

## Pharmaceuticals in the marine environment: What are the present challenges in their monitoring?

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## **1** Pharmaceuticals in the marine environment: what are the present

## 2 challenges in their monitoring?

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9 Abstract: During the last years, there has been a growing interest in the research focused on the pharmaceutical residues in the environment. Their presence has been widely described in fresh surface 10 and ground waters, but less investigated in the marine environment, though it is the last receiver of the 11 continental contamination. Those compounds have been recognized as a possible threat to aquatic 12 ecosystems, due to their inherent biological activity and their "pseudo-persistence". In Europe, the 13 14 Marine Strategy Framework Directive (2008) placed pharmaceuticals on the indicative list of elements 15 covering the qualitative and quantitative mix of the various pressures on the environmental status of 16 marine waters. Thus, pharmaceuticals monitoring data in marine waters are necessary to know the 17 water quality and allow enhancing future regulations and management decisions. However, some marine environment specific features, such as the strong dilution occurring, the complex 18 19 hydrodynamic and local logistical constraints are making this monitoring a very difficult and 20 demanding task. A review of the current practices in monitoring strategies of pharmaceuticals in 21 marine matrices (water, sediment and biota) is provided through the analysis of the available recent 22 scientific literature. Key points are highlighted for the different strategy steps of marine waters 23 monitoring. For example, the knowledge of the site topology, hydrodynamic conditions and direct or 24 indirect contamination sources, is very useful when determining the sampling stations during the 25 sampling strategy consideration. Key knowledge gap priorities for future research are identified and 26 discussed, such as the need of suitable passive samplers to monitor pharmaceuticals levels, and to 27 conduct long-term ecotoxicological tests on sensitive marine species at environmental levels, in a view 28 to better assess the risk of these compounds for the marine ecosystems.

29 Keywords: pharmaceuticals, monitoring, marine environment

#### 30 Highlights:

- Need for a more systematic inclusion of pharmaceuticals in monitoring strategies.
- Site-specific multicriteria analysis for sampling strategy is required.
- Prospects are passive sampling, non-target analysis and integrative monitoring.

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#### 59 **I. Introduction**

Over 4,000 pharmaceuticals are used all over the world for medical and veterinary health care, as well 60 as growth promotion of livestock (Boxall Alistair et al., 2012). After use, pharmaceuticals end up in 61 62 the environment as metabolites or parent compounds, mainly via effluent rejection after treatment in wastewater treatment plants (WWTPs) where they are often inefficiently removed. Identified 63 secondary pharmaceutical sources in the environment are manufacturing facilities, land application of 64 sewage sludge and agriculture, aquaculture, and veterinary practices (UNESCO and HELCOM, 2017). 65 66 Their occurrence has been widely described in fresh surface waters and in ground waters (Beek et al., 67 2016) but their presence in the marine environment has been less investigated mainly because of the complexity of the matrices to analyze and of pharmaceutical dilution in marine waters (Arpin-Pont et 68 al., 2016; Gaw et al., 2014). However, there is a growing interest these last years for this compartment 69 70 considered as the last receiver of continental contamination.

- Pharmaceuticals are biologically active compounds designed to interact with specific physiological pathways of target organisms. They may cause a risk to the health of marine non-target organisms and act as additional stressors on marine ecosystems already impacted by climate change, overfishing and eutrophication (Crain et al., 2009; Desbiolles et al., 2018; Mezzelani et al., 2018). As the antibiotic
- class is one of the most frequently detected in marine waters and sediments (Arpin-Pont et al., 2016),

the overall contamination lead to the outbreak of antibiotic resistant bacteria at particular concern(Marti et al., 2014).

Monitoring is generally defined as a long-term, standardized measurement and observation of the 78 aquatic environment to define status and trends of the contamination. The use of monitoring has 79 80 evolved to help determine trends in the quality of the aquatic environment and how that quality is 81 affected by the release of contaminants, other anthropic activities and by waste treatment operations 82 (UNESCO/WHO/UNEP, 1996). The availability of effective tools and standardized methodologies are 83 crucial for one-time or routine chemical contaminants monitoring, in a view to collect relevant 84 information at set locations and at regular intervals. The generation of such data is useful to future decisions concerning the quality management of the studied marine areas and for further 85 environmental risk assessment initiatives. 86

87 Unfortunately, to this date, European and international legislation on chemical contamination of marine resources concerns very few pharmaceuticals. In western Europe, the Convention for the 88 89 Protection of the Marine Environment of the North-Est Atlantic (the 'OSPAR Convention' (OSPAR 90 Commission, 1992)) aims to monitor chemicals in marine waters of North-East Atlantic Ocean and 91 proposes guidelines with recommendations for seawater sampling and analysis of organic 92 contaminants in oceanic, coastal and estuarine waters (OSPAR Commission, 2013). Twenty 93 pharmaceuticals and five hormones are listed as 'of possible concern' and the antifungal clotrimazole is listed as 'of priority action' (Supplementary Material 1). European Union regulation progresses to 94 95 the integration of pharmaceuticals in the Watch List of the Water Framework Directive (WFD) 96 2000/60/EC, updated in July 2018, covering freshwater and transitional waters (estuarine and coastal 97 areas up to one nautical mile from the shore). To this day, seven pharmaceutical compounds are included in the Watch List: three hormones (estrone (E1), 17-beta-estradiol (E2) and 17-a-98 ethinylestradiol (EE2)) and five antibiotics (amoxicillin, ciprofloxacin and the macrolides 99 100 azithromycin, clarithromycin and erythromycin), making them potential candidates for the Priority Substance List. As well, monitoring programs for continuous evaluation of the marine waters 101 102 environmental status should be established according to the Marine Strategy Framework Directive 103 (MSFD) 2008/56/EC, including the evaluation of the impact of hazardous substances as pharmaceuticals (European Parliament and Council, 2008). 104

105 This review aims to provide an overview of the current practices in pharmaceutical monitoring 106 strategies in the marine environment through the analysis of scientific literature, and to identify the 107 key knowledge gap priorities for future research. The different steps of the monitoring strategy 108 development are dissected to identify critical aspects to consider and the limits frequently encountered. 109 The research articles focusing of pharmaceutical occurrence in the marine environment included in 110 this review were published between the period 2012-2020 and selected according to several criteria related to a previous article by (Arpin-Pont et al., 2016). As recommended by the European 111 Commission's "Guidance document on analytical guality control and validation procedures for 112 pesticide residues analysis in food and feed" ("SANCO/12571/2013" rev. 0 19/11/2013): (i) internal 113 standards are used; (ii) the given pharmaceutical concentrations are from gas chromatography or liquid 114 chromatography- tandem mass spectrometry (GC- or LC-MS/MS) analysis; (iii) the overall analytical 115 116 procedure from the sample treatment to the analysis must be developed and validated (linearity, limit of quantification determination, matrix effect study, confirmation with two MRM) in marine waters, 117 118 sediments, or biota, but not necessarily following a whole standard validation; (iv) recoveries are in 119 the range of 70-120%; and (vi) precision of the instrumental method expressed as the relative standard 120 deviation (RSD) is under 20%.

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## II. Monitoring of pharmaceuticals in the marine environment: a literature analysis

124 II.1. Steps in strategy development

#### 125 **II.1.1. Definition of the objectives**

126 Generally, the main objective is to provide an overview regarding the occurrence of a panel of 127 pharmaceuticals in a defined area for a specific time. Surveillance, operational and investigative 128 monitoring are distinguished (European Commission and Directorate-General for the Environment, 129 2009). Surveillance monitoring is implemented mainly to assess long-term changes in natural or 130 anthropogenic conditions. Operational and investigative monitoring are problems or source-oriented (Choi et al., 2020; Rimayi et al., 2018). Operational monitoring is focused on water bodies identified 131 at risk to not meet good environmental status and investigative monitoring is related to water bodies 132 133 that failed or did not meet environmental objectives.

134 Monitoring strategies can be aimed to generate a comprehensive dataset of the contamination (Biel-Maeso et al., 2018; Kötke et al., 2019; Zhao et al., 2017) or to be a preliminary study intended as a 135 136 first-step exploratory survey establishing baseline levels which will facilitate comparisons through 137 long-term monitoring (Long et al., 2013). The objective is sometimes to fill data gaps or to complete the results from previous research (Ali et al., 2018; Borecka et al., 2015). The identification of the 138 compounds and the observed concentration ranges are defined for one (Ali et al., 2017; Alygizakis et 139 140 al., 2016) or several compartments in a view to assess the distribution (or partition) of the compounds between the different matrices, for example dissolved and suspended particulate matter (Cantwell et 141

al., 2017), water and sediment (Chen et al., 2015b; Moreno-González et al., 2015), water and
organisms (McEneff et al., 2014). The elucidation of contaminant spatial status or temporal trends can
also be looked for (Cantwell et al., 2016; Chen et al., 2015b; Moreno-González et al., 2015).

Along these occurrence issues, monitoring is sometimes intended to identify pollution sources 145 (Moreno-González et al., 2015; Zhang et al., 2013), and mainly point sources (Nödler et al., 2016). 146 147 The influence of a defined pollution source (e.g. WWTP effluent, river discharge) and the attenuation process of the contamination after release in the marine waters can be assessed by comparing the 148 concentrations between the WWTP effluent or the riverine inputs and receiving waters (Choi et al., 149 2020; Lara-Martín et al., 2014; Zhang et al., 2012). As an example of investigative monitoring, a one-150 151 time contamination through unregulated discharge was monitored at the Umgeni River (South Africa) estuary after an improper disposal of medical wastes (Rimayi et al., 2018). 152

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Besides these main objectives, the impact of factors such as salinity, tides, hydrodynamics or 154 physicochemical properties of the compounds on their environmental behavior (spatial patterns) and 155 156 their occurrence (concentration levels) may be investigated in the same time (Bayen et al., 2013; 157 Cantwell et al., 2018; Zhao et al., 2015). Combination of river discharge flow rates and measured concentrations allows an estimation of pharmaceutical fluxes entering into coastal areas in the 158 dissolved or particular phase (Cantwell et al., 2016; Szymczycha et al., 2020; Zhao et al., 2017). 159 160 Monitoring of pharmaceuticals also permits to collect data in order to evaluate the ecological risk at environmental concentrations (Afsa et al., 2019; Azaroff et al., 2020; Biel-Maeso et al., 2018; Chen et 161 162 al., 2015b; Szymczycha et al., 2020), or the potential exposure (Chen et al., 2015b) and/or risks for 163 humans through seafood consumption (Silva et al., 2017).

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#### 165 **II.1.2. Selection of Substances**

166 Considering the great number of pharmaceuticals sold and used all over the world, a large scale 167 screening of pharmaceutical contamination would be preferable to have a comprehensive overview of the studied marine area status. However, the differences in physical and chemical properties of target 168 compounds and the complexity of environmental matrices make their simultaneous analysis 169 challenging. It requires thus a compromise in the choice of the compounds between a large scale 170 screening of the substances present in the media and the technical feasibility. The selection of target 171 molecules is generally based on several criteria detailed below: (i) pre-existing lists of compounds 172 173 defined by international and/or national regulations or guidelines, (ii) information on local prescriptions and/or consumption habits, (iii) previous occurrence data in the environment, and (iv)
physicochemical properties and environmental fate of these molecules.

176 As few pharmaceuticals are regulated, the selection of contaminants may be pre-defined by existing lists of compounds defined as of possible concern for aquatic environments, published by national 177 environmental agencies or international institutions, for example as the OSPAR Commission, the 178 179 European Commission or the United States' Environmental Protection Agency (US EPA). The 180 identification of priority substances might permit to fill knowledge gaps concerning their occurrence 181 and, furthermore, their impacts on living organisms. One may refer to the established lists by the Watch List of the WFD, by the OSPAR Commission (lists of substances of possible concern) and the 182 NORMAN Network (210 pharmaceuticals in the listed emerging substances<sup>1</sup>). These lists are built 183 upon prioritization methodologies that permit to select the substances in relation with the lack of data 184 185 concerning their occurrence, analytical performance, and potential hazard upon living organisms. For their monitoring in California's aquatic ecosystems, (Maruya et al., 2014a) included, as a priority, 186 unregulated contaminants that have been recommended by scientific experts to be monitored in 187 recycled water applications from the US EPA's Candidate Contaminant List 3<sup>2</sup> including the antibiotic 188 erythromycin. In the same way (Long et al., 2013) investigated the presence of pharmaceuticals in 189 sediments of the Puget Sound (US): 73 out of 115 were originally selected by the US EPA (US EPA, 190 2007) as being compounds of emerging concern, especially from WWTP water and biosolid 191 discharges. Of the 13 pharmaceuticals detected in the sediments, seven were selected by the US EPA 192 193 (diphenhydramine, azithromycin, oxytetracycline, 4-epitetracycline, anhydrotetracycline, ibuprofen, 194 miconazole).

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196 Local surveys on prescription habits or selling data can bring more information on substances which 197 could be detected in the studied zone and permit to efficiently anticipate the monitoring campaign 198 design. Data are mainly obtained at national scale (Matongo et al., 2015; McEneff et al., 2014; Paíga 199 et al., 2015). For example (Cantwell et al., 2017) selected 15 pharmaceuticals highly prescribed in the 200 US and investigated their occurrence in estuarine waters of the Narragansett Bay in Rhode Island. Of 201 the 15 active pharmaceutical ingredients measured, 14 were consistently present in the dissolved 202 phase, and 8 were bound to suspended particulate matter collected by sediment traps over the studied 203 period.

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<sup>&</sup>lt;sup>1</sup><u>https://www.norman-network.net/?q=node/81#sub23</u>

<sup>&</sup>lt;sup>2</sup> <u>https://www.epa.gov/ccl/contaminant-candidate-list-3-ccl-3</u>

205 Another inclusion criterion can be their previously reported occurrence directly in receiving marine 206 environment. For example, in the California Pilot Study (2009-2010) of the USA Mussel Watch 207 Program, (Maruya et al., 2014a) based the target analyte selection on their known or suspected 208 occurrence in sediments and/or tissues from previous surveys in California or other regions, and in the 209 aqueous phase of receiving waters based on traditional or alternative (i.e. passive sampling) methods. 210 Diclofenac, azithromycin, metoprolol, carazolol, hydrochlorothiazide, tamsulosin, venlafaxine, citalopram, carbamazepine and diazepam were proposed as prioritization substances for the 211 212 monitoring of coastal areas from several sites across Europe, due both to detection frequencies and 213 concentrations measured from their large sampling campaigns (Álvarez-Muñoz et al., 2015b).

To date over the 29 selected published papers between 2014 and 2020, 149 pharmaceuticals including nine transformation products; and caffeine and its metabolites (paraxanthine, 1-methylxanthine, 3methylxanthine) and theophylline were quantified by grab sampling in estuaries, coastal and offshore waters (Figure 1 and Supplementary Material 2) from Europe (France, Germany, Great Britain, Greece, Ireland Italy, Poland, Portugal, Spain), Asia (China, Malaysia, Singapore, South Korea, Taiwan), the Middle Est (Israel, Saudi Arabia, Turkey), Africa (South Africa, Tunisia), Oceania (Australia), North America (the USA), the Antarctic and the Arctic.

This recent dataset enables to draw up a first preliminary global contamination profile of marine 221 222 waters according to therapeutic classes and marine water locations. All the therapeutic classes (AINS, 223 analgesics, antibiotics, central nervous system (CNS) drugs, cardiovascular system (CVS) drugs, lipidlowering and the miscellaneous pharmaceutical molecules class named 'others') are occurring at once 224 225 in estuaries, coastal and offshore waters. A significative difference between both estuary and coastal 226 concentrations and offshore mean concentrations (Wilcoxon rank sum test, p-value<0.05, 227 Supplementary Material 2) might be explained by pharmaceutical dilution in the water column at offshore locations, with mean maximal concentrations of 492.14±1,641.92 ng/L, 96.36±155.48 ng/L 228 229 and 12.7±7.10 ng/L in coastal, estuarian and offshore locations respectively. Significative differences between some therapeutic class maximal concentration means are observed (Supplementary Material 230 231 3) but are not indicating a predominance of a particular class of pharmaceuticals in seawater.

However, one may highlight monitored substances with reported highest maximal concentrations (Supplementary Material 4). Referring both to the number of reported occurrence (>10), median concentrations higher than 100 ng/L and maximal concentrations (>500 ng/L), these were measured for AINS, analgesics, antibiotics, CVS drugs, lipid-lowering (gemfibrozil), CNS drug (carbamazepine) and several miscellaneous pharmaceuticals (antiretroviral, beta blockers; and caffeine), including metabolites (2-hydroxy-ibuprofen, carboxyibuprofen, Cl-diclofenac, 1- and 2-methylxanthine and paraxanthine. In particular, diclofenac, ibuprofen (up to 10,220 ng/L (Biel-Maeso et al., 2018)), acetaminophen (up to 2,983 ng/L (Nödler et al., 2014)), carbamazepine (up to 1,410 ng/L (McEneff et al., 2014)) and caffeine (up to 7,708 ng/L (Ali et al., 2017)) should be regularly included into target list in monitoring programs. Additionally and despite low reported occurrence data (<10) one should pay attention to the following molecules: salicylic acid, oxytetracycline, tetracycline theobromine, iomeprol, iopamidol and metmorfin, along with transformation products of ibuprofen and diclofenac, to elucidate if the reported concentrations reflect punctual peak pollution or if these are emerging substances with high occurrence and concentrations in marine waters.</li>

The argument of a high frequency of detection in wastewater effluents and freshwater is sometimes advanced (Cantwell et al., 2016; McEneff et al., 2014; Paíga et al., 2015; Vidal- Dorsch et al., 2012). (Ali et al., 2017) investigated the occurrence of 18 pharmaceuticals in the coastal waters of the Red Sea, which were previously detected in sewage effluent samples collected from Saudi Arabia. Thirteen pharmaceuticals were detected in most of the water samples collected in the Red Sea waters. As well, molecules may be sometimes chosen for their poor removal efficiency in conventional WWTPs (<20%) (Desbiolles et al., 2018).

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254 The properties of the compound may be considered when selecting the molecules of interest when helpful to predict their presence in the receiving compartment. To study the occurrence of compounds 255 of emerging concern in municipal effluents and in marine receiving waters in the Southern California 256 257 bight, Vidal- Dorsch et al. (2012) selected them based on their predicted environmental behavior such as their water solubility. For example, the highly soluble molecule atenolol was frequently detected, in 258 259 90% of the samples. Similarly Desbiolles et al. (2018) and Brumovský et al. (2017) selected molecules 260 with a low octanol-water partition coefficient (Kow) (logKow<3) predicting their predominant distribution in the dissolved phase. Also, the potentiality of the molecule to be degraded through 261 262 environmental biodegradation or photodegradation (Felis et al., 2019) leading to the formation of 263 degradation products should be taken into account into the target molecule list. The predicted 264 ecological risk of compounds can be considered as well. Paíga et al. (2015) selected compounds from two therapeutic classes (NSAIDs and analgesics) taking into account data that pointed out 265 pharmaceuticals like ibuprofen, diclofenac and acetaminophen, as being detected in Portuguese 266 environment at levels able to pose an ecotoxicological risk for aquatic organisms (Santos et al., 2013). 267 268 Some pharmaceutical classes are monitored because they represent public health issues, such 269 antibiotics as their presence in environmental waters is responsible for the development of antibiotic 270 resistant bacteria (Brumovský et al., 2017). Pharmaceuticals of interest can also be selected depending on the availability of analytical methods (Vidal- Dorsch et al., 2012) with additional criteria such as 271 their robustness, their capability of processing a large set of samples and to be multi-residue (Álvarez-272

273 Muñoz et al., 2015a), or their capacity of detecting the chemical of interest in at least one of the 274 investigated environmental matrices waters, sediments or biological tissues (Maruya et al., 2014c).

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276 Multi-criterion decision analysis that is combining several criteria (e.g. volumes of production, 277 ecotoxicological relevance) permits to implement prioritization scheme for the selection of monitored 278 pharmaceuticals (Cantwell et al., 2018; Kötke et al., 2019; Li et al., 2020; Maruya et al., 2014c). In 279 particular the State of California developed a risk-based adaptive monitoring strategy in a view to 280 assess the potential effects of compounds of emerging concern on ecological health in California's 281 freshwater, estuarine and oceanic ecosystems (Maruya et al., 2014c). For this purpose, they defined an 282 initial list of relevant compounds for screening considering contaminant sources and their fate in 283 receiving environments, and by comparing environmental concentrations with biological thresholds of 284 adverse effects. However, they underlined that the availability of high-quality occurrence and effects data for these compounds is typically limited. This assertion is especially true for pharmaceuticals in 285 286 the marine environment where such prioritization is limited by the rare data on their fate after release, 287 or their toxicity on marine organisms (Arpin-Pont et al., 2016).

288 Considering the great number of parent compounds used in therapeutics and released in the aquatic 289 environment, many transformation products (metabolites and degradation products) mostly of 290 unknown toxicity and persistence are expected to be present in surface waters. Data on transformation 291 products are even fewer than parent compounds as the traditional analytical approaches of applying 292 target screening (MS (SIM) or MS/MS (MRM)) for parent molecules results inevitably in the non-293 detection of such compounds. However, some of them can be present in similar concentrations to or 294 exceeding the parent compound (Gaw et al., 2014). For example, carbamazepine-10,11-epoxide was 295 found in higher concentration than carbamazepine in seawater of the Olsofjord (Norway) (Langford 296 and Thomas, 2011); and the N-acetyl-sulfamethoxazole found in slightly higher concentration (28.6 297 ng/L) than sulfamethoxazole (25.2 ng/L) in the Liaodong Bay in China (Jia et al., 2011). Whereas few 298 studies are dealing with environmental degradation products (Afsa et al., 2019; Baena-Nogueras et al., 299 2016; Biel-Maeso et al., 2018; Brumovský et al., 2017; Fenet et al., 2014; Gros et al., 2012; Lolić et al., 2015; Paíga et al., 2015) metabolites are mainly researched in biota (Álvarez-Muñoz et al., 2015b; 300 301 Martínez Bueno et al., 2014a; Moreno-González et al., 2016; Silva et al., 2017) or in the dissolved 302 phase (Martínez Bueno et al., 2014b; Szymczycha et al., 2020). Paíga et al. (2015) developed a 303 method for pharmaceuticals including glucuronide acetaminophen, carboxyibuprofen and 2hydroxyibuprofen that was applied to seawater samples of Atlantic Ocean collected in the North of the 304 Portuguese coast during the 2013 summer season. Ibuprofen and its metabolite 2-hydroxyibuprofen 305

were detected in 100% of seawater samples with higher concentrations for the metabolite in somesampling points.

308 Along with the target compounds in monitoring studies, some pharmaceuticals (e.g. carbamazepine, 309 valsartan) or other molecules from human activities (e.g. caffeine and its metabolite paraxanthine, artificial sweeteners) can be analyzed because of their suitability to be anthropic tracers as they are 310 311 markers of domestic wastewater. The choice of the relevant tracer depends on the aim of the study, as 312 tracing pollution and its sources (Cantwell et al., 2018; Goh et al., 2017; Nödler et al., 2016), studying of the dynamic of the contamination (Erostate et al., 2019; Szymczycha et al., 2020) or the 313 vulnerability of coastal systems (Paíga and Delerue-Matos, 2017). The above-mentioned 314 physicochemical properties of the molecules and particularly their persistence in the environment and 315 study location are also decision criteria (Vystavna et al., 2013). For example, in their study of the 316 317 contamination of the Hudson River estuary (US), (Cantwell et al., 2018) used the ratio 318 caffeine/sucralose to distinguish treated or untreated wastewater origins, because of the labile property 319 of caffeine that is easily degraded by WWTPs processes, contrary to the conservative behavior of 320 sucralose. Moreover, they evidenced the ability of sucralose to be a tracer for recalcitrant contaminants whereas caffeine might reflect the fate of easily degradable contaminants. Thus, in marine water 321 322 monitoring these tracers should be monitored in addition to target substances (Choi et al., 2020; 323 Nödler et al., 2016).

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#### 325 II.1.3. Sampling Strategy

326 Guidelines for the monitoring of various contaminants (trace metals, organic contaminants, priority 327 substances, etc.) in the marine environment were produced early by international institutions, e.g. the 328 "Guidance on surface water chemical monitoring under the Water Framework Directive" (surface 329 waters include inland waters except groundwater; transitional waters and coastal waters) (European 330 Commission and Directorate-General for the Environment, 2009), the "JAMP Guidelines for 331 Monitoring of Contaminants" (seawater, sediments, biota) (OSPAR Commission, 2013), or the "Sampling and Consideration of Variability (Temporal and Spatial) for Monitoring of Recreational 332 Waters" (US EPA, 2010). However, no specific guideline currently exists for monitoring 333 pharmaceuticals in the marine environment. Thus, we aimed to provide above a synthesis of 334 335 monitoring strategy considerations and their application in the selected published papers.

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#### 337 II.1.3.1. Selection of the study site and sampling scheme definition

Characterization of the studied marine receiving environment prior to the setup of a routine monitoring sampling strategy is recommended (Figure 2). The definition of the sampling scheme depends on the objective(s) of the monitoring, the location and the configuration of the marine studied area. The combination of pre-existing contamination data, the use of numerical modeling and a time-limited pilot study may permit a first screening of the contamination. Based on these first data a site-specific monitoring sampling scheme can be setup with optimal spatial and temporal sampling step scales and

might be a compromise between a sufficient area coverage, limited costs and meaningful results.

345

#### 346 Choice of the Study Site

Factors such as a high anthropogenic pressure or identified pollution sources may guide the type andthe location of the study site.

349 Among the different marine environments, estuaries are relevant locations to study pharmaceutical 350 contamination because they are important economic areas (harbors, agriculture, aquaculture, industrial 351 activities, urbanization, etc.) Thus, they represent privileged places for contaminant transportation 352 from upstream treated or untreated sewage effluents or from agricultural lands to marine waters 353 (Cantwell et al., 2017; Stewart et al., 2014). Besides, they are transitory environments characterized by 354 complex mixing between fresh contaminated waters and saltwater, and specific hydrological dynamics due to tides and river inputs. Pharmaceutical contamination dynamics in water (Baena-Nogueras et al., 355 2016; Bayen et al., 2013; Borecka et al., 2015; Cantwell et al., 2018, 2017, 2016; Nödler et al., 2014; 356 357 Zhao et al., 2017, 2015), sediments and suspended particular matter (SPM) (Lara-Martín et al., 2014; 358 Liang et al., 2013; Stewart et al., 2014), and biota (Álvarez-Muñoz et al., 2015b; Klosterhaus et al., 2013; Meador et al., 2016) are documented in urbanized estuaries but their monitoring remains 359 difficult to implement due to their complexity. Other types of marine sites can be selected depending 360 on the aim of the study as coasts (bays, gulfs, beaches, etc.) (Afsa et al., 2019; Beretta et al., 2014; 361 McEneff et al., 2014), offshore sites (Alygizakis et al., 2016; Brumovský et al., 2017), harbors 362 363 (Cantwell et al., 2018; Stewart et al., 2016), mangroves (Bayen et al., 2016) lagoons and aquaculture 364 areas (Chen et al., 2015a; Ismail et al., 2019).

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The site location choice is mainly based on its potential to show zones that are highly contaminated by pharmaceutical residues. The analysis of the land use surrounding each sampling station is informative, as the presence of pharmaceuticals in receiving marine waters is often linked to the proximity of highly urbanized or dense animal husbandry areas (Maruya et al., 2014b). The distribution of the contamination may largely depend on the hydrodynamics (Bayen et al., 2013).

Identification and characterization of direct or indirect contamination point sources such as WWTP 371 372 effluent discharges (Ali et al., 2017), contaminated rivers discharging to coastal waters (Cantwell et 373 al., 2016), sewage outfalls or aquaculture farms (Chen et al., 2015a) are useful to predict the most 374 contaminated areas and the contamination patterns. Recently Szymczycha et al. (2020) measured diclofenac, caffeine, carbamazepine and sulfapyridine in submarine groundwater that thus could be a 375 376 supplementary source of coastal contamination of the bay of Puck (Poland, Baltic Sea). Sites directly impacted by WWTP releases are frequently selected, as WWTP effluents are primary sources of 377 anthropogenic contaminants found in coastal waters, such as human pharmaceuticals. As an example 378 379 Afsa et al. (2019) measured the concentrations of 40 pharmaceuticals in the wastewater of the 380 university hospital of Mahdia (Tunisia), in the effluents of the local WWTP and at the coastal area 381 receiving the discharges. As expected, they observed a gradient of concentration from the hospital 382 effluent (concentration range around 200-4,700 ng/L) to the coastal zone (maximal concentration 383 around 200 ng/L). Zones with important stakes and sensitivities can be focused (Białk-Bielińska et al., 384 2016; UNESCO and HELCOM, 2017), such as marine areas particularly vulnerable to human 385 activities. For example, coastal lagoons, mangrove forests or semi-enclosed bights, where the dilution 386 of the contamination is limited due to their confinement from the open sea and their shallowness are more vulnerable than open seas (Erostate et al., 2019; Moreno-González et al., 2015; Nödler et al., 387 388 2016).

389

#### 390 Spatial and Temporal Features of Sampling Scheme Definition

391 Spatial and temporal features of the selected study site are crucial to apprehend contamination patterns 392 and to determine the number of sampling stations and the frequency of sampling events. Spatial 393 factors are linked with pollution sources, the marine site configuration (coast, bay, estuary, offshore, 394 etc.), its depth and width whereas temporal factors are linked with seasonality in pharmaceutical inputs 395 and meteorological conditions.

396 Hydrodynamic conditions are strongly controlling the occurrence and the dynamics of contaminants in 397 the marine environment (Bayen et al., 2013) thus their comprehension and estimation must be included in the monitoring programs, for example through numerical modelling. The spatial 398 distribution of the contamination is influenced by factors such as tributary inputs, river flows or tides 399 400 (Zhao et al., 2015) that play an important role by controlling dilution and, consequently, 401 pharmaceutical concentrations (Biel-Maeso et al., 2018; Cantwell et al., 2018). As well, the complex 402 hydrodynamics of water combined with the location such as in estuaries (Cantwell et al., 2017; Liang 403 et al., 2013), the monsoon in tropical estuaries (Bayen et al., 2013), the contribution of submarine 404 groundwater (Szymczycha et al., 2020) and the presence of a thermocline can explain the observed spatial patterns of pharmaceutical distribution. Due to temperature stratification periods,
pharmaceuticals can be trapped in the deeper layers of the water column, as shown by (Fenet et al.,
2014) in winter for carbamazepine in Mediterranean coastal waters impacted by a submarine outfall
(Montpellier, France).

409

410 Thus a progressive or staged approach should provide a rational method for determining the number 411 and spacing of the sampling stations both to be cost-effective and to allow an acceptable 412 representativeness of the measurement (US EPA, 2010). To overview the spatial distribution and to enable numerical modelling of the contamination (Björlenius et al., 2018; Fonseca et al., 2020), the 413 distribution of monitoring points should be sufficient to cover the studied area (Kötke et al., 2019). 414 415 These monitoring points should include reference sites although laborious to found. Indeed they have to be sampled at a sufficient distance of the studied contamination sources in order to describe the 416 417 background conditions and to compare that contamination levels to those of most directly impacted stations (Moreno-González et al., 2015; Turja et al., 2015). 418

419

#### 420 Sampling Station Location

With a simple source of contamination and in a shallow site, few locations within and outside the sites 421 and few points per location may be enough to obtain an overall impression of the site contamination. 422 423 However, for sites with complex contaminant distribution and hydrodynamic, such as estuaries, deep 424 sites, or open sea (Brumovský et al., 2017) appreciably more monitoring locations and monitoring 425 points at each location are likely to be required. As well, for deep sites, the sampling at different depths allows to investigate the vertical distribution of the contamination (Biel-Maeso et al., 2018; 426 Borecka et al., 2015; Goh et al., 2017). If the aim is to identify and study local sources of 427 contaminants, then the sampling grid should be denser in the vicinity of the suspected sources (Kim et 428 429 al., 2017). To study the influence of a punctual source of contamination such as WTP effluents and the 430 attenuation of the plume, a multi-site sampling is needed at regular distances from the source (Biel-Maeso et al., 2018), for example in a delimited spatial area to assess direct and indirect impacts of the 431 432 pollution source and the background condition far from the source (Andral et al., 2013). Fang et al. (2012) investigated the occurrence of diclofenac, ibuprofen, clofibric acid and ketoprofen in the 433 nearby coastal waters receiving WTP effluent discharges through an ocean outfall pipe in Taiwan's 434 surface waters, and found the highest concentrations at the estuarine mouth and close to the ocean 435 436 outfall area.

When monitoring large areas, to tackle logistical constraints, cost-effective approaches are desirable. 437 Unmanned sampling on ships and marine platforms could be a possible strategy. As proof-of-concept 438 439 Brumovský et al. (2016) collected aggregated samples in five different areas in the North Sea by 440 robotic devices installed on commercial vessels, three times along routes approximately 120 km to 200 km long within each of the selected areas. The suitability of such existing infrastructure for carrying 441 442 out both routine and exploratory monitoring of contaminants in marine waters has been demonstrated in this study, with the detection of pharmaceuticals at trace levels. However, focused experiments on 443 444 the stability of the analytes in the samples during collection and on-board storage were considered as a 445 further step to improve the accuracy of quantitative analysis. The variability of salinity or SPM content 446 of seawater can also give an indication of the spatial variability of pollutants and act as "normalization" factors (OSPAR Commission, 2013). 447

448

#### 449 *Exploring temporal trends*

A one-time study permits to give a screenshot of the contamination, by sampling several matrices or
several depths at selected sites, to determine the partitioning of target compounds or the influence of
tides and the sampling depth in a defined area (Lara-Martín et al., 2014).

453 Concentrations at a fixed geographical position may vary over the tidal cycle, the river flow in estuaries or seasons (e.g. dry or wet seasons, monsoon in tropical countries) and water body retention 454 time. For example, seasonal differences can be observed in seawater stratification. Cantwell et al. 455 456 (2017)observed seasonal trends in the riverine discharge with the peak concentrations of pharmaceuticals in the Narragansett Bay during the river low-flow period during the summer. Low 457 temporal variation was observed in seawater concentrations in the Southern California Bight. Seasonal 458 differences may not play a strong role in near-bottom seawater, where temperatures and other 459 460 oceanographic conditions are relatively constant for most of the year (Vidal- Dorsch et al., 2012).

461 Further temporal variability may arise from variation in local inputs, as some classes of pharmaceuticals are seasonally used although temporal disparities are difficult to assess (Pouzol et al., 462 2020). For example (Martínez Bueno et al., 2014a) hypothesizes that venlafaxine consumption is 463 464 increased during the January to April months because of depression disorders treatment during this period in the south of France. In order to identify temporal trends, a particular attention should be 465 466 given to sources of variability such as seasonal consumption habits. For example, touristic seasons imply an increase in wastewater effluents thus potentially an increase in pharmaceutical amount inputs 467 468 in receiving waters (Moreno-González et al., 2015). These include sampling at pre-defined times of the year and at the same phase of the tidal cycle (e.g. always at high tide), although for ship-based 469

discrete sampling it should be recognized that logistic constraints do not always allow such measuresto be taken (OSPAR Commission, 2013).

472

#### 473 Spatial and temporal trend elucidation

When the aim is the spatial and temporal trend elucidation, several stations are sampled at several times (Cantwell et al., 2018, 2017, 2016). Identifying such trends for pharmaceutical concentrations in marine and coastal waters is crucial for determining periods during which sensitive ecosystems may be at greater risk from exposure (Hedgespeth et al., 2012). In case of a periodic monitoring of a specific area, sampling stations are generally the same as in the previous campaigns to allow a comparison of the concentrations between monitoring periods in a view to assess the evolution of the contamination (concentrations or nature of compounds detected) (Martínez Bueno et al., 2014b).

High resolution hydrodynamic models can be useful tools for providing estimations of 481 482 hydrodynamics in the studied marine region, to understand the fate (transport, dilution, attenuation) 483 and the spatio-temporal variations of the contaminants (James et al., 2020; Pairaud et al., 2011), and 484 to calculate the predicted environmental concentrations (Fenet et al., 2014). At a local scale they can 485 permit to predict contaminant dispersion in the studied area and help to choose sampling station 486 location and the sampling depth (Bayen et al., 2013; Björlenius et al., 2018; Tian et al., 2019). They 487 could permit to give a representation of tidal and seasonal forcing and investigating spatial-temporal water changes in complex marine environments. Contaminant trajectories can be simulated thanks to 488 489 the combination of identified input discharges and local hydrodynamic modelling. For example Bayen 490 et al. (2013) predicted the residence time of selected pharmaceuticals in Singapore's coastal marine environment using the hydrodynamic model "Singapore Regional Model", including river discharges. 491 492 The hydrodynamic flushing and high residence time for confined water bodies are factors reported 493 increasing concentrations in seawater and sediments. At the scale of the Tagus estuary (Portugal) 494 Fonseca et al. (2020) combined a hydrodynamic model (MOHID Water Modeling system, 495 (MARETEC, 2018)) to a Lagrangian particle tracking numerical model (Duarte et al., 2017) to 496 identify the most vulnerable areas of the estuary considering their exposure to pharmaceuticals. It 497 provided an integrated approach by including the multiple pharmaceutical entry points into the marine environment (WWTP effluents, river discharges), estuarian specific hydrodynamics and the different 498 499 ecological environments.

500 Another approach is through the analysis of concentration spatial variations in the hydrological 501 system considered. Björlenius et al. (2018) selected carbamazepine to be included in a grey-box 502 model (mass-balance model PhATE combined to GREATER) to assess its fate in different locations

of the Baltic Sea. They estimated a flushing half-time of ten years and thus its occurrence in seawater 503 504 several years after the end of its consumption. Tian et al. (2019) used the mechanistic Salish Sea 505 hydrodynamic and biogeochemical model designed to consider that the ocean contaminant concentrations are derived from their initial concentrations in point (WWTP, outfalls) and diffuse 506 (runoff) sources, after dilution and transport but do not comprise environmental degradation 507 508 processes. Spatial patterns identified at the estuary of Puget Sound (USA) with the model compared with measured concentrations support that sucralose and lamotrigine can act as urban wastewater 509 510 tracers.

However, one may notice that specific challenges are raised by numerical modelling. They are related to the need of model calibration and validation, along with the choices of the integration of conservative or non-conservative behavior of the target contaminant, and the multiple forcing features to include (as wind) to provide a coherent simulation of the fate of the molecules. Thus, their use and outputs need to be exploited prudently.

516

#### 517 II.1.3.2. Matrix Selection

518 The knowledge of the fate of pharmaceuticals after their release is useful when choosing study matrix 519 of the sample. Once discharged into aquatic environments, their distribution between the 520 environmental compartments (water, sediments/SPM and biota) is a function of their physicochemical properties, their interactions with the different matrices and the site hydrodynamic conditions (Bayen 521 522 et al., 2013). The contaminants will undergo biotic and abiotic transformations (degradation); they can 523 be (ab/ad)sorbed to SPM and sediments, and in some case be accumulated in the tissues of organisms, depending on their partition coefficients (Kow; Koc). However, fate studies of pharmaceuticals in 524 marine systems, such as studies on sorption or degradation processes, are rare in the literature. 525 526 Existing data on the environmental fate of pharmaceuticals available for freshwater ecosystems are 527 generally not transposable to marine environments (Pazdro et al., 2016; Weigel et al., 2004) because of 528 their differences in physicochemical and biological properties. In particular ionizable pharmaceuticals 529 might undergo different fate under environmental pH, ionic strength and temperature, e.g. as fluoxetine and the tricyclic antidepressant compounds' amitryptiline, doxepine and imipramine 530 (Togola and Budzinski, 2007), trimethoprim, naproxen and ketoprofen (Domínguez et al., 2011) or 531 532 selected antibiotics (Wang et al., 2010; Xie et al., 2017).

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534

#### 535 Water

Pharmaceuticals are mostly polar compounds and will be investigated principally in the water column, even though they are quantified in other matrices (sediment, biota). Sampling of water column is not a trivial action in order to provide an accurate measurement of the contamination. Indeed, concentrations in water can evolve rapidly and are highly affected by hydrodynamic conditions (Bayen et al., 2014). Moreover, unlike concentrations in sediments and biota, pharmaceutical concentrations in water respond quickly to changes in inputs or other environmental conditions u.

In most reviewed articles, water was collected with pumps or grab (active) sampling (Arpin-Pont et al., 2016) then filtered (1-0.45  $\mu$ m) to report concentrations of pharmaceuticals present in the dissolved phase. This strategy is particularly interesting as it allows a direct quantification of target compounds and permits to detect peak contamination events. However, these samples show high variability which can result in a low representativeness. To detect a peak event or to investigate temporal variation during a long-term monitoring, a high-sampling frequency should be performed but implies high costs (OSPAR Commission, 2013).

549

550 Although passive sampling (PS) techniques are more challenging than grab sampling particularly due 551 to sampler calibration requirements and hydrodynamic control issues, they have been recommended in 552 the European Commission Guidance Document on surface water chemical monitoring, then in the 553 WFD daughter 2013/39/UE, as complementary methods to improve the level of confidence in water 554 monitoring data in comparison with conventional spot sampling (Miège et al., 2015). PS is assumed to have a positive influence on the future design and output of monitoring programs in the context of the 555 WFD and the MSFD. In contrast with spot-sampling strategy, PS provides time-integrated 556 concentrations of pollutants over the deployment period of the devices (Alvarez et al., 2008) by 557 558 accumulating and concentrating the targeted molecules thus allowing to improve their detection limits (Miège et al., 2015; Vrana et al., 2016). 559

However, in addition to the need of suited deployment sites and the risk of a loss in the sea, some barriers still remain that prevent regulatory acceptance and actual implementation of these tools for routine monitoring of contaminants in aquatic systems, explaining why this technique is not widely used at the moment. In order to endorse PS use in monitoring programs, several actions have been conducted, including interlaboratory studies to evaluate performances of PS methods with a focus on emerging substances *in situ* (NORMAN network, (Miège et al., 2015; Vrana et al., 2016)).

566 Various types and configurations of PS exist today for a wide range of compounds depending on their 567 physicochemical properties and particularly their log  $K_{ow}$ . For polar compounds (1< log  $K_{ow}$  <4)

including pharmaceuticals, the main PS employed are Polar Organic Chemical Integrative Samplers 568 569 (POCIS), Chemcatcher® and organic Diffusive Gradient in Thin film (o-DGT) devices. The choice of 570 the most appropriate receiving phase permit to retain molecules on a wide log Kow range (e.g. HLB sorbent), to target highly polar (e.g. SDB-RPS) or low-polar molecules (e.g. C<sub>18</sub> disks in 571 Chemcatcher®) (Gong et al. 2018). Metal Organic Framework (MOF) derived porous carbon was 572 573 used as binding gel in o-DGT for the sampling of various antibiotics in seawater (Ren et al., 2018). This configuration shows an interesting capacity to bind antibiotics in seawater, due to their specific 574 575 mesoporous structure and large surface area, without being influenced by pH and ionic strength during 576 this study.

577 For pharmaceuticals, the POCIS are the most used in marine waters (Table 1). It was acknowledged 578 that at present the mechanisms of uptake and release of hydrophilic substances from water into these 579 adsorption-based PS are not fully understood. Almost all reviewed articles used laboratory-based 580 sampling rates (Rs, liter per day) from experiments or literature. The Rs is dependent of the 581 physicochemical properties of the compounds and of sampling device exposure conditions, mainly by 582 flow velocity (Ibrahim et al., 2013), and temperature and salinity (Togola and Budzinski, 2007). The main Rs values were determined in freshwater then not suitable for time weighted average (TWA) 583 584 concentration calculation in marine waters. Thus, there is a need of a specific calibration in the 585 seawater matrix. Currently, adsorption-based passive sampling for hydrophilic compounds allows only semi-quantitative information to be obtained, because of the uncertainty in applying laboratory-based 586 587 sampling rates to *in situ* field conditions. Performance Reference Compounds (PRCs) can be spiked 588 into the passive samplers before exposure. Their dissipation into the environment enable to estimate 589 the sampling rate of the device through mass transfer kinetic information between the sampler and the 590 environment (Joyce and Burgess, 2018; Li et al., 2018). However, the exchange of compounds 591 between POCIS and the aqueous phase can often be considered as an anisotropic process. 592 Consequently, it is generally not possible to use the release of PRC to calibrate the uptake rate and allow calculation of TWA water concentrations for a wide range of compounds (Vrana et al., 2016). 593 594 Nonetheless, PRCs should be used as surrogates to check that exposure conditions (temperature, salinity, water flow) are within the limits for which the laboratory derived the calibration data (quality 595 controls). In the reported studies Gonzalez-Rey et al. (2015) used caffeine-13C and 596 597 deisopropylatrazine-d5 as PRCs to calibrate POCIS.

The DGT devices firstly developed for monitoring dissolved trace metals (Zhang and Davison, 1995) were adapted to the sample of organic compounds (namely 'o-DGT') including pharmaceuticals. o-DGT were made of a XAD-18 resin gel as a binding phase to sample sulfamethoxazole in water (Chen et al., 2012). It has been assumed that the advantages of o-DGT over POCIS are (i) that the compound

602 uptake (with o-DGT with or without a PES outer membrane) is mainly controlled by the hydrogel

diffusive layer then is significantly less sensitive to the hydrodynamic conditions (Belles et al., 2017; 603 604 Challis et al., 2016; Zhang and Davison, 1995); (ii) Rs for o-DGT can be calculated from temperature-605 specific diffusion coefficients (D) determined in the laboratory by relatively easy to implement celldiffusion calibration (Challis et al., 2016; Guibal et al., 2019); and (iii) it is possible to choose the 606 appropriate combination of diffusive gel (type, thickness), binding phase and outer membrane 607 608 considering the target molecules and the deployment conditions. (Guibal et al., 2019) provided a complete overview on the adaptations and field applications of o-DGT. Most of the studies focused on 609 610 the device design, the influence of environmental factors (flow, temperature, biofouling, pH, ionic 611 strength, organic matter) and performance assessment (Belles et al., 2018). Over the period 2011-612 2019, 50% of the published papers on o-DGT concerned pharmaceuticals and in particular 613 sulfamethoxazole and the sulfamide family. The most used sorbent phases were XAD-18 and Oasis 614 HLB. Some studies provided in-field results in natural waters mostly in freshwater and waste waters; 615 with efficient sampling in the pH range of 4-9 and in the ionic strength range of 0.001-0.100 M. To 616 date only four studies relate the application of o-DGT to monitor marine waters (Ren et al., 2018; Stroski et al., 2020; Xie et al., 2018, 2017) (Table 1). Thus specific challenges for the sampling of 617 618 pharmaceuticals in seawater with o-DGT are (i) high dilution of these molecules and the relative small surface sampling area of DGT (3.14 cm<sup>2</sup>) as compared to POCIS (41 cm<sup>2</sup>) (Challis et al., 2016); (ii) 619 hydrodynamics (currents, tides) (Belles et al., 2018; Buzier et al., 2019) and (iii) physicochemical 620 621 properties of seawater (pH around 8 and ionic strength around 0.7 M) that affect ionization of certain compounds (antibiotics). 622

623

624 Despite the inherent interest of the *in situ* calibration of PS devices, it remains difficult to implement. 625 According to our knowledge only one study implemented in situ calibration of POCIS in the marine environment for a selected range of pharmaceuticals (Shi et al., 2014). POCIS were deployed in three 626 627 sites highly impacted by human activities (sewage outfalls) in the Yangtze Estuary, China. They compared the laboratory and *in situ* calibrations and evidenced that field Rs were higher than 628 629 laboratory Rs probably due to environmental conditions and especially water flow rate and significant 630 turbulence in the estuary. Although a demanding task, in situ calibration of PS devices is, however, a 631 relevant approach to significantly increase confidence in TWA estimation.

Interlaboratory studies showed that accurate analysis of some hydrophilic substances, including pharmaceuticals, remains a challenge. The reported variability of TWA concentrations partly stems from the calibration of the PS devices, for example leading to TWA estimation variation coefficient of 73-256% for pharmaceuticals with POCIS (Vrana et al., 2016). Even if PS tools for hydrophilic substances still need developments and adaptations, guidelines describing how to conduct PS

calibrations and deployment are required. Such guidance should define a common set of metadata and 637 calibration conditions (water flow, temperature, type of exposure system, salinity) to be reported 638 639 together with the obtained sampler calibration parameters. All this information is required for the assessment of the possible relationship between the observed variability in available calibration data 640 and the exposure conditions used in calibrations. According to (Miège et al., 2015), data obtained by 641 642 PS techniques are as representative as low frequency spot sampling (4-12 sampling times per year) used in compliance monitoring for the WFD, considering that the uncertainty of PS concentrations is 643 644 lower than the variability of environmental concentrations.

645

Besides the Passive Sampling with Ionic Liquids technique (PASSIL) was proposed as a new 646 647 receiving phase in passive sampler device designed as a dosimeter for selected pharmaceuticals 648 (carbamazepine, diclofenac, 17-β-estradiol, sulfapyridine and sulfamethoxazole) (Caban et al., 2016), and was tested at the marine pH and salinity (Meczykowska et al., 2018, 2017). Their interest lies in 649 650 their ability to sample molecules with a wide range of polarities due to their heterogeneous structure at the nanometer scale. It is therefore possible to design specific ILs for the extraction of various 651 analytes. Thus they represent an additional promising tool for the passive sampling of pharmaceuticals 652 653 in water.

654

#### 655 Sediments and suspended matter

656 Sediments constitute a reservoir and even a sink for the accumulation of pharmaceuticals in marine 657 ecosystems. They reflect the quality of the habitat of benthic organisms and give an overview of the 'time-integrated' environmental contamination completing water and biota monitoring (Chen et al., 658 2015b; Na et al., 2013). Pharmaceutical deposition and adsorption on sediments are depending on the 659 flow velocity, pH, salinity, organic matter content and texture of sediments (Ismail et al., 2019; 660 661 Rimavi et al., 2018). The inner properties of the considered molecules also influence strongly their distribution between sediments and aqueous phase. Sorption is expected for the compounds which pKa 662 corresponds to the seawater pH (7.4-8.35) (Guo et al., 2019). The adsorption coefficient (Kd) and high 663 664 hydrophobic partition coefficients (Kow) are in favor of pharmaceutical bind to sediments (Lara-Martín et al., 2014). The normalized Kd with the fraction of organic carbon (Koc) may give 665 666 information about specific interaction of certain pharmaceuticals with sediments linked to their content 667 (Cantwell et al., 2016). Thus sediments can act as a secondary pollution source (Pazdro et al., 2016) 668 from which pharmaceuticals can be released by changes in environmental conditions such as salinity 669 and pH (Gaw et al., 2014) and resuspension of the bed sediment, by environmental disturbances 670 (storms, currents, bioturbation, etc.) or anthropic causes (dredging, trawling) (Ismail et al., 2019;671 Snelgrove, 2013).

The advantages of sediment sampling belong to their capacity to concentrate and to be an integrative matrix of the contamination (Gonzalez-Rey et al., 2015), to reflect long-time contamination and to assess spatial variability. In response depending on the molecule persistence, the dating of the contamination and/or the tracking of the pollution source is tricky. Sediments can be sampled along with water to compare the spatial distribution of the contamination (differences between sites and difference between the types of molecules) (Guo et al., 2019).

- Depending on the location, sediments can be sampled with grab samplers (Shipek, Petersen, Van-Veen Ekman) for surface sediments or with spades or scoops, and with a gravity corer or even ruby scuba divers with hand corers for deeper samples. Sometimes very deep sampling requires a Hybrid Remotely Operated Vehicle (HROV) as for the study of the Capbreton Canyon (France) (Azaroff et al., 2020). The pharmaceutical concentrations in sediments are depending on their chemical composition and texture and thus there is a need of normalization of the results (Cantwell et al., 2017).
- However seasonal monitoring is not always possible, because of the sedimentation rates and the mixing between the superficial layer in coastal area due to specific hydrodynamics and bioturbation (Schutte et al., 2019). As sedimentation rates are not always determined, temporal variations of the contamination are difficult to apprehend in routine monitoring.
- The occurrence of pharmaceuticals on the suspended matter phase is the subject of few studies
  (Cantwell et al., 2017, 2016; Lara-Martín et al., 2014) and aimed partly to determine partition
  coefficients.

691

#### 692 Organisms

693 A commonly used tool for describing the quality of the aquatic environment is biomonitoring, which 694 makes use of various aquatic biota, including invertebrates and fishes (Maruya et al., 2014b; Świacka 695 et al., 2019) or algae (Ali et al., 2018; Cunha et al., 2017). Bivalves, such as mussels, are often used as bioindicators for aquatic pollution monitoring (Almeida et al., 2020) and are representative of the 696 697 sampling area because of their sedentary behavior. Being filter-feeders, mussels bioconcentrate 698 chemicals to concentrations much higher than those found in the surrounding waters and reflect a much longer time frame than conventional sampling (Cunha et al., 2017; Świacka et al., 2019). Native 699 700 mussels can be collected (passive biomonitoring, namely 'mussel watch') (Maruya et al., 2014b, 701 2014a) or caged mussels can be deployed (active biomonitoring) (Bayen et al., 2016; McEneff et al.,

2014; Moreno-González et al., 2016). Fishes are less representative of a site, because they are highly 702 703 mobile and may have migratory behavior, thus complicating data interpretation, or requiring benthic 704 species (Maranho et al., 2015; Snelgrove, 2013). The question of the distribution of the pharmaceuticals into the different tissues of fishes was raised by (Liu et al., 2018), whereas 705 706 bioaccumulation mechanisms in mussels depend on their physiologic status (Arpin-Pont et al., 2016) 707 and are not fully understood because it is not relying only on the Kow distribution coefficient (Martínez Bueno et al., 2014a). Also pharmaceuticals can be readily metabolized in living organisms (Atashgahi 708 709 et al., 2018) thus limiting bioaccumulation (US EPA, 1998) and increasing analysis complexity in 710 biota matrices.

Studies on marine organisms are limited, maybe caused by the lack of suitable analytical methods for these challenging matrices, as these samples required extensive extraction and clean-up procedures for proteins and lipids (Pazdro et al., 2016). Moreover pharmaceuticals are mainly metabolized by marine organisms which induce the release of metabolites that need to be investigated (Bonnefille et al., 2017).

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#### 717 II.1.4. Sample treatments and analytical methodology

Białk-Bielińska et al. (2016) and Pazdro et al. (2016) provided complete synthesis concerning current
trends of pharmaceutical analysis in the marine environment. The main steps in the analytical
procedures are briefly detailed above.

721

#### 722 Sample Pretreatment

723 The sample size and the number of replicates must be sufficient to support the desired detection limits 724 for the contaminants of interest, and to enable descriptions of spatial and temporal trends. For example 725 (Phuong et al., 2018) evidenced that ten sediment replicates are needed to achieve a good 726 representativeness of the sediment contamination. Steps of cleaning and purification permit to limit the 727 interaction between the target molecules and the components of the sample and reduce or suppress 728 matrix effects. The more often, water samples are filtered through glass-fiber filters (1-0.45 µm) to 729 eliminate SPM thus only the dissolved fraction is considered. Acidification is sometimes necessary to 730 reduce potential biodegradation of the target molecules and insure the sample stabilization. A pH control and/or modification can be realized to improve the extraction of ionic target analytes and 731 732 eventually the addition of Na<sub>2</sub>EDTA for antibiotic analysis to avoid their chelation to metallic ions. 733 Sediments and sample biota are freeze-dried and/or homogenized and/or grounded prior to the analyte734 extraction steps.

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#### 736 Sample Extraction

Water samples undergo solid phase extraction (SPE) procedures. The choice of the sorbent depends on the properties of the target compounds. Oasis HLB (Waters) and Strata-X (Phenomenex) sorbents remain the more used for pharmaceuticals. (Vanryckeghem et al., 2019) reports the use of Bakerbond hydrophilic divinylbenzene (DVB) Speedisk that enable the extraction of large volumes (500 mL) of seawater to improve detection limits and reduce the time of extraction. Elution of pharmaceuticals is mainly realized with the polar solvent methanol.

743 Extraction of pharmaceuticals from sediments and biota matrices requires liquid (solvent) extraction then purification steps to take into account molecular interactions of target compounds with sediment 744 due to their nature (texture, pH, organic matter, etc.); and high amounts of lipids and proteins 745 746 contained in biota. Solvent extractions can be operated with ultrasonication or accelerated solvent 747 extraction (ASE). Then liquid extracts from sediments are purified through SPE methods (C18 or 748 anion-exchange columns, in tandem with reversed-phase column as Oasis HLB). Clean-up procedures 749 of biota extracts consist of adsorption columns (polar sorbent with normal phase) or gel-permeation 750 chromatography.

The QuECHERs (Quick, Easy, Cheap, Effective Rugged and Safe) (Anastassiades et al., 2003) method briefly consists in the succession of the following steps: organic sample homogenization; extraction with solvent, specific salts and buffer to separate the aqueous and organic phases; then purification with dispersive-SPE with appropriate sorbent mixtures. It has been recognized as adaptable and suitable to extract pharmaceuticals from biota, for example venlafaxine and its metabolites (Martínez Bueno et al., 2014a), carbamazepine and oxcarbazepine (Martínez Bueno et al., 2013) in marine mussels, diclofenac in algae and mussels (Cunha et al., 2017).

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#### 759 Separation and Detection

Separation, detection and quantification of pharmaceuticals require trace analysis in complex matrices. Chromatographic techniques, mostly liquid chromatography (LC) because of the polarity of pharmaceuticals, coupled with MS or better with MS/MS with electrospray ionization (LC-ESI-MS/MS) for sensitivity and selectivity are mostly used for this purpose (Pazdro et al., 2016). Ultra-

high-performance liquid chromatography (UHPLC) permitting to achieve a better resolution thus 764 higher selectivity and faster analysis than HPLC is developed. High-resolution mass spectrometry 765 766 (HRMS) as time-of-flight (TOF), Orbitrap or linear ion trap, and hybrid HRMS as QTOF or QTrap (Loos et al., 2016) permit to increase sensitivity and selectivity and its use is reported in some studies 767 (Álvarez-Muñoz et al., 2015a; Bayen et al., 2013; Borecka et al., 2013; Martínez Bueno et al., 2014b; 768 769

770

Vanryckeghem et al., 2019).

771 Matrix effects due to high salinity and dissolved organic matter are a major challenge in 772 pharmaceutical analysis in the marine matrices. Internal standard and surrogates are efficient to correct 773 the matrix effects, but they are expensive and not enough references are available for each compound 774 especially for metabolites and degradation products. Development and application of multiresidue 775 analytical methods remain difficult because pharmaceuticals belong to a wide range of different physicochemical properties. Then the simultaneous analysis of diverse and different molecules 776 777 requires concessions in the experimental conditions thus in the method performance for certain 778 compounds.

779 Non-target analysis is a relatively recent qualitative approach in analytical chemistry aiming at 780 identifying the occurrence of molecules in the environment but not previously target thus enhancing the water contamination screening (Choi et al., 2020; Tian et al., 2019). Also, it enables a posteriori 781 782 data mining of the whole acquired information relative to each analyzed sample. It is permitted thanks 783 to the development of tandem HRMS techniques. The main difficulty is relative to the confidence in 784 the proper identification of the molecules through their structure. For this reason, identification 785 confidence levels for MS analysis were proposed based on the available or acquired data relative to molecular structure and analytical parameters. Building of workflows permit to prioritize and identify 786 787 the molecules (Schymanski et al., 2014).

788 To date according to our knowledge four published studies developed suspect and non-target analysis 789 applied to marine environment samples (seawater, sediments and mussels). (Ccanccapa-Cartagena et 790 al., 2019) combined target, suspected and non-target screenings to assess the occurrence of several 791 classes of contaminants in sediments and seawater of the Mediterranean Sea; in particular eprosartan 792 was identified with non-target screening. Preliminary screening by suspect and non-target screening of 793 the shoreline and the offshore waters of the Kongsfjorden in the Arctic in 2016 permitted during a 794 second campaign in 2017 to target confirmed substances (Choi et al., 2020). A large screening of the 795 North-Est Atlantic Ocean was led by Lara-Martín et al. (2020) and permitted to identify over 500 796 sewage-derived contaminants including pharmaceuticals (parents and metabolites) that represented 797 over 25% of the detected substances. These studies emphasis the wide contamination of the ocean

- shelf, and the complementarity approach of target and non-target screening that permit then to focus
- on specific target methodologies in order to assess the potential risk for the marine ecosystem. Besides
- 800 Bonnefille et al. (2017) identified 13 metabolites of diclofenac in *M. galloprovincalis* tissues with non-
- 801 target analysis (exposed/non-exposed to diclofenac experimentations), quantified them and proposed
- several metabolic pathways of these new compounds that remained undocumented until their study.
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#### 804 Validation and Quality Assurance/Quality Control

805 Up to now, there are no existing guidelines for the analysis of pharmaceuticals in environmental matrices (Arpin-Pont et al., 2016). Thus there is a need of validation and quality assurance criteria for 806 807 this purpose (Białk-Bielińska et al., 2016; Maruya et al., 2014a), using of other not best suited 808 guidelines (pesticide residues in food SANCO/12571/2013 or veterinary pharmaceuticals in food 809 2002/657/EC). International standardized system as ISO/CEI 17025:2017 ('General requirements for 810 the competence of testing and calibration laboratories') might be implemented and specific criteria are needed for validation (matrix effects, instrumental calibration, surrogate recoveries, chromatographic 811 separation quality and analyte identification) and quality assurance (analyte-specific reporting limits, 812 813 procedural blanks, internal standards, and when available agreement with certified/standard reference 814 materials).

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#### 816 Databases

The development, improvement and optimization of each steps from the sampling techniques to the analysis of pharmaceuticals in the marine environment should lead to a harmonized methodology for the future monitoring. Management of the generated data is thus a crucial point, taking for example the databases of the Norman Network<sup>3</sup> or the master database of the analytical data passing the QA/QC evaluation from the Mussel Watch (Maruya et al., 2014a).

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# III. Monitoring of pharmaceuticals in the marine environment: conclusion and perspectives

Since 2012; an increasing number of monitoring strategies included or focused on pharmaceutical
contamination of the marine environment despite the lack of international guidelines. Most often, data
available in the literature are generated by one-time surveys. These are intensive monitoring programs

<sup>&</sup>lt;sup>33</sup> Norman Network Databases : <u>https://www.norman-network.net/?q=node/24</u>

829 set up during a punctual period of time, to measure and observe the quality of the aquatic environment 830 for a specific purpose. The broad occurrence and variability of the marine environment pharmaceutical 831 contamination highlighted by recent studies (Arpin-Pont et al., 2016) suggest that pharmaceutical 832 compounds should be more systematically included both in large (UNESCO and HELCOM, 2017) 833 and in routine marine environment monitoring programs.

Prioritization methodologies permitted to set up watch lists of targeted molecules by some international institutions on which certain monitoring are based. At the local scale, the specificities of the study area might be considered i.e. the local pharmaceutical sources. Drug local consumption patterns might be identified (examples of high occurrence of antiretroviral and antimalarial in Africa (K'oreje et al., 2020)), or data gathered from the pollution sources as WWTP effluents. The priority substance lists might be built in the prospect to be regularly monitored to set up databases and to allow analysis of temporal trends.

841 Sampling strategy should be site-specific and preceded by a pilot study to choose the most relevant and cost-effective sampling locations and frequency. Marine sites configuration (offshore, bay, gulf, 842 beaches, etc.) plays a crucial role in hydrodynamics thus in pharmaceutical concentrations especially 843 when using PS techniques. Seawater is the systematically sampled matrix as pharmaceuticals are 844 845 rather polar, and sediments and biota are the subject of several studies as their sampling give 846 complementary information to better assess the overall contamination. Thanks to the development of 847 HRMS techniques, highly sensitive analyses can be conducted to quantify trace concentrations of 848 pharmaceuticals diluted in the seawater column. Non-target analysis represent a promising approach to 849 identify fate of known contaminants, new molecules of interest and to redefine the monitoring by 850 updating the target list of compounds (Tian et al., 2019).

In the light of this dataset, and to go along with future protective measures to limit the evidenced overall contamination by these compounds (Arpin-Pont et al., 2016), several perspectives might permit to go further in the ongoing assessment of the environmental status of the seawater, sediment and biota.

855 Beyond the objectives of the monitoring strategy, monitoring of contaminants in water can provide information on the fate of pharmaceuticals in the environment, e.g. transformation, 856 857 partitioning, and transport processes. Thus, such inclusion requires further investigations on 858 the fate, the behavior and the toxicity of the parent compounds and the transformation 859 products. The inclusion of metabolites and degradation products in monitoring strategies is 860 essential and can be implemented with the development and the complementarity of non-861 target analysis (Pazdro et al., 2016); it would permit to assess their potential combined 862 ecotoxicological effects.

As cited above there is an urgent need of harmonization in the monitoring procedures of pharmaceuticals in the marine environment and particularly the validation of the developed analytical methods. The design and adaptations of PS devices less sensitive to environmental conditions and particularly to marine conditions (hydrodynamics, pH, ionic strength) is a promising perspective to complete grab sampling of seawater. *In situ* calibration of PS devices are rare and although it is demanding it could permit to achieve a better accuracy in TWA measurements by using *in situ* determinate RS.

- For the priority substances identified, integrated monitoring of the environmental matrices 870 871 conducted in parallel with the measure of biomarkers of exposure and effects (ecological 872 endpoints) would enable to link contaminant occurrence and related ecological responses 873 (Hylland et al., 2017; Vethaak et al., 2017). The broadening of the data about ecological 874 impacts of pharmaceuticals present in the studied environment would permit to develop 875 environmental quality standards and/or guidelines for pharmaceuticals in seawater, sediments, 876 and biota. Extensive monitoring of pharmaceuticals in the environment and their impact on living organisms is listed among the proposed short-term measures to minimize the exposure 877 878 of humans, animals and ecosystems to these compounds (Klatte et al., 2017). Last, a compromise is necessary between recommended scientific approaches and economic and 879 880 logistic constraints.
- 881 The implementation of routine pharmaceutical monitoring strategies in the marine environment implies urgent measures to reduce the sources of contamination. The main stake 882 lies in the reduction in human and veterinary drug consumption. This obviously opens wide, 883 complex, and delicate social and scientific debates, and is turning the fight against 884 885 environmental pollutions from the opposition of the 'guilty pollutant industries' vs the 'brave 886 environmentalists', to the 'nasty environmentalists' vs the 'sick victims'. Beyond this, one 887 may question about the implementation of preventive measures and the reduction of disease 888 risk factors to lastingly improve general animal and human health. To conclude this work and 889 questions raised highlight the ecological imbalances and the wide impacts caused by anthropization of water resources. 890
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