

# **Concerns about pharmacokinetic (PK) and pharmacokinetic-pharmacodynamic (PK-PD) studies in the new therapeutic area of COVID-19 infection**

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## **To cite this version:**

N. Venisse, G. Peytavin, Stéphane Bouchet, M. C. Gagnieu, R. Garraffo, et al.. Concerns about pharmacokinetic (PK) and pharmacokinetic-pharmacodynamic (PK-PD) studies in the new therapeutic area of COVID-19 infection. Antiviral Research, 2020, 181, pp.104866.  $10.1016$ /i.antiviral.2020.104866. hal-03147202

# **HAL Id: hal-03147202 <https://hal.science/hal-03147202v1>**

Submitted on 18 Jul 2022

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Version of Record: <https://www.sciencedirect.com/science/article/pii/S0166354220302801> Manuscript\_7cdbc725b7ecbcd275daafc12faeef3e



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- **Keywords** : COVID-19 ; Pharmacokinetics ; Pharmacodynamics ; PK-PD
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#### *Abstract*

In the context of the COVID-19 pandemic, several drugs have been repurposed as potential candidates for the treatment of COVID-19 infection. While preliminary choices were essentially based on in vitro potency, clinical translation into effective therapies may be challenging due to unfavorable in vivo pharmacokinetic properties at the doses chosen for this new indication of COVID-19 infection. However, available pharmacokinetic and pharmacokinetic-pharmacodynamic studies suffer from severe limitations leading to unreliable conclusions, especially in term of dosing optimization.

In this paper we propose to highlight these limitations and to identify some of the major requirements that need to be addressed in designing PK and PK-PD studies in this era of COVID. A special attention should be paid to pre-analytical and analytical requirements and to the proper collection of covariates affecting dose-exposure relationships (co-medications, use of specific organ support techniques and other clinical and para-clinical data). We also promote the development of population PK and PK-PD models specifically dedicated to COVID-19 patients since those previously developed for other diseases (SEL, malaria, HIV) and clinical situations (steady-state, non-ICU patients) are not representative of severe patients.

Therefore, implementation of well-designed PK and PD studies targeted to COVID-19 patients is urgently needed. For that purpose we call for multi-institutional collaborative work and involvement of clinical pharmacologists in multidisciplinary research consortia.

#### *Introduction*

In the context of the COVID-19 pandemic, several existing approved drugs and experimental antiviral agents have been repurposed as potential antiviral candidates for the treatment of COVID-19 infection. While preliminary choices were essentially based on *in vitro* potency, clinical translation into effective therapies may be challenging due to unfavorable *in vivo* pharmacokinetic (PK) properties (i.e. plasma protein binding, tissue distribution, drug interactions) at the doses chosen for this new indication of COVID-19 infection. The particular conditions of COVID-19 infection (cytokine storm, multi-visceral failure and life-threatening prognosis), patient co-morbidities (i.e. obesity, diabetes, cardiovascular complications) and the requirement for a short and rapidly effective treatment further complicate the choice of the ideal candidate.

Remdesivir, chloroquine derivatives (essentially hydroxychloroquine (HCQ) due to a better safety profile than chloroquine) and the anti-HIV agent lopinavir (LPV) were among the first to be tested due to an *in vitro* antiviral activity demonstrated against SARS-CoV-2 or other similar respiratory viruses (i.e. SARS-CoV, MERS-CoV). Although remdesivir is not yet commercially available, other agents are already easily accessible to clinical investigators as they are part of the therapeutic armamentarium of other diseases (i.e. systemic lupus erythematosus (SLE) for HCQ and human immunodeficiency virus (HIV) treatment for LPV) probably explaining the large number of ongoing clinical trials worldwide. Favipiravir, ribavirin, tocilizumab, ivermectin, nafamostat and other agents have also been proposed for treatment of COVID-19 infection either as antivirals or immunomodulatory agents (Sanders et al., 2020).

In the area of infectious diseases and antiviral drugs, pharmacological properties are of particular importance for treatment choices, evaluation and optimization. Indeed, suboptimal antiviral response may be a consequence of inadequate exposure and/or poor PK-PD properties of the studied drug. From the HIV pandemic, we have learned that maintaining sufficient plasma drug exposure is critical to stop virus replication and avoid emergence of resistances (González de Requena et al., 2005). This has led to the implementation of strategies to optimize dosing regimen such as the PK "boosting", used in the lopinavir/ritonavir (LPV/r) association. In this area, increasing knowledge on the PK and pharmacokinetic-pharmacodynamic (PK-PD) relationships of antiretrovirals has also demonstrated its usefulness in treatment optimization through the use of therapeutic drug monitoring (Boffito et al., 2005). In the context of COVID-19 infection, optimizing drug exposure at the site of infection, *i.e.* in the respiratory tract, is probably the key to successful treatment.

Accurate collection of PK and PD data is therefore of primary importance, especially for these repurposed drugs. We believe that extrapolation of PK data from other clinical situations may require specific caution due to different physiopathological conditions. In the context of the current global emergency, the number of clinical trials is rapidly increasing in order to quickly generate the data required for efficient patient healthcare. However, we have found that some of the pharmacology data published so far are somewhat disappointing, due to a lack of information permitting adequate comprehension of the dose-exposure and dose-effect relationships (Gautret et al., 2020; Perinel et al., 2020) and the poor representativeness of data used for simulations of effective dosing regimens (Garcia-Cremades et al., 2020; Perinel et al., 2020; Yao et al., 2020).

For these reasons and on behalf of the Clinical Pharmacology Committee of the French agency for AIDS and viral hepatitis research (ANRS) and the Therapeutic Drug Monitoring and Treatment Personalization working group of the French Society of Pharmacology and Therapeutics (SFPT), we believe that there is an urgent need for clarifications and improvements in order to generate high-quality PK and PK-PD data for the drugs to be used for COVID-19 treatment.

*Limitations of available PK and PK-PD data.*

Few studies have already described PK and PK-PD in potential treatments for COVID-19 infection and only a small fraction of ongoing clinical trials have planned to do so. We were only able to find 18 out of the 1546 registered in clinicaltrials.gov (Table I) (www.clinicaltrials.gov, accessed on: May 18, 2020). The list presented here is representative of the current situation though not necessarily exhaustive. The situation is particularly striking for the commonly used repurposed drugs HCQ and LPV for which only 2 trials, one in children and another in adults, were designed to measure concentration data (Table I). PK analyses are preferentially planned for new drugs (i.e. monoclonal antibodies) not yet approved in another indication, such as to take advantage of COVID-19 infection to acquire PK data in humans. Perinel *et al*. (Perinel et al., 2020) and Gautret *et al*. (Gautret et al., 2020) have reported HCQ blood or serum concentrations from COVID-19 patients. However, in these two papers, very little methodological, demographic, clinical, paraclinical and even dosing information that could help to better understand the dose-exposure relationship in COVID-19 patients is available. Perinel *et al*. have succinctly described a small population of intensive care unit (ICU) patients receiving 200 mg of HCQ three times daily without loading dose in which HCQ whole blood concentrations were measured (Perinel et al., 2020). A similar dosing regimen was used by Gautret *et al*. (Gautret et al., 2020) but serum concentrations were determined instead. Apparently, for their study, they have reported the 147 sum of HCQ and its metabolite concentrations. Whether the approximation used to quantify HCQ metabolite is valid (Gautret et al., 2020) and whether this metabolite is active on SARS-CoV-2 require further evaluation. Anyway, simply summing-up the concentrations of the active moiety and its metabolite cannot effectively contribute to comprehension of PK and PK-PD relationships since they may present different PK and/or PD properties and consequently misrepresent the adequate drug exposure (Tett, 1993). These preliminary observations also raise the question of the selection of the adequate biological matrix (blood vs plasma or serum) for PK assessment of HCQ.

Some of these papers (Garcia-Cremades et al., 2020; Perinel et al., 2020; Yao et al., 2020) have built dosing recommendations for HCQ based on PK models previously developed in other diseases (SEL, malaria) and clinical situations (steady-state, non-ICU patients), which raises questions about the relevance of such recommendations. Perinel *et al*. (Perinel et al., 2020) have graphically compared measured HCQ blood concentrations in COVID-19 patients to PK simulations obtained with a HCQ population PK model initially developed for rheumatoid arthritis (RA) patients (Carmichael et al., 2003). Results clearly demonstrate the inadequacy of a population PK model developed for stable chronic RA patients to describe actual PK data obtained in ICU patients. This point has also been confirmed by Martin-Blondel et al (Martin-Blondel et al., n.d.) in their attempt to describe HCQ plasma concentrations obtained in COVID-19 patients using a PK model initially developed for SLE patients. As a consequence, we believe that due to probably large PK differences affecting either clearance or volume of distribution as demonstrated with other anti-infective drugs, this type of PK model should not be used to simulate any dosing regimens for ICU patients (Roberts et al., 2014). Whether these models could be applied to less severe COVID patients, at the early stage of the disease, requires confirmation. It is likely that models developed in healthy volunteers may be appropriate in the prophylaxis setting. The population PK-PD model developed by Garcia-Cremades *et al*. (Garcia-Cremades et al., 2020) to describe viral

decline and QTc prolongation after HCQ administration in COVID-19 patients, may theoretically enable achievement of more robust simulation results. For the PK part of the model, they used a population PK model initially developed using plasma concentrations obtained from healthy Korean volunteers and Korean patients receiving HCQ for treatment of vivax malaria (Lim et al., 2009). To demonstrate the adequacy of this model for COVID-19 patients, they used published serum concentrations obtained in non-severe and non-ICU COVID-19 patients drawn from the study by Gautret *et al*. (Gautret et al., 2020) described above. Although some differences between serum and plasma concentrations cannot be ruled out (Bergqvist and Domeij-Nyberg, 1983), they showed that HCQ concentrations from COVID-19 patients fell within the lower range of expected population profiles, thereby suggesting that this model could be appropriate to describe the PK of HCQ, at least in non-ICU COVID-19 patients. However, one should note that the concentration data set used for this model validation expressed HCQ concentration as the sum of the active moiety and its metabolite (Gautret et al., 2020), consequently leading to systematic overestimation of actual exposure to HCQ. Other limitations of this paper apply to the PD parts of the model since viral kinetic data are from SARS-CoV-1 and the concentration/QTc prolongation data were obtained from a study with chloroquine. Limitations also apply to the study by Yao *et al*. (Yao et al., 2020), who developed a PB-PK model to simulate different dosing regimens for HCQ in COVID-19 patients. Indeed, HCQ concentrations in lung were simulated by incorporating in their model blood-to-lung concentration data obtained by analyzing tissue homogenates after dosing in rats (McChesney, 1983). This approach may provide unreliable diffusion data in lungs (Mouton et al., 2008; Nix et al., 1991) and consequently unreliable simulations at the site of infection. Similar limitations of this lung model have been discussed by Fan et al (Fan et al., 2020) and by Yeo et al (Yeo et al., 2020).

Similarly, a steady-state population PK model initially developed from HIV patients receiving standard dose LPV/r has been used to simulate LPV total and free concentrations for comparison with various virological endpoints, i.e. actual IC50 values for HIV-1, MERS-CoV and SARS-CoV (Smith et al., 2020). From a PK perspective, this model is probably unable to describe actual LPV concentrations currently measured in COVID-19 patients, which are as recently reported (Gregoire et al., 2020) much higher than those observed in HIV patients . Furthermore, this recent paper showed that despite very high plasma LPV concentrations observed in patients, the free fraction, representing the active part of the drug, was not affected (Gregoire et al., 2020).

Concerning favipiravir, a recent comment proposes to evaluate higher doses for SARS-CoV-2 than in Ebola disease, based on both *in vitro* EC50 and PK simulations data associated with a close monitoring of adverse events and plasma concentrations (Eloy et al., 2020). However, favipiravir is a prodrug, and determination of tissue and intracellular exposure of the activate metabolite favipiravir-ribofuranosyl-5-triphosphate would be required in order to better characterize PK-PD relationship (Du and Chen, 2020).

No such attempts have been made with remdesivir, yet. Furthermore, data on the diffusion of these drugs into the pulmonary tract are lacking.

#### *Recommendations for improvements*

We propose here to identify some of the major requirements that need to be addressed in designing PK and PK-PD studies in this era of COVID.

*Pre-analytical and analytical requirements*

Pre-analytical and analytical steps should be strictly controlled to guarantee the necessary accuracy of measured concentrations. For LPV, well-standardized sampling and assay procedures have been defined for the monitoring of HIV patients and we believe that these procedures may adequately apply to COVID-19 patients. By contrast, this is more confusing with HCQ since it could be analyzed either in whole blood (Carmichael et al., 2003) or in plasma (Morita et al., 2016; Tett et al., 1989). In the area of anti-infective drugs, plasma may appear as the preferred matrix since free plasma concentration is in equilibrium with tissue concentrations and the putative site of action. Moreover, some PK-PD relationships have been commonly developed for antivirals using plasma concentration as a surrogate for the concentrations at the site of action (Rizk et al., 2012). However, as regards plasma, it appears that the pre-analytical step is of particular importance for chloroquine derivatives since early observations have shown that chloroquine could be released from blood cells, leading to overestimation of plasma concentrations (Bergqvist and Domeij-Nyberg, 1983). Preliminary pre-analytical data suggest a similar pattern with HCQ when blood is not rapidly centrifuged and plasma separated. Moreover, HCQ blood-to-plasma ratio presents wide between-subject variability according to disease context and equilibrium distribution (Morita et al., 2016; Tett et al., 1988) suggesting that extrapolation from one to another is not reliable. Pre-analytical treatment of plasma for remdesivir assay requires an acidic stabilization step (Gilead, personal communication). Regarding the analytical step, robust specific and sensitive assays targeting individual analytes (active moiety and metabolites) have already been developed and validated for clinical pharmacokinetics. In the event of matrix modification (blood vs. plasma), a partial validation could be considered (European Medicines Agency. Guideline on bioanalytical method validation, 2011). In addition, inter-laboratory comparisons should be organized, especially in case of multicenter PK studies.

#### *Collection of covariates affecting dose-exposure relationships*

In order to comprehensively understand and describe dose-exposure relationships, collection of accurate dosing information, demographic, clinical and para-clinical data is mandatory.

Regarding dosing information, galenic forms and routes of administration are important data. It is common practice in the setting of an ICU, where the patient is unable to swallow solid oral dosage forms, to administer drugs through enteral feeding tubes. In this situation, the administration of crushed tablets in the feeding tube may have an impact on bioavailability, which may alter the dosing-exposure relationship of the studied drug. This has been demonstrated in the HIV setting where crushing tablets of LPV have led to a 45% decrease in AUC (Best et al., 2011). Surprisingly, crushing tablet does not appear to have a significant impact on the oral bioavailability of HCQ (Sanofi, personal communication).

The dosing regimen may include a loading dose that is particularly important in view of more rapidly achieving effective concentrations. This point is critical for HCQ due to its very long half-life (Lê et al., 2020; Tett et al., 1989). A loading dose has also been suggested for LPV (Smith et al., 2020) and is required for remdesivir (European Medicines Agency. Summary on remdesivir compassionate use. April 2020).

Knowledge of co-administered drugs is likewise of primary importance, particularly in the context of ICU, where many medications are involved or when complications such as secondary infections are present, requiring co-administration of antibacterials and antifungals (Sanders et al., 2020). Co-medications are also frequent in patients particularly at risk for severe COVID-19, i.e. chronic disease patients such as obese and/or diabetic patients. Indeed, drug-drug interactions may occur at each step of the ADME process, leading to altered pharmacokinetics and, possibly, in increased variability of drug exposure. Divalent cations, such as calcium or magnesium, may interfere with the absorption of chloroquine (McElnay et al., 1982), thereby reducing its bioavailability. Similarly, LPV and HCQ, which undergo CYP

mediated metabolism (Liverpool HIV group. Liverpool COVID-19 Interactions. https://www.covid19-druginteractions.org/, 2020), could be victims of drug-drug interactions affecting both bioavailability and clearance. Although remdesivir is also a substrate for CYP isoenzymes *in vitro*, its metabolism is likely to be predominantly mediated by hydrolase activity (Liverpool HIV group. Liverpool COVID-19 Interactions. https://www.covid19- druginteractions.org/, 2020), but this does not stave off inter- nor intra-patient variability.

Anthropometric characteristics, which are currently involved in PK variability, should be collected as well. Body weight has been described as a significant covariate of HCQ clearance in Japanese patients suffering from systemic lupus erythematosus (Morita et al., 2016). Whether this relationship is valid in COVID-19 patients requires confirmation.

The use of specific organ support techniques (Zhang et al., 2020) such as renal replacement therapy (hemodialysis, hemofiltration, hemodiafiltration) or ventilation support (high-flow oxygen therapy, noninvasive ventilation, invasive mechanical ventilation and extracorporeal membrane oxygenation, ECMO) should be reported in order to assess their impact on drug exposure. A significant impact of hemodialysis on the pharmacokinetics of HCQ (Tett et al., 1989) and LPV (Gupta et al., 2008) is unlikely but requires confirmation since steady-state conditions are not achieved in COVID-19 patients. An impact of ECMO on chloroquine pharmacokinetics has been described (Bagate et al., 2017) but no convincing data exist yet for LPV (Ghazi Suliman et al., 2017). However, a significant impact of ECMO should be considered since several studies have shown altered PK profiles in this situation (Ha and Sieg, 2017). These data need to be confirmed in COVID-19 patients, especially considering differences in steady-state attainment.

Importantly, severe COVID-19 is associated with a systemic hyper-inflammation state, the so-called cytokine storm, which is associated with highly elevated C-reactive protein (CRP) levels, hyperferritinaemia and increased cytokine levels (IL-1β, IL-2, IL-6, IL-17, IL-8, TNF and CCL2) (McGonagle et al., 2020). The impact of inflammation on the pharmacokinetics of drugs has already been highlighted. For example, several studies have reported a positive association between CRP levels and voriconazole or tacrolimus concentrations (Bonneville et al., 2020; Gautier-Veyret et al., 2019). The extent of increase in voriconazole concentration could be explained by downregulation of CYP isoenzymes by inflammatory stimuli leading to reduced metabolism (Morgan, 2009). Impact may also occur through altered expression of membrane transporters (Seifert et al., 2017). Preliminary data suggest a major decrease of LPV clearance in COVID-19 patients, highlighting the putative role of inflammation in these PK alterations.

### *Development of population PK and PK-PD models*

Population approaches, which rely on PK-PD modeling, appear particularly appealing in this situation because these models can handle sparse data originating from different sources (ICU, non-ICU, dialysis patients) and different dosing regimens. Further, covariates as described above can be included in these population models to identify PK and pharmacodynamic (PD) variability factors. Finally, population-PK and PK-PD models have proven useful in the area of infectious diseases, providing a valuable tool to explore and predict efficient dosing regimens (Jumah et al., 2018). However, we believe that the development of population PK models specifically dedicated to acute COVID-19 patients is urgently needed due to major physiopathological differences with chronically ill HIV, RA and SEL patients. For that purpose, a collaborative multicenter study within clinical centers involved in clinical trials with these drugs may enable collection of the amount of data necessary to develop such a PK model. Such a collaborative project is ongoing within our groups.

Current dosing regimens proposed for these repurposed drugs are empirical since they have not been specifically developed for COVID-19 but rather for HIV, malaria and chronic inflammatory diseases. In order to develop more efficient dosing regimens, we also need reliable PD data to relate the concentration at the site of infection to viral sensitivity through the development of PK-PD models. Viral sensitivity could be determined through the measurement of EC50 or EC90 either in presence or in absence of human serum. Addition of human serum enables representation of the impact of drug protein binding on drug potency. EC50 is available for HCQ (Liu et al., 2020; Yao et al., 2020), LPV (Choy et al., 2020), favipiravir (Eloy et al., 2020), remdesivir (Choy et al., 2020) and other drugs such as ivermectin (Table II). But, as observed in Table II, translation into effective therapies may be challenging due to unfavorable *in vivo* PK properties. For example, ivermectin, total (bound and unbound) plasma concentrations are >45 times lower than the *in vitro* EC50. Since ivermectin is highly bound to plasma protein and its accumulation into human lung unknown (Schmith et al., 2020), the likelihood for ivermectin to reach IC50 at the site of action after the approved dose is low. The large variability reported in EC50 values between studies, mainly explained by differences in experimental conditions or mechanism of action, may also contribute to the difficulty of determining the accurate target concentration in vivo. For example, with HCQ, we observed high variability between EC50 values (i.e. for HCQ: from 334 0.72 μM  $\approx$  0.24 μg/mL to more than 15 μM  $\approx$  5 μg/mL) depending on MOIs, incubation duration, presence/absence of human serum, etc…, which may be of PK relevance for a drug presenting such a narrow therapeutic index. As reported in Figure 1, HCQ median plasma concentrations could be 1.5 to 15 times lower than the *in vitro* EC50 depending on the scheme of administration (and EC50 values. Moreover, the choice of experimental cells (Vero E6 cells or pulmonary epithelial cells) may be crucial for such respiratory viruses, although no consensus has yet arisen, and extrapolations from other viruses appear speculative (Smith et al., 2020). Finally, regarding the lack of specificity/sensitivity of the current virological marker (i.e viral load in the upper respiratory tract) to assess antiviral activity, the combination of multiple PD parameters could be of interest. The relationships between viral load and clinically relevant endpoints is mostly unknown. Indeed, many clinical endpoints could be considered: mortality, recovery, hospital discharge, respiratory failure, need for oxygenation or mechanical ventilation, time-to-intubation, hospitalization, duration of hospital-stay, ICU admission, time-to-improvement, severity scores (SOFA), etc. Selecting the best clinically relevant endpoint may depend upon several factors such as its clinical relevance, its measurement's reliability, disease severity and statistical considerations.

In order to assess drug penetration to the site of action, determination of drug concentration in bronchoalveolar lavage could be proposed as a surrogate for lung concentrations. Urea or albumin should be used as a marker of dilution to determine the volume of epithelial lining fluid recovered and samples should be preferably collected at multiple time points throughout the dosing interval (Rodvold et al., 2011). To our knowledge, corresponding data for COVID-19 treatment candidates are scarce. A case study in a single HIV patient (Atzori et al., 2003) reported significant LPV concentration in BAL at steady-state. ELF and total plasma concentrations were 14.4 and 8.1 µg/mL, respectively. The corresponding ratio of ELF over total plasma concentrations was 1.8, suggesting an accumulation of LPV in ELF requiring confirmation in COVID-19 patients. Data of the same order of magnitude but with less accumulation were also reported by Boffito et al (Boffito et al., 2002).

#### *Conclusion*

Available PK and PK-PD studies suffer from severe limitations leading to unreliable conclusions, especially in term of dosing optimization. At this time, there is still no highquality evidence to support the use of these repurposed drugs for the treatment of COVID-19. Therefore, implementation of well-designed PK and PD studies specifically targeted to COVID-19 patients is urgently needed in order to increase our comprehension of the dose-exposure-effect relationships of the repurposed drugs. Without these data, efficient evaluation and development of effective dosing regimens will remain difficult. Other scientific societies (Baker et al., 2020) and organizations (Hartman et al., 2020; Rayner et al., 2020) have also recently issued call to action for the appropriate application of clinical pharmacology principles in the search for COVID-19 treatments. Further, to accelerate the production of up-to-date PK and PD data and the development of meaningful PK and PK-PD models, we also call for multi-institutional collaborative work and involvement of clinical pharmacologists in multidisciplinary research consortia.

#### ACKNOWLEDGMENTS

## **§ ANRS-AC43 Clinical Pharmacology and SFPT Therapeutic Drug Monitoring and**

**Treatment Personalization Committees**: Zoubir Djerada, Matthieu Grégoire, Florian

- Lemaitre, Damien Montange, Patrice Muret, Jean-Marc Treluyer
- We wish to thank Jeffrey Arsham, an American medical translator, for editing the English of our original manuscript.
- Declarations of interest for NV, SB, CS, MCG, RG, RG and CS: None
- GP has received travel grants, consultancy fees, honoraria, or study grants from various
- pharmaceutical companies, including Gilead Sciences, Janssen, Merck and ViiV Healthcare.
- This research did not receive any specific grant from funding agencies in the public,
- commercial, or not-for-profit sectors.

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# 579 Table I: Pharmacological issues relative to COVID-19 infection in clinical trials : 18 studies found for: Pharmacokinetic | COVID-19









![](_page_26_Picture_160.jpeg)

![](_page_27_Picture_119.jpeg)

581

582

### 584 Table II: available EC50 against SARS-CoV-2 and corresponding total plasma concentrations

585 obtained in human

![](_page_28_Picture_288.jpeg)

586 \*Remdesivir is a prodrug rapidly converted to a circulating monophosphate nucleoside analog

587 (GS-441524) which inside cells undergoes rapid conversion to the pharmacologically active

588 analog of adenosine triphosphate (GS-443902) that inhibits viral RNA polymerases.  $LD =$ 

589 loading dose, MD = maintenance dose.

- Figure 1. Observed hydroxychloroquine plasma concentrations (median) after 3-5 days of
- treatment in patients treated for SARS-CoV-2 infection depending on the scheme of
- administration: 400mg QD after a loading dose of 400mgx2 on day 1 and 200mg TID without
- any loading dose (C. Solas, internal data from the Pharmacokinetics and Toxicology
- Laboratory). Solid line represent i*n vitro* EC50 described against SARS-CoV-2 at T48h post
- incubation.

![](_page_30_Figure_0.jpeg)