

## Extended dilation of the radiocarbon time scale between 40,000 and 48,000 y BP and the overlap between Neanderthals and Homo sapiens

Edouard Bard, Timothy Heaton, Sahra Talamo, Bernd Kromer, Ron Reimer,

Paula Reimer

## ▶ To cite this version:

Edouard Bard, Timothy Heaton, Sahra Talamo, Bernd Kromer, Ron Reimer, et al.. Extended dilation of the radiocarbon time scale between 40,000 and 48,000 y BP and the overlap between Neanderthals and Homo sapiens. Proceedings of the National Academy of Sciences of the United States of America, 2020, 117 (35), pp.21005-21007. 10.1073/pnas.2012307117 . hal-03153917

## HAL Id: hal-03153917 https://hal.science/hal-03153917

Submitted on 2 Mar 2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution 4.0 International License

## Extended dilation of the radiocarbon time scale between 40,000 and 48,000 y BP and the overlap between Neanderthals and *Homo sapiens*

Edouard Bard<sup>a,1</sup>, Timothy J. Heaton<sup>b</sup>, Sahra Talamo<sup>c</sup>, Bernd Kromer<sup>d</sup>, Ron W. Reimer<sup>e</sup>, and Paula J. Reimer<sup>e</sup>

<sup>a</sup>CEREGE, Aix-Marseille University, CNRS, IRD, INRAE, Collège de France, Technopôle de l'Arbois, 13545 Aix-en-Provence, France; <sup>b</sup>School of Mathematics and Statistics, University of Sheffield, S3 7RH Sheffield, United Kingdom; <sup>c</sup>Department of Chemistry G. Ciamician, Alma Mater Studiorum, University of Bologna, 40126 Bologna, Italy; <sup>d</sup>Institute of Environmental Physics, Heidelberg University, 69120 Heidelberg, Germany; and <sup>e</sup>The <sup>14</sup>CHRONO Centre for Climate, the Environment and Chronology, School of Natural and Built Environment, Queen's University Belfast, BT7 1NN Belfast, United Kingdom

Edited by Thure E. Cerling, University of Utah, Salt Lake City, UT, and approved July 14, 2020 (received for review June 19, 2020)

The new radiocarbon calibration curve (IntCal20) allows us to calculate the gradient of the relationship between <sup>14</sup>C age and calendar age over the past 55 millennia before the present (55 ka BP). The new gradient curve exhibits a prolonged and prominent maximum between 48 and 40 ka BP during which the radiocarbon clock runs almost twice as fast as it should. This radiocarbon time dilation is due to the increase in the atmospheric <sup>14</sup>C/<sup>12</sup>C ratio caused by the <sup>14</sup>C production rise linked to the transition into the Laschamp geomagnetic excursion centered around 41 ka BP. The major maximum in the gradient from 48 to 40 ka BP is a new feature of the IntCal20 calibration curve, with far-reaching impacts for scientific communities, such as prehistory and paleoclimatology, relying on accurate ages in this time range. To illustrate, we consider the duration of the overlap between Neanderthals and *Homo sapiens* in Eurasia.

radiocarbon | geochronology | paleomagnetism | Neanderthal

The radiocarbon method is the most widely used dating method over the past 55 ka BP. It relies on the beta decay of the <sup>14</sup>C isotope produced in the upper atmosphere by interaction with cosmic-ray particles. Samples of organic material or carbonates dated by <sup>14</sup>C either incorporated their carbon directly from the atmosphere as in plant photosynthesis, indirectly through the food chain, or by various chemical reactions. The <sup>14</sup>C content of a fossil sample is compared to the atmospheric <sup>14</sup>C content, which constitutes the starting reference for its disappearance by radioactive decay with a half-life of 5,700  $\pm$  30 y. In its raw form, the <sup>14</sup>C method is not accurate as atmospheric

In its raw form, the <sup>14</sup>C method is not accurate as atmospheric <sup>14</sup>C content has not been constant over time, instead having varied due to changes in its production rate and global carbon cycle rearrangements. To calculate a true calendar age from a <sup>14</sup>C measurement, one needs to know the initial atmospheric <sup>14</sup>C/<sup>12</sup>C ratio at the time the sample carbon last exchanged with the atmosphere. We therefore calibrate the radiocarbon method by comparing <sup>14</sup>C measurements against samples for which accurate ("true") ages have been measured with independent dating techniques such as counting tree rings in subfossil tree logs, counting annually laminated sediments, or dating corals and stalagmites using uranium–thorium (U-Th). Over the past three decades, the resulting radiocarbon calibration curves have been provided by an international working group (IntCal). The new IntCal20 curve covering the past 55 ka BP has just been published (1), updating the previous IntCal13 version (2).

Over the past 55 ka, the <sup>14</sup>C calibration curve shows that <sup>14</sup>C ages are usually younger than true ages (1, 2), that is, the <sup>14</sup>C clock generally ticks at a slower pace than it should. This is due to the overall decrease of the atmospheric <sup>14</sup>C/<sup>12</sup>C ratio over the past 40 ka (Fig. 1*D*), which partly compensates for the loss by radioactive decay in dated samples. Additionally, the relationship between <sup>14</sup>C ages and true calendar ages is far from linear. Compression of the <sup>14</sup>C time scale is particularly obvious during specific periods called <sup>14</sup>C age plateaus, when the decreasing

atmospheric  ${}^{14}\text{C}/{}^{12}\text{C}$  ratio fully compensates for radioactive decay. This implies that archeological sites and artifacts from these periods cannot be dated precisely with radiocarbon. The  ${}^{14}\text{C}$  age plateaus on the order of a few centuries are linked to modulation of cosmogenic production by variable solar activity. Longer age plateaus may correspond to changes in the carbon cycle and deep ocean circulation, for example during the plateau that occurred at the end of the Younger Dryas climatic event (3–5).

In parallel to periods when the <sup>14</sup>C clock runs too slowly, there are also specific periods characterized by an increasing atmospheric <sup>14</sup>C/<sup>12</sup>C ratio—also a consequence of solar and carbon cycle changes. Here, the <sup>14</sup>C clock ticks faster than it should.

One major outcome of the recent IntCal20 curve is that the pace of the  $^{14}$ C clock can be calculated at unprecedented precision. We have computed the evolution of the first derivative of the IntCal20 curve, focusing on its multimillennial component (Fig. 1*A*). Over most of the past 55 ka, the gradient is below 1, meaning that the  $^{14}$ C time scale is mostly compressed. However, the gradient is also characterized by a prominent maximum from 48 to 40 ka BP, reaching values up to 1.5 to 2 for the different sliding windows. Over this multimillennial period, there are about twice as many  $^{14}$ C years as calendar years.

This expanded <sup>14</sup>C time scale was absent, or much less prominent, in former calibration curves, as seen by the comparison with IntCal13 (Fig. 1*A*). The 48 to 40 ka BP gradient maximum is directly tied to the rising trend of atmospheric  $\Delta^{14}$ C, minimal until 48 ka BP before rising by more than 500% over a period of only a few millennia, to reach a maximum around 40 ka BP. As shown, the  $\Delta^{14}$ C maximum (Fig. 1*D*) is broadly in phase with the minimum intensity of the geomagnetic field (Fig. 1*B*) during the Laschamp excursion (6) and the maximum concentration of <sup>10</sup>Be (Fig. 1*C*) measured in polar ice (7)—<sup>10</sup>Be is also formed by cosmic-ray particles. The precise relationships, in phase and amplitude, between <sup>14</sup>C, <sup>10</sup>Be, and paleomagnetic intensity are complex, notably because <sup>14</sup>C atoms are mixed in the global carbon cycle, but can be studied with numerical models (7–10).

The maximum  $\Delta^{14}$ C value above modern, ~700‰ at 41 ka BP, has been known from <sup>14</sup>C and U-Th dating in corals since the late 1990s (8), but the earlier  $\Delta^{14}$ C minimum around 50 to 45 ka BP was only evidenced later with marine sediments (9, 11). The  $\Delta^{14}$ C record prior to 40 ka BP has been refined with independent data

First published August 17, 2020.

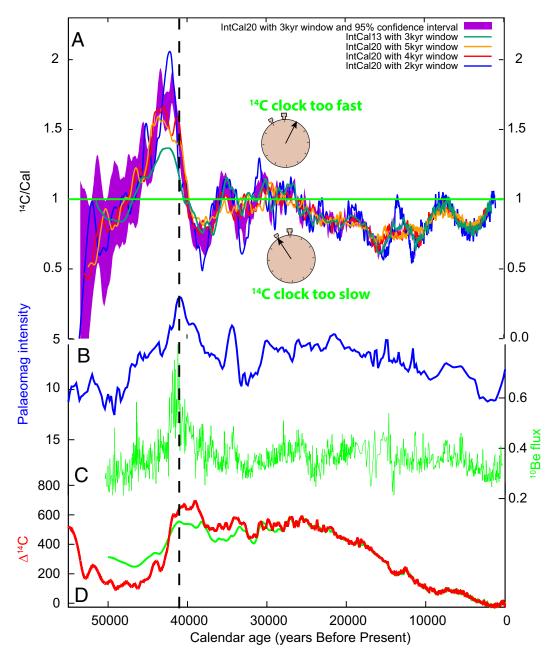
Author contributions: E.B. designed research; E.B., T.J.H., and S.T. performed research; E.B., T.J.H., S.T., B.K., R.W.R., and P.J.R. analyzed data; and E.B. wrote the paper.

The authors declare no competing interest.

This open access article is distributed under Creative Commons Attribution-NonCommercial-NoDerivatives License 4.0 (CC BY-NC-ND).

<sup>&</sup>lt;sup>1</sup>To whom correspondence may be addressed. Email: bard@cerege.fr.

This article contains supporting information online at https://www.pnas.org/lookup/suppl/ doi:10.1073/pnas.2012307117/-/DCSupplemental.



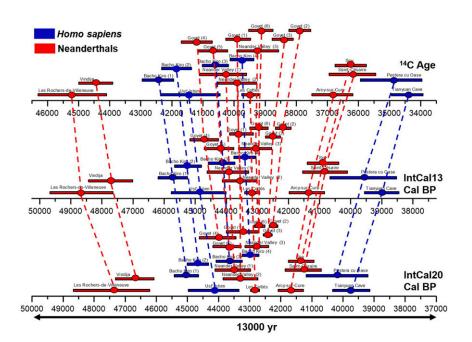
**Fig. 1.** (*A*) First derivative of the IntCal20 curve (1) computed by calculating the <sup>14</sup>C age vs. calendar age gradient over sliding windows of between 5,000- and 2,000-y durations. The purple area shows the 95% confidence interval for the 3,000-y window (to maintain legibility this is the only interval plotted). The green curve shows the first derivative of the IntCal13 curve (2) using the 3,000-y window (to be compared with the purple curve for IntCal20). When the gradient is above (below) unity, the <sup>14</sup>C clock ticks faster (slower) than it should. (*B*) Evolution of the intensity (in  $10^{22}$ Am<sup>2</sup>) of the geomagnetic field (6); note the reversed scale. (C) The <sup>10</sup>Be flux (in  $10^{6}$  atoms-cm<sup>-2</sup>·y<sup>-1</sup>) measured in Greenland ice cores (7). (*D*) Atmospheric  $\Delta^{14}$ C (in per mille above modern) based on the IntCal20 in red (1) and IntCal13 in green (2). The prominent maximum of the gradient curve (*A*) centered around 43 ka BP corresponds to the rising phase of the  $\Delta^{14}$ C curve (*D*) and thus predates the  $\Delta^{14}$ C and <sup>10</sup>Be flux maxima (*C*) and the paleomagnetic intensity minimum (*B*) corresponding to the Laschamp geomagnetic excursion (vertical dashed line).

based on counting tree rings in subfossil kauri logs from New Zealand (12), and with <sup>14</sup>C and U-Th dating of stalagmites from the Hulu Cave in China (10). These independent data and their updates confirmed each other and were used collectively with updated statistical techniques (13) in order to construct the new IntCal20 calibration curve (1).

The radiocarbon time dilation over the 48 to 40 ka BP window, occurring just before the  $\Delta^{14}$ C maximum, is thus a novel and major feature of the new IntCal20 curve. This time expansion effect has remained unnoticed, even though it was present to a

lower extent in IntCal13. The difference between IntCa20 and IntCal13 is mainly linked to new data, notably Hulu stalagmites (10) and kauri trees (12), corrections and screening of existing data (1), and improved statistical modeling (13).

To illustrate the impact of the 48- to 40-ka-BP time dilation, Fig. 2 presents the <sup>14</sup>C and calendar chronologies of a selection of prehistoric sites dated using <sup>14</sup>C from human bone collagen. This includes famous sites occupied by Neanderthals (in red) and by *Homo sapiens* (in blue). In terms of the radiocarbon clock, the chronological overlap between the oldest *H. sapiens* remains



**Fig. 2.** Comparison between radiocarbon ages (*Upper*) and corresponding calibrated ages with IntCal13 (*Middle*) and IntCal20 (*Lower*) for a selection of human bone samples of Neanderthals (red) and early *H. sapiens* (blue). The <sup>14</sup>C ages ( $\pm 1\sigma$ ) were calibrated using IntCal13 (ref. 2, *Middle*) and IntCal20 (ref. 1, *Lower*) in OxCal 4.2 (16). Note that the time axes of the three panels have exactly the same duration (13,000 y). The oblique dashed lines highlight the time dilation effect centered around 43 ka BP. Dataset S1 provides data and sources.

(Bacho Kiro Cave) and the youngest Neanderthal age (Saint-Césaire) is  $6,250 \pm 910^{14}$ C years (uncalibrated). When calibrated against the IntCal13 and IntCal20 curves, this difference is reduced to  $5,000 \pm 860$  and  $3,960 \pm 710$  calendar years, respectively, clearly illustrating how the expanded <sup>14</sup>C time scale is compressed by about 60% after conversion to calendar ages with the new IntCal20 calibration. We note, however, that to investigate possible cultural and genetic exchanges, contact between two populations should be considered at a regional scale for adjacent sites.

For prehistory and human evolution, the impact of the 48 to 40 ka BP time dilation goes beyond the study of late Neanderthal and early *H. sapiens* in Europe. Indeed, it will also affect the current discussion on *H. sapiens* spread across Eurasia and into Australia and help improve the genetic clock with a better calibration of genome mutation rates (e.g., refs. 14 and 15).

The new prominent maximum between 48 and 40 ka BP in the gradient between <sup>14</sup>C and calendar years is important as it enables improved resolution to separate events during this period (e.g., different stratigraphic levels in the same site). In addition to the compression converting <sup>14</sup>C to calendar time, the combined

- 1. P. J. Reimer et al., The IntCal20 Northern Hemisphere radiocarbon calibration curve (0-55 kcal BP). Radiocarbon In press.
- P. J. Reimer et al., IntCal13 and marine13 radiocarbon age calibration curves 0-50,000 years cal BP. Radiocarbon 55, 1869–1887 (2013).
- H. Oeschger et al., <sup>14</sup>C and other parameters during the Younger Dryas cold phase. Radiocarbon 22, 299–310 (1980).
- T. Goslar et al., High concentration of atmospheric <sup>14</sup>C during the Younger Dryas cold episode. Nature 377, 414–417 (1995).
- K. A. Hughen et al., Deglacial changes in ocean circulation from an extended radiocarbon calibration. Nature 391, 65–68 (1998).
- C. Laj, H. Guillou, C. Kissel, Dynamics of the earth magnetic field in the 10-75 kyr period comprising the Laschamp and Mono Lake excursions: New results from the French Chaîne des Puys in a global perspective. *Earth Planet. Sci. Lett.* 387, 184–197 (2014).
- R. Muscheler, J. Beer, P. W. Kubik, H. A. Synal, Geomagnetic field intensity during the last 60,000 years based on <sup>10</sup>Be and <sup>36</sup>Cl from the Summit ice cores and <sup>14</sup>C. *Quat. Sci. Rev.* 24, 1849–1860 (2005).
- E. Bard, Geochemical and geophysical implications of the radiocarbon calibration. Geochim. Cosmochim. Acta 62, 2025–2038 (1998).

effects of the radiocarbon time dilation and the IntCal data improvements also lead to increased calendar age precision (e.g., the  $1\sigma$  uncertainty for the Les Cottés Neanderthal in Fig. 2 ranges from 270 in <sup>14</sup>C years to 250 and 160 calendar years with IntCal13 and IntCal20, respectively). Determining the relative calendar age ordering of multiple events dated by <sup>14</sup>C in this period is therefore not affected. The structure of IntCal20 beyond 40 ka BP reinforces the need to measure accurately and precisely the small <sup>14</sup>C content of old samples, and in particular the use of updated pretreatment techniques to purify the original carbon fraction in order to eliminate residual contaminations.

Data Availability. All study data are included in the paper and Dataset S1.

ACKNOWLEDGMENTS. E.B. is supported by the EQUIPEX ASTER-CEREGE, the Collège de France, and the Agence Nationale de la Recherche project CARBOTRYDH. P.J.R. acknowledges the support of the UK Research and Innovation Natural Environment Research Council (Grant NE/M004619/1). T.J.H. is supported by Leverhulme Trust Fellowship RF-2019-140\9. We thank both referees for their useful comments.

- K. Hughen *et al.*, <sup>14</sup>C activity and global carbon cycle changes over the past 50,000 years. *Science* **303**, 202–207 (2004).
  H. Cheng *et al.*, Atmospheric <sup>14</sup>C/<sup>12</sup>C changes during the last glacial period from Hulu
- H. Cheng et al., Atmospheric <sup>14</sup>C/<sup>12</sup>C changes during the last glacial period from Hulu Cave. Science **362**, 1293–1297 (2018).
- E. Bard, F. Rostek, G. Ménot-Combes, Radiocarbon calibration beyond 20,000 B.P. by means of planktonic foraminifera of the Iberian Margin. *Quat. Res.* 61, 204–214 (2004).
- C. S. M. Turney *et al.*, The potential of New Zealand kauri (Agathis australis) for testing the synchronicity of abrupt climate change during the Last Glacial Interval (60,000-11,700 years ago). *Quat. Sci. Rev.* 29, 3677–3682 (2010).
- T. J. Heaton et al., The IntCal20 approach to radiocarbon calibration curve construction: A new implementation using Bayesian splines and errors-in-variables. Radiocarbon In press.
- J. F. O'Connell et al., When did Homo sapiens first reach Southeast Asia and Sahul? Proc. Natl. Acad. Sci. U.S.A. 115, 8482–8490 (2018).
- Q. Fu et al., A revised timescale for human evolution based on ancient mitochondrial genomes. Curr. Biol. 23, 553–559 (2013).
- C. Bronk Ramsey, Bayesian analysis of radiocarbon dates. Radiocarbon 51, 337–360 (2009).