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# Bulk wave velocities in cortical bone reflect porosity and compression strength

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## Abstract

The goal of this study is to evaluate whether ultrasonic velocities in cortical bone can be considered as a proxy for mechanical quality of cortical bone tissue reflected by porosity and compression strength. Micro-computed tomography, compression mechanical testing, and resonant ultrasound spectroscopy were used to assess, respectively porosity, strength, and velocity of bulk waves of both shear and longitudinal polarisations propagating along and perpendicular to osteons, in 92 cortical bone specimens from tibia and femur of elderly human donors. All velocities were significantly associated with strength ( $r = 0.65$  to  $0.83$ ) and porosity ( $r = -0.64$  to  $-0.77$ ). Roughly, according to linear regression models, a decrease in velocity of  $100 \text{ ms}^{-1}$  cor-

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responded to a loss of 20 MPa of strength (which is approximately 10% of the largest strength value) and to a porosity increase of 5%. These results provide a rationale for the in vivo measurement of one or several velocities for the diagnosis of bone fragility.

*Keywords:* resonant ultrasound spectroscopy, bone, strength, velocity, porosity, shear, anisotropy

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## 1 **Introduction**

2 Aging and primary or secondary osteoporosis are associated with an al-  
3 teration of the mechanical quality of bones, leading to atraumatic fractures  
4 which reduce the quality of life and increase mortality. This is a major global  
5 health problem as nine million fragility fractures occur annually worldwide  
6 (Cooper and Ferrari, 2017). In practice, fracture risk is assessed based on  
7 clinical factors and, in the standard approach, bone mineral density (BMD)  
8 measured with dual-energy X-ray absorptiometry (DXA). However, this ap-  
9 proach has strong limitations. DXA is an ionizing method and has a low  
10 sensitivity to identify individuals who sustain fragility fractures (Siris et al.,  
11 2004; Briot et al., 2013).

12 Ultrasound (US) methods have been developed as an alternative to DXA  
13 to provide a non-ionizing, portable, and affordable diagnostic tool for osteo-  
14 porosis (Laugier and Häät, 2011; Raum et al., 2014). Since cortical bone  
15 plays an important role in bone resistance (Mayhew et al., 2005; Holzer et al.,  
16 2009), and because a large part of bone loss arises from the cortical com-  
17 partment (Zebaze et al., 2010), several US approaches have been specifically  
18 designed to assess cortical bone (Karjalainen et al., 2008; Sai et al., 2010; Mi-  
19 nonzio et al., 2019; Renaud et al., 2018; Nguyen Minh et al., 2020; Grimal and  
20 Laugier, 2019). These approaches aim at evaluating cortical bone thickness  
21 or material properties (e.g., mass density, elasticity, bulk wave velocities),  
22 which are dramatically altered with bone pathologies.

23 Mechanical properties of cortical bone tissue are essentially determined by  
24 the vascular pore network (volume fraction of pores or, shortly, the porosity,  
25 and microarchitecture) and the properties of the extravascular mineralized

26 matrix surrounding pores (Mirzaali et al., 2016; Cai et al., 2019). Previous  
27 studies on cortical bone have shown that US velocities depend on porosity  
28 and matrix properties (Raum et al., 2005; Grondin et al., 2012; Mathieu  
29 et al., 2013; Eneh et al., 2016). However, to which extent US velocities of  
30 both shear and longitudinal polarisations relate to cortical bone mechanical  
31 resistance is still largely unknown.

32 This study aims to evaluate whether US bulk wave velocities in cortical  
33 bone can be considered as proxy for mechanical quality of bone tissue re-  
34 flected by porosity and compression strength. One originality of the study  
35 is that US waves of both shear and longitudinal polarisations propagating in  
36 different anatomical directions are considered.

37 The elastic behaviour of cortical bone is most often described using an  
38 orthotropic or a transversely isotropic framework (Espinoza Orías et al., 2009;  
39 Granke et al., 2011). Anisotropy is due to the preferential alignment of  
40 osteons (and the Haversian canal at their center) along the diaphysis and  
41 the preferential arrangement of mineralized collagen fibers along the axis of  
42 the osteons. It follows that bulk wave velocities depend on the orientation  
43 of the wave vector relative to osteons. To determine US velocities of both  
44 shear and longitudinal waves propagating in any anatomical direction, in this  
45 study, the entire stiffness tensor of bone specimens was measured by resonant  
46 ultrasound spectroscopy (RUS) (Bernard et al., 2013).

47 Cortical bone material resistance to fracture is usually characterized ex  
48 vivo by measurement of strength (i.e., ultimate stress in a compression or  
49 traction test) or toughness (i.e., resistance to crack propagation) (Zimmer-  
50 mann et al., 2015). In this study, compressive ultimate stress (strength) was

51 assessed. Porosity was also assessed as it is an important determinant of  
52 bone mechanical quality, and is recognized as a fracture risk factor (Ahmed  
53 et al., 2015; Bala et al., 2015; Zebaze et al., 2016).

54 Quantitative ultrasonography, based on the velocity of transmission of  
55 an US signal inside the bone, has been widely used for the investigation  
56 of bone status and previous studies have reported its correlation with bone  
57 strength (Lee et al., 1997; Hudelmaier et al., 2004). However, to the best of  
58 our knowledge, there is no previous study of a direct comparison of material  
59 strength in human cortical bone and shear and longitudinal bulk wave veloc-  
60 ities along the principal material axes (along and perpendicular to osteons).  
61 The data presented here provide a rationale for the in vivo measurement of  
62 one or several US velocities as a proxy for bone tissue mechanical resistance  
63 to complement US diagnosis of bone fragility.

## 64 **Materials and Methods**

### 65 *Specimens*

66 Left and right femora and left tibiae from 19 human cadavers were pro-  
67 vided by the Institute of Anatomy, University of Lübeck. The scientific use of  
68 human tissue from body donors is permitted by the German law “*Gesetz über*  
69 *das Leichen-, Bestattungs- und Friedhofswesen des Landes Schleswig- Holstein-*  
70 *Abschnitt II, §9 (Leichenöffnung, anatomisch)*” from 04.02.2005. All subjects  
71 had given consent for the scientific use of their bodies. Among the donors,  
72 13 were females (ages 69–94 years, mean  $\pm$  standard deviation =  $82.7 \pm 8.4$   
73 years) and 6 were males (ages 70–94 years,  $82.2 \pm 10.1$  years). No other in-  
74 formation on donors was available. The fresh material was frozen and stored

75 at  $-20^{\circ}\text{C}$  until and between experiments.

76 For each bone, one cross-section of approximately 20 mm thickness and  
77 perpendicular to the bone axis was extracted using a precision band saw  
78 (EXACT GmbH, Remscheid, Germany). For each tibia, the cross-section  
79 was cut from the midshaft and at  $19.5 \pm 3.8$  cm away from the proximal end  
80 of the bone (Iori et al., 2019). For each femur, the cross-section was extracted  
81 from the diaphysis at 80 mm below the lesser trochanter (Iori et al., 2020).  
82 Then, from each cross-section, one or two rectangular parallelepiped shaped  
83 specimens were prepared using a diamond wafering blade saw (Isomet 4000,  
84 Buehler GmbH, Düsseldorf, Germany) as described in (Cai et al., 2019),  
85 see Figure 1. For each tibia, one specimen was obtained from the centre of  
86 the medial face of the bone. No specimen was extracted from three tibiae  
87 which cortical thickness was too thin. For each femur, two specimens were  
88 obtained from the anterior and lateral anatomical quadrants. The nominal  
89 dimensions of the specimens were  $3 \text{ mm} \times 4 \text{ mm} \times 5 \text{ mm}$  for femur, and  $2$   
90  $\text{ mm} \times 3 \text{ mm} \times 4 \text{ mm}$  for tibia, in radial (axis 1), circumferential (axis 2)  
91 and axial (axis 3, along the diaphysis) directions, respectively, defined by the  
92 anatomical shape of the bone (see Figure 1). Note that direction 3 is also  
93 the main direction of osteons and their cylindrical canal. A total of 16 and  
94 76 rectangular parallelepiped shaped specimens, from tibia and femur bones,  
95 respectively, were prepared. The dimensions (mean $\pm$ SD) of the prepared  
96 specimens from tibia bones were  $2.00\pm 0.24$  mm (axis 1),  $3.10\pm 0.28$  mm (axis  
97 2), and  $4.14\pm 0.27$  mm (axis 3), while the dimensions of the femur specimens  
98 were  $2.78\pm 0.39$  mm (axis 1),  $3.99\pm 0.39$  mm (axis 2), and  $4.81\pm 0.38$  mm  
99 (axis 3).

100 The mass density of each specimen was derived from the averaged values  
101 of four mass (Sartorius CPA224s, precision: 0.1 mg) and dimensions mea-  
102 surements (Mitutoyo Coolant Proof Caliper 500-606, precision: 0.01 mm).

103 Errors on the geometrical shape of the samples following this sample  
104 preparation protocol were measured in a previous study on femur specimens,  
105 (Cai et al., 2017), where the deviations from ideal perpendicularity and par-  
106 allelism were  $-0.07^\circ \pm 0.85$  and  $0.30^\circ \pm 0.78$ , respectively.

107 Note that another set of similar rectangular parallelepiped shaped spec-  
108 imens from the right tibiae of the same donors were used in another study  
109 documenting elastic coefficients based on RUS measurements and their rela-  
110 tionship with apparent density (Bernard et al., 2016).

#### 111 *Ultrasonic velocity measurements by resonant ultrasound spectroscopy*

112 Resonant ultrasound spectroscopy was used to measure the velocity of  
113 shear and longitudinal bulk waves along the principal material axis (i.e. along  
114 and perpendicular to osteons) of the bone specimens (Migliori and Sarrao,  
115 1997). Velocities along other material directions may be calculated from the  
116 stiffness tensor provided in the supplemental material (Auld, 1975). Note  
117 that elastic coefficients or velocities can alternatively be measured by the  
118 traditional pulse transmission method. A previous empirical study showed  
119 that the latter and RUS yield the same elasticity and bulk wave velocity  
120 values (Peralta et al., 2017).

121 Orthotropic symmetry was assumed for femur specimens because they  
122 were obtained away from the mid-diaphysis. Indeed, while at the mid-  
123 diaphysis of the femur, bone material is transversely isotropic (Granke et al.,  
124 2011; Orías et al., 2009), it is not strictly the case in the rest of the diaph-



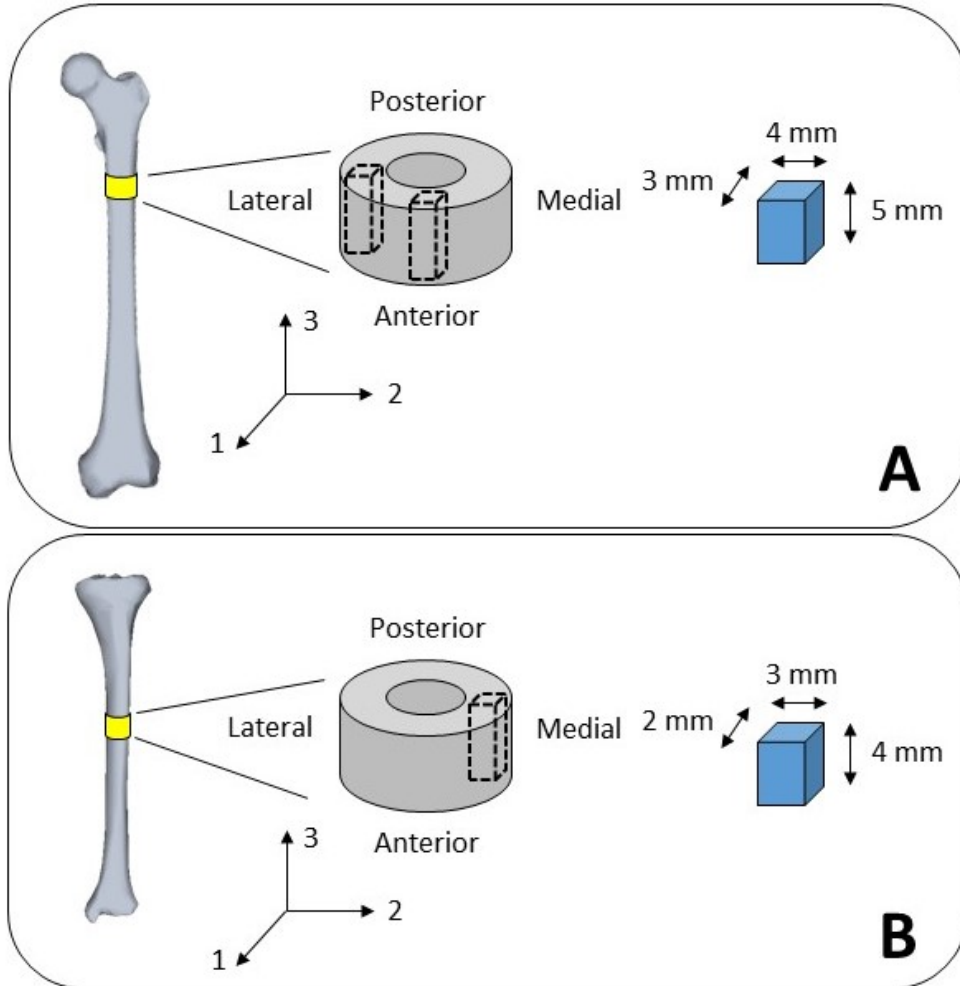


Figure 1: Summary of specimen preparation. (A) Femur specimens. Two rectangular parallelepiped shaped specimens of dimensions 3 mm  $\times$  4 mm  $\times$  5 mm, in radial (axis 1), circumferential (axis 2) and axial direction (axis 3), extracted from the lateral and anterior anatomical quadrants of a cross-section of the left and right femur shaft. (B) Tibia specimens. One rectangular parallelepiped shaped specimen of dimensions 2 mm  $\times$  3 mm  $\times$  4 mm, in radial (axis 1), circumferential (axis 2) and axial direction (axis 3), extracted from the medial anatomical quadrant of a cross-section of the left tibia midshaft.

125 ysis (Orías et al., 2009). Transversely isotropic symmetry was assumed for  
126 tibia specimens, which are isotropic in the plane (1-2) (Bernard et al., 2016;  
127 Rho, 1996). The stiffness tensor has nine independent constants  $C_{ij}$  ( $ij =$   
128 11; 22; 33; 12; 13; 23; 44; 55; 66) (Voigt notation) for an orthotropic material,  
129 and five for a transversely isotropic material ( $C_{11} = C_{22}$ ,  $C_{12} = C_{11} - 2C_{66}$ ,  
130  $C_{13} = C_{23}$ ,  $C_{44} = C_{55}$ ), which correspond to nine and five independent bulk  
131 wave velocities, respectively.

132     RUS measurements were conducted following a procedure extensively de-  
133 scribed elsewhere (Bernard et al., 2014, 2015). Briefly, bone specimens were  
134 placed between two ultrasonic transducers (V154RM, Panametrics, Waltham,  
135 MA) to generate and record the frequency response. Specimens were held  
136 on opposite corners such that a free boundary condition for vibration can  
137 be assumed. A vector network analyzer was used to control the emitted  
138 and transmitted signals and measure the ultrasonic frequency response of  
139 the bone specimens. The frequency response in the bandwidth 50-800 kHz,  
140 containing the 30-40 first resonant frequencies, was recorded after being am-  
141 plified by a broadband charge amplifier (HQA-15 M-10 T, Femto Messtechnik  
142 GmbH, Berlin, Germany). Six consecutive measurements were performed on  
143 each specimen with the specimen rotated by approximately 15 degrees in  
144 between each measurement. This procedure allowed maximizing the num-  
145 ber of detectable resonant frequencies. Finally, the bulk wave velocities  $V_{ij}$   
146 were calculated by optimizing the misfit function between the experimen-  
147 tal and model-predicted resonant frequencies (inverse problem), using the  
148 dimensions of each specimen. The optimization problem was formulated in  
149 a Bayesian framework (Bernard et al., 2015) which requires to set a prior

150 describing the distribution of the velocities. This was constructed based on  
151 the stiffness data in Granke et al. (2011) and Bernard et al. (2016) for the  
152 femoral and tibial bone specimens, respectively.

153 The experimental errors of this RUS protocol, associated to the irreg-  
154 ularity of a specimen’s geometry and to measurement uncertainties of the  
155 extracted resonant frequencies have been analyzed in a dedicated study con-  
156 ducted on transversely isotropic femur specimens (Cai et al., 2017). The  
157 precision error on stiffness measurements (95% confidence interval) was es-  
158 timated to be smaller than  $\pm 6\%$  for longitudinal ( $C_{ij}$ ,  $ij = 11, 33$ ) and off-  
159 diagonal ( $C_{ij}$ ,  $ij = 12, 13$ ) stiffness constants and  $\pm 3\%$  for the shear stiffness  
160 constants ( $C_{ij}$ ,  $ij = 44, 66$ ). These, after propagating error to velocities,  
161 correspond to a precision error smaller than  $\pm 3\%$  for longitudinal waves and  
162  $\pm 1.5\%$  for shear waves. Nevertheless, uncertainties in mass measurements  
163 were not considered in (Cai et al., 2017), then it is expected that the er-  
164 ror on velocities will be smaller, since velocity measurement by RUS does  
165 not require to measure mass, but only resonant frequencies and specimen’s  
166 dimensions (Leisure and Willis, 1997).

### 167 *Porosity measurements*

168 Cortical porosity (Ct.Po) was obtained from micro-computed tomogra-  
169 phy ( $\mu$ CT) scans as described in (Schneider et al., 2019) for a subgroup of  
170 specimens due to constrained time before mechanical testing. A total of 12  
171 tibia and 38 femur specimens were scanned. These specimens were chosen  
172 to represent the density range of the total specimens prepared. Each spec-  
173 imen was positioned in the  $\mu$ CT system (Skyscan 1172, Bruker MicroCT,  
174 Kontich, Belgium) so that the axis 3 was aligned with the rotation axis. A

175 source voltage of 80 kV, a current of 100  $\mu\text{A}$ , and steps of  $0.3^\circ$  over  $180^\circ$   
176 rotation were used. The exposure time for each frame was 320 ms. Twenty  
177 frames were averaged. A 0.5-mm-thick aluminum filter reduced beam hard-  
178 ening artifacts. Three-dimensional images were reconstructed using a fil-  
179 tered back-projection algorithm (NRecon, V1.6.10.4, Skyscan NV, Kontich,  
180 Belgium) with 20% ring artifact correction. For each specimen, a stack of  
181 650 sections was reconstructed with a  $1968 \times 1968$  pixel field of view and  
182  $7.4 \mu\text{m}$  isotropic voxel size. Further post-processing was performed using the  
183 software CTan (V1.16.1.0, Skyscan NV, Kontich, Belgium). A Gaussian 2D  
184 filter was applied to the images before segmentation. Cortical porosity was  
185 calculated from tissue volume and pore volume.

### 186 *Measurement of bone strength*

187 Bone specimens underwent uniaxial compressive mechanical testing along  
188 axis 3, performed with a MTS Criterion Series 40 Electromechanical Univer-  
189 sal Test Systems (model C42.503, MTS Corp., Eden Prairie, MN, USA).  
190 Specimens were slowly thawed and immersed in 0.9% NaCl saline for six  
191 hours before testing to ensure full hydration (Zhao et al., 2018). Then, they  
192 were subsequently heated and kept at  $37^\circ\text{C} \pm 0.5^\circ\text{C}$  with hydration through-  
193 out the compression test by the use of a custom made thermo-regulatory  
194 system. The system consisted of a cell filled with saline where the specimen  
195 was immersed. A circulation thermostat was used to keep the saline at a  
196 stable temperature (Lauda Loop L100, Landa Dr.R. Wobser GMBH & CO.  
197 KG, Germany).

198 Three preconditioning cycles of 50 N and a pre-load of 150 N were applied  
199 at a rate of  $10^{-4}\text{s}^{-1}$  to the specimen (Duchemin et al., 2008; Wachter et al.,

200 2001; Zhao et al., 2018). The specimen was then compressed until failure at a  
201 strain rate of  $0.1 \text{ s}^{-1}$  to simulate an impact fracture (Carter and Hayes, 1977;  
202 Öhman et al., 2011). During the test, displacements of the machine crosshead  
203 and load (MTS LSB.503 5 kN load cell) were registered. The compressive  
204 strength,  $\sigma_m$ , was obtained as the maximum stress on the stress-strain curve.

205 The reproducibility of strength measurement was assessed from a serie of  
206 experiments on 15 rectangular parallelepiped shaped specimens with nom-  
207 inal dimensions of  $2.5 \text{ mm} \times 3.3 \text{ mm} \times 4.3 \text{ mm}$  cut off the same plate of  
208 synthetic bone-mimicking material (Sawbones, Pacific Research Laboratory  
209 Inc., Vashon WA, USA). The ultimate strength in all these specimens was  
210 assumed to be the same as the plate material was assumed to be homoge-  
211 neous. This material incorporates short glass fibers which are oriented along  
212 the longest dimension of the specimens, which was also the direction along  
213 which the specimen was compressed. The same testing protocol as described  
214 above was used, except that specimens were not immersed (measurement in  
215 air at room temperature). In the 15 specimen group, the strength of one  
216 specimen was relatively large (221.0 MPa), it was relatively small for 3 spec-  
217 imens (around  $185.6 \text{ MPa} \pm 1.4 \text{ MPa}$ ), and the rest of the measured strength  
218 fell in a narrow interval around 200.0 MPa with minimum and maximum  
219 values of 196.4 and 207.5 MPa, respectively. The complete data set is pro-  
220 vided as supplementary material. Based on the latter interval, the precision  
221 of strength measurement of synthetic bone with our setup was estimated to  
222 6.5%. The reason for the anomalously large or small strength in four speci-  
223 mens was not clearly identified; it may be due to an imperfect alignment of  
224 the loading axis or an imperfect geometry.

225 *Statistics*

226 The normality of distributions was tested with a Lilliefors test. Compar-  
227 isons of groups were done with two-sample t-test or alternatively Wilcoxon  
228 rank sum test when the data could not be assumed to be normally dis-  
229 tributed. The relationships between velocities and porosity, velocities and  
230 strength, and strength and porosity were quantified with Spearman's rank  
231 correlation coefficients (as some variables were not normally distributed) and  
232 modeled with linear regressions. Statistical analyses were performed with  
233 MATLAB (The Mathworks Inc., Natick, MA, USA). The level of significance  
234 was set to 5%.

235 **Results**

236 Three representative stress-strain curves from specimens with different  
237 density values (25th, median and 75th percentile approximately) are shown  
238 in Figure 2. No anomaly in the curve or in the visual appearance of specimens  
239 after testing were detected.

240 For RUS measurement of tibia specimens, between 11 and 22 resonant  
241 frequencies (average 15) were measured in the range 140-800 kHz. For fe-  
242 mur specimens, between 11 and 28 resonant frequencies (average 18) were  
243 measured in the range 60-700 kHz. For 5 femur specimens, after solving  
244 the inverse problem to determine elastic constants, the misfit error between  
245 measured and modeled resonant frequencies was larger than 1%, which is an  
246 indication of failure of the measurement (Migliori and Sarrao, 1997). This  
247 was possibly due to a misalignment between specimens' orientation and bone  
248 material principal directions. These 5 specimens were therefore discarded

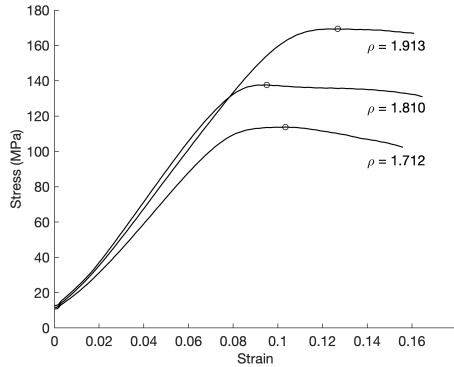


Figure 2: Representative examples of stress-strain compression curves for three specimens with relatively low ( $\rho = 1.712 \text{ mg/mm}^3$ ), intermediate ( $\rho = 1.810 \text{ mg/mm}^3$ ) and high values ( $\rho = 1.913 \text{ mg/mm}^3$ ) of mass density,  $\rho$ . The circle indicates ultimate stress,  $\sigma_m$ .

249 from the final analysis. For the rest of the specimens, the mean relative error  
 250 (standard deviation) between predicted and measured frequencies was 0.71%  
 251 (0.16%) and 0.47% (0.16%) for tibia and femur, respectively.

252 A global analysis of the results with data from all measurement modalities  
 253 led to discard outliers. One femur specimen which included a portion of  
 254 trabecularized bone from the endosteal interface and with a porosity higher  
 255 than 30% was not considered as representative of cortical bone (Bousson  
 256 et al., 2001) and consequently was not included in the analysis. Velocities of  
 257 two specimens (one femur and one tibia) were judged to be outliers (defined  
 258 as values away from of the median of more than three scaled median absolute  
 259 deviations); these specimens' data were not included in the analysis. Finally,  
 260 data were available for further analysis for a set of 15 tibia and 69 femur  
 261 specimens. Among these, 12 tibia and 38 femur specimens had been sub-  
 262 jected to  $\mu$ CT for porosity measurement. Descriptive statistics are provided

263 for tibia and femur measurements in Tables 1 and 2, respectively. The data  
 264 are provided as supplementary material.

Table 1: Descriptive statistics (mean, standard deviation, median, minimal and maximal values) for tibia specimens:  $\rho$  ( $\text{kg.m}^{-3}$ ), mass density;  $V_i$  ( $i = 1, 3$ ), longitudinal waves velocities ( $\text{m.s}^{-1}$ );  $V_i$  ( $i = 4, 6$ ), shear waves velocities ( $\text{m.s}^{-1}$ );  $\sigma_m$ , compression strength (MPa); Ct.Po (%), porosity.

	$\rho$	$V_1$	$V_3$	$V_4$	$V_6$	$\sigma_m$	Ct.Po
Mean	1824	3054	3873	1781	1478	148	12.11
SD	94	239	90	112	139	27	5.21
Median	1855	3103	3889	1844	1544	156	11.29
min	1640	2514	3704	1547	1233	103	6.15
max	1960	3379	4076	1920	1655	179	22.82

Table 2: Descriptive statistics (mean, standard deviation, median, minimal and maximal values) for femur specimens :  $\rho$  ( $\text{kg.m}^{-3}$ ), mass density;  $V_i$  ( $i = 1 \dots 3$ ), longitudinal waves velocities ( $\text{m.s}^{-1}$ );  $V_i$  ( $i = 4 \dots 6$ ), shear waves velocities ( $\text{m.s}^{-1}$ );  $\sigma_m$  (MPa), compression strength; Ct.Po (%), porosity.

	$\rho$	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$	$V_6$	$\sigma_m$	Ct.Po
Mean	1799	3107	3150	3836	1698	1659	1436	143	13
SD	114	144	149	176	103	124	116	23	5.87
Median	1819	3134	3174	3855	1713	1687	1458	149	11.76
min	1482	2791	2838	3435	1469	1393	1168	95	3.33
max	1969	3367	3435	4280	1890	1848	1651	184	30.84

264

265 Femur specimens were measured with RUS using an orthotropy frame-  
 266 work while a transversely isotropic framework was used for tibia specimens.  
 267 Orthotropy in femur specimens was nevertheless small: the differences were  
 268 1.4% and 2.4% between the means of  $V_1$  and  $V_2$ , and  $V_4$  and  $V_5$ , respectively.



269 In order to facilitate the comparison between data from femur and tibia spec-  
 270 imens, we averaged  $V_1$  and  $V_2$  on the one hand, and  $V_4$  and  $V_5$  on the other  
 271 hand. In the following,  $V_1$  and  $V_4$  in femur specimens refer to this average.

272 There was no significant difference between data from tibia and femur  
 273 specimens except for  $V_4$ . Consequently, for subsequent analyses, we have  
 274 pooled data from tibia and femur, except for  $V_4$  for which we present the  
 275 result of the analyses for femur only (because the number of specimens is  
 276 much larger than for tibia).

277 Spearman’s rank correlation coefficients between velocities and compres-  
 278 sion strength, and between velocities and porosity are given in Table 3. All  
 279 velocities were positively correlated to strength (Figure 3) and negatively cor-  
 280 related to porosity (Figure 4). Strength was negatively correlated to porosity  
 ( $r = -0.74$ ) (Figure 5).

Table 3: Spearman’s rank correlation coefficients between velocities  $V_1, V_3, V_4, V_6$  ( $\text{m.s}^{-1}$ ),  
 mass density  $\rho$  ( $\text{kg.m}^{-3}$ ), and compression strength  $\sigma_m$  (MPa), or porosity Ct.Po (%).  
 The number of specimens used to calculate correlation coefficients with  $\sigma_m$  is  $n = 84$  for  
 $\rho, V_1, V_3$ , and  $V_6$  pooling data from tibia and femur, and  $n = 69$  for  $V_4$  considering only  
 femur data. To calculate correlation coefficients with porosity,  $n = 50$  for  $\rho, V_1, V_3$ , and  
 $V_6$  pooling data from tibia and femur and  $n = 38$  for  $V_4$  considering only femur data.  
 p-value  $< 10^{-3}$  for all correlations.

	$\rho$	$V_1$	$V_3$	$V_4$	$V_6$
$\sigma_m$	0.91	0.65	0.70	0.87	0.83
Ct.Po	-0.74	-0.64	-0.69	-0.77	-0.76

281

282 Linear regression models (Tables 4 and 5) indicate that: i) an increase of  
 283 strength of 1 MPa is associated to an increase of velocity between  $4.32 \text{ ms}^{-1}$

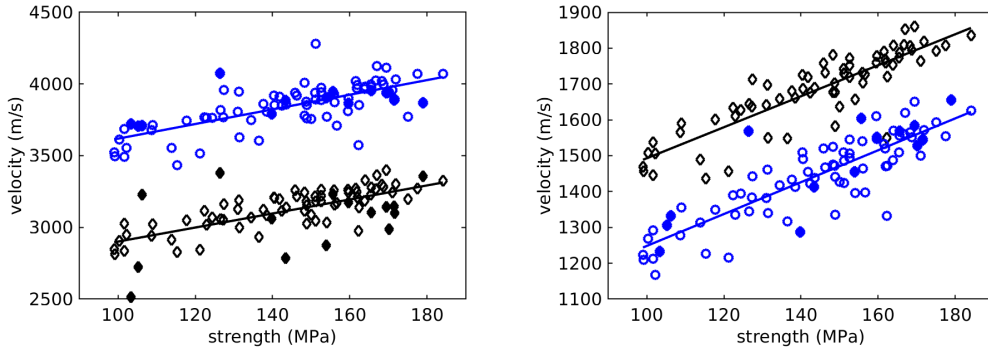


Figure 3: Velocities and compression strength for tibia (filled symbols) and femur specimens, pooled except for  $V_4$  for which only femur data are shown. Left: longitudinal waves  $V_1$  (black  $\diamond$ ) and  $V_3$  (blue  $\circ$ ); right: shear waves  $V_4$  (black  $\diamond$ ) and  $V_6$  (blue  $\circ$ ). The linear regression lines are shown.

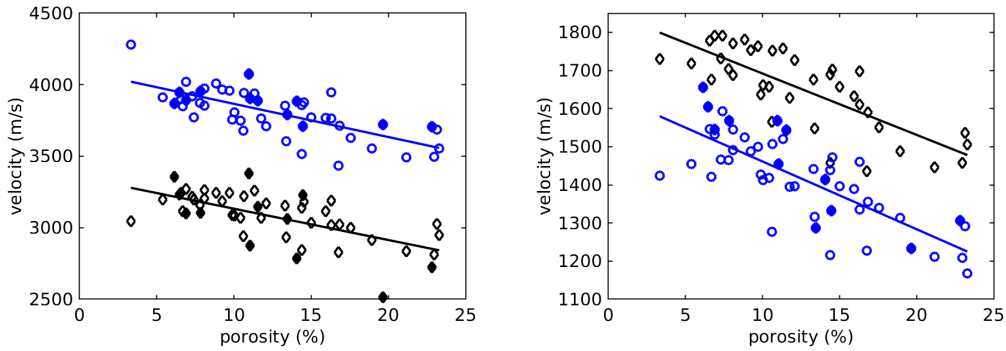


Figure 4: Velocities and porosity for tibia (filled symbols) and femur specimens, pooled except for  $V_4$  for which only femur data are shown. Left: longitudinal waves  $V_1$  (black  $\diamond$ ) and  $V_3$  (blue  $\circ$ ); right: shear waves  $V_4$  (black  $\diamond$ ) and  $V_6$  (blue  $\circ$ ). The linear regression lines are shown.

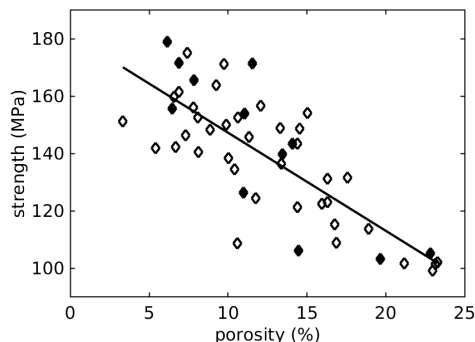


Figure 5: Strength and porosity for tibia (filled symbols) and femur specimens pooled.

284 and  $5.10 \text{ ms}^{-1}$ , depending on propagation direction and polarisation ; ii) an  
 285 increase of porosity of 1% is associated to a decrease of velocity between  
 286  $16.1 \text{ ms}^{-1}$  and  $23.2 \text{ ms}^{-1}$ , depending on propagation direction and polari-  
 287 sation. The sensitivity of the different velocities to changes of strength or  
 288 porosity can be expressed in terms of percentage of change of each velocity:  
 289 for an increase of strength of 1 MPa,  $V_1$ ,  $V_3$ ,  $V_4$ , and  $V_6$  increase 0.16 %,   
 290 0.13 %, 0.25 %, and 0.31 %, respectively, suggesting a higher sensitivity of  
 291 shear velocities to changes of strength. For an increase of porosity of 1%,  
 292  $V_3$ ,  $V_4$ , and  $V_6$  decrease 0.70 %, 0.60 %, 0.95 %, and 1.23 %, respectively, also  
 293 suggesting a higher sensitivity of shear velocities to changes of porosity.

## 294 Discussion

295 In this study, US velocities, compression strength and porosity in cortical  
 296 bone specimens of tibia and femur of elderly human donors were measured.  
 297 We believe our data are representative of the elderly population as the range  
 298 of mass density and porosity of our specimens span the physiological range  
 299 as documented in other studies involving large collections of specimens (Rho

Table 4: Linear models of velocities,  $V_1, V_3, V_4, V_6$  ( $\text{ms}^{-1}$ ) as a function of strength,  $\sigma_m$  (MPa). RMSE is the root-mean-square-error. p-value  $< 10^{-3}$  for all models.

Model	RMSE	$R^2$
$V_1 = 2412 + 4.89 \sigma_m$	121	0.46
$V_3 = 3109 + 5.10 \sigma_m$	115	0.50
$V_4 = 1061 + 4.32 \sigma_m$	55	0.75
$V_6 = 804 + 4.44 \sigma_m$	61	0.73

Table 5: Linear models of velocities,  $V_1, V_3, V_4, V_6$  ( $\text{ms}^{-1}$ ) as a function of porosity, Ct.Po (%). RMSE is the root-mean-square-error. p-value  $< 10^{-3}$  for all models.

Model	RMSE	$R^2$
$V_1 = 3352 - 21.9 \text{ Ct.Po}$	134	0.42
$V_3 = 4099 - 23.2 \text{ Ct.Po}$	117	0.51
$V_4 = 1853 - 16.1 \text{ Ct.Po}$	69	0.60
$V_6 = 1639 - 17.8 \text{ Ct.Po}$	75	0.60

300 et al., 1995; Bousson et al., 2001). Results showed that velocities of US waves  
301 of shear and longitudinal polarisation, propagating along or perpendicular  
302 to osteons, are correlated to compressive strength and porosity. Roughly,  
303 according to the linear regression models, a decrease of velocity of  $100 \text{ ms}^{-1}$   
304 corresponds to a loss of 20 MPa of strength (which is approximately 10%  
305 of maximum strength value observed) and to an increase of porosity of 5%.  
306 There is a trend of a higher sensitivity of shear wave velocities to changes of  
307 strength and porosity, compared to longitudinal wave velocities. This trend  
308 for porosity is consistent with simulation data (Baron et al., 2007) conducted  
309 for plane waves centered at 1 MHz.

310 To the best of our knowledge, the data presented in this study is the first  
311 quantification of the relationship between bulk wave US velocities in cortical  
312 bone and strength. The result that velocities and strength are correlated was  
313 nevertheless expected because velocity is known to be related to porosity and  
314 porosity is related to strength (Eneh et al., 2016; Mirzaali et al., 2016). The  
315 negative correlations between both shear and longitudinal wave velocities and  
316 porosity were also previously demonstrated in a simulation study conducted  
317 on plane waves centered at 1 MHz (Baron et al., 2007). Empirically, only the  
318 longitudinal wave velocity has been previously considered (Grondin et al.,  
319 2012; Mathieu et al., 2013; Eneh et al., 2016). Porosity variation was found  
320 to explain about 30% of the variation of velocity along osteons (Grondin  
321 et al., 2012; Mathieu et al., 2013) and about 50% of the variation of velocity  
322 perpendicular to osteons (Eneh et al., 2016). The correlation coefficients  
323 between longitudinal wave velocities and porosity from the present study are  
324 in good agreement with the latter. Finally, the correlation found between  
325 strength and porosity in this study (Figure 5,  $r=-0.74$ ) is also consistent  
326 with the results from previous experimental studies in human cortical bone  
327 (Boughton et al., 2019; Mirzaali et al., 2016).

328 One originality of our protocol was to use RUS to determine bulk wave  
329 velocities. RUS has provided the full transversely isotropic stiffness tensor of  
330 tibia specimens and the full orthotropic stiffness tensor of femur specimens.  
331 In this study, we have presented the analysis for the velocities of waves prop-  
332 agating along the radial, circumferential and axial directions of bone. The  
333 velocities of waves propagating along other directions can be calculated with  
334 the data provided as supplementary material. A specificity of RUS, com-

335 pared, e.g., to time-of-flight techniques for velocity measurement (Peralta  
336 et al., 2017), is that shear moduli are obtained with an intrinsically higher  
337 precision compared to longitudinal moduli (Migliori and Sarrao, 1997; Cai  
338 et al., 2017). This may be a reason why correlation coefficients with strength  
339 and porosity are higher for shear velocities compared to those with longitu-  
340 dinal velocities. We made the choice to measure compression strength on  
341 the same specimens used for RUS rather than using other specimens with  
342 a dedicated shape prepared, e.g., from adjacent locations in the diaphysis.  
343 This made it possible to get rid of the variations of bone properties (hetero-  
344 geneity) along the diaphysis which may have had the effect of decreasing the  
345 correlations between strength and velocities.

346 The correlations between velocities and porosity and between velocities  
347 and strength are only moderate. One possible explanation is that other  
348 factors than porosity affect velocities. As the extravascular matrix mineral  
349 content (not measured in the present study) is known to explain a part of  
350 the variations of elastic properties after adjusting for porosity (Cai et al.,  
351 2019), the inter-specimen variations of mineral content may explain a part  
352 of velocity variations not captured in the present study. Similarly, our re-  
353 sults suggest that the inter-specimen variations of some properties affecting  
354 strength are not captured by the measurement of velocities. Bone resistance  
355 to crack propagation is related to extravascular matrix heterogeneity, min-  
356 eralization, collagen properties and the mechanical behavior of mineralized  
357 collagen fibers at strain levels far beyond those involved in US propagation  
358 (Zimmermann et al., 2015). Finally, we have used porosity, e.g., pore volume  
359 fraction, to characterize the effect of the vascular pore network on velocities

360 and strength. The shape, size, and distribution of porosities and the presence  
361 of large pores in some samples may also affect strength (Iori et al., 2019).  
362 In this study, we have not computed other parameters of the pore network  
363 such as mean cortical pore volume and mean cortical pore diameter because  
364 of the limited precision of the estimation of these parameters from conven-  
365 tional micro-CT subjected to beam hardening and cone beam reconstruction  
366 artifacts (Ostertag et al., 2016).

367 Quantitative US methods to assess bone health could take advantage of  
368 measuring velocities in cortical bone. Lee et al. (1997) showed that speed of  
369 sound measured with a low frequency (250 kHz) axial transmission method  
370 at the tibia was highly correlated ( $R^2 = 0.75$ ) with strength measured in  
371 tension. However, this axial transmission modality measured the speed of  
372 sound of a guided wave which not only depends on bulk wave velocities but  
373 also on cortical bone thickness. Our study suggests that all bulk wave ve-  
374 locities (with different directions and polarisations) are worth measuring in  
375 vivo as they carry information on bone strength. Based on the linear regres-  
376 sion models with strength, the range of variation of velocities is in the order  
377 of  $400 \text{ m.s}^{-1}$  and  $350 \text{ m.s}^{-1}$  for longitudinal and shear waves, respectively.  
378 These numbers should be compared with the precision of US devices designed  
379 for clinical use. For instance, the axial transmission technique provides the  
380 velocity of the first arriving signal, a quantity representative of bulk longitu-  
381 dinal wave velocity  $V_3$  for a thick bone (Bossy et al., 2002), with a precision  
382 of  $\pm 20 \text{ m.s}^{-1}$  in vivo (inter-operator reproducibility using the clinical proto-  
383 col) (Talmant et al., 2009). In a pilot study on two healthy volunteers using  
384 an array probe for imaging of tibia and radius cortex, Renaud et al. (2018)

385 reported the precision of longitudinal wave velocities assessment based on  
386 the standard deviation of 5 measurements with repositioning, which was be-  
387 tween 40 and 140 m.s<sup>-1</sup> for  $V_1$  and between 50 and 70 m.s<sup>-1</sup> for  $V_3$ . We  
388 conclude that differences in bone mechanical quality reflected in velocities  
389 could actually be probed in vivo as the range of inter-specimen variation of  
390 velocities is close to an order of magnitude larger than the precision of in  
391 vivo devices.

392 Finally, this study has some limitations. Bone specimens were collected  
393 at two skeletal site (femoral and tibial diaphysis) of bones from elderly donors  
394 without documentation on the existence of bone pathologies. Therefore, the  
395 findings in this work may not apply to other bone sites, age groups, or bone  
396 with pathologies. However, we found little differences in the measured vari-  
397 ables between tibia and femur, suggesting that our conclusions may be valid  
398 for most cortical bone sites. The shape of the specimens, which had a rela-  
399 tively small aspect ratio (about 1.4 and 1.6 for femur and tibia specimens,  
400 respectively) was dictated by the requirements of RUS technique (Migliori  
401 and Sarrao, 1997; Bernard et al., 2013) to maximize the sensitivity of resonant  
402 frequencies to all elastic coefficients. This made the strength measurement  
403 configuration sub-optimal as the artifacts due to friction on the compres-  
404 sion platens decrease with aspect ratio (Keaveny et al., 1993). In addition,  
405 imperfections in the geometrical shape of the samples, i.e. imperfect rectan-  
406 gular parallelepiped samples, could also cause errors in the measured values  
407 of strength. We tried to minimize friction by using polished platens and the  
408 protocol was extensively tested on reference materials from which we esti-  
409 mated the precision of strength measurement to 6.5%. The protocol accuracy



410 was not estimated and further studies are needed to quantify the systematic  
411 error in strength measurements.

412 To conclude, this study evidences that all US velocities reflect strength  
413 and porosity of cortical bone. The data provide a rationale for the mea-  
414 surement of one or several velocities in vivo as a biomarker of bone health.  
415 Measuring velocities in vivo can be achieved, e.g. with axial transmission  
416 (Foiret et al., 2014) or quantitative imaging (Renaud et al., 2018; Nguyen  
417 Minh et al., 2020) and can complement US diagnosis of cortical bone fragility.

#### 418 **Supplementary material**

419 A file SuppMaterial.xls is provided which contains: i) the data used for  
420 the statistical analysis (strength, porosity, density and elastic coefficients)  
421 ii) the data used to assess the reproducibility of strength measurement with  
422 synthetic bone material.

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