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# A novel approach to the study of extensional flow-induced crystallization

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## Abstract

A systematic experimental investigation of the coupling between the extensional flow and the crystallisation of a commercial grade polypropylene is presented. A modified Sentmanat extensional device allows one to generate a uniaxial extensional flow in the absence of gravity sagging and monitoring its effect on the kinetics of crystallisation via in-situ visualisation of the sample in polarised light. As compared to the shear induced crystallisation, a three fold increase of the kinetics of the extension induced crystallisation is found. The study is complemented by a post mortem analysis of the crystalline morphology by small angle *X* ray scattering (*SAXS*) and wide angle *X* ray scattering (*WAXS*).

*Keywords:* extensional flow, flow induced crystallisation, modified SER fixture

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82			

83 1. Introduction

84 Polymer forming processes such as injection molding, extrusion or film blowing  
85 are widely used in the plastic industry. During the forming process, the  
86 plastic undergoes a phase change which takes place under different conditions  
87 of pressure, flow and cooling rate. The processing conditions (pressure Angelloz  
88 et al. (2000), cooling rate S. A. E. Boyer and Haudin (2010), S. A. E. Boyer  
89 et al. (2012) and flow Lagasse and Maxwell (1976)Wang et al. (2016)) impact the  
90 crystallization phenomenon in terms of its kinetics and crystalline microstructure.  
91 The phenomenon of flow-induced crystallization (FIC) has been widely studied in  
92 the literature Eder et al. (1990) McHugh (1995), Koscher and Fulchiron (2002).  
93 Beyond a critical shear rate the shear-induced crystallization (SIC) shows an  
94 acceleration of the kinetics manifested through an increase in the number of nuclei  
95 Lagasse and Maxwell (1976) Koscher and Fulchiron (2002), Hadinata et al.  
96 (2005). The flow also impacts the microstructure by orienting it along its direction  
97 which sometimes leads to the formation of a highly oriented microstructure  
98 called shish-kebab Janeschitz-Kriegl and Ratajski (2005) Wang et al. (2016),  
99 Roozmond et al. (2016).

100 In the case of polypropylene which is a polymorphic polymer the flow may  
101 also lead to the formation of the  $\beta$ -phase in addition to the classical  $\alpha$ -phase,  
102 Koscher (2002). In addition, some authors show that the processing conditions

103 accelerate the associated crystallization kinetics Sentmanat et al. (2010), Der-  
104 akhshandeh and Hatzikiriakos (2012), White et al. (2012). These kinetics are of  
105 primary importance for the process simulation. Thus, appropriate models need  
106 to be developed and used in order to describe various processing settings. The  
107 modification of the microstructure and the kinetics of crystallization under flow  
108 need to be accounted for in order to simulate polymer processing operations.  
109 While crystallization in quiescent conditions is easily modelled by the well known  
110 formalism of Avrami Avrami (1939), Avrami (1940), Avrami (1941), the flow  
111 induced crystallization remains elusive and new models need to be developed.  
112 Schneider Schneider et al. (1988) suggests taking into account the flow from a  
113 differential approach or the work of Peters Roozmond et al. (2016) or that of  
114 Haudin-Chenot Boyer et al. (2011) may explain the presence of different crys-  
115 talline phases.

116 The extension is the dominant flow during many polymer processing opera-  
117 tions including melt blowing, extrusion and fibre spinning, Muke et al. (2001),  
118 Sentmanat (2004), Stary et al. (2015). Unlike shear flows, extensional flows are  
119 clearly more complex and their laboratory implementation is rather non trivial.  
120 Quite often, one can not generate a purely extensional flow but a mixture of shear  
121 and extension. Several devices used to study the response of polymeric mate-  
122 rials to extensional flow were then developed: the Rheometrics Melt Rheome-  
123 ter (RME) by Meissner Meissner and Hostettler (1994), the Münstedt Tensile  
124 Rheometer (MTR) by Münstedt Münstedt and Laun (1979), or the Sentmanat  
125 Extensional Rheometer (SER) Sentmanat (2004) and the Filament Stretching  
126 Rheometer (FISER) Bach et al. (2002), Szabo and McKinley (2003), Ianniru-  
127 berto et al. (2020). Among these devices, only the SER tool is commercially  
128 available and can be easily mounted on any rotational rheometer.

129 As opposed to the case of shear flow, there exist very few studies of the cou-  
130 pling between crystallisation and the extensional flow. This might be explained  
131 by the fact that in order to study the crystallisation a number of modifications of  
132 the extensional devices are needed. As an example, Bischoff White and cowork-  
133 ers have studied the extension induced crystallisation using a modified *FISER*  
134 device, White et al. (2012). They observe an acceleration of kinetics of crystalli-  
135 sation for extensional rates exceeding a critical value. Other studies I propose the  
136 construction of new extensional setups inspired by the SER, Liu et al. (2011),  
137 Liu et al. (2014), Tian et al. (2011), Tian et al. (2013). A heating system using  
138 ionic liquid combined with optically transparent walls allows an in-situ analysis  
139 of the crystalline microstructure during extension. However, these studies do not  
140 address the coupling before the microstructure and the kinetics of crystallisation.

141 There exist few studies dealing with this coupling Hadinata et al. (2007),  
142 Chellamuthu et al. (2011), Derakhshandeh and Hatzikiriakos (2012), White et al.  
143 (2012), Liu et al. (2011), Liu et al. (2014), Tian et al. (2011), Tian et al.

144 (2013). Their studies show a mainly *post-mortem* or *in-situ* characterization of  
145 the crystalline microstructure using classical *DSC* and X-ray scattering tech-  
146 niques (*SAXS* and *WAXS*). The extension generates a strong orientation of  
147 the crystalline lamellae in the direction of flow and an increase in the number of  
148 nuclei. However, a quantitative assessment of the kinetics of crystallization and  
149 its relation to the properties of the extensional flow is largely absent in the litera-  
150 ture. Such an assessment is of paramount importance for developing a theoretical  
151 framework for the EIC and its further numerical implementation. The present  
152 study proposes a systematic experimental characterization of the crystallization  
153 induced by extensional flow in both an *in-situ* and *post-mortem* fashion. The use  
154 of polarized light *in-situ* characterization tool allows one to assess the character-  
155 istic time scales associated to the crystallization and to compare them with the  
156 times of semi-crystallization obtained in either quiescent conditions or during a  
157 shear flow. The characterization of the microstructure and of the induced crystal  
158 phases is also performed.

159 The paper is organised as follows. The choice of the working polymeric  
160 material and the description of the experimental methods are detailed in Sec.  
161 2. The experimental results are presented in Sec. 3. The crystallisation in  
162 quiescent conditions is discussed in Sec. 3.1. The shear induced crystallisation  
163 is investigated in Sec. 3.2. The extension induced crystallisation is studied in  
164 Sec. 3.3. The assessment of the extension induced crystallisation via classical  
165 measurements of the transient elongational viscosity is presented in Sec. 3.3.1.  
166 An *in-situ* characterisation of the crystallisation process by means of polarised light  
167 imaging of the sample undergoing an extensional deformation is presented in Sec.  
168 3.3.2. A systematic investigation of the impact of the extensional conditions on  
169 the crystalline micro-structure by means of wide angle X-ray scattering (*WAXS*)  
170 and small angle X-ray scattering performed post mortem is presented in Sec.  
171 3.3.3. The paper closes with a summary of the main findings and of the central  
172 conclusions of the study, Sec. 4.

## 173 2. Experimental methods

### 174 2.1. Choice of the polymeric material

175 The study of the extension induced crystallization was performed using a com-  
176 mercial grade isotactic polypropylene (*iPP*) from Lyondell-Basell (*MOPLEN HP556E*).  
177 The choice of this linear *iPP* was mainly motivated by its high molecular weight  
178 of  $M_w = 527 \text{ kg/mol}$  which translates into a good sensitivity to extensional  
179 flow. In addition to this, this material is known to exhibit a relatively mod-  
180 est strain hardening behaviour under extensional flow which allows one to relate  
181 an apparent increase of the transient extensional viscosity to the flow induced  
182 crystallization. The polydispersity index is 5.3 Burghellea et al. (2012) and the

183 quiescent melting temperature is  $T_m = 164^\circ C$ .

## 184 2.2. Rheological characterisation in shear

185 The linear viscoelastic (*LVE*) properties of the *iPP* were determined using  
 186 a strain-controlled rheometer Ares *G2* (TA Instruments) equipped with a 25 mm  
 187 parallel plate geometry. Dynamic frequency sweeps were performed (100 to  
 188 0.01 rad/s,  $\gamma=5\%$ ) under nitrogen atmosphere at several temperatures ranging  
 189 from 190°C to 230°C. Measurements of the storage modulus performed various  
 190 temperatures are illustrated in Fig. 1.

191 The master curve was determined at 200°C from an Arrhenius plot of the  
 192 vertical shift factor  $a_T$  versus the inverse temperature  $1/T$ . In order to extract the  
 193 activation energy from these measurements, a reference temperature  $T = 200^\circ C$   
 194 has been chosen and the vertical shift factors  $a_T$  required to overlap the rest  
 195 of the data sets onto the reference one have been obtained. The temperature  
 196 dependence of the shift factors is illustrated in Fig. 2. The activation energy  
 197 was found by fitting the dependence of the vertical shift factors on temperature  
 198 by an Arrhenius law (the full line in Fig. 2)  $E_a = 45.59 \text{ kJ/mol}$  (Fig. 2) which  
 199 is in a fair agreement with results previously reported in the literature Koscher  
 200 and Fulchiron (2002).

201 Last, the master curve was fitted by a *N*-mode ( $N = 5$ ) Maxwell model (Eq.  
 202 2).

$$G'(\omega) = \sum_{i=1}^N G_i \frac{(\omega\lambda_i)^2}{1+(\omega\lambda_i)^2} \quad (1)$$

$$G''(\omega) = \sum_{i=1}^N G_i \frac{\omega\lambda_i}{1+(\omega\lambda_i)^2} \quad (2)$$

203 The parameters relaxation moduli  $G_i$  and and the relaxation times  $\lambda_i$  are listed  
 204 in Table 1. The average relaxation time given by  $\lambda_w = \frac{\sum G_i \lambda_i^2}{\sum G_i \lambda_i}$  was  $\lambda_w = 19.3 \text{ s}$   
 205 at 200°C.

$\lambda_i$ [s]	$G_i$ [Pa]
$(2.8 \pm 2 \cdot 10^{-2}) \cdot 10^{-2}$	$(7.1 \pm 0.45) \cdot 10^4 \text{ Pa}$
$(2.5 \pm 5 \cdot 10^{-2}) \cdot 10^{-1}$	$(2.7 \pm 0.33) \cdot 10^4 \text{ Pa}$
$(1.9 \pm 0.24)$	$(5.9 \pm 0.4) \cdot 10^3 \text{ Pa}$
$(1.4 \pm 0.2) \cdot 10^1$	$(6.9 \pm 1.2) \cdot 10^2 \text{ Pa}$
$(1.1 \pm 0.5) \cdot 10^2$	$(3.8 + 0.8) \cdot 10^1 \text{ Pa}$

Table 1: Relaxation spectrum for *iPP* at 200 °C.



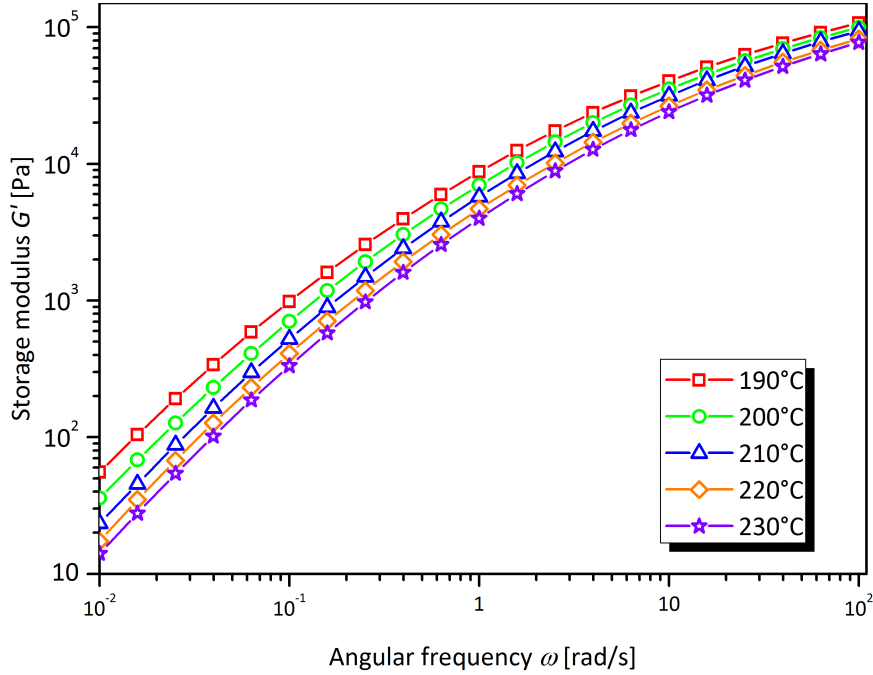


Figure 1: Dependence of the storage modulus  $G'$  on the oscillation frequency measured for several temperatures  $T$  indicated in the insert.

### 206 2.3. Quiescent crystallization (QC)

207 The kinetics of crystallization in quiescent conditions were investigated on a  
 208 sample of approximately  $7.5 \text{ mg}$  using Differential Scanning Calorimetry (DSC)  
 209 (model Q200 from TA Instruments). The experimental protocol is presented Fig.  
 210 3. On this device, the achievable cooling speed is approximately  $70 \text{ K/min}$ .  
 211 Thus, thanks to DSC, the crystallization kinetics of PP can be obtained in a  
 212 range of temperatures between  $130$  and  $142^\circ\text{C}$  because crystallization should  
 213 not appear during cooling.

214 The relative crystallinity is determined by integrating the heat flux according  
 215 to Eq. 3 where  $\phi$  is the heat flux released at a given time and  $\Delta H_{tot}$  the totale  
 216 enthalpy of crystallization. The half-crystallization time  $t_{1/2}$  was obtained for a  
 217 relative crystallinity  $\alpha = 0.5$ . The initial time  $t = 0$  was defined as the moment  
 218 where the isothermal condition was reached.

$$\alpha(t) = \frac{\int_0^t \phi(\tau) d\tau}{\Delta H_{tot}} \quad (3)$$

219 To assess the kinetics of crystallization at lower temperatures, Fast Scanning  
 220 Calorimetry (FSC) Adamovsky et al. (2003) Zhuravlev and Schick (2010a) Zhuravlev  
 221 and Schick (2010b) is performed in a nitrogen gas atmosphere using a Flash DSC1

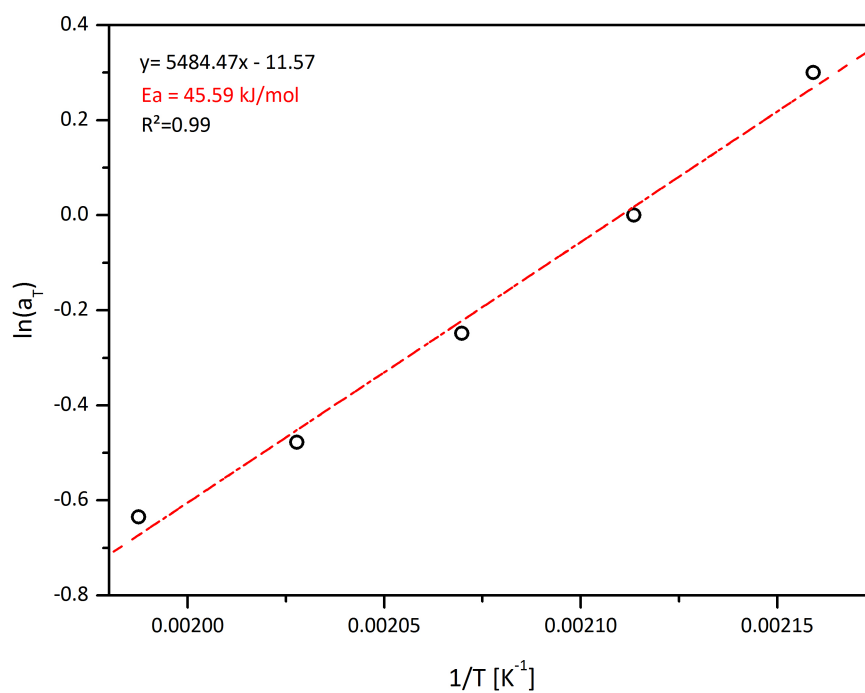


Figure 2: Dependence of the shift factor on temperature. The full line is a linear fit function  $\ln(a_T) = a(\pm\delta a)\frac{1}{T} + b(\pm\delta b)$ .

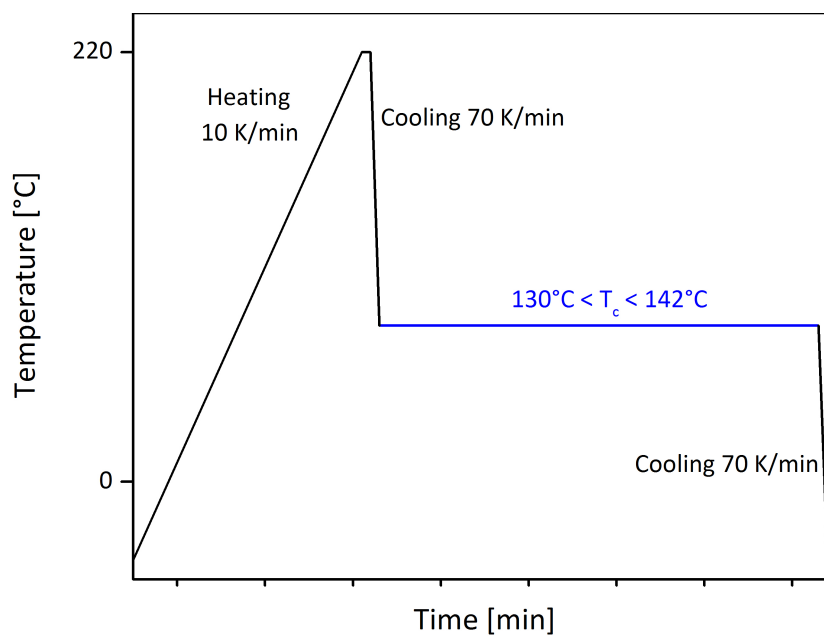


Figure 3: Diagram of the temperature cycle for DSC apparatus

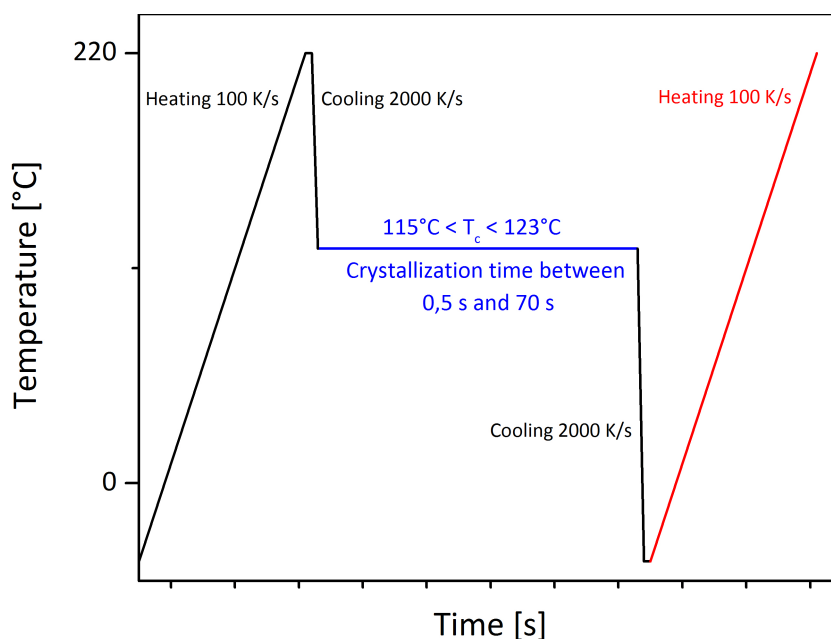


Figure 4: Experimental protocol for the discrete method in FSC

222 from Mettler Toledo. The mass of the sample is roughly  $1 \mu\text{g}$ . FSC achieves  
 223 much faster cooling rates than DSC and enables to impose a PP quenching rate  
 224 (cooling) of  $2000 \text{ K/s}$ . Thus, the kinetics of crystallization of PP can be obtained  
 225 according to the same protocol as in DSC but ranging from  $5$  and  $110^\circ\text{C}$ . When  
 226 the heat flux is too low, the discrete method described by Tardif et al. (2014)  
 227 is used. It consists on repeating the isothermal crystallization while changing  
 228 crystallization time. The melting enthalpy determined during the heating step  
 229 is equivalent to the measure of the crystallization enthalpy during the previous  
 230 isothermal step (Fig. 4).

231 Thanks to the DSC and FSC measurements, the kinetics of crystallization  
 232 of PP can be studied by determining the half-crystallization time over a large  
 233 temperature range.

#### 234 2.4. Shear Induced crystallization (SIC)

235 To study shear flow, the classical Janeschitz-Kriegl thermal protocol was used  
 236 (Fig. 5).

237 The shear induced crystallization (SIC) was studied using the same rheome-  
 238 ter used for the rheological characterisation in shear. The polymer pellets were  
 239 first maintained at  $220^\circ\text{C}$  for  $5 \text{ min}$  in a  $8 \text{ mm}$  diameter cone-plate  $0.1 \text{ rad}$   
 240 geometry with a gap equal to  $0.051 \text{ mm}$ . Then the sample was cooled down as  
 241 fast as possible to the expected temperature (isothermal condition). For each

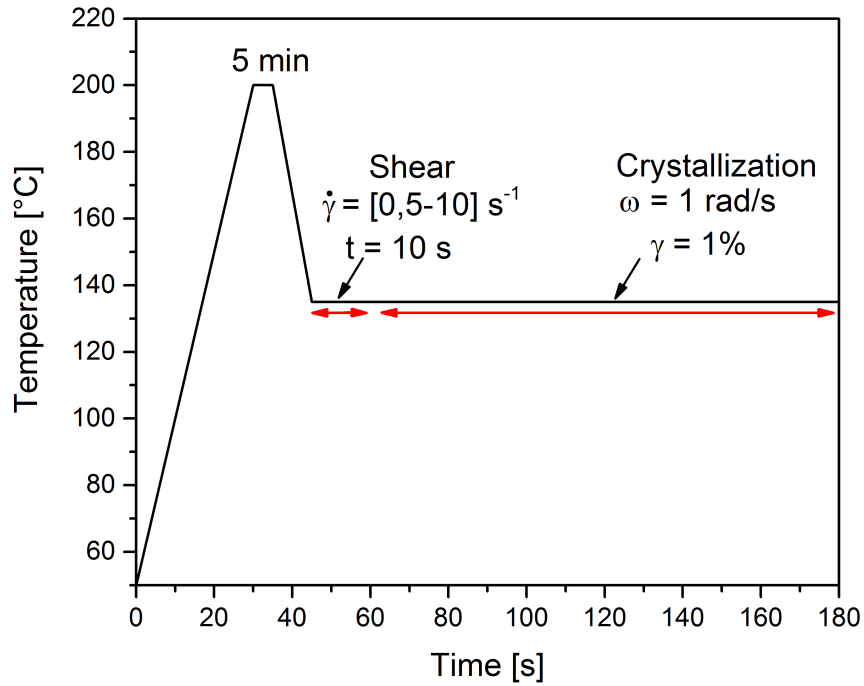


Figure 5: Diagram of the temperature cycle

242 temperature, a shear rate between  $0.5 s^{-1}$  to  $10 s^{-1}$  was applied for  $t_s = 10 s$ ,  
 243 a time which is high enough to have a significant effect on the orientation of  
 244 the macromolecular chains (Koscher (2002), Karpp-Pfordt (2006)). The crystal-  
 245 lization was tracked through the response of the sample to an oscillatory strain  
 246 with a frequency  $\omega = 1 rad/s$  ( $\gamma=1\%$ ). The experiments were carried on under  
 247 nitrogen gas to avoid thermal oxydation of the iPP. The half-crystallization time  
 248 was estimated according to the semi-empirical rule proposed by Koscher (2002)  
 249 to:

$$\log G'_{t_{1/2}} = \frac{\log(G'_{max}) + \log(G'_{min})}{2} \quad (4)$$

250 The gap is adjusted in real time to avoid the experimental artefacts induced  
 251 by the shrinkage of the sample during the crystallization process. The onset time  
 252 of crystallization  $t_{onset}$  was defined by the time corresponding to an increase of  
 253 the storage modulus.

### 254 2.5. Extensional-flow Induced crystallization (EIC)

255 A Sentmanat Extensional Rheometer (*SER*) Sentmanat (2004) device was  
 256 installed on a Haake Mars III rheometer (from ThermoFischer Scientific) to study  
 257 the extensional-flow Induced crystallization (*EIC*). It consists on two rotating

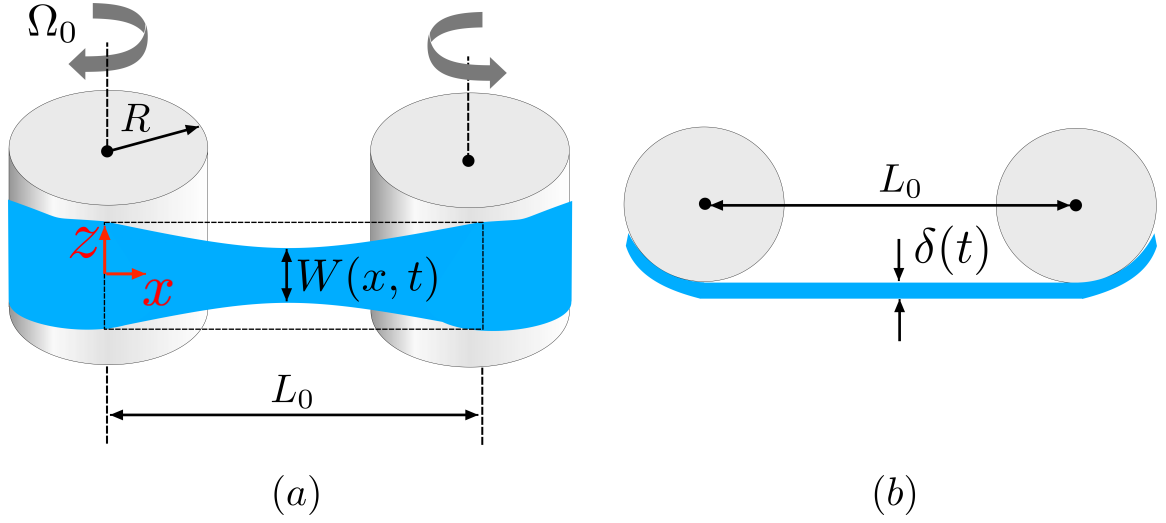


Figure 6: Schematic view of the Sentmanat extensional rheometer (SER) during operation

258 cylindrical drums of radius  $R$  separated by a distance  $L_0$ . They were inter-coupled  
 259 by a system of gears such as the rotation of the cylinder connected to the shaft  
 260 triggers the rotation of the second cylinder at a same angular speed but in an  
 261 opposite direction (Fig. 6). For all the experiments reported herein a rectangular  
 262 sample with dimensions  $18 \text{ mm} \times 10 \text{ mm} \times 0.50 \text{ mm}$  was used. The *iPP* sample  
 263 was moulded by compression at  $200^\circ\text{C}$  for 5 minutes to erase the thermal history  
 264 and then cooled down at  $140^\circ\text{C}$ . The sample was then fixed on the outer surface  
 265 of the rotating cylinders with two thin stainless steel clamps. For a constant  
 266 angular speed of the shaft of the rotational rheometer,  $\Omega$ , the Hencky strain rate  
 267 experienced by the sample is expressed according to Eq.5):

$$\dot{\epsilon}_H = \frac{2\Omega R}{L_0} \quad (5)$$

268 The transient uniaxial extensional viscosity is defined as  $\eta_e^+ = \frac{\sigma_e^+(t)}{\dot{\epsilon}_H}$  where  
 269 the transient extensional stress  $\sigma_e^+$  is defined as:

$$\sigma_e^+(t) = \frac{M}{2RA(t)\exp(-\dot{\epsilon}_H t)} \quad (6)$$

270 In the equation above  $M$  is the torque measured by the rotational rheometer,  
 271  $A(t)$  is the cross-sectional area of the sample as a function of temperature:

$$A(t) = A_0 \exp\left(\frac{\rho_s}{\rho_m(T)}\right)^{2/3} \quad (7)$$

272 where  $\rho_s$  is the sample density at solid state ( $845 \text{ kg/m}^3$  at  $20^\circ\text{C}$ ),  $\rho_m(T)$  is the  
273 melt density at temperature  $T$  (determined from PvT diagram; as an example,  
274 at  $200^\circ\text{C}$   $\rho_m(T)$  is equal to  $748 \text{ kg/m}^3$ ), and  $A_0$  is the initial cross-sectional  
275 area of the sample. The total deformation of the sample is limited to a maximum  
276 Hencky strain of  $\epsilon_H^{max} = 4$  because the fixing clamps come into contact after  
277 three-quarter turn. The measurements were carried out at Hencky strain rates  
278 from  $0.05 \text{ s}^{-1}$  to  $10 \text{ s}^{-1}$ .

279 The Sentmanat device was initially designed for the characterisation of elas-  
280 tomers rather than of polymer melts Sentmanat (2004). When used with molten  
281 polymeric samples there are two important drawbacks to be aware of. First, the  
282 samples are heated using a convection oven mounted on the rotational rheome-  
283 ter. Thus, the homogeneity of the temperature distribution of the sample may  
284 be problematic and, for the commercially available ovens, practically impossible  
285 to assess quantitatively. Second, as the sample is held in air, gravity sagging  
286 effects bias the extensional tests particularly in a range of low rates of extension  
287 Sentmanat (2004)Liu et al. (2011). As the walls of the oven are not optically  
288 transparent, the geometric homogeneity of the sample can not be visually as-  
289 sessed.

290 To circumvent these practical limitations, a custom-made oil bath to sub-  
291 merge the Sentmanat device was fitted to the rotational rheometer (Fig. 7).  
292 Through the bath with a volume  $65 \text{ mL}$  thermally stabilised, silicon oil is con-  
293 tinuously circulated which plays three important roles. First, this addition allows  
294 one to ensure a homogeneous distribution of temperature within the sample.  
295 Second, as the density of the silicon oil is close to that of the molten polymeric  
296 sample, the gravity sagging effects are practically eliminated. **This experimental**  
297 **trick was already used by other authors (Liu et al. (2011), Münstedt et Laun**  
298 **(1979)) and the question of possible interaction between the liquid and the spec-**  
299 **imen was never addressed. The use of the liquid with a density similar to the**  
300 **sample one is the only way to avoid the gravity sagging effect.**

301 Third and foremost, the front/back glass windows of the oil bath allow an  
302 *in-situ* analysis of the extension induced crystallization by means of polarised  
303 light imaging of the sample during extension. The temperature cycle performed  
304 in SIC is retained (Fig. 5), however the cooling rate remains slower ( $10 \text{ K/min}$ )  
305 in the case of EIC measurements due to the thermoregulation capability of the  
306 oil bath.

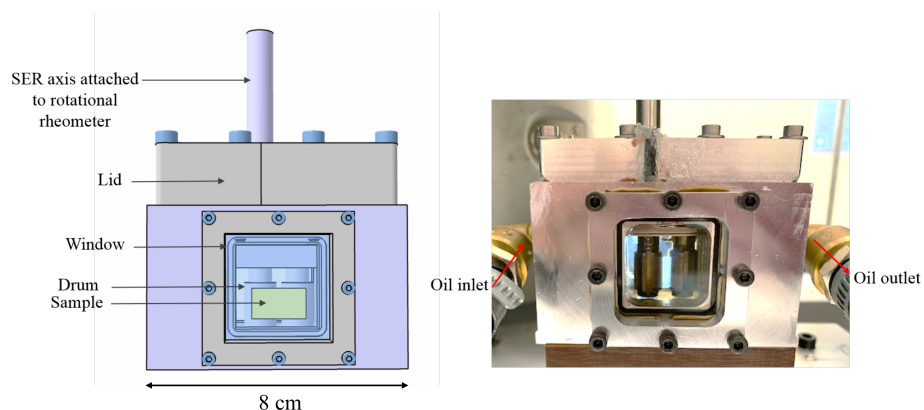


Figure 7: Schematic and real view of the Sentmanat extensile rheometer (SER) during operation

307 2.6. *In-situ and post-mortem quantitative assessment of the extension induced*  
 308 *crystallization*

309 2.6.1. *In-situ visualization with polarised light*

310 An *in-situ* quantitative assessment of the extension induced crystallization is  
 311 made by direct imaging of the sample in polarised light during the extensional  
 312 process. The optical arrangement is inspired by Lamberti et al. (2004) and  
 313 Oukaci-Boukellal (2010) and is schematically illustrated in Fig. 8 and may be  
 314 described as follows. The sample is illuminated from behind through the back  
 315 glass window of the oil bath by a white light source. Prior to traversing the  
 316 sample, the white light is passed through a polarizer ( $90^\circ$ ,  $400 - 700 \text{ nm}$ ) and  
 317 a quarter-wave plate. The light is collected through the front glass window of  
 318 the oil bath by an analyzer ( $0^\circ$ ,  $400 - 700 \text{ nm}$ ) oriented at  $\pi/2$  with respect to  
 319 the polarisation axis of the polarizer and projected onto the sensor of a digital  
 320 charged coupled device equipped with an appropriate focusing lens.

321 The kinetics of crystallization is quantitatively assessed by monitoring the  
 322 intensity of the light received by the video camera (Chameleon, Flir) during the  
 323 extensional flow experiment. The image acquisition process is synchronised with  
 324 the data acquisition one performed by the rotational rheometer. The recording  
 325 continues after the extensional flow. The light intensity is determined by a home-  
 326 made matlab code (image processing toolbox) allowing the average of the gray  
 327 levels determined in a fixed area of the image between the cylinders of the SER.

328 2.6.2. *X-ray measurements*

329 SAXS experiments were performed on a high resolution X-ray spectrometer  
 330 Xeuss 2.0 from Xenocs company equipped with a 30W microfocus sealed tube  
 331 (Cu target ( $\lambda = 1.5418 \text{ \AA}$ )). The X-ray spot size on sample is about 1mm and

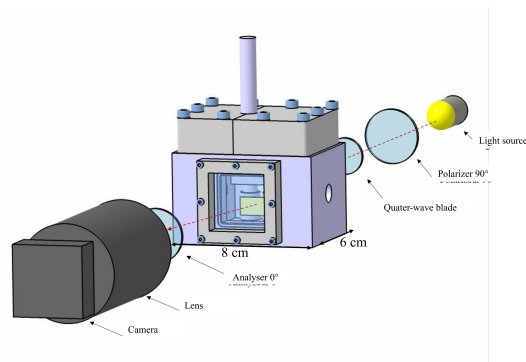


Figure 8: Schematic representation of the polarised light imaging system.

332 the incident flux of  $6 \cdot 10^6$  photons per second. The SAXS patterns were record  
 333 with a 2D high intensity low noise detector (Pilatus 300K hybrid pixel) placed  
 334 at a distance of 2373 mm from the sample, perpendicular to the X-ray beam  
 335 (pixel size= 172  $\mu m$ ). The size of X-ray beam on sample is about 1 mm. The  
 336 2D SAXS patterns were recorded post-mortem after removing the extended  
 337 sample from the SER device in a solid state. 1D SAXS profiles were extracted  
 338 using FIT2D software within a q-range 0.08 – 1.7  $nm^{-1}$ . and 1D profiles were  
 339 corrected from background, incident flux, sample thickness (0.3 mm), acquisition  
 340 time (5 hours) and solid angle of detection  $\Omega$ . The long period of the crystalline  
 341 lamellae is called  $L_p$  and was estimated by  $L_p = \frac{2\pi}{q_{max}}$  Angeloz et al. (2000).

342 Wide Angle-X-ray diffraction (WAXS) was performed at center of Difrac-  
 343 tométrie Henri Longchambon (UCBL, Université Lyon 1) using a Oxford system  
 344 with a copper source (wavelength of 1.54 Å ). In order to determine the crys-  
 345 talline orientation, measurements were performed in transmission. The tube  
 346 supplies 40 eV and 30 mA. The exposure time was 390 s. The data were ana-  
 347 lyzed using the software Datasqueeze (1D integration and plots as a function of  
 348 azimuthal angle).

### 349 3. Experimental results, discussion

#### 350 3.1. Crystallization in quiescent conditions

351 The crystallization of the PP has been widely studied in quiescent conditions  
 352 Koscher and Fulchiron (2002), De Santis et al. (2006), Mileva et al. (2012), Schawe  
 353 (2015), Schawe et al. (2017) using DSC and FSC. We describe in the follow-  
 354 ing similar measurements performed with PP samples crystallised in quiescent  
 355 conditions. Following the protocols detailed in the previous section, the half-  
 356 crystallization time versus temperature is plotted in Fig. 9.

357 According to Schawe (2015) and Schawe et al. (2017), *iPP* exhibits a bi-  
 358 modal kinetics with a phase transition at 65°C. The  $\alpha$ -phase, the most stable



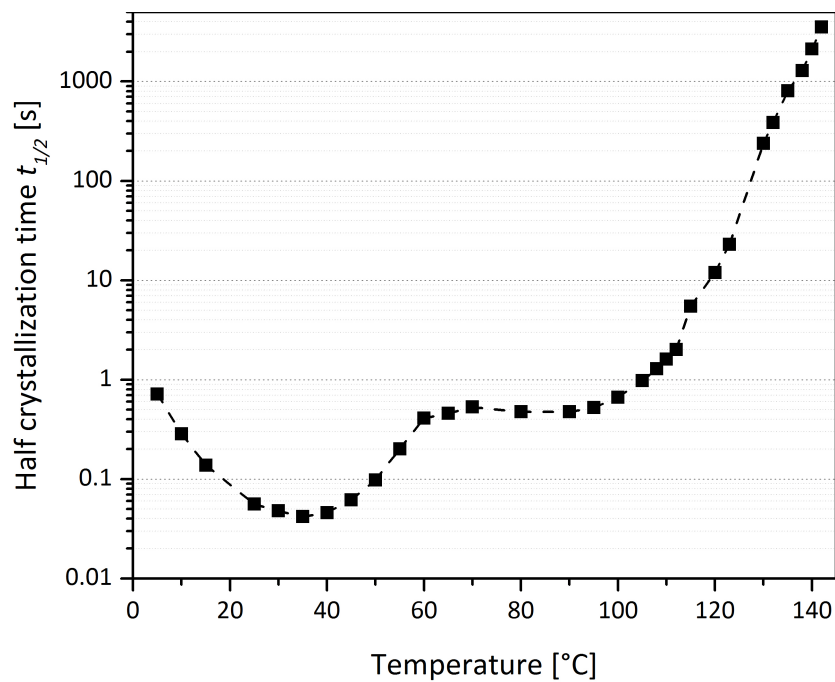


Figure 9: Half-crystallization time in quiescent condition obtain by DSC and Flash DSC

359 phase, is formed for low or medium supercooling at a crystallization temperature  
 360 higher than  $70^{\circ}\text{C}$  and for which the kinetics becomes faster. Below this limit, a  
 361 mesophase is formed from a homogeneous nucleation mechanism and the half-  
 362 crystallization time is increasing due to a low chain mobility. Unlike the  $\alpha$ -phase,  
 363 the mesophase is much less ordered or is considered even disordered by some  
 364 authors Schawe (2015).

### 365 3.2. Shear-induced crystallization

366 The experimental results of the study of shear-induced crystallization are  
 367 summarised in Fig. 10. The horizontal axis is divided in two distinct parts.  
 368 The first one corresponds to an imposed shear for 10 s. The stress level (blue  
 369 curves) increases with the shear rates. During the second stage of the tests after  
 370 10 s (red curves), apart from the curve labelled as *emph*"without shear", one  
 371 observes first a drop in the storage modulus. This can be explained in terms of a  
 372 relaxation of the polymer chains. Then, the curve of the storage modulus exhibits  
 373 a shape which is qualitatively similar to the curve describing the evolution of the  
 374 relative crystallinity, since it follows a sigmoidal evolution. The onset time of  
 375 crystallization and the half-crystallization time can be determined from this part  
 376 of the curve. Non-shear experiment was performed showing a good agreement  
 377 with the half-crystallization times obtained in *DSC* in quiescent condition.

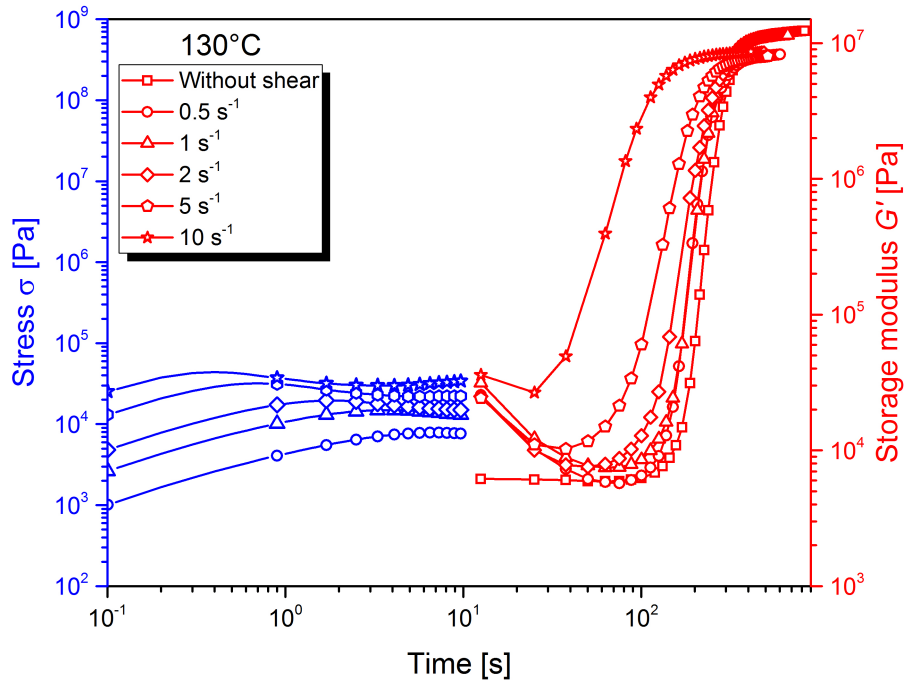


Figure 10: Isothermal crystallization monitored by the evolution of the elastic modulus after a pre-shearing step of 10 s performed for several imposed rates of shear and at  $T_c = 130^\circ\text{C}$ .

378 The dependence of the half-crystallization time on the rate of shear plotted  
 379 in Fig. 11 exhibits a plateau region for the quiescent half-crystallization time  
 380 (positioned at  $\dot{\gamma} = 10^{-3} \text{ s}^{-1}$ ) up to about  $1 \text{ s}^{-1}$  followed by a decrease of the half-  
 381 crystallization time independently on the temperature. One notes that a critical  
 382 shear rate exists beyond which the kinetics of crystallization is accelerated,  $\dot{\gamma}_c \approx$   
 383  $4 \text{ s}^{-1}$ . This behaviour is well known in the literature basing on the onset time of  
 384 the storage modulus increase for a polyethylene by Lagasse and Maxwell (1976)  
 385 or a poly-1-butene by Hadinata et al. (2005). Koscher and Fulchiron (2002) and  
 386 Naudy et al. (2007) made the same observation for the half-crystallization time  
 387 for a *PP* and a polyamide respectively.

### 388 3.3. Crystallization under extensional flow

#### 389 3.3.1. Measurements of the transient extensional viscosity

390 Fig. 12 presents the extensional viscosity at  $135^\circ\text{C}$  for different Hencky  
 391 strain rates and a Hencky strain of  $\epsilon_H = 4$ . For each rate of extension, the  
 392 extensional viscosity changes in a similar way, until a sudden increase in the  
 393 extensional viscosity called strain-hardening. The Trouton's law represents the  
 394 linear viscoelastic response for uniaxial extension and is given by  $\eta_e^+ = 3\eta_0$  where  
 395  $\eta_0$  is the viscosity obtained from rotational rheometry in the newtonian domain.

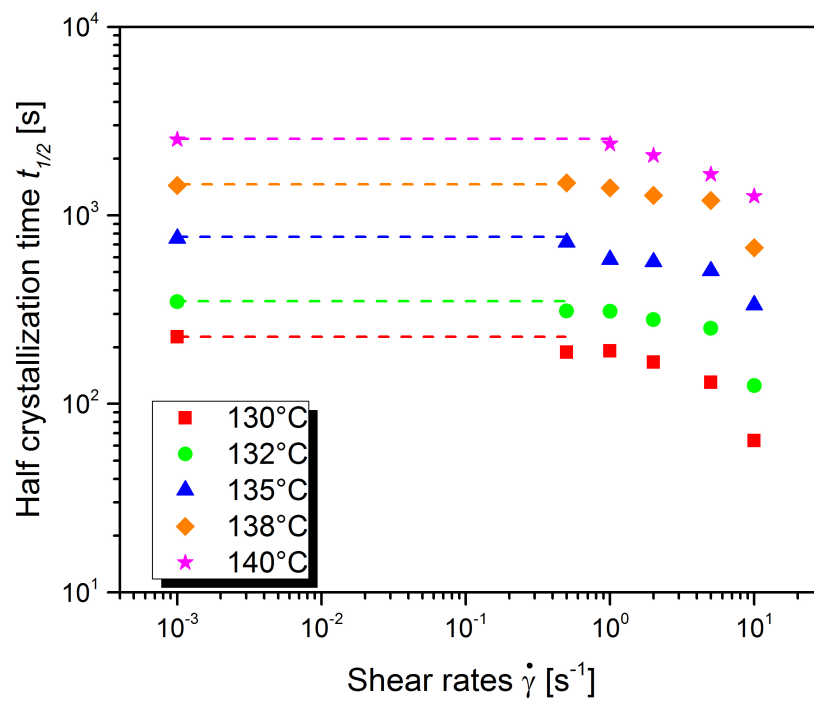


Figure 11: Half time crystallization for different pre-shear rates ( $t_s = 10$  s) and temperatures. The dotted lines illustrate a plateau over which, the crystallization is not affected by the pre-shear rate.

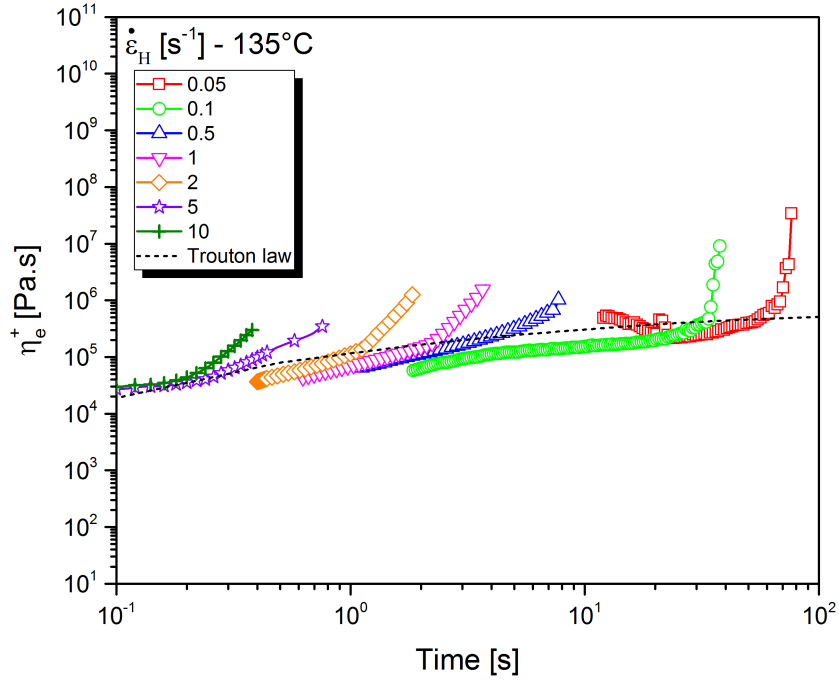


Figure 12: Extensional viscosity at 135 °C for different Hencky strain rates, the black dashed line represents the linear viscoelastic response obtained by Trouton's law

396 For temperatures below the melting temperature, it is not possible to carry out a  
 397 frequency sweep over long times because crystallization would appear. Therefore,  
 398 the Trouton's law is calculated according to the Maxwell model ( $n_i = G_i \lambda_i$ ),  
 399 whose parameters are given in Table 1:

$$\eta_e^+ = 3 \sum_{i=1}^N n_i \left[ 1 - \exp\left(-\frac{t}{\lambda_i}\right) \right] \quad (8)$$

400 A strain-hardening behaviour is observed for all the rates of extension  $\dot{\epsilon}_H$   
 401 investigated. The intensity of strain-hardening increases when the rates of ex-  
 402 tension decreases. This behaviour depends on the molar mass of the molten,  
 403 polymer as highlighted by Stadler et al. (2009).

404 Hadinata et al. (2007) suggests to plot the onset times of strain-hardening  
 405 increase as a function of the extension rate to quantify the presence of crystal-  
 406 lization. The onset of strain-hardening (or crystallization) is determined when  
 407 the extensional viscosity deviates from the Trouton's law. Fig. 13 compares the  
 408 onset time at 200°C and 135°C versus the Hencky strain rates and the Weis-  
 409 senberg number ( $Wi = \dot{\epsilon}_H \times \lambda_w$ ). The onset time  $t_{onset}$  in quiescent conditions  
 410 indicated in the figure is the time of the beginning of crystallisation obtained by

411 *DSC* during an isothermal step at  $135^{\circ}\text{C}$ . At  $200^{\circ}\text{C}$ , *iPP* is completely molten  
412 while at  $135^{\circ}\text{C}$  crystallization may occur.  $t_{onset}$  decreases linearly with the in-  
413 crease of the extension rate. A slight effect of the temperature on the onset times  
414 may be observed only for the smallest Hencky strain rates (lower than  $1\text{ s}^{-1}$ )  
415 we have explored which correspond to the longest experimental time. Based  
416 on this macroscopic observation, one could assume that crystallization occurs  
417 for numbers  $Wi$  smaller than 122 at  $135^{\circ}\text{C}$ . For low Hencky strain rates, the  
418 macromolecular chains are stretched longer and remain longer in the oil bath,  
419 inducing a possible effect of crystallization on the extensional viscosity for these  
420 extension rates in addition to the strain-hardening. However, this analysis can  
421 be discussed because crystallization could also start well before the apparition of  
422 strain-hardening. On the contrary, for higher rates of extension, the measure-  
423 ment time is decreasing, so it is possible that it is not long enough to recover an  
424 effect of the crystallisation on the extensional viscosity. With the *SER* tool the  
425 tensile force can only be recorded during the flow, not after.

426 Finally, it is clear that it is not possible to conclude definitely about crystal-  
427 lization, based on the extensional viscosity curves only. At this point, we believe  
428 that the crystallisation occurs after the elongation.

### 429 3.3.2. *In situ* characterization by using polarized light

430 The oil bath and the polarised light imaging system allow one to follow the  
431 crystallization *in-situ* by monitoring the intensity of the flow induced birefringence  
432 *FIB*. The measurements are carried out at  $133^{\circ}\text{C}$  up to a final Hencky strain  
433 of 2.5 so as not to obstruct the optical path between the cylindrical drums. The  
434 maximal rate of extension achievable with our rheometer is  $\dot{\epsilon}_H^{max} = 2\text{ s}^{-1}$ . The  
435 measured light intensity  $I$  of each subsequent image is measured in a fix rectangle  
436 (red dotted lines in Fig. 14) and normalised by the light intensity  $I_0$  measured  
437 without the sample. Images of the sample acquired during and after extension  
438 and the light intensity are illustrated in Fig. 14 and Fig. 15, respectively.

439 First, an increase of the light intensity is observed during the application of  
440 the extensional flow. This can be explained first by the decrease of the thickness  
441 of the sample ( $0.51\text{ mm}$  to  $0.30\text{ mm}$ ) during the test. However, this behaviour  
442 was also observed during the *SIC* experiments. The extensional flow aligns  
443 the polymer chains, thus creating an optically anisotropic behaviour named flow  
444 induced birefringence (*FIB*). Moreover, the light intensity increases more and  
445 more with the Hencky strain rate. Indeed, the extension mechanism is exponential  
446 in time unlike shear. The extensional flow therefore aligns the chains more, which  
447 promotes nucleation, or even strongly oriented microstructures.

448 At the end of the extensional process, the light intensity decreases due to  
449 the relaxation of the macromolecular chains. At this point, it is interesting to  
450 correlate this result with the extensional viscosity behaviour; if the crystalliza-

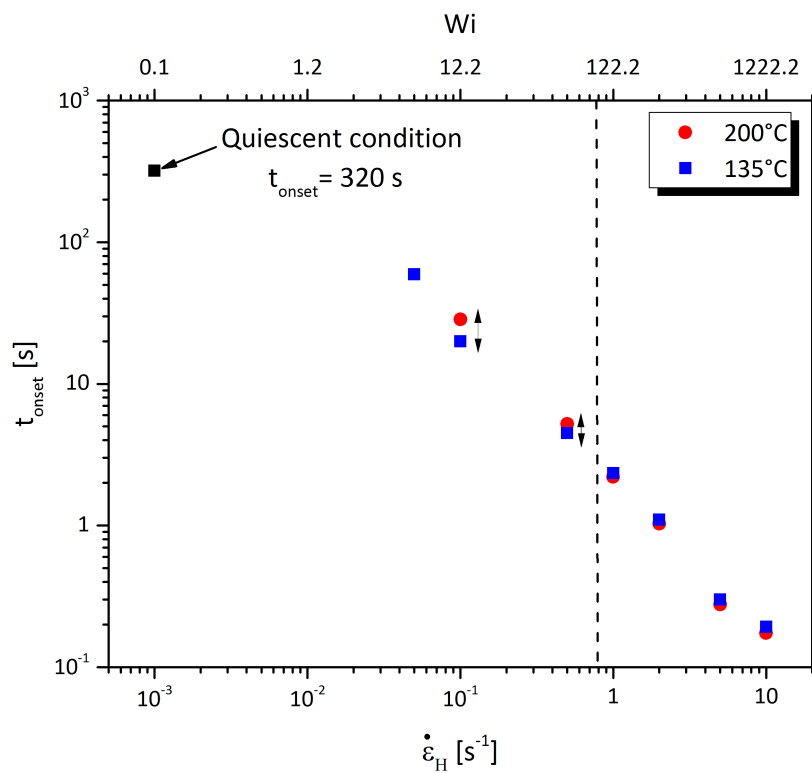


Figure 13: Onset time measured at 200 °C (circles) and 135 °C (squares) for different Hencky strains. The corresponding Weissenberg numbers are displayed on the top axis.

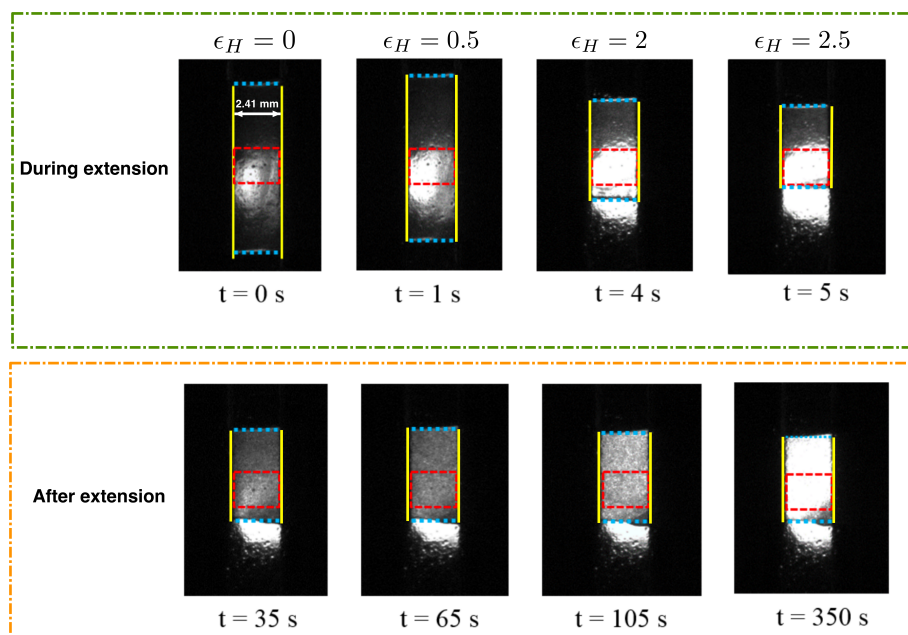


Figure 14: Light intensity during and after the extensional flow at  $133\text{ }^{\circ}\text{C}$ ,  $\dot{\epsilon}_H = 0.5\text{ s}^{-1}$ ,  $\epsilon_H = 2.5$ . The vertical yellow lines mark the positions of the rotating drums and the blue horizontal dashed lines mark the top/bottom edges of the sample.

451 tion started during extension (or close to the end of extension), the light intensity  
 452 would continue to increase when stopping the elongational flow, but not decrease.  
 453 Therefore, the difference of onset times previously mentioned is not related to  
 454 the crystallization phenomenon. Note that the intensity does not come back to  
 455 the initial value, suggesting that all PP macromolecules do not relax (which is  
 456 confirmed by the mean relaxation time at  $133^{\circ}\text{C}$ ,  $\lambda_w = 130.6\text{ s}$ ) Then, the crys-  
 457 tallization is observed through the increase of the light intensity (birefringence  
 458 induced by the semi-crystalline microstructure) strongly up to a plateau. The  
 459 value of the plateau depends on the Hencky strain rate: the higher the Hencky  
 460 strain rate is higher the plateau value is. This may be attributed to a larger ori-  
 461 entation of the microstructure and an increase of crystalline fraction. The slope of  
 462 the light intensity increases with the Hencky strain rate, which suggests a faster  
 463 kinetics of crystallization. However, the onset time seems to be not affected. **The**  
 464 **next question relates to understanding how to quantitatively assess the kinetics**  
 465 **of crystallization using such measurements and compare the results to those ob-**  
 466 **tained for the case of a shear flow. To do so, we use the assumption that  $I/I_0$**   
 467 **is proportional to the relative crystallinity. We mention at this point that we**  
 468 **have performed no calibration measurements with a single layer of spherulites**  
 469 **(in our case, a thickness of the sample equal to  $0.3\text{ mm}$  certainly accommo-**  
 470 **dates several such layers). Yet, Koscher and Fulchiron have demonstrated that**

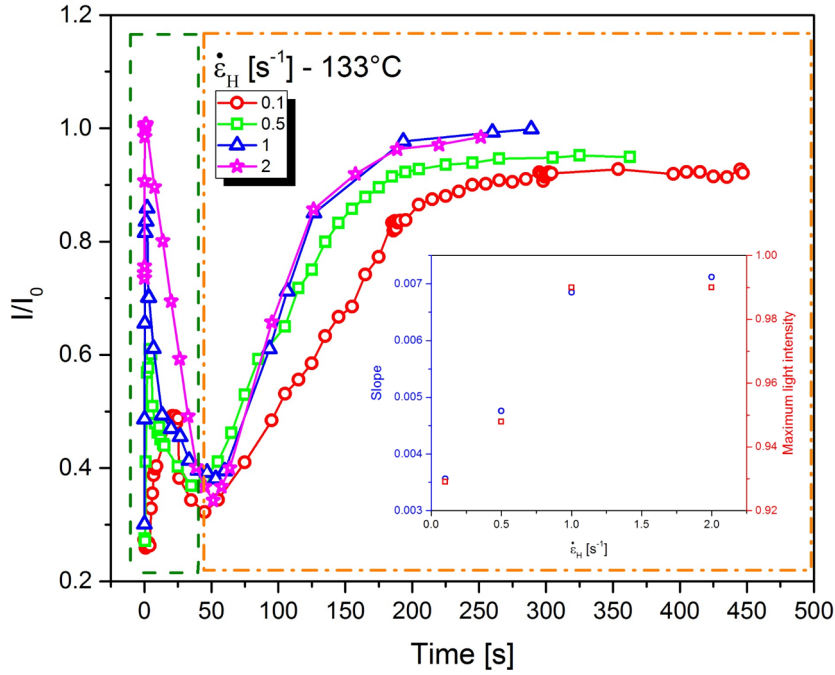


Figure 15: Light intensity evolution  $133^{\circ}C$ ,  $\dot{\epsilon}_H = 0.5s^{-1}$ ,  $\epsilon_H = 2.5$

471 while monitoring the isothermal crystallization using different methods (*DSC*,  
 472 rheometry, polarized light imaging) a good agreement on the values of the half  
 473 crystallization time  $t_{1/2}$  is obtained, Koscher and Fulchiron (2002). Based on  
 474 these considerations we chose to associate the half crystallization time to the  
 475 time instant when the light intensity augments by 50%. For example, in the  
 476 case of the experiment performed at an extensional rate of  $0.1 s^{-1}$ , the relative  
 477 light intensity when the crystallization starts is 0.31 whereas its value when full  
 478 crystallization is achieved is 0.9 which, according to our method, prompts us to  
 479 chose as a half crystallization time the time instant when the relative intensity  
 480 is  $I/I_0 = 0.605$ . Nonetheless, the half-crystallization time decreases with  $\dot{\epsilon}_H$   
 481 which means that the kinetics of crystallization is faster. The half time of crys-  
 482 tallization is estimated around  $100 s$  which is significantly lower compared to the  
 483 value obtained from *SIC* ( $300 s$ ) and *QC* measurements ( $460 s$ ) for the same  
 484 rates ( $0.5 s^{-1}$ ) and temperature ( $133^{\circ}C$ ).

485 From all these experiments made under different flow and temperature con-  
 486 ditions, we are able to quantify their effect on the crystallization kinetics by  
 487 comparing the crystallization half-times, as depicted in Table 2. the comparison  
 488 of the half-crystallization times shows that the kinetics of crystallization under  
 489 extensional deformation is divided by a factor 3 compared to the quiescent con-  
 490 dition and after a pre-shearing, bringing a quantification of the crystallization



$T(^{\circ}\text{C})$	$\dot{\gamma}$ or $\dot{\epsilon}_H$ ( $\text{s}^{-1}$ )	Quiescent condition	Shear $G'(\text{Pa})$	SER $I/I_0$
		$t_{1/2}(\text{s})$	$t_{1/2}(\text{s})$	$t_{1/2}(\text{s})$
132/133	0.1	388	X	125
	0.5		311	105
	1		310	100
	2		280	100

Table 2: Half crystallization times in quiescent condition, after pre-shear and after extension

491 induced by extension in an *in-situ* manner.

### 492 3.3.3. SAXS and WAXS post-mortem measurements

493 To gain further insights into the role of extension on the crystallization process  
494 at microscopic scales, *SAXS* and *WAXS* measurements were performed on the  
495 polymeric samples after crystallization during extensional flow for a Hencky strain  
496  $\epsilon_H = 4$ . Fig. 16 illustrates *SAXS* and *WAXS* measurements performed on  
497 PP samples after extension at different  $\dot{\epsilon}_H$  in the middle of the sample, between  
498 the two rolls of the SER device. The extension direction is horizontal (equatorial  
499 direction) and the X-ray beam intercepted the sample between the two rolls of  
500 the SER device where the shrinkage of the cross-section is maximal ( $L_0/2$ ). For  
501 all strain rate, *SAXS* patterns exhibit scattering lobes around the equatorial  
502 direction in favour of an orientation of the crystalline lamellae perpendicular to  
503 the direction of flow. In addition, *WAXS* indicates the orientation of a crystal  
504 plane with the flow by increasing the light intensity of the diffraction rings.

505 The study of the orientation of each crystalline phase also shows that larger  
506 the rate of extension is stronger the orientation is. By comparing the minima and  
507 the maxima of the intensity of the scattering peaks versus the azimuthal angle,  
508 we observe that the plane (040) of the alpha phase is more oriented than the  
509 planes (110) and (300) - see Figs. 22, 23 in Sec. 6. The stronger orientation of  
510 the plane (040) may be explained by the assumption of populations of mother  
511 crystalline lamellae and daughter ones which is typically observed with *iPP*. The  
512 planes (040) of mother and daughter lamellae are oriented in the same direction  
513 whereas the planes (110) of both kinds of lamellae have a double orientation.  
514 The *WAXS* measurements were performed along a single direction of the sample  
515 and do not provide information on the orientation of the planes (110) et (300).  
516 Thus, to quantify the orientation of the other crystalline planes one needs to  
517 perform scattering experiments along several other directions of the sample.

518 From *SAXS* patterns, the characteristic size of the lamellar structure is  
519 given by the long period  $L_p$  and is defined as  $L_p = \frac{2\pi}{q_{max}}$ , where  $q_{max}$  is the  
520 value of the wave vector for the scattering peak (see Fig. 17). This value is

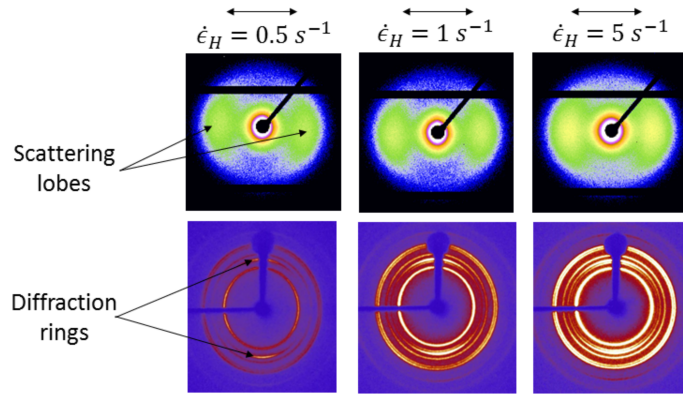


Figure 16: 2D SAXS and 2D WAXS patterns of samples that undergone an esxtensional flow at  $T = 133 \text{ }^\circ\text{C}$  and several rates of deformation: a)  $\dot{\epsilon}_H = 0.5 \text{ s}^{-1}$ , b)  $\dot{\epsilon}_H = 1 \text{ s}^{-1}$ , c)  $\dot{\epsilon}_H = 5 \text{ s}^{-1}$ . In each panel the arrow indicates the flow direction.

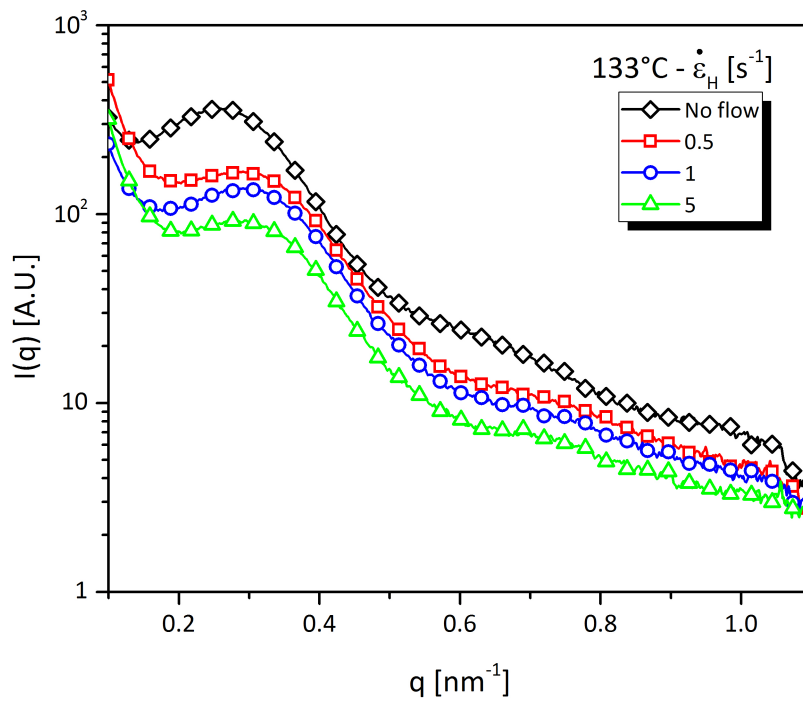


Figure 17: 1D SAXS data after azimuthal averaging of scattering lobes observed in Fig. 16. The terminal Hencky strain was  $\epsilon_H=4$

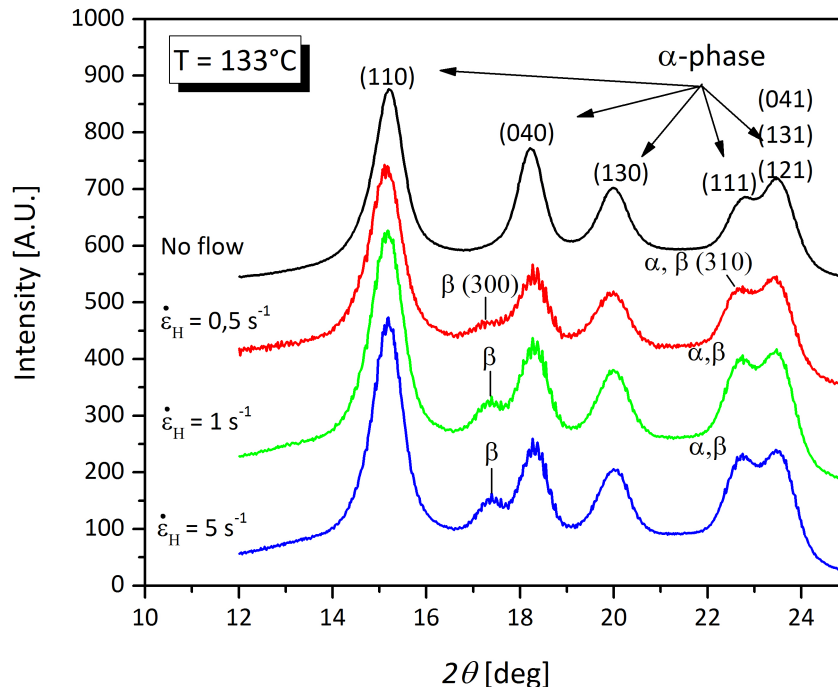


Figure 18: WAXS patterns measured for different Hencky extension rates at 133 °C

521 accurately determined by plotting  $q^2 \times I(q)$  vs.  $q$ . The long period of the un-  
 522 stretched sample is approximately 21 nm. In the case of a stretched sample,  
 523 with increasing extension rates from 0.5 to 5 s<sup>-1</sup>,  $L_p$  was found to decrease  
 524 from 18.3 nm to 18.00 nm, which is not significant. Note that for all samples  
 525 the thermal history remains the strictly the same. Quite obviously,  $L_p$  is not  
 526 sensitive to the rate of extension but mostly to the presence or absence of flow.  
 527 The thickness of lamellae is therefore controlled by the temperature.

528 The WAXS analysis also shows the formation of the  $\beta$ -phase (in addition  
 529 of the  $\alpha$ -phase) with a higher content when the rate of extension increases as  
 530 shown in Fig. 18.

531 A next interesting issue to be addressed is how the microstructure and lamellar  
 532 orientation revealed by the SAXS and WAXS measurements relates to the  
 533 horizontal position along the sample. During an experiment, all the polymer  
 534 flows at the imposed strain rate but the elongational deformation is stopped  
 535 when it starts to be in contact with the drum. So, after the experiment, the  
 536 Hencky strain will be maximum ( $\epsilon_H = 4$ ) at the center of the extended sample,  
 537 whereas it will be smaller when we move away from this position. At large Hencky  
 538 strains the sample is slightly narrower close to the centre than close to the edges  
 539 which implies that the rate of deformation is higher close to the centre and  
 540 smaller close to the edges as shown in Amirdine et al. (2019). To address this

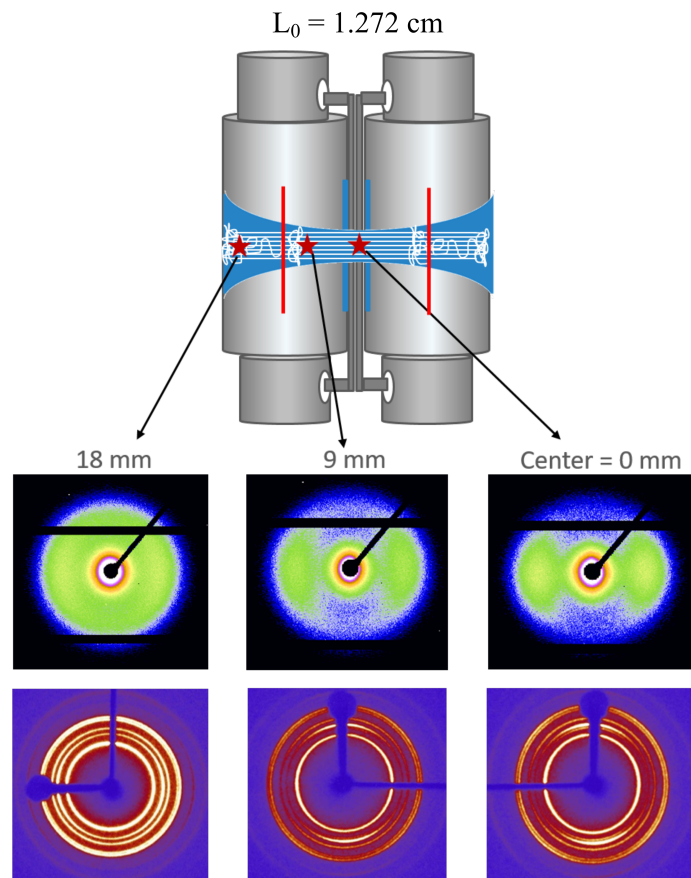


Figure 19: 2D SAXS and 2D WAXS patterns measured at 133 °C,  $\dot{\epsilon}_H = 1 \text{ s}^{-1}$ ,  $\epsilon_H = 4$  and several positions from the centres marked by the stars in the top panel and indicated in the inserts of the lower panels.

541 question, *post-mortem* SAXS and WAXS measurements were carried out at  
 542 different positions along the sample as schematically illustrated in the top panel  
 543 of Fig. 19.

544 Indeed, the SAXS patterns presented in Fig. 19 clearly indicate that close to  
 545 the centre of the sample, where the local rate of extension and the Hencky strain  
 546 are the largest, the orientation is the strongest. This analysis then shows that  
 547 the deformation is geometrically homogeneous and maximum at this position.  
 548 Indeed, when approaching the fastening clips, the sample undergoes both shear  
 549 and extension whereas in the centre of the sample, the sample undergoes pure  
 550 uniaxial extensional deformation. According to the WAXS measurements, the  
 551 beta phase appears beyond a critical Hencky strain rate and where the elongation  
 552 is maximum (at the centre of the sample).

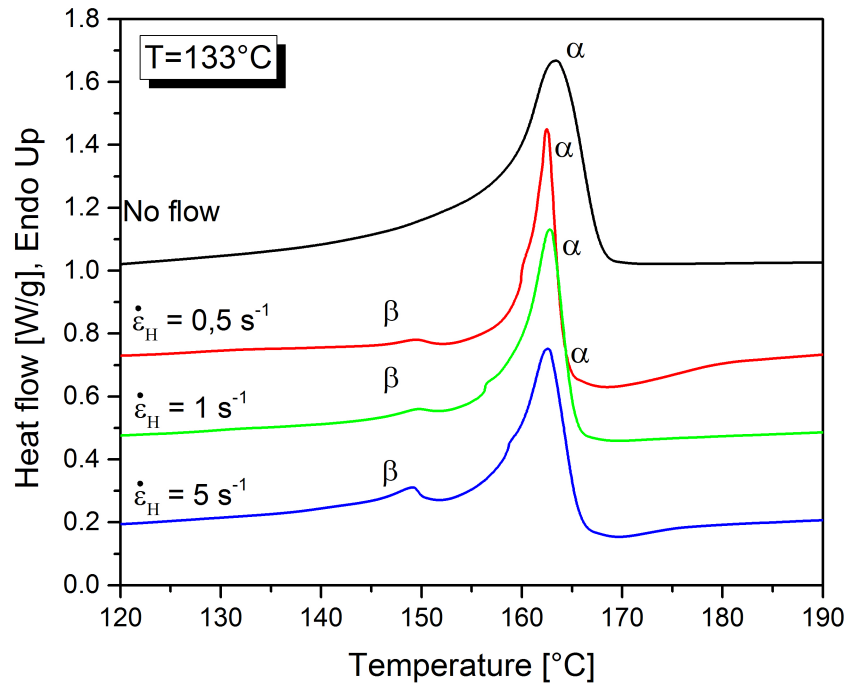


Figure 20: *DSC* measurements performed with a sample extended up to Hencky strain  $\epsilon_H = 4$  at 9 mm from its centre.

### 553 3.3.4. Post mortem *DSC* measurements

554 Fig. 20 shows *DSC* measurements performed on the samples for a deformation  
 555 of 4 at 133 °C. The black line represents a sample before the extensional  
 556 flow. Besides, *DSC* curves present clearly first a main melting peak and also  
 557 a second one whose intensity increases with the rate. The major melting peak  
 558 is around a temperature of 162 °C and the minor is around 148°C. *iPP* is a  
 559 polymorphic polymer and flow can influence the crystal structure. In quiescent  
 560 condition, only  $\alpha$ -phase can be observed and for high cooling rates mesophase  
 561 can also be obtained by *FSC* Schawe et al. (2017). However, under shear flow  
 562 or extensional flow,  $\beta$ -phase can appear as explained by Liu et al. (2013). So the  
 563 major peak is attributed to the  $\alpha$ -phase and the minor to the  $\beta$ -phase according  
 564 to Koscher (2002), which is also coherent with *WAXS* data.

565 As previously shown with *SAXS* and *WAXS* measurements, the microstruc-  
 566 ture and orientation depend on the horizontal position along the sample. Close  
 567 to the centre (i.e. between the two rolls of SER apparatus), the orientation is  
 568 larger since the Hencky strain is larger at this position compared to the other  
 569 ones, with a homogeneous elongational flow. The  $\beta$ -phase seems to be more  
 570 present close to the middle, where extensional deformation is larger and more  
 571 pure (i.e. no shear is superposed).

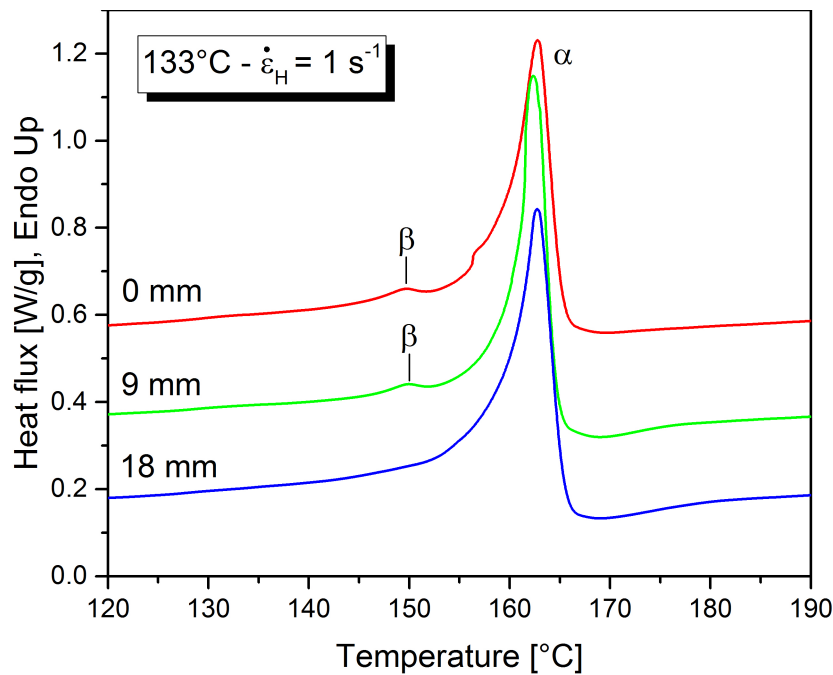


Figure 21: DSC measurements,  $\epsilon_H = 4$ ,  $\dot{\epsilon}_H = 1 \text{ s}^{-1}$  for different positions

#### 572 4. Conclusions, outlook

573 A characterization of the crystallization induced by extensional flow is pre-  
 574 sented. The crystallization of *PP* under quiescent condition and after pre-shear  
 575 are first presented. This experimental characterization allows one to obtain the  
 576 half-crystallization times and relate them to the deformation conditions. To study  
 577 the crystallization induced by extension, a modified *SER* apparatus is used. The  
 578 modification consists in equipping the device with an oil bath with transparent  
 579 walls. This modification allows the *in-situ* visualization of the sample and limits  
 580 the gravity sagging and ensures a better homogeneity of the temperature distri-  
 581 bution within the sample. The kinetics of crystallization was monitored *in-situ*  
 582 via polarized light imaging of the sample. The estimation of a half-crystallization  
 583 time indicates an acceleration of the kinetics of crystallization by a factor of 3  
 584 as compared to the crystallisation under shear. Post-mortem characterization  
 585 of the samples is performed by both X-ray scattering and *DSC* measurements.  
 586 An agreement with previously published results is found. The microstructure is  
 587 oriented in the direction of flow and the crystalline lamellae are oriented perpen-  
 588 dicular to the direction of flow. However, the *SAXS* measurements indicate no  
 589 evidence of shish-kebab microstructures. The *post-mortem* analysis also reveals  
 590 the formation of the  $\beta$  crystalline phase in addition to the majority  $\alpha$  phase. The  
 591 analysis of the *post-mortem* sample at different axial positions reveals a spatial

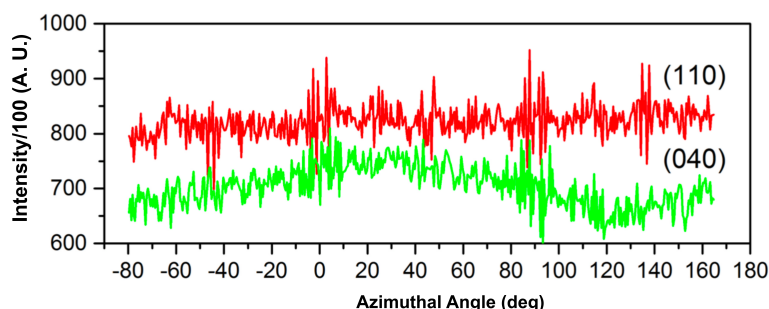


Figure 22: Dependence of the *WAXS* intensity on azimuthal angle for the planes (110), (040) (alpha phase) measured after crystallization at  $133^{\circ}\text{C}$  without elongation.

592 gradient of the microstructure which is consistent with a gradient of Hencky  
 593 strain (since the elongation is stopped when the sample is in contact with a  
 594 roll of the SER apparatus) and an extensional process, which can be slightly  
 595 kinematically non-uniform.

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## 601 6. Annex

602 Figures 22 and 23 show the *WAXS* intensity as a function of the azimuthal  
 603 angle for both non-stretched and stretched at  $5\text{ s}^{-1}$  samples, respectively. Again,  
 604 the lamellae orientation is revealed by these intensity variations. However, the  
 605 variation for the 040 peak is much more important than this of the 100 peak.  
 606 This is generally the case for i-PP when mother-daughter lamellae are present,  
 607 as shown by Fiorentino and coworkers, Fiorentino et al. (2013).

608 Figure 24 shows the *WAXS* intensity of the 040 peak as a function of  
 609 the azimuthal angle for the 3 different positions corresponding to different total  
 610 strains. It appears from the variation amplitudes between  $0^{\circ}$  and  $90^{\circ}$  azimuthal  
 611 angles that the orientation is higher for the position "0" which corresponds to  
 612 the maximum deformation ( $\epsilon_H = 4$ ).

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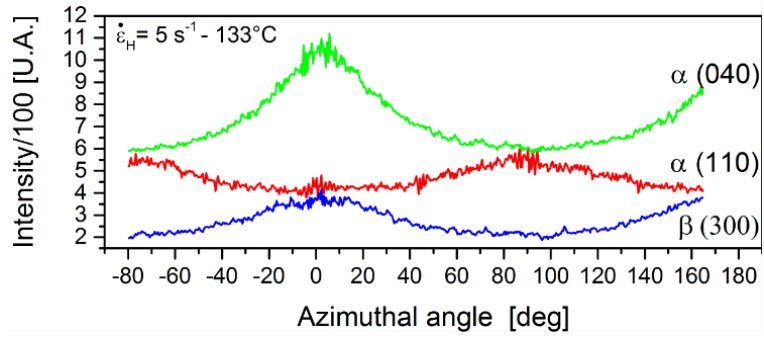


Figure 23: WAXS intensity versus azimuthal angle for (110), (040) (alpha phase) and (300) (beta phase) planes after crystallization at  $133^\circ\text{C}$  for an Hencky strain rate at  $5\text{s}^{-1}$ . The curves are shifted for better clarity.

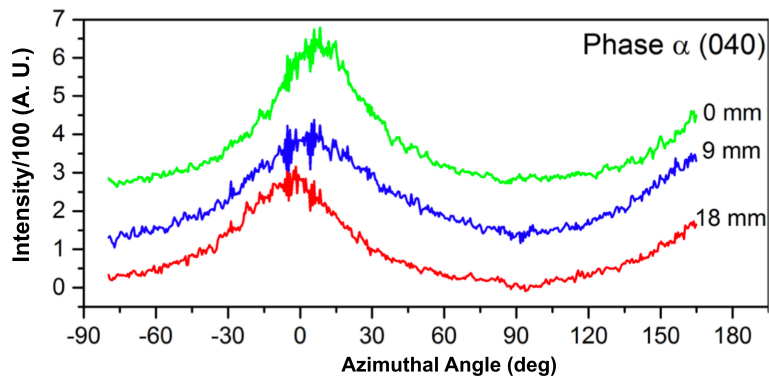


Figure 24: WAXS intensity versus azimuthal angle for (110), (040) (alpha phase) after crystallization at  $133^\circ\text{C}$  for an Hencky strain rate at  $5\text{s}^{-1}$  for 3 different positions along the specimen :  $0\text{mm}$ (center),  $9\text{mm}$  and  $18\text{mm}$ . The curves are shifted for better clarity.



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