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# Detection of nucleotides adsorbed onto clay by UV Resonant Raman spectroscopy: a step towards the search for biosignatures on Mars

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1 **Keywords:** Deep UV resonant Raman spectroscopy; nucleotides; biosignature; adsorption

## 2 **Abstract**

3 The payload of Mars 2020 space mission includes a deep UV resonance Raman and fluorescence  
4 spectrometer SHERLOC dedicated to the detection of luminescence and Raman signal of condensed  
5 carbon and aromatic organics that could potentially be biosignatures. Among minerals detected  
6 on Mars surface, phyllosilicates exhibit a strong affinity to organic molecules, including nucleotides,  
7 which adsorption mechanisms onto clay minerals have been well documented, whilst there is a  
8 lack of an overview of Raman studies of organics adsorbed onto phyllosilicates. Here, we used a  
9 deep UV resonant Raman setup to track down the signature of the nucleotide desoxyguanosine-  
10 5'-monophosphate (dGMP) adsorbed onto selected minerals, pyrophyllite, chlorite, nontronite and

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11 montmorillonite. Excitation with a 244 nm laser indeed avoids luminescence of natural phyllosili-  
12 cates and enhances the Raman signal of the organic molecule chosen here as a model biosignature.  
13 However, the deep UV energy of the laser focused onto the samples may induce severe photo-damage  
14 to the organic compound without adequate precaution. We used the Raman signature of dGMP  
15 to characterize deep UV effect after an irradiation of several minutes (8 - 260 mJ) until a stable  
16 spectroscopic signal is detected and could show that it is sensitive to minute amount of dGMP  
17 and adsorption mechanism. The effect of widespread oxidants such as perchlorate on the Martian  
18 surface is also investigated here because of potential implication in the degradation of nucleotides  
19 under UV irradiation. In this study we also discuss the strategy for the detection and preservation  
20 of adsorbed biomolecules onto clay surfaces.

## 21 1 Introduction

22 The landing site of the Mars 2020 mission will be the Noachian-aged Jezero crater where high-resolution  
23 data from the Mars Reconnaissance Orbiter (MRO) has identified clay-rich fluvial-lacustrine sediments  
24 (Ehlmann et al., 2008). This crater contains phyllosilicates including iron-magnesium rich smectite clays  
25 (Bibring et al., 2006; Mangold et al., 2007; Poulet et al., 2005). Some regions of interest, particularly rich  
26 in clay minerals are planned to be investigated. Like in Earth's soils or any environment resulting from  
27 aqueous or hydrothermal alteration of rocks, phyllosilicates are often in close association with organic  
28 matter. Multiple studies have shown that in detrital sedimentary systems, preservation of organic  
29 compounds through geological time, is favored and enhanced by rapid burial in fine-grained, clay-rich  
30 sediments (Farmer and Des Marais, 1999; Meyers and Ishiwatari, 1993).

31 With this favorable mineralogy and considering Jezero lake as a final closed basin, both primary and  
32 transported organics could be sequestered in the clay-rich deposits of the western Jezero delta. These  
33 sediments recorded multiple episodes of aqueous activity on early Mars and are likely to preserve organic  
34 compounds (Freissinet et al., 2015) and potential biosignatures (Grotzinger et al., 2014). The challenge  
35 for the future mission is to enforce the instruments of its payload to track down organic compounds and  
36 search for potential biotic signature to secure the science return samples mission.

37 Deep UV Resonant Raman spectroscopy (DUV-RRS) is a key technique for *in situ* detection and

38 analyses of organic compounds on Mars. The payload of the Mars 2020 rover includes a proximity  
39 science instrument, SHERLOC, dedicated to fluorescence spectroscopy and DUV-RRS (Beegle et al.,  
40 2014; Beegle and Bhartia, 2016). The advantage of the latter technique has been demonstrated in  
41 the past with short wavelength laser lines (244, 248.6, 266 nm) to characterize aromatic hydrocarbons  
42 (Asher and Johnson, 1984), carboxylic acids or amino acids within Mars's regolith simulant (Abbey et al.,  
43 2017). With a similar setup, time resolved fluorescence spectroscopy was also applied to discriminate  
44 between individual PAHs and kerogen (Eshelman et al., 2018; Shkolyar et al., 2018). Expected detection  
45 limits are as low as  $10^{-6}$  and  $10^{-3}$  (organics/minerals in wt/wt) for aromatic and aliphatic organics,  
46 respectively; mineral can be identified from their Raman spectra on grains as small as 50  $\mu\text{m}$  (Williford  
47 et al., 2018).

48 Beyond the ability of DUV-RRS to detect organic compounds, it has been recently showed by Sapers  
49 et al. (2019) that the technique also has the potential to discriminate the biological compounds in a  
50 complex living cell from a mixture of matching aromatic molecules. The DUV-RR biological signature is  
51 mostly ascribed to nucleotides and allowed to interrogate the biological *vs.* abiotic origin of the spectra,  
52 which are highly variable in meteorites for instance (Steele et al., 2018).

53 Nested nucleotides onto clay minerals are well documented (Ferris and Hagan Jr., 1986; Ferris et al.,  
54 1989; Ferris, 2005). A generalized comprehensive model has recently become available and describes  
55 the effect of the phosphate reactivity of nucleotides for their adsorption onto phyllosilicates particles  
56 (Pedreira-Segade et al., 2018a), while a suite of high resolution techniques (X-Ray microscopy, surface  
57 chemistry, theoretical calculations ...) unveiled the detailed adsorption configuration and location of  
58 nucleotides on clay surfaces. Unfortunately, there is still a lack of an overview in Raman studies to  
59 investigate the adsorbates.

60 We therefore used a DUV-RRS setup to characterize the signature of DNA 2'-Deoxyguanosine 5'-  
61 Monophosphate (dGMP) as a model nucleotide adsorbed onto selected clay mineral powders. dGMP  
62 solutions of different concentrations between 10 mM and 0.4 mM, were mixed with mineral to get  
63 aqueous suspensions of 2-4 mg of mineral powder in 1 mL, in order to probe the sensitivity of DUV-  
64 RR spectroscopy to known adsorption mechanisms (see details in Feuillie et al. (2013); Pedreira-Segade  
65 et al. (2016)). Three groups of phyllosilicates with different crystal structures have been examined in this

66 study: montmorillonite and nontronite from smectite group, pyrophyllite from talc group and chlorite.  
67 All of them have been described in deposits within craters on Mars (Ehlmann et al., 2009; Marzo  
68 et al., 2010; Ehlmann and Edwards, 2014). Chlorite and pyrophyllite are common accessory minerals  
69 in low-to-medium grade regional metamorphic rocks and their crystallographic structures present major  
70 differences with the ones of smectites.

71 Using deep UV laser at 244 nm wavelength has multiple advantages. First, it staves off the lumines-  
72 cence generated by the minerals and the organics, usually starting around 270 nm and extending to the  
73 visible range. Attempts to measure the Raman spectra of such samples with classic visible wavelength  
74 (532, 633 nm) have always failed and led to the burning of the sample. Second, deep UV wavelength  
75 enhances the Raman signal of some organic molecular structures (Quirico et al., 2008; McMillan et al.,  
76 2009; Montagnac et al., 2016). The heterocyclic aromatic ring present in the nucleobase of every nu-  
77 cleotide has a strong  $\pi - \pi^*$  transition around 260 nm, which Raman vibration modes are enhanced  
78 when excited close to this wavelength. Third, this work also allows to investigate the deleterious effect  
79 of high energy deep UV radiations on the preservation of organic biosignatures and get insights into  
80 the consequences of the UV radiations received on Mars (Poch et al., 2015; Fornaro et al., 2018a)), as  
81 well as the potential implication of perchlorate on the detection and stability of nucleotides under UV  
82 irradiation (Fornaro et al., 2018b). Several missions have indeed confirmed the presence of perchlorate  
83 at concentrations between 0.5 and 1% in martian soils (e.g., Hecht et al. (2009)).

84 The bactericidal potential of the three combined components that are iron oxides, hydrogen peroxide  
85 and UV irradiated perchlorates in Mars's environment has been demonstrated (Wadsworth and Cockell,  
86 2017). However, notable ability to preserve organic molecules has been observed in terrestrial analog  
87 sites characterized by halite- and perchlorate-rich hypersaline subsurface deposits, which are widespread  
88 on Mars (Fernández-Remolar et al., 2013; Ertem et al., 2017). Perchlorates are highly soluble and are  
89 generally preserved in locations characterized by low water activity (Wadsworth and Cockell, 2017).  
90 Consequently, we have also conducted adsorption experiment with 4 mM dGMP and additional 0.3  
91 mM NaClO<sub>4</sub>. All experiments were performed at natural pH close to 7, in agreement with the circum-  
92 neutral pH conditions proposed in many locations on Mars, such as the Gale crater (Grotzinger et al.,  
93 2014). More acidic conditions have also been proposed, like in the Burns formation, Meridiani Planum

94 (McLennan et al., 2005) but were not investigated in the current contribution.

95 This work points out to the ability and limits of DUV-RRS as an *in situ* technique to detect organic  
96 signatures and potential specific biosignatures in the search of traces of life for future missions to Mars.  
97 Protocols still need to be improved and applied carefully to avoid damaging organic molecules, which  
98 would definitely preclude any assessment of their potential biological origin.

## 99 2 Sample selection and methods

### 100 Adsorption of nucleotides onto clay minerals

101 The nucleotide 2'-Deoxyguanosine 5'-Monophosphate (dGMP) is composed of a phosphate group, a  
102 sugar moiety and a guanine nucleobase. Nucleotides exhibits different chemical compositions depending  
103 on nucleobase and presence or absence of hydroxyl group on the sugar moiety in RNA and DNA, respec-  
104 tively. Nevertheless, previous detailed studies have shown that they behave as homologous molecules  
105 with respect to their adsorption mechanism on clay minerals under circumneutral pH (see review by  
106 Pedreira-Segade et al. (2018a) and references therein). Under the conditions investigated in the present  
107 study, dGMP is tightly adsorbed through ligand exchange between the phosphate group and the hy-  
108 droxyls of the broken edges of phyllosilicates. It is strong enough to prevent desorption upon dilution.  
109 This similarity in adsorption mechanism allowed us to perform all experiments on dGMP and consider  
110 the results as representative of those for nucleotides in general.

111 The dGMP sodium salt was purchased from Sigma Aldrich™ with high purity (>99%) and dissolved  
112 into deionized water to reach the targeted concentrations, i.e., 10, 4, 2 and 0.4 mM dGMP. Montmo-  
113 rillonite (SAz1, from Arizona, USA,  $(\text{Si}_{7.95}\text{Al}_{0.05})(\text{Al}_{2.75}\text{Fe}_{0.17}^{3+}\text{Mg}_{1.07})\text{O}_{20}(\text{OH})_4\text{Na}_{1.11}$ ; see Paineau et al.  
114 (2011)), nontronite (NAu2, from Australia,  
115  $(\text{Si}_{7.55}\text{Al}_{0.16}\text{Fe}_{0.29}^{3+}(\text{Al}_{0.34}\text{Fe}_{3.54}^{2+}\text{Mg}_{0.05}))\text{O}_{20}(\text{OH})_4\text{Na}_{0.72}$ ; see Gates et al. (2002)), chlorite (CCa-2 ripidolite,  
116 from California, USA,  $(\text{Si}_{2.65}\text{Al}_{1.35})(\text{Mg}_{2.92}\text{Al}_{1.15}\text{Fe}_{0.21}^{3+}\text{Fe}_{1.68}^{2+}\text{Mn}_{0.01})\text{O}_{10}(\text{OH})_8$ , see Gailhanou et al. (2009))  
117 used in the present work were purchased from the Source Clay Minerals Repository of the Clay Mineral  
118 Society (Purdue University, USA). Pyrophyllite was prepared from Stumat™ samples. The smectite  
119 group is represented by montmorillonite and nontronite minerals. They have a common stacking se-

120 quence T-Oc-T-INT (T, tetrahedral layer, Oc, octahedral layer and INT, inter-layer), in which INT sites  
121 are filled by cations such as  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Li}^+$  and/or  $\text{Mg}(\text{H}_2\text{O})_6$  units and sandwiched between T-Oc-T  
122 layers. Pyrophyllite ( $\text{Al}_2\text{Si}_4\text{O}_{10}(\text{OH})_2$ ), from the talc group has a T-Oc-T staking sequence and the chlo-  
123 rite group has a general structure with layers of edge-sharing  $(\text{Mg,Fe,Al})(\text{OH})_6$  octahedra sandwiched  
124 in between T-Oc-T layers. All minerals have been purified and characterized in details for their surface  
125 physical and chemical properties, e.g. purity, specific surface area, cation exchange capacity, etc. in  
126 earlier studies (Table S2) (Feuillie et al., 2013; Pedreira-Segade et al., 2016). The sub-micron size clay  
127 particles were stored as homogeneous mineral suspensions in deionized water, with controlled mineral  
128 concentration before use in adsorption experiment.

129 Adsorption of dGMP onto phyllosilicates was performed through batch experiments under ambient  
130 conditions of pressure and temperature, at natural pH close to 7. The pH was close to neutrality but the  
131 solutions are not buffered. The dGMP solution was mixed with carefully weighted minerals ( $2\text{-}4\text{ mg} \pm 10$   
132  $\mu\text{g}$ ) in 2 mL Eppendorf safe-lock tubes. The tubes were vortexed for 10 s to achieve homogenization  
133 and then allowed to stand in a dark water bath at  $25\text{ }^\circ\text{C}$  for 24 h to ensure adsorption equilibrium.  
134 Afterwards, the suspensions were centrifuged for 25 min at  $16,100\text{ g}$  (acceleration of gravity). Finally,  
135 the separated supernatant was analyzed using UV spectrophotometry at 260 nm to check that the  
136 equilibrium concentration of dGMP was consistent with our previous detailed studies (Feuillie et al.,  
137 2013; Pedreira-Segade et al., 2016). The pellet of adsorbed dGMP onto the clay fine grains was re-  
138 suspended in pure water, deposited onto a silica glass slide and was allowed to air dry prior to Raman  
139 measurement. As above mentioned, the strong binding of the nucleotides on the clay platelets precluded  
140 desorption. This procedure led to the deposition of a thin film homogeneous and flat enough to be  
141 suitable for Raman measurements with our UV setup.

## 142 **DUV-RRS and UV irradiation damage**

143 A LabRam HR800 UV from Horiba<sup>TM</sup> manufacturer was used for all UV measurements. The Raman  
144 diffusion was excited with an argon ion laser tuned blue (488 nm) and frequency doubled at 244 nm by a  
145 BBO crystal in an external cavity (Spectra Physics<sup>TM</sup> Wavetrain). The laser beam was focused onto the  
146 sample with an OFR<sup>TM</sup> LMU x40 objective. The probe spot has a diameter of around  $3\text{ }\mu\text{m}$ . We used a

147 3600 grooves/mm grating to collect Raman spectra in the wavelength ranging from 800 to 1900  $\text{cm}^{-1}$ .  
148 We selected this spectral range as the Raman fingerprint of nucleotide signature. Moreover symmetric  
149 stretching vibrations of phosphate and chlorate are expected to lie in between 900 and 1200  $\text{cm}^{-1}$ . The  
150 second order of the spectrum is characterized by a very low signal in the range of 2500 to 3500  $\text{cm}^{-1}$   
151 with Raman bands at 2800, 2955, 3070  $\text{cm}^{-1}$  superimposed on a broad signal spreading over the whole  
152 spectral range. The dielectric Raman filter has a cutoff around 400  $\text{cm}^{-1}$  and prevents measurements  
153 below. With this setup, the spectral resolution was as high as 1  $\text{cm}^{-1}$ .

154 We present here DUV-RR spectra with limited pre-processing treatments. A linear baseline was  
155 subtracted to the raw data over the whole wavenumber range. In the deep UV range, the Raman signal  
156 usually has no background. All spectra have been normalized to maximum peak intensity. In most  
157 spectra in this study, the most intense peak was at 1490  $\text{cm}^{-1}$  except a few samples, where the 1600  
158  $\text{cm}^{-1}$  band was very intense (pyrophyllite and sample of 0.4 mM of dGMP onto montmorillonite).

159 During Raman measurements and despite all efforts, the absorption of ultraviolet (UV) light by  
160 nucleotides caused inevitable damage to the molecule (Kumamoto et al., 2011). The static exposure to  
161 deep UV light induces instantaneous degradation of the molecule and creates a characteristic Raman  
162 signature of aromatic clusters composed of a strong, broad and asymmetric band with a maximum  
163 centered around 1600  $\text{cm}^{-1}$ . In order to minimize this deleterious effect and investigate the degradation  
164 of the organic molecule by UV, we used a spinning stage to hold sample films and keep the laser focused  
165 on (Wang et al., 2011). The sample film is rotating under the standing still objective and the rotation  
166 speed was adjusted to 20 rpm. Thus, the surface probed by the laser beam during the acquisition time is  
167 a circle with radius given by the offset distance between the axis of the objective and the rotating stage  
168 one (2.5 mm). The laser spot on the sample has a waist diameter around 3  $\mu\text{m}$ . Therefore, the surface of  
169 the sample irradiated and probed during Raman acquisition is around  $4.7 \times 10^{-4} \text{ cm}^2$ . The signal is the  
170 average of the Raman diffusion on this surface that is much larger than the laser spot size (7  $\mu\text{m}^2$ ). This  
171 also minimizes the potential effects of sample inhomogeneity. According to Carrier et al. (2019), the  
172 depth penetration of deep UV laser beam (around 250 nm, UVC) could be 500  $\mu\text{m}$  or higher in minerals  
173 like kaolinite or gypsum. However, changing the focus of the laser across the film surface systematically  
174 induced an exponential decrease of the Raman signal. Thus, all measurements were performed at the



175 focusing point of the laser on the surface of the samples. DUV-RR spectra were acquired after (1) 20  
176 seconds of acquisition time, (2) 200 seconds of acquisition time. To follow the evolution of the signal as  
177 a function of exposure time, we monitored and plotted Raman spectra as 2D images with time lapse of  
178 10 seconds acquisitions during 400 seconds.

179 Working with a mean power of 0.4 to 1.3 mW ( $0.8 \times 10^3$  to  $2.7 \times 10^3$  mW/cm<sup>2</sup>) during 20 seconds  
180 up to 200 seconds, the maximum amount of energy deposited on the samples is  $5.5 \times 10^5$  mJ/cm<sup>2</sup>. This  
181 value is 3 magnitudes greater than the energy of the natural UV flux on the surface of Mars as estimated  
182 by Patel et al. (2003, 2002). Assuming a dust free atmosphere at the noontime equator, the light flux  
183 is of  $1.4 \times 10^{15}$  photons s<sup>-1</sup> cm<sup>-2</sup>, corresponding to  $2.2 \times 10^2$  mJ/cm<sup>2</sup> for 200 seconds of exposition.

### 184 3 Results

185 The film of pure dGMP dried from a 10 mM solution, displays intense DUV-RR modes. These modes  
186 are associated to the in-plane vibrations of the purine heterocycles. We compare these spectra with the  
187 non-resonant one acquired at 532 nm, on a dGMP solution close to saturation (Figure 1). The DUV-  
188 RR spectrum has enhanced Raman bands with similar positions as the non-resonant Raman spectrum.  
189 These modes are visible even at low concentration when dGMP is adsorbed onto clay minerals (Figure 2),  
190 whilst they are utterly invisible with 532 nm excitation because of a huge luminescence background and  
191 strong absorption of visible light, burning the film.

192 The strongest Raman modes are listed ( $\nu_1$  to  $\nu_6$  in Figure 1 and Table 1) and attributed based on  
193 previous assignment (Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017). Stripes with the same  
194 color pallet are used throughout this study to highlight these different wavenumber ranges. The 1490  
195 cm<sup>-1</sup> peak ( $\nu_4$ ) is primarily related to three stretching modes of C2=N3 + C4=C5 + N7=C8 of the  
196 nucleobase. This mode extends across both rings in guanine. Another vibration mode at ca. 1360 cm<sup>-1</sup>  
197 ( $\nu_3$ ) also involves both the pyrimidine and imidazole rings, C2=N3 C4-N9. The 1325 cm<sup>-1</sup> peak ( $\nu_2$ )  
198 corresponds to a pure imidazole ring stretching mode and is localized around the N7 nitrogen atom.  
199 Other strong modes at 1570 ( $\nu_5$ ) and 1605 cm<sup>-1</sup> ( $\nu_6$ ) are pure pyrimidine ring modes. The last one also  
200 involves the NH<sub>2</sub> group scissoring with the C2 carbon atom of the pyrimidine cycle. Eventually, we have  
201 highlighted in gold color the possible region ( $\nu_1$ ) attributed to the degenerated mode of PO<sub>4</sub> stretching

202 in dGMP molecule (Ghomi and Taillandier, 1985). The weak band at  $1700\text{ cm}^{-1}$  is attributed to C6=O  
203 vibration (Fodor et al., 1985).

204 The spectra in the bottom right part of Figure 1 illustrate the photochemical impact of UV-irradiation  
205 on dGMP nucleotide, that has two major effects:

206 1. A small swelling of the background between  $1200$  and  $1700\text{ cm}^{-1}$ . This background is related to  
207 an asymmetric broad band with a maximum around  $1600\text{ cm}^{-1}$  that is typical of sp<sup>2</sup> bonds in  
208 aromatic clusters (Ferrari and Robertson, 2001) and reveals a possible damage of some dGMP  
209 molecules. This band grows and stabilizes after 100 seconds exposure but doesn't overlap with the  
210  $1605$  and  $1570\text{ cm}^{-1}$  peaks of pyrimidine. Working with higher laser power of  $1.3\text{ mW}$  induces a  
211 similar but more intense swelling of the baseline. Under these conditions, positions and FWHM  
212 of the resonant modes are affected after only a few seconds of exposure, and well visible with 20  
213 seconds of acquisition only ( $26\text{ mJ}$ ). During an acquisition of 600 seconds or longer with low power  
214 ca.  $0.4\text{ mW}$  ( $240\text{ mJ}$  of energy), there is no evidence of damage except this slight swelling of the  
215 background.

216 2. The UV irradiation induces a softening of the backbone of the dGMP molecule, described as a  
217 "floppy" effect by Lee et al. (2017). As a consequence, the two Raman modes extending across  
218 both rings ( $\nu_3$  and  $\nu_4$ ) are decreasing in intensity, whereas  $\nu_2$  and  $\nu_6$  increase and particularly the  
219 last one,  $\text{NH}_2$  scissoring.

220 There is a power threshold beyond which the vibrational signature is definitely altered. We choose  
221 to work under the harshest conditions, though without burning the organic molecule. Spectra do not  
222 evolve anymore for acquisition times of 200 seconds ( $260\text{ mJ}$ ) and longer. In the range between  $950$ -  
223  $1150\text{ cm}^{-1}$ , broad bands appear, assumed to be representatives of the degenerated stretching modes  
224 of phosphate unbounded to mineral cations. A supplementary non-attributed band appears in dGMP  
225 spectrum around  $1505\text{ cm}^{-1}$  with  $1.3\text{ mW}$  of laser during 200 seconds. We also observe this band on  
226 dGMP adsorbed onto smectite samples (see Figures 2, 3 and 5).

## 227 **Effect of host mineral**

228 Figure 2 displays DUV-RR spectra of dried dGMP (10 mM) adsorbed onto phyllosilicates, i.e., mont-  
229 morillonite, nontronite (smectite group), pyrophyllite (talc group) and chlorite. The spectra of dGMP  
230 adsorbed onto swelling clay minerals have the highest signal to noise ratio. Next is on the chlorite  
231 substratum and eventually on the pyrophyllite. DUV-RR spectra of nontronite and montmorillonite are  
232 similar considering wavenumber positions and FWHM of Raman bands. A new non-attributed band is  
233 observed at ca.  $1505\text{ cm}^{-1}$  with these samples. The strong mode at  $1600\text{ cm}^{-1}$  in the pyrophyllite is  
234 attributed to possible contamination of the mineral surface before adsorption of dGMP. This peak is a  
235 typical signature of the G band of carbonaceous matter. It is also visible in the non-resonant spectra of  
236 this mineral.

237 The quality of the DUV-RR spectra is very encouraging and directly reflects adsorption mechanisms.  
238 Clay particles in the initial suspension potentially offer basal, inter-layer or lateral surfaces to adsorb  
239 the organic molecules of dGMP with different surface sites or processes, while nucleotides exhibit mainly  
240 phosphate and nucleobase reactive groups sensitive to pH or hydrogen bonding conditions.

241 In our experiments at ambient conditions of pressure, temperature, and natural pH, all nucleotides  
242 adsorb on the lateral surfaces of the clay minerals through ligand exchange between their phosphate  
243 group and the hydroxyl groups of the broken bonds of the minerals' edges until their reactive sites are  
244 fully covered. This corresponds to an equilibrium concentration of nucleotides of ca. 1 mM dGMP and  
245 adsorption densities between  $1.3$  and  $2.5\text{ }\mu\text{mol}/\text{m}^2$  (see Figure 1 in Pedreira-Segade et al. (2016)). This  
246 is the maximum adsorption of dGMP on non-swelling phyllosilicates such as pyrophyllite and chlorite,  
247 which display here the lowest DUV-RR intensity even with an initial nucleotide concentration as high  
248 as 10 mM.

249 However, for the swelling clay minerals (smectites) montmorillonite-SAz1 and nontronite-NAu2,  
250 the DUV-RR intensity is stronger and consistent with the increased adsorbed densities measured at  
251 equilibrium concentrations in excess of 1 mM. Beyond 1 mM, their adsorption density becomes linear as  
252 a function of the equilibrium concentration, indicating the opening of a second low-energy adsorption  
253 regime that has not yet been characterized and potentially involves multiple layers of nucleotides on the  
254 lateral surfaces or adsorption on the large basal surfaces of the swelling clay minerals. Characterization

255 of the latter mechanisms is beyond the scope of the present contribution. The possible adsorption of the  
256 nucleotide on the basal planes of swelling clay minerals has been assessed through classical molecular  
257 dynamics (MD) simulations by Mignon et al. (2019) in this same special issue. In this configuration, the  
258 nucleotide molecule also binds with the cations of the basal surfaces of the minerals and some vibrations  
259 of the molecule are reinforced, giving strongest Raman bands on montmorillonite and nontronite samples.

## 260 **Effect of nucleotide concentration**

261 The DUV-RR spectra of the dry films of dGMP adsorbed onto montmorillonite are plotted in Figure  
262 3, for three initial concentrations of 10, 2 and 0.4 mM. The quality of the spectra is very good and the  
263 signal of dGMP clearly identified even at the lowest concentration of 0.4 mM. The  $1490\text{ cm}^{-1}$  peak is still  
264 visible and well resolved despite a decrease in intensity by a factor 2. At the lowest initial concentration,  
265 all other modes tend to broaden and noise increases. Unfortunately, there is no evidence of a simple  
266 relation between the initial concentration of dGMP in the solution and the density of nucleotide adsorbed  
267 onto the montmorillonite surfaces.

268 One may notice that a strong signal appears in the range of  $\text{PO}_4$  vibration,  $900\text{-}1200\text{ cm}^{-1}$  (Ghomi  
269 and Taillandier, 1985), for the lowest dGMP initial concentration. This certainly reflects the different  
270 adsorption mechanisms of dGMP onto montmorillonite at low and high concentrations. At low equi-  
271 librium concentration below 1 mM, under the current experimental conditions, nucleotides and thereby  
272 dGMP adsorb only on the high energy sites of the lateral surface of the phyllosilicates, whether swelling  
273 or not. As mentioned above, the adsorption occurs through ligand exchanges between the phosphate of  
274 the nucleotide and the dangling bonds of the edges of the minerals. This ligand exchange is likely to  
275 enhance the Raman signal of the phosphate, due to the lack of direct interaction between the aromatic  
276 cycles and the phyllosilicates' basal surface.

277 However, at higher equilibrium concentrations in excess of 1 mM other adsorption mechanisms take  
278 place. They still require detailed investigation but these processes are likely to involve the adsorption of  
279 nucleotides on the basal surface of swelling clay minerals only, depending on the composition of the layers  
280 that screen the negatively charged surface in contact with the solutes (Mignon et al., 2019; Pedreira-  
281 Segade et al., 2018b). Despite the lack of details, it precludes the adsorption of the negatively charged

282 phosphate group and likely involves the rather neutral guanine nucleobase as illustrated in Figure 3 by  
283 the strong stretching vibrations of the nucleobase for 10 and 2 mM initial concentration.

## 284 **Effect of mineral grain size**

285 Powder of pyrophyllite (10  $\mu\text{m}$  size) was used to prepare a batch of dry films samples. In parallel, we  
286 crushed this powder to obtain smaller grain size (ca. 1  $\mu\text{m}$ ) of this mineral and prepared the dry films.  
287 Spectra collected onto crushed micron size pyrophyllite have less intensity compared to the ones of the  
288 original powder with coarser grains despite a larger reactive surface (Figure 4). It is a direct consequence  
289 of the diffusion of light lost in fine grains. However for long acquisitions of 200 seconds, the signal of  
290 dGMP is better preserved on the fine powder sample. The 1325, 1360, 1490 and 1570  $\text{cm}^{-1}$  bands are  
291 stronger while the one at 1600  $\text{cm}^{-1}$  overwrites the signal in the original coarse powder sample. This  
292 effect could be explained by the increased available surface of mineral to adsorb and protect dGMP. In  
293 this study we only investigated the effect of grain size with non-swelling mineral sample.

## 294 **Effect of perchlorate**

295 Dry films were obtained from montmorillonite powder with a solution of 4 mM of dGMP nucleotides and  
296 additional 0.3 mM of  $\text{NaClO}_4$  to investigate the influence of perchlorate on the stability of nucleotide.  
297 Perchlorate has a very intense peak at 930  $\text{cm}^{-1}$  and two weak peaks at 1095 and 1148  $\text{cm}^{-1}$  and no  
298 signal that overlaps the fingerprint of dGMP. In Figure 5 we compare the DUV-RR spectra obtained  
299 after 400 seconds of laser exposition and higher mean power (1.3 mW).

300 The dGMP signature is well preserved all along the acquisition time, in presence of perchlorate.  
301 Raman bands are narrow, the background between 1200 and 1800  $\text{cm}^{-1}$  is low, the 1505  $\text{cm}^{-1}$  peak  
302 still appears as a shoulder of the strong 1490  $\text{cm}^{-1}$  peak. Two effects could have an influence on  
303 the preservation of the dGMP signal (1) the effect of salinity on the adsorption of nucleotides onto  
304 phyllosilicates (Pedreira-Segade et al., 2018b) (2) the hydrogen bonding between dGMP molecule and  
305 perchlorate. It has been demonstrated by Toyama et al. (2005), that the UV-RR intensity of 2',3',5'-  
306 tri-O-acetyladenisine (adenine AcAdo) is correlated to hydrogen bonding at the proton acceptor N1, N3  
307 and N7 and donor C6-NH<sub>2</sub>. We probably observe a similar effect in the present experiment with dGMP,

308 i.e., the strength of hydrogen bonding between dGMP and perchlorate is reinforced and stabilizes the  
309 UV-RR bands of the organic molecule. In presence of perchlorate, the floppy effect due to UV irradiation  
310 is still visible on the Raman signal ( $\nu_3$  and  $\nu_4$  are decreasing,  $\nu_6$  is increasing) but much weaker and  
311 there is no clear evidence of degradation of the molecule.

312 Evolution of the DUV-RR signal is monitored with reconstructed 2D images and surface images from  
313 acquisition sequences of 10 seconds time lapse. Figure 6 shows a comparison of the evolution of dGMP  
314 onto montmorillonite surface with and without perchlorate. There is no evidence of broadening of the  
315 Raman bands with time exposition in 2D image in the presence  $\text{NaClO}_4$  perchlorate (Figure 6B and D).  
316 Intensities decrease but less than without perchlorate and stabilize quickly after 100 seconds (Figure 6A  
317 and C). The "crenelated" appearance of the surface image is attributed to the slight roughness of the  
318 dry film of samples prepared with perchlorate (Figure 6C). The film obtained with  $\text{NaClO}_4$  was pleated  
319 and during rotation of the stage, the focus was occasionally slightly out and the signal became weaker,  
320 leading this grooved shape to the surface image.

## 321 4 Conclusion and perspective for Mars instruments

322 We demonstrate in this preliminary work, that DUV-RRS offers a powerful way to track down nucleotide  
323 (dGMP), an essential "building block" of life adsorbed onto clay minerals substratum. The ability of  
324 phyllosilicates for nucleotides adsorption has been well documented for decades but no convincing Raman  
325 measurements had yet been performed. We have here examined the capacity and limits of this technique  
326 for specific detection of nucleotides as a potential biosignature.

327 To evaluate the effect of massive UV laser irradiation inherent to the technique, we investigated the  
328 evolution of the Raman signal produced by the dGMP adsorbed onto clay minerals. The DUV-RR  
329 signal of samples positioned on a spinning stage, was monitored until a stable signal was obtained. Very  
330 good resonant spectra of nucleotides were indeed obtained down to low initial concentration of 0.4 mM.

331 The DUV-RR peak intensities of nucleotide molecule may furthermore serve as a sensitive probe  
332 of the interactions with the clay minerals. At normal temperature and pressure conditions and  $\text{pH} \approx 7$ ,  
333 dGMP adsorbed onto swelling clay minerals studied here (montmorillonite, nontronite), has a stronger  
334 Raman signal than when adsorbed on pyrophyllite or chlorite. Our DUV-RR measurements also confirm

335 the low energy adsorption process of dGMP likely onto the basal surfaces of swelling clay minerals for  
336 equilibrium concentration in excess of 1 mM. The dGMP molecule binds to the basal surfaces of the  
337 swelling clay mineral in addition to the lateral surfaces. At 0.4 mM (under 1 mM) of initial dGMP  
338 concentration, Raman bands of the phosphate group are well detected, reflecting mostly the adsorption  
339 mechanism of dGMP by ligand exchange between the phosphate group and the dangling bonds of the  
340 lateral surfaces of the montmorillonite clay.

341 In the Martian context and next mission Mars 2020, our setup can't obviously be considered as  
342 a duplicate of the SHERLOC instrument but the present measurements share some of SHERLOC's  
343 challenges and will inform SHERLOC's field measurements. We have demonstrated the benefits of the  
344 DUV-RR technique to deliver a fluorescence-free signal in the Raman range and an intense resonance  
345 or pre-resonance Raman signal of some potentially biotic molecules like nucleotides adsorbed onto clay  
346 minerals. The present results show that it is possible to not only detect nucleotides *in situ* (onto the clay  
347 films), but also to distinguish between different adsorption mechanisms. The major differences between  
348 our setup and a fieldable planetary instrument are the spectral resolution and the fluence of the laser  
349 source device. Our successful protocol relies chiefly on a permanent movement of the sample under  
350 the laser beam during analysis that virtually increases the fluence of the laser source and successfully  
351 avoided thermal decomposition of the sample. We have worked here with a maximum of  $550 \times 10^2 \text{ J/cm}^2$   
352 to be compared with  $59 \text{ J/cm}^2$  for a SHERLOC-type instrument (Abbey et al., 2017). This suggests  
353 that the capacity for field measurements and potential of characterization of adsorbed nucleotides by  
354 DUV-RR spectroscopy on Mars could potentially benefit from strategic adjustment to minimize the  
355 energy deposited by the laser on the sample at one location and improve the preservation of the pristine  
356 biosignature of organics adsorbed on clay minerals. Since perchlorate is widely spread in martian soils  
357 and may also alter the preservation of biomolecules, we measured the effect of perchlorate on the stability  
358 of nucleotide adsorbed onto swelling clay minerals and could show that the addition of perchlorate was  
359 actually beneficial, due to enhanced hydrogen bonding between perchlorate and the purine heterocycle  
360 of dGMP.

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mode	wavenumber (cm <sup>-1</sup> )	vibration
$\nu_1$	900-1200	PO <sub>4</sub> degenerate stretching mode
$\nu_2$	1325	Imidazole ring stretching localized around N7
$\nu_3$	1360	C2=N3-C4-N9 stretching mode
$\nu_4$	1490	C2=N3 C4=C5 N7=C8 extending across both rings
$\nu_5$	1570	Pyrimidine stretching mode involving N3
$\nu_6$	1605	Pyrimidine ring mode and/or NH <sub>2</sub> scissor

Table 1: Strong modes observed in resonant Raman spectra of dGMP dry films. The attribution of Raman modes is from previous studies (Ghomi and Taillandier, 1985; Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017).

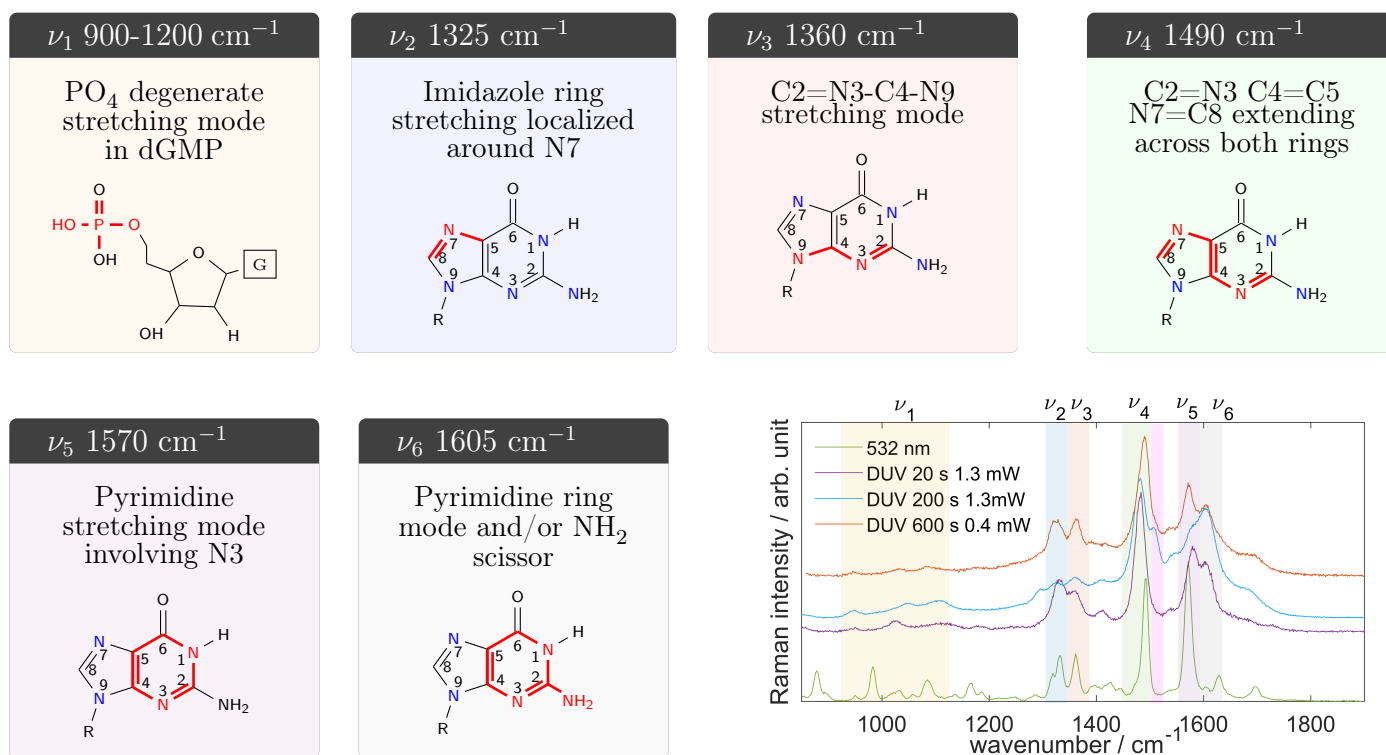


Figure 1: Peak positions of the DUV-RR modes of dGMP and their assignments according to present and previous results (Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017). Panels sketch intense vibrational modes highlighted in red in the nucleotide structure and indicate the corresponding wavenumber in the header of the box. They are color coded, and stripes with the same color superimposed to Raman spectra in all figures to highlight these vibrational modes. Bottom right: the Raman spectrum of a concentrated dGMP solution excited with 532 nm wavelength is compared to DUV-RR spectra of a dry dGMP film measured with a 244 nm excitation.

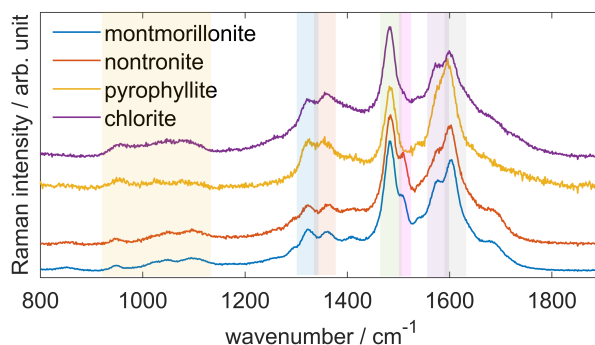


Figure 2: DUV-RR spectra of 10 mM of dGMP adsorbed onto some clay minerals and phyllosilicates, namely, montmorillonite, nontronite, pyrophyllite and chlorite. The in-plane vibrations of the purine (pyrimidine-imidazole) heterocycle are color-coded as in the panels of Figure 1.

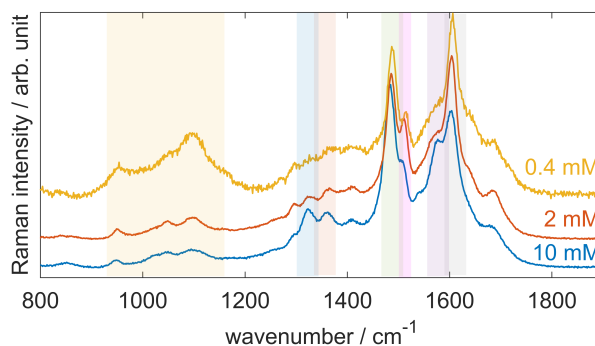


Figure 3: DUV-RR spectra of adsorbed dGMP with different concentrations 0.4, 2 and 10 mM on montmorillonite. Same color-code as in Figure 1.

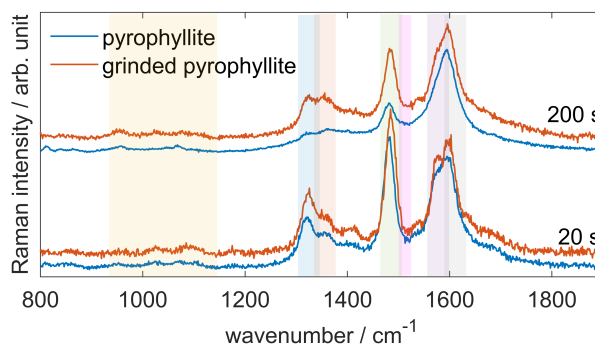


Figure 4: DUV-RR spectra of adsorbed dGMP onto pyrophyllite powder. Spectra in blue and red correspond to minerals with different grain size of 10 and 1  $\mu\text{m}$  or less, respectively. Same color-code as in Figure 1.

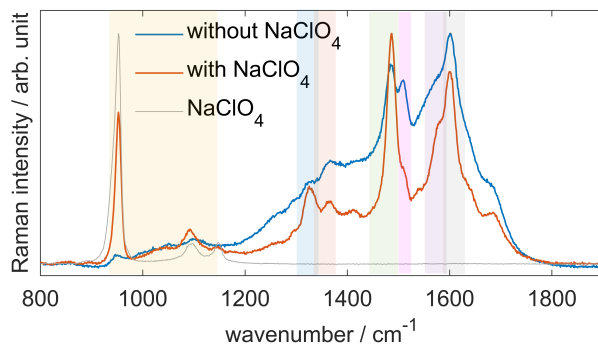


Figure 5: DUV-RR spectra of  $\text{NaClO}_4$  perchlorate deposit (grey), dGMP+perchlorate+montmorillonite (red) and dGMP+montmorillonite (blue). dGMP is diluted in perchlorate solution at 4 mM of concentration and adsorbed onto montmorillonite. The spectra are corrected with a linear baseline and normalized to the maximum intensity. Same color-code as in Figure 1.

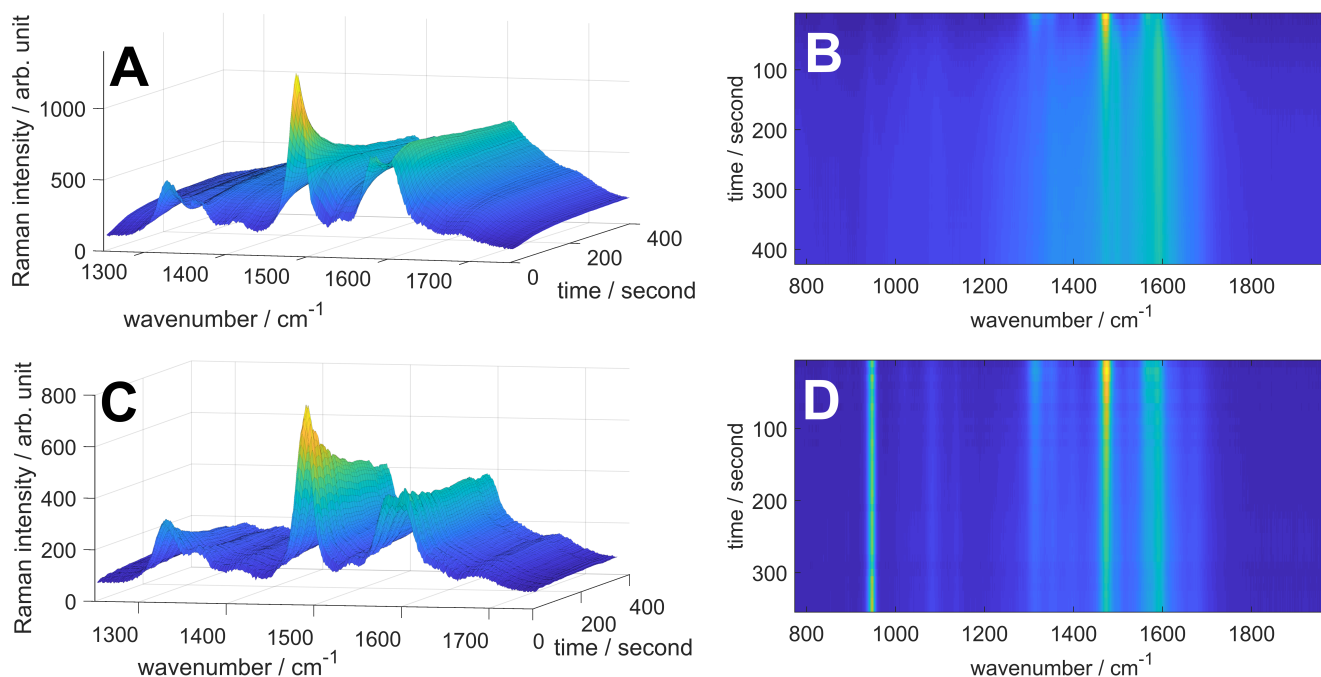


Figure 6: Evolution of DUV-RR spectra of dGMP adsorbed onto montmorillonite mineral as a function of time up to 400 seconds under the UV laser beam. Left column figures are surface images from acquisition sequences of 10 seconds time lapse. Right column figures are 2D time lapse of the Raman intensities. A and B are without  $\text{NaClO}_4$  perchlorate, C and D are with perchlorate.