

Clinical, Radiological, and Microbiological Characteristics of Mycobacterium simiae Infection in 97 Patients

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- Title: Mycobacterium simiae: clinical, radiological and microbiological characteristics in
- 2 97 patients
- Running title: Mycobacterium simiae pulmonary infection in Reunion Island. 3
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32	Abstract
32	Austract

- Mycobacterium simiae is a rare species of slow-growing nontuberculous mycobacteria 33
- (NTM). 34
- 35 From 2002 to 2017, we conducted a retrospective study that included all patients with NTM-
- 36 positive respiratory samples detected in two university hospitals of the French overseas
- department of Reunion Island. We recorded the prevalence of M. simiae in this cohort, as well 37
- as the clinical, radiological, and microbiological features of patients with at least one sample 38
- positive for *M. simiae*. 39
- In our cohort, 97 patients (15.1%) were positive for M. simiae. Twenty-one patients (21.6%) 40
- met the American Thoracic Society (ATS) criteria for infection. M. simiae infection was 41
- associated with bronchiectasis, micronodular lesions and weight loss. Antibiotic susceptibility 42
- testing was performed in 60 patients and the isolates were found to have low susceptibility to 43

- antibiotics, except for amikacin, fluoroquinolones, and clarithromycin. Treatment failed in 4 44
- of the 8 patients treated for M. simiae infection. 45
- Here, we describe a specific cluster corresponding to a large cohort of patients with M. 46
- simiae, a rare nontuberculous mycobacteria associated with low pathogenicity and a poor 47
- susceptibility to antibiotics. 48

INTRODUCTION

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- Nontuberculous mycobacteria (NTM) constitute a large group of more than 150 pathogens 51
- (1). Mycobacterium simiae is a rare species of NTM that was first detected in monkeys in 52
- 1965 (2). A few geographic clusters have since been identified—namely, in Arizona, Cuba, 53
- 54 and Israel (3, 4)—suggesting environmental contamination. M. simiae was detected in local
- 55 tap water in some clusters, and a pseudo-outbreak caused by water contamination was
- reported by Hana et al. (5). 56
- When considering small series of patients with clinical M. simiae isolates, pathogenicity 57
- 58 appears to be low (4, 6, 7). Some authors have reported very rare cases of pulmonary
- infections (8-11) and lymphadenitis (12). Most of these infections occurred in 59
- immunocompromised patients with acquired immunodeficiency syndrome (AIDS) (10, 13,14) 60
- or with predisposing conditions: children (12), the elderly, patients with diabetes mellitus 61

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- 62 (11).
- 63 M. simiae is common in the French overseas department of Reunion Island. We conducted a
- retrospective study to determine the prevalence of M. simiae on the island, and we recorded 64
- the clinical, radiological, and microbiological features of all patients positive for M. simiae. 65

METHODS

- We conducted a retrospective study including all patients whose respiratory samples tested 67
- positive for NTM between January 2002 and July 2017 in the microbiological laboratories of 68
- 69 the two university hospitals of Reunion Island (St Denis and St Pierre). Among patients with
- NTM isolates, only those with one or more isolate(s) positive for M. simiae were included in 70
- the study. 71

- All patient data (clinical information, biological and radiological exams) were recorded in the 72
- informatics databases of the two centers from 2002 to 2017. The results of exams conducted 73
- 74 out of hospital were also included in the data collection when found in the archived files or
- reported in clinical observation. We retrospectively reported the following: 75
- 76 Clinical findings: demographic data, predisposing conditions, clinical symptoms of
- 77 NTM infection as per the American Thoracic Society (ATS) criteria (1).
- 78 Radiological findings: radiological signs of NTM infection meeting the ATS criteria
- (1). Radiologist interpretations of CT scans were included in patient files and 79
- retrospectively reviewed by two pulmonologists. 80
- 81 Microbiological findings: number, type, and result of respiratory sample, drug
- susceptibility. 82
- For treated patients: treatment regimen, duration of treatment, outcome. 83
- Patient isolates were submitted to the local laboratory or referred to the National Reference 84

- 85 Center for Mycobacteria in Paris for identification and drug susceptibility testing of
- 86 Mycobacterium isolates. Drug susceptibility testing was performed with the agar dilution
- method, using 7H9 broth and the SLOMYCO© sensitive panel. 87

Statistical analysis 88

- Results were expressed as total number (percentage) for categorical variables and as median 89
- (25th-75th percentiles) for continuous variables. Continuous variables were compared using the 90
- 91 Mann-Whitney U test. Categorical variables were compared using the Chi-square test or the
- 92 Fisher's exact test, as appropriate. After bivariate analysis, risk factors for M. simiae infection
- as defined by the ATS were entered into a multivariate logistic regression analysis using 93
- backward selection with P < 0.15. Collinearity between independent factors was investigated. 94
- 95 When identified, the most clinically relevant factor was chosen for use in the multivariate

- model. A P value < 0.05 was considered significant. Analyses were performed using SAS 96
- statistical software (8.2, Cary, NC, USA). 97
- This study was approved by the Comité d'Evaluation des Protocoles de Recherche 98
- Observationnelle of the Société de Pneumologie de Langue Française. Data collection was 99
- 100 declared to the Commission Nationale de l'Informatique et des Libertés.

RESULTS

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- Over the study period, 202 samples in 97 patients tested positive for M. simiae. This 102
- 103 represented a prevalence of 15.1% in our cohort of patients with respiratory samples positive
- 104 for NTM. One of these 97 patients also had a lymph node positive for M. simiae. No other
- patients had an extrapulmonary sample positive for M. simiae. Other mycobacteria were 105
- found in 22 patients (22.7%), including M. intracellulare in 6 patients, M. fortuitum in 4 106
- patients, M. abscessus in 4 patients, M. gordonae in 4 patients, undefined mycobacterial 107

- species in 4 patients, M. tuberculosis in 2 patients, and M. xenopi in 1 patient. 108
- The M. simiae isolates were cultured from sputum (57.8%), gastric aspirates (14.4%), and 109
- fiber optic samples (obtained through bronchoalveolar lavage or bronchial aspiration) (45.3%) 110
- 111 (Table 1). Positive fiber optic samples were significantly more common in patients who met
- the ATS criteria for infection than in those who did not: 71,4% versus 38.2% (P = 0.008). 112
- 113 There were 6 (2-13) respiratory samples in patients who met the ATS criteria for M. simiae
- infection versus 4.5 (2-13) in patients who did not (P = 0.06). The number of positive 114
- respiratory samples was significantly higher in patients who met the ATS criteria for infection 115
- than in those who did not: 2 (2-4) versus 1 (1-2) (P = 0.0002). 116
- 117 Drug susceptibility testing was performed in 60 patients using a single isolate per patient.
- 118 Most M. simiae strains were found to be susceptible to amikacin, moxifloxacin, ciprofloxacin,

and clarithromycin in, respectively, 96%, 92%, 87%, and 100% of tested patients. They were 119 found to be resistant to rifampicin, ethambutol, and isoniazid in, respectively, 85%, 89%, and 120 92% of tested patients. 121 122 Patient characteristics are presented in Table 2. Of the 97 patients who tested positive for M. 123 simiae, 21 (21.6%) met the ATS criteria for NTM infection with M. simiae. Cough and/or sputum (68%), fever and/or sweat (17.5%), and weight loss (48.4%) were the 124 125 most commonly reported symptoms. Weight loss and fever and/or sweat were significantly more common in patients who met the ATS criteria for NTM infection (Tables 3 and 4). 126 127 The most common radiological findings were micronodular or "tree-in-bud" lesions (50.5% of patients) and cavitary lesions (25.8% of patients). Micronodules were significantly more 128 common in patients who met the ATS criteria (Tables 3 and 4). 129 Microbiological ATS criteria were met in 64.9% of patients (Table 3). 130 131 Twelve patients started drug therapy, including 8 who were treated for M. simiae infection (8.2%). Two patients were treated for pulmonary tuberculosis; two others were treated for M. 132 intracellulare and M. xenopi infections, respectively. The clinical, radiological, and 133 microbiological characteristics of the patients treated for M. simiae infections are reported in 134 Table 5, along with their treatment regimen and clinical outcome. The CT scan of patient 8 is 135 136 shown in Figure 1. Among 21 patients with ATS criteria for infection, 7 out of 8 treated patients had at least one positive smear as 7 out of 13 untreated patients had positive smears. 137

The only treated patient with negative smears (patient 2) had a good outcome.

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DISCUSSION

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To our knowledge, this is the largest cohort of patients with clinical isolates of M. simiae reported in the literature to date (4, 6, 7, 17). Reunion Island is a French overseas department located in the Indian Ocean, with a population estimated in 2016 at more than 850,000 (Institut National de la Statistique et des Etudes Economiques). Medical care in Reunion Island is similar to that offered in metropolitan France. Incidence of tuberculosis in Reunion Island is similar to metropolitan France, around 8/100000 per habitant per year. Except for a few private laboratories that send respiratory samples to metropolitan France for NTM identification, most mycobacterial samples are referred to one of the two university laboratories of the island (St Pierre and St Denis). Moreover, even if patients are initially tested in private laboratories, the majority of those found to be infected with mycobacteria are referred to one of Reunion's two university hospital centers. Although our study was not exhaustive, we estimated a high incidence of NTM in Reunion Island: approximately 5 per 100,000 habitants per year, or about 5 times the incidence observed in metropolitan France. As cystic fibrosis prevalence in Reunion Island is similar to metropolitan France, NTM prevalence in cystic fibrosis patients is 3 times higher in Reunion Island than it is in metropolitan France (15). M. simiae was common in our cohort, accounting for 15.1% of all patients with NTM isolates—a rate that is 15 times higher than that observed in metropolitan France (16). We hypothesize that the tropical climate and hence highwater temperatures, explains the high incidence of NTM, and especially of M. simiae, in Reunion Island (18). NTM species have indeed been found to be common in other tropical regions, for instance by Adjemian et al. (19) in Hawai. High vapor pressure and household proximity to water have also been reported to be associated with NTM infection in cystic fibrosis patients (20-22). Another explanatory factor for the high incidence of NTM may be the contamination of water supplies with M. simiae, a finding that was previously reported in a study conducted in Texas (5).

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Underlying diseases were common in our patients with M. simiae. The main general predisposing factor was immunodepression, with HIV infection affecting 4.1 % of patients positive for M. simiae and 25% of patients treated for M. simiae infection. Other general predisposing factors were diabetes mellitus and alcohol abuse. Unfortunately, tobacco status or occupational exposure were not clearly reported in our data sources. Wood smoke exposure was common until the early 1980s in Reunion island due to cooking habits, but it is rarely notified or quantified in medical reports. Non-CF bronchiectasis was the most prevalent local predisposing factor, as it affected 49.5% of our cohort patients and 71.4% of those who met the ATS criteria for infection. Bronchiectasis was significantly higher in patients who met the ATS criteria for *M. simiae* infection in multivariate analysis. Our conclusions are nevertheless limited, as bronchiectasis diagnosis and NTM isolation were often performed simultaneously, making it difficult to determine whether bronchiectasis was a predisposing factor or a consequence of M. simiae infection. Pre-existing bronchiectasis was documented in only 2 of the 6 patients treated for M. simiae infection. Our study confirms the low pathogenicity of M. simiae in humans: 21,6% of our cohort patients met the ATS criteria for infection, and only 8.2% (8 patients) received treatment. In the Texas study, only 6 (10%) of the 62 patients with M. simiae isolates had definite infections (4). Similarly, Rynkiewicz et al. (23) found in their study that only 2 out of 23 patients with M. simiae isolates (9%) had a true M. simiae infection. In a study conducted in the Netherlands, Van Ingen et al. (7) found 28 patients with clinical isolates of M. simiae, among whom only 8 (21%) met the ATS criteria for infection and only 6 received treatment (7). While the appropriateness of gastric aspiration for the diagnosis of M. simiae pulmonary

infection may be debated, it did not impact the proportion of infected patients in our cohort.

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As reported elsewhere (7, 17), clinical signs of infection in our cohort patients were mostly respiratory symptoms like productive cough. Our study confirms the findings of Van Ingen et al (7), whereby patients who lost weight were significantly more likely to meet the ATS diagnostic criteria. Micronodular lesions were the most common radiological findings and were associated with ATS criteria for M. simiae in multivariate analysis. Cavitary lesions were present in 25.8% of our cohort patients, as compared with studies that reported cavitary lesions in 3% to 15% of patients (11,17). Unlike in the study by Van Ingen et al. (7), cavitary lesions were not associated with ATS criteria for infection in our cohort. We found cavitary lesions in 5 of the 8 treated patients, suggesting more cavitary lesions with M. simiae disease than with Mycobacterium avium complex (MAC) species. In vitro drug susceptibility testing showed a natural multidrug resistance profile. As in the study by Van Ingen et al. (7), we found most strains to be resistant to rifampicin, ethambutol, and isoniazid. However, unlike Van Ingen et al. (7), we found susceptibility to amikacin, fluoroquinolones, and clarithromycin to be significant. While previous studies have shown M. simiae strains to be susceptible to clofazimine and cycloserine (7, 24), susceptibility to these antibiotic agents was not tested in our study. The treatment regimens administered to our patients included macrolides, rifampicin, ethambutol, moxifloxacin, clofazimine, and amikacin. Treatment decision was more frequent in infected patients with positive smears. Response to treatment was poor, as 3 out of 7 patients who completed the treatment regimen failed or relapsed. These results, however, are better than those reported in the literature: Van Ingen et al. (7) found in their study that

treatment was successful in only 1 of 3 patients; in the Texas study by Al Badely, 14 out of 18

patients with AIDS died within 6 months, with no response to antibiotics (25).

This study has several limitations. The retrospective nature of the analysis is clearly a weakness. Despite being the largest study to date, the low numbers of evaluated patients and events may have resulted in a lack of power. The small number of respiratory samples in patients who did not meet the ATS criteria for infection is another limitation of our study, as it suggests that some patients were misclassified due to missing microbiological data. The data reported here reflect common medical practice, which may also be viewed as a limitation. The conclusions of this double-centre study cannot be extrapolated to institutions outside Reunion Island, especially as regards the choice of antibiotics. While single-centre studies are useful to reveal local ecological patterns, larger studies can help to uncover

CONCLUSION

224 In Reunion Island, M. simiae isolates are common, yet are associated with low pathogenicity. In vitro susceptibility to antibiotics is low and clinical response to treatment is poor. Further 225 studies are needed to explore the impact of the environment on NTM isolates in this specific 226 227 region.

regional and global trends that are not apparent in smaller studies.

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TABLES 304

Table 1: Microbiological data in patients with respiratory samples positive for M. Simiae. 305

	Total (n= 97)	ATS diagnostic criteria for infection		<i>P</i> -value
		Not met (n=76)	Met (n=21)	
Respiratory samples tested for NTM (per				
patient):	5 (2-8)	4.5 (2-7)	6 (2-13)	0.06
- Total	1 (1-2)	1 (1-2)	2 (2-4)	0.0002
- Positive for <i>M. simiae</i>		, ,	, ,	
Type of positive respiratory sample:				
- Sputum	56 (57.8)	44 (57.9)	13 (61.9)	0.22
- Gastric	14 (14.4)	10 (13.1)	4 (19.0)	0.62
- Fiber optic samples	44 (45.3)	29 (38.2)	15 (71.4)	0.008

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307 NTM: Nontuberculous Mycobacteria; ATS: American Thoracic Society.

Results are expressed as median $(25^{th} - 75^{th})$ percentiles) or number (percentage). 308

Table 2: Characteristics of patients with clinical sample positive for *M. simiae*. 310

	Total (n= 97)	ATS diagnos infe	P-value	
		Not met (n=76)	Met (n=21)	
Age (years)	61 (50-74)	65 (50-74.3)	57 (51-66)	0.3
Male gender	51 (52.7)	42 (55.3)	9 (39.1)	0.32
Body mass index (kg/m2)	19 (16.5-22.1)	19.5 (17-23)	18.8 (16.5-20.9)	0.42
Respiratory diseases:				
 Non-CF bronchiectasis 	48 (49.5)	33 (43.4)	15 (71.4)	0.04
- CF	6 (6.2)	5 (6.6)	1 (4.8)	0.73
- COPD	24 (24.7)	20 (26.3)	4 (19)	0.42
- Previous TB	15 (15.5)	11 (14.5)	4 (19.0)	0.67
- Lung neoplasia	9 (9.3)	9 (11.8)	0 (0)	0.09
- Interstitial pneumonia	5 (5.1)	5 (6.6)	0 (0)	0.5
Other diseases:				
- Other neoplasia	5 (5.1)	4 (5.3)	1 (4.8)	0.85
- HIV infection	4 (4.1)	2 (2.6)	2 (9.5)	0.44
- Other immunodeficiency	,	,	,	
- Diabetes mellitus	5 (5.1)	5 (6.6)	0 (0)	0.32
- Renal insufficiency	11 (11.3)	10 (13.1)	1 (4.8)	0.27
- Gastroesophageal reflux*	6 (6.2)	4 (5.3)	2 (9.5)	0.48
- Alcohol abuse	8 (8.2)	6 (7.9)	2 (9.5)	0.85
	16 (16.5)	14 (18.4)	2 (9.5)	0.3
Lung function:	,	,	,	
- FEV1 (L)	1.60 (1.11-2.30)	1.55 (1.05-2.42)	1.82 (1.50-2.20)	0.54
- FEV1 (%)	72 (53-90)	70 (52-90)	62 (64-81)	0.64
- FVC (L)	2.42 (1.72-3.25)			0.93
- FVC (%)	81 (62-92)	76 (52-92)	84.5 (73-90)	0.33
Long-term treatment:	, ,	` '	` ,	
- Inhaled corticosteroid	25 (25.8)	19 (25)	6 (28.6)	0.84
- Systemic corticosteroid**	11 (11.3)	10 (13.1)	1 (4.8)	0.3
- Long-term macrolide	19 (19.6)	14 (18.4)	5 (23.8)	0.6

- CF: Cystic Fibrosis; COPD: Chronic Obstructive Pulmonary Disease; FEV1: Forced 312
- 313 Expiratory Volume in one second; FVC: Forced Vital Capacity.
- Results are expressed as median (25th 75th percentiles) or number (percentage). 314
- * Gastroesophageal reflux was diagnosed based on evocative symptoms and/or anti-acid 315
- 316
- **Systemic corticosteroid use was defined as more than 10 mg/day of prednisone or 317
- equivalent for more than 2 weeks. 318

Antimicrobial Agents and Chemotherapy

Table 3: Clinical, radiological, and microbiological criteria for M. simiae infection as defined 321 by the ATS statement. 322

	Total (n= 97)	ATS diagnostic criteria for infection		P-value	
		Not met (n=76)	Met (n=21)		
Clinical ATS criteria:	84 (86.6)	63 (82.3)	21 (100)	0.06	
- Fever/sweating	17 (17.5)	10 (13.1)	7 (33.3)	0.04	
- Cough/sputum	66 (68.0)	49 (64.5)	17 (80.9)	0.2	
- Weight loss*	47 (48.4)	32 (42.1)	15 (71.4)	0.02	
- Hemoptysis	3 (3.1)	2 (2.6)	1 (4.8)	0.53	
Radiological ATS criteria:	74 (76.3)	55 (72.4)	21 (100)	0.04	
- Nodules < 1cm	49 (50.5)	35 (46)	14 (66.7)	0.08	
- Nodules > 1cm	9 (9.3)	8 (10.5)	1 (4.8)	0.42	
- Consolidation	30 (30.9)	24 (31.6)	6 (28.6)	0.76	
- Cavitary lesions	25 (25.8)	17 (22.4)	8 (38.1)	0.14	
Microbiological ATS criteria	63 (64.9)	44 (57.9)	21 (100)	0.006	

320

ATS: American Thoracic Society. 324

325 *Weight loss was defined as a weight reduction of more than 5 kilograms or 5% of the normal body weight in the previous 6 months 326

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Results are expressed as median $(25^{th} - 75^{th} \text{ percentiles})$ or numbers (percentage). 327

Table 4 - Multivariate analysis of risk factors meeting ATS criteria for *M. simiae* infection. 329

Variables	Adjusted Odds Ratio (CI	<i>P</i> -value
	95%)	
Non-CF bronchiectasis	4.11 (0.98-17.24)	0.05
Weight loss	8.13 (2.04-32.26)	0.003
Nodules < 1cm	-4.29 (1.01-18.18)	0.05
Lung neoplasia		0.97
Fever/sweating		0.12
Cavitary lesions		0.36

330

- The Hosmer-Lemeshow goodness-of-fit test P-value was 0.979. The Nagelkerke and 331
- Cox/Snell R squares were 0.388 and 0.254, respectively. 332
- CI: Confidence Intervals; CF: Cystic Fibrosis. 333

334

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Table 5: separate document (main document "manuscript 2") 338

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Figure 1: deleted 339

 ${\sf Table 5: Description of } \textit{M. simiae} \ {\sf infected patients who required antibiotic treatment}$

	1	2	3	4	5	6	7	8
Age	61	49	44	52	67	49	65	69
Gender	F	M	M	F	M	F	F	F
Underlying conditions	Bronchiectasis GORD	HIV CD4 = 79	HIV CD4 = 9	Bronchiectasis GORD	Bronchiectasis Renal insufficiency	Bronchiectasis	Bronchiectasis	Bronchiectasis COPD GORD
Clinical findings	Hemoptysis Cough/Sputum Weight loss	Cough	Weight loss	Cough/Sputum	Cough/Sputum	Cough/Sputum Weight loss Sweat	Cough/Sputum	Cough/Sputum Weight loss Fever
Radiological findings	Micronodular	Cavitary with aspergilloma	Micronodular Adenopathy	Cavitary Nodular	Cavitary Micronodular	Micronodular	Micronodular Cavitary	Micronodular Cavitary
Microbiological	11/13:	2/24:	2/5 :	6/16	4/19:	7/19:	2/2:	3/4:
findings	2 sputum	1 sputum	1 BA	4 sputum	3 GA	7 sputum	2 sputum	2 BA
	4 GA 7 BA/BAL	1 BA	1 lymph node	2 GA	1 BA			1 BAL
Treatment	Amikacin	Ethambutol	Ethambutol	Azithromycin	Clarithromycin	Clarithromycin	Amikacin	Clarithromycin
regimen	Ethambutol	Azithromycin/	Clarithromycin	Ethambutol	Moxifloxacin	Moxifloxacin	Moxifloxacin	Moxifloxacin
	Clarithromycin	Clarithromycin		Moxifloxacin	Ethamutol	Clofazimine	Rifabutin	Ethamutol
	Moxifloxacin	Ansatipine		Clofazimine	Clofazimine	Amikacin (1 month) Then:	Azithromycin	Clofazimine Amikacin
				+ surgical resection		Azithromycin Moxifloxacin Clofazimine Imipenem/Cefoxitin		
Duration	1 month (hepatitis)	4 months	In progress	15 months	18 months	12+5 months	12 months	8 months
Outcome	Treatment	No relapse	Alive	Simiae: no relapse	No relapse	M. simiae: no	Relapse 1 year	Treatment
	failure	Alive	(5 months	Secondary	Alive	relapse	after	failure
	Death	(10 yrs later)	later)	infection with	(2 yrs later)	Secondary infection	Alive	Alive
	(4 yrs later)		•	M. intracellulare Alive (3 yrs later)	. , ,	with <i>M. abscessus</i> Alive (3 yrs later)	(6 yrs later)	(1 yr after)

BAL: BronchoAlveolar Lavage, BA: Bronchial Aspiration, GA: gastric aspiration, GORD: Gastro Œsophagal Reflux Disease, COPD: Chronic Obstructive Pulmonary Disease, HIV: Human Immunodeficiency Virus.