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Evolution of Cu(In,Ga)Se2 surfaces under water immersion monitored by X-Ray Photoelectron Spectroscopy

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8 Abstract

9 Cu(In,Ga)Se₂ absorbers were immerged in deionized water for different times and specific 10 chemical evolutions were monitored thanks to X-Ray Photoemission Spectroscopy. 11 Cu(In,Ga)Se₂ related dissolution products were studied in water through Induced Coupled 12 Plasma Optical Emission Spectroscopy. From those analyses, specific surface network 13 disorganization was observed, with Cu migration towards the surface, leading to different 14 kinetics of oxidation and dissolution for each element that could be quantified.

15 **1. Introduction**

16 Understanding the ageing mechanism of solar absorbers is a key point for the future of the photovoltaic market. Regarding the Cu(In,Ga)Se₂ (CIGS) solar cells technology, which reaches 17 efficiencies up to 23.4%¹, one key parameter to control during ageing is interfaces. Very few 18 studies report on the properties modifications of CIGS solar devices following pure water 19 20 exposure. Theeleen and al. demonstrated that, over water dipping, the chemical repartition of 21 element drastically evolves, leading to strong modifications of electrical properties of CIGS solar cells.² They also studied the ageing of different layers (Al:ZnO, Mo) necessary to the 22 device fabrication during water immersion.^{3,4} However, to our knowledge, the chemical 23 evolutions induced by water on CIGS surface were not systematically explored. Indeed, 24 25 immersion in water asks the question of the surface chemical behavior in front of an infinite 26 water reservoir but also raises interrogations about differences with air ageing with a high 27 moisture level, which induces possible interactions with a very thin water film, more or less continuous.^{5,6} 28

In the present study, X-Ray Photoemission Spectroscopy (XPS) is employed to follow the chemical evolution of CIGS surfaces during different water immersion times, as it can provide 31 the evolution of the chemical environment as well as a quantitative analysis of the surface

32 composition. This last point is of primary importance in the case of CIGS as it will influence

33 the band alignment with the buffer layer and can be monitored through two main ratios: the

 $34 \qquad GGI ([Ga]/([Ga]+[In])) \text{ and the } CGI ([Cu]/([Ga]+[In])).$

In this paper, long time evolution during pure water immersion of CIGS surfaces is presented. Modifications of the initial free oxide reference surface is studied by a regular monitoring of chemical environments during 4 weeks, principally on the base of Augers lines comparison, whose features enable to more easily evidence oxidation states. The examination is completed by the corresponding key ratios determined with the associated photopeaks. Surfaces studied are either reference ones, *i.e.* without oxides or binary phases (such as elementary selenium, Se⁰), thanks to chemical engineering or aged surfaces (strongly oxidized).^{7,8}

42 **2.** Methods and Experimental Setup

43 2.1. CIGS samples

The CIGS samples were supplied by ZSW (Zentrum für Sonnenenergie- und Wasserstoff-Forschung, Germany).⁹ CIGS was co-evaporated on Mo (600 nm)/glass (3 mm) substrates. The CIGS layers were provided with expected GGI bulk ratio close to 0.3, a CGI of 1.0 and a thickness of 2.1 μ m. Surfaces were prepared to eliminate any traces of oxides,⁷ Se⁰ and side phases.⁸ The CIGS/water interaction was provided through immersion in 60 mL of ultra-pure de-ionized water (18.2 MΩ, without degassing with a concentration of dissolved O₂ of 5.5 ± 0.6 mg L⁻¹) for several weeks. Experiments were performed in the dark.

51 2.2.Experimental

52 XPS surface chemical analyses were performed with a Thermo Electron K-Alpha⁺ spectrometer 53 using a monochromatic Al-K α X-Ray source (1486.6 eV). The X-Ray spot size was 400 μ m. 54 High energy resolution spectra were acquired using a Constant Analyzer Energy (CAE) mode 55 of 10 eV and 0.05 eV as energy step size. X-Ray Auger Electron Spectroscopy (XAES) lines 56 were studied with a CAE mode of 50 eV and 0.1 energy step size. Data were processed using 57 the Thermo Fisher scientific Avantage[®] data system with a Shirley-assimilated background 58 subtraction and XPS compositions were deduced using the sensitivity factors, the transmission 59 factor and inelastic mean-free paths from Avantage© library. The fits were performed with 60 Gaussian/Lorentzian mix, determined on deoxidized samples. In this paper, ratios were 61 calculated based on major photopeaks: $Ga2p_{3/2}$, $Cu2p_{3/2}$ and $In3d_{5/2}$ and Se3d.

62 Dosages of Ga, In, Cu, Se and Na elements in water after different interaction times were 63 performed by Induced Coupled Plasma Optical Emission Spectroscopy (ICP-OES) with an 64 Agilent spectrometer. Calibrations were performed with set of standard solutions with a 65 concentration range from 5 to 5000 μ g L⁻¹ in HNO₃ (4%).¹⁰

66 **3. Results & Discussions**

67 *3.1.Immersion of fresh CIGS surfaces*

Regarding the chemical environment of fresh CIGS surface, for each constitutive element, 68 69 initially only CIGS one is visible, either on the XAES lines (Figure 1, t₀ spectra), or on the photopeaks. The Ga transition (GaL₃M₄₅M₄₅, Figure 1 a., t₀ spectrum) is representative of a sole 70 71 CIGS environment at 1065.7 \pm 0.1 eV (Kinetic Energy, KE), without traces of Ga-O 72 contribution (1062.5 \pm 0.1 eV, KE). Similar behaviors are observed for Cu and Se transitions 73 (CuL₃M₄₅M₄₅ and SeL₃M₄₅M₄₅, Figure 1 b and c., t₀ spectra) positioned at 917.2 \pm 0.1 eV and 74 1307.2 ± 0.1 eV, KE respectively. The absence of oxide phases is supported by the fact that no 75 shoulder is visible at lower KE (914.1 \pm 0.1 eV) for Cu and no oxidized contribution at 1300.6 76 \pm 0.1 eV, KE for Se. The Se3d photopeak (Figure 1 f., t₀ spectrum) is also presented here 77 because of the large chemical shift due to Se-O bounds and clear distribution at low binding energy, with the Se⁰ contribution. A sole Se3d_{5/2} CIGS environment is observed at 54.1 \pm 0.1 78 eV (Binding Energy, BE) and no oxide or Se^0 contributions are observed (58.8 ± 0.1 and 55.1 79 \pm 0.1 eV, BE respectively).¹¹ The InM_{4/5}N₄₅N₄₅ X-Auger region without traces of oxides is 80 81 presented in Figure 1 d. (t₀ spectrum), with the main CIGS contribution at 400.5 \pm 0.1 eV, KE, 82 while the In-O one should be located at 398.6 \pm 0.1 eV, KE. On this specific region, another 83 photopeak is of primary importance, the Na1s one, at 415.2 ± 0.1 eV, KE. Na is a key element to enhance CIGS performances.¹² In present soda-lime conventional configuration, Na migrates 84 85 from the substrate to CIGS front side. On t₀ spectrum, no Na traces are found thanks to deoxidation/cleaning process. Its reemergence and its associated kinetic can be found in 86 literature.^{5,6} Finally, the O1s photopeak region (540-525 eV, BE, Figure 1 e.) provides 87 88 information about the inherent carboneous contamination (531.7 \pm 0.1 eV, BE) but also on oxide phase (531.0 \pm 0.1 eV, BE). For the t₀ spectrum, only inherent contamination is visible. 89

To investigate the CIGS/pure water interaction evolutions, a dedicated experiment focused on the interactions between water and CIGS surfaces by immerging the absorber in infinite deionized water for 4 weeks. The sample was regularly extracted for XPS analysis and then replaced in the aqueous medium. Long-time interactions with water lead to non-expected

94 effects on CIGS surfaces. The first one concerns the Cu signal. Indeed, after one week of ageing, 95 an important increase of Cu content is noted at the surface with a CGI value which triples (Table 96 1) and consequently the emergence of a notable Cu2s photopeak (388.7 \pm 0.1 eV KE, Figure 1 97 d.) on the In-MNN Auger region. It is strengthened over times as shown by the increase of CGI 98 ratio value (Table 1). Moreover, the Auger parameter varies from 1849.4 ± 0.1 eV (typical of 99 CIGS environment) to 1850.0 ± 0.1 eV, showing a modification of the chemical environment 100 of Cu over time, also supported by the FWHM evolution of the Cu $2p_{3/2}$ photopeak which 101 increases gradually from 0.98 \pm 0.03 to 1.07 \pm 0.03 eV after 4 weeks ageing. The second 102 modification concerns the fast oxidation of In element, as observed with the appearance of In 103 oxide contribution at 398.5 ± 0.1 eV, KE (Figure 1 d.). This is accompanied with a decrease of 104 the GGI ratio, which is divided by two after one-week ageing (Table 1). This modification of 105 the surface content is due to important Cu migration upon the absorber, which is in contradiction 106 with surfaces exposed to ambient atmosphere.⁶ After the four weeks of ageing, an important 107 surface degradation appears on samples, with a possible surface delaminating. Thus, the ageing 108 procedure in pure water has been stopped, the representativeness of surface analyses being 109 questionable.



Figure 1: Normalized evolution of high energy XPS spectra of reference CIGS surface (HCl + KCN treated) over 4
weeks of water-ageing: GaLMM (a.), CuLMM (b.), SeLMM (c.), InMNN (d.) Auger regions and O1s (e.) and Se3d (f.)
photopeaks. The differences observed are represented with an arrow.

115 According to the In behavior over time, the possibility that the other elements of CIGS network 116 also oxidize in water is rather probable even if no traces of oxides are evidenced by surface analyses. The explanation is linked to the respective differential solubility of oxide compounds 117 in water. This hypothesis is also supported by the fact that a Se⁰ contribution is visible after 118 119 one-week ageing (Figure 1 f.), growing up with time. For II-VI materials, the oxidation is a two-step process, with in a first time, the appearance of Se^0 contribution which act as an 120 corrosion intermediate and, in a second time, the oxide growth.¹³ We proved before that the Se 121 ageing mechanism for CIGS surface follow this II-VI's scheme.^{6,11} An explanation to the fact 122 that no Ga, Cu or Se oxide are found, is, in a first time, that CIGS surface undergoes as a 123 124 spontaneous anodic dissolution mechanism in water environment and, in a second time, that differential dissolution of these oxides occurs. 125

127 *3.2.Immersion of aged CIGS surfaces*

To evaluate the solubility of the different oxides present on CIGS surface, aged CIGS samples (at ambient atmosphere), then covered by all the possible oxide phases, were also immersed in deionized water (1 hour, 1 day and 1 week) and their surface evolution checked by XPS measurements (Figure 2). Based on Pourbaix's diagram,¹⁴ InO_x dissolution in pure water is low even practically non-existent with a minimum solubility ($10^{-9.8}$ g-ion L⁻¹). GaO_x dissolution is slow but happens in pure water or dilute mineral acids and CuO_x and SeO_x dissolutions are expected to be faster.



135

136 Figure 2: Normalized evolution of high energy XPS spectra of aged CIGS surface (HCl + KCN treated) over different

137 immersion times in deionized water: GaLMM (a.), CuLMM (b.), SeLMM (c.), InMNN (d.) Auger regions and O1s (e.)

 $138 \qquad \text{and Se3d (f.) photopeaks. The differences observed are represented with an arrow.}$

140 After one hour immersion, the Cu oxide contribution fully disappears (Figure 2 b.) as well as 141 the Na initially present on aged surface (Figure 2 d.). Moreover, a decrease of Se oxide Auger 142 feature is observed (Figure 2 c.), in agreement with the disappearance of the oxide contribution 143 in the Se3d spectral window (Figure 2 f.). After one day immersion, the entire SeO_x contribution 144 has disappeared. Concerning Ga, peak ratios between CIGS and oxide contributions (Figure 2 145 a.) progressively reverse in favor of the CIGS environment. After one-week immersion, Cu and 146 Se oxides have totally disappeared and the surface presents In_{ox} , residual Ga_{ox} and Se^0 (Figure 147 2 d. and e.) All these evolutions are correlated with the decrease of the O1s oxide contribution 148 at $531.0 \pm 0.1 \text{ eV}$ (BE) (Figure 2 e.). As expected, the In oxide contribution doesn't present any 149 evolution over water immersion (Figure 2 d.). Finally, this experiment informs about the 150 dissolution kinetics of the surface oxide layer on aged CIGS sample: Cuox> Seox> Gaox, Inox 151 being almost insoluble.

152

153 *3.3.ICP-OES measurements*

154 Dissolution of Se, Cu and Ga oxides in water was evidenced for CIGS surfaces. Study was thus 155 completed with the dosage of the water solutions in which CIGS samples were immersed using 156 ICP-OES (Table 2). Considering the amounts measured in the different solutions, the 157 dissolution of Se, Cu and Ga is demonstrated, with different kinetics consistent with the oxide 158 solubilities presented in the previous paragraph. Cu is the first element detected by ICP-OES 159 indicating that Cu_{ox} dissolution occurs in a short timescale, after one week of ageing in water. 160 The global balance between oxide formation and dissolution leads to CGI value increase. After 161 one week, only traces are detected in solution and the Cu surface enrichment progressively 162 continues to increase (Table 1). Se and Ga traces appear in solution only after 2 and 3 weeks of 163 ageing in water respectively, in good agreement with previous observations on aged surface 164 evolution in water, with no traces of Se_{ox} neither Ga_{ox}.

165 Concerning Na, a really high concentration is measured even after one week. To determine the 166 contribution of the glass substrate from the sides not covered by CIGS and correct the amount 167 of Na detected only by migration through the absorber layer, samples presenting the same 168 dimension but consisting in glass covered with Mo only have been immersed in water. From 169 this experiment, the Na concentration in water solution per week measured is 200 μ g L⁻¹. The 170 CIGS deposited on top of Mo acts thus as a pump for sodium and releases Na.

4. Conclusion

173 In aqueous media, CIGS surface presents many chemical evolutions. Each CIGS constitutive 174 element presents its own oxidation kinetic as well as its own dissolution kinetic in water, leading 175 to a drastic disorganization of the CIGS network. The resulting surface is representative of 176 several environments: the CIGS one and the oxidized one. However, some of the oxides 177 dissolve directly inside water due to their solubilities. Two specific features are observed for 178 the elements of column III, as Inox growths rapidly and remains stable in time, without 179 dissolution (in coherence with Pourbaix's diagram) while the oxidized contribution of Ga 180 appears with a slower kinetic, as well as its dissolution. Regarding Se behavior, spontaneous 181 oxidation and dissolution at open-circuit potential in water are noticed and supported by the 182 presence of the corrosion intermediate Se⁰. Evolution of Cu is similar to the Se one, leading to 183 a migration of Cu towards the CIGS surface, in contradiction with the trends observed during 184 ageing at ambient atmosphere. This disorganization is accompanied with a major dissolution of 185 Na, coming from the CIGS bulk as well as a surface delamination over time.

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Table 1: GGI and CGI ratios evolution of CIGS surfaces aged in H₂O environment, calculated from the Ga2p_{3/2}, Cu2p_{3/2}

235 and In3d_{5/2} regions after HCl + KCN chemical treatment.

	GGI	CGI	
to	0.28 ± 0.03	0.98 ± 0.05	
1 week	0.15 ± 0.03	3.09 ± 0.05	
2 weeks	0.14 ± 0.03	3.14 ± 0.05	
3 weeks	0.14 ± 0.03	3.21 ± 0.05	
4 weeks	0.14 ± 0.03	3.49 ± 0.05	

239 Table 2: Evolution of element concentrations in aged waters measured by ICP-OES for a "reference" CIGS surface. Na

value is not corrected.

	Concentration ($\mu g L^{-1}$) $\pm 10 \mu g L^{-1}$					
Ageing time	Cu	Ga	In	Se	Na	
1 week	236	/	/	/	641	
2 weeks	264	/	/	161	1459	
3 weeks	269	33	/	316	2480	
4 weeks	278	118	/	355	2658	