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# Second-Order Nonlinear Optical Properties of an Amphiphilic Dye Embedded in a Lipid Bilayer. A Combined Molecular Dynamics - Quantum Chemistry Study

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## Abstract

The second harmonic signal of an amphiphilic dye embedded in a lipid bilayer has been calculated by combining molecular dynamics simulations and quantum chemistry calculations based on density functional theory. This computational approach provides insight into the morphology and dynamics of the fully hydrated biological system, as well on the relationships linking the geometry and the environment of the dye to the amplitude of its second-order nonlinear optical response. The results point out a significant enhancement of the dynamic first hyperpolarizability of the dye induced by its interaction with the membrane, and highlight the relative importance of dynamical, steric, and electrostatic effects. This computational scheme is thus particularly relevant for rationalizing the nonlinear optical contrasts revealed by second harmonic imaging microscopy of exogenous dyes embedded in biological media.

# 1 Introduction

The cell membrane is at the heart of many biological processes: without membranes, life as we know it would not be possible. A membrane is a selective semi-permeable barrier separating cellular compartments that acts as a transporter.<sup>1</sup> Furthermore, transmembrane ionic gradients (mainly involving  $\text{Na}^+$ ,  $\text{K}^+$  and  $\text{Cl}^-$  ions) create a membrane potential that in turn provides an electrical driving force for many biological mechanisms.<sup>1,2</sup> The fundamental functions of membranes are dictated by their compositions:<sup>1</sup> lipids represent approximately 50% in mass of most animal biomembranes, the rest being mostly proteins.<sup>3</sup> Amongst lipids, there is an enormous diversity of structures owing to the variety of fatty acids, their linkage positions, and their headgroups.<sup>4</sup> Interestingly, changes in lipid composition have been reported in numerous diseases.<sup>1,4</sup> The structure of lipid bilayers is described as fluid and flexible due to the possible motions of lipid molecules. These motions include rotations around the lipid long axes, "wiggles" of the fatty acids chains, translations within one layer, and motions to the other layer.<sup>1,3</sup>

As a matter of fact, the investigation of the structure and dynamics of a membrane is of particular importance. However, most biomolecules possess only few natural moieties with exploitable optical properties<sup>5</sup> - with the exception of structural proteins such as collagen<sup>6,7</sup> and cellulose<sup>8</sup> - so that exogenous dyes are often employed to improve the contrast in tissues for being detected by commercially available microscopes. Among the linear and nonlinear optical phenomena operating in microscopy, fluorescence and Second Harmonic Generation (SHG) are two main techniques whose combined use provides complementary information.<sup>9-11</sup> These optical processes differ by the nature of the initial and final molecular electronic states, and depend on the illumination wavelengths and intensities. AminoNaphthylEthenylPyridinium (ANEP) dyes, like di-8-ANEPPS (Figure 1) developed by Loew and colleagues,<sup>12,13</sup> are often used in this context as labelling agents. These push-pull compounds are not only fluorescent probes, but also exhibit SHG responses.

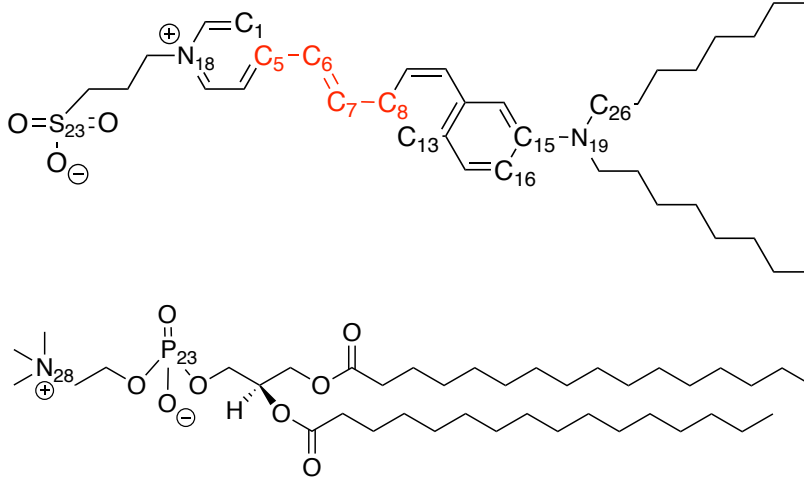


Figure 1: Structure of di-8-ANEPPS (top) and DPPC (bottom) with key atoms labeling, segment used to define the bond length alternation ( $BLA = \frac{1}{2} [d_{C_5-C_6} - 2d_{C_6-C_7} + d_{C_7-C_8}]$ ) of di-8-ANEPPS (red), and atoms used to define its torsion angles [ $\theta_1 = \theta(C_1 - C_5 - C_6 - C_7)$ ,  $\theta_2 = \theta(C_6 - C_7 - C_8 - C_{13})$ , and  $\theta_3 = \theta(C_{16} - C_{15} - N_{19} - C_{26})$ ].

SHG is a second-order nonlinear optical (NLO) process, first demonstrated by Franken *et al.*<sup>14</sup> in crystalline quartz in 1961. It was then developed by Shen,<sup>15</sup> Eienthal,<sup>16</sup> and others for the study of surfaces and artificial monolayers. The use of SHG in microscopy was proposed by Sheppard *et al.*<sup>17</sup> in 1977. Since then, there has been an expansion in the use of Second Harmonic Imaging Microscopy (SHIM), partially thanks to advances in laser technology.<sup>18</sup> SHG presents several advantages over fluorescence:<sup>19</sup> *i*) it is intrinsically specific to interfacial regions, making it an ideal technique to study the biophysics of model membranes. Indeed, the observed signal is only due to non-centrosymmetrical molecules and molecular assemblies, whereas in fluorescence, a background is usually observed arising also from isotropic regions; *ii*) the wavelength of 1064 nm commonly used in SHG measurements should prevent photodamage and photobleaching; *iii*) the SHG signal retains the phase and directional data after interaction with the sample. Information about the molecular organization of the chromophore can therefore be extracted from SHG imaging data, by taking advantage of the SHG polarization anisotropy;<sup>20</sup> and *iv*) finally, the SHG intensity can be modulated by an applied electric field,<sup>21</sup> and therefore be used as an efficient probe of the membrane potential.

SHG responses of biological systems have been widely studied throughout the literature.<sup>8,18,20,22–32</sup> In particular, a lot of works focused on the study of the transmembrane potential.<sup>21,33–37</sup> However, although several computational approaches allowing to predict and interpret macroscopic SHG responses of solutions,<sup>38,39</sup> periodic solids,<sup>40–45</sup> interfaces,<sup>46</sup> and self-assembled monolayers<sup>47,48</sup> have so far been developed, much less has been done for complex dynamical systems like dyed lipid bilayers. Few theoretical chemistry studies have also been performed to assess their SHG responses but either they truncate the chromophore complex surrounding, they do not account for geometry relaxation effects due to this surrounding, they rely on low-level methods to evaluate the  $\beta$  tensor components, or even they do not account for the dynamics of the system.<sup>30,49–52</sup>

On this basis, our global objective is to better understand the SHG responses of chromophores in lipid bilayers and to develop appropriate computational methods to unravel SHIM signatures. We focus in this report on the elaboration of a two-step simulation procedure and its application for a model system composed of a di-8-ANEPPS probe inserted into a hydrated 1,2-DiPalmitoylPhosphatidylcholine (DPPC) membrane. Molecular Dynamics (MD) simulations are first performed to investigate the morphology of the ANEPPS@DPPC system and the dynamics of the chromophore inserted into the membrane. Then, the first hyperpolarizability ( $\beta$ ) responses of the di-8-ANEPPS probe are evaluated using density functional theory (DFT) for selected snapshots extracted from the MD simulations. This computational approach provides insights into the relationships linking the geometry of the chromophore to the amplitude of its SHG responses and the related visible absorption spectra, into the impact of structural dynamics, as well as into the effects of the lipid bilayer environment.

## 2 Computational and Theoretical Methods

### 2.1 Molecular Dynamics simulations

MD simulations of the ANEPPS@DPPC system were carried out using NAMD2.12,<sup>53</sup> while VMD1.9.4<sup>54</sup> was used for data visualization and analysis. The system consists of one di-8-ANEPPS dye molecule inserted into a lipid bilayer formed by 125 DPPC molecules (61 and 64 in the leaflet with and without the chromophore, respectively) and 3840 water molecules (see Figure 2), providing a  $3840/125 = 30.72$  water/lipid number ratio. This ratio corresponds to a weight content  $c = m_{H_2O}/(m_{H_2O} + m_{DPPC}) = 0.42$ . Note that experimental DPPC bilayers in the  $L_\alpha$  phase are fully hydrated for  $c = 0.36$ <sup>55,56</sup> or  $c = 0.40$ .<sup>57</sup>

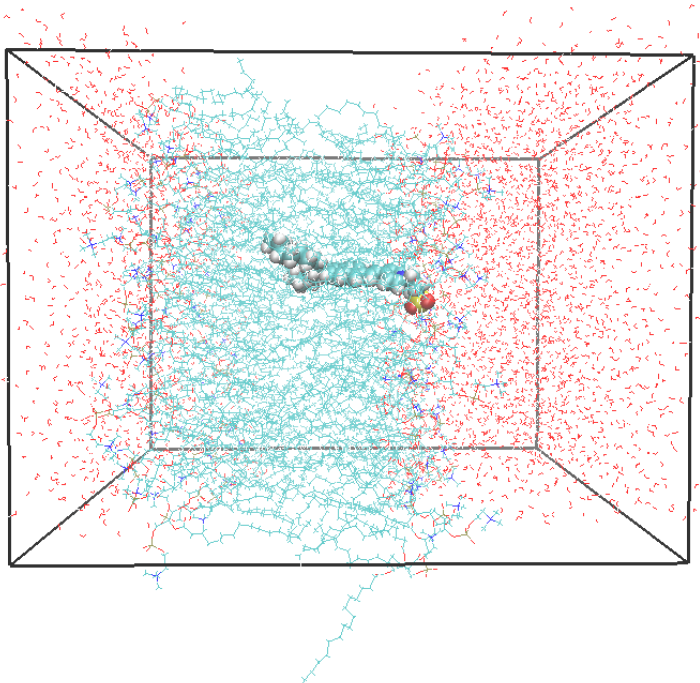


Figure 2: Simulation box containing 125 DPPC, the di-8-ANEPPS chromophore, and 3840 water molecules.

After the starting structure was generated using Packmol,<sup>58</sup> the system was modeled following a procedure similar to those commonly used in the literature, and described hereafter.<sup>19,49,59–74</sup> The partial charges were calculated for the chromophore, the water, and the

lipid molecules using the electrostatic potential (ESP) model<sup>75,76</sup> at the DFT/M06-2X level with the cc-pVDZ basis set, as implemented in the Gaussian16 package.<sup>77</sup> Periodic boundary conditions were applied in all three directions, so that the simulation is actually that of a multilamellar system. After an initial minimization of a low-density sample (with a starting box side of 80 Å), the ANEPPS@DPPC system was equilibrated for 140 ns in the NPT ensemble ( $P = 1$  atm and  $T = 315.15$  K<sup>57</sup>). This temperature was selected to ensure that the bilayer adopts the liquid crystalline ( $L_\alpha$ ) phase, the  $L_\beta$  to  $L_\alpha$  phase transition temperature of DPPC being 315 K (see Section 1.3 in Supporting Information (SI)). A 240 ns production with a time step of 1 fs was then performed under the same conditions. The pressure and temperature were maintained using the Langevin piston<sup>78</sup> and Langevin temperature bath, respectively. Lennard-Jones interactions were truncated at 12 Å using a switching function starting at 10 Å, while long-range Coulombic interactions were treated using the particle-mesh Ewald (PME) technique.<sup>79</sup>

Given the high sensitivity of the  $\beta$  responses to the molecular geometry, a modified version of the General AMBER Force Field (GAFF)<sup>80</sup> was prepared for ensuring an accurate description of the equilibrium geometry and torsional degrees of freedom of the di-8-ANEPPS molecule. As detailed in the Supporting Information, atom types and force constants were finely tuned in the Force Field (FF) in order to accurately reproduce the bond lengths of the DFT equilibrium structure, as well as the associated bond length alternation (BLA), which is prerequisite for reliably describing the NLO responses of push-pull  $\pi$ -conjugated compounds. Relaxed potential energy scans (PESs) were computed at the M06-2X/cc-pVDZ level on a reduced fragment of di-8-ANEPPS, and fitted following the procedure described in Ref. 81.

Lipid molecules of the DPPC bilayer were described using the CHARMM 36<sup>68,82</sup> (C36) FF, while the SPC/Fw<sup>83</sup> FF was used for water molecules. The quality of the solvent description was verified in terms of density (1.06 g cm<sup>-3</sup> against 1.00 g cm<sup>-3</sup> for the experimental value) as well as radial distribution function (see Section 1.2 in SI).



## 2.2 Calculation of the first hyperpolarizability

Calculations of the static and dynamic NLO responses were performed at the TDDFT/M06-2X/6-311+G\* level using the Gaussian16<sup>77</sup> package. Frequency-dependent calculations were carried out using an incident wavelength of 1064 nm. We focused in this work on the hyper-Rayleigh scattering (HRS) response,  $\beta_{\text{HRS}}$ , and the associated depolarization ratio (DR), which are associated respectively to the second harmonic light intensity and to the symmetry of the molecular scatterer. Full expressions of these terms can be found in Ref. 84. In the case of the di-8-ANEPPS molecule embedded in the membrane, we also analyzed the diagonal  $\beta_{\text{ZZZ}}$  component of the first hyperpolarizability tensor, with Z the direction normal to the bilayer.

The NLO response of the isolated di-8-ANEPPS molecule (*i.e.* non interacting with the membrane), was computed in gas phase and in water using the integral equation formalism (IEF) of the polarizable continuum model (PCM).<sup>85-87</sup> To evaluate the NLO response of the di-8-ANEPPS molecule inserted into the membrane while accounting for the dynamical fluctuations of its geometry, 120 structures containing both the chromophore and the lipid bilayer were extracted from uncorrelated snapshots of the MD production run (every 2 ns). M06-2X/6-311+G\* calculations were subsequently carried out on the 120 structures of the di-8-ANEPPS molecule, each being surrounded by ESP<sup>75,76</sup> charges of the neighboring lipids in order to account for electrostatic environment effects. Each lipid possessing at least one atom in a 5 Å radius defined around any atom of di-8-ANEPPS was considered entirely. The water solvent surrounding the ANEPPS@DPPC system was accounted for using IEFPCM.

In addition, to relate the nonlinear to the linear optical properties, the vertical excitation energies and transition dipoles of the low-lying excited states of the di-8-ANEPPS molecule were also calculated at the TDDFT/M06-2X/6-311+G\* level. The computed first hyperpolarizabilities were rationalized by relying on the two-state approximation (TSA),<sup>88</sup> which assumes that only one electronic excited state (here, generally the  $S_2$  state) contributes to

the sum-over-state expansion of the second-order NLO response:

$$\beta_{TSA} \propto \frac{\mu^2 \Delta\mu}{\Delta E^2} \times \frac{\Delta E^4}{[\Delta E^2 - (\hbar\omega)^2][\Delta E^2 - (2\hbar\omega)^2]} \quad (1)$$

where  $\hbar\omega$  is the energy of the incident photons,  $\Delta E$  is the  $S_0 \rightarrow S_2$  excitation energy,  $\mu = \|\langle S_0 | \vec{\mu} | S_2 \rangle\|$  is the norm of the associated transition dipole, and  $\Delta\mu = \|\vec{\mu}_{S_2} - \vec{\mu}_{S_0}\|$  is the norm of the dipole moment variation between the  $S_0$  and  $S_2$  electronic states.

### 3 Results and discussion

#### 3.1 Morphology of the ANEPPS@DPPC system

Several structural parameters have been evaluated to characterize the membrane, namely the average area per lipid  $\langle A \rangle$ , the membrane thickness, the tilt angle of DPPC (including the lipid chains and polar head), and the hydrocarbon chain order parameter. Full details on these parameters and their calculations are provided in SI. An average area per lipid of  $56.3 \pm 1.6 \text{ \AA}^2$  is obtained for the leaflet without the chromophore, which is in the range predicted experimentally. The membrane thickness, measured as the average distance between the phosphorous atoms in the two bilayer leaflets, amounts to  $39.3 \pm 0.3 \text{ \AA}^2$ , in good agreement with previously reported data (see SI for details).<sup>89</sup>

Given the nature of its constituent moieties and overall flexibility of the DPPC molecules, three tilt angles were defined to get full insight into their orientation, namely  $\theta_{P-N}$  defined between the phosphate group and the nitrogen atom of the choline group in the polar head, and two  $\theta_{C-C}$  angles giving the orientation of the lipid alkyl chains (SI, Figures S7a and S7b). For  $\theta_{P-N}$ , an average value of  $76.0 \pm 3.1^\circ$  is obtained (SI, Figure S8a). This large angle allows for favorable interactions between the glycerol moieties and the water layer. The magnitude of the tilt angle is indeed controlled by the balance between the need for efficient hydrocarbon chain packing and the hydrophilic character of the polar head group.<sup>90</sup> In relatively good

agreement with experimental measurements reported in the literature,<sup>91</sup> an average value  $27.0 \pm 3.1^\circ$  is computed for  $\theta_{C-C}$  (see SI, Figure S8b), indicating a preferential orientation along the normal to the surface towards the interior of the membrane. Our description of the membrane is further validated by an hydrocarbon chain order parameter of  $0.225 \pm 0.003$ , fully consistent with the experimental value of  $0.20 \pm 0.02$ , which is characteristic of the  $L_\alpha$  phase.<sup>92</sup>

The di-8-ANEPPS chromophore tends to orient preferentially along the normal to the membrane interface, with its polar head group interacting with the hydrophilic part of the lipid while alkyl tails are pointing towards the hydrophobic interior of the membrane. A more detailed picture is provided by the analysis of the tilt angle  $\theta_{N-N}$  of the long molecular axis with respect to the normal of the membrane plane (Figure 3a). A value of  $90^\circ$  indicates a parallel orientation of the chromophore with respect to the membrane surface, while values of  $0^\circ$  and  $180^\circ$  correspond to perpendicular arrangements. The distribution of  $\theta_{N-N}$  (Figure 3b) reflects the dynamical fluctuations, with values spanning a range of about  $50^\circ$ . The average tilt angle over the whole production run amounts to  $19.3 \pm 10.6^\circ$ , confirming a quasi vertical orientation of the probe in the lipid bilayer. Alternative analyses of the chromophore tilt angle were performed by considering  $\cos(\theta_{N-N})$  instead of  $\theta_{N-N}$  as well as their violin plots with interquartile ranges (Figures S9 and S10) and they all conclude about the tilt of the chromophore. This global orientation of the di-8-ANEPPS molecule within the bilayer seems to be dictated by the lipid alkyl chains, which are also globally tilted (by  $27.0 \pm 3.1^\circ$ ) with respect to the bilayer normal. A very similar distribution is obtained on the 120 frames used for the subsequent evaluation of the NLO response, with an average value of  $18.9 \pm 10.7^\circ$ , confirming that it constitutes a representative set of structures.

Mass density profiles of the relevant components of the system have also been calculated to get insight into their relative position, and are displayed in Figure 4. Besides confirming the orientation of the chromophore in the membrane and its location in only one DPPC leaflet, these results indicate that the  $\text{SO}_3$  ( $\text{S}_{23}$ ) moiety of the probe is located in the region

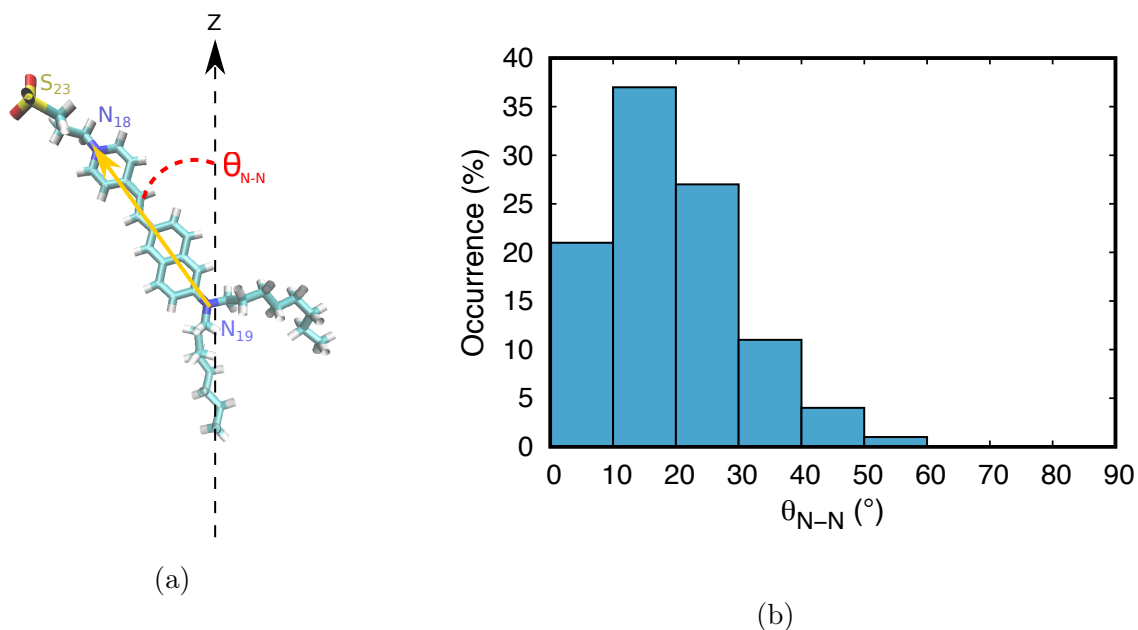


Figure 3: **(a)** Definition of the  $\theta_{N-N}$  tilt angle between the vector connecting the  $N_{18}$  and  $N_{19}$  atoms and the normal to the bilayer interface ( $Z$  axis) and **(b)** distribution of  $\theta_{N-N}$  (°) over the whole MD run.

occupied by the polar headgroup of the DPPC ( $N_{28}$  and  $P_{23}$ ) as well as by water molecules while the chromophore extends into the hydrophobic fatty acids. This picture is consistent with the limited variations observed in the value of the di-8-ANEPPS molecular tilt angle.

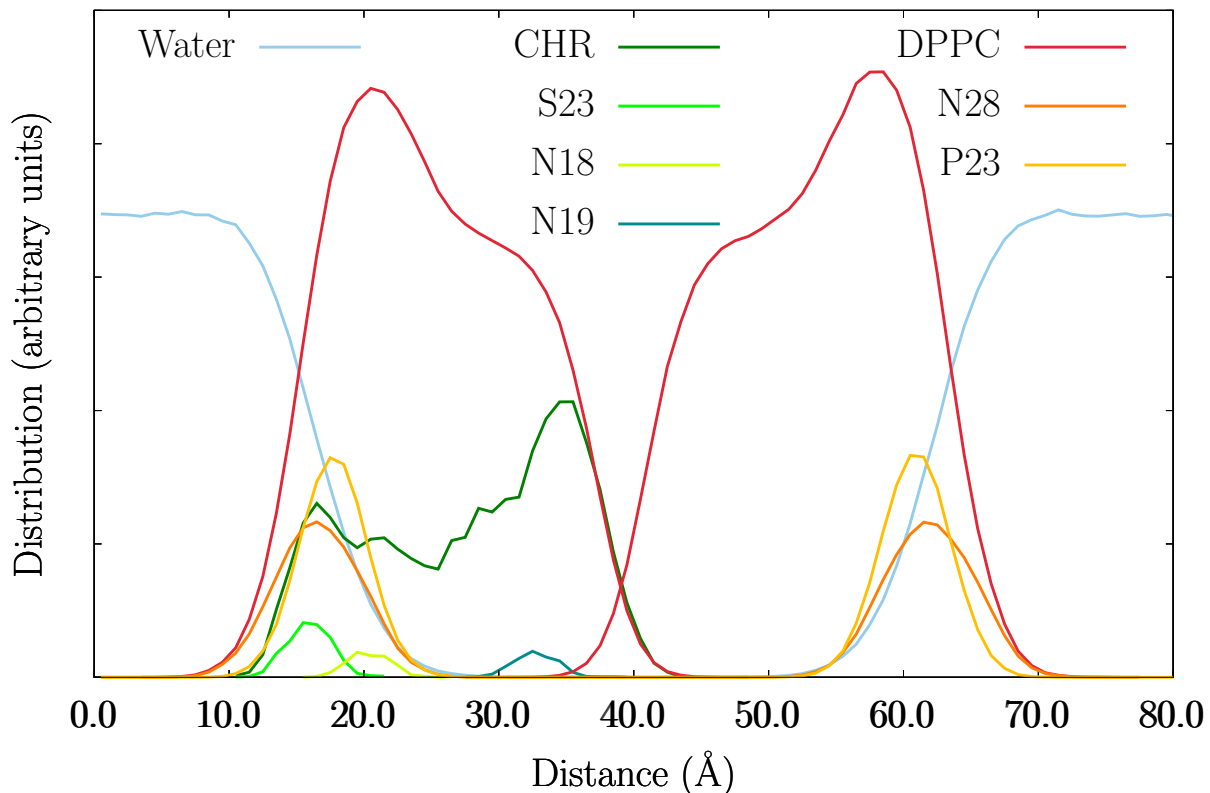


Figure 4: Mass density profiles along the Z axis for the different components of the ANEPPS@DPPC system: DPPC (red) and its N<sub>28</sub> (orange) and P<sub>23</sub> (yellow) atoms, Chromophore (CHR, dark green) and its S<sub>23</sub> (light green), N<sub>18</sub> (yellowish green), and N<sub>19</sub> (dark blue) atoms, as well as water molecules (light blue).

### 3.2 NLO response of the chromophore within the lipid bilayer

Figure 5 presents the time evolution of the static and dynamic  $\beta_{ZZZ}$  hyperpolarizability components, as well as of their cumulative averages. Even though individual values vary strongly over time, the cumulative averages rapidly converge. The average static  $\beta_{ZZZ}$  amounts to  $65 \cdot 10^3 \pm 15 \cdot 10^3$  a.u., while frequency dispersion effects enhance the NLO response by one order of magnitude, with  $\beta_{ZZZ} = 510 \cdot 10^3 \pm 418 \cdot 10^3$  a.u.. This large standard deviation highlights the influence of the dynamical structural changes on the dynamic first hyperpolarizability. However, it is notably amplified by a few extreme  $\beta_{ZZZ}$  values ranging between  $1500 \cdot 10^3$  a.u. and  $3000 \cdot 10^3$  a.u.. When excluding these structures from the statistics, the average dynamic  $\beta_{ZZZ}$  and its standard deviation reduces to  $444 \cdot 10^3 \pm 217 \cdot 10^3$  a.u., which is still seven times

larger than the static ones. These larger  $\beta_{ZZZ}$  responses are the consequence of resonant or near-resonant conditions, as confirmed by TDDFT/M06-2X/6-311+G\* calculations of the excitation energies. Structures exhibiting intense NLO responses all display excitation wavelengths close to 532 nm, which corresponds to the second harmonic wavelength of a 1064 nm incident beam. Still, they are only a few, as shown by their distribution and the resulting visible absorption spectrum (Figure 6). Note that, although technological applications might take advantage of resonance-enhanced NLO responses, excitation-induced electron density redistributions can lead to photo-damages and should be avoided for biological applications.

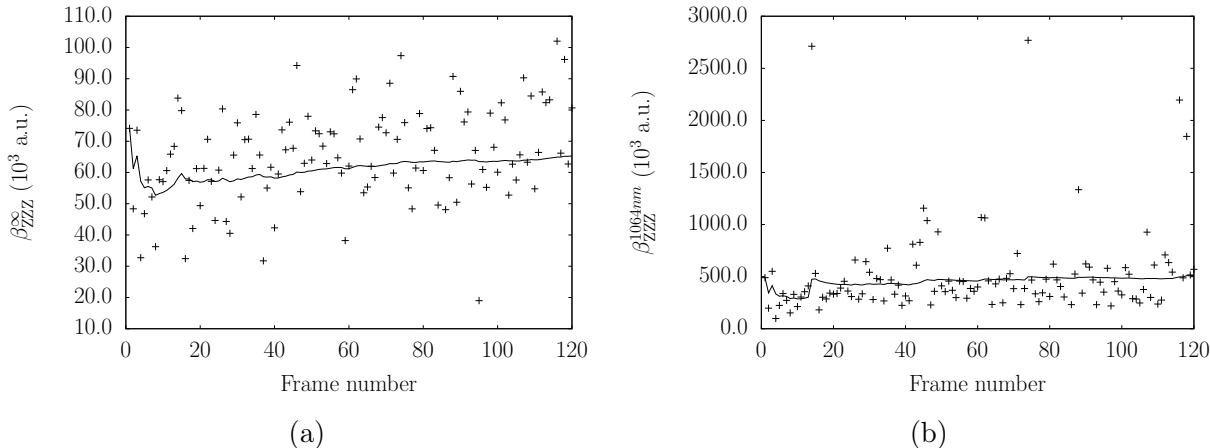


Figure 5: Time evolution of  $\beta_{ZZZ}$  ( $10^3$  a.u.) [cross] and its cumulative average [line] for the chromophore surrounded by lipid charges and implicit solvent molecules, as calculated at the IEFPCM/TDDFT/M06-2X/6-311+G\* level. **(a)**  $\lambda = \infty$  and **(b)**  $\lambda = 1064$  nm.

A linear correlation was also unravelled between the  $\beta_{ZZZ}^{1064nm}/\beta_{ZZZ}^{\infty}$  ratio and the first dipole-allowed excitation energy  $\Delta E$  of the chromophore, or more specifically the dispersion factor at the second harmonic frequency, defined as  $1/(\Delta E - 2\hbar\omega)$  with  $\hbar\omega$  the energy of the incident photons (Figure 7). This strong correlation demonstrates that the two state model describes most of the frequency dispersion effects of  $\beta_{ZZZ}$ .

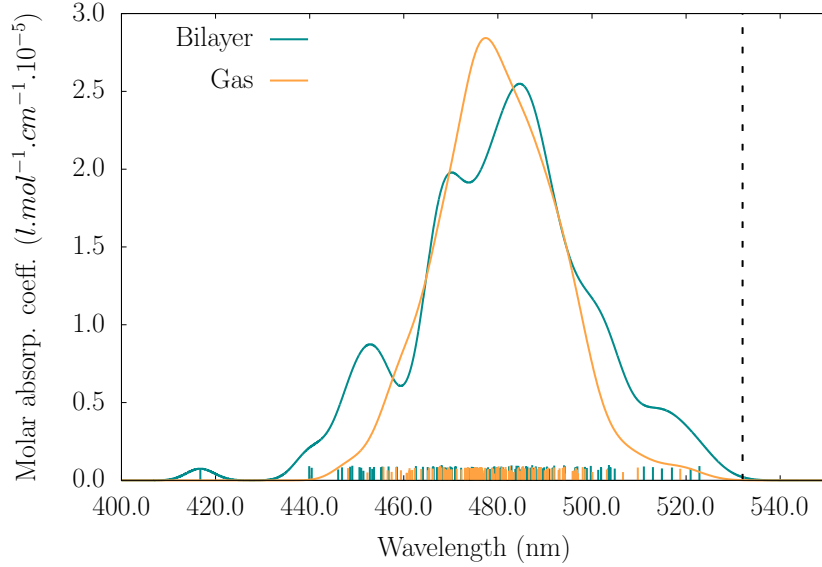


Figure 6: Distribution of the lowest-energy dipole-allowed excitation energies and oscillator strengths of the chromophore surrounded by lipid charges and implicit solvent molecules and visible absorption spectrum as obtained from their convolution with Gaussian functions having FWHM of 0.05 eV, in comparison to their analogs obtained without accounting for the effects of the surrounding (gas) while keeping the same geometries. The calculations were performed at the TDDFT/M06-2X/6-311+G\* level. The vertical dashed line corresponds to the energy of the SHG photons.

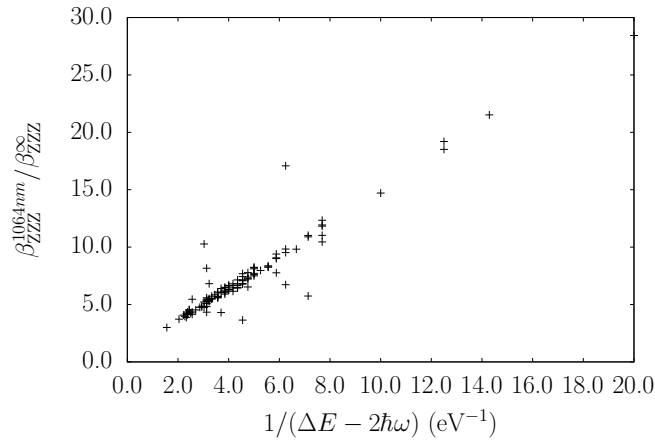


Figure 7: Evolution of the  $\beta_{ZZZ}^{1064nm} / \beta_{ZZZ}^{\infty}$  ratio as a function of the excitation energy ( $\Delta E$ , eV) of the chromophore. For readability, few resonant frames were not included. The  $\beta$  and  $\Delta E$  values were calculated at the IEFPCM/TDDFT/M06-2X/6-311+G\* level for the chromophore surrounded by the lipid charges and implicit solvation.  $\hbar\omega$  is the energy of the incident photons.

### 3.3 Structure-property relationships

In this section, we decipher the relationships between the structure of the chromophore and its NLO response. By definition, the normal  $\beta_{ZZZ}$  component discussed above is expected to depend on the orientation of the chromophore within the bilayer, which is characterized by the  $\theta_{N-N}$  angle (Figure 3). The correlation between  $\beta_{ZZZ}$  and  $\theta_{N-N}$  is evidenced in Figure 8a, where the third power of  $\cos(\theta_{N-N})$  is used as abscissa because  $\beta$  is a rank-3 tensor. The correlation plot confirms that small  $\beta_{ZZZ}$  values are associated with large  $\theta_{N-N}$  angles.

To establish structure-property relationships that are independent of the orientation of the chromophore within the lipid bilayer (or at least to reduce the orientational effects), the focus switches now from  $\beta_{ZZZ}$  to  $\beta_{HRS}$ , which accounts for averages over molecular orientations. Figure 8b shows the correlation between  $\beta_{ZZZ}/\cos^3(\theta_{N-N})$ , which approximates a hypothetical tilt-independent normal  $\beta$  tensor component, and the  $\beta_{HRS}$  values. Overall, these two quantities are strongly correlated (with a correlation coefficient  $R^2 = 0.95$ ), which indicates the adequacy of considering  $\beta_{HRS}$  for further analyses.

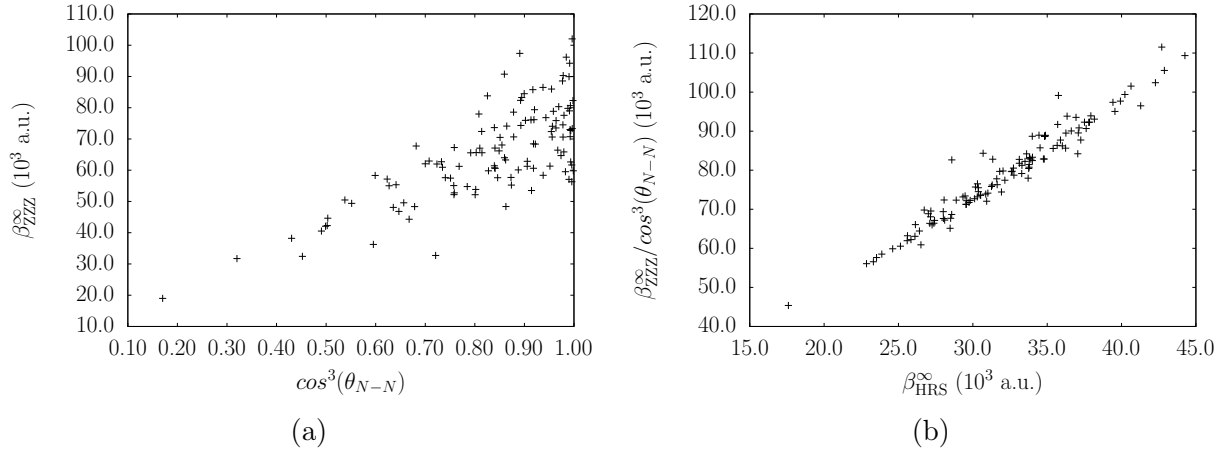


Figure 8: **(a)** Static  $\beta_{ZZZ}$  ( $10^3$  a.u.) as a function of  $\cos^3(\theta_{N-N})$  and **(b)** relationship between  $\beta_{ZZZ}/\cos^3(\theta_{N-N})$  and  $\beta_{HRS}$  (both in  $10^3$  a.u.).

Like for  $\beta_{ZZZ}$ , the time evolutions for the static and dynamic  $\beta_{HRS}$  values given in Figure 9 also exhibit strong variations while their cumulative averages rapidly converge. The average static  $\beta_{HRS}$  amounts to  $35 \cdot 10^3 \pm 7 \cdot 10^3$  a.u. whereas at 1064 nm it is more than three



times larger and attains  $125 \cdot 10^3 \pm 40 \cdot 10^3$  a.u.. Then, the average static and dynamic DR amount to  $4.88 \pm 0.02$  and  $4.99 \pm 0.01$ , respectively, and are typical of one-dimensional  $\pi$ -conjugated push-pull molecules. The small standard deviation on DR indicates that it is virtually unaffected by the dynamical nature of the system, which can be correlated to the small departures of the di-8-ANEPPS geometry from planarity (vide infra) along the dynamics.

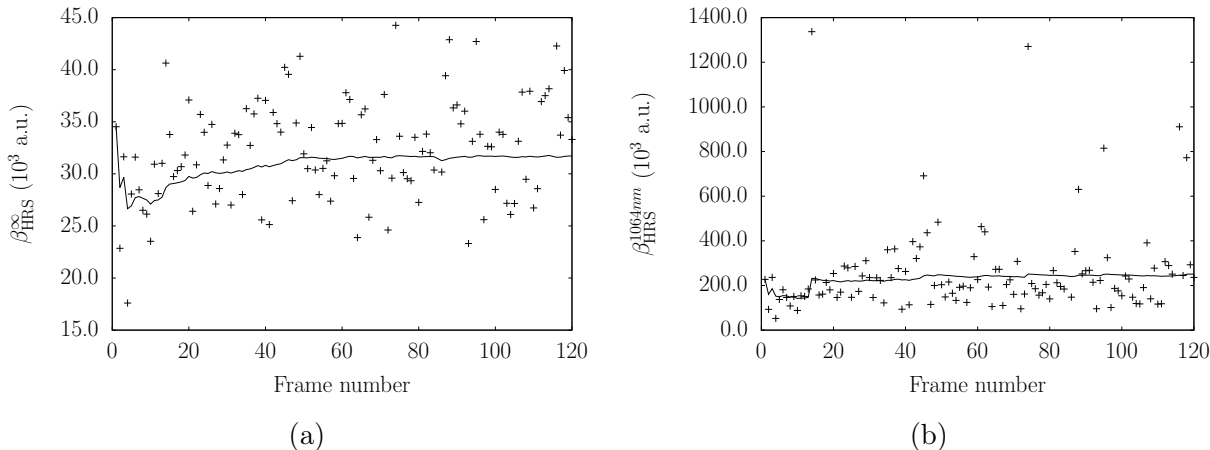


Figure 9: Time evolution of  $\beta_{\text{HRS}}$  ( $10^3$  a.u.) [cross] and its cumulative average [line] for the chromophore surrounded by lipid charges and implicit solvent molecules, as calculated at the IEFPCM/TDDFT/M06-2X/6-311+G\* level. **(a)**  $\lambda = \infty$  and **(b)**  $\lambda = 1064$  nm.

Then, we assess how the dynamical fluctuations in the geometry of the chromophore affect the  $\beta_{\text{HRS}}$  response, focusing in particular on two structural parameters, namely the BLA and the torsional angles  $\theta_{1-3}$ , as defined in Figure 1. Average values and standard deviations are reported in Table 1 while individual values are reported in SI (Figures S13a, S13b, S13c, S13d). Our simulation shows a rather small BLA value of  $0.06 \pm 0.03$  Å, consistent with the DFT geometry used for the FF parametrization, and associated with a strong  $\pi$ -electron delocalization between the donor and acceptor groups. However, about 20 % of the extracted structures exhibit a value outside the "one standard deviation" range (S13a). While some of them can be linked to extreme values of  $\beta_{\text{HRS}}$ , there is no global correlation between the two quantities, as shown in Figure S14a. Moreover, along the simulation, the chromophore deviates from planarity with average out-of-plane distortions of about  $10^\circ$ . Here also extreme

values of the torsional angles are observed, but no correlation is found between  $\beta_{\text{HRS}}$  and any of the investigated dihedrals (see Figure S14b, S14c, and S14d). The lack of correlation between  $\beta_{\text{HRS}}$  and the selected structural parameters indicates that the variations in the NLO response cannot be ascribed to the dynamical fluctuations of a single parameter, but result from global distortions of the molecular structure. Note also that the fluctuations of  $\beta$  values can also be induced by variations of the lipid environment (*i.e.* of the position of the ESP charges surrounding the chromophore), which makes the analysis even more complex.

Table 1: Average values and standard deviations of the BLA ( $\text{\AA}$ ) and of the dihedral angles ( $^\circ$ ) of di-8-ANEPPS calculated over the 120 snapshots extracted from the MD simulation of the ANEPPS@DPPC system.

BLA	$\theta_1$	$\theta_2$	$\theta_3$
$0.06 \pm 0.03$	$171.4 \pm 7.4$	$170.8 \pm 7.1$	$170.4 \pm 6.2$

### 3.4 Environment effects

In this part we analyze the influence of the environment on the NLO responses of the di-8-ANEPPS molecule. In Table 2, the  $\beta_{\text{HRS}}$  and DR values computed for the isolated chromophore or within an implicit water solvent using the DFT or GAFF equilibrium geometries are compared with those calculated on structures extracted from MD simulations. The latter account for the structural dynamics of the system and include the electrostatic environment due to the lipid bilayer. Environmental effects are first highlighted by the large increase of the static  $\beta_{\text{HRS}}$  and the small decrease of the dynamic  $\beta_{\text{HRS}}$  when going from the gas phase to the implicit aqueous phase ( $19 \cdot 10^3$  a.u. versus  $32 \cdot 10^3$  a.u. and  $86 \cdot 10^3$  a.u. versus  $80 \cdot 10^3$  a.u., respectively). This is attributed to the much larger static dielectric constant of water, in comparison to its optical one, which enhances the push-pull character of the chromophore.

Then, moving to the bilayer environment leads to a substantial increase of the dynamic  $\beta_{\text{HRS}}$ , from  $97 \cdot 10^3$  to  $255 \cdot 10^3 \pm 198 \cdot 10^3$  a.u., which highlights both the specific effects of the

environment and of the dynamical behavior. Comparison with additional gas phase  $\beta_{\text{HRS}}$  calculations on the MD structures (Figure S15 in SI) demonstrates that i) in the static limit  $\beta_{\text{HRS}}$  is almost not impacted by structural dynamics ( $\beta_{\text{HRS}} = 19 \cdot 10^3 \pm 2 \cdot 10^3$  a.u. from MD versus  $20 \cdot 10^3$  a.u. for the equilibrium structure) but its variations originate from the polarization due to the surrounding ( $\beta_{\text{HRS}} = 32 \cdot 10^3 \pm 5 \cdot 10^3$  a.u. in the bilayer versus  $19 \cdot 10^3 \pm 2 \cdot 10^3$  a.u. for the isolated chromophore) while ii) at  $\lambda = 1064$  nm, the dynamical behavior plays also a role, which is attributed to the variations of the excitation energies and the presence of near-resonance situations. In fact, when only accounting for the dynamical structural behavior of the chromophore in the bilayer, which anyway is constrained by the DPPC molecules of the bilayer, the dynamic  $\beta_{\text{HRS}}$  increases from  $97 \cdot 10^3$  to  $170 \cdot 10^3 \pm 88 \cdot 10^3$  a.u.. Then, when taking into account the effects of the surrounding on the  $\beta_{\text{HRS}}$  calculations, the latter further increases from  $170 \cdot 10^3 \pm 88 \cdot 10^3$  a.u. to  $255 \cdot 10^3 \pm 198 \cdot 10^3$  a.u.. This second effect is consistent with the modifications of the visible absorption spectrum of the chromophore, which gets broader and exhibits a vibronic-like structure when accounting for the bilayer surrounding (Figure 6). In particular, the latter is responsible for an increased absorption intensity close to the SHG wavelength. Note that the corresponding excitation energy of the chromophore in its rpGAFF ground state equilibrium structure is even larger and attains 2.79 eV, in comparison to the maximum absorption energies of 2.55 and 2.60 eV for the MD structures with and without the bilayer surrounding, respectively. Finally, all DR values are very close to 5, the typical value of one-dimensional push-pull  $\pi$ -conjugated compounds but still, accounting for the bilayer environment reduces strongly the standard deviation (see also Figure S16 in SI).

The striking increase of  $\beta_{\text{HRS}}$  after inclusion of the molecular probe into the lipid bilayer is particularly relevant for second harmonic imaging microscopy because it demonstrates that the surrounding has no detrimental effect on the SHG signal but, on the contrary, it enhances it.

Table 2: Static and dynamic ( $\lambda = 1064\text{nm}$ )  $\beta_{\text{HRS}}$  ( $10^3$  a.u.) and DR of di-8-ANEPPS, calculated at the TDDFT/M06-2X/6-311+G\* level for different structures, either corresponding to (ground state) equilibrium geometries or obtained from MD simulations. In the former case, the  $\beta$  calculations were performed for the isolated molecule or by accounting for solvent (water) effects using the IEFPCM scheme while in the latter case, they were performed with or without accounting for the bilayer environment.

Structures(s)	Geometries <sup>a</sup>	Surrounding <sup>b</sup>	static		dynamic	
			$\beta_{\text{HRS}}$	DR	$\beta_{\text{HRS}}$	DR
Equilibrium	DFT	Isolated	19	4.88	86	4.98
Equilibrium	DFT	IEFPCM	32	4.83	80	4.97
Equilibrium	Standard GAFF	Isolated	9	4.73	31	4.88
Equilibrium	rpGAFF	Isolated	20	4.87	97	4.98
MD	rpGAFF	Isolated	$19 \pm 2$	$4.77 \pm 0.10$	$170 \pm 88$	$4.89 \pm 0.33$
MD	rpGAFF	Bilayer	$32 \pm 5$	$4.88 \pm 0.02$	$255 \pm 198$	$4.99 \pm 0.01$

<sup>a</sup> Level of approximation for optimizing the geometries

<sup>b</sup> Treatment of the surrounding in  $\beta_{\text{HRS}}$  calculations

## 4 Conclusions and outlook

A combined Molecular Dynamics (MD) - Quantum Chemistry (QC) method has been elaborated to investigate the embedding effects on the first hyperpolarizability of an amphiphilic dye in a lipid bilayer. The system consists of di-8-ANEPPS, a typical AminoNaphthylEthenylPyridinium dye in a hydrated 1,2-DiPalmitoylPhosphatidylcholine (DPPC) membrane. The method associates MD simulations where classical force fields are employed with time-dependent density functional theory (TDDFT) calculations to evaluate the first hyperpolarizability of the dye in the charge electric field due to the surrounding lipids. Still, the force field of the dye had to be re-parameterized with respect to DFT geometries in order to account for the strong dependence of the first hyperpolarizability on the molecular geometry. The results highlight i) the huge increase of the first hyperpolarizability of the dye when inserted into the bilayer lipids, ii) the double origin of this enhancement resulting both from the dynamical structural flexibility of the chromophore and from the polarization of the bilayer surrounding, iii) the orientational motions of the dye in the bilayer, of

which the backbone axis is tilted by about  $20 \pm 10^\circ$  with respect to the bilayer normal, iv) the relationship between this tilt angle and  $\beta_{ZZZ}$ , the diagonal  $\beta$  tensor component oriented along the bilayer normal, v) the one-dimensional NLOphore character of the dye, evidenced by depolarization ratios close to 5 and the validity of the two-state model, as well as vi) the difficulty to relate the  $\beta_{ZZZ}$  and  $\beta_{HRS}$  values to single geometrical parameters of the dye like the BLA and the torsion angles.

These huge surrounding effects, which are beneficial for the SHIM technique, call for further investigating the role of the nature of the lipid bilayer on the second-order NLO responses as well as on the variations of these responses as a function of external perturbations, like those created by membrane potentials. In particular, future works will focus on investigating the correlations between the SHG responses and the relative orientations of the chromophore and of the lipid chains. So, focusing on more and more complex systems will finally enable us to closely model real bilayers and to perform comparisons with experimental data.

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## Supporting Information Available

Details on i) the molecular dynamics simulation (FF reparameterization for di-8-ANEPPS, assessment of the validity of the FF for water and the DPPC lipid bilayer) and on ii) the

nonlinear optical properties (structure- $\beta$  relationships, gas phase  $\beta_{\text{HRS}}$  for the MD structures, and DR values for the MD structures). This material is available free of charge via the Internet at <http://pubs.acs.org/>. This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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# Graphical TOC Entry

