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► **To cite this version:**

Frédéric Mompou, Rui-xun Xie. pycotem: An open source toolbox for online crystal defect characterization from TEM imaging and diffraction. *Journal of Microscopy*, 2020, 10.1111/jmi.12982 . hal-03145442

HAL Id: hal-03145442

<https://hal.science/hal-03145442>

Submitted on 18 Feb 2021

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***pycotem*: an open source toolbox for on-line crystal defect characterization from TEM imaging and diffraction**

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Funding information

We present a series of tools working together that facilitate the determination of dislocation Burgers vectors and slip planes, interface plane normals, and misorientation between two crystals from a series of TEM micrographs and diffraction patterns. To that purpose, we developed graphical user interface programs that allow crystal orientation determination from spot diffraction patterns taken at various tilt angles or from Kikuchi patterns, crystal representation from stereographic projection plots, and determination of geometrical features from series of conventional images taken at different tilt angles. We present working examples that allow a faster and easier way to analyze data that can especially be retrieved during in-situ straining experiments where dislocations and grain boundaries need to be characterized. More generally, these tools target material scientists interested in daily microstructural characterization in TEM.

KEYWORDS

crystal orientation, defect analysis, electron diffraction, stereographic projection

Abbreviations:

* Equally contributing authors.

1 | INTRODUCTION

Determining crystal orientation along with the identification of microstructural features (dislocations, interfaces...) is daily work for material scientists using Transmission Electron Microscopy (TEM). This is often performed using conventional microscopy exploiting Bright Field/Dark Field (BF/DF) imaging and Electron Diffraction (ED). Although the procedure to orient a crystal from ED and determine microstructural features from BF/DF is well described in textbooks [1, 2], it is usually a long and technical task. Orientation determination traditionally leans on a two step procedure: i) identify recorded diffraction zone axes from atlases of diffraction patterns or identify the location of a Kikuchi pattern in a map, and ii) determine the crystal directions and planes in the microscope coordinate system. This second task is performed with the help of stereographic projections. Still today, scientists are thus trained to plot them by hand using the Wulff net.

Existing software tools, including packages and standalone programs, mainly simplify these two tasks by proposing the identification of diffraction patterns and by offering a numerical way to plot stereographic projections. We briefly summarize the developed strategies in the following.

ED is a straightforward manner to determine orientation as it collects information on crystal planes and symmetry, either from convergent beam patterns or spot patterns (selected area diffraction or micro/nano-diffraction). When spot patterns are calibrated and taken from zone axes, there are various strategies to automatically index them or help users to do so [3–5]. An alternative way is to compare patterns with calculated ones as proposed in many programs such as JEMS [6], CaRine [7], CrysTBox [5], Landyne [8] or SingleCrystal [9]. This idea has been further exploited to retrieve directly orientation from a spot pattern taken from arbitrary orientations. Various approaches have been proposed in the literature with the culminating objective to perform automated crystal orientation mapping (ACOM) [10–13]. The drawback with ACOM using micro-diffraction is due to the fact that a spot pattern always has 2-fold symmetry that the crystal may not respect, sometimes resulting in crystal orientations with 180° ambiguity. This effect can be moderated by electron precession [14], but requires additional hardware installed on the microscope.

Using convergent beam ED requires to operate in a TEM mode that does not allow an easy switching with BF/DF imaging for microstructural characterization. Although Kikuchi patterns can be easily obtained in sufficiently thick area, they are usually mixed up with point patterns which prevent an easy automated identification. Recently, Xie et al. [15] have proposed a fast method to orient crystal from Kikuchi lines by manually matching a draggable Kikuchi map in overlay with an experimental pattern. This approach allows to rapidly re-orient a crystal during TEM operation on the condition that the initial orientation has been set up with a zone axis pattern.

Regarding crystal orientation representation, most of the recent software tools, such as KSpace Navigator [16], *rompas* [15] and ALPHABETA [17], propose stereographic plots to navigate in the reciprocal space by sample tilting. Beside navigation, computer aided stereographic projection plot offers an approach to determine orientation relationships [18] or to predict crystallographic features related to phase transformation, such as habit plane or dislocation content [19].

Defect analysis, such as dislocations or interface/grain boundaries, is usually guided by stereographic projections and will force the user to tilt the specimen to different diffraction conditions. For example, the Burgers vector of a dislocation is often identified by the $g \cdot b$ invisibility criterion, which needs multiple diffraction conditions. This can even be performed during in-situ experiments [20], as long as preplanned with stereographic projections. Analyzing interface planes or dislocation slip planes also requires a lot of sample tilting. Though algorithms were developed [21–23], not many software tools were reported on this purpose. *rompas* [15] offers a user-friendly tool to identify the plane from its projections, but a priori guess of plane indices is still needed.

Although a lot of software is available, few of them were reported to offer the ability to determine orientation

43 from raw data and analyze defects from the combined information of imaging and diffraction. This often requires
 44 switching between different tools, exporting/importing data and normalizing data convention, which are tedious and
 45 may become pitfalls.

46 Here we present an open toolkit, *pycotem*, that aims to an efficient workflow for crystal orientation analysis and
 47 the determination of defects such as dislocations and interface planes. Six different independent tools, with user-
 48 friendly graphical interfaces, are provided to orient a crystal with ED (*diffraction* and *kikuchi*), determine plane or
 49 interface normals or directions from a series of BF/DF images (*interface*), perform crystallographic analysis of one or
 50 two crystals on a stereographic projection (*stereproj* and *misorientation*), and finally view the atomic structure on a given
 51 projection plane (*crystal*). *stereproj* is the core tool to display and interpret crystal orientation and features determined
 52 from the other components. The tool *diffraction* proposes a new general algorithm to determine and refine the crystal
 53 orientation from a series of spot patterns recorded at arbitrary conditions. We recently proposed a general method
 54 to determine interface normal from a set of BF/DF images with a good accuracy [23]. This method, previously in
 55 command line form, is implemented in *interface* to perform graphical determination of lines or planes. The present
 56 paper will not cover all the features proposed by *pycotem*, but readers can refer to the complete documentation
 57 [24]. It is thus organized as follows: we first describe the framework and the method used to determine crystal
 58 orientation from spot patterns and interface planes/directions from images. Then, we illustrate this approach with
 59 working examples.

60 2 | METHODS

61 2.1 | Crystal orientation definition

The crystal orientation can be specified by a rotation, or a combination of rotations, which relates the crystal lattice
 coordinate system to the fixed coordinate system of the microscope, hereafter noted by the subscripts C and M ,
 respectively. There are several ways to define the orientation. The classical one refers to the definition of Bunge [25]
 using a sequence of three rotation of angles $(\varphi_1, \phi, \varphi_2)$, the so-called Euler angles. If we define the Euler angles from
 extrinsic rotations, i.e. rotations that operate in the fixed reference frame $(x, y, z)_M$, this corresponds to: a rotation
 along z of an angle φ_2 , a rotation along x of ϕ , and a rotation along z of φ_1 , i.e in terms of rotation matrix:

$$\mathbf{R} = \mathbf{R}_z(\varphi_1)\mathbf{R}_x(\phi)\mathbf{R}_z(\varphi_2)$$

62 where $\mathbf{R}_z(\theta)$ and $\mathbf{R}_x(\theta)$ are the rotation matrices along x and z axis of an angle θ . Their expression is recalled in the
 63 Appendix. Inversely, knowing the rotation matrix, the Euler angles can be determined with elements in the matrix:

$$64 \quad \varphi_1 = \arctan(\mathbf{R}_{13}/\mathbf{R}_{23}), \phi = \arccos(\mathbf{R}_{33}), \varphi_2 = \arctan(\mathbf{R}_{31}/\mathbf{R}_{32}) \quad (1)$$

The orientation is thus defined by:

$$[x, y, z]_M^T = \mathbf{R}_{\varphi_1, \phi, \varphi_2} [x, y, z]_C^T$$

65 with the T sign being for transpose, indicating column vectors. For a given crystal, the direction $[u, v, w]$ or plane
 66 (h, k, l) are related to $[x, y, z]$ by:

$$[x, y, z]_C^T = D[u, v, w]_C^T = (D^{-1})^T(h, k, l)_C^T$$

67 where:

$$D = \begin{pmatrix} a & b \cos \gamma & c \cos \beta \\ 0 & b \sin \gamma & c(\cos \alpha - \cos \beta \cos \gamma)/\sin \gamma \\ 0 & 0 & V/(ab \sin \gamma) \end{pmatrix}$$

68 and $a, b, c, \alpha, \beta, \gamma$ the crystal parameters, and V the crystal volume.

69 So that the orientation is determined by:

$$70 \quad [x, y, z]_M^T = R_{\varphi_1, \phi, \varphi_2} D[u, v, w]_C^T = R_{\varphi_1, \phi, \varphi_2} (D^{-1})^T(h, k, l)_C^T \quad (2)$$

71 When determining $R_{\varphi_1, \phi, \varphi_2}$ with ED, the orientation is determined from N inputs by:

$$72 \quad R_{\varphi_1, \phi, \varphi_2} (D^{-1})^T \begin{pmatrix} h_1 & \dots & h_N \\ k_1 & \dots & k_N \\ l_1 & \dots & l_N \end{pmatrix}_C = \begin{pmatrix} x_1 & \dots & x_N \\ y_1 & \dots & y_N \\ z_1 & \dots & z_N \end{pmatrix}_M \quad (3)$$

73 In Eq.3, $[x_i, y_i, z_i]_M^T$ needs to be measured from patterns of ED, and their corresponding $(h_i, k_i, l_i)_C^T$ needs to be
 74 assigned. There are two sources of $[x_i, y_i, z_i]_M^T$: a single Kikuchi pattern, or a series of spot patterns recorded at
 75 different tilting positions. In the following we will present these two approaches to get $[x_i, y_i, z_i]_M^T$, then index them
 76 with $(h_i, k_i, l_i)_C^T$, and finally describe the method to retrieve $R_{\varphi_1, \phi, \varphi_2}$ when Eq.3 is over-determined.

77 2.2 | Determining the diffraction vectors in microscope coordinates

78 2.2.1 | Coordinate system of the projection screen

79 To reach a desired diffraction condition, the specimen must be tilted in TEM. Usual specimen holders allow tilting
 80 along a primary axis, called α -tilt, fixed in the microscope coordinate system and a second tilt, called β -tilt (double tilt
 81 holder), or θ -tilt (tilt-rotation holder), that moves in the microscope coordinate system. In *pycotem*, α -tilt is oriented
 82 along y , β -tilt along x and θ tilt along z (fig. 1a). Because of magnetic rotation in the electron lenses, the recorded
 83 diffraction pattern on the viewing screen or camera is often rotated. The coordinate system in the projected screen
 84 noted with the subscript p is then rotated with respect to the microscope coordinate system by an angle ξ along the
 85 z direction (fig. 1b-c).

86 Giving the tilt angles α, β ¹, and the angle ξ , the transformation from projection screen coordinates to microscope

¹for the sake of simplicity the equations in the following are expressed for a couple (α, β) but also apply for a tilt sequence (α, θ)

87 coordinates is:

$$88 \quad [x, y, z]_M^T = \mathbf{R}_x(-\beta)\mathbf{R}_y(-\alpha)\mathbf{R}_z(\xi)[x, y, z]_P^T \quad (4)$$

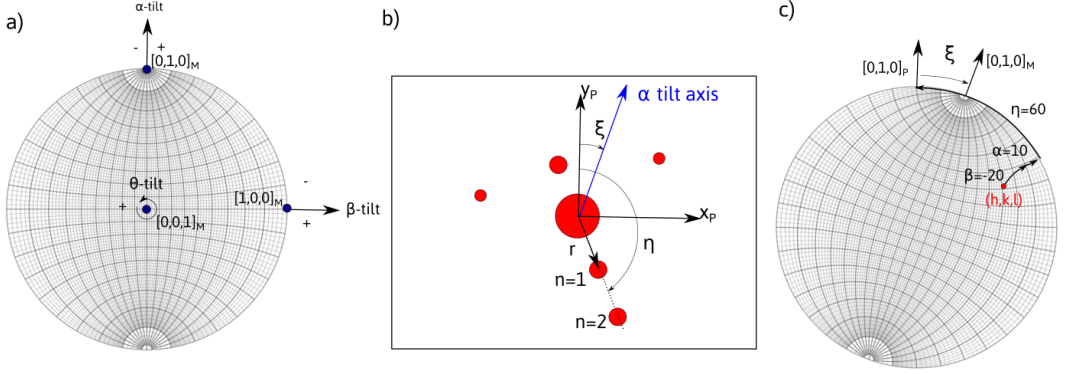


FIGURE 1 a) Fixed coordinate system of the microscope displayed on a Wulff net. The sample can be rotated along the primary α -tilt axis and additionally along the β or θ tilt. b) Sketch of a diffraction pattern showing a systematic diffraction row, distant of r from the transmitted beam and at an angle η with the y_P axis in the projected screen coordinate system. n denotes the diffraction order. In this coordinate system, the α tilt axis makes an angle ξ with $y_P = [0, 1, 0]_P$. c) Representation on the Wulff net of a tilt sequence (α, β) needed to bring the (h, k, l) reflection at the inclination η in the projected screen coordinate system.

89 2.2.2 | Diffraction vectors from a series of spot patterns

90 In a spot pattern, the diffraction vector is defined by the vector from the transmission spot to the diffraction spot.
 91 Since the deviation between three-beam conditions $(0, -g, +g)$ and two-beam conditions $(0, +g)$ is usually within a
 92 few tenths of a degree, e.g. 0.4° for (200) of iron austenite at 200 kV, which is much smaller than the error introduced
 93 by the inaccuracy of the sample holder (see below), we assume in the following that the g -vectors are always on
 94 the projection screen ². Once the inclination angle η of a diffraction vector is retrieved (fig. 1b), its coordinates in
 95 projection screen can be expressed as:

$$96 \quad [x, y, z]_P^T = \mathbf{R}_z(-\eta)[0, 1, 0]_P^T \quad (5)$$

97 With Eq.4 and Eq.5, the direction of one diffraction vector can be placed on the stereographic projection. This
 98 however is not sufficient to derive the crystal orientation as it requires the knowledge of an extra rotation along the
 99 vector $[x, y, z]_M$. Thus, once another reflection is determined at the same or a different couple (α, β) , the orientation
 100 can be determined. Working graphically, the orientation in *pycotem* can be plotted on the stereographic projection by
 101 fixing one reflection and rotating around it until the other reflection matches.

²This is exact if the diffraction pattern is taken in the middle of the extinction contour, i.e. for a deviation from Bragg angle $s = -\lambda/2\|g\|^2$ (three beam condition). If s is measured, from the position of the Kikuchi bands, the exact coordinates in the plane can be corrected for a given two beam condition by modifying equation 5 by $[x, y, z]_P^T = \mathbf{R}_z(-\eta)\mathbf{R}_x(\epsilon)[0, 1, 0]_P^T$ with $\epsilon = s/\|g\| + \theta_B$. In *pycotem*, we simply propose an option to correct from a two-beam to a three-beam conditions i.e. taking $\epsilon = \theta_B$.

102 This approach can be easily performed for diffraction patterns recorded during a single tilt experiment, but be-
 103 come difficult in a general case where tilt axes are different. Due to experimental errors, it is also impossible for the
 104 operator to align more than 2 reflections altogether with a good accuracy. In part 2.4, we propose a method that
 105 avoids any graphical approach or any manual adjustment.

106 2.2.3 | Diffraction vectors from a Kikuchi pattern

107 A Kikuchi pattern recorded at a given tilting condition present a series of bands that can be defined using 3 points.
 108 Two points along one line (excess or defected) define the azimuth angle η with respect to the y_p axis in the observation
 109 plane. A third point taken on the second line defines the projected band width d_p in the observation plane, which is
 110 needed to find the center position of the band. A fourth point defines the pattern center O . All these points define
 111 the band normal projected vector v_p , as shown in fig. 2.

112 The band normal vectors in the coordinate system $[x, y, z]_p$ are inclined of an angle ϕ with respect to the projec-
 113 tion plane. They can be derived from the relation:

$$114 [x, y, z]_p = v_p + [0, 0, \|v_p\|^2/L] \quad (6)$$

115 where $L = \|OP\|$ is the camera length.

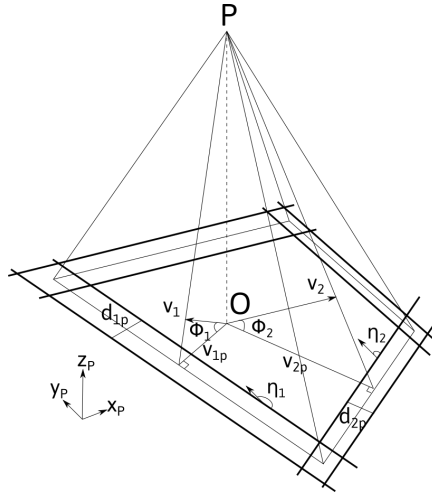


FIGURE 2 Description of a Kikuchi pattern in the $[x, y, z]_p$ coordinate system.

116 2.3 | Indexing diffraction vectors with crystal coordinates

117 Two strategies can be exploited to determine the correct (h, k, l) indices of diffraction vectors. The first one is to use
 118 d-spacing to identify the family, $\{h, k, l\}$, of g-vectors. This can be done by computer on spot patterns recorded with
 119 strong reflections. Thus, the observed g-vectors can be restricted to a small set of diffraction vectors (h_i, k_i, l_i) within
 120 some uncertainty range. While the indices of the first one can be chosen arbitrarily, the following ones need to be

121 chosen within a set that respect the measured angles $\theta_{ij} = \angle([x_i, y_i, z_i]_M, [x_j, y_j, z_j]_M)$. The difference between the
 122 measured angles and the theoretical ones $\theta'_{ij} = \angle((h_i, k_i, l_i), (h_j, k_j, l_j))$ can be displayed as an help to discriminate the
 123 correct set of indices.

124 When the g-vectors are ambiguous to discriminate from the sole measure of their d-spacing, which is often
 125 the case when working with Kikuchi bands of high indices, the entire sets of g-vectors are those for which all the
 126 differences $|\theta_{ij} - \theta'_{ij}|$ are below a tolerance angle. This can be achieved by inspecting a lookup table of angles θ'_{ij} .
 127 With standard recent PC, this can be achieved within few seconds when considering up to 6 reflections with indices
 128 up to the fifth order.

129 2.4 | Orientation determination

130 In Eq.3, R_{φ_1, φ_2} is a rotation matrix that needs to be determined. When the number of inputs N is equal to or more
 131 than 2, Eq.3 will become a determined or overdetermined equation like:

$$132 \quad R_{\varphi_1, \varphi_2} (D^{-1})^T G_C = G_M \quad (7)$$

133 Finding the closest orientation matrix R_{φ_1, φ_2} from Eq.7 can be done with two equivalent approaches proposed
 134 by Mackenzie [26] and Horn [27]. Here we follow Mackenzie's least-squares method, which consists in maximizing
 135 the sum of cosine of angular deviation defined as:

$$136 \quad S = \sum_r^m \cos \theta_r = \sum_r^m (x_r, y_r, z_r)_M R (D^{-1})^T (h_r, k_r, l_r)_C^T \quad (8)$$

137 Then singular value decomposition is applied to find the R that maximizes S :

$$138 \quad U \Lambda V^T = \text{svd}((D^{-1})^T G_C G_M^T)$$

$$139 \quad R_{\varphi_1, \varphi_2} = V U^T$$

140 To test the robustness of the results from experimental errors, the following statistical approach was carried out.
 141 From a given orientation matrix R , a set of N g-vectors (h, k, l) , ranging from 2 to 7, were taken. In the microscope
 142 coordinate system, vectors corresponding to these g-vectors are computed, added with some error, and used to
 143 compute back the orientation matrix R_d . In the first case simulating spot patterns, the (α, β) position of patterns
 144 and the inclination angle of spots have random errors ranging between 1 and 3 degrees. This range is expected to
 145 account for deviation from the Bragg conditions (usually few tenths of a degree), inclination η measurement error
 146 and goniometer backlash and inaccuracy. They lead to the colored curves in fig. 3a. In the second case simulating
 147 Kikuchi patterns, a random error on the azimuth angle η of the line, ranging between 1 and 3 degrees, was introduced
 148 corresponding to the 3 colored curved in figure 3b. A random error ranging between 2.5 and 10% on the measurement
 149 on the distance between the pattern center and the middle of the Kikuchi band, was also introduced and lead to the
 150 error bars in fig. 3b.

151 The angular deviation between R and R_d is given by:

$$\theta_d = \arccos\left(\frac{\text{tr}(\mathbf{R}\mathbf{R}_d^T) - 1}{2}\right) \quad (9)$$

The calculations were ran 10000 times which allow to compute a statistical significant average angular deviation. Figure 3 shows the mean angular deviation $\langle\theta_d\rangle$ with respect to the number of diffraction vectors N for different errors.

In both cases, the average error between the expected orientation and the measured one decreases with the number of inputs. Hence in typical tilt series experiments where more than 4 diffraction vectors are obtained, the error is of the order of 1° . For Kikuchi patterns, the error can be reduced down to few tenth of degrees. The main error is due to imprecision in the position of the lines in the observation plane more than the inclination of the diffraction vectors out of the plane. It should be noted that a systematic error can also be introduced if the camera length is not exactly calibrated. This can be however refined by iteratively changing the camera length until a minimum angular deviation is found (see the workflow example in sec. 3).

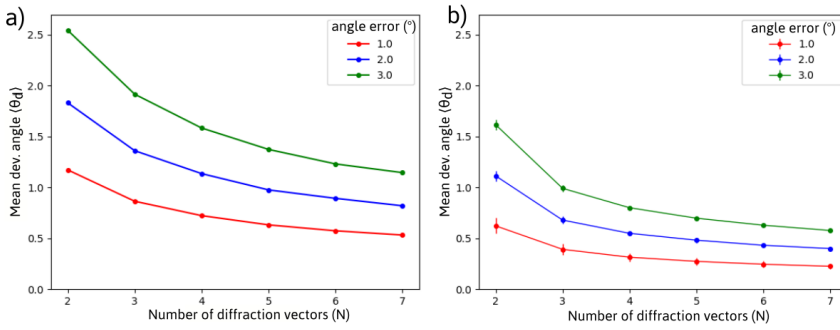


FIGURE 3 Mean deviation angle computed from a random erroneous data sets as a function of number of diffraction vectors for a series of diffraction patterns in a) and a Kikuchi pattern in b).

2.5 | Plane determination from a set of images

A similar approach can be performed to determine direction or plane normal from a set of images. It is described in more details in [23].

For a given orientation determined by the beam direction \mathbf{b}_e in the microscope coordinate system, the interface plane \mathbf{n} is fully described by the direction of the projected traces \mathbf{t}_p that correspond to the intersection between the foil surfaces \mathbf{s} and the plane. In the projection screen coordinates, the direction of the trace can be characterized by the azimuth angle η with the \mathbf{y}_p direction. Note that because of the electron optics, the projected plane frame can be rotated with respect to the microscope coordinate system along $\mathbf{z}_M = \mathbf{z}_p$ of an angle δ (which can be different from the angle ξ in diffraction mode).

If the two surfaces are parallel, the two projected traces are also parallel (fig. 4). Thus, the width w between the two traces can be also measured. These data constitute the set (b_e, η, w) . If the surfaces are not parallel, the method can also be applied owing tracking a specific known point at the interface [23].

It can be shown that the apparent width w is given by:

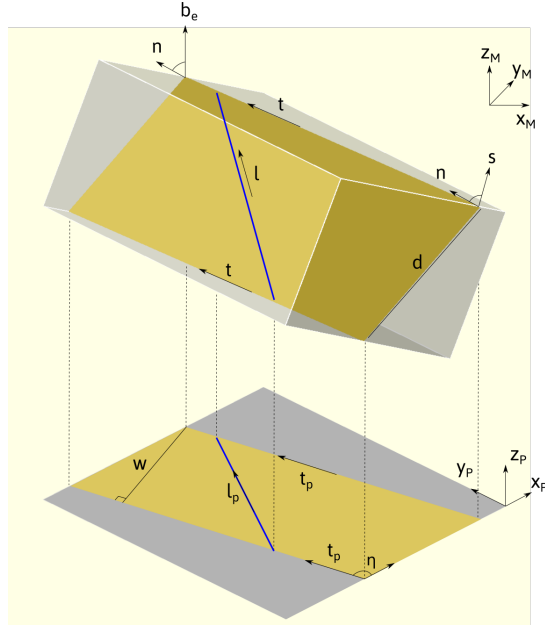


FIGURE 4 Sketch showing the geometry of planar and linear feature in a foil and projected in the viewing screen. The knowledge of the beam direction b_e , projected line l_p or trace t_p directions and projected plane width w or line length $\|l_p\|$, at different tilts allow the determination of plane normal n or line direction l .

$$w = \frac{|dn \cdot b_e|}{\sqrt{1 - (b_e \cdot t)^2}}$$

176 where d is the plane width.

177 The unit normal vector n can be estimated following the two steps procedure. First the trace direction t is solved
178 from a set of N imaging conditions:

$$[b_{e1} \times t_{p1}, \dots, b_{em} \times t_{pm}]^T \cdot t = 0$$

179 then the interface normal is solved :

$$[b_{e1}^T, \dots, b_{eN}^T, t^T]^T (dn) = [\text{sgn}(1)w_1\sqrt{1 - (b_{e1} \cdot t)^2}, \dots, \text{sgn}(N)w_N\sqrt{1 - (b_{eN} \cdot t)^2}, 0]^T$$

180 the $\text{sgn}(i)$ here denotes the sign of dot product $b_{ei} \cdot n$. As the projected width w_i is always a positive value, but
181 $b_{ei} \cdot n$ may be positive or not, the $\text{sgn}(i)$ is undetermined. The equation above is solved by considering all possible
182 combinations of $\text{sgn}(i)$. The most consistent solution corresponds to the combination leading to the smallest residual
183 error.

184 The inputs data used can be derived from the position of the beam direction and apparent trace direction in the
185 microscope coordinate system owing the tilt angles:

$$186 \quad \mathbf{b}_{ei} = \mathbf{R}_x(-\beta_i)\mathbf{R}_y(-\alpha_i)[0, 0, 1]_p^T$$

$$187 \quad \mathbf{t}_{pi} = \mathbf{R}_x(-\beta_i)\mathbf{R}_y(-\alpha_i)\mathbf{R}_z(\delta)\mathbf{R}_z(\eta)[0, 1, 0]_p^T$$

188 The same but simpler approach can be used to determine a linear feature l . Using the data sets $(\mathbf{b}_{ei}, l_{pi}, l_i)$, where
189 l_i is the projected line length, l can be deduced by solving :

$$[\mathbf{b}_{ei} \times l_{pi}, l_{pi}]^T dl = [0, \text{sgn}(l_i)]^T$$

190 A similar statistical treatment as performed above, shows also that the average deviation angle between an erro-
191 neous set of data and a chosen plane normal is of the order of few degrees and decreases with increasing the number
192 of data sets, with typical values of 1 degree for 6 datasets [23].

193 Without knowing the true normal or direction, the accuracy of a given set of data can be estimated using the
194 bootstrap method [28]. Starting from the set of data $(\mathbf{b}_{ei}, \eta_i)$ or (\mathbf{b}_{ei}, w_i) , to estimate the trace direction and normal,
195 respectively, the data sets were resampled and estimated traces and normals were determined using the equations
196 above. The 92% confidence interval was calculated from the 95th percentile of deviation of the trace and normal. This
197 indicates that the normal has a large chance to locate in a cone centered around \mathbf{n} with a deviation of $\Delta\theta = \angle(\mathbf{n}, \mathbf{n}'_{0.95})$.

198 3 | PYCOTEM WORK-FLOW EXAMPLES

199 We propose here to describe the workflow used in *pycotem* in order to determine orientations and analyze defects.
200 The code, written in python (version 3), is available under the GNU GPLv3 license at github and on the python package
201 repository [29]. Binary executable files for Windows operating systems can also be downloaded.

202 3.1 | Orientation of a crystal

203 3.1.1 | from spot patterns

204 The tool *diffraction* allows to determine the orientation from a set of diffraction patterns with the method described
205 above.

206 It relies on a procedure consisting first in recording diffraction patterns, preferably two-beam ones, at arbitrary tilt
207 conditions. This can be done by varying the tilt angles in image mode and by recording selected area or micro/nano-
208 diffraction patterns when the bending contours crosses the area of interest. As at least two patterns are needed, this
209 procedure can be performed quite fast without looking specifically at zone axes patterns.

210 Once the patterns are recorded, the tool *diffraction* can be used. As the first step, the crystal lattice needs to be
211 set (fig. 5a). Then, one can load a diffraction pattern and set its calibration. The d-spacing and the inclination angle
212 η of diffraction vectors can be retrieved by clicking the transmission spot and diffraction spots successively (fig. 5a).
213 When using a computer aided determination, a list of g-vectors within a tolerance range are proposed in the result
214 panel (fig. 5b). In order to help the user to choose the proper indices, these g-vectors are presented with kinematic

215 intensities and the angles from the already chosen ones. The data set including the tilt angles are then saved, and the
 216 above procedure can then be repeated.

217 Once at least 2 data sets $(h, k, l, \alpha, \beta, \eta)$ are given, the orientation can be computed as explained in sec. 2.4. The
 218 output window gives the Euler angles $(\varphi_1, \phi, \varphi_2)$. When using a fully automated procedure, the user simply needs
 219 to collect the η and tilt angles. The results window will then propose a set of consistent diffraction vectors and the
 220 corresponding Euler angles.

221 As the orientation is not known, Eq. 9 cannot be derived. Instead the accuracy has to be estimated with the
 222 internal consistency of inputs, i.e., the mean orientation deviation $\langle \Delta\theta \rangle$ of all the diffraction vectors:

$$\langle \Delta\theta \rangle = \frac{1}{N} \sum_r^N \arccos((x_r, y_r, z_r)_M \mathbf{R}(\mathbf{D}^{-1})^T (h_r, k_r, l_r)_C^T)$$

223 A second indicator of accuracy is mean d-spacing deviation:

$$\langle \Delta d \rangle = \frac{1}{N} \sum_r^N \frac{|d_{h_r k_r l_r} - d_r|}{d_{h_r k_r l_r}}$$

224 These two values should be as minimum as possible. Usually a good fit can be obtained with $\langle \Delta\theta \rangle$ below 1 degree
 225 (fig. 5b) and $\langle \Delta d \rangle$ of the order of a few percents. In the case where multiple sets of diffraction vectors are proposed,
 226 they are listed by ascending values of $\langle \Delta\theta \rangle$ and $\langle \Delta d \rangle$.

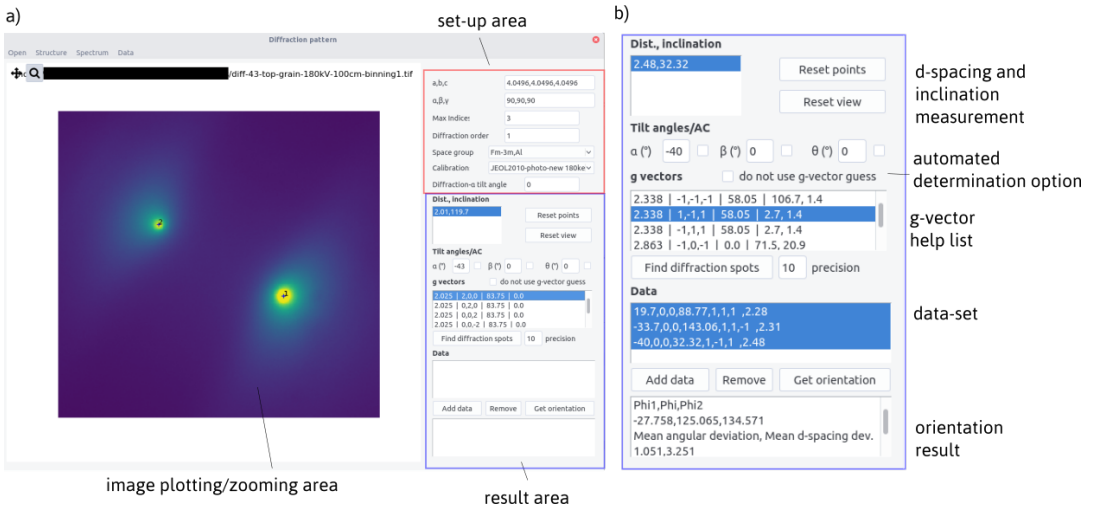


FIGURE 5 a) The graphical user interface of the diffraction tool. b) Zoom of the result panel.

227 The approach proposed in sec. 3.1.1 requires at least two g-vectors, either from diffraction pattern series or from
 228 one zone axis pattern. However if the diffraction vectors are co-planar, there could be more than one legal result, since
 229 spot patterns always have 2-fold rotation symmetry regardless of the crystal lattice. In *pycotem*, this 180° ambiguity
 230 is detected and two possible sets of Euler angles are given. Obviously multiple inputs often drastically lower, or even

231 eliminate this ambiguity. A set of two-beam diffraction patterns can be downloaded and tested as example in the
 232 Supplementary materials.

233 3.1.2 | from a Kikuchi pattern

234 The tool *kikuchi* allows to determine the orientation from a Kikuchi pattern with the method described above. Figure 6
 235 shows the user interface, displaying a pattern recorded from bcc iron (available in the Supplementary materials). After
 236 setting up the crystal and calibration, at least 2 bands need to be marked. Each band is defined by three points: two
 237 on one edge and a third on the other edge. The pattern can be zoomed in to locate the exact position of these points,
 238 leading to η measurements with a typical error fewer than 1 degree. The crystal orientation can then be retrieved
 239 from these bands once the pattern center is defined.

240 With the derived orientation, the theoretical position of bands can be calculated and displayed in overlay. One
 241 may check the accuracy and sensitivity of results by rotating the crystal and tuning camera length and acceleration
 242 voltage. The orientation, $\langle \Delta\theta \rangle$ and $\langle \Delta d \rangle$ are recalculated on change of camera length and acceleration voltage. Hence
 243 the optimized orientation can be obtained when $\langle \Delta\theta \rangle$ and $\langle \Delta d \rangle$ reach their minimum. The orientation at zero tilt can
 244 also be retrieved using Eq. 4 with known tilt angles.

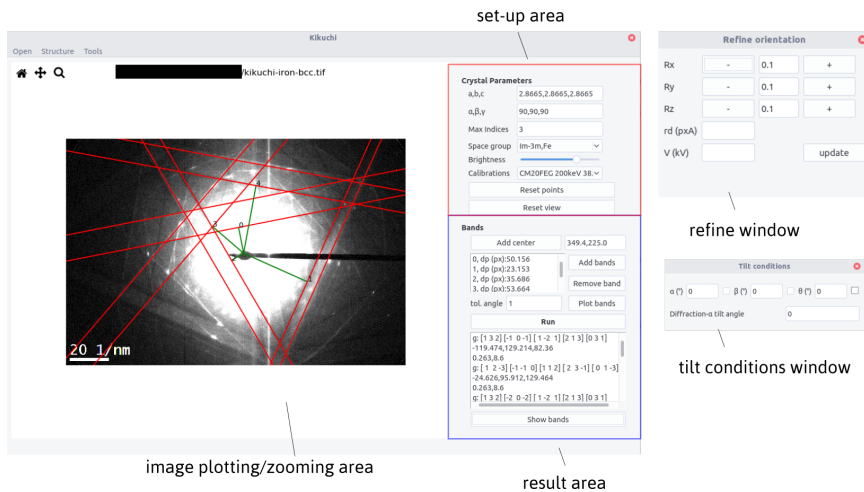


FIGURE 6 The graphical user interface of the kikuchi tool.

245 3.2 | Working with crystal orientation

246 3.2.1 | Analyzing Burgers vectors by navigating in the reciprocal space

247 As an illustrative example, we present analyses performed from a macroscopic bicrystal grown with an expected tilt
 248 grain boundary of $\Sigma 7\langle 111 \rangle 37.21^\circ$. The bicrystal was prepared to electron transparency by FIB using a Xe-source to
 249 produce a sample with the $\langle 111 \rangle$ rotation axis perpendicular to the foil surface. The two grains were oriented using
 250 the 4 diffraction patterns in each grains. The four diffraction patterns and the corresponding BF images are shown
 251 for the crystal 1 in Figure 7. The diffraction data used are indicated in table 1. The retrieved Euler angles of crystal

252 1 and 2 are $(4.486, 54.161, -45.564)_1$ and $(-146.939, 125.402, -44.689)_2$, and the mean orientation deviation angles are
 253 1.149° and 0.790° , respectively.

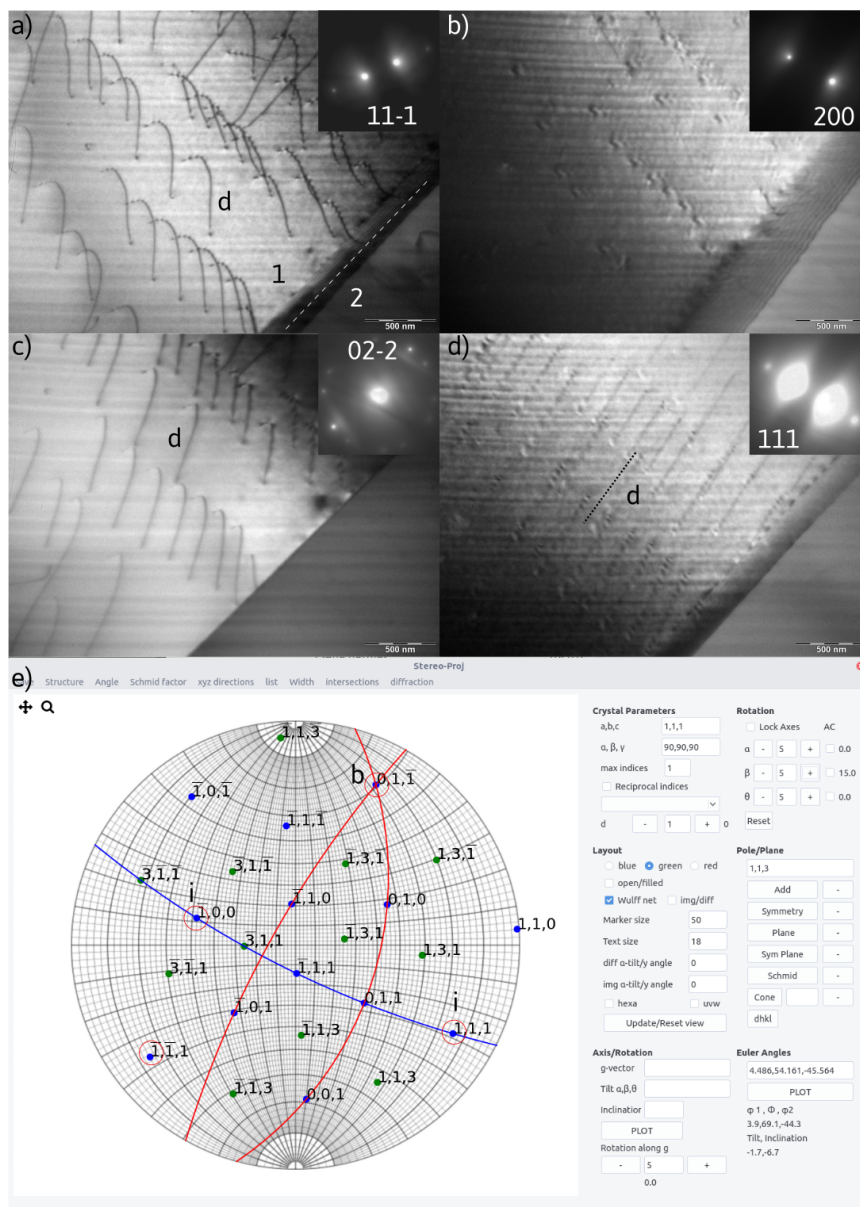


FIGURE 7 Series of BF images obtained at different tilting conditions showing the contrast of dislocations and their shape. In b) and c) the dislocations are hardly visible. The analysis of the images allow to determine the line joining both ends of dislocation d and its Burgers vector. e) is the stereographic projection of the crystal 1 at $(\alpha, \beta) = (0^\circ, 15^\circ)$. The g-vectors are encircled and invisibility conditions (i) are indicated. The Burgers vector (b) is at the intersection of the two red planes. The blue planes visually indicate the locus of extinction conditions.

Grain	α (°)	η (°)	(h, k, l)
1	-43.0	119.16	(2,0,0)
	24.0	121.83	(1,1,1)
	-1.3	34.4	(0,2,-2)
	-21.0	65.77	(1,1,-1)
2	19.7	87.63	(1,1,1)
	-40.0	32.71	(1,1,-1)
	-35.0	87.27	(0,2,0)
	-33.7	143.24	(-1,1,1)

TABLE 1 Data used for orienting the bicrystal

When crystal orientation is retrieved, stereographic projection can be plotted from the Euler angles. *stereoproj* can be used to display crystal features, i.e. planes, directions, and to navigate in the direct or reciprocal spaces. The reader can refer to the documentation for a full description of the features. Reaching a given diffraction condition can be easily checked by tilting the crystal until the diffraction vector intersects the equatorial great circle.

As an example, the stereographic projection for crystal 1 is shown in Fig. 7e at $(\alpha, \beta) = (0^\circ, 15^\circ)$. In this configuration, the user needs to tilt of an angle $\alpha = -20.5^\circ$ to get $\mathbf{g} = (2, 0, 2)$. Alternatively, without relying on manual tilting operations and graphical measurements on the Wulff net, the α angle needed to reach a given diffraction vector can also be automatically retrieved from a $(\varphi_1, \phi, \varphi_2)$ orientation, for a single tilt holder or for a double tilt/tilt-rotation holder given a specific β/θ angle.

Using a tilt series, the Burgers vector of the dislocations visible in crystal 1 can now be easily determined. In figure 7(a-d), the four BF images show different dislocation contrasts. Weak visibility is noticed for $\mathbf{g} = (2, 0, 0)$ and $(1, 1, 1)$, indicating, using the invisibility criterion $\mathbf{g} \cdot \mathbf{b} = 0$, that \mathbf{b} is parallel to $[0, \bar{1}, 1]$, i.e. in fcc Al, $\mathbf{b} = a/2[0, \bar{1}, 1]$. Figure 7e shows that the Burgers vector is at the intersection of the diffracting planes $(2, 0, 0)$ and $(1, 1, 1)$. Graphically, the locus of the extinction conditions can be plotted as the plane normal to \mathbf{b} . It is easy to check when plotting the $\{1, 1, 3\}$ poles (in green), that an additional extinction should be found for $\mathbf{g} = (3, 1, 1)$ at $(\alpha, \beta) = (-17.6^\circ, 15^\circ)$.

3.2.2 | Determining the misorientation of a bicrystal

If the orientation determination procedure is performed for two phases or in two grains, the orientation relationship can be defined. The tool *misorientation*, as a complementary tool to *stereoproj*, is able to plot two crystals in overlay while rotating them independently. If the same structure is selected for the two grains, the misorientation can be retrieved as being:

$$M = R_1 R_2^T$$

where R_1 and R_2 are the orientation matrices.

As M is a rotation matrix, one could extract the couple angle-axis, (θ, \mathbf{u}) according to:

$$\theta = \arccos\left(\frac{\text{tr}(M) - 1}{2}\right), Mu = u$$

276 However, because of crystal symmetry, the misorientation between two grains is not unique, i.e. equivalent (u, θ)
 277 couples exist. The misorientation matrix should then be modified by:

$$M = R_1 S R_2^{-1}$$

278 where S is one of symmetry operation matrices. Hence for a cubic crystal, there are 24 equivalent misorientations.

279 Figure 8a shows a BF image of the bicrystal inclined and 8b the superimposed stereographic projections of two
 280 grains, 1 in blue and 2 in red.

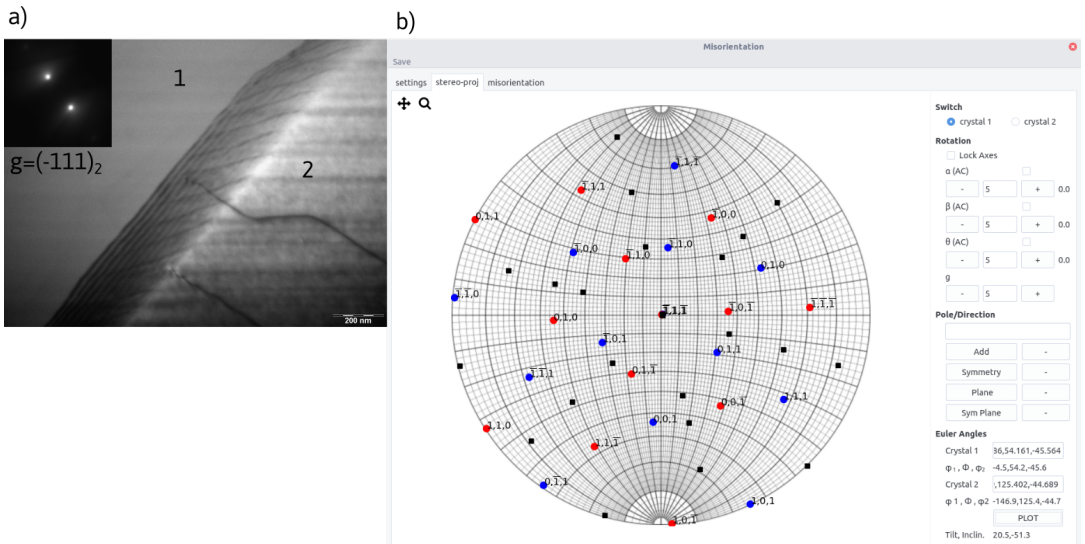


FIGURE 8 a) BF of the interface between grain 1 and 2 in an Al bicrystal. b) Misorientation shown by the superimposed stereographic projections. In brown are shown the 24 misorientation axes.

281 The 24 misorientation axes are shown in brown in fig. 8b. The lists of axes and the angles are also obtained.
 282 The minimum angle is 37.7° along $[\bar{5}6, 57, 58]_1$. The results is close to a $\Sigma 7(111)$ 37.21° . The deviation can be easily
 283 obtained by rotating the crystal 1 by 37.21° along $[\bar{1}11]_1$ and computing the misorientation. It gives a minimum
 284 misorientation angle of 0.67° along $[\bar{9}, 17, 97]_1$ inclined about 44° from $[\bar{1}11]_1$.

285 3.3 | Interface determination and slip plane analysis

286 Using the *interface* tool (fig. 9), we determined the interface plane using measurements at 4 diffraction conditions.
 287 Since the algorithm can work with multiple inputs, we perform slightly different measurements several times at each
 288 diffraction condition. This procedure takes into account the uncertainty on the trace directions and projection widths.

289 Measurements are performed with the same 3-point method for measuring Kikuchi bands (fig. 9a). The first two
 290 points define the trace direction, the third one on the second trace defines the projection width.

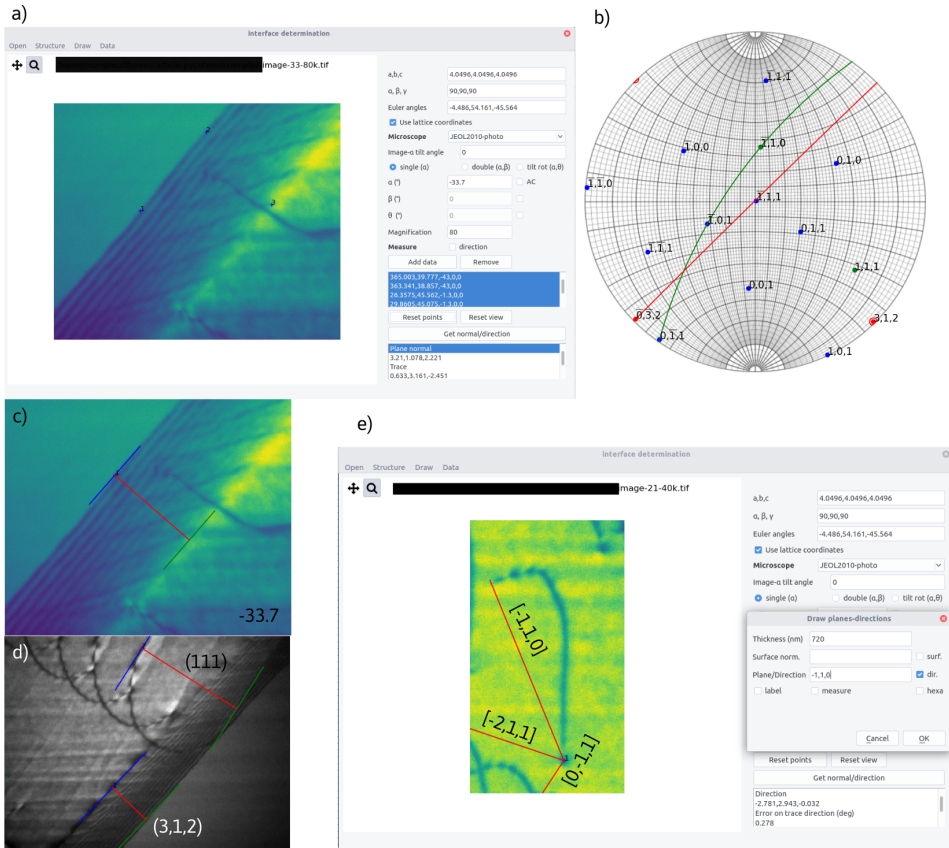


FIGURE 9 Interface tool. a) General view b) Stereographic projection of grain 1, with the grain boundary plane (3, 1, 2) in red, the slip plane (1, 1, 1) in green. In red is also shown the trace direction $[0, \bar{3}, 2]$ and in green the line direction $[\bar{1}, 1, 0]$. c) - e) show the drawing functionality for plane and line direction.

291 The data set (α_j, w_j, η_j) are shown in table 2. Redundant information are used owing uncertainty in determining
 292 the trace width and direction angle. This leads to an interface plane (3.21, 1.078, 2.221), with a 92% confidence in a 1.7°
 293 interval. The trace direction $[0.633, 3.161, -2.451]$ is almost perpendicular to the beam direction at zero tilt. Although
 294 the sample surface s cannot be retrieved, this however indicates that it should also be probably parallel to the beam
 295 direction at zero tilt. Thus the sample thickness can be estimated from: $t = d\sqrt{1 - (\mathbf{n} \cdot \mathbf{s})^2} \approx 645 \pm 60$ nm.

296 The line direction joining the two ends of the dislocation d in crystal 1 (fig. 7) was also determined using the data
 297 set in table 3. Here again multiple inputs were used and leads to $[-2.781, 2.943, -0.032]$ within a confidence interval
 298 of 0.3° . This direction is thus close to $[\bar{1}, 1, 0]$.

299 The results of grain boundary plane, dislocation line direction, and slip plane are checked by visualizing them on
 300 the image with the drawing feature. A good agreement was found for the plane (fig. 9c) and line direction (fig. 9d)

α (°)	w (nm)	η (°)
-43	365.003	39.777
	363.341	38.857
-33.7	241.824	39.405
	247.568	39.775
-21	190.090	45.503
	201.471	43.452
-1.3	26.357	45.562
	29.860	45.075
24	200.553	44.293
	193.741	44.846

TABLE 2 Data used for determining the grain boundary plane

α (°)	l (nm)	η_l (°)
-21	549.21	-19.97
	552.548	-20.01
	551.843	-19.877
	553.008	-19.394
-1.3	526.585	6.293
	526.784	6.217
	529.537	6.026
	527.857	6.504
24	639.271	34.424
	647.456	34.38
	641.594	34.391
	635.692	34.292

TABLE 3 Data used for determining line direction

301 using foil thickness of 645 and 720 nm, respectively. The projected traces of the (1, 1, 1) plane also agree to the traces
 302 left by a gliding dislocation during an in-situ tensile test as shown in fig. 9d. Knowing that $\mathbf{b} = a/2[0, \bar{1}, 1]$, from sec.
 303 3.2.1, the slip plane, defined as $\mathbf{n}_s = \mathbf{b} \times \mathbf{l} = (1, 1, 1)$, is indeed in agreement with this result.

304 4 | SUMMARY AND CONCLUSIONS

305 We have presented a series of software tools that can be easily set-up to help TEM users to retrieve the crystallo-
306 graphic features of defects (dislocations, interfaces). The newly developed method to determine crystal orientations is
307 based on a least-squares method and allows to evaluate experimental errors. As an interesting result, we demonstrate,
308 that even when using slightly erroneous data, both orientation and plane determination can be retrieved with a good
309 accuracy if data are numerous and redundant. The workflow consists first in determining the orientation either from
310 a series of two beam diffraction patterns or from a Kikuchi pattern. While the latter is intrinsically more accurate,
311 within few tenths of a degree, the first one is convenient for users who also want to analyze, for instance, dislocation
312 Burgers vectors. Along with tilt series, the user can exploit BF/DF images taken at different tilt to determine interface
313 plane also with a good accuracy. The workflow proposed can be set up rapidly either for post-processing or inline at
314 the microscope. This is valuable especially during in-situ experiments where some analyses must be performed on
315 site and are no longer possible afterwards.

316 acknowledgements

317 We are grateful to D. Molodov at RWTH, Aachen for bicrystal growth, F. Mialhes and H. Chauvin at CNES, Toulouse
318 for sample preparation and J. Du at Tsinghua Univ, Beijing, for fruitful discussions.

319 5 | APPENDIX

320 The rotation matrices used are:

$$321 \quad R_x(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix}$$

$$322 \quad R_y(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{pmatrix}$$

$$323 \quad R_z(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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376 GRAPHICAL ABSTRACT

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