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An efficient and robust staggered algorithm applied to the quasi-static description of brittle fracture by a phase-field approach

Ye Lu^{a,*}, Thomas Helfer^a, Benoît Bary^b, Olivier Fandeur^c

^aCEA, DEN, DEC, SESC, LSC, Cadarache, France ^bCEA, DEN, DPC, SECR, LECBA, Saclay, France ^cCEA, DEN, DM2S, SEMT, LM2S, Saclay, France

Abstract

The phase field method has been widely adopted in brittle fracture analysis for its ability to handle complex crack topology. This paper presents a novel efficient and robust phase field algorithm for quasi-static brittle fracture analysis. This algorithm overcomes two major issues that affect significantly the numerical cost of the method: the treatment of **unstable** discontinuous crack propagation and the inequality constraint associated with the irreversibility of the damage evolution. To handle **unstable** discontinuous crack propagation, a semi-implicit scheme, which combines the usual explicit and implicit schemes, is proposed. Different from explicit schemes that require small time steps and purely implicit schemes that **looselose** immediately efficiency when encountering **unstable crackdiscontinuous** propagation, the proposed method can **release** alleviate the steps constraint while keeping a good robustness with discontinuous cracking. Concerning the irreversibility constraint, this work proposes a practical and easy-to-implement method. It is shown that this method is extremely efficient and robust without any supplementary numerical coefficient. The efficiency of the method is demonstrated by means of representative numerical examples.

Keywords: Phase field, Brittle fracture, **Discontinuous crack** propagation, Semi-implicit algorithm, Irreversibility implementation

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^{*}Corresponding author

Email addresses: ye.lu@cea.fr (Ye Lu), thomas.helfer@cea.fr (Thomas Helfer), benoit.bary@cea.fr (Benoît Bary), olivier.fandeur@cea.fr (Olivier Fandeur)

1 1. Introduction

Numerical simulations of fracture processes play an important role in 2 engineering designs. The prediction of crack propagation in brittle materials 3 is usually based on Griffith's theory [1], which compares the energy released 4 by the crack propagation to a critical energy release rate. A general concept 5 in these models is that a necessary condition for crack propagation is that the 6 energy release rate reaches a critical value. Discontinuous crack propagation happens when the elastic energy stored in the body is greater than this value. 8 One of the major difficulties in fracture simulations is the intrinsic spa-9 tial singularity, i.e. the displacement field is discontinuous across the 10 **crack point the crack tip.** The numerical treatment of this singularity in 11 finite element (FE) models can be considered, either by embedding disconti-12 nuity lines by means of remeshing strategies [2, 3] and cohesive elements [4, 5], 13 or by enriching the displacement field with discontinuities using the parti-14 tion of unity method [6], as introduced in extended finite element methods 15 (XFEM) [7]. However, tracing the evolution of complex crack paths, includ-16 ing crack initiation, propagation, merging and branching, in such models has 17 proven to be a tedious task, especially in three dimensional cases [8, 9]. 18

An alternative approach to deal with the discontinuous crack topology 19 consists in incorporating a smooth auxiliary (phase) field. This auxiliary 20 variable describes continuously the transition between fully broken and intact 21 material phases within a small band. As a consequence, discontinuities are 22 not directly introduced into the model, the evolution of fracture surfaces 23 is provided by the problem solution on a fixed mesh. This is particularly 24 advantageous for handling complex crack paths. Following the terminology 25 of [10], such regularized models are referred to as phase field models. 26

Phase field models of quasi-static brittle fracture are based on the vari-27 ational formulation of Griffith's-type methods, proposed by Francfort and 28 Marigo [11] and implemented for the first time by Bourdin et al. [12]. The 29 energy functional in the phase field model resembles closely the potential 30 used in image segmentation [13], which relies on the regularization concept 31 through Γ -convergence [14]. More recently, Miehe et al. [10, 15] presented a 32 quasi-static phase field formulation aligned with thermodynamic arguments. 33 This model possesses several practical features for its numerical implementa-34 tion and has been widely adopted for various applications (see e.g. [16, 17]). 35

A higher-order extension within the isogeometric analysis framework can be found in [18].

The major limit of the phase field method for engineering-size applications is its expensive computational cost. Phase field models usually require very fine meshes around crack paths for convergence reasons. Although parallelization [19] or adaptive meshing strategies [20, 21, 22, 23, 24, 25] can be applied to accelerate the simulations, the development of efficient solution schemes remains a key point to make the method more attractive for problems having real engineering interests.

Depending on whether the displacement and the crack field are computed 45 simultaneously or alternatively alternately, two families of phase field solu-46 tion schemes can be distinguished: monolithic and staggered schemes. Mono-47 lithic schemes are expected to have higher convergence rates, since the two 48 field solutions are solved simultaneously in a unique Newton-Raphson loop. 40 However, this type of approaches suffers from the well-known discontinu-50 ous crack propagation in quasi-static simulations. The loss of convexity of 51 the problem makes the solutions difficult to converge. Additional numerical 52 treatments are usually required to improve its robustness (see e.g. [26]). Fur-53 thermore, this approach cannot be directly implemented into a commercial 54 code without supplementary developments. 55

Staggered schemes are more robust and easier to implement in an ex-56 isting commercial code [27]. They are based on an operator split algo-57 rithm in which the mechanical problem and the damage problem are solved 58 **alternatively alternately**. Explicit or implicit strategies can then both be 59 considered. Explicit schemes [10, 16] consider that the damage is constant 60 over an incremental time step when computing the displacement field. The 61 damage field is updated for the next time step after the convergence of the 62 mechanical problem. They were found to be extremely robust, even with 63 discontinuous crack propagation. Nevertheless, this kind of approaches 64 usually requires very small time steps, which are not accessible for many 65 engineering applications. 66

Implicit schemes can overcomealleviate this time step dependency (see e.g. [28, 29, 30]). The implicit method proposed by [28, 29] is usually known as an alternate minimization scheme, in which two minimization problems for the displacement and damage fields are solved independently by fixing one of these two fields. Similarly to explicit schemes, this method computes the displacement field at constant damage, but instead updates the latter at current time step and then computes again a new displacement. Therefore, different

from the explicit scheme, the convergence of damage field can be ensured 74 for each time step in the alternate minimization procedure. This method 75 is very stable but usually converges extremely slowly, although relaxation 76 methods can be employed to accelerate it [31]. Experience [30] shows that 77 the implicit methods work **independently of with relatively large** time 78 steps but usually require numerous iterations to find the converged solutions, 79 particularly when encountering **unstable** discontinuous crack propagation. 80 Nevertheless, this kind of approaches is appealing for many engineering prob-81 lems subjected to long-term loading (e.g. nuclear fuel simulations [30]), in 82 which small time steps are not acceptable. 83

This work falls within this perspective. In order to have a solution scheme 84 **independent of allowing large** time steps while keeping a good efficiency 85 and robustness for **unstable propagation** discontinuous cracking, we 86 present here a novel staggered scheme, referred to as semi-implicit method, 87 for quasi-static brittle fracture simulations. The first important ingredient 88 of the semi-implicit method relies on an alternative modified one-loop 89 implicit scheme [30], in which we suggest to integrate the damage update into 90 the mechanical Newton loop so as to improve the damage convergence rate. 91 Since the damage field is updated after computing each displacement incre-92 ment estimate, the convergence of the mechanical problem may be strongly 93 perturbed but we can expect a faster damage convergence, compared to the 94 alternate minimization scheme [28, 29]. Indeed, as shown in the examples, 95 damage field can converge relatively faster than displacement field, and even 96 small variations of the damage field can affect significantly the mechani-97 cal equilibrium. Particularly in **unstablediscontinuous** propagation steps, 98 globally stable damage field cannot ensure immediately that equilibrium. 99 We observed that this problem causes a significant number of iterations only 100 needed for achieving that mechanical convergence, after the convergence of 101 damage field. A simple way for overcoming this problem is to switch to a less 102 strict convergence criterion for the mechanical problem. However, this is not 103 considered in this work. Instead, on the level of solution scheme, this work 104 proposes to apply an explicit-type resolution for the mechanical convergence 105 by fixing the damage variables, once the damage convergence is found by the 106 suggested implicit procedure. Therefore, this semi-implicit scheme, which 107 combines the implicit and explicit schemes, presents a twofold advantage: 108 **large** time steps **independence** and robustness for discontinuous cracking. 109 Another important issue in phase field implementation to deal with is the 110 irreversibility condition. The thermodynamics arguments demand that the 111

crack field should not be reversible in any case. This results in an inequality-112 constrained phase field problem. Different methods to impose the irreversibil-113 ity can significantly affect the computational cost of phase field solutions. 114 The simplest way, proposed by Miehe et al. [10], is to introduce a mono-115 tonically increasing history field energy function in the phase field equation 116 to replace the original loading-induced reference energy. This method seems 117 very efficient, since no explicit constraints are introduced in the phase field 118 equation. However, the introduction of the history field makes the phase field 119 solution differ from the original variational framework and its equivalence to 120 the original minimization problem can not be proven [32]. From this view-121 point, the penalty methods [32], which rely on equality-based formulations 122 and can keep the variational nature of the original problem, seem an appeal-123 ing option. The main drawback of these methods lies on the introduction 124 of the penalty coefficient, which may cause ill-conditioning of the problem. 125 Although analytic derivations of the 'optimal' coefficients have been pro-126 posed, the choice of these penalty coefficients remains a delicate task. The 127 most standard method to solve the constrained phase field problem is the La-128 grangian method or its augmented version [33]. This kind of methods may 129 result in a large size system and therefore an extremely high numerical cost, 130 with the introduction of a large amount of extra-variables. Hence, this kind 131 of methods needs an efficient implementation. 132

This work proposes an efficient way to impose the irreversibility condition, 133 which can be viewed as an efficient variant of Lagrangian method for the 134 inequality-constrained phase field problem. Hence, this method can keep the 135 original variational nature of the phase field solution. The implementation 136 relies on an iterative procedure with only equality constraints on a reduced 137 and irreversible active subset, which limits the size of the augmented system. 138 Particularly, a vanishing energy driving force is applied to the constrained 139 subset, which ensures the positivity of Lagrange multipliers. It is shown that 140 the proposed method is very efficient and can lead to a similar result to that 141 of Lagrangian method. Together with the proposed semi-implicit solution 142 scheme, the novel phase field algorithmic implementation seems very robust 143 for quasi-static brittle fracture simulations. Several representative numerical 144 examples will be presented for demonstrating the efficiency and robustness 145 of the method. 146

This paper is organized as follows. Section 2 introduces the phase field formulation for brittle fracture, in which the novel method for imposing the irreversibility condition is described. Then, the semi-implicit solution scheme is exposed in Section 3. Section 4 will present some numerical examples.
Finally, the paper will be closed by some concluding remarks.

¹⁵² 2. Problem formulation

¹⁵³ 2.1. Phase field modeling of crack topology

Let us consider a n dimensional domain $\Omega \subset \mathbb{R}^n$ with a fully open crack 154 $\Gamma \subset \mathbb{R}^{n-1}$. The crack field can be then described by a damage function d(x)155 which is equal to 0 everywhere, except for Γ . For a fully damaged point, we 156 consider that d equals to 1. Figure 1(a) shows a sharp crack topology. Dif-157 fuse approximation consists in introducing a continuous transition between 158 the crack and undamaged zone. This leads to a partially damaged domain 159 around the crack, in which $d \in (0,1)$ (see e.g. Figure 1(b)). In phase field 160 methods [12, 10], the width of the damaged zone is controlled by an inter-161 nal characteristic length l_c (called also regularization length) and the crack 162 surface density can be defined as 163

$$\gamma(d, \nabla d) = \frac{1}{2l_c} (d^2 + l_c^2 |\nabla d|^2)$$
(1)



Figure 1: Approximation of crack topology

As a consequence, the total crack surface is approximated by its integral over the domain

$$\Gamma \approx \Gamma(d) = \int_{\Omega} \gamma(d, \nabla d) dV = \int_{\Omega} \frac{1}{2l_c} (d^2 + l_c^2 |\nabla d|^2) dV$$
(2)

166 2.2. Variational formulation and governing equations

¹⁶⁷ The variational formulation of brittle fracture is given by the work of ¹⁶⁸ Francfort and Marigo [11]. Let Ω denote again the elastic body with a crack ¹⁶⁹ Γ , a prescribed surface loading $\bar{\mathbf{t}}$ is applied on its boundary $\partial\Omega$, the energy ¹⁷⁰ functional in quasi-static setting reads

$$\Pi(\mathbf{u},\Gamma) = \underbrace{\int_{\Omega} \psi_e(\boldsymbol{\varepsilon}_e(\mathbf{u})) dV}_{\Pi_e} + \underbrace{\int_{\Gamma} G_c d\Gamma}_{\Pi_d} - \underbrace{\int_{\partial\Omega} \bar{\mathbf{t}} \mathbf{u} dA}_{\Pi_{\text{ext}}}$$
(3)

where **u** denotes the displacement field, G_c is the critical release energy per 171 unit crack surface, and Π_e , Π_d , Π_{ext} denote respectively the elastic bulk en-172 ergy, dissipation potential and external work. In this work, no external 173 applied forces are taken into account. Hence, we can simply con-174 sider t = 0 without changing the formulation. According to Griffith's 175 theory, cracks should propagate along a path of the least energy and satisfy 176 the irreversibility condition: $\Gamma(s) \subseteq \Gamma(t), \forall s < t$, which leads to a minimiza-177 tion problem for crack propagation 178

$$(\mathbf{u}, \Gamma) = \operatorname{Arg}\left\{\inf_{\mathbf{u}^*, \Gamma^*} \Pi(\mathbf{u}^*, \Gamma^*)\right\}$$
(4)

We remark that the volume body forces are neglected here. Under infinitesimal strain assumption, the elastic strain tensor is defined as

$$\boldsymbol{\varepsilon}_e = \boldsymbol{\varepsilon} = \nabla_s \mathbf{u} \tag{5}$$

where ∇_s denotes the symmetric gradient operator.

¹⁸² The dissipation functional is defined as the work needed to create the ¹⁸³ corresponding crack surface Γ which is approximated by $\Gamma(d)$ in diffuse ap-¹⁸⁴ proximation, i.e.

$$\Pi_d = \int_{\Gamma} G_c d\Gamma \approx \int_{\Gamma} G_c \gamma(d, \nabla d) d\Gamma$$
(6)

In order to be consistent with the second thermodynamic principle, we demand a positive crack dissipation rate: $\dot{\Pi}_d \ge 0$. This results in

$$\delta_d \gamma \ge 0 \quad \text{and} \quad d \ge 0 \tag{7}$$

where $\delta_d \gamma = \frac{1}{l_c} (d - l_c^2 \Delta d)$. Note that this positive increment constraint (7) ensures the global irreversibility condition of Γ . In addition, the first condition in (7) is automatically satisfied in phase field approximation. Taking into account the rigidity degradation of material due to the fracture and a spectral decomposition of strain tensor [10] for preventing the crack opening in compression, we can define the elastic energy functional as

$$\Pi_e = \int_{\Omega} \left(g(d) \psi_e^+(\boldsymbol{\varepsilon}) + \psi_e^-(\boldsymbol{\varepsilon}) \right) dV \tag{8}$$

where the degradation function is chosen as: $g(d) = (1-d)^2 + k$, with a small value k appearing to avoid singular problems. In our work, this value is set to 10^{-10} . The spectral decomposition of strain is written as

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^+ + \boldsymbol{\varepsilon}^- \quad \text{with } \boldsymbol{\varepsilon}^\pm = \sum_{i=1} \langle \varepsilon_i \rangle^\pm \mathbf{n}_i \otimes \mathbf{n}_i$$
 (9)

where $(\cdot)^+$ and $(\cdot)^-$ denote respectively the tensile and compressive modes. ε_i and \mathbf{n}_i are the eigenvalues and eigenvectors in different dimensions. The Macaulay brackets are defined as: $\langle \cdot \rangle^{\pm} = \frac{1}{2}(\cdot \pm |\cdot|)$. With the Lamé constants λ and μ , the elastic energy relating to each part of strain is defined as

$$\psi_e^{\pm}(\boldsymbol{\varepsilon}) = \frac{\lambda}{2} (\langle \operatorname{tr}[\boldsymbol{\varepsilon}] \rangle^{\pm})^2 + \mu \boldsymbol{\varepsilon}^{\pm} : \boldsymbol{\varepsilon}^{\pm}$$
(10)

²⁰⁰ Therefore, considering that $\Pi_e = \int_{\Omega} \Psi_e dV = \int_{\Omega} (\boldsymbol{\sigma} : \boldsymbol{\varepsilon} - fd) dV$, the ²⁰¹ definition of stress is given by

$$\boldsymbol{\sigma} = \frac{\partial \Psi_e}{\partial \boldsymbol{\varepsilon}} = g(d) \left(\lambda \langle \operatorname{tr}[\boldsymbol{\varepsilon}] \rangle^+ \mathbf{I} + 2\mu \boldsymbol{\varepsilon}^+ \right) + \lambda \langle \operatorname{tr}[\boldsymbol{\varepsilon}] \rangle^- \mathbf{I} + 2\mu \boldsymbol{\varepsilon}^-$$
(11)

²⁰² and the energetic driving force of damage reads

$$f = -\frac{\partial \Psi_e}{\partial d} = 2(1-d)\psi_e^+ \tag{12}$$

Taking the variation of the total energy functional, the optimization problem (4) with inequality constraint becomes [10]:

$$\begin{cases} \operatorname{div} \boldsymbol{\sigma} = 0 & \text{in } \Omega \\ \boldsymbol{\sigma} \cdot \mathbf{n} = \bar{\mathbf{t}} & \text{on } \partial_{\boldsymbol{\sigma}} \Omega \end{cases}$$
(13)

²⁰⁵ with a Kuhn-Tucker-type (KT) condition

$$\dot{d} \ge 0, \quad f - G_c \delta_d \gamma \le 0, \quad \dot{d}(f - G_c \delta_d \gamma) = 0$$
 (14)

in the whole domain Ω and $\nabla d \cdot \mathbf{n} = 0$ on the boundary $\partial \Omega$. Note that the equation (14)₃ allows to compute the damage d when $\dot{d} > 0$, i.e.

$$f - G_c \delta_d \gamma = 0 \quad \to \quad 2(1-d)\psi_e^+ = \frac{G_c}{l_c} \left(d - l_c^2 \Delta d \right) \quad \text{for } \dot{d} > 0 \tag{15}$$

208 2.3. Finite element discretization

The implementation of phase field method can be performed in different manners (monolithic or staggered), as mentioned in introduction. For the reason of stability and robustness, this work is based on a operator split scheme, i.e. staggered implementation [10].

²¹³ The finite element method is used for the spatial discretization.

$$\mathbf{u}(x) = \mathbf{N}_u(x)\mathbf{U}, \quad d(x) = \mathbf{N}_d(x)\mathbf{d}$$
(16)

where **U** and **d** are respectively the usual nodal displacement and damage vectors. \mathbf{N}_u and \mathbf{N}_d are corresponding FE shape functions. Noting by **B** the gradient of shape functions, the FE discretization leads to the two following equations (17) et (18):

$$\mathbf{R}^{u}(\mathbf{u}, d, t) = \frac{\partial \Pi}{\partial \mathbf{u}} = \frac{\partial \Pi_{e}}{\partial \mathbf{u}} - \frac{\partial \Pi_{\mathbf{ext}}}{\partial \mathbf{u}} = \mathbf{F}_{\mathbf{int}}(\mathbf{u}, d) - \mathbf{F}_{\mathbf{ext}}(t)$$
(17)

²¹⁸ where $\mathbf{F}_{int} = \int_{\Omega} \mathbf{B}_{u}^{T} \boldsymbol{\sigma} dV, \ \mathbf{F}_{ext} = \int_{\partial \Omega} \mathbf{N}_{u}^{T} \mathbf{\bar{t}} \ dA.$

$$\mathbf{R}^{d}(\mathbf{u},d) = \frac{\partial \Pi}{\partial d} = \frac{\partial \Pi_{e}}{\partial d} + \frac{\partial \Pi_{d}}{\partial d} = \mathbf{K}_{d}(\mathbf{u})\mathbf{d} - \mathbf{F}_{d}(\mathbf{u})$$
(18)

where $\mathbf{K}_d = \int_{\Omega} (2\psi_e^+ + \frac{G_e}{l_e}) \mathbf{N}_d^T \mathbf{N}_d dV + \int_{\Omega} G_e l_e \mathbf{B}_d^T \mathbf{B}_d dV$, $\mathbf{F}_d = \int_{\Omega} 2\mathbf{N}_d^T \psi_e^+ dV$. The solution (\mathbf{u}, d) to the original variational problem (4) should be computed through minimizing the residuals \mathbf{R}^u and \mathbf{R}^d under the irreversibility condition $\dot{d} \ge 0$. Due to the splitting of the energy (11), the mechanical problem (17) remains nonlinear even when fixing the damage variable at a constant stage. The standard Newton-Raphson method is usually applied for the mechanical problem.

227 2.4. Irreversibility condition

As the reference elastic energy ψ_e^+ can decrease with external loading, the desired condition: $\dot{d} \ge 0$ in Ω is not automatically satisfied in the method. Hence, this point needs some additional treatment. To this end, different methods exist in the literature.

232 2.4.1. Brief review of previous methods

The most thorough way from the mathematical point of view is to apply an inequality constraint when solving the phase field equation

$$2(1-d)\psi_e^+ = \frac{G_c}{l_c} \left(d - l_c^2 \Delta d\right) \quad \text{subject to} \quad \dot{d} \ge 0 \tag{19}$$

As mentioned in the introduction, this inequality constraint can be enforced using the Lagrange multiplier (see e.g. [28, 33]). However, the standard Lagrangian method results in a large size augmented system, which is extremely expensive to solve. Its application to an engineering size problem is inappropriate. An alternative option is to use the penalty method [32] which does not introduce extra-variables in the problem. However, the introduction of penalty coefficients may result in ill-conditioned systems.

A more efficient way [12] is to solve the phase field equation (18) with a constraint on the damage variation only when a point reaches a critical value, i.e.

$$\dot{d} = 0 \quad \text{for } d \approx 1 \tag{20}$$

Therefore, this method only prevents the cure of fully broken points. The irreversibility condition is not ensured in the whole domain Ω .

²⁴⁷ An alternative efficient method [34] consists in solving, at first, only the ²⁴⁸ unconstrained phase field equation (18) for the whole domain Ω , and then ²⁴⁹ doing an a posteriori projection, which reads

$$\begin{cases} 2(1 - d^*)\psi_e^+ = \frac{G_c}{l_c} \left(d^* - l_c^2 \Delta d^* \right) \\ d(t) = \max(d^*, d(s)), \quad \forall s < t \end{cases}$$
(21)

This method enforces the exact irreversibility condition, but the projection makes the solution deviate from the original variational framework.

²⁵² More recently, Miehe et al. [10] proposed to introduce a compact history ²⁵³ field function \mathcal{H} to replace the reference elastic energy ψ_e^+ in phase field ²⁵⁴ equation, which results in

$$\begin{cases} \mathcal{H} = \max\left(\psi_e^+(t), \psi_e^+(s)\right), & \forall s < t\\ 2(1-d)\mathcal{H} = \frac{G_c}{l_c}\left(d - l_c^2 \Delta d\right) \end{cases}$$
(22)

In this method, the increasing of damage is driven by the history field function \mathcal{H} . The following KT condition is expected to be satisfied in Ω

$$\dot{d} \ge 0, \quad \psi_e^+ - \mathcal{H} \le 0, \quad \dot{d}(\psi_e^+ - \mathcal{H}) = 0$$
 (23)

As commented by [10], the damage will not decrease with the monotonically 257 increasing function \mathcal{H} , therefore the irreversibility $d \geq 0$ can be always en-258 sured. However, nothing can guarantee the consistency condition $(23)_3$: the 259 equation (22) does not guarantee that the damage will not increase with a 260 decreasing reference energy ψ_e^+ . Indeed, the introduction of the history field 261 function, which can be viewed as an a priori projection, makes the solution 262 lose its original variational nature. Regardless of this drawback, this method 263 has been widely adopted for its implementation simplicity and efficiency. 264

265 2.4.2. An efficient irreversibility implementation

In order to keep at maximum the variational nature of the phase field solutions without losing much efficiency, we propose here a novel implementation of the inequality condition. Let us consider a time discrete formulation of the constrained damage problem (19), the damage at instant t is given by

$$2(1-d_t)\psi_e^+ = \frac{G_c}{l_c} \left(d_t - l_c^2 \Delta d_t \right) \quad \text{subject to} \quad d_t \ge d_{t-1}$$
(24)

The use of standard Lagrangian method leads to the following equations with equality constraints on a set of points

$$\begin{cases} 2(1 - d_t)\psi_e^+ + \gamma = \frac{G_c}{l_c} \left(d_t - l_c^2 \Delta d_t \right) \\ \gamma(d_t - d_{t-1}) = 0 \\ \gamma \ge 0 \end{cases}$$
(25)

where γ denotes the Lagrange multiplier, which should not be negative: 272 $\gamma \geq 0$. The solution of the above equations can be found by an iterative 273 procedure, in which the values of Lagrange multipliers are updated accord-274 ing to the current estimate and successively until all the constrained points 275 have positive damage increments. This procedure is extremely expensive for 276 two reasons: introduction of a large number of additional variables (which 277 is equal to the degree number of original system) and numerous iterations 278 required for finding the a priori unknown values of Lagrange multipliers. Al-279 though active-set algorithms (e.g. [22]) can be used to reduce the 280 additional variables, the iterations number may remain important. 281 In this work, we propose an efficient solution procedure with only equality 282 constrains on a subset of the global system, which is based on the following 283

284 modified formulation

$$\begin{cases} 2(1-d_t)(1-p)\psi_e^+ + p\gamma = \frac{G_c}{l_c} (d_t - l_c^2 \Delta d_t) \\ \gamma(d_t - d_{t-1}) = 0 \\ \gamma \ge 0 \\ \gamma(1-p) = 0 \end{cases}$$
(26)

where p is an indicator function which equals to 1 for the constrained subset and equals to 0 for the unconstrained subset. The first equation implies that the energetic driving force $f = \frac{\partial \Psi_e}{\partial d}$ (12) should be vanishing for the constrained points. This supplementary condition: f = 0 ($\psi_e^+ = 0$) has a minor effect on those unconstrained points. Hence, the final phase field solution is expected to keep the original variational nature. This formulation enables the following solution procedure:

• Given a precomputed energy ψ_e^+ and the previous damage d_{t-1}

• Initiation:
$$p(x) = 0, \forall x \in \Omega$$

• For iteration i

295

296

297

- 1. Compute the damage d_i^* with $d_i^* = d_{t-1}$ on the constrained set $\mathcal{D} = \{x \in \Omega | \ p(x) = 1\}$
- 2. Determine the decreasing points $\mathcal{D}^* = \{x \in \Omega | d_i^* < d_{t-1}\}$
- ²⁹⁸ 3. Update the constrained set $\mathcal{D} = \mathcal{D} \bigcup \mathcal{D}^*$
- 299 4. Update the indicator function $p(x) = 1, \forall x \in \mathcal{D}$
- 5. Check the convergence: If $\mathcal{D}^* = \emptyset$ or $||d_i^* d_{i-1}^*||_{\infty} \leq \epsilon_c$, then $d_t = d_i^*$, END. Otherwise, repeat the iteration.

This procedure is computationally efficient, since only equality constraints 302 are imposed on a subset of the global system. In addition, it should be 303 noticed that the constrained set is irreversibly updated in the sense that 304 one already constrained point cannot become unconstrained in a solution 305 loop. The feasibility of this concept is ensured by the vanishing energetic 306 driving force, which guarantees the positivity of the Lagrange multiplier. 307 This way, the iterative procedure usually converges very fast within several 308 iterations. The irreversibility condition: d > 0 is automatically satisfied with 309 the converged solution. Compared to those projection-based approaches (e.g. 310

[10, 34]), this method is more expensive but is expected to lead to more robust
 variationally-consistent phase field solutions.

The proposed implementation differs from active-set algorithms 313 in the following aspects. The constrained set is increasingly up-314 dated for a given energy state: $\mathcal{D}^i \subset \mathcal{D}^{i+1}$, where i stands for the it-315 eration step. However, in active-set algorithms, active constrained 316 points may be removed from the constrained set (e.g. according 317 to the possitivity of the Lagrange multiplier), hence $\mathcal{D}^i \not\subset \mathcal{D}^{i+1}$. In 318 addition, the energy is removed for the constrained set in proposed 319 algorithm, which is not the case for active-set algorithms. The im-320 pact of this irreversibility implementation is numerically analyzed 321 by a 1D example in Appendix. 322

323 3. A robust solution scheme for unstable crack propagation

324 3.1. Unstable Discontinuous crack growth

In quasi-static crack modeling, unstable crack propagation is present 325 as a discontinuous crack evolution in timediscontinuous crack evo-326 lution happens as the jump of the crack field. It remains a compu-327 tationally challenging problem with standard iterative Newton algorithms, 328 since the jump of the solution (e.g. displacement field) is difficult to 329 capture with the tangent operator due to the loss of convexity of the 330 **problem**. One possible way to overcome this issue is to switch to truly dy-331 namic simulations [35, 36, 37], in order to capture the loss of kinetic energy 332 which is not taken into account in quasi-static simulations. However, the nu-333 merical integration scheme requires very small time steps for capturing the 334 stress waves. Although this issue can be overcome using for example mass 335 scaling techniques [38], this kind of dynamic approaches is not considered in 336 this work. Hence the development of efficient solution schemes is essential 337 to deal with the **unstable discontinuous** crack propagation in quasi-static 338 simulations. 339

340 3.2. Explicit scheme

An efficient phase field solution scheme has been proposed by [10] and improved by [16]. This kind of approaches, referred to as explicit scheme, consists in solving the mechanical equation at a constant damage for each time step. Once the converged mechanical solution obtained, the damage is then updated for the next step. Since the mechanical and damage problems are decoupled, the problem to be solved becomes convex. This method
shows an excellent convergence property even when unstable discontinuous cracking occurs. However, as the convergence on damage is not checked,
small time steps are usually demanded. The solution procedure is summarized as follows:

- At time step $t, d^* = d_{t-1}$
- Loop for mechanical equilibrium: find u by minimizing $\mathbf{R}^{u}(\mathbf{u}, d^{*}, t)$
- If convergence, update $\mathbf{u}_t = \mathbf{u}$ and internal variables
- Loop for the damage problem: find d by minimizing $\mathbf{R}^{d}(\mathbf{u}_{t}, d)$ with $\dot{d} \geq 0$
- Update the damage field: $d_t = d$
- Pass to next time step

We remark here the irreversibility condition is assumed to be considered by one of the previously mentioned methods in section 2.4. This remark holds for the following sections.

- 361 3.3. Implicit scheme
- 362 3.3.1. Alternate minimization scheme

In order to release the time step constraint, the convergence on damage field must be additionally checked. The alternate minimization scheme [28, 29] is similar to the explicit scheme, but has an additional loop on the damage field before passing to the next step:

- At time step $t, d^0 = d_{t-1}$
- Loop on j = 0, 1, 2, ...

370

- 1. Loop for mechanical equilibrium: find u by minimizing $\mathbf{R}^{u}(\mathbf{u}, d^{j}, t)$
 - 2. If convergence, update $\mathbf{u}_t = \mathbf{u}$ and internal variables
- 371 3. Loop for the damage problem: find d by minimizing $\mathbf{R}^{d}(\mathbf{u}_{t}, d)$ 372 with $\dot{d} \geq 0$
- 4. Update the damage field: $d^{j+1} = d$

5. If $||d^{j+1} - d^j|| \le \epsilon_d$, then $d_t = d^{j+1}$, pass to next time step 6. Otherwise, repeat the *j*-loop

Some variants with different convergence criterion for damage field can also be found in the literature (e.g. [29, 32]). This scheme is stable in the sense that the mechanical loop always converges within limited iterations, thanks to the decoupling of the two problems. The main drawback is the low convergence rate of the global damage field.

381 3.3.2. A modified one-loop implicit scheme

Alternatively, we can consider an implicit method by integrating the damage update in the mechanical loop:

- At time step t, $\mathbf{u}^0 = \mathbf{u}_{t-1}$, $d^0 = d_{t-1}$
- Mechanical Newton loop on i = 0, 1, 2, ...
- 386 1. Compute a displacement increment $\delta \mathbf{u}^{i+1} = -\mathbf{K}_u^{-1} \mathbf{R}^u (\mathbf{u}_{t-1}, d^i, t)$
- 387 2. Update $\mathbf{u}^{i+1} = \mathbf{u}_{t-1} + \delta \mathbf{u}^{i+1}$ and internal variables
- 388 3. Loop for the damage problem: find d by minimizing $\mathbf{R}^{d}(\mathbf{u}^{i+1}, d)$ 389 with $\dot{d} \ge 0$
- 390 4. Update the damage field: $d^{i+1} = d$
- 5. If convergence, then $\mathbf{u}_t = \mathbf{u}^{i+1}$, $d_t = d^{i+1}$, pass to next time step
- ³⁹² 6. Otherwise, repeat the Newton loop

where K_u stands for the tangent stiffness matrix or the initial elastic stiffness matrix for the modified Newton method.

Generally **speaking**, the iterative Newton procedure should be repeated 395 until the convergence of both mechanical and damage problems. In this 396 one-loop scheme, a necessary condition for the global mechanical 397 equilibrium is the convergence of the damage field. Therefore, the 398 residual \mathbf{R}^{u} can be used as a global convergence criterion. This algo-399 rithm is particularly attractive, since the integration of damage update into 400 the mechanical loop makes the two problems coupled in a stronger 401 manner and should be helpful for the global convergence. In addition, 402 the acceleration techniques [39] available for the mechanical convergence can 403 be easily applied to accelerate the global convergence. 404

This approach has been implemented for a phase field modeling of nuclear fuel [30]. For overcoming the **discontinuous** propagation steps, a fictive

path loading method [40] is employed in that implementation. This method 407 consists in accepting unstable states during the propagation and re-starting 408 the iteration by considering the displacement increment $\delta \mathbf{u}^0$ equals to zero. 409 It is shown that this implicit scheme can find a solution of the non-convex 410 **problem** with an important number of iterations for the **discontinuous** 411 crack propagation. Furthermore, we observed that many iterations are per-412 formed after the convergence of damage field, if a large jump of crack 413 occurs. The reason is multi-fold. First, due to the jump of crack, 414 the displacement solution is far from the current estimate, this 415 challenges the standard Newton procedure. Second, as mention 416 previously, the splitting of the strain energy increases the non-417 linearity of the problem. Even if the damage field remains stable, 418 numerous iterations are still needed. In addition, a large crack may 419 lead to ill-conditioned mechanical systems, the integration of the dam-420 age update can strongly perturb the mechanical convergence. Numerically, 421 small damage variations can lead to a significant change of mechanical state. 422 From a physical point of view, the total dissipated energy in a **discontinuous** 423 cracking step should be larger than the amount due to the crack growth. 424 Therefore, the crack stability may be reached before the system falls into its 425 equilibrium state. In order to solve the convergence problem, especially for 426 the mechanical equilibrium, a semi-implicit scheme is proposed. 427

428 3.4. A semi-implicit scheme

440

The basic idea is to start with a purely implicit solution for the damage 429 prediction, and then switch to an explicit solution once the damage con-430 verged. As mentioned earlier, many iterations are required for the mechanical 431 equilibrium after the damage convergence, and the decoupling of these two 432 problems should help to accelerate this procedure. Therefore, appropriate 433 convergence criteria are needed for detecting the instabilities as well as the 434 convergence of each problem (mechanical and damage). We can summarize 435 the solution scheme as follows: 436

• At time step t, $\mathbf{u}^0 = \mathbf{u}_{t-1}$, $d^0 = d_{t-1}$

• Mechanical Newton loop on i = 0, 1, 2, ...

439	1. Compute a displacement increment $\delta \mathbf{u}^i$	$\mathbf{H}^{+1} = -\mathbf{K}_u^{-1}\mathbf{R}^u(\mathbf{u})$	$_{t-1}, d^i, t)$
-----	---	--	-------------------

2. Update $\mathbf{u}^{i+1} = \mathbf{u}_{t-1} + \delta \mathbf{u}^{i+1}$ and internal variables

441	3.	Loop for the damage problem: find d by minimizing $\mathbf{R}^{d}(\mathbf{u}^{i+1}, d)$
442		with $\dot{d} \ge 0$
443	4.	Update the damage field: $d^{i+1} = d$
444	5.	Convergence check:
445		If $ d^{i+1} - d^i > \epsilon_d$ and $ \mathbf{R}^u(\mathbf{u}^{i+1}, d^i, t) > \epsilon_u$, then $(\cdot)^i \leftarrow (\cdot)^{i+1}$,
446		return to step 1 for next iteration.
447		If $ d^{i+1} - d^i \leq \epsilon_d$ and $ \mathbf{R}^u(\mathbf{u}^{i+1}, d^i, t) \leq \epsilon_u$, then $(\cdot)_t = (\cdot)^{i+1}$,
448		pass to next time step.
449		If $ d^{i+1} - d^i \le \epsilon_d$ and $ \mathbf{R}^u(\mathbf{u}^{i+1}, d^i, t) > \epsilon_u$, then $d_t = d^{i+1}$, end
450		of iterations and pass to the explicit solution step.

451 452

• Explicit solution at the constant damage d_t : find u by minimizing $\mathbf{R}^u(\mathbf{u}, d_t, t)$

• If convergence, then $u_t = u$, pass to next time step and start from the implicit loop

ContrarilyContrary to purely explicit schemes, the semi-implicit solution is obtained at a converged damage state. Hence, some characteristics (e.g. large time steps) of the implicit scheme are conserved in this semiimplicit method. In the meantime, the explicit solution at a constant damage allows to efficiently overcome the difficulties due to the discontinuous propagation. This will be illustrated in numerical experiments with comparison to the modified implicit method.

Remark that the solution schemes presented in this section are independent of the irreversibility implementation. They can be used for any staggered phase field model.

465 3.5. Discussion on the accuracy of different solution schemes

Assuming the spatial discretization error is small enough, a necessary condition to accurate solutions is full-filling the convergence criteria for both mechanical and damage problems: $\mathbf{R}^{u} = 0$ and $\mathbf{R}^{d} = 0$ for $\dot{d} > 0$ and $\mathbf{R}^{d} > 0$ for $\dot{d} = 0$.

As shown previously, the explicit schemes only update displacement and damage fields at staggered steps. The convergence criteria on $\mathbf{R}^{u}(\mathbf{u}, d, t)$ and $\mathbf{R}^{d}(\mathbf{u}, d)$ are never strictly verified. In general, explicit schemes only approximate the accurate solutions with sufficiently small time steps. Fully implicit schemes, both alternate minimization and the modified one-loop scheme, should be able to provide accurate results as they can strictly full-fill the necessary condition at each time step.

The semi-implicit scheme can be regarded as a trade-off between 479 them. At each time step, the scheme starts from a purely implicit 480 solution, but activates an explicit solution as long as the damage 481 field is converged. In discontinuous cracking steps, the converged 482 solution always verifies the $\mathbf{R}^{u}(\mathbf{u}, d, t) = 0$, but not necessarily the 483 one on $\mathbf{R}^{d}(\mathbf{u}, d)$. Indeed, the explicitly computed displacement may 484 introduce a new energy state that can affect the previously com-485 puted damage field. However, the influence is expected to be small 486 and limited, since the semi-implicit solution is obtained with a con-487 verged damage field at each time step. In other words, the criterion 488 on $\mathbf{R}^{d}(\mathbf{u}, d)$ is expected to be verified if the damage field has well 489 converged. The semi-implicit solution should globally approximate 490 the accurate fully implicit solution. In particular, we have the fol-491 lowing result. 492

493 Proposition 1. If the explicit and implicit solutions can both converge to the
494 exact solution with time refinement, then the semi-implicit solution converges
495 to the exact solution with time refinement.

Proof. Let $u \in V \subset H^1(\Omega)$ denote the exact solution, $u_h \in V_h \subset H^1(\Omega)$ the discretized one. u_h^{Expl} , u_h^{Impl} , $u_h^{\text{Semi-Impl}}$ are defined to be the solutions computed respectively by the explicit, implicit and semi-implicit schemes for the same spatial and time discretization h. Assuming $u \neq 0$, the following relation can be obtained by Hölder's inequality

$$\sum_{t=1}^{n} \|u_{h}(t) - u(t)\|_{L^{1}(\Omega)} = \sum_{t=1}^{n} \|(\frac{u_{h}(t)}{u(t)} - 1)u(t)\|_{L^{1}(\Omega)}$$

$$\leq \|(\frac{u_{h}(t)}{u(t)} - 1)\|_{L^{2}(\Omega \times \Omega_{T})} \|u(t)\|_{L^{2}(\Omega \times \Omega_{T})}$$

$$\leq C_{h} \|u(t)\|_{L^{2}(\Omega \times \Omega_{T})}$$
(27)

where we introduce an error discrepancy factor C_h which only depends on the discretization factor h, Ω_T denotes the time domain. Assuming the spatial discretization error is neglectable, the convergence of u_h with respect to time

refinement is defined as: $\lim_{h\to 0} C_h = 0$. Hence, the convergence of explicit and implicit solutions can be defined as

$$\sum_{t=1}^{n} \|u_{h}^{\text{Expl}}(t) - u(t)\|_{L^{1}(\Omega)} \leq C_{h}^{1} \|u(t)\|_{L^{2}(\Omega \times \Omega_{T})}$$

$$\sum_{t=1}^{n} \|u_{h}^{\text{Impl}}(t) - u(t)\|_{L^{1}(\Omega)} \leq C_{h}^{2} \|u(t)\|_{L^{2}(\Omega \times \Omega_{T})}$$
(28)

By definition, we have

$$\sum_{t=1}^{n} \|u_{h}^{\text{Impl}}(t) - u(t)\|_{L^{1}(\Omega)} \leq \sum_{t=1}^{n} \|u_{h}^{\text{Semi-Impl}}(t) - u(t)\|_{L^{1}(\Omega)}$$

$$\leq \sum_{t=1}^{n} \|u_{h}^{\text{Expl}}(t) - u(t)\|_{L^{1}(\Omega)}$$
(29)

Therefore, if $\lim_{h\to 0} C_h^1 = 0$ and $\lim_{h\to 0} C_h^2 = 0$, then

$$\lim_{h \to 0} \sum_{t=1}^{n} \|u_h^{\text{Semi-Impl}}(t) - u(t)\|_{L^1(\Omega)} = 0$$
(30)

Analogically, the above equality holds for u = 0. The proof is closed.

497 3.6. Implementation in Cast3M

The proposed method can be easily implemented in the code Cast3M 498 without supplementary developments. Particularly, the material behavior 499 generator MFront [41] is used here for implementing the softening mechan-500 ical response due to the cracking. For summarizing, the overall phase field 501 algorithmic implementation is illustrated in Algorithm 1. In addition, this 502 work makes use of the convergence acceleration tools available in Cast3M, 503 i.e. the fix point acceleration techniques [39], when solving the mechanical 504 problem. 505

506 3.7. Discussion on the choice of convergence criteria

The proposed semi-implicit solution scheme requires several convergence checks. Generally speaking, different criteria can be used. For example, for the mechanical loop, we can check also the convergence on displacement

Algorithm 1: Semi-implicit staggered phase field implementation **Input:** Solution at previous instant: \mathbf{u}_{t-1} , d_{t-1} **Output:** Solution at current instant: \mathbf{u}_t , d_t 1 Initiation: $\delta \mathbf{u}^0 = 0, \, \delta d^0 = 0$ **2** for $i = 0, ..., i_{\text{max}}$ do Compute a displacement increment: $\delta \mathbf{u}^{i+1}$ 3 Update displacement: \mathbf{u}^{i+1} 4 Update and internal variables: $\boldsymbol{\sigma}, \psi_e^+$ // via MFront $\mathbf{5}$ Update damage variable: d^{i+1} // Algorithm 2 6 /* Check convergence: */ $\begin{array}{c|c} \mathbf{if} & \underline{\|d^{i+1} - d^i\| \leq \epsilon_d \& \|\mathbf{R}^u(\mathbf{u}^{i+1}, d^i)\| \leq \epsilon_u} \\ & | & \overbrace{(\cdot)_t \leftarrow (\cdot)^{i+1}} \end{array} \end{array}$ 7 8 End Loop 9 else if $\underline{\|d^{i+1} - d^i\|} \leq \epsilon_d \& \|\mathbf{R}^u(\mathbf{u}^{i+1}, d^i)\| > \epsilon_u$ then $\|d_t \leftarrow d^{i+1}$ 10 11 Solve \mathbf{u}_t at constant damage d_t // Algorithm 3 $\mathbf{12}$ End Loop $\mathbf{13}$ else $\mathbf{14}$ $(\cdot)^i \leftarrow (\cdot)^{i+1}$ 1516 Return \mathbf{u}_t, d_t

variation: $\|\delta \mathbf{u}^{i+1}\|$ in addition to the global equilibrium $\mathbf{R}^u = 0$, or just use 510 one of these two criteria instead. In principle, the global equilibrium 511 ensures automatically the convergence on displacement variation. 512 Conversely, it is not true. The influence of different criteria on computa-513 tional cost can be studied, but is out of the scope of this work. In any cases, 514 the relative tolerance for mechanical and damage convergence check, re-515 spectively related to ϵ_u and ϵ_d (Algorithm 1 and 3), should be at least 516 of order 10^{-4} for a good accuracy. The **irreversibility** tolerance ϵ_c used 517 in Algorithm 2 should be at least of order 10^{-2} . In the following numerical 518 examples, both displacement stability and equilibrium residual are used for 519 the mechanical convergence. The damage convergence is considered by the 520 crack stability, as shown in the algorithms. 521

Algorithm 2: Phase field solution under irreversibility condition

Input: Solution at previous instant: d_{t-1} , reference energy: ψ_e^+ **Output:** Damage prediction: d 1 Initiation: $p(x) = 0, \forall x \in \Omega, \mathcal{D} = \emptyset$ 2 for $\underline{i=1,\ldots,i_{\max}}$ do $\overline{\text{Compute } d^i \text{ with } p \text{ and } d^i = d_{t-1} \text{ on } \mathcal{D}$ // Equation (26)3 Detect new constraint set: $\mathcal{D}^* = \{x \in \Omega | d^i < d_{t-1}\}$ $\mathbf{4}$ /* Check convergence: */ if $\underline{\mathcal{D}^*} = \emptyset$ or $\|d^i - d^{i-1}\|_{\infty} \le \epsilon_c$ then $\mathbf{5}$ $d = d^i$ 6 End Loop 7 else 8 Update the constraint set $\mathcal{D} = \mathcal{D} \bigcup \mathcal{D}^*$ 9 Update the indicator function $p(x) = 1, \forall x \in \mathcal{D}$ $\mathbf{10}$ $(\cdot)^{i-1} \leftarrow (\cdot)^i$ 11 12 Return d

Algorithm 3: Explicit solution under constant damage				
Input: Solution at previous instant: \mathbf{u}_{t-1} , constant damage: d_t				
Output: Solution at current instant: \mathbf{u}_t				
1 Initiation: $\delta \mathbf{u}^0 = 0$				
2 for $i = 0,, i_{\text{max}}$ do				
3 Solve the mechanical problem with d_t : $\delta \mathbf{u}^{i+1}$				
4 Update displacement: \mathbf{u}^{i+1}				
5 Update internal variables: σ // via MFront				
/* Check convergence: */				
6 if $\ \mathbf{R}^{u}(\mathbf{u}^{i+1}, d_{t})\ \leq \epsilon_{u}$ then				
7 $\left \begin{array}{c} \overbrace{(\cdot)_t \leftarrow (\cdot)^{i+1}} \end{array} \right $				
8 End Loop				
$j = \frac{1}{2} \int \frac{1}{2} \frac{1}{2$				
10 $[(\cdot)^{\circ} \leftarrow (\cdot)^{\circ+1}]$				
11 Return \mathbf{u}_t				

522 4. Numerical experiments

523 4.1. Single edge notched tensile test

The first test concerns the well known single edge notched tensile test 524 [10]. Figure 2 illustrates the geometry and prescribed boundary conditions. 525 The displacement loading is imposed on the top side of the specimen while 526 keeping the bottom side fixed. The material properties are set to the same 527 as [10]: Young's modulus $E = 210 \text{ kN/mm}^2$, Poisson's ratio $\nu = 0.3$, critical 528 energy release rate $G_c = 2.7 \times 10^{-3}$ kN/mm. The FE mesh is generated using 529 linear triangular elements and refined around the expected crack path for a 530 size about twice smaller than the concerned regularization length. 531



Figure 2: Geometry and boundary conditions for single edge notched test [mm]

532 4.1.1. Comparison of different irreversibility implementations

In order to demonstrate the capability of the proposed irreversibility im-533 plementation, we compare three different methods: the method introducing 534 \mathcal{H} [10] (referred to as \mathcal{H} -model), standard Lagrangian method [28] (referred 535 to as variational model), and the proposed method of this work. Particularly, 536 the explicit solution scheme is employed here for its robustness to discontin-537 uous crack propagation. In the following experiments, relatively fine loading 538 steps have to be used, which are considered as follows: $\delta u = 6.71 \times 10^{-5}$ mm 539 for the first 80 steps, then $\delta u = 6.71 \times 10^{-6}$ mm. The Lagrangian method 540 is implemented in Cast3M using an active-set method. 541

Figure 3 illustrates the crack patterns for different models. Due to the loss 542 of equivalence to the original variational formulation, the \mathcal{H} -model leads to a 543 crack profile different from the variational model. Although the crack length 544 at the current loading stage is overall the same in this example, this difference 545 in crack profiles shows that the different models can result in **completely** 546 different cracking behaviors different numerical behaviors and po-547 tentially lead to different local minima and convergence rates. As 548 expected, the proposed method can produce a very similar result to the vari-549 ational model, which confirms the equivalence between them. However, the 550 proposed method is much more efficient than the variational model using the 551 existing implementation for the variational inequality, as shown in Table 1. 552

We remark here that the validity of these solutions is out of the scope of this discussion. For obtaining a realistic crack pattern with an explicit scheme, a much finer time discretization is needed. However, this is not a problem for the comparison of different numerical behaviors conducted by different phase field models. The use of explicit scheme is for the purpose of giving similar constant input energies to different models at each time step.

At the end of the first comparison, we illustrate additionally the numerical dissipation energy of the proposed model during the crack propagation (see Figure 4). The dissipation is computed as follows

$$\hat{G}_c = \frac{\delta(\Pi_{\text{ext}} - \Pi_e)}{2\delta \text{ crack length}}$$
(31)

we recall that Π_{ext} and Π_e stand for the external work and the elastic energy 563 stored in the cracked body respectively. In a continuous cracking step, the nu-564 merical dissipation should be equal to the theoretical one that is prescribed in 565 the phase field formulation. As shown in the figure, the initiation of cracking 566 needs a much higher energy. Then, due to the **discontinuous** crack propa-567 gation, the computed dissipation differs significantly from the theoretical one 568 at the beginning of propagation. As the cracking becomes continuous, the 569 numerical dissipation converges to the theoretical reference. This confirms 570 the energetic aspect of the proposed irreversibility implementation. 571

572 4.1.2. Comparison of different solution schemes

In the second part of this test-case, we compare different solution schemes: explicit, implicit (the modified one) and semi-implicit schemes. The proposed irreversibility implementation is adopted, as an alternative implementation



Figure 3: Crack patterns at $u = 6.71 \times 10^{-3}$ mm provided by the explicit solution scheme for different models

Model	Solver	$l_c (\mathrm{mm})$	CPU Time
Variational model	Funl	0.0075	$225 \min$
variational model	Expi.	0.00375	$703 \min$
This work	Expl.	0.0075	$55 \min$
1 IIIS WOLK		0.00375	160 min

Table 1: Computational cost for different models in the tensile test



Figure 4: Numerical dissipation during the crack propagation with the proposed irreversibility implementation

for the variational inequality. The internal characteristic length $l_c = 0.015$ mm is used here. The loading increment is considered as follows: $\delta u =$ 6.1×10^{-4} mm for the first 8 steps, $\delta u = 6.1 \times 10^{-5}$ mm for the remaining 20 steps until $u = 6.1 \times 10^{-3}$ mm. It should be noticed that these loading steps are usually considered too large for an explicit solution in such experiments. Suitable loading steps for accurate explicit solutions should be at the most of the order of 10^{-6} mm [10].

As shown in Figure 5, these loading steps are too large for an explicit 583 scheme. The final crack patterns are strongly dependent of the time dis-584 cretization. For obtaining a full crack, the loading steps have indeed to be 585 100-times smaller. On the contrary, the implicit scheme does not impose such 586 requirement for the loading steps. The full crack is obtained without refining 587 time discretization. The proposed semi-implicit scheme has similar proper-588 ties. Figure 6 illustrates the crack evolution in the specimen. It is shown that 580 the crack initiates around $u = 5.612 \times 10^{-3}$ mm and goes through the entire 590 specimen within one time step. This discontinuous propagation appears in 591 explicit solutions only when the time step decreases sufficiently. We can see 592 that the final crack pattern is completely independent of loading steps with 593 the semi-implicit method in this example. Larger loading steps can be 594 used without perturbing the final crack pattern. 595

However, if we take a look at Figure 7, the reaction force is 596 still sensible to the time discretization, even with implicit or semi-597 implicit schemes. In order to have a good representation of loading 598 history, the time steps should not be too large. In general, semi-599 implicit solutions should converge with time refinement at a better 600 rate than explicit solutions. Taking the maximal reaction force of 601 the implicit solution as a reference, we can illustrate the conver-602 gence trends (see Figure 8). It is shown that the semi-implicit 603 solutions converge much faster than the explicit solutions by refin-604 ing the time steps. 605

In this example, the discontinuous propagation causes a sudden drop of external forces and **a big jump of state** on displacement field. Therefore, numerous iterations are needed to find **mechanical** equilibrium, and sometimes, no convergence can be found within a limited time with the purely implicit scheme.

The semi-implicit scheme is notably more efficient than the implicit one. As shown in Table 2, the semi-implicit solutions take only several hours in this experiment, whereas the implicit solutions encounter severe difficulties

of convergence and need more than 20 hours to find global mechanical 614 equilibrium. This implicit scheme is able to bypass the discon-615 tinuous cracking step with numerous iterations, but still hardly 616 converges in the following steps. This happens as well in other 617 experiments, this point will be more discussed in the second exam-618 ple. The semi-implicit scheme is able to overcome these difficulties 619 by fixing the damage variable. For the same reason, explicit solutions 620 are also very robust for the **discontinuous** crack propagation. However, as 621 shown in Table 2, the computational cost of explicit solutions increases ex-622 ponentially as the time step decreases and can rapidly become un-affordable 623 if a high accuracy of results is demanded. 624



Figure 5: Final crack patterns in the single edge notched tensile test with different solution schemes



Figure 6: Discontinuous crack evolution in the single edge notched tensile test



Figure 7: Evolution of reaction force in the single edge notched tensile test

Model Colver Stop gize Full grady Iterationg CDU					
model	Solver	Step size	гип стаск	iterations	OI U I IIIle
	Expl.	δu	No	229	$2 \min$
		$\delta u/2$	No	756	$4 \min$
		$\delta u/10$	No	6462	$43 \min$
This work		$\delta u/100$	Yes	97691	$506 \min$
	Impl.	δu	Yes	-	$>1000 \min$
	Semi-impl.	δu	Yes	17467	348 min
		$\delta u \times 2$	Yes	14329	$306 \min$

Table 2: Computational cost for the single edge notched tensile test



Figure 8: Convergence studies with respect to time step refinement

625 4.2. Asymmetric double notched tensile specimen

The second experiment consists in the well studied asymmetric double 626 notched specimen [42, 27, 43]. Figure 9 illustrates the geometry and pre-627 scribed boundary conditions. The used materials properties are the same as 628 [27]: $E = 210 \text{ kN/mm}^2$, $\nu = 0.3$, $G_c = 2.7 \times 10^{-3} \text{ kN/mm}$, $l_c = 0.2 \text{ mm}$. The 629 FE mesh is generated using linear triangular elements and refined around 630 the expected crack paths for a mesh size up to 0.1 mm, which is twice infe-631 rior to the internal characteristic length l_c . This model contains 26297 nodes 632 and 52520 elements. The displacement increment is considered as follows: 633 $\delta u = 5.01 \times 10^{-3}$ mm for the first 8 steps, $\delta u = 5.01 \times 10^{-4}$ mm for the 634 remaining 20 steps until $u = 5.1 \times 10^{-2}$ mm. 635

Figure 10 illustrates the crack evolution obtained with the proposed phase 636 field implementation and semi-implicit scheme. The final crack pattern shows 637 an excellent agreement with the experimental observation in many brittle ma-638 terials [43]. Similar numerical results can be obtained with explicit 639 schemes using small time steps, as reported in [27]. Physically, 640 this repulsive behavior of two parallel cracks is completely possible 641 within linear elastic fracture mechanics theory, as explained by the 642 work [43], although the attraction or repulsion depends strongly on 643 the geometry condition of the two approaching cracks. One expla-644 nation is that the propagation direction is altered by the interaction 645 between the stress fields around the crack tips, as they get closer. 646 The crack angle θ with respect to initial direction intends to pro-647 mote the pure opening mode, i.e. stress intensity factor $K_{II}(\theta) = 0$. 648

⁶⁴⁹ Interested readers can refer to [43] for more details.

In the numerical experiment, initial cracks start propagating 650 around $u = 4.11 \times 10^{-2}$ mm and the en passant cracks appear within 651 one time step. This discontinuous propagation requires many iterations 652 for the convergence of solutions, as shown in Figure 11. Figure 11(a) depicts 653 the iteration numbers of each time step with the one-loop implicit 654 and the semi-implicit schemes. The most difficult time step for the im-655 plicit scheme turns out to be the one (step 11) right after the discontinuous 656 cracking. This **may** be explained by the fact that the system becomes **highly** 657 nonlinear with respect to the displacement due to the splitting of 658 strain energy and ill-conditioned with a large crack. The strong 659 coupling of displacement and damage fields amplifies the perturba-660 tion of damage variation on the mechanical convergence. Experience 661 shows that even small variations in the damaged region can severely perturb 662 the iterative Newton procedure. As shown in Figure 11(b), the mechanical 663 problem converges very slowly with the purely implicit scheme, despite of 664 the globally stable damage field. However, by decoupling the mechanical 665 and damage problems and considering that the damage solution has been 666 found, the solution can quickly converge to a local minimum. This is 667 shown by the excellent convergence behavior of the semi-implicit solution. 668

Table 3 summarizes the computational cost for different solution cases. The implicit scheme did not converge after a long time for the **discontinuous** cracking steps. It is shown that the semi-implicit scheme is much more robust and efficient than the purely implicit scheme with a significant speedup.

sole of comparational cost for the adapte notenea tenone te						
Model	Solver	Iterations	CPU Time			
This work	Impl.	> 17685	$>1000 \min$			
1 IIIS WOLK	Semi-impl.	7673	269 min			

Table 3: Computational cost for the double notched tensile test

4.3. Symmetric three points bending test

Next, we investigate the performance of proposed methods in a different loading case. The symmetric three points bending test is used (see Figure 12). In order to avoid the damage around the loading points, a small region closed to the loading is considered purely elastic, while the remaining



Figure 9: Geometry and boundary conditions for double notched tensile specimen [mm]



Figure 10: Crack evolution in the double notched tensile specimen



Figure 11: Iteration number and iterative residual

part of specimen is considered damageable. The used materials properties 679 are the same as [10]: $E = 20.8 \text{ kN/mm}^2$, $\nu = 0.3$, $G_c = 5 \times 10^{-4} \text{ kN/mm}$. 680 The mesh is refined around the expected crack path. The resulting FE model 681 contains 19694 triangular elements. Taking advantage of the semi-implicit 682 scheme, a uniform large displacement increment is used: $\delta u = 6 \times 10^{-3}$ mm. 683 Figure 13 shows the evolution of crack for a maximum displacement loading 684 $u = 6 \times 10^{-2}$ mm. As expected, the crack grows vertically and stops near the 685 elastic region. Figure 13 shows the crack evolution for two internal lengths. 686 Similar results have been reported in the literature (see e.g. [10]). 687 In our numerical experiments, a smaller internal length leads to 688 stronger numerical instabilities, which causes therefore a higher 689 computational cost (see Table 4). One of the reasons may relate 690 to the mesh size effect, as the mesh becomes closer to the internal 691 length when decreasing the latter. Regardless of the strong nu-692 merical instabilities, the semi-implicit scheme is able to converge 693 at a limited time cost. 694



Figure 12: Geometry and boundary conditions for three points bending test [mm]

Table 4: Computational cost for the bending test						
Model	Solver	$L_c (\mathrm{mm})$	Iterations	CPU Time		
This work	Semi-impl.	0.06	6653	$137 \min$		
		0.03	70959	$780 \min$		

Table 4: Computational cost for the bending test

4.4. Crack nucleation and propagation in a two-phase concrete material
Then, we consider a heterogeneous specimen. Figure 14 illustrates a two-

⁶⁹⁷ phase simplified concrete material without any initial crack. Particularly,



Figure 13: Crack evolution in the three points bending test

the inclusions are considered purely elastic with $E = 100 \text{ kN/mm}^2$, $\nu = 0.2$. 698 Hence, no damage equations are solved within the inclusion phase. 699 The matrix is considered damageable with the following properties: E = 20700 kN/mm^2 , $\nu = 0.3$, $G_c = 5 \times 10^{-5} kN/mm$, $l_c = 0.025 mm$. A displacement 701 loading is applied on the top side, while the bottom side is fixed. The FE 702 mesh is generated using triangular elements for a uniform size of 0.017 mm. 703 This results in 88241 elements. The proposed phase field implementation is 704 used with three different large uniform loading steps: $\delta u = 1 \times 10^{-3}$ mm, 705 $\delta u/5$, and $\delta u/10$. 706

Figure 15 illustrates the final crack patterns obtained with different load-707 ing steps. The evolution of the reaction forces is shown in Figure 16. In 708 this example, a loading step dependency is observed. This is associated 709 with the intrinsic history-dependence nature of the underlying fracture prob-710 lem. In previous examples, where the materials are considered homogeneous, 711 stresses induced by the applied loading are uniform and monotonically in-712 crease. Therefore, we can adopt large time steps without modifying final 713 crack patterns, using the implicit or semi-implicit schemes. However, this 714 is not the case for this heterogeneous material, loading steps have to be care-715 fully chosen for accurately representing the loading history. Numerically, it 716 can be noticed that the irreversibility condition is implemented in a time-717

discrete manner. which clearly shows the history-dependence nature 718 of the underlying problem. We remark that this dependency is 719 true for any kind of solution schemes. The semi-implicit scheme 720 can accept relatively larger time steps in any cases, compared to 721 explicit ones. This example emphasizes the adequate choice of time 722 steps, even with the implicit or the proposed semi-implicit schemes. 723 However, we can expect better convergence rates against the ex-724 plicit schemes. Indeed, explicit schemes have been tested with the 725 finest time steps: $\delta u/10$, the solution is far from convergence. 726

The computational cost of each solution is summarized in Table 5. This shows again the efficiency of the proposed phase field implementation.



Figure 14: Geometry and boundary conditions for the two-phase concrete material [mm]

Model	Solver	Step size	Iterations	CPU Time			
		δu	1002	132 min			
This work	Semi-impl.	$\delta u/5$	4344	391 min			
		$\delta u/10$	8649	387 min			

Table 5: Computational cost for the two-phase concrete specimen

729 4.5. Thermal shock test

The final test concerns a thermal shock problem [44, 45], which is well studied both experimentally and analytically. The geometry and boundary



Figure 15: Final crack patterns of the concrete material obtained with different step sizes.



Figure 16: Evolution of the reaction force in the concrete material

conditions for numerical analysis is illustrated in Figure 17. The plate is initially subjected to a uniform temperature T_0 . From time t > 0, a colder temperature T_1 is prescribed on the upper side. All the exposed surfaces are considered adiabatic. Assuming the length of plate is sufficiently long, the temperature field at t > 0 can be analytically given by

$$T(x,y) = T_0 - (T_1 - T_0) f_c(\frac{y}{2\sqrt{k_c t}}), \quad \forall t > 0$$
(32)

where k_c is the thermal conductivity, f_c is the complementary error function: $f_c(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} e^{-s^2} ds$. Therefore, the temperature is uniform in the direction x, while a high temperature gradient appears in the direction y. The elastic strain induced by the thermal expansion reads then

$$\boldsymbol{\varepsilon}_e = \boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}_{\rm th} = \boldsymbol{\varepsilon} - \alpha (T - T_0) \mathbf{I}$$
(33)

where α is the thermal expansion coefficient, **I** is the second order identity matrix.

In this work, the loading parameters and material properties are con-743 sidered as the same as in [45]. Some important loading parameters and 744 material properties are given in Table 6. In order to have results comparable 745 with those reported in [44, 45], we do not differentiate the compression and 746 traction effect in the phase field model. The FE model is generated using 747 linear triangular elements with a plane stress assumption. In order to well 748 represent the thermal shock history, the time steps are considered as follows: 740 $\delta t = 5 \times 10^{-4}$ for the first two steps, then $\delta t = 1 \times 10^{-3}$ for the remaining 750 steps. 751

As shown in Figure 18, the crack starts being homogeneous in the direc-752 tion parallel to the surface of the thermal shock. At some critical time, the 753 homogeneous solution bifurcates towards a periodical solution with equal dis-754 tance cracks penetrating inside the specimen. The wave length, which stands 755 for the distance between two neighboring cracks, is initially equal to 7.4 times 756 of the internal characteristic length. After some time, some cracks stop to 757 propagate whereas the others continue with a wave length approximately two 758 times higher than the original one. These results show an excellent agreement 759 with both analytical analyses [44] and experimental results [45]. In terms of 760 computational cost, this experiment takes only several hours with the pro-761 posed phase field implementation. However, full Lagrangian methods 762 will take several days for this kind of computations. 763



Figure 17: Geometry and boundary conditions for thermal chock test [mm]

Table 6: Loading parameters and material properties for the thermal chock test

T_0 (K)	T_1 (K)	E (GPa)	ν	α (K ⁻¹)	$G_c \; ({ m kN/mm})$
673	293	370	0.22	8.4×10^{-6}	12.16×10^{-6}

764 5. Conclusion

A novel phase field method for quasi-static brittle fracture analysis has been developed. This method is based on two novel algorithmic implementations: a novel efficient algorithm for imposing the irreversibility condition and a robust staggered semi-implicit solution scheme for overcoming the **discontinuous** propagation and time step constraints.

The irreversibility implementation is based on an efficient implementation of the inequality constrained optimization procedure with vanishing energetic driving force. Unlike the \mathcal{H} field based model, the proposed method can keep the original variational nature of the phase field solution. Moreover, this method does not introduce any supplementary numerical coefficient which may result in ill-conditioned systems. This method can be considered as a variant to conventional variational phase field models.

The proposed semi-implicit staggered scheme relies on two ingredients: the integration of phase field solution into the mechanical loop, and the combination of the purely implicit and explicit solution schemes. This method allows to **alleviate** the time step constraints, while being very robust with the **numerical instabilities associated with the discontinuous** propagation.



(a) $t = 5 \times 10^{-4}$ s



(b) $t = 1 \times 10^{-3}$ s, wave length $\approx 7.4 l_c$



(c) $t = 1.8 \times 10^{-2}$ s, wave length $\approx 15l_c$



(d) Crack pattern on both faces after a thermal shock

Figure 18: Crack evolution in the thermal shock test. (a) (b) (c): Simulated cracks with $l_c = 5 \times 10^{-2}$ mm, (d): Experimental results [45].

The proposed phase field method can be easily implemented. Numerical examples considering different materials, loading cases and geometries have demonstrated the efficiency and robustness of the proposed method. The proposed method is expected to provide a novel efficient tool for brittle fracture analysis by phase field methods. Applications of the proposed method to 3D heterogeneous concrete materials and nuclear fuel elements that are subjected to a long-term loading (up to years) are ongoing.

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Appendix A. Numerical study of the impact of the irreversibility implementation

The important features of the proposed implementation are: irreversible updating of the constrained set and vanishing energetic force. The impact of the first feature is easier to see. Due to the updating strategy, the resulting constraint set may not be optimal for a given energy state. Consequently, the computed damage field may be somehow degraded.

The impact of vanishing energy is less obvious. Unlike the an-806 alytic formulation, the vanishing energy $\psi_e^+ = 0$ can not be directly 807 imposed with discretized formulation for the constrained nodes, 808 since the strain energy is usually computed inside an element for 809 integration points. To do this, a mapping of the energy between its 810 nodal and element-based values is needed. Denoting by $\mathcal{M} : \mathbb{R} \to \mathbb{R}$ 811 the mapping from the element-based value to the nodal value, and 812 \mathcal{M}^{-1} its inverse mapping, the proposed irreversibility implementa-813 tion requires first a nodal representation of the strain energy 814

$$\psi_e^{+nodal} = \mathcal{M}(\psi_e^+) \tag{A.1}$$

then applying the vanishing energy condition for constrained set: $\psi_e^{+nodal}(x) = 0, \forall x \in \mathcal{D}$, the final strain energy used for computing damage field is obtained by

$$\psi_e^{+*} = \mathcal{M}^{-1}(\psi_e^{+nodal}) \tag{A.2}$$

In the above procedure, the mapping introduces naturally an interpolation error, but we can expect this error is controllable by refining the mesh and choosing appropriate interpolation methods. When applying the vanishing energy condition to a constrained point, a part of the reference energy ψ_e^+ will be removed from its surrounding elements. Hence, the computed damage can be less important than that of full Lagrangian method.

In order to illustrate the impact of the method, let us consider a 1D bar with $G_c = 2.7 \times 10^{-3}$ kN/mm and $l_c = 0.015$ mm. We assume a piece-wise constant element-based reference energy ψ_e^+ is given in different time steps. Initially, a predefined crack is computed with the following energy distribution

$$\psi_e^+(x,t=0) = 0.5e^{-\frac{|x-3|}{0.2}}$$
 (A.3)

⁸³⁰ At the first time step t = 1, the strain energy is changing to

$$\psi_e^+(x,t=1) = 5e^{-\frac{|x-3|}{0.05}} + e^{-\frac{|x-7|}{0.2}}$$
 (A.4)

This energy intends to create a thinner crack at x = 3 mm with a 831 higher peak value and initiate a new crack at x = 7 mm. Hence, 832 the damage field around the initial crack is decreased if the irre-833 versibility condition is not imposed. We use the proposed method 834 to compute the corresponding damage fields. Figure A.19 depicts 835 the crack profiles of different time steps. We can see that the dam-836 age field did not decrease with the proposed irreversibility imple-837 mentation. Compared to the full Lagrangian method, the damage 838 field is indeed degraded with the proposed method, as shown in 839 Figure A.19(b). Fortunately, the difference is limited by refining 840 the mesh (Figure A.19(c)). The final mesh size is reasonable, as it 841 is only slightly inferior to the internal crack length. 842 843



Figure A.19: Crack evolution in two meshes with comparison to full Lagrangian method

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