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Taking advantage of pathogen diversity and immune priming to minimize disease prevalence in host mixtures: a model

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Abstract Host mixtures are a promising method for agroecological plant disease 12 control. Plant immunity is key to the success of host mixtures against polymorphic 13 pathogen populations. This results from priming-induced cross-protection, whereby 14 plants able to resist infection by specific pathogen genotypes become more 15 resistant to other pathogen genotypes. Strikingly, this phenomenon was thus far 16 absent from mathematical models aiming at designing host mixtures. We 17 developed a model to specifically explore how priming affects the coexistence of 18 two pathogen genotypes in host mixtures composed of two host genotypes, and 19 how it impacts disease prevalence. The main effect of priming is to reduce the 20 coexistence region in the parameter space (due to cross protection), and to 21 generate a singular mixture of resistant/susceptible hosts corresponding to the 22 maximal reduction disease prevalence (in absence of priming, a resistant pure 23 stand is optimal). The epidemiological advantage of host mixtures over a resistant 24 pure stand thus appears as a direct consequence of immune priming. We also 25 showed that there is indirect cross-protection between host genotypes in a mixture. 26 Moreover, the optimal mix prevents the emergence of a resistance-breaking 27 pathogen genotype. Our results highlight the importance of considering immune 28 priming to design optimal and sustainable host mixtures. 29

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Keywords: cultivar mixtures, gene-for-gene, virulence, avirulent, polymorphism, induced resistance, systemic acquired resistance, priming

1 Introduction

Growing awareness of the negative impacts of pesticides on biodiversity and hu-34 man health is increasingly driving the development of more sustainable methods to 35 control plant diseases (Matthews, 2015). Until now, the main alternative to using 36 pesticides against plant pathogens has been to breed genetically resistant plant va-37 rieties or cultivars and deploy them as pure stands (Wolfe and Ceccarelli, 2020). Un-38 der these conditions, pathogen populations often evolve and break down resistance 39 genes after a few years, while a breeding program may require a least a decade 40 (Brown, 2015; Zhan et al., 2015). More lasting control methods will require manag-41 ing genetic resistances in time (Bargués-Ribera and Gokhale, 2020; Nilusmas et al., 42 2020), and/or in space (Fabre et al., 2012, 2015; Djidjou-Demasse et al., 2017; Pa-43 païx et al., 2018; Rimbaud et al., 2018b,a; Rousseau et al., 2019; Watkinson-Powell 44 et al., 2019; Burdon et al., 2020). 45

Host mixtures are one of the possible methods to achieve host diversification in 46 space. They consist in growing several varieties of the same plant species in the 47 same field and at the same time (Wolfe, 1985; Mundt, 2002). Host mixtures are or 48 have been used against plant pathogens in various regions of the world, including 49 Asia, Europe and North America (Finckh et al., 2000; Zhu et al., 2000; Mundt, 2002; 50 Han et al., 2016; Reiss and Drinkwater, 2018). In the Yunnan province of China, a 51 large-scale experiment on rice blast was carried out over two years with thousands 52 of farmers (Zhu et al., 2000). Disease-susceptible rice varieties were planted in two-53 component mixtures with resistant varieties. The effectiveness was such that the 54 fungicide treatments could be stopped in the following year. The overall prevalence 55 (more specifically the percentage of rice stems that were showing symptoms) was 56 reduced by 94% compared to pure stands. Although host mixtures have long been 57 studied both theoretically (Kampmeijer and Zadoks, 1977; Jeger et al., 1981a; Oht-58 suki and Sasaki, 2006) and experimentally (Jeger et al., 1981b; Wolfe, 1985; Zhu 59 et al., 2000; Ben M'Barek et al., 2020), their design remains to be optimized to be 60 more widely and efficiently used (Mikaberidze et al., 2015). 61

Host mixtures are often composed of resistant and susceptible plants in which
 resistance is qualitative, meaning that infection either succeeds or fails (as opposed
 to quantitative resistance, which only partially decreases the success of infection).

The majority of studies of mixtures of quantitatively resistant host genotypes have 65 shown relatively low levels of disease control (Mundt, 2002). By contrast, the Yunnan 66 large-scale experiment mixed qualitatively resistant and susceptible varieties (Zhu 67 et al., 2000). Qualitative resistance is often conferred by major resistance genes 68 and driven by gene-for-gene interactions (Flor, 1971; Milgroom, 2015). Pathogen 69 genotypes can then be classified into two types: the resistance-breaking (virulent) 70 type, which can successfully infect both resistant and susceptible hosts, and the wild 71 (avirulent) type, which can successfully infect susceptible hosts only. In biotrophic 72 pathogens (those feeding on living host tissues), an interaction between a wild-type 73 pathogen and a resistant genotype generally triggers a hypersensitive response: the 74 plant blocks the infection process by killing its own cells around the point of infection. 75 Such a strong defense response may result in the plant being primed against fu-76 ture infections. Immune priming is defined as increased defense to pathogen infec-77 tions following previous exposure to a pathogen or an elicitor (Tidbury et al., 2012). 78 In the plant disease epidemiology literature, and in particular in host mixtures with 79 gene-for-gene interactions, priming corresponds to the elicitation of specific defense 80 responses which can lead to "induced resistance" (Lannou et al., 1995; Tellier and 81 Brown, 2008). These defense responses include in particular the Systemic Acquired 82 Resistance (SAR) (Vallad and Goodman, 2004; Walters et al., 2005; Conrath, 2011; 83 Pastor et al., 2013). SAR is systematically induced when the aerial tissues of resis-84 tant plants are tentatively infected by a wild-type biotrophic pathogen (Ross, 1961; 85 Vlot et al., 2008). This defense mechanism involves the activation of signaling path-86 ways (usually the salicylic acid pathway in the case of biotrophic pathogen infec-87 tions; Pastor et al. (2013)), allowing SAR to be triggered and active in the entire 88 plant (Cameron et al., 1999; Mishina and Zeier, 2007). SAR effects are long-lasting, 89 and confer partial resistance against subsequent attacks by a broad spectrum of 90 pathogens, including viruses, bacteria, and fungi (Verberne et al., 2000; Durrant and 91 Dong, 2004; Mishina and Zeier, 2007). From now on, for the sake of generality and 92 to avoid confusion with constitutive resistance mechanisms, we will use the term 93 "priming" to denote "induced resistance". 94

⁹⁵ The epidemiological effectiveness of mixtures of resistant and susceptible plants ⁹⁶ can be explained by three main mechanisms (Wolfe, 1985; Mikaberidze et al., 2015):

(i) dilution of susceptible hosts in space, (ii) interception of pathogen transmission 97 forms by resistant hosts (so-called "barrier effect"), and (iii) priming of resistant 98 hosts by wild-type pathogen genotypes. For instance, in the Yunnan large-scale 99 experiment, the prevalence was reduced from 20% to 1% on susceptible varieties in 100 mixtures compared to pure stands. This suggests that resistant varieties indirectly 101 protected susceptible varieties at the population scale, as expected from dilution and 102 barrier effects. More surprisingly, the prevalence on resistant varieties significantly 103 decreased from 2.3% to 1% in mixtures compared to pure stands. This means that 104 susceptible varieties somehow protected resistant varieties, which may be due to a 105 priming effect (Zhu et al., 2000). From a broader perspective, priming is considered 106 as a key to the success of host mixtures. This is because the wild-type pathogen 107 produces little or no symptoms on resistant hosts but triggers a long-lasting immune 108 response protecting against subsequent infections from other pathogen genotypes 109 (Lannou et al., 1995; Calonnec et al., 1996). However, priming was thus far mostly 110 absent from mathematical models aiming at designing host mixtures. 111

This theoretical study aims at exploring the impact of priming on the efficiency of 112 host mixtures against plant diseases. By means of mathematical analyses of a par-113 simonious model, we analyzed under which conditions the wild-type and resistance-114 breaking pathogen genotypes can coexist, and whether we can take advantage of 115 pathogen diversity and priming to minimize disease prevalence. In particular, we 116 explored whether susceptible hosts indirectly protect resistant hosts in a mixture, 117 as experimentally observed (Chin and Wolfe, 1984; Zhu et al., 2000), and to what 118 extent this effect is related to immune priming. 119

120 2 Modelling

We consider a mixture of susceptible and resistant plant hosts. Note that in plant pathology, the term "susceptible" means the opposite of resistant. We will stick to this terminology and we will refer to "uninfected" plants when it comes to epidemiology. However, uninfected plants will be denoted as *S*, in accordance with the reference SIR model in epidemiology. We will consider a continuous-time model with continuous planting and replanting best adapted to perennial crops in tropical re-

gions (Madden et al., 2007). More specifically, we consider that the host is present
yearlong and we ignore seasonality in climatic conditions for simplicity. This will allow us to identify the general mechanisms promoting the success (or failure) of host
mixtures, which are expected to hold in annual crops as well.

We define as $0 \le p \le 1$ the proportion of resistant hosts in the mixture; 1-p is the proportion of susceptible hosts. As we are interested in epidemiological dynamics in an agricultural context, p is assumed to be a constant. This parameter is a control variable in the hands of the grower.

We assume the resistance-breaking (RB) pathogen genotype incurs a cost which 135 reduces its transmission rate by a factor $0 \le c \le 1$ relative to the wild-type (WT). 136 The idea of a cost as a counterpart to the ability of breaking a resistance gene 137 originated as a theoretical hypothesis to explain the often observed maintenance 138 of polymorphism in pathogen populations, in both agricultural and wild ecosystems 139 (Vanderplank, 1968; Sasaki, 2000; Gandon et al., 2002; Tellier and Brown, 2007; 140 Brown, 2015). Since then, such a cost has been demonstrated and measured in a 141 number of parasites, including bacteria (Cruz et al., 2000; Wichmann and Bergelson, 142 2004), fungi (Carson, 1998; Thrall and Burdon, 2003; Bahri et al., 2009; Huang et al., 143 2010; Caffier et al., 2010; Bruns et al., 2014; Bousset et al., 2018), viruses (Jenner 144 et al., 2002; Janzac et al., 2010; Fraile et al., 2010; Poulicard et al., 2010; Ishibashi 145 et al., 2012; Khatabi et al., 2013), nematodes (Castagnone-Sereno et al., 2007) and 146 oomycetes (Montarry et al., 2010). 147

We assume that priming reduces the probability that a resistant host is infected by a RB genotype by a factor $0 \le \rho \le 1$ (priming effect). Priming is effective relatively rapidly: a few days after pathogen inoculation in experiments (Ross, 1961; Maleck et al., 2000). Note that priming can be fully effective (Kuć, 1982). In such a case ($\rho = 1$), the RB genotype cannot infect the primed resistant hosts as long as priming is active.

The rate at which priming loses its effectiveness is γ . It corresponds to the inverse of the mean time during which priming is effective. Several studies have shown that SAR can last for several weeks. The original one (Ross, 1961) estimates that it persists for 20 days, but more recent reports show that it can last for weeks to months (Kuć, 1982; Fu and Dong, 2013).

¹⁵⁹ We assume that infected hosts remain infectious until harvest, as is the case for ¹⁶⁰ most plant viruses and many other parasites. The rate at which a host is replaced ¹⁶¹ with an uninfected one (due to harvesting and replanting) is α . It corresponds to the ¹⁶² inverse of the length of the growing period.

We assume that the total host density N is constant. Since the proportion of 163 resistant hosts is p, the total density of resistant host is $N_r = pN$, and the total 164 density of susceptible hosts is $N_s = (1 - p)N$. The density of uninfected susceptible 165 host is S_s . The density of uninfected resistant host is S_r . The density of resistant host 166 primed by the WT is S_r^* . Priming makes resistant hosts partially immune to the RB 167 genotype until its effect vanishes (Fig. 1). The density of susceptible hosts infected 168 by the WT is I_s . The densities of susceptible and resistant hosts infected by the RB 169 genotype are J_s and J_r , respectively. Although susceptible plants may be co-infected 170 by the WT and the RB genotype, we do not allow for coinfections for simplicity. We 171 have $S_s = N_s - I_s - J_s$, and $S_r = N_r - S_r^* - J_r$. The transmission rate of the WT is β . The 172 forces of infection of the WT and RB genotypes are therefore, respectively: $F = \beta I_s$, 173 and $G = (1 - c)\beta(J_s + J_r)$. The model is formulated as a system of ordinary differential 174 equations, in which the dot denotes differentiation with respect to time *t*: 175

$$\begin{split} \dot{I}_{s} &= FS_{s} - \alpha I_{s}, \\ \dot{S}_{r}^{*} &= FS_{r} - (1 - \rho)GS_{r}^{*} - (\gamma + \alpha)S_{r}^{*}, \\ \dot{J}_{s} &= GS_{s} - \alpha J_{s}, \\ \dot{J}_{r} &= GS_{r} + (1 - \rho)GS_{r}^{*} - \alpha J_{r}. \end{split}$$
(1)

¹⁷⁶ We re-scale variables and parameters according to

$$x = \frac{I_s}{N}, \quad m = \frac{S_r^*}{N}, \quad y = \frac{J_s}{N}, \quad z = \frac{J_r}{N}, \quad t^* = \alpha t, \quad R = \frac{\beta N}{\alpha}, \quad \nu = \frac{\gamma + \alpha}{\alpha} \ge 1.$$

Biologically, the parameter *R* corresponds to a basic reproduction number (Madden et al., 2007). This is the mean number of secondary infections produced by a pathogen able to infect *N* hosts with transmission rate β during an average time $1/\alpha$. From now on, we assume R > 1, otherwise the pathogen would go extinct.

¹⁸¹ We define the total prevalence of the disease as the proportion of infected hosts ¹⁸² in the plant population: $P = (I_s + J_s + J_r)/N = x + y + z$. The prevalences of the WT and ¹⁸³ RB genotypes are defined as $P_w = I_s/N = x$ and $P_b = (J_s + J_r)/N = y + z$, respectively. ¹⁸⁴ In addition, we define the total prevalences in susceptible and resistant host sub-¹⁸⁵ populations as $P_s = (I_s + J_s)/N_s = (x + y)/(1 - p)$, and $P_r = J_r/N_r = z/p$, respectively. ¹⁸⁶ Lastly, we define the Area Under Disease Progress Curve (AUDPC) as:

$$A_{UDPC}(t) = \int_0^t P(\tau) d\tau.$$
 (2)

The AUDPC is a standard metric to summarize the epidemic size at time t as it takes into account the speed at which the epidemic spread from time zero to time t(Madden et al., 2007).

¹⁹⁰ Model (1) can be formulated in dimensionless form, where the prime denotes ¹⁹¹ differentiation with respect to t^* :

$$x' = Rx[(1-p)-x-y]-x,$$

$$m' = Rx(p-m-z)-(1-p)(1-c)R(y+z)m-vm,$$

$$y' = (1-c)R(y+z)[(1-p)-x-y]-y,$$

$$z' = (1-c)R(y+z)(p-m-z)+(1-p)(1-c)R(y+z)m-z.$$

(3)

¹⁹² Model (3) has four biologically possible equilibria:

• (0, 0, 0, 0): the disease (pathogen)-free equilibrium. The prevalence is P = 0. • $(\hat{x}, \hat{m}, 0, 0)$: the WT-only equilibrium, which is biologically possible if and only if R(1-p) > 1. The associated prevalence is $P_{WE} = (1-p) - 1/R$.

• $(0, 0, \hat{y}, \hat{z})$: the RB-only equilibrium, which is biologically possible if and only if R(1-c) > 1. The associated prevalence is $P_{RB} = 1 - 1/[R(1-c)]$.

• The coexistence equilibrium $(\bar{x}, \bar{m}, \bar{y}, \bar{z})$ is biologically possible if and only if

$$\left\{c > p \text{ and } p(1-c)R - c > 0 \text{ and } \rho < \frac{[p(1-c)R - c][(1-p)R + \nu - 1]}{[R(1-p) - 1](1-c)Rp}\right\}, \quad (4)$$

see Supplementary Information (Section S2).

Biologically, R(1-p) and R(1-c) are the basic reproduction numbers of the WT and RB genotypes, respectively. The above set of conditions implies R(1-p) > 1 and R(1-c) > 1, meaning that for the coexistence equilibrium to be biologically possible, both the WT and the RB genotypes must be able to invade when alone.

²⁰⁴ The associated prevalence is

$$P_{CE} = \frac{\left[(1-p)R + \nu - 1\right]\left[(1-c)R - 1\right] - \rho(1-c)R[R(1-p) - 1]}{(1-c)R[(1-\rho)(1-\rho)R + \nu - 1]}.$$
 (5)

205 **3 Results**

Conditions for polymorphism persistence in the pathogen population. Fig. 2 206 shows the outcome of the competition for susceptible hosts between the WT and the 207 RB genotype in the parameter space (p, c), for representative values of R, ρ and ν 208 (see Fig. S1 for additional parameter sets). The polymorphism region is delimited 209 by the conditions (4) of biological feasibility of the coexistence equilibrium. In that 210 region, we proved (Supplementary Section S4) that the coexistence equilibrium is 211 globally asymptotically stable, meaning that the dynamics converge to this equi-212 librium regardless the initial conditions. This implies that complex dynamics such 213 as cycles or chaos cannot occur in this model. Therefore, polymorphism is stable 214 in the pathogen population. Both the WT and the RB genotype can persist without 215 excluding each other, although they compete for susceptible hosts. 216

Disease prevalence as a function of the proportion of resistant hosts 217 and priming. In Figure 3B, p^* is a threshold value separating the WT-only region 218 from the coexistence (middle) region (i.e., the solution of $P_{CE} = P_{WT}$). For $p < p^*$, the 219 WT competitively excludes the RB genotype (Fig. 2). In this region, the prevalence 220 $(P_{WT} = 1 - p - 1/R)$ decreases linearly with respect to p. For p > c, the RB genotype 221 competitively excludes the WT (Fig. 2). Since susceptible and resistant hosts are 222 equally susceptible to the RB genotype in the RB-only region, the disease prevalence 223 in the latter region is a constant $(P_{RB} = 1 - 1/[R(1-c)])$ whenever c .224

In the absence of priming (specific case $\rho = 0$, dashed line), the prevalence in the coexistence (middle) region is equal to the prevalence in the RB-only region (i.e. $P_{CE} = P_{RB}$). If $\rho = 0$, we define $\hat{p} = c/[R(1-c)]$ such that $P_{WT} = P_{CE} = P_{RB}$. The WT and the RB genotypes actually coexist for all $p \in (\hat{p}, c)$. In the absence of priming, susceptible and resistant hosts are equally susceptible from the RB genotype perspective (in the coexistence and RB-only regions). Consequently, the growth rate of the RB genotype only depends on available hosts (1 - P), regardless of whether the WT persists or not. This is why the equilibrium prevalence (*P*) is a constant in the regions where the RB genotype persists.

Taking priming into account ($\rho > 0$, solid line), the coexistence interval is reduced as the WT outcompetes the RB genotype for all $p \in (\hat{p}, p^*)$. In the WT-only region, the prevalence decreases down to p^* . In the adjacent coexistence region, the prevalence increases up to that in the RB-only region. The prevalence is then not a constant in the coexistence region, since uninfected and primed hosts are not equally susceptible from the RB genotype perspective and their equilibrium ratio depends on the proportion of resistant plants p.

As a result, priming (solid line) generates an optimal intermediate proportion of 241 resistant host p^* that minimizes the disease prevalence P. In the absence of priming 242 (dashed line), any $p \in (\hat{p}, 1)$ minimizes the prevalence, meaning that host mixtures 243 will not perform better than a pure stand of resistant hosts. The existence of an 244 optimal host mixture is therefore a direct consequence of priming. Note that for a 245 p just below p^* , the RB genotype cannot invade. Decreasing R and/or increasing ρ 246 increases the optimal proportion p^* , which is a critical threshold to prevent the RB 247 genotype emergence (supplementary Section S1). 248

Transient dynamics and optimal mixtures in terms of both prevalence 249 and AUDPC. Figure 4 shows the prevalence P and the Area Under Disease Progress 250 Curve (A_{UDPC}) over time as a function of the proportion of resistant hosts p, with 251 and without priming. Initially ($0 \le t \le 3$ growing periods in Fig. 4 the prevalence 252 and AUDPC are the same regardless whether priming occurs ($\rho > 0$) or not ($\rho =$ 253 0). In both cases, the optimal strategy (minimizing both P and A_{UDPC}) is to use 254 resistant hosts only (p = 1). This is because in the initial phase of the epidemic, the 255 probability that a RB genotype enters into contact with a primed host is very small. 256 Mathematically, this translates into the largest eigenvalue of the linearized system 257 evaluated at disease-free equilibrium being independent of parameters associated 258 with priming (ρ and ν), see supplementary section S2.3.1. After this initial phase (t > 1259 3 growing periods in Fig. 4), the prevalence of the disease becomes sufficiently large 260 for priming to have a significant effect on the epidemiological dynamics. Therefore, 261

the optimal strategy is to mix resistant and susceptible hosts. The optimal mixture is approximately the same in terms of both prevalence and AUDPC. As time goes on, the optimal mix converges towards p^* (the optimal proportion of resistant plants at equilibrium). Overall, these numerical explorations show that our results hold well before reaching equilibrium, provided the epidemiological dynamics have passed an initial phase.

Protection of resistant hosts in the mixture by priming. Figure 5 shows the cumulated prevalences in susceptible and resistant hosts (P_s and P_r) as a function of the proportion of resistant hosts p, with and without priming.

Let us start by considering the case with no priming ($\rho = 0$). Starting from the 271 RB-edge of the coexistence region and decreasing p decreases the prevalence of the 272 RB genotype P_b (Fig. 5A). This is because the RB genotype actually competes with 273 the WT for susceptible hosts but incurs a cost. As a result, the total prevalence in the 274 resistant host (P_r) decreases as well (Fig. 5A). Therefore, there is indirect protection 275 of resistant hosts by susceptible hosts in the coexistence region. Since resistant 276 hosts indirectly protect susceptible hosts by being unavailable for the WT, there is 277 then indirect cross-protection between susceptible and resistant hosts. 278

Taking priming into account ($\rho > 0$) does not change the prevalence in the sus-279 ceptible host P_s (Fig. 5B). By contrast, the slope of P_r is steeper and occurs on a 280 narrower interval, corresponding to a smaller coexistence region. That happens be-281 cause presence of the WT leads to a certain proportion of the resistant population 282 to be primed and hence less conducive to the RB genotype. By priming, the WT 283 can decrease host availability of the RB genotype and outcompete it. Therefore, 284 the WT outcompetes the RB genotype faster with the help of priming as p is de-285 creased, which creates a narrower coexistence region. Likewise, the prevalences of 286 the WT (P_w) and of the RB genotype (P_b) are qualitatively the same as in the case 287 with no priming, even though their slopes are steeper in the smaller coexistence 288 region (Fig. 3B). Overall, priming has no qualitative effect on the prevalences in re-289 sistant and susceptible hosts. Host mixtures generally decrease the prevalences in 290 susceptible and resistant hosts compared to pure stands (p = 0 and p = 1, resp.), 291 regardless of whether priming occurs or not. Quantitatively however, priming ex-292 acerbates the effect of mixtures regarding the prevalence in resistant hosts as the 293

²⁹⁴ decrease is sharper than in the absence of priming.

295 4 Discussion

Developing new or preexisting methods based on bio-diversification forms the basics of agroecology (Altieri, 2018); host mixtures are one of these. Indeed, mixtures involving at least one host with gene-for-gene resistance have shown a strong ability to decrease disease prevalence compared to pure stands (Garrett and Mundt, 1999) and are a promising alternative to the unstable dynamics commonly observed in gene for gene systems (the famous "boom and bust" cycles; Wolfe (1985)).

Our study not only confirmed the theoretical effectiveness of genetic host mix-302 tures against plant diseases, but allowed us to clearly disentangle the role of priming 303 in this performance. In particular, we showed that the time during which priming 304 is effective is a key parameter for mixture performance (Fig. S1F and Section S1). 305 However, few references document its value. Our study encourages experiments 306 designed to uncover priming duration in a variety of pathosystems. A key feature of 307 our model is that the epidemiological dynamics necessarily converges to an equilib-308 rium state (Supplementary Section S4). As a corollary, complex dynamics such as 309 cycles or chaos are impossible in our plant epidemic model with immune priming. 310 This observation contrasts with a previous study reporting cycles in an animal epi-311 demic model with immune priming (Tidbury et al., 2012). From an epidemiological 312 standpoint, immune priming in animals is comparable to immune priming in plants. 313 Tidbury et al. (2012) considered a Susceptible-Primed-Infected model, and showed 314 that cycles can occur if and only if infected hosts bear fecundity costs. Priming does 315 not promote cycles unless host population dynamics are taken into account, which 316 is consistent with our agricultural model, where we observed a globally stable equi-317 librium in a fixed host population. We showed that disease prevalence at equilibrium 318 was minimized for an intermediate proportion of resistant hosts, which highlights 319 the benefits that can be made by promoting host diversity over growing pure stands 320 of either susceptible or resistant hosts. This proportion depends upon the cost of 321 resistance-breaking, but also on the effectiveness of priming. 322

Moreover, the optimal proportion of resistant plants is also a critical threshold to

prevent the emergence of the resistance-breaking pathogen genotype, and hence 324 resistance breakdown. Growing resistant varieties as pure stands increases the se-325 lection pressure on pathogen populations and thereby promotes the emergence of 326 resistance-breaking pathogen genotypes. Once resistance is broken, the resistance-327 breaking pathogen genotype may invade and even outcompete the WT, (e.g. Flor, 328 1971; Wolfe, 1985). To control the associated epidemics, breeders then select for 329 new resistance genes and the cycle repeats until the genetic resource is depleted. 330 This "boom and bust" cycle is thus often referred to as an "arms race" co-evolutionary 331 pattern (Tellier and Brown, 2007). By decreasing the disease prevalence on the re-332 sistant component of the mixture, priming should actually protect resistant hosts 333 during the epidemic, and increase the durability of the resistance gene. Unfortu-334 nately, there is at the moment little experimental evidence to confirm this theoreti-335 cal prediction, as few large scale experiments exist (Finckh et al., 2000), and fewer 336 still with pathogen genotypic data. The stability of polymorphism is a central issue in 337 host-parasite coevolution (Hamilton et al., 1990). It has been mainly addressed with 338 population genetics models (Brown and Tellier, 2011), which focus on the frequen-339 cies of alleles in the host and parasite populations. Stable polymorphism requires 340 negative direct frequency dependent selection (ndFDS), meaning that the frequency 341 of an allele affects its own fitness (Tellier and Brown, 2007). A mechanism pro-342 moting ndFDS is intraspecific competition, namely negative density-dependence. In 343 population genetics models, density-dependence is not considered explicitly. Mod-344 els combining epidemiology (i.e. demography) and population genetics checked the 345 stability of polymorphism by numerical simulations (Gandon et al., 2002; Tellier and 346 Brown, 2009; Zivković et al., 2019). Although our model addressed polymorphism in 347 the pathogen population only, its stability was demonstrated mathematically. Con-348 sistently with a previous study (Tellier and Brown, 2008), priming indeed promotes 349 the fixation of the wild-type and narrows the parameter range for coexistence. 350

We also showed that susceptible hosts indirectly protect resistant hosts, which was less expected than the opposite effect. In the Yunnan province large-scale experiment (Zhu et al., 2000), the disease prevalence in resistant varieties significantly and unexpectedly decreased in mixtures compared to pure stands. Disease reduction on resistant hosts was interpreted as a possible effect of priming (Zhu et al.,

2000). Our study confirmed the potential effect of priming but also showed that 356 priming is not necessary to explain this observation. The key point is that compe-357 tition between the wild-type and the resistance-breaking genotype for susceptible 358 hosts generates apparent cross-protection between resistant and susceptible hosts. 359 This is because increasing the density of susceptible hosts promotes the wild-type, 360 which outcompetes the RB genotype on susceptible hosts and in that way protects 361 resistant hosts. Therefore, although resistant hosts are protected by susceptible 362 hosts even in the absence of priming, priming exacerbates indirect cross-protection. 363

The multiple effects of priming show that priming (provided it occurs) has the po-364 tential to significantly improve mixture performance. The fact that priming is more 365 likely in gene-for-gene systems (implying a hyper-sensitive response) than in quan-366 titatively resistant cultivars may explain why most mixtures and multilines are de-367 signed with resistant components possessing major, race-specific resistance. How-368 ever, priming also occurs in cultivars with quantitative resistance, and may explain in 369 part why mixtures involving this type of resistance also work (Andrivon et al., 2003). 370 As a first step towards understanding the combined effects of genetic resistance 371 and immune priming against plant diseases, we assumed a two-component mixture 372 of a susceptible and a resistant host. Future research may consider a larger num-373 ber of components in the mixture (Mikaberidze et al., 2015). To begin with, a mix-374 ture of two distinct resistance genes with two single-resistance-breaking pathogen 375 genotypes could be considered. This way, priming would occur in two directions 376 (both host genotypes could be primed) and it is likely that the benefits in terms of 377 prevalence would be even greater. Although the presence of an additional pathogen 378 genotype capable of breaking both resistances (a "super-race") might challenge this 379 optimistic view (Groth, 1976; Lannou and Mundt, 1997; Carson, 2009), both sim-380 ulation and experimental evidence suggest that this risk might actually be limited 381 (Barrett and Wolfe, 1978; Lannou et al., 2005; Xu, 2012) and strongly depends on 382 resistance-breaking costs, i.e. relative fitness penalties on non-resistant hosts. Since 383 priming actually reduces the fitness advantage of resistance breaking by decreasing 384 the performance of these pathogen genotypes on the resistant host, it is expected 385 to decrease the risk of emergence of such super races in complex mixtures. Explor-386 ing the stochastic emergence of resistant-breaking genotypes (Bourget et al., 2013; 387

³⁸⁸ Chabas et al., 2018) would offer additional insights into the sustainability of host ³⁸⁹ mixtures in agriculture.

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⁶²⁹ Table 1. Acronyms, model variables and parameters

Acronym	Definition
WT	Wild-type
RB	Resistance-breaking
AUDPC	Area Under Disease Progress Curve
Parameter	Definition
р	proportion of resistant hosts in the mixture: $p \in [0, 1]$
С	resistance-breaking cost: $c \in [0, 1]$
ρ	priming effect: $\rho \in [0, 1]$
γ	priming loss rate: $\gamma \ge 0$
α	harvest and replanting rate: $\alpha > 0$
β	pathogen transmission rate: $\beta > 0$
Ν	total host population density: $N > 0$
Nr	resistant host population density: $N_r = pN$
Ns	susceptible host population density: $N_s = (1 - p)N$
R	basic reproduction number: $R = \beta N/\alpha > 1$
ν	dimensionless parameter: $\nu = (\gamma + \alpha)/\alpha \ge 1$
Variable	Definition
t	time: $t \ge 0$
I_{S}	density of WT-infected susceptible hosts
S_r^*	density of primed resistant hosts
Js	density of RB-infected susceptible hosts
Jr	density of RB-infected resistant hosts
S _s	density of uninfected susceptible hosts: $S_s = N_s - I_s - J_s$
Sr	density of uninfected resistant hosts: $S_r = N_r - S_r^* - J_r$
F	force of infection of the WT genotype: $F = \beta I_s$
G	force of infection of the RB genotype: $G = (1 - c)\beta(J_s + J_r)$
x	proportion of WT-infected susceptible hosts: $x = I_s/N$
т	proportion of primed resistant hosts: $m = S_r^* / N$
У	proportion of RB-infected susceptible hosts: $y = J_s/N$
Z	proportion of RB-infected resistant hosts: $z = J_r/N$
Р	total prevalence of infected hosts: $P = (I_s + J_s + J_r)/N = x + y + z$
P_{WT}	total prevalence at the WT-Only equilibrium: $P_{WT} = (1-p) - 1/R$
P _{RB}	total prevalence at the RB-Only equilibrium: $P_{RB} = 1 - 1/[R(1-c)]$
P _{CE}	total prevalence at the Coexistence equilibrium
Ps	total prevalence in susceptible hosts: $P_s = (I_s + J_s)/N_s = (x + y)/(1 - p)$
Pr	total prevalence in resistant hosts: $P_r = J_r/N_r = z/p$
Pw	prevalence of the WT genotype: $P_w = I_s/N = x$
P_b	prevalence of the RB genotype: $P_b = (J_s + J_r)/N = y + z$
A _{UDPC}	Area Under Disease Progress Curve: $A_{UDPC}(t) = \int_0^t P(\tau) d\tau$

Table 1

630 Figure 1



Figure 1: Simplified compartmental diagram for the epidemiological model described by equations (1). The model notations and their definitions are listed in Table 1.

631 Figure 2



Figure 2: Epidemiological outcomes in the parameter space (p, c). Other parameter values: R = 5, $\rho = 0.5$, and $\nu = 1$. The model notations and their definitions are listed in Table 1.

632 Figure 3



Figure 3: The total prevalence of the disease (*P*) as a function of the proportion of resistant hosts *p*. Panel A shows the baseline without priming ($\rho = 0$): all *p* such that $\hat{\rho} \le p \le 1$ equally minimize the disease prevalence. Panel B shows the effect of priming ($\rho = 0.8$): there is a single optimal fraction of resistant host p^* . Other parameter values: c = 0.5, R = 5, and $\nu = 1$. The model notations and their definitions are listed in Table 1. The crossing lines in the coexistence region represent the prevalences of the WT (P_w : dotted line) and RB genotype (P_b : dashed line).



Figure 4: Prevalence of the disease (*P*) and Area Under the Disease Progress Curve (AUDPC) over time and as a function of *p*, without priming (left column: $\rho = 0$) and with priming (right column: $\rho = 0.8$). Other parameter values: R = 5, c = 0.5 and $\nu = 1$. The initial conditions are $I_s = 0.01(1 - p)/2$, $S_r^* = 0.01p/2$, $J_s = 0.01(1 - p)/2$ and $J_r = 0.01p/2$.

634 Figure 5



Figure 5: Prevalences in the host population as a function of the proportion of resistant hosts (p). The black lines represent the total prevalence (P). The green and red lines represent the prevalences in susceptible (P_s) and resistant hosts (P_r), respectively. The dotted vertical lines represent transitions from the WT-only, coexistence, and RB-only regions (Fig. 3). Panel A considers no priming ($\rho = 0$), and Panel B takes priming into account ($\rho = 0.8$). Other parameter values: c = 0.5, R = 5, and $\nu = 1$. The model notations and their definitions are listed in Table 1.