, \cdot as meet, 0 as bottom and 1 as top. This is why we consider a 0-diod as a bounded distributive lattice. The idempotence and commutativity of multiplication reflect the algebraic properties of a set of identity 1-cells.

In Section 3.3 we construct higher path algebras over n -polygraphs and show that these form models of modal n -semirings. In this case it makes sense that $(S_i, +, 0, \odot_i, 1_i)$ is a 0-diod, since an i -cell $f : u \rightarrow v$ of an n -category \mathcal{C} is a 0-cell in the hom-category $\mathcal{C}(u, v)$.

3.2.7. Diamond operators. Let S be a modal n -semiring. We introduce *forward* and *backward i -diamond* operators defined via (co-)domain operations in each dimension by analogy to (3.1.5). For any $0 \leq i < n$, $A \in S$ and $\phi \in S_i$, we define

$$\langle A \rangle_i(\phi) = d_i(A \odot_i \phi) \quad \text{and} \quad \langle A \rangle_i(\phi) = r_i(\phi \odot_i A). \quad (3.2.8)$$

These diamond operations have all of the properties listed in (3.1.9) with respect to i -multiplication and elements of S_i . As before, antidomains are required to express box operators.

3.2.9. p -Boolean domain semirings. For $0 \leq p < n$, a domain n -semiring $(S, +, 0, \odot_i, 1_i, d_i)_{0 \leq i < n}$ is *p -Boolean* if it is augmented with $(p+1)$ maps

$$(ad_i : S \rightarrow S)_{0 \leq i \leq p}$$

such that for all $0 \leq i \leq p$, the following conditions are satisfied:

- i) $(S, +, 0, \odot_i, 1_i, ad_i)$ is a Boolean domain semiring,

ii) $d_i = ad_i^2$.

By definition, a 0-Boolean domain 1-semiring is a Boolean domain semiring, and by convention we define a 0-Boolean domain 0-semiring as a Boolean algebra.

We define a *p-Boolean codomain semiring* as an n -semiring such that its opposite n -semiring is a p -semiring with antidomains. In this case the anticodomain operations are denoted ar_i .

3.2.10. Remark. The key difference between modal n -semirings and their p -Boolean counterparts is that the latter are equipped with negation operations in their lower dimensions. Indeed, in a p -Boolean modal Kleene algebra K , for every $0 \leq i \leq p$, the tuple

$$(K_i, +, 0, \odot_i, 1_i, ad_i)$$

is a Boolean algebra. For this reason, we denote the restriction of ad_i to K_i by \neg_i . Furthermore, as in (3.1.9), for $0 \leq j \leq p$, $A \in K$ and $\phi \in K_j$ we can define *forward* (resp. *backward*) *box operators*

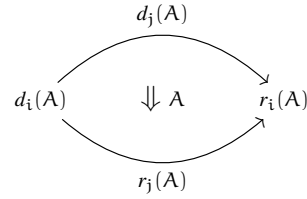
$$|A]_j(\phi) := \neg_j(|A)_j(\neg_j\phi) \quad \text{and} \quad [A|_j(\phi) := \neg_j(\langle A|_j(\neg_j\phi)).$$

3.2.11. Globular modal n -semiring. A modal semiring S is *globular* if the following *globular relations* hold for $0 \leq i < j < n$ and $A, B \in K$:

$$d_i \circ d_j = d_i \quad \text{and} \quad d_i \circ r_j = d_i, \quad (3.2.12) \quad d_j(A \odot_i B) = d_j(A) \odot_i d_j(B), \quad (3.2.14)$$

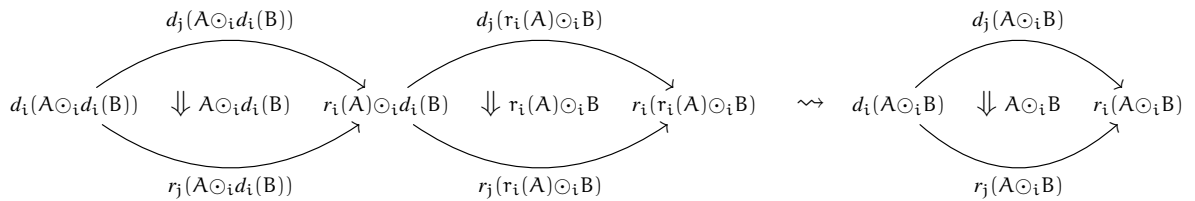
$$r_i \circ d_j = r_i, \quad \text{and} \quad r_i \circ r_j = r_i, \quad (3.2.13) \quad r_j(A \odot_i B) = r_j(A) \odot_i r_j(B). \quad (3.2.15)$$

Any $A \in S$ can be represented diagrammatically with respect to its i - and j -borders, for $i < j$:



Intuitively, A is a *collection* of cells and, for $k \in \{i, j\}$, $d_k(A)$ (resp. $r_k(A)$) is a *collection* of k -cells each of which is the k -source (resp. k -target) of some cell belonging to A . In Section 3.3, this intuition is grounded in the polygraphic model.

Below are diagrams for i - and j -multiplication with respect to i - and j -borders:



3. Higher modal Kleene algebras

$$\begin{array}{ccc}
 \begin{array}{ccc}
 & d_j(A \odot_j d_j(B)) & \\
 & \Downarrow A \odot_j d_j(B) & \\
 d_i(A) \odot_i d_i(B) & \xrightarrow{r_j(A) \odot_j d_j(B)} & r_i(A) \odot_i r_i(B) \\
 & \Downarrow r_j(A) \odot_j B & \\
 & r_j(r_j(A) \odot_j B) &
 \end{array} & \rightsquigarrow &
 \begin{array}{ccc}
 & d_j(A \odot_j B) & \\
 & \Downarrow A \odot_j B & \\
 d_i(A \odot_j B) & & r_i(A \odot_j B) \\
 & \xrightarrow{r_j(A \odot_j B)} &
 \end{array}
 \end{array}$$

These show that multiplication of elements in a Kleene algebra amounts to multiplying their restrictions to the appropriate domain or range as

$$A \odot_i B = (A \odot_i r_i(A)) \odot_i (d_i(B) \odot_i B) = (A \odot_i d_i(B)) \odot_i (r_i(A) \odot_i B),$$

using properties of domain semirings (3.1.3) and compatibility of these restrictions with globular relations.

3.2.16. Modal n -Kleene algebra. An n -Kleene algebra is an n -dioid K equipped with Kleene stars $(-)^{*i} : K \rightarrow K$ satisfying

- i) $(K, +, 0, \odot_i, 1_i, (-)^{*i})$ is a Kleene algebra for $0 \leq i < n$,
- ii) For $0 \leq i < j < n$, the Kleene star $(-)^{*j}$ is a *lax morphism* with respect to the i -whiskering of j -dimensional elements on the right (resp. left). Hence, for all $A \in K$ and $\phi \in K_j$,

$$\phi \odot_i A^{*j} \leq (\phi \odot_i A)^{*j}, \quad \text{and} \quad (\text{resp. } A^{*j} \odot_i \phi \leq (A \odot_i \phi)^{*j}). \quad (3.2.17)$$

As in the case of 1-Kleene algebras in (3.1.8), the notions of (p -Boolean) n -semiring structures with (co)domains are compatible with those of n -Kleene algebra. Hence, a n -Kleene algebra with domains (resp. codomains) is a n -Kleene algebra such that the underlying semiring has domains (resp. codomains). When the underlying n -semiring is modal, this yields a *modal n -Kleene algebra*. If it is p -Boolean, we have a *p -Boolean modal n -Kleene algebra*. We call it *globular* when the underlying modal n -semiring is.

Finally, note that for $n = 2$, we recover the standard concurrent Kleene algebra axioms [23], except that $1_0 = 1_1$ and commutativity of \odot_1 is normally assumed in this case.

3.3. A model of higher modal Kleene algebras

3.3.1. Polygraphic model. Let P be an n -polygraph and Γ a cellular extension of the free $(n, n-1)$ -category P_n^\top . In what follows, write A, B, C, \dots for sets of $(n+1)$ -cells and $\alpha, \beta, \gamma, \dots$ for individual $(n+1)$ -cells. For any k -cell α , the elements $s_i(\alpha), t_i(\alpha), \iota_k^i(\alpha)$ were defined for $0 \leq i \leq k \leq l \leq n+1$ in (2.1.4) and (2.1.6). When $k \leq i$, we define $s_i(\alpha) = t_i(\alpha) = \iota_k^i(\alpha)$. The i -composition of a k -cell α and an l -cell β for $0 \leq i < k \leq l \leq n+1$ was defined in (2.1.1). For $0 \leq k \leq l < n+1$, we define

$$\alpha \star_i \beta = \begin{cases} \iota_k^{i+1}(\alpha) \star_i \beta & \text{for } k \leq i < l, \\ \iota_k^{i+1}(\alpha) \star_i \iota_l^{i+1}(\beta) & \text{for } l \leq i. \end{cases}$$

An $(n+1)$ -modal Kleene algebra $K(P, \Gamma)$, the *full $(n+1)$ -path algebra* over $P_n^\top[\Gamma]$ is given by the following data:

- i) The carrier set of $K(P, \Gamma)$ is the power set $\mathcal{P}(P_n^\top[\Gamma])$.
- ii) For $0 \leq i < n + 1$, the binary operation \odot_i on $K(P, \Gamma)$ corresponds to the lifting of the composition operations of $P_n^\top[\Gamma]$ to the power-set, that is, for any $A, B \in K(P, \Gamma)$,

$$A \odot_i B := \{\alpha \star_i \beta \mid \alpha \in A \wedge \beta \in B \wedge t_i(\alpha) = s_i(\beta)\}.$$

- iii) For $0 \leq i < n + 1$, the sets

$$\mathbb{1}_i = \{\iota_i^{n+1}(u) \mid u \in P_n^\top[\Gamma]_i\},$$

are the multiplicative units: $A \odot_i \mathbb{1}_i = \mathbb{1}_i \odot_i A = A$. Furthermore, when $i < j$, the inclusion $\mathbb{1}_i \subseteq \mathbb{1}_j$ holds. Indeed, in that case $\iota_i^{n+1}(u) = \iota_j^{n+1}(\iota_i^j(u))$ by uniqueness of identity cells, and $\iota_i^j(u) \in P_n^\top(\Gamma)_j$ is a j -cell.

- iv) The addition in $K(P, \Gamma)$ is set union \cup . The ordering is therefore set inclusion.

- v) The i -domain and i -codomain maps d_i and r_i are defined by

$$d_i(A) := \{\iota_i^{n+1}(s_i(\alpha)) \mid \alpha \in A\}, \quad \text{and} \quad r_i(A) := \{\iota_i^{n+1}(t_i(\alpha)) \mid \alpha \in A\}.$$

These are thus given by lifting the source and target maps of $P_n^\top[\Gamma]$ to the power set. The i -antidomain and i -anticodomain maps are then given by complementation with respect to the set of i -cells:

$$ad_i(A) := \mathbb{1}_i \setminus \{\iota_i^{n+1}(s_i(\alpha)) \mid \alpha \in A\}, \quad \text{and} \quad ar_i(A) := \mathbb{1}_i \setminus \{\iota_i^{n+1}(t_i(\alpha)) \mid \alpha \in A\}.$$

- vi) The i -star is, for $A^{0i} := \mathbb{1}_i$ and $A^{ki} := A \odot_i A^{(k-1)i}$,

$$A^{*i} = \bigcup_{k \in \mathbb{N}} A^{ki}.$$

3.3.2. Proposition. *For any n -polygraph P and cellular extension Γ of P_n^\top , $K(P, \Gamma)$ is an n -Boolean $(n + 1)$ -modal Kleene algebra. The set Γ^c of rewriting steps generated by Γ , defined in Remark 2.2.2, is represented in n -Kleene algebra by*

$$\Gamma^c = \mathbb{1}_n \odot_{n-1} (\cdots \odot_2 (\mathbb{1}_2 \odot_1 (\mathbb{1}_1 \odot_0 \Gamma \odot_0 \mathbb{1}_1) \odot_1 \mathbb{1}_2) \odot_2 \cdots) \odot_{n-1} \mathbb{1}_n.$$

Therefore, α is an $(n + 1)$ -cell of $P_n^\top[\Gamma]$ if, and only if, $\alpha \in (\Gamma^c)^{*n}$.

Proof. It is easy to check that, for $0 \leq i < n + 1$, the tuple $(\mathcal{P}((P_n^\top[\Gamma])_{n+1}), \cup, \emptyset, \odot_i, \mathbb{1}_i, (-)^{*i}, d_i, r_i)$ is a modal semiring. The fact that it is n -Boolean is a result of it being a power-set algebra.

Let $A, A', B, B' \in K(P, \Gamma)$ and $0 \leq i < j < n + 1$. We wish to check the lax interchange law

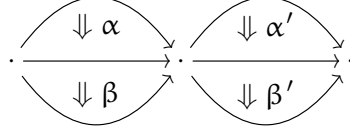
$$(A \odot_j B) \odot_i (A' \odot_j B') \subseteq (A \odot_i A') \odot_j (B \odot_i B'). \quad (3.3.3)$$

It holds since, given $(n + 1)$ -cells $\alpha \in A, \alpha' \in A', \beta \in B, \beta' \in B'$, if $(\alpha \star_j \beta) \star_i (\alpha' \star_j \beta')$ is defined, then as a consequence of the interchange law for $(n + 1)$ categories, we have

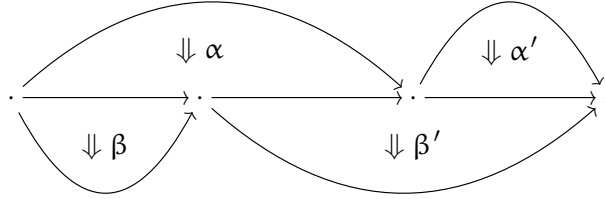
$$(\alpha \star_j \beta) \star_i (\alpha' \star_j \beta') = (\alpha \star_i \alpha') \star_j (\beta \star_i \beta') \in (A \odot_i A') \odot_j (B \odot_i B')$$

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which gives the desired inclusion (3.3.3). This situation is illustrated by the diagram



The lax interchange law does not reduce to an equality due to composition of diagrams of the shape



where $\alpha \in A$, $\alpha' \in A'$, $\beta \in B$, $\beta' \in B'$. Indeed, the composition

$$(\alpha \star_i \alpha') \star_j (\beta \star_i \beta') \in (A \odot_i A') \odot_j (B \odot_i B')$$

is defined, whereas neither α and β nor α' and β' are j -composable, so that in general the inclusion (3.3.3) is strict.

Further, given $0 \leq i < j < n + 1$, we have $\mathbb{1}_j \subseteq \mathbb{1}_j \odot_i \mathbb{1}_j$. Indeed, for any j -cell α , we have $\alpha \star_i \iota_i^{n+1}(t_i(\alpha)) = \alpha$ because $\iota_i^{n+1}(t_i(\alpha))$ is the $(n + 1)$ -dimensional identity cell on the i -dimensional target of α . Furthermore, $\iota_i^{n+1}(t_i(\alpha)) \in \mathbb{1}_i \subseteq \mathbb{1}_j$, proving the inclusion. Thus $\mathbb{1}_j = \mathbb{1}_j \odot_i \mathbb{1}_j$ since $(P_n^\top(\Gamma))_j$ is closed under i -composition.

Given $0 \leq i < n$, we have $d_{i+1} \circ d_i = d_i$ since the $(i + 1)$ -dimensional border of an identity cell on an i -cell u is u itself. Since $d_i(\mathbb{1}_i) = \mathbb{1}_i$, we equally have $d_{i+1}(\mathbb{1}_i) = \mathbb{1}_i$.

The first two globularity axioms are immediate consequences of the globularity conditions on the source and target maps of $P_n^\top(\Gamma)$. Furthermore, for $0 \leq i < j < n + 1$ and $A, B \in K(P, \Gamma)$, we have $u \in d_j(A \odot_i B)$ if, and only if, there exist $\alpha \in A$ and $\beta \in B$ such that $u = s_j(\alpha \star_i \beta) = s_j(\alpha) \star_i s_j(\beta)$, which is equivalent to $u \in d_j(A) \odot_i d_j(B)$. Similarly, we show that $r_j(A \star_i B) = r_j(A) \odot_i r_j(B)$.

Finally, we consider the Kleene star axioms. It is easy to check that, given a family $(B_k)_{k \in I}$ of elements of $K(P, \Gamma)$ and another element A , we have, for all $0 \leq i < n + 1$,

$$A \odot_i \left(\bigcup_{k \in I} B_k \right) = \bigcup_{k \in I} (A \odot_i B_k) \quad \text{and} \quad \left(\bigcup_{k \in I} B_k \right) \odot_i A = \bigcup_{k \in I} (B_k \odot_i A).$$

It then follows by routine calculations that A^{*i} defined above satisfies, for each i , the Kleene star axioms from (3.1.8). It only remains to check that for $0 \leq i < j < n + 1$, the j -star is a lax morphism for i -whiskering of j -dimensional elements on the left (the right case being symmetric), that is $\phi \odot_i A^{*j} \subseteq (\phi \odot_i A)^{*j}$ for $\phi \in K(P, \Gamma)_j$ and $A \in K(P, \Gamma)$. By construction, $K(P, \Gamma)_j$ is in bijective correspondence with $(P_n^\top(\Gamma))_j$, the set of j -cells of $P_n^\top[\Gamma]$. Considering such elements ϕ and A , we have $\beta \in \phi \odot_i A^{*j}$ in the following two cases:

- i) There exist $u \in \phi$ and $\alpha \in A^{+j}$, where $A^{+j} := A \odot_j A^{*j}$ is the Kleene plus operation, such that $\beta = u \star_i \alpha$. Since $\alpha \in A^{+j}$, there exist a $k > 0$ and cells $\alpha_1, \alpha_2, \dots, \alpha_k \in A$ such that

$$\alpha = \alpha_1 \star_j \alpha_2 \star_j \dots \star_j \alpha_k.$$

Since $i < j$, the following is a consequence of the interchange law for n -categories:

$$u \star_i (\alpha_1 \star_j \alpha_2 \star_j \cdots \star_j \alpha_k) = (u \star_i \alpha_1) \star_j (u \star_i \alpha_2) \star_j \cdots \star_j (u \star_i \alpha_k),$$

and thus we have $\beta \in (\phi \odot_i A)^{+j}$.

- ii) There exist $u \in \phi$ and $v \in (P_n^\top(\Gamma))_j$ with $v \notin A$ such that $\beta = u \star_i v$. This is due to the fact that $A^{*j} = \mathbb{1}_j + A^{+j}$. In that case, we have $\beta \in (P_n^\top(\Gamma))_j$, i.e. $\beta \in \mathbb{1}_j$. By the unfold axiom, we have $\mathbb{1}_j \subseteq (\phi \odot_i A)^{*j}$, and thus $\beta \in (\phi \odot_i A)^{*j}$.

The fact that α is an $(n + 1)$ -cell of $P_n^\top[\Gamma]$ if, and only if, $\alpha \in (\Gamma^c)^{*n}$, follows by definition of Γ^c and the fact that any $(n + 1)$ -cell of $P_n^\top[\Gamma]$ is an n -composition of rewriting steps. \square

4. ALGEBRAIC COHERENT CONFLUENCE

In this section, we present algebraic proofs of the coherent Church-Rosser theorem and coherent Newman's lemma in higher globular Kleene algebras. Apart from the definitions of these Kleene algebras, these constitute the main contribution of this article. First, we revisit abstract rewriting properties formulated in modal Kleene algebras [8, 38, 39]. We then formalise notions from higher rewriting needed to prove our results, introducing *fillers* in the setting of globular modal n -Kleene algebras, which correspond to the notion of fillers for polygraphs defined in (2.3.1). We also define the notion of *whiskering* in modal n -Kleene algebras, analogous to the polygraphic definition in (2.1.6) and describe the properties thereof needed for our proofs. The coherent Church-Rosser theorem is proved in Section 4.2, first in Proposition 4.2.7 using classical induction and then in Theorem 4.2.8 using only the induction axioms for the Kleene star. In Section 4.3, we define notions of termination and well-foundedness in globular modal p -Boolean Kleene algebras and prove Theorem 4.3.2, the coherent Newman's lemma.

4.1. Rewriting properties formulated in modal Kleene algebra

Fix a modal Kleene algebra K .

4.1.1. Termination. An element $x \in K$ *terminates*, or is *Noetherian* [8], if

$$p \leq |x\rangle p \Rightarrow p = 0$$

holds for all $p \in K_d$. The set of Noetherian elements of K is denoted by $\mathcal{N}(K)$. The Galois connections (3.1.9) lead to the following equivalent characterisation: $x \in K$ is Noetherian if, and only if, for all $p \in K_d$,

$$|x\rangle p \leq p \Rightarrow p = 1.$$

4.1.2. Semi-commutation. Local confluence, confluence and the Church-Rosser property for abstract rewriting systems are captured in Kleene algebras as follows. For elements $x, y \in K$, the pair (x, y) *semi-commutes* (resp. *semi-commutes locally*) if

$$x^*y^* \leq y^*x^* \quad (\text{resp. } xy \leq y^*x^*).$$

4. Algebraic coherent confluence

The ordered pair (x, y) semi-commutes *modally* (resp. semi-commutes *locally modally*) if

$$|x^*\rangle \circ |y^*\rangle \leq |y^*\rangle \circ |x^*\rangle \quad (\text{resp. } |x\rangle \circ |y\rangle \leq |y^*\rangle \circ |x^*\rangle).$$

It is obvious that (local) commutation implies (local) modal commutation; but the converse implication does not hold. Finally, (x, y) has the *Church-Rosser property* if

$$(x + y)^* \leq y^*x^*.$$

4.1.3. Confluence results in Kleene algebras. The Church-Rosser theorem and Newman's lemma for abstract rewriting systems are instances of the following specifications in modal Kleene algebra. In the following subsections we prove higher-dimensional generalisations of these results.

The Church-Rosser theorem in K [38, Thm. 4] states that, for any $x, y \in K$,

$$x^*y^* \leq y^*x^* \quad \Leftrightarrow \quad (x + y)^* \leq y^*x^*.$$

This does not require modalities. Newman's Lemma in K , with K_d a complete Boolean algebra [8], states that for any $x, y \in K$ such that $(x + y) \in \mathcal{N}(K)$,

$$|x\rangle \circ |y\rangle \leq |y^*\rangle \circ |x^*\rangle \quad \Leftrightarrow \quad |x^*\rangle \circ |y^*\rangle \leq |y^*\rangle \circ |x^*\rangle.$$

Our proofs of the coherent Church-Rosser theorem below are quite different from those in Kleene algebra. They require modalities, but follow the standard diagrammatic proof quite closely. The parts of the proof of coherent Newman's lemma that deal with termination are quite different from the standard diagrammatic proof, whereas this proof follows the Kleene-algebraic one quite closely. We therefore recommend to study this proof [8] before reading the coherent one.

4.2. A coherent Church-Rosser theorem

Let K be a globular n -modal Kleene algebra and $0 \leq i < j < n$. Before defining fillers in globular modal n -Kleene algebras, we first explain the intuition behind the forward diamond operators in n -modal Kleene algebras, defined in (3.2.7). Given $A \in K$ and $\phi, \phi' \in K_j$, by definition,

$$|A\rangle_j \phi \geq \phi' \quad \Leftrightarrow \quad d_j(A \odot_j \phi) \geq \phi'.$$

In terms of quantification over sets of cells, as for example in the polygraphic model, this means that *for every* element u in ϕ' , *there exist* elements v in ϕ and α in A such that the j -source (resp. j -target) of α is u (resp. v), as required. This motivates the definitions in the following paragraph.

4.2.1. Confluence fillers. For elements ϕ and ψ of K_j , we say that an element A in K is a

i) *local i -confluence filler* for (ϕ, ψ) if

$$|A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq \phi \odot_i \psi,$$

ii) *left (resp. right) semi- i -confluence filler* for (ϕ, ψ) if

$$|A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq \phi \odot_i \psi^{*i}, \quad (\text{resp. } |A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq \phi^{*i} \odot_i \psi),$$

iii) *i*-confluence filler for (ϕ, ψ) if

$$|A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq \phi^{*i} \odot_i \psi^{*i},$$

iv) *i*-Church-Rosser filler for (ϕ, ψ) if

$$|A\rangle_j(\psi^{*i} \odot_i \phi^{*i}) \geq (\psi + \phi)^{*i}.$$

4.2.2. Remarks. In any n -Kleene algebra,

$$(\psi + \phi)^{*i} \geq \phi^{*i} \odot_i \psi^{*i} \geq \phi \odot_i \psi.$$

This shows that an *i*-Church-Rosser filler for (ϕ, ψ) is an *i*-confluence filler for (ϕ, ψ) and that an *i*-confluence filler for (ϕ, ψ) is a local *i*-confluence filler for (ϕ, ψ) .

Given an *i*-confluence filler A for (ϕ, ψ) , the conditions on domain and codomain in the above definitions imply an *i*-dimensional globular property of (ϕ, ψ) . For all $p \in K_i$,

$$|\phi^{*i} \odot_i \psi^{*i}\rangle_i p \leq |\psi^{*i} \odot_i \phi^{*i}\rangle_i p.$$

Indeed, writing $A' = A \odot_j (\psi^{*i} \odot_i \phi^{*i})$, we have

$$\begin{aligned} |\phi^{*i} \odot_i \psi^{*i}\rangle_i p &= d_i(\phi^{*i} \odot_i \psi^{*i} \odot_i p) \\ &\leq d_i(d_j(A') \odot_i p) \\ &= d_i(d_j(A' \odot_i p)) \\ &= d_i(r_j(A' \odot_i p)) \\ &= d_i(r_j(A') \odot_i p) \\ &\leq d_i((\psi^{*i} \odot_i \phi^{*i}) \odot_i p) \\ &= |\psi^{*i} \odot_i \phi^{*i}\rangle_i p. \end{aligned}$$

The first step uses the definition of diamonds, the second the fact that A is an *i*-confluence filler and order-preservation of d_i , the third, fourth and fifth the globularity relations (3.2.14), (3.2.12) and (3.2.15) respectively. The final inequality follows because $d(p \cdot x) = p \cdot d(x)$ holds in modal Kleene algebra, see (3.1.3). For codomains, opposition implies that

$$r_j(A') = r_j(A \odot_j (\psi^{*i} \odot_i \phi^{*i})) = r_j(A) \odot_j r_j(\psi^{*i} \odot_i \phi^{*i}) \leq r_j(\psi^{*i} \odot_i \phi^{*i}).$$

The final step is again by definition of diamonds. Similar results hold for local and semi-confluence fillers. Thus ϕ and ψ commute modally (resp. locally modally) with respect to *i*-multiplication. For this reason, the confluence filler (local confluence filler) defined in (4.2.1) can be represented diagrammatically:



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4.2.3. Whiskers. Let K be a globular modal n -Kleene algebra. For $0 \leq i < j < n$ and $\phi \in K_j$, the *right* (resp. *left*) i -whiskering of an element $A \in K$ by ϕ is the element

$$A \odot_i \phi, \quad (\text{resp. } \phi \odot_i A).$$

In what follows, we list properties of whiskering and define completions.

i) Let $\phi, \psi \in K_j$ and $A \in K$. We have

$$\phi \odot_i |A\rangle_j(\psi) \leq |\phi \odot_i A\rangle_j(\phi \odot_i \psi). \quad (4.2.4)$$

Indeed, since $\phi \odot_j \phi = \phi$, the interchange law gives

$$\phi \odot_i (A \odot_j \psi) = (\phi \odot_j \phi) \odot_i (A \odot_j \psi) \leq (\phi \odot_i A) \odot_j (\phi \odot_i \psi).$$

Applying domain on each side yields

$$\phi \odot_i |A\rangle_j(\psi) = d_j(\phi \odot_i (A \odot_j \psi)) \leq d_j((\phi \odot_i A) \odot_j (\phi \odot_i \psi)) = |\phi \odot_i A\rangle_j(\phi \odot_i \psi),$$

where we used monotonicity of domains, the definition of diamonds (3.2.8) and one of the globular laws (3.2.14). A similar argument yields absorption laws for whiskering on the right, as well as corresponding inequalities for backward diamonds.

ii) We define *completions* of elements by whiskering. Let A be an i -confluence filler of a pair (ϕ, ψ) of elements in K_j . The j -dimensional i -whiskering of A is the following element of K :

$$(\phi + \psi)^{*i} \odot_i A \odot_i (\phi + \psi)^{*i}. \quad (4.2.5)$$

The j -star of this element is called the i -whiskered j -completion of A .

iii) The i -whiskered j -completion of a confluence filler A absorbs whiskers, that is, for each $\xi \leq (\phi + \psi)^{*i}$

$$\xi \odot_i \hat{A}^{*j} \leq \hat{A}^{*j} \quad \text{and} \quad \hat{A}^{*j} \odot_i \xi \leq \hat{A}^{*j}. \quad (4.2.6)$$

where \hat{A} is the j -dimensional i -whiskering of A . Indeed, by definition of \hat{A} ,

$$\xi \odot_i \hat{A} \leq \hat{A} \geq \hat{A} \odot_i \xi$$

for any $\xi \leq (\phi + \psi)^{*i}$. Using the fact that $(-)^{*j}$ is a lax morphism with respect to i -whiskering by j -dimensional elements, see (3.1.8), we deduce

$$\xi \odot_i \hat{A}^{*j} \leq (\xi \odot_i \hat{A})^{*j} \leq \hat{A}^{*j},$$

where the last inequality holds by monotonicity of $(-)^{*j}$. A similar proof shows that $\hat{A}^{*j} \odot_i \xi \leq \hat{A}^{*j}$.

Next we show two proofs of coherent Church-Rosser theorems in globular n -MKA to show the versatility of our approach. The first one proceeds, as usual, by induction on the size of the zig-zag. It has no “closed” proof in Kleene algebra. The second one uses the fixpoint induction for the Kleene star.

4.2.7. Proposition (Coherent Church-Rosser theorem in globular n -MKA (by induction)). *Let K be a globular modal n -Kleene algebra and $0 \leq i < j < n$. Given $\phi, \psi \in K_j$, an i -confluence filler A of (ϕ, ψ) and any natural number $k \geq 0$, there exists an $A_k \leq \hat{A}^{*j}$ such that*

- i) $r_j(A_k) \leq \psi^{*i} \phi^{*i}$,
- ii) $d_j(A_k) \geq (\phi + \psi)^{k_i}$,

where \hat{A} is the j -dimensional i -whiskering of A .

Proof. In this proof, juxtaposition of elements denotes i -multiplication. We reason by induction on $k \geq 0$. For $k = 0$, we may take $A_0 = 1_i$. Indeed,

$$1_i \leq 1_j \leq \hat{A}^{*j}.$$

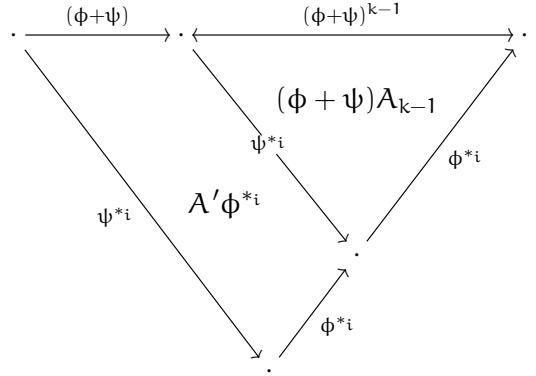
Furthermore, we have $d_j(A_0) = 1_i = (\phi + \psi)^{0_i}$ and $r_j(A_0) = 1_i \leq \psi^{*i} \phi^{*i}$.

For $k > 0$, supposing that A_{k-1} is constructed, we set

$$A_k = ((\phi + \psi)A_{k-1}) \odot_j (A' \phi^{*i}),$$

where $A' = A \odot_j (\psi^{*i} \phi^{*i})$. We first show that $d_j(A_k) \geq (\phi + \psi)^{k_i}$:

$$\begin{aligned} d_j(A_k) &= d_j(((\phi + \psi)A_{k-1}) \odot_j (A' \phi^{*i})) \\ &= d_j(((\phi + \psi)A_{k-1}) \odot_j d_j(A' \phi^{*i})) \\ &= d_j(((\phi + \psi)A_{k-1}) \odot_j d_j(A') \phi^{*i}) \\ &\geq d_j(((\phi + \psi)A_{k-1}) \odot_j \phi^{*i} \psi^{*i} \phi^{*i}) \\ &= d_j((\phi + \psi)A_{k-1}) \\ &= (\phi + \psi)d_j(A_{k-1}) \\ &= (\phi + \psi)(\phi + \psi)^{(k-1)_i} \\ &= (\phi + \psi)^{k_i}. \end{aligned}$$



The first step unfolds the definition of A_k , the second uses axiom **ii**) from (3.1.3) and the third globularity (3.2.14). The inequality in the fourth step is by hypothesis that A is an i -confluence filler, and the fifth is a consequence of the fact that

$$((\phi + \psi)A_{k-1}) \odot_1 (\phi^{*i} \psi^{*i} \phi^{*i}) = (\phi + \psi)A_{k-1},$$

which in turn holds because

$$r_j((\phi + \psi)A_{k-1}) = (\phi + \psi)r_j(A_{k-1}) \leq \phi^{*i} \psi^{*i} \phi^{*i}.$$

The sixth step is again a consequence of globularity (3.2.14), the seventh follows from the induction hypothesis, and the last equality is by definition of the k -fold i -multiplication.

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Next we show $r_j(A_k) \leq \psi^{*i} \phi^{*i}$:

$$\begin{aligned}
r_j(A_k) &= r_j(((\phi + \psi)A_{k-1}) \odot_j (A' \phi^{*i})) \\
&= r_j(r_j((\phi + \psi)A_{k-1}) \odot_j (A' \phi^{*i})) \\
&\leq r_j((\phi + \psi)\psi^{*i} \phi^{*i} \odot_j (A' \phi^{*i})) \\
&\leq r_j((\phi^{*i}\psi^{*i} \phi^{*i}) \odot_j (A' \phi^{*i})) \\
&= r_j(d_j(A' \phi^{*i}) \odot_j (A' \phi^{*i})) \\
&= r_j(A') \phi^{*i} \\
&\leq \psi^{*i} \phi^{*i} \phi^{*i} \\
&= \psi^{*i} \phi^{*i}.
\end{aligned}$$

The first equality holds by definition of A_k , the second by axiom **ii**) from (3.1.3) (for codomains), the third by the induction hypothesis, the fourth by $\phi \leq \phi^{*i}$ and $\psi\psi^{*i} \leq \psi^{*i}$. The fifth step holds since A is an i -confluence filler, the sixth by the fact that $d(x) \cdot x = x$, a consequence of axiom **i**) from (3.1.3). Finally, as explained in (4.2.2),

$$r_j(A') = r_j(A \odot_j (\psi^{*i} \odot_i \phi^{*i})) = r_j(A) \odot_j r_j(\psi^{*i} \odot_i \phi^{*i}) \leq r_j(\psi^{*i} \odot_i \phi^{*i}),$$

which gives step seven since $\psi^{*i} \odot_i \phi^{*i} \in K_j$. The final step is due to $\phi^{*i} \odot_i \phi^{*i} = \phi^{*i}$, a basic consequence of the Kleene star axioms.

To conclude, it remains to show that $A_k \leq \hat{A}^{*j}$. By whisker absorption, described in (4.2.6), and the fact that $A' \leq A \leq \hat{A}$,

$$A' \phi^{*i} \leq \hat{A} \phi^{*i} = \hat{A} \quad \text{and} \quad (\phi + \psi)A_{k-1} \leq (\phi + \psi)\hat{A}^{*j} \leq \hat{A}^{*j}.$$

Thus $A_k = ((\phi + \psi)A_{k-1}) \odot_j (A' \phi^{*i}) \leq \hat{A}^{*j} \odot_j \hat{A}^{*j} = \hat{A}^{*j}$. □

We now prove an analogous theorem using the implicit fixpoint induction of Kleene algebra.

4.2.8. Theorem (Coherent Church-Rosser in globular n -MKA). *Let K be a globular n -modal Kleene algebra and $0 \leq i < j < n$. If $\phi, \psi \in K_j$ and is an i -confluence filler $A \in K$ of (ϕ, ψ) , then*

$$|\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}) \geq (\phi + \psi)^{*i},$$

where \hat{A} is the j -dimensional i -whiskering of A . Thus \hat{A}^{*j} is an i -Church-Rosser filler for (ϕ, ψ) .

Proof. As in the previous proof, i -multiplication is denoted by juxtaposition. Let ϕ, ψ be in K_j , for $0 < j < n$, and A in K be an i -confluence filler of (ϕ, ψ) , with $0 \leq i < j$. By the left i -star induction axiom in (3.1.8),

$$1_i + (\phi + \psi)|\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}) \leq |\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}) \Rightarrow (\phi + \psi)^{*i} \leq |\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}).$$

The inequality $1_i \leq \psi^{*i} \phi^{*i} \leq |\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i})$ holds: by the unfold axiom from (3.1.8), $1_i \leq \psi^{*i}$, $1_i \leq \phi^{*i}$, which yields the first inequality, and $1_j \leq \hat{A}^{*j}$. Using the latter, $\text{id}_{S_{d_j}} = |1_j\rangle_j \leq |\hat{A}^{*j}\rangle_j$, which yields $\psi^{*i} \phi^{*i} \leq |\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i})$. It then remains to show that

$$(\phi + \psi)|\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}) \leq |\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i}).$$

Distributivity allows us to prove this for each summand separately:

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4.2.10. Corollary. *Let K be a globular modal n -Kleene algebra. If $\phi, \psi \in K_j$, for $i < j < n$, for any semi- i -confluence filler $A \in K$, then*

$$|\hat{A}^{*j}\rangle_j(\psi^{*i}\phi^{*i}) \geq (\phi + \psi)^{*i},$$

where \hat{A} is the j -dimensional i -whiskering of A .

Proof. In the case of a left semi-confluence filler, the proof is identical. If A is a right semi-confluence filler, we use the right i -star axiom and the proof is given by symmetry. \square

4.3. Newman's lemma in globular modal n -Kleene algebra

4.3.1. Termination in n -semirings. We define the notion of termination, or Noethericity, in a modal n -semiring K as an extension of that for modal Kleene algebras in (4.1.1). For $0 \leq i < j < n$, an element $\phi \in K_j$ is i -Noetherian or i -terminating if

$$p \leq |\phi\rangle_i p \Rightarrow p = 0$$

holds for all $p \in K_i$. The set of i -Noetherian elements of K is denoted by $\mathcal{N}_i(K)$. When K is a modal p -Boolean semiring, then, as a consequence of the adjunction between diamonds and boxes outlined in (3.1.9), we obtain an equivalent formulation of Noethericity in terms of the forward box operator:

$$\phi \in \mathcal{N}_i(K) \Leftrightarrow \forall p \in K_i, |\phi]_i p \leq p \Rightarrow 1_i \leq p.$$

Finally, ϕ is i -well-founded if it is i -Noetherian in the opposite n -semiring of K .

4.3.2. Theorem (Coherent Newman's lemma for globular p -Boolean MKA). *Let K be a globular k -Boolean modal Kleene algebra, and $0 \leq i \leq k < j < n$, such that*

- i) $(K_i, +, 0, \odot_i, 1_i, \neg_i)$ is a complete Boolean algebra,
- ii) K_j is continuous with respect to i -restriction, that is, for all $\psi, \psi' \in K_j$ and every family $(p_\alpha)_{\alpha \in I}$ of elements of K_i ,

$$\psi \odot_i \sup_I(p_\alpha) \odot_i \psi' = \sup_I(\psi \odot_i p_\alpha \odot_i \psi').$$

Let $\psi \in K_j$ be i -Noetherian and $\phi \in K_j$ i -well-founded. If A is a local i -confluence filler for (ϕ, ψ) , then

$$|\hat{A}^{*j}\rangle_j(\psi^{*i}\phi^{*i}) \geq \phi^{*i}\psi^{*i},$$

that is, \hat{A}^{*j} is a confluence filler for (ϕ, ψ) .

Proof. We denote i -multiplication by juxtaposition. First, we define a predicate expressing restricted j -paving. Given $p \in K_i$, let

$$\text{RP}(p) \Leftrightarrow |\hat{A}^{*i}\rangle_j(\psi^{*i}\phi^{*i}) \geq \phi^{*i}p\psi^{*i}.$$

By completeness of K_i , we may set $r := \sup\{p \mid \text{RP}(p)\}$. By continuity of i -restriction, we may infer $\text{RP}(r)$. Furthermore, by downward closure of RP ,

$$\text{RP}(p) \Leftrightarrow p \leq r.$$

4.3. Newman's lemma in globular modal n -Kleene algebra

This, in turn, allows us to deduce

$$\begin{aligned} \forall p. (RP(|\phi\rangle_i p) \wedge RP(\langle\psi|_i p) \Rightarrow RP(p)) &\Leftrightarrow \forall p. (|\phi\rangle_i p \leq r \wedge \langle\psi|_i p \leq r \Rightarrow p \leq r) \\ &\Leftrightarrow \forall p. (p \leq [|\phi|_i r \wedge p \leq |\psi|_i r \Rightarrow p \leq r) \\ &\Leftrightarrow [|\phi|_i r \leq r \wedge |\psi|_i r \leq r. \end{aligned}$$

It thus suffices to show $\forall p. (RP(|\phi\rangle_i p) \wedge RP(\langle\psi|_i p) \Rightarrow RP(p))$ in order to conclude that $r = 1_i$, by Noethericity (resp. well-foundedness) of ψ (resp. ϕ).

Let $p \in K_i$, set $|\phi\rangle_i(p) = p_\phi$ and $\langle\psi|_i(p) = p_\psi$ and suppose that $RP(p_\phi)$ and $RP(p_\psi)$ hold. Note that

$$\phi p = d_i(\phi p)\phi p = |\phi\rangle_i(p)\phi p \leq p_\phi \phi,$$

since $d(x)x = x$ by axiom **i**) from (3.1.3) and $p \leq 1_i$. We have a similar inequality for ψ , that is $p\psi \leq \psi p_\psi$. These inequalities, along with the unfold axioms from (3.1.8), give

$$\begin{aligned} \phi^{*i} p \psi^{*i} &\leq \phi^{*i} p + \phi^{*i} \phi p \psi \psi^{*i} + p \psi^{*i} \\ &\leq \phi^{*i} p + \phi^{*i} p_\phi \phi \psi p_\psi \psi^{*i} + p \psi^{*i}. \end{aligned}$$

The outermost summands are below $|\hat{A}^{*j}\rangle_j(\psi^{*i} \phi^{*i})$. Indeed, $\text{id}_{S_j} = |1_j\rangle_j \leq |\hat{A}^{*j}\rangle_j$ since $1_j \leq \hat{A}^{*j}$, $p \leq 1_i$ and $\phi^{*i}, \psi^{*i} \leq \psi^{*i} \phi^{*i}$.

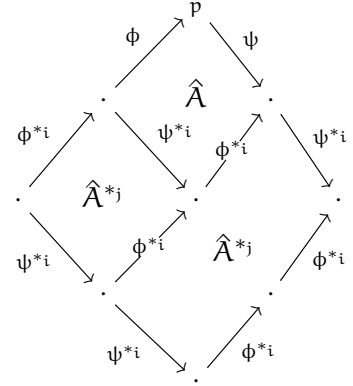
For the middle summand, we calculate

$$\begin{aligned} \phi^{*i} p_\phi \phi \psi p_\psi \psi^{*i} &\leq \phi^{*i} p_\phi |A\rangle_j (\psi^{*i} \phi^{*i}) p_\psi \psi^{*i} \\ &\leq |\phi^{*i} p_\phi \hat{A} p_\psi \psi^{*i}\rangle_j (\phi^{*i} p_\phi \psi^{*i} \phi^{*i} p_\psi \psi^{*i}) \\ &\leq |\phi^{*i} p_\phi \hat{A} p_\psi \psi^{*i}\rangle_j (|\hat{A}^{*j}\rangle_j (\psi^{*i} \phi^{*i}) \phi^{*i} p_\psi \psi^{*i}) \\ &\leq |\hat{A}\rangle_j (|\hat{A}^{*j} \phi^{*i} p_\psi \psi^{*i}\rangle_j (\psi^{*i} \phi^{*i} p_\psi \psi^{*i})) \\ &\leq |\hat{A} \odot_j \hat{A}^{*j} \phi^{*i} p_\psi \psi^{*i}\rangle_j (\psi^{*i} \phi^{*i} p_\psi \psi^{*i}) \\ &\leq |\hat{A} \odot_j \hat{A}^{*j}\rangle_j (\psi^{*i} \phi^{*i} p_\psi \psi^{*i}). \end{aligned}$$

The first step uses the local i -confluence filler hypothesis, the second whiskering properties from (4.2.3) and the third $RP(p_\phi)$. The fourth step is again by whiskering properties, and the fifth follows from axiom **ii**) in (3.1.3) and the definition of diamond operators. The final step is by whisker absorption, see (4.2.3). A similar arguments yields

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$$\begin{aligned}
|\hat{A} \odot_j \hat{A}^{*j}; (\psi^{*i} \phi^{*i} p_\psi \psi^{*i})| &\leq |\hat{A} \odot_j \hat{A}^{*j}; (\psi^{*i} \hat{A}^{*j}); (\psi^{*i} \phi^{*i})| \\
&\leq |\hat{A} \odot_j \hat{A}^{*j}; (|\psi^{*i} \hat{A}^{*j}; (\psi^{*i} \phi^{*i})|)| \\
&\leq |\hat{A} \odot_j \hat{A}^{*j} \odot_j \psi^{*i} \hat{A}^{*j}; (\psi^{*i} \phi^{*i})| \\
&\leq |\hat{A} \odot_j \hat{A}^{*j} \odot_j \hat{A}^{*j}; (\psi^{*i} \phi^{*i})|.
\end{aligned}$$



The first step follows from $RP(p_\psi)$ and the second by whiskering properties. The third step follows from axiom **ii**) in (3.1.3) and the definition of diamond operators as in the preceding calculation. The final step follows from whisker absorption. Finally, we observe that

$$\hat{A} \odot_j \hat{A}^{*j} \odot_j \hat{A}^{*j} \leq \hat{A}^{*j},$$

and thus by monotonicity of the diamond operator we may conclude that

$$\phi^{*i} p_\phi \phi \psi p_\psi \psi^{*i} \leq |\hat{A}^{*j}; (\psi^{*i} \phi^{*i})|.$$

This shows that $\forall p (RP(p_\phi) \wedge RP(p_\psi) \Rightarrow RP(p))$ and thus that $r = 1_i$, which completes the proof. \square

4.3.3. Remark. Similarly to the discussion in Remark 2.3.11 in the context of polygraphs, the proofs of Theorems 4.2.8 and 4.3.2 resemble those for 1-dimensional results modal Kleene algebra in [8, 38]. Considering exclusively the induction axioms and deductions applied to j -dimensional cells yields the same proof structures as for modal Kleene algebras. Globular modal n -Kleene algebra therefore form a natural higher-dimensional generalisation of modal Kleene algebras in which proofs of coherent confluence can be calculated. The consistency of the abstract algebraic results from the previous sections with the point-wise polygraphic results from Section 2.3 is made explicit in the next and final section.

4.4. Instantiation to polygraphs

In the previous sections we have specified and proved Kleene algebraic versions of Theorems 2.3.5 and 2.3.8. Now we show that they provide faithful abstractions of the original polygraphic results, instantiating Theorems 4.2.8 and 4.3.2 to the polygraphic model of globular higher Kleene algebras from Section 3.3.

First we add an operation of conversion to higher Kleene algebra, to capture zig-zag sequences faithfully. We fix an n -polygraph P and a cellular extension Γ of the $(n, n-1)$ -category P_n^T .

4.4.1. Converses. A *Kleene algebra with converse* [1] is a Kleene algebra K equipped with an operation $(-)^V : K \rightarrow K$ that satisfies

$$\begin{aligned}
(a + b)^V &= a^V + b^V, & (a \cdot b)^V &= b^V \cdot a^V, \\
(a^*)^V &= (a^V)^*, & (a^V)^V &= a, & a &\leq a a^V a.
\end{aligned}$$

It is an involution that distributes through addition, acts contravariantly on multiplication and commutes with the Kleene star. A *modal Kleene algebra with converse* [7] is then a modal Kleene algebra which is also a Kleene algebra with converse.

4.4.2. (n, p) -Kleene algebra. A modal (n, p) -Kleene algebra K is a modal n -Kleene algebra equipped with operations $(-)^{\vee_j} : K_{j+1} \rightarrow K_{j+1}$ for $p \leq j < n - 1$ and an operation $(-)^{\vee_{n-1}} : K \rightarrow K$, satisfying the axioms listed above for all appropriate multiplications: for all $\phi, \psi \in K_{j+1}$,

$$\begin{aligned} (\phi + \psi)^{\vee_j} &= \phi^{\vee_j} + \psi^{\vee_j}, & (\phi \odot_j \psi)^{\vee_j} &= \psi^{\vee_j} \odot_j \phi^{\vee_j}, \\ (\phi^{*j})^{\vee_j} &= (\phi^{\vee_j})^{*j}, & (\phi^{\vee_j})^{\vee_j} &= \phi, & \phi &\leq \phi \odot_j \phi^{\vee_j} \odot_j \phi, \end{aligned}$$

and $(-)^{\vee_{n-1}}$ satisfies the above axioms with $j = n - 1$ and for any elements of K .

Note that for $\phi \in K_i$ with $i < j$, we have $\phi^{\vee_j} = \phi$. This is a consequence of the fact that \odot_j is idempotent for elements of K_i .

4.4.3. Conversion in the polygraph model. The modal $(n + 1)$ -Kleene algebra $K(P, \Gamma)$ generated by P and Γ , as defined in (3.3.1), is a modal $(n + 1, n - 1)$ -Kleene algebra. For all $\phi \in K(P, \Gamma)_n$ and $A \in K$,

$$\phi^{\vee_{n-1}} := \{ u^- \mid u \in \phi \} \quad \text{and} \quad A^{\vee_n} := \{ \alpha^- \mid \alpha \in A \}$$

is well defined in the following sense: Every $\phi \in K(P, \Gamma)_n$ is a set of cells of dimension less than or equal to n . Any cell v of dimension $i < n$ is its own n -inverse, since we consider it as an identity. For any n -cell u , we know that u^- is well defined since if $u \in P_n^{\top}$ then $u^- \in P_n^{\top}$. The case of $(-)^{\vee_n}$ is similar.

4.4.4. Γ -coherence properties as fillers. Recall that Γ and P_n^* are themselves elements of $K(P, \Gamma)$, and that in Proposition 3.3.2 we observed that

$$\Gamma^c = 1_n \odot_{n-1} (\cdots \odot_2 (1_2 \odot_1 (1_1 \odot_0 \Gamma \odot_0 1_1) \odot_1 1_2) \odot_2 \cdots) \odot_{n-1} 1_n,$$

where Γ^c is the set of cells of Γ in context. In the following, we write P_n^c for the set of rewriting steps generated by P_n , which can be expressed in $K(P, \Gamma)$ as

$$P_n^c = (1_{n-1} \odot_{n-2} (\cdots \odot_2 (1_2 \odot_1 (1_1 \odot_0 P_n \odot_0 1_1) \odot_1 1_2) \odot_2 \cdots) \odot_{n-2} 1_{n-1}).$$

The construction of $K(P, \Gamma)$ is compatible with Γ -coherence properties in the following sense:

4.4.5. Proposition. *With $\Gamma' := (\Gamma^c)^{*n}$,*

- i) Γ is a (local) confluence filler for P if, and only if, Γ' is a (local) $(n - 1)$ -confluence filler for $((P_n^c)^{\vee_{n-1}}, P_n^c)$,
- ii) Γ is a Church-Rosser filler for P if, and only if, Γ' is an $(n - 1)$ -Church-Rosser filler for $((P_n^c)^{\vee_{n-1}}, P_n^c)$.

Proof. We prove the equivalence in the case of (global) confluence.

Suppose Γ is a confluence filler for P . An element $f^- \star_{n-1} g \in (P_n^c)^{\vee_{n-1}} \odot_{n-1} P_n^c$ corresponds to a branching (f, g) . By hypothesis, there exists an $\alpha \in P_n^{\top}[\Gamma]$ such that $s_n(\alpha) = f^- \star_{n-1} g$ and α

4. Algebraic coherent confluence

is an n -composition of rewriting steps, so $\alpha \in \Gamma'$. Furthermore, the n -target of α is a confluence, so $\alpha \in \Gamma' \odot_n (\mathbb{P}_n^c \odot_{n-1} (\mathbb{P}_n^c)^{\vee_{n-1}})$. In terms of equations, this means that

$$(\mathbb{P}_n^c)^{\vee_{n-1}} \odot_{n-1} \mathbb{P}_n^c \subseteq d_n \left(\Gamma' \odot_n (\mathbb{P}_n^c \odot_{n-1} (\mathbb{P}_n^c)^{\vee_{n-1}}) \right) = |\Gamma'|_n \left(\mathbb{P}_n^c \odot_{n-1} (\mathbb{P}_n^c)^{\vee_{n-1}} \right),$$

that is, Γ' is an $(n-1)$ -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$.

Conversely, if Γ' is an $(n-1)$ -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$, then, for any branching (f, g) , we know that $f^- \star_{n-1} g \in d_i(\Gamma' \odot_n (\mathbb{P}_n^c \odot_{n-1} (\mathbb{P}_n^c)^{\vee_{n-1}}))$. Thus there is a cell $\alpha \in \Gamma'$ with n -source $f^- \star_{n-1} g$ and whose n -target is a confluence. Since $\alpha \in \Gamma'$, it must be a composition of rewriting steps of Γ . Thus Γ is a confluence filler for P , and the remaining cases are similar. \square

Proposition 4.4.5 allows us to instantiate our main results, Theorems 4.2.8 and 4.3.2, in the polygraphic model and obtain the original theorems of polygraphic rewriting as corollaries. Theorems 4.4.6 and 4.4.7 below correspond exactly to Theorems 2.3.5 and 2.3.8, but are obtained through Kleene algebraic proofs.

4.4.6. Theorem (Church Rosser for n -polygraphs). *Let P be an n -polygraph and Γ a cellular extension of \mathbb{P}_n^\top . Then Γ is a confluence filler for P if, and only if, Γ is a Church-Rosser filler for P .*

Proof. Suppose first that Γ is a confluence filler for P . Using the result and notations from Proposition 4.4.5, we know that Γ' is an $(n-1)$ -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. Applying Theorem 4.2.8 to $K(P, \Gamma)$ for $i = n-1$ and $j = n$ shows $\widehat{\Gamma'}^{*n}$ is an $(n-1)$ -Church-Rosser filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. Then, using $(\mathbb{P}_n^c + (\mathbb{P}_n^c)^{\vee_{n-1}})^{*n-1} = \mathbb{P}_n^\top$ yields

$$\widehat{\Gamma'}^{*n} = \left(\mathbb{P}_n^\top \odot_{n-1} (\Gamma^c)^{*n} \odot_{n-1} \mathbb{P}_n^\top \right)^{*n} \subseteq \left((\mathbb{P}_n^\top \odot_{n-1} \Gamma^c \odot_{n-1} \mathbb{P}_n^\top)^{*n} \right)^{*n} = \Gamma',$$

where the first step is by definition, the second uses the fact that the n -star is a lax morphism for $(n-1)$ -multiplication, see (3.2.16), and the third uses the fact that Γ^c absorbs whiskers and that $(A^{*n})^{*n} = A^{*n}$. Since, additionally, $\Gamma' \subseteq \widehat{\Gamma'}^{*n}$, Γ' is an $(n-1)$ -Church-Rosser filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. By Proposition 4.4.5, this allows us to conclude that Γ is a Church-Rosser filler for P .

For the trivial direction, suppose Γ is a Church-Rosser filler for P . Proposition 4.4.5 implies that Γ' is an $(n-1)$ -Church-Rosser filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. As pointed out at the end of (4.2.1), this means that Γ' is an i -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$, and it follows that Γ is a confluence filler for P . \square

4.4.7. Theorem (Newman for n -polygraphs). *Let P be a terminating n -polygraph and Γ a cellular extension of \mathbb{P}_n^\top . Then Γ is a local confluence filler for P if, and only if, Γ is a confluence filler for P .*

Proof. Suppose Γ is a local confluence filler for P . Using the result and notations from Proposition 4.4.5, we know that Γ' is an $(n-1)$ -local confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. We apply Theorem 4.3.2 to $K(P, \Gamma)$ for $i = n-1$ and $j = n$, obtaining that $\widehat{\Gamma'}^{*n}$ is an $(n-1)$ -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. As in the proof of the previous theorem, $\widehat{\Gamma'}^{*n} = \Gamma'$, which allows us to conclude that Γ is a confluence filler for P , again by Proposition 4.4.5.

For the trivial direction, suppose Γ is a confluence filler for P . As above, we deduce that Γ' is an $(n-1)$ -Church-Rosser filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$. Again, as pointed out at in (4.2.1), this means that Γ' is a local i -confluence filler for $((\mathbb{P}_n^c)^{\vee_{n-1}}, \mathbb{P}_n^c)$, by which we conclude that Γ is a local confluence filler for P via Proposition 4.4.5. \square

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