1 **Worldwide disparity in the relation between CKD prevalence and**

2 **kidney failure risk**

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- 31 ecologic study

1 **Abstract**

2 **Introduction**

3 The incidence of kidney replacement therapy (KRT) for kidney failure varies internationally much 4 more than chronic kidney disease (CKD) prevalence. This ecologic study investigated the relation of 5 CKD prevalence to KRT and mortality risks by world region.

6 **Methods**

7 We used data from Global Burden of Disease and KRT registries worldwide with linear models to 8 estimate the percentages of variance in KRT incidence and all-cause mortality explained by age-9 adjusted prevalence of CKD stages 3-5, overall and by gender, in 61 countries classified in three 10 regions: high-income (n=28), Eastern and Central Europe (n=15), and Other (n=18).

11 **Results**

12 The incidence of KRT ranged from 89 to 378 per million population in high-income regions, 32–222 in 13 Central and Eastern Europe, and 22–493 in the Other region; age-adjusted CKD prevalence ranged 14 respectively from 5.5 to 10.4%, 7.6–13.7%, and 7.4–13.1%. The relation between these indicators 15 was positive in high-income countries, negative in Central and Eastern Europe, and null in the Other 16 region. Age-adjusted CKD prevalence explained 40% of the variance in KRT incidence (P<0.001) in 17 high-income countries. The explained variance of age-adjusted mortality was close to zero in high-18 income countries and positive at 19% (*P*=0.10) in Central and Eastern Europe and at 11% (*P*=0.17) in 19 the Other region. Results were consistent by gender.

20 **Conclusion**

21 This study raises awareness on the significant part of the gaps in KRT incidence across countries not 22 explained by the number of individuals with CKD, even in high-income countries where access to KRT 23 is not limited.

1 **Introduction**

2 Over the past two decades, knowledge about the epidemiology of chronic kidney disease (CKD) and 3 its complications has advanced substantially worldwide.(1-6) The increasing availability of national 4 registries of kidney replacement therapy (KRT) for kidney failure and the work by the Global Burden 5 of Disease (GBD) consortium in recent years to search for and standardize CKD prevalence data from 6 the many available population-based studies have made it possible to obtain a global picture of CKD 7 burden from moderate to advanced stages.(7-9) The most striking finding from these estimates is 8 that the disparities across countries are much greater for KRT incidence than for CKD prevalence.

9 The Global Kidney Health Atlas, led by the International Society of Nephrology (ISN), recently 10 provided a comprehensive overview of the capacity of health systems to deliver care for kidney 11 failure worldwide.(10) This capacity remains a major determinant of the variations observed in KRT 12 incidence in low- and middle-income countries. Large variations in KRT incidence may also exist, 13 however, across countries where access to KRT is not restricted. Hallan et al first pointed out this 14 discrepancy in 2006, showing that KRT incidence in the United States was 3 times higher than in 15 Norway, despite similar CKD prevalence. They suggested that faster progression to kidney failure 16 rather than a higher number of individuals at risk likely explains this difference.(11) Since then, two 17 studies have investigated potential explanatory factors for differences in CKD progression across 18 countries.(12, 13) Nevertheless, no overview is available of the gaps in KRT incidence between 19 countries with their links to CKD prevalence within and across world regions, although it would help 20 in understanding the possible sources of variations.

21 We therefore studied the relations of CKD prevalence with the incidence of KRT for kidney failure 22 and with all-cause and CKD-related mortality rates across countries, by region, both overall and by 23 gender, and estimated the proportion of variance in these three latter health indicators that is 24 explained by CKD prevalence.

1 **Methods**

2 **Data sources**

3 **Chronic kidney disease prevalence**

4 Crude (all ages) and age-standardized CKD prevalences in 2016, expressed as percentages, were 5 extracted overall and by gender from the Global Health Data exchange, GBD Results Tool website 6 (http://ghdx.healthdata.org/gbd-results-tool). In the GBD Study, CKD is defined by an estimated 7 glomerular filtration rate < 60 ml/min/1.73m² or a need for KRT. Data that inform GBD estimates 8 include microdata or tabulated data obtained from publications (systematic literature reviews), as 9 well as other relevant data sources such as vital registrations, hospital data, and disease registries 10 (citations available on http://ghdx.healthdata.org/gbd-2017/data-input-sources). Prevalence is 11 estimated with a compartmental model that allows data to be incorporated for various 12 epidemiological indicators, such as incidence and mortality rates, through an integrative Bayesian 13 meta-regression.(14) It is based on (one or multiple) individual (national representative or local) 14 studies or other data sources within a country. When there is no data for that country available, 15 modeling may consider information from other countries with similar epidemiological backgrounds.

16 Prevalence estimates were age-standardized by the GBD 2017 world standard population.(15)

17

18 **Incidence of kidney replacement therapy for kidney failure**

19 Kidney replacement therapy included dialysis or pre-emptive kidney transplantation and its incidence 20 was expressed per million population (pmp). We used data gathered by the United States Renal Data 21 System (USRDS) from national KRT registries worldwide willing to contribute data to the international 22 comparison chapter of this report. We extracted data for the crude incidence of KRT for the year 23 2016, overall and by gender, from the USRDS website 24 (https://www.usrds.org/2018/view/v2_11.aspx). Age-adjusted estimates were not available.(7)

1 **All-cause mortality**

2 Age-standardized all-cause and CKD-related mortality rates per 100 000 population, overall and by 3 gender, from 2016 were extracted from the World Health Organization (WHO), Global Health 4 Estimates website (http://origin.who.int/healthinfo/global_burden_disease/estimates/en/).(16) 5 WHO 2016 mortality rates are based on the latest available national-level information about 6 mortality and causes of death at the beginning of 2018. WHO also uses estimates from the 2016 GBD 7 Study for countries without usable vital registration data or other nationally representative sources 8 of information for causes of death.(17) CKD-related mortality included deaths from diabetes-related 9 CKD and from CKD due to other causes. Mortality rates were age-standardized according to the WHO 10 2001 standard population.(18)

11

12 **Global Burden of Disease regional classification system**

13 The 61 countries or regions included in this study, because of the availability of KRT incidence, were 14 classified into three regions or categories, using GBD super regions, defined by a combination of 15 geographic location and country GDP, and based on cause of death patterns 16 (http://www.healthdata.org/terms-defined), as follows: (14)

17 • High-income regions: high-income Asia Pacific (Japan, South Korea, and Singapore), 18 Australasia (Australia and New Zealand), Western Europe (Andorra, Austria, Belgium, Cyprus, 19 Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Israel, Italy, Luxembourg, 20 Malta, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, and the United Kingdom), 21 Southern Latin America (Argentina, Chile, and Uruguay) and North America (Canada and the 22 United States)

- 23 Central and Eastern Europe: Lithuania, Slovakia, Latvia, Hungary, Russia, Bulgaria, Romania, 24 Belarus, Serbia, Albania, Bosnia and Herzegovina, Macedonia, and Ukraine
- 25 Other regions: Latin America (Jalisco [Mexico], Brazil, Peru, Colombia, and Guatemala), North 26 Africa and Middle East (Qatar, Saudi Arabia, Kuwait, Iran, Turkey, and Jordan), South, East,

1 and Southeast Asia (Hong Kong, Malaysia, Taiwan, Thailand, Philippines, Indonesia, and 2 Bangladesh), and Southern Sub-Saharan Africa (South Africa).

3

4 **Statistical Analysis**

5 Estimates of CKD prevalence (%), KRT incidence (pmp), and all-cause and CKD-related mortality (per 6 100 000 population) were tabulated and are presented as median and ranges according to region, as 7 described above (high-income, Central and Eastern Europe, or Other), overall and by gender. To 8 study the relations with KRT incidence, we plotted crude KRT incidence rates against crude and age-9 adjusted CKD prevalence, by region, overall and by gender, and for the relations with mortality risk, 10 age-adjusted all-cause or CKD-related mortality rates against age-standardized CKD prevalence, again 11 by region, overall and by gender. In addition, we used linear regression models to estimate the 12 proportion of variance (R-squared) in KRT incidence and mortality rates explained by CKD prevalence. 13 We tested the linearity assumption for all regression models, which was not satisfied for the analysis 14 of the association with mortality rates and required log-transformation. Other model assumptions 15 (normality of residuals, link function, and homoscedasticity) were checked with the Global Validation 16 of Linear Models Assumptions "glvma" package. Analyses were performed in R version 3.4.2.

1 **Results**

2 **Geographic variations in age-standardized CKD prevalence, by gender and region**

3 The age-standardized prevalence of CKD stages 3-5 ranged from 5.5% to 10.4% (median 6.3%) in the 4 high-income region, 7.6% to 13.7% (median 8.7%) in Central and Eastern Europe, and 7.4% to 13.1% 5 (median 10.7%) in the Other region (Supplementary Table 1A). The prevalence of CKD was higher in 6 women than in men in all countries except Sweden (Supplementary Table 1B).

7

8 **Geographic variations in** *kidney replacement therapy incidence***, by gender and region**

9 Overall, KRT incidence rates were highest in Taiwan (493 pmp), the USA (378 pmp), Mexico (355 10 pmp) and Thailand (345 pmp) and lowest in South Africa (22 pmp), Ukraine (32 pmp), Bangladesh, 11 and Belarus (51 pmp). The median KRT incidence was 155 pmp, ranging from 89 to 378 in the high 12 income region, 107 pmp ranging from 32 to 222 in Central and Eastern Europe, and 140 pmp, ranging 13 from 22 to 493 in the Other region. The incidence was higher in men than women in all countries but 14 one, with sex ratios ranging from 1.04 in Bulgaria to 2.5 in Estonia. In Jordan more women (70 pmp) 15 than men (51 pmp) received treatment for KRT.

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17 **Geographic variations in age-adjusted all-cause** *and CKD-related* **mortality rate, by gender** 18 The age-standardized all-cause mortality rate per 100 000 ranged from 299 in Japan to 555 in 19 Argentina in the high-income region (median 360), from 525 in Estonia and Bosnia Herzegovina to 20 798 in Russia in Central and Eastern Europe (median 647), and from 523 in Qatar to 1213 in South 21 Africa in the Other region (median 640) (Supplementary Table 2A). All-cause mortality rates were 22 higher in men than in women in all countries, with sex ratios ranging from 1.15 in Kuwait to 2.2 in 23 Lithuania. The age-standardized CKD-related mortality rate ranged from 2.5 to 42.4 per 100 000 in 24 the high-income region, from 3.5 to 17 in Central and Eastern Europe, and from 2.6 to 45.2 in the 25 Other region.

1 **Ecologic associations between age-adjusted CKD prevalence and** *KRT incidence*

2 In the high-income region, there was a positive and statistically significant relation between the age-3 adjusted prevalence of CKD and KRT incidence (Figure 1). CKD prevalence explained 40% of the 4 variation in KRT incidence, while 60% remained unexplained. Relations were similar when crude CKD 5 prevalence was used (Supplementary Figure 1). In a subset of the 23 (of the 28 studied) high-income 6 countries with available KRT incidence data stratified by gender, the percentage of explained 7 variance was slightly lower in men than women (49% vs 56%, Supplementary Table 3). In Central and 8 Eastern Europe, KRT incidence was negatively and statistically significantly related to both the crude) 9 and age-adjusted prevalence of CKD and did not differ by gender. In contrast, in countries from the 10 Other region, crude CKD prevalence was positively and significantly related to KRT incidence 11 (explained variance = 37%; P = 0.007), but the relation was null with age-standardized prevalence.

12 Although differences in KRT incidence between countries with similar CKD prevalence were usually 13 larger across than within regions, substantial intraregional variations were also observed. Western 14 Europe is the region with the smallest differences across countries, but KRT incidence was 15 nonetheless 3 times higher in Greece than in Iceland despite their similar CKD prevalence. In South, 16 East, and Southeast Asia, KRT incidence varied from 1 to 10, for small differences in CKD prevalence.

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18 **Ecologic associations between age-adjusted CKD prevalence and mortality risk**

19 In the high-income region, CKD prevalence explained a very low percentage of the variation in 20 mortality (2%), while it tended to explain a higher but still not significant percentage in Central and 21 Eastern Europe and in the Other region (Figure 2). This percentage was similar for both genders in 22 the high-income and Other regions, but differed strongly by gender, 47% in men vs 0% in women, in 23 Central and Eastern Europe (Supplementary Table 3). Regarding CKD-related mortality, the 24 association was positive and statistically significant ($p=0.016$) in high-income countries, negative ($p =$ 25 0.042) in Central and Eastern Europe, and positive, but nonsignificant (p>0.10) in the Other region 26 (Supplementary Figure 2)

1 **Discussion**

2 We confirm the well-known greater gaps in KRT incidence than in CKD prevalence between countries 3 in all of the GBD regions. The main novelty of this study is to highlight the high percentage of 4 variation in KRT incidence not explained by the prevalence of CKD even in high-income countries 5 (60%) where access to dialysis is not restricted. For similar CKD prevalence, KRT incidence rate can be 6 up to three times higher from one country to another, as between Iceland and Greece. These results 7 also show an unexpected negative association between these two kidney health indicators in Central 8 and Eastern Europe, together with a weak, but positive association of CKD prevalence with all-cause 9 mortality. An overview of these ecologic associations we observed can help raise global awareness 10 about the issues and challenges regarding kidney failure prevention and care .

11

12 Our results extend worldwide the observation of significant discrepancies in the KRT-to-CKD ratio 13 between countries, as described previously between Norway and the US white population.(11) Only 14 a small fraction of individuals with CKD eventually progress to kidney failure, but if the variation in 15 the number of individuals with CKD were the only explanation for that of KRT incidence, the ratio of 16 KRT incidence to CKD prevalence would be similar across countries, and the percentage of variance 17 explained would be 100%. In high-income countries, this percentage was 40%, which suggests that 18 differences in the distribution of CKD risk factors play a substantial role in the variation of kidney 19 failure incidence between countries. Nonetheless, although a meta-analysis of 19 general 20 population-based studies from 13 European countries did find variations in CKD prevalence across 21 these countries, differences in major CKD risk factors, including diabetes, hypertension, and obesity, 22 did not appear to explain them well.(6, 8, 19-21) The negative relation or lack of association observed 23 in Central and Eastern Europe and in the Other region, in contrast, cannot be interpreted as the 24 absence of an impact of CKD risk factors, most especially as CKD prevalence is higher in these regions 25 than in high-income countries.(6, 8, 19-21) In Central and Eastern Europe, the association of higher 26 CKD prevalence with lower KRT incidence together with higher all-cause mortality may instead reflect

1 the combination, at the population level, of poor population health with a health care system with 2 inadequate capacity. Several CKD risk factors are well established, including genetic, socioeconomic, 3 and environmental risk factors, as well as poor maternal and fetal health. A landmark article 4 reporting the conclusions of an ISN CKD summit on Global Kidney Health in 2016 updated these 5 factors, which are summarized in the Table.(1, 22) These risk factors might be attenuated by 6 promoting healthy lifestyles, improved education of the public and of health practitioners, and 7 strategies to improve maternal and fetal health.

8 Although heterogeneity in the prevalence of CKD risk factors explains part of the variance in KRT 9 incidence, this study shows that a significant part of this variance remains unexplained. Several 10 hypotheses can be generated including variations across countries in risk factors for CKD progression 11 or in access to KRT, as listed in the Table. Hallan et al first suggested that slower progression to 12 kidney failure rather than a smaller number of individuals could account for the lower incidence of 13 KRT in Norway than in the US.(11) Two recent meta-analyses of CKD cohort studies have investigated 14 this hypothesis with individual-level data.(12, 13) The European CKD Burden Consortium, which used 15 a joint model to compare estimated glomerular filtration rate (eGFR) decline and mortality risk across 16 nine cohorts from five European countries, showed that baseline patient profiles and CKD 17 progression rates differed substantially between studies.(12, 13) These variations in CKD progression, 18 however, persisted despite adjustment for baseline eGFR, age, sex, albuminuria, comorbidities, and 19 use of renin-angiotensin system inhibitors. The international network of CKD cohorts (iNET-CKD) has 20 also observed different baseline profiles and highly heterogeneous risks of CKD progression, KRT 21 incidence, and mortality among individuals with CKD participating in eight cohort studies around the 22 globe.(12, 13) This study also observed that adjustment for several patient characteristics and 23 laboratory markers did not substantially attenuate the differences in outcomes. Its finding that the 24 magnitude of the associations of individual-level predictors with clinical outcomes varied 25 substantially across studies suggests that the setting and/or differences in populations may influence 26 these differences. Another iNET-CKD study finding of considerable heterogeneity in blood pressure

1 control and antihypertensive prescription patterns in CKD worldwide also indicates that clinical 2 practices may have an effect.(23, 24)

3 Finally, because kidney failure incidence is assessed from dialysis and transplantation registries, 4 factors linked to the management of transition to kidney failure and/or access to KRT may play a 5 major role in the gaps observed between countries. (Table) It is well-known that access to KRT is 6 influenced by local policies, and that several countries such as the United States, Japan, South Korea, 7 or Taiwan, have very liberal criteria for acceptance on dialysis, while many European countries, 8 Australia and New Zealand have a less liberal acceptance policy, and most African, Asia, and central 9 or Eastern European countries have very limited access. An ecologic study of 46 countries reported 10 that gross domestic product (GDP) per capita and percentage of GDP spent on health care were 11 independently associated with KRT incidence.(3, 4, 10, 13, 25) It also showed that in more developed 12 countries, the private for-profit share of hemodialysis facilities was also associated with higher KRT 13 incidence, This study and ours raise the issue of overtreatment related to early-start dialysis in 14 countries with extremely high KRT incidence. In France, eGFR level at dialysis start explained a 15 substantial part of the variations in KRT incidence between regions.(26) Variations in the availability 16 of conservative therapy may also have an impact in the elderly population, as suggested by the 17 Global Kidney Health Atlas study.(10, 27) Overall, these meta-analyses highlight the complexity of the 18 relations between CKD and KRT risk and the need for further investigation.

19 This study has several strengths including the large number of countries spread over the different 20 GBD super regions with standardized estimates of kidney health indicators. Another strength is that 21 we were able to assess the relations between CKD prevalence and KRT incidence by gender in many 22 of these countries. We also looked at the relation between CKD prevalence and all-cause and CKD-23 related mortality risk, a major competing risk for KRT in CKD.

24 The study also has a number of limitations. First, it is an ecologic study, and the associations 25 observed at the country level may be different at the individual level. Further studies comparing the 26 relationship of CKD to kidney failure risk at the individual level are needed to identify potentially

1 modifiable risk factors and racial disparities. Second, the use of KRT registry data did not enable us to 2 describe the association of CKD prevalence with kidney failure risk because KRT registries cannot 3 capture patients who progress to kidney failure but do not have access to KRT, refuse dialysis, or 4 receive conservative care. Moreover, age-standardized KRT incidence was not available. Third, CKD 5 prevalence may have been affected by the use of different creatinine assays or GFR estimation 6 equations across studies,(6, 8, 19-21) although the GBD correction methods should have reduced 7 these disparities by standardizing these estimates. Fourth, the available reference population for 8 age-standardization was different for the WHO and the GBD statistics. However, while this may have 9 had an impact on mortality rate and CKD prevalence estimates, it should not have affected the 10 associations we observed. Finally, the aggregation of countries with very diverse CKD epidemiology in 11 one single "Other" group may be controversial, but the small number of these countries precluded a 12 more detailed stratification.

13 In conclusion, this ecologic study highlights the large proportion of the global variation in KRT 14 incidence that is apparently not explained by the number of individuals with CKD. While differences 15 in health care resources are an obvious explanation of disparities in access to KRT, at least partly, in 16 low- and middle-income countries, other hypotheses should be assessed for high-income countries, 17 such as variations in clinical practice patterns intended to slow CKD progression and/or manage the 18 transition to KRT. (28, 29) The issue of dialysis overtreatment is scarcely discussed and may receive 19 greater attention from both the patients and public health perspective. International comparisons at 20 both patient and provider levels are necessary to investigate the relative impact of individual 21 characteristics, physician practices, and local policies on the incidence of KRT.

1 **Disclosure**

- 2 Marieke HC van Rijn (None), Natalia Alencar de Pinho (None), Jack F Wetzels (None) , Jan AJG van
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- 1 ACEI: angiotensin-converting-enzyme inhibitors, ARB: angiotensin receptor blockers, CKD: Chronic
- 2 kidney disease, GFR: glomerular filtration rate, KRT: kidney replacement therapy

1 **Figure legends**

- 2
- 3 Figure 1: Incidence of KRT incidence according to age-standardized CKD prevalence, by region.
- 4 Legend: KRT, kidney replacement therapy; pmp, per million population; CKD, chronic kidney disease.

- 6 Figure 2: Age-standardized all-cause mortality according to age-standardized CKD prevalence, by
- 7 region.
- 8 Legend: CKD, chronic kidney disease.

