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Detection of nucleotides adsorbed onto clay by UV Resonant Raman spectroscopy: a step towards the search for biosignatures on Mars

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1 **Keywords:** Deep UV resonant Raman spectroscopy; nucleotides; biosignature; adsorption

2 **Abstract**

3 The payload of Mars 2020 space mission includes a deep UV resonance Raman and fluorescence
4 spectrometer SHERLOC dedicated to the detection of luminescence and Raman signal of condensed
5 carbon and aromatic organics that could potentially be biosignatures. Among minerals detected
6 on Mars surface, phyllosilicates exhibit a strong affinity to organic molecules, including nucleotides,
7 which adsorption mechanisms onto clay minerals have been well documented, whilst there is a
8 lack of an overview of Raman studies of organics adsorbed onto phyllosilicates. Here, we used a
9 deep UV resonant Raman setup to track down the signature of the nucleotide desoxyguanosine-
10 5'-monophosphate (dGMP) adsorbed onto selected minerals, pyrophyllite, chlorite, nontronite and

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11 montmorillonite. Excitation with a 244 nm laser indeed avoids luminescence of natural phyllosili-
12 cates and enhances the Raman signal of the organic molecule chosen here as a model biosignature.
13 However, the deep UV energy of the laser focused onto the samples may induce severe photo-damage
14 to the organic compound without adequate precaution. We used the Raman signature of dGMP
15 to characterize deep UV effect after an irradiation of several minutes (8 - 260 mJ) until a stable
16 spectroscopic signal is detected and could show that it is sensitive to minute amount of dGMP
17 and adsorption mechanism. The effect of widespread oxidants such as perchlorate on the Martian
18 surface is also investigated here because of potential implication in the degradation of nucleotides
19 under UV irradiation. In this study we also discuss the strategy for the detection and preservation
20 of adsorbed biomolecules onto clay surfaces.

21 1 Introduction

22 The landing site of the Mars 2020 mission will be the Noachian-aged Jezero crater where high-resolution
23 data from the Mars Reconnaissance Orbiter (MRO) has identified clay-rich fluvial-lacustrine sediments
24 (Ehlmann et al., 2008). This crater contains phyllosilicates including iron-magnesium rich smectite clays
25 (Bibring et al., 2006; Mangold et al., 2007; Poulet et al., 2005). Some regions of interest, particularly rich
26 in clay minerals are planned to be investigated. Like in Earth's soils or any environment resulting from
27 aqueous or hydrothermal alteration of rocks, phyllosilicates are often in close association with organic
28 matter. Multiple studies have shown that in detrital sedimentary systems, preservation of organic
29 compounds through geological time, is favored and enhanced by rapid burial in fine-grained, clay-rich
30 sediments (Farmer and Des Marais, 1999; Meyers and Ishiwatari, 1993).

31 With this favorable mineralogy and considering Jezero lake as a final closed basin, both primary and
32 transported organics could be sequestered in the clay-rich deposits of the western Jezero delta. These
33 sediments recorded multiple episodes of aqueous activity on early Mars and are likely to preserve organic
34 compounds (Freissinet et al., 2015) and potential biosignatures (Grotzinger et al., 2014). The challenge
35 for the future mission is to enforce the instruments of its payload to track down organic compounds and
36 search for potential biotic signature to secure the science return samples mission.

37 Deep UV Resonant Raman spectroscopy (DUV-RRS) is a key technique for *in situ* detection and

38 analyses of organic compounds on Mars. The payload of the Mars 2020 rover includes a proximity
39 science instrument, SHERLOC, dedicated to fluorescence spectroscopy and DUV-RRS (Beegle et al.,
40 2014; Beegle and Bhartia, 2016). The advantage of the latter technique has been demonstrated in
41 the past with short wavelength laser lines (244, 248.6, 266 nm) to characterize aromatic hydrocarbons
42 (Asher and Johnson, 1984), carboxylic acids or amino acids within Mars's regolith simulant (Abbey et al.,
43 2017). With a similar setup, time resolved fluorescence spectroscopy was also applied to discriminate
44 between individual PAHs and kerogen (Eshelman et al., 2018; Shkolyar et al., 2018). Expected detection
45 limits are as low as 10^{-6} and 10^{-3} (organics/minerals in wt/wt) for aromatic and aliphatic organics,
46 respectively; mineral can be identified from their Raman spectra on grains as small as 50 μm (Williford
47 et al., 2018).

48 Beyond the ability of DUV-RRS to detect organic compounds, it has been recently showed by Sapers
49 et al. (2019) that the technique also has the potential to discriminate the biological compounds in a
50 complex living cell from a mixture of matching aromatic molecules. The DUV-RR biological signature is
51 mostly ascribed to nucleotides and allowed to interrogate the biological *vs.* abiotic origin of the spectra,
52 which are highly variable in meteorites for instance (Steele et al., 2018).

53 Nested nucleotides onto clay minerals are well documented (Ferris and Hagan Jr., 1986; Ferris et al.,
54 1989; Ferris, 2005). A generalized comprehensive model has recently become available and describes
55 the effect of the phosphate reactivity of nucleotides for their adsorption onto phyllosilicates particles
56 (Pedreira-Segade et al., 2018a), while a suite of high resolution techniques (X-Ray microscopy, surface
57 chemistry, theoretical calculations ...) unveiled the detailed adsorption configuration and location of
58 nucleotides on clay surfaces. Unfortunately, there is still a lack of an overview in Raman studies to
59 investigate the adsorbates.

60 We therefore used a DUV-RRS setup to characterize the signature of DNA 2'-Deoxyguanosine 5'-
61 Monophosphate (dGMP) as a model nucleotide adsorbed onto selected clay mineral powders. dGMP
62 solutions of different concentrations between 10 mM and 0.4 mM, were mixed with mineral to get
63 aqueous suspensions of 2-4 mg of mineral powder in 1 mL, in order to probe the sensitivity of DUV-
64 RR spectroscopy to known adsorption mechanisms (see details in Feuillie et al. (2013); Pedreira-Segade
65 et al. (2016)). Three groups of phyllosilicates with different crystal structures have been examined in this

66 study: montmorillonite and nontronite from smectite group, pyrophyllite from talc group and chlorite.
67 All of them have been described in deposits within craters on Mars (Ehlmann et al., 2009; Marzo
68 et al., 2010; Ehlmann and Edwards, 2014). Chlorite and pyrophyllite are common accessory minerals
69 in low-to-medium grade regional metamorphic rocks and their crystallographic structures present major
70 differences with the ones of smectites.

71 Using deep UV laser at 244 nm wavelength has multiple advantages. First, it staves off the lumines-
72 cence generated by the minerals and the organics, usually starting around 270 nm and extending to the
73 visible range. Attempts to measure the Raman spectra of such samples with classic visible wavelength
74 (532, 633 nm) have always failed and led to the burning of the sample. Second, deep UV wavelength
75 enhances the Raman signal of some organic molecular structures (Quirico et al., 2008; McMillan et al.,
76 2009; Montagnac et al., 2016). The heterocyclic aromatic ring present in the nucleobase of every nu-
77 cleotide has a strong $\pi - \pi^*$ transition around 260 nm, which Raman vibration modes are enhanced
78 when excited close to this wavelength. Third, this work also allows to investigate the deleterious effect
79 of high energy deep UV radiations on the preservation of organic biosignatures and get insights into
80 the consequences of the UV radiations received on Mars (Poch et al., 2015; Fornaro et al., 2018a)), as
81 well as the potential implication of perchlorate on the detection and stability of nucleotides under UV
82 irradiation (Fornaro et al., 2018b). Several missions have indeed confirmed the presence of perchlorate
83 at concentrations between 0.5 and 1% in martian soils (e.g., Hecht et al. (2009)).

84 The bactericidal potential of the three combined components that are iron oxides, hydrogen peroxide
85 and UV irradiated perchlorates in Mars's environment has been demonstrated (Wadsworth and Cockell,
86 2017). However, notable ability to preserve organic molecules has been observed in terrestrial analog
87 sites characterized by halite- and perchlorate-rich hypersaline subsurface deposits, which are widespread
88 on Mars (Fernández-Remolar et al., 2013; Ertem et al., 2017). Perchlorates are highly soluble and are
89 generally preserved in locations characterized by low water activity (Wadsworth and Cockell, 2017).
90 Consequently, we have also conducted adsorption experiment with 4 mM dGMP and additional 0.3
91 mM NaClO₄. All experiments were performed at natural pH close to 7, in agreement with the circum-
92 neutral pH conditions proposed in many locations on Mars, such as the Gale crater (Grotzinger et al.,
93 2014). More acidic conditions have also been proposed, like in the Burns formation, Meridiani Planum

94 (McLennan et al., 2005) but were not investigated in the current contribution.

95 This work points out to the ability and limits of DUV-RRS as an *in situ* technique to detect organic
96 signatures and potential specific biosignatures in the search of traces of life for future missions to Mars.
97 Protocols still need to be improved and applied carefully to avoid damaging organic molecules, which
98 would definitely preclude any assessment of their potential biological origin.

99 2 Sample selection and methods

100 Adsorption of nucleotides onto clay minerals

101 The nucleotide 2'-Deoxyguanosine 5'-Monophosphate (dGMP) is composed of a phosphate group, a
102 sugar moiety and a guanine nucleobase. Nucleotides exhibits different chemical compositions depending
103 on nucleobase and presence or absence of hydroxyl group on the sugar moiety in RNA and DNA, respec-
104 tively. Nevertheless, previous detailed studies have shown that they behave as homologous molecules
105 with respect to their adsorption mechanism on clay minerals under circumneutral pH (see review by
106 Pedreira-Segade et al. (2018a) and references therein). Under the conditions investigated in the present
107 study, dGMP is tightly adsorbed through ligand exchange between the phosphate group and the hy-
108 droxyls of the broken edges of phyllosilicates. It is strong enough to prevent desorption upon dilution.
109 This similarity in adsorption mechanism allowed us to perform all experiments on dGMP and consider
110 the results as representative of those for nucleotides in general.

111 The dGMP sodium salt was purchased from Sigma Aldrich™ with high purity (>99%) and dissolved
112 into deionized water to reach the targeted concentrations, i.e., 10, 4, 2 and 0.4 mM dGMP. Montmo-
113 rillonite (SAz1, from Arizona, USA, $(\text{Si}_{7.95}\text{Al}_{0.05})(\text{Al}_{2.75}\text{Fe}_{0.17}^{3+}\text{Mg}_{1.07})\text{O}_{20}(\text{OH})_4\text{Na}_{1.11}$; see Paineau et al.
114 (2011)), nontronite (NAu2, from Australia,
115 $(\text{Si}_{7.55}\text{Al}_{0.16}\text{Fe}_{0.29}^{3+}(\text{Al}_{0.34}\text{Fe}_{3.54}^{2+}\text{Mg}_{0.05}))\text{O}_{20}(\text{OH})_4\text{Na}_{0.72}$; see Gates et al. (2002)), chlorite (CCa-2 ripidolite,
116 from California, USA, $(\text{Si}_{2.65}\text{Al}_{1.35})(\text{Mg}_{2.92}\text{Al}_{1.15}\text{Fe}_{0.21}^{3+}\text{Fe}_{1.68}^{2+}\text{Mn}_{0.01})\text{O}_{10}(\text{OH})_8$, see Gailhanou et al. (2009))
117 used in the present work were purchased from the Source Clay Minerals Repository of the Clay Mineral
118 Society (Purdue University, USA). Pyrophyllite was prepared from Stumat™ samples. The smectite
119 group is represented by montmorillonite and nontronite minerals. They have a common stacking se-

120 quence T-Oc-T-INT (T, tetrahedral layer, Oc, octahedral layer and INT, inter-layer), in which INT sites
121 are filled by cations such as Na^+ , K^+ , Ca^{2+} , Li^+ and/or $\text{Mg}(\text{H}_2\text{O})_6$ units and sandwiched between T-Oc-T
122 layers. Pyrophyllite ($\text{Al}_2\text{Si}_4\text{O}_{10}(\text{OH})_2$), from the talc group has a T-Oc-T staking sequence and the chlo-
123 rite group has a general structure with layers of edge-sharing $(\text{Mg,Fe,Al})(\text{OH})_6$ octahedra sandwiched
124 in between T-Oc-T layers. All minerals have been purified and characterized in details for their surface
125 physical and chemical properties, e.g. purity, specific surface area, cation exchange capacity, etc. in
126 earlier studies (Table S2) (Feuillie et al., 2013; Pedreira-Segade et al., 2016). The sub-micron size clay
127 particles were stored as homogeneous mineral suspensions in deionized water, with controlled mineral
128 concentration before use in adsorption experiment.

129 Adsorption of dGMP onto phyllosilicates was performed through batch experiments under ambient
130 conditions of pressure and temperature, at natural pH close to 7. The pH was close to neutrality but the
131 solutions are not buffered. The dGMP solution was mixed with carefully weighted minerals ($2\text{-}4\text{ mg} \pm 10$
132 μg) in 2 mL Eppendorf safe-lock tubes. The tubes were vortexed for 10 s to achieve homogenization
133 and then allowed to stand in a dark water bath at $25\text{ }^\circ\text{C}$ for 24 h to ensure adsorption equilibrium.
134 Afterwards, the suspensions were centrifuged for 25 min at $16,100\text{ g}$ (acceleration of gravity). Finally,
135 the separated supernatant was analyzed using UV spectrophotometry at 260 nm to check that the
136 equilibrium concentration of dGMP was consistent with our previous detailed studies (Feuillie et al.,
137 2013; Pedreira-Segade et al., 2016). The pellet of adsorbed dGMP onto the clay fine grains was re-
138 suspended in pure water, deposited onto a silica glass slide and was allowed to air dry prior to Raman
139 measurement. As above mentioned, the strong binding of the nucleotides on the clay platelets precluded
140 desorption. This procedure led to the deposition of a thin film homogeneous and flat enough to be
141 suitable for Raman measurements with our UV setup.

142 **DUV-RRS and UV irradiation damage**

143 A LabRam HR800 UV from HoribaTM manufacturer was used for all UV measurements. The Raman
144 diffusion was excited with an argon ion laser tuned blue (488 nm) and frequency doubled at 244 nm by a
145 BBO crystal in an external cavity (Spectra PhysicsTM Wavetrain). The laser beam was focused onto the
146 sample with an OFRTM LMU x40 objective. The probe spot has a diameter of around $3\text{ }\mu\text{m}$. We used a

147 3600 grooves/mm grating to collect Raman spectra in the wavelength ranging from 800 to 1900 cm^{-1} .
148 We selected this spectral range as the Raman fingerprint of nucleotide signature. Moreover symmetric
149 stretching vibrations of phosphate and chlorate are expected to lie in between 900 and 1200 cm^{-1} . The
150 second order of the spectrum is characterized by a very low signal in the range of 2500 to 3500 cm^{-1}
151 with Raman bands at 2800, 2955, 3070 cm^{-1} superimposed on a broad signal spreading over the whole
152 spectral range. The dielectric Raman filter has a cutoff around 400 cm^{-1} and prevents measurements
153 below. With this setup, the spectral resolution was as high as 1 cm^{-1} .

154 We present here DUV-RR spectra with limited pre-processing treatments. A linear baseline was
155 subtracted to the raw data over the whole wavenumber range. In the deep UV range, the Raman signal
156 usually has no background. All spectra have been normalized to maximum peak intensity. In most
157 spectra in this study, the most intense peak was at 1490 cm^{-1} except a few samples, where the 1600
158 cm^{-1} band was very intense (pyrophyllite and sample of 0.4 mM of dGMP onto montmorillonite).

159 During Raman measurements and despite all efforts, the absorption of ultraviolet (UV) light by
160 nucleotides caused inevitable damage to the molecule (Kumamoto et al., 2011). The static exposure to
161 deep UV light induces instantaneous degradation of the molecule and creates a characteristic Raman
162 signature of aromatic clusters composed of a strong, broad and asymmetric band with a maximum
163 centered around 1600 cm^{-1} . In order to minimize this deleterious effect and investigate the degradation
164 of the organic molecule by UV, we used a spinning stage to hold sample films and keep the laser focused
165 on (Wang et al., 2011). The sample film is rotating under the standing still objective and the rotation
166 speed was adjusted to 20 rpm. Thus, the surface probed by the laser beam during the acquisition time is
167 a circle with radius given by the offset distance between the axis of the objective and the rotating stage
168 one (2.5 mm). The laser spot on the sample has a waist diameter around 3 μm . Therefore, the surface of
169 the sample irradiated and probed during Raman acquisition is around $4.7 \times 10^{-4} \text{ cm}^2$. The signal is the
170 average of the Raman diffusion on this surface that is much larger than the laser spot size ($7 \mu\text{m}^2$). This
171 also minimizes the potential effects of sample inhomogeneity. According to Carrier et al. (2019), the
172 depth penetration of deep UV laser beam (around 250 nm, UVC) could be 500 μm or higher in minerals
173 like kaolinite or gypsum. However, changing the focus of the laser across the film surface systematically
174 induced an exponential decrease of the Raman signal. Thus, all measurements were performed at the

175 focusing point of the laser on the surface of the samples. DUV-RR spectra were acquired after (1) 20
176 seconds of acquisition time, (2) 200 seconds of acquisition time. To follow the evolution of the signal as
177 a function of exposure time, we monitored and plotted Raman spectra as 2D images with time lapse of
178 10 seconds acquisitions during 400 seconds.

179 Working with a mean power of 0.4 to 1.3 mW (0.8×10^3 to 2.7×10^3 mW/cm²) during 20 seconds
180 up to 200 seconds, the maximum amount of energy deposited on the samples is 5.5×10^5 mJ/cm². This
181 value is 3 magnitudes greater than the energy of the natural UV flux on the surface of Mars as estimated
182 by Patel et al. (2003, 2002). Assuming a dust free atmosphere at the noontime equator, the light flux
183 is of 1.4×10^{15} photons s⁻¹ cm⁻², corresponding to 2.2×10^2 mJ/cm² for 200 seconds of exposition.

184 3 Results

185 The film of pure dGMP dried from a 10 mM solution, displays intense DUV-RR modes. These modes
186 are associated to the in-plane vibrations of the purine heterocycles. We compare these spectra with the
187 non-resonant one acquired at 532 nm, on a dGMP solution close to saturation (Figure 1). The DUV-
188 RR spectrum has enhanced Raman bands with similar positions as the non-resonant Raman spectrum.
189 These modes are visible even at low concentration when dGMP is adsorbed onto clay minerals (Figure 2),
190 whilst they are utterly invisible with 532 nm excitation because of a huge luminescence background and
191 strong absorption of visible light, burning the film.

192 The strongest Raman modes are listed (ν_1 to ν_6 in Figure 1 and Table 1) and attributed based on
193 previous assignment (Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017). Stripes with the same
194 color pallet are used throughout this study to highlight these different wavenumber ranges. The 1490
195 cm⁻¹ peak (ν_4) is primarily related to three stretching modes of C2=N3 + C4=C5 + N7=C8 of the
196 nucleobase. This mode extends across both rings in guanine. Another vibration mode at ca. 1360 cm⁻¹
197 (ν_3) also involves both the pyrimidine and imidazole rings, C2=N3 C4-N9. The 1325 cm⁻¹ peak (ν_2)
198 corresponds to a pure imidazole ring stretching mode and is localized around the N7 nitrogen atom.
199 Other strong modes at 1570 (ν_5) and 1605 cm⁻¹ (ν_6) are pure pyrimidine ring modes. The last one also
200 involves the NH₂ group scissoring with the C2 carbon atom of the pyrimidine cycle. Eventually, we have
201 highlighted in gold color the possible region (ν_1) attributed to the degenerated mode of PO₄ stretching

202 in dGMP molecule (Ghomi and Taillandier, 1985). The weak band at 1700 cm^{-1} is attributed to C6=O
203 vibration (Fodor et al., 1985).

204 The spectra in the bottom right part of Figure 1 illustrate the photochemical impact of UV-irradiation
205 on dGMP nucleotide, that has two major effects:

- 206 1. A small swelling of the background between 1200 and 1700 cm^{-1} . This background is related to
207 an asymmetric broad band with a maximum around 1600 cm^{-1} that is typical of sp² bonds in
208 aromatic clusters (Ferrari and Robertson, 2001) and reveals a possible damage of some dGMP
209 molecules. This band grows and stabilizes after 100 seconds exposure but doesn't overlap with the
210 1605 and 1570 cm^{-1} peaks of pyrimidine. Working with higher laser power of 1.3 mW induces a
211 similar but more intense swelling of the baseline. Under these conditions, positions and FWHM
212 of the resonant modes are affected after only a few seconds of exposure, and well visible with 20
213 seconds of acquisition only (26 mJ). During an acquisition of 600 seconds or longer with low power
214 ca. 0.4 mW (240 mJ of energy), there is no evidence of damage except this slight swelling of the
215 background.
- 216 2. The UV irradiation induces a softening of the backbone of the dGMP molecule, described as a
217 "floppy" effect by Lee et al. (2017). As a consequence, the two Raman modes extending across
218 both rings (ν_3 and ν_4) are decreasing in intensity, whereas ν_2 and ν_6 increase and particularly the
219 last one, NH_2 scissoring.

220 There is a power threshold beyond which the vibrational signature is definitely altered. We choose
221 to work under the harshest conditions, though without burning the organic molecule. Spectra do not
222 evolve anymore for acquisition times of 200 seconds (260 mJ) and longer. In the range between 950 -
223 1150 cm^{-1} , broad bands appear, assumed to be representatives of the degenerated stretching modes
224 of phosphate unbounded to mineral cations. A supplementary non-attributed band appears in dGMP
225 spectrum around 1505 cm^{-1} with 1.3 mW of laser during 200 seconds. We also observe this band on
226 dGMP adsorbed onto smectite samples (see Figures 2, 3 and 5).

227 **Effect of host mineral**

228 Figure 2 displays DUV-RR spectra of dried dGMP (10 mM) adsorbed onto phyllosilicates, i.e., mont-
229 morillonite, nontronite (smectite group), pyrophyllite (talc group) and chlorite. The spectra of dGMP
230 adsorbed onto swelling clay minerals have the highest signal to noise ratio. Next is on the chlorite
231 substratum and eventually on the pyrophyllite. DUV-RR spectra of nontronite and montmorillonite are
232 similar considering wavenumber positions and FWHM of Raman bands. A new non-attributed band is
233 observed at ca. 1505 cm^{-1} with these samples. The strong mode at 1600 cm^{-1} in the pyrophyllite is
234 attributed to possible contamination of the mineral surface before adsorption of dGMP. This peak is a
235 typical signature of the G band of carbonaceous matter. It is also visible in the non-resonant spectra of
236 this mineral.

237 The quality of the DUV-RR spectra is very encouraging and directly reflects adsorption mechanisms.
238 Clay particles in the initial suspension potentially offer basal, inter-layer or lateral surfaces to adsorb
239 the organic molecules of dGMP with different surface sites or processes, while nucleotides exhibit mainly
240 phosphate and nucleobase reactive groups sensitive to pH or hydrogen bonding conditions.

241 In our experiments at ambient conditions of pressure, temperature, and natural pH, all nucleotides
242 adsorb on the lateral surfaces of the clay minerals through ligand exchange between their phosphate
243 group and the hydroxyl groups of the broken bonds of the minerals' edges until their reactive sites are
244 fully covered. This corresponds to an equilibrium concentration of nucleotides of ca. 1 mM dGMP and
245 adsorption densities between 1.3 and $2.5\text{ }\mu\text{mol}/\text{m}^2$ (see Figure 1 in Pedreira-Segade et al. (2016)). This
246 is the maximum adsorption of dGMP on non-swelling phyllosilicates such as pyrophyllite and chlorite,
247 which display here the lowest DUV-RR intensity even with an initial nucleotide concentration as high
248 as 10 mM.

249 However, for the swelling clay minerals (smectites) montmorillonite-SAz1 and nontronite-NAu2,
250 the DUV-RR intensity is stronger and consistent with the increased adsorbed densities measured at
251 equilibrium concentrations in excess of 1 mM. Beyond 1 mM, their adsorption density becomes linear as
252 a function of the equilibrium concentration, indicating the opening of a second low-energy adsorption
253 regime that has not yet been characterized and potentially involves multiple layers of nucleotides on the
254 lateral surfaces or adsorption on the large basal surfaces of the swelling clay minerals. Characterization

255 of the latter mechanisms is beyond the scope of the present contribution. The possible adsorption of the
256 nucleotide on the basal planes of swelling clay minerals has been assessed through classical molecular
257 dynamics (MD) simulations by Mignon et al. (2019) in this same special issue. In this configuration, the
258 nucleotide molecule also binds with the cations of the basal surfaces of the minerals and some vibrations
259 of the molecule are reinforced, giving strongest Raman bands on montmorillonite and nontronite samples.

260 **Effect of nucleotide concentration**

261 The DUV-RR spectra of the dry films of dGMP adsorbed onto montmorillonite are plotted in Figure
262 3, for three initial concentrations of 10, 2 and 0.4 mM. The quality of the spectra is very good and the
263 signal of dGMP clearly identified even at the lowest concentration of 0.4 mM. The 1490 cm^{-1} peak is still
264 visible and well resolved despite a decrease in intensity by a factor 2. At the lowest initial concentration,
265 all other modes tend to broaden and noise increases. Unfortunately, there is no evidence of a simple
266 relation between the initial concentration of dGMP in the solution and the density of nucleotide adsorbed
267 onto the montmorillonite surfaces.

268 One may notice that a strong signal appears in the range of PO_4 vibration, $900\text{-}1200\text{ cm}^{-1}$ (Ghomi
269 and Taillandier, 1985), for the lowest dGMP initial concentration. This certainly reflects the different
270 adsorption mechanisms of dGMP onto montmorillonite at low and high concentrations. At low equi-
271 librium concentration below 1 mM, under the current experimental conditions, nucleotides and thereby
272 dGMP adsorb only on the high energy sites of the lateral surface of the phyllosilicates, whether swelling
273 or not. As mentioned above, the adsorption occurs through ligand exchanges between the phosphate of
274 the nucleotide and the dangling bonds of the edges of the minerals. This ligand exchange is likely to
275 enhance the Raman signal of the phosphate, due to the lack of direct interaction between the aromatic
276 cycles and the phyllosilicates' basal surface.

277 However, at higher equilibrium concentrations in excess of 1 mM other adsorption mechanisms take
278 place. They still require detailed investigation but these processes are likely to involve the adsorption of
279 nucleotides on the basal surface of swelling clay minerals only, depending on the composition of the layers
280 that screen the negatively charged surface in contact with the solutes (Mignon et al., 2019; Pedreira-
281 Segade et al., 2018b). Despite the lack of details, it precludes the adsorption of the negatively charged

282 phosphate group and likely involves the rather neutral guanine nucleobase as illustrated in Figure 3 by
283 the strong stretching vibrations of the nucleobase for 10 and 2 mM initial concentration.

284 **Effect of mineral grain size**

285 Powder of pyrophyllite (10 μm size) was used to prepare a batch of dry films samples. In parallel, we
286 crushed this powder to obtain smaller grain size (ca. 1 μm) of this mineral and prepared the dry films.
287 Spectra collected onto crushed micron size pyrophyllite have less intensity compared to the ones of the
288 original powder with coarser grains despite a larger reactive surface (Figure 4). It is a direct consequence
289 of the diffusion of light lost in fine grains. However for long acquisitions of 200 seconds, the signal of
290 dGMP is better preserved on the fine powder sample. The 1325, 1360, 1490 and 1570 cm^{-1} bands are
291 stronger while the one at 1600 cm^{-1} overwrites the signal in the original coarse powder sample. This
292 effect could be explained by the increased available surface of mineral to adsorb and protect dGMP. In
293 this study we only investigated the effect of grain size with non-swelling mineral sample.

294 **Effect of perchlorate**

295 Dry films were obtained from montmorillonite powder with a solution of 4 mM of dGMP nucleotides and
296 additional 0.3 mM of NaClO_4 to investigate the influence of perchlorate on the stability of nucleotide.
297 Perchlorate has a very intense peak at 930 cm^{-1} and two weak peaks at 1095 and 1148 cm^{-1} and no
298 signal that overlaps the fingerprint of dGMP. In Figure 5 we compare the DUV-RR spectra obtained
299 after 400 seconds of laser exposition and higher mean power (1.3 mW).

300 The dGMP signature is well preserved all along the acquisition time, in presence of perchlorate.
301 Raman bands are narrow, the background between 1200 and 1800 cm^{-1} is low, the 1505 cm^{-1} peak
302 still appears as a shoulder of the strong 1490 cm^{-1} peak. Two effects could have an influence on
303 the preservation of the dGMP signal (1) the effect of salinity on the adsorption of nucleotides onto
304 phyllosilicates (Pedreira-Segade et al., 2018b) (2) the hydrogen bonding between dGMP molecule and
305 perchlorate. It has been demonstrated by Toyama et al. (2005), that the UV-RR intensity of 2',3',5'-
306 tri-O-acetyladenisine (adenine AcAdo) is correlated to hydrogen bonding at the proton acceptor N1, N3
307 and N7 and donor C6-NH₂. We probably observe a similar effect in the present experiment with dGMP,

308 i.e., the strength of hydrogen bonding between dGMP and perchlorate is reinforced and stabilizes the
309 UV-RR bands of the organic molecule. In presence of perchlorate, the floppy effect due to UV irradiation
310 is still visible on the Raman signal (ν_3 and ν_4 are decreasing, ν_6 is increasing) but much weaker and
311 there is no clear evidence of degradation of the molecule.

312 Evolution of the DUV-RR signal is monitored with reconstructed 2D images and surface images from
313 acquisition sequences of 10 seconds time lapse. Figure 6 shows a comparison of the evolution of dGMP
314 onto montmorillonite surface with and without perchlorate. There is no evidence of broadening of the
315 Raman bands with time exposition in 2D image in the presence NaClO_4 perchlorate (Figure 6B and D).
316 Intensities decrease but less than without perchlorate and stabilize quickly after 100 seconds (Figure 6A
317 and C). The "crenelated" appearance of the surface image is attributed to the slight roughness of the
318 dry film of samples prepared with perchlorate (Figure 6C). The film obtained with NaClO_4 was pleated
319 and during rotation of the stage, the focus was occasionally slightly out and the signal became weaker,
320 leading this grooved shape to the surface image.

321 4 Conclusion and perspective for Mars instruments

322 We demonstrate in this preliminary work, that DUV-RRS offers a powerful way to track down nucleotide
323 (dGMP), an essential "building block" of life adsorbed onto clay minerals substratum. The ability of
324 phyllosilicates for nucleotides adsorption has been well documented for decades but no convincing Raman
325 measurements had yet been performed. We have here examined the capacity and limits of this technique
326 for specific detection of nucleotides as a potential biosignature.

327 To evaluate the effect of massive UV laser irradiation inherent to the technique, we investigated the
328 evolution of the Raman signal produced by the dGMP adsorbed onto clay minerals. The DUV-RR
329 signal of samples positioned on a spinning stage, was monitored until a stable signal was obtained. Very
330 good resonant spectra of nucleotides were indeed obtained down to low initial concentration of 0.4 mM.

331 The DUV-RR peak intensities of nucleotide molecule may furthermore serve as a sensitive probe
332 of the interactions with the clay minerals. At normal temperature and pressure conditions and $\text{pH} \approx 7$,
333 dGMP adsorbed onto swelling clay minerals studied here (montmorillonite, nontronite), has a stronger
334 Raman signal than when adsorbed on pyrophyllite or chlorite. Our DUV-RR measurements also confirm

335 the low energy adsorption process of dGMP likely onto the basal surfaces of swelling clay minerals for
336 equilibrium concentration in excess of 1 mM. The dGMP molecule binds to the basal surfaces of the
337 swelling clay mineral in addition to the lateral surfaces. At 0.4 mM (under 1 mM) of initial dGMP
338 concentration, Raman bands of the phosphate group are well detected, reflecting mostly the adsorption
339 mechanism of dGMP by ligand exchange between the phosphate group and the dangling bonds of the
340 lateral surfaces of the montmorillonite clay.

341 In the Martian context and next mission Mars 2020, our setup can't obviously be considered as
342 a duplicate of the SHERLOC instrument but the present measurements share some of SHERLOC's
343 challenges and will inform SHERLOC's field measurements. We have demonstrated the benefits of the
344 DUV-RR technique to deliver a fluorescence-free signal in the Raman range and an intense resonance
345 or pre-resonance Raman signal of some potentially biotic molecules like nucleotides adsorbed onto clay
346 minerals. The present results show that it is possible to not only detect nucleotides *in situ* (onto the clay
347 films), but also to distinguish between different adsorption mechanisms. The major differences between
348 our setup and a fieldable planetary instrument are the spectral resolution and the fluence of the laser
349 source device. Our successful protocol relies chiefly on a permanent movement of the sample under
350 the laser beam during analysis that virtually increases the fluence of the laser source and successfully
351 avoided thermal decomposition of the sample. We have worked here with a maximum of $550 \times 10^2 \text{ J/cm}^2$
352 to be compared with 59 J/cm^2 for a SHERLOC-type instrument (Abbey et al., 2017). This suggests
353 that the capacity for field measurements and potential of characterization of adsorbed nucleotides by
354 DUV-RR spectroscopy on Mars could potentially benefit from strategic adjustment to minimize the
355 energy deposited by the laser on the sample at one location and improve the preservation of the pristine
356 biosignature of organics adsorbed on clay minerals. Since perchlorate is widely spread in martian soils
357 and may also alter the preservation of biomolecules, we measured the effect of perchlorate on the stability
358 of nucleotide adsorbed onto swelling clay minerals and could show that the addition of perchlorate was
359 actually beneficial, due to enhanced hydrogen bonding between perchlorate and the purine heterocycle
360 of dGMP.

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mode	wavenumber (cm^{-1})	vibration
ν_1	900-1200	PO_4 degenerate stretching mode
ν_2	1325	Imidazole ring stretching localized around N7
ν_3	1360	$\text{C2}=\text{N3}-\text{C4}-\text{N9}$ stretching mode
ν_4	1490	$\text{C2}=\text{N3}$ $\text{C4}=\text{C5}$ $\text{N7}=\text{C8}$ extending across both rings
ν_5	1570	Pyrimidine stretching mode involving N3
ν_6	1605	Pyrimidine ring mode and/or NH_2 scissor

Table 1: Strong modes observed in resonant Raman spectra of dGMP dry films. The attribution of Raman modes is from previous studies (Ghomi and Taillandier, 1985; Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017).

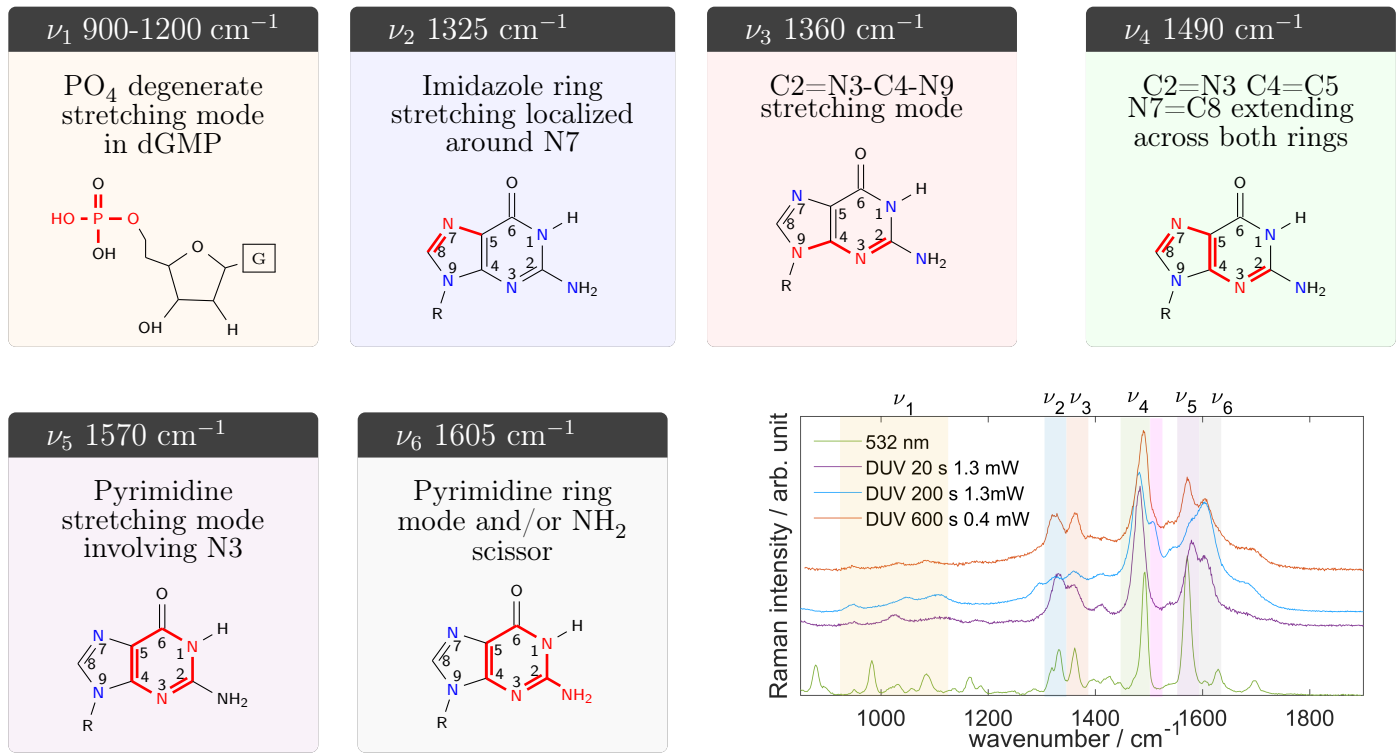


Figure 1: Peak positions of the DUV-RR modes of dGMP and their assignments according to present and previous results (Fodor et al., 1985; Russell et al., 1995; Lee et al., 2017). Panels sketch intense vibrational modes highlighted in red in the nucleotide structure and indicate the corresponding wavenumber in the header of the box. They are color coded, and stripes with the same color superimposed to Raman spectra in all figures to highlight these vibrational modes. Bottom right: the Raman spectrum of a concentrated dGMP solution excited with 532 nm wavelength is compared to DUV-RR spectra of a dry dGMP film measured with a 244 nm excitation.

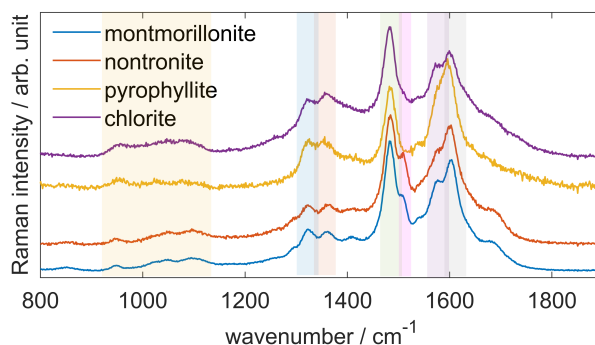


Figure 2: DUV-RR spectra of 10 mM of dGMP adsorbed onto some clay minerals and phyllosilicates, namely, montmorillonite, nontronite, pyrophyllite and chlorite. The in-plane vibrations of the purine (pyrimidine-imidazole) heterocycle are color-coded as in the panels of Figure 1.

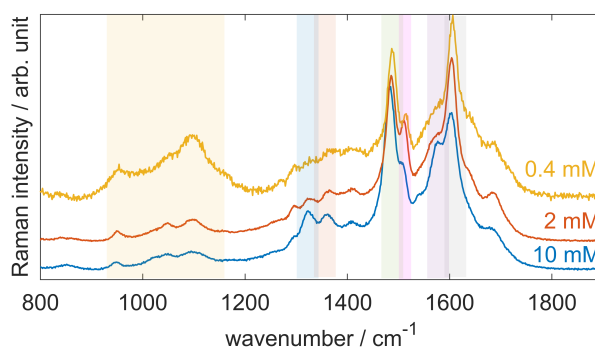


Figure 3: DUV-RR spectra of adsorbed dGMP with different concentrations 0.4, 2 and 10 mM on montmorillonite. Same color-code as in Figure 1.

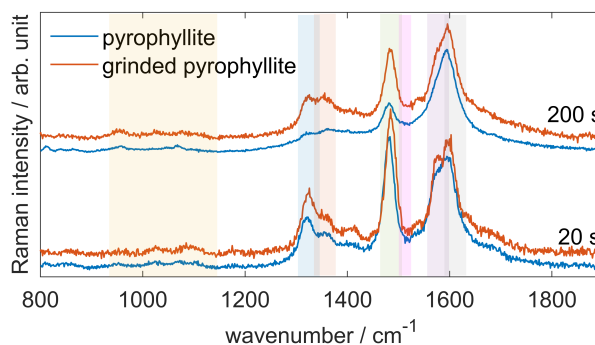


Figure 4: DUV-RR spectra of adsorbed dGMP onto pyrophyllite powder. Spectra in blue and red correspond to minerals with different grain size of 10 and 1 μm or less, respectively. Same color-code as in Figure 1.

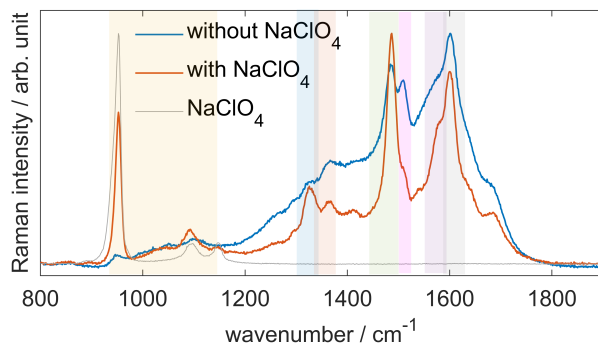


Figure 5: DUV-RR spectra of NaClO_4 perchlorate deposit (grey), dGMP+perchlorate+montmorillonite (red) and dGMP+montmorillonite (blue). dGMP is diluted in perchlorate solution at 4 mM of concentration and adsorbed onto montmorillonite. The spectra are corrected with a linear baseline and normalized to the maximum intensity. Same color-code as in Figure 1.

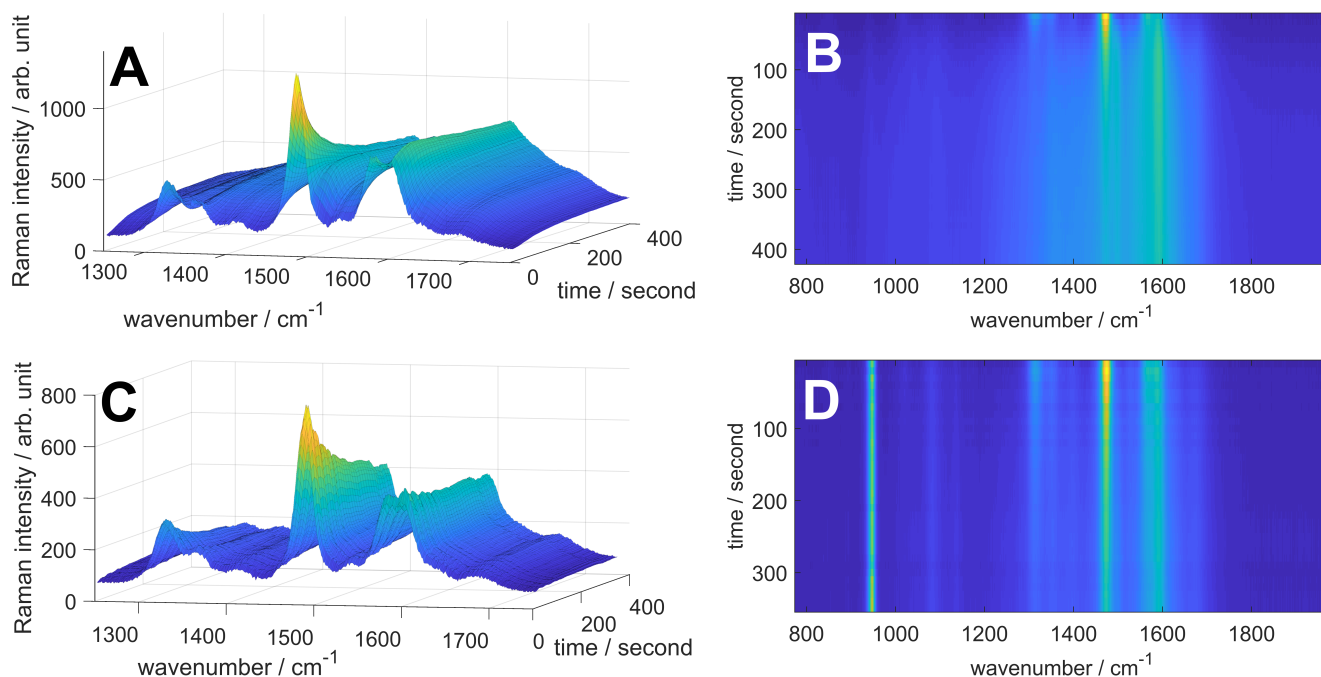


Figure 6: Evolution of DUV-RR spectra of dGMP adsorbed onto montmorillonite mineral as a function of time up to 400 seconds under the UV laser beam. Left column figures are surface images from acquisition sequences of 10 seconds time lapse. Right column figures are 2D time lapse of the Raman intensities. A and B are without NaClO_4 perchlorate, C and D are with perchlorate.