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1 A comparative analysis of the vestibular apparatus in *Epipliopithecus vindobonensis*:
2 Phylogenetic implications

3

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30

31 **Abstract**

32 Pliopithecoids are an extinct group of catarrhine primates from the Miocene of Eurasia.
33 More than fifty years ago, they were linked to hylobatids due to some morphological
34 similarities, but most subsequent studies have supported a stem catarrhine status, due to
35 the retention of multiple plesiomorphic features (e.g., the ectotympanic morphology)
36 relative to crown catarrhines. More recently, some morphological similarities to hominoids
37 have been noted, raising the question of whether they could be stem members of this
38 clade. To re-evaluate these competing hypotheses, we examine the morphology of the
39 semicircular canals of the bony labyrinth of the middle Miocene pliopithecoid *Epipliopithecus*
40 *vindobonensis*. The semicircular canals are suitable to test between these hypotheses
41 because: (1) they have been shown to embed strong phylogenetic signal and reliably
42 discriminate among major clades; (2) several potential hominoid synapomorphies have
43 been identified previously in the semicircular canals; and (3) semicircular canal
44 morphology has not been previously described for any pliopithecoid. We use a
45 deformation-based (landmark-free) three-dimensional geometric morphometric approach
46 to compare *Epipliopithecus* with a broad primate sample of extant and extinct anthropoids.
47 We quantify similarities in semicircular canal morphology using multivariate analyses,
48 reconstruct ancestral morphotypes by means of a phylomorphospace approach, and
49 identify catarrhine and hominoid synapomorphies based on discrete characters.
50 *Epipliopithecus* semicircular canal morphology most closely resembles that of platyrrhines
51 and *Aegyptopithecus* due to the retention of multiple anthropoid symplesiomorphies.
52 However, *Epipliopithecus* is most parsimoniously interpreted as a stem catarrhine more

derived than *Aegyptopithecus* due to the possession of a crown catarrhine synapomorphy (i.e., the rounded anterior canal), combined with the lack of other catarrhine and any hominoid synapomorphies. Some similarities with hylobatids and atelids are interpreted as homoplasies likely related to positional behavior. The semicircular canal morphology of *Epipliopithecus* thus supports the common view that pliopithecoids are stem catarrhines.

Keywords: Pliopithecidae; Catarrhini; Miocene; Inner ear; Phylogeny; Geometric morphometrics

1. Introduction

1.1. *The phylogenetic position of pliopithecoids*

Pliopithecoids are an extinct superfamily of catarrhine primates, recorded in Eurasia from the early to the late Miocene (Andrews et al., 1996; Begun, 2002, 2017; Harrison, 2005, 2013). Their first occurrence, in the early Miocene of China (~18–17 Ma; Harrison and Gu, 1999; Begun, 2002; Harrison, 2013), slightly predates the oldest record of large-bodied apes in Eurasia (Heizmann and Begun, 2001; Casanovas-Vilar et al., 2011). In the absence of older (earliest Miocene) catarrhines in that continent, pliopithecoids are assumed to have an African origin (Harrison, 1987, 2013; Begun, 2017). Like apes, pliopithecoid ancestors probably dispersed into Eurasia before the Langhian transgression, which was possible due to the lowered sea level and tectonic events that led to the closure of the Tethys Seaway and the establishment of an intermittent terrestrial corridor beginning at ~19 Ma (Harzhauser et al., 2007; Harrison, 2013).

Decades ago, pliopithecoids were considered to be phylogenetically related to hylobatids due to some superficial resemblances in cranial morphology as well as body size and proportions (e.g., Hürzeler, 1954; Zapfe, 1958, 1960, 1961; Simons and Fleagle, 1973). Currently, they are generally considered a clade of stem catarrhines—as supported

79 by the retention of several cranial and postcranial features that are plesiomorphic
80 compared to the crown members of the group (Andrews, 1975; Ciochon and Corruccini,
81 1977; Fleagle, 1984; Harrison, 1987, 2005, 2013; Andrews et al., 1996; Begun, 2002,
82 2017). The divergence of pliopithecoids before the split of crown catarrhines is further
83 supported by most recent cladistic analyses (Zalmout et al., 2010; Stevens et al., 2013;
84 Nengo et al., 2017; Gilbert et al., 2020), implying a long ghost lineage of ca. 12–14 Myr for
85 pliopithecoids (Begun, 2017). The exception is the cladistic analysis by Alba et al. (2015),
86 which recovered pliopithecoids as a clade of stem hominoids—thereby eliminating the
87 need to hypothesize a long gap in the pliopithecoid fossil record. Most recently, Almécija et
88 al. (2019) further documented similarities in femoral morphology between pliopithecoids
89 (*Epipliopithecus*) and extant hominoids, thereby casting additional doubts on the status of
90 pliopithecoids as stem catarrhines. Further uncertainty in this regard stems from the fact
91 that no tail vertebrae are known from pliopithecoids (Begun, 2017). Based on sacral
92 morphology, Zapfe (1958, 1961) argued that no external tail would have been present, as
93 in hominoids; although this has subsequently been rebutted (Ankel, 1965; Russo, 2016),
94 available evidence in this regard remains uncertain.

95 There are multiple genera of pliopithecids (Harrison and Gu, 1999; Moyà-Solà et al.,
96 2001; Begun, 2002, 2017; Harrison, 2005, 2013; Alba et al., 2010; Alba and Moyà-Solà,
97 2012; Alba and Berning, 2013; Sankhyan et al., 2017; Harrison et al., 2020), which,
98 following Harrison et al. (2020), we provisionally group into four different families:
99 dionysopithecids (*Dionysopithecus* and *Platodontopithecus*), krishnapithecids
100 (*Krishnapithecus*), pliopithecids (*Pliopithecus* and *Epipliopithecus*), and crouzeliids
101 (*Plesiopliopithecus*, *Barberapithecus*, *Anapithecus*, *Egarapithecus*, and *Laccopithecus*).
102 However, it is noteworthy that the treatment of these genera at the family rank, and even
103 the placing of some genera in one or another group, differs among authors (e.g., compare
104 Alba and Moyà-Solà, 2012 with Begun, 2017). Such disagreements largely stem from the

105 fact that the internal phylogeny of pliopithecoids is still unclear and that their affinities with
106 fossil catarrhines from Africa remain uncertain (e.g., Harrison, 2013).

107

108 1.2. Evidence from *Epipliopithecus*

109 Deciphering the phylogenetic relationships of most pliopithecoids is hampered by
110 the fact that they are mostly known by fragmentary dentognathic remains, with the
111 exception of *Epipliopithecus vindobonensis*, whose craniodental and postcranial
112 morphology is well documented by several skeletons from the middle Miocene (MN6,
113 ~14.85–13.45 Ma¹) karstic infillings of Devínska Nová Ves, Slovakia (Zapfe, 1958, 1961;
114 Andrews et al., 1996; Begun, 2002; Harrison, 2013). *Epipliopithecus* was originally
115 established as a subgenus of *Pliopithecus* by Zapfe and Hürzeler (1957), being
116 subsequently considered a junior subjective synonym of the latter (e.g., Andrews et al.,
117 1996; Harrison and Gu, 1999; Moyà-Solà et al., 2001; Harrison, 2005, 2013; Alba et al.,
118 2010) or a distinct genus (e.g., Begun, 2002; Alba and Moyà-Solà, 2012; Arias-Martorell et
119 al., 2015; Alba et al., 2015; this study). From a locomotor viewpoint, *E. vindobonensis* has
120 been variously depicted as an arboreal or semiterrestrial generalized quadruped with
121 varying degrees of climbing and suspensory abilities (see discussion in Arias-Martorell et
122 al., 2015). From a phylogenetic perspective, its purported stem catarrhine status has been
123 supported by features such as the short and only partially enclosed ectotympanic, the
124 presence of entepicondylar foramen in the distal humerus, and single hinge-like
125 carpometacarpal joint in the thumb (Zapfe, 1961; Szalay and Delson, 1979; Harrison,
126 1987, 2005; Andrews et al., 1996; Begun, 2002, 2017).

¹ Age uncertainly based on the boundaries recognized for MN6 (van der Meulen et al., 2011).

127 The external morphology of the petrosal bone of *E. vindobonensis* (Zapfe, 1961;
128 Szalay, 1975; Fricano, 2018) has been of utmost significance in the discussion of its
129 phylogenetic affinities, given that the presence of a tubular ectotympanic is considered
130 synapomorphic of crown catarrhines (e.g., Szalay, 1975; Szalay and Delson, 1979;
131 Harrison, 1987, 2005; Andrews et al., 1996; Begun, 2002; Zalmout et al., 2010; Alba et al.,
132 2015; Nengo et al., 2017). The possibility remains that such ossification took place to
133 some extent independently in cercopithecoids, hominoids and/or other anthropoids such
134 as pliopithecoids (Begun, 2002, 2017; Alba et al., 2015). However, other features of
135 *Epipliopithecus* also appear plesiomorphic as compared to crown catarrhines and show no
136 particular similarities with hominoids, namely: the large postglenoid process separated
137 from the acoustic meatus, as in platyrrhines (Zapfe, 1961); the lack of ossification in the
138 tentorium cerebelli (unlike in most platyrrhines and stem anthropoids, but similar to
139 *Aegyptopithecus* and crown catarrhines; Kay et al., 2009a); and the deep subarcuate
140 fossa (Zapfe, 1961), as in platyrrhines and most anthropoids except hominids (Gannon et
141 al., 1988; Kunitatsu et al., 2019). In contrast, the inner ear morphology of *Epipliopithecus*
142 has not been described and therefore its potential phylogenetic implications remain
143 unexplored.

144

145 1.3. *The bony labyrinth of the inner ear*

146 Among the inner cavities of the petrosal, the bony labyrinth of the inner ear is
147 constituted by the semicircular canals (SCs) and the vestibule (which together host the
148 soft-tissue structures linked with the sense of balance) plus the cochlea. Semicircular
149 canal size (e.g., Spoor et al., 2007; Silcox et al., 2009; Ryan et al., 2012; Grohé et al.,
150 2018) and orientation (David et al., 2010; Malinzak et al., 2012; Berlin et al., 2013; Perier
151 et al., 2016; Gonzales et al., 2019) have been frequently used for inferring agility, while the
152 shape of the canals as a whole has tentatively been linked to positional behavior (Le

153 Maître et al., 2017). At the same time, recent studies have demonstrated that the SCs bear
154 strong phylogenetic signal among anthropoids (Lebrun, 2010, 2012; Urciuoli et al., 2019,
155 2020; del Rio et al., 2020; Morimoto et al., 2020) and other mammals (e.g., Grohé et al.,
156 2015; Mennecart et al., 2016, 2017; Costeur et al., 2018).

157 Although adaptively relevant characters may constitute synapomorphies of
158 particular clades, arguably their relationship with function makes them potentially more
159 prone to homoplasy. However, the correlation between SC morphology and positional
160 behavior has recently been questioned by some studies (i.e., Rae et al., 2016; del Río et
161 al., 2020; Morimoto, et al., 2020), and SC shape variation has been shown to largely follow
162 the expectations of a Brownian motion mode of evolution in both platyrrhines (del Río et
163 al., 2020) and catarrhines (Urciuoli et al., 2020). These results are in accordance with
164 those obtained for the bony labyrinth as a whole, showing that its morphology reflects
165 phylogenetic relatedness as inferred from molecular data (Lebrun et al., 2010; Ekdale,
166 2013; Macrini, et al., 2013; Billet et al., 2015). Cumulatively, this evidence suggests that
167 bony labyrinth morphology is phylogenetically informative among mammals (Mennecart et
168 al., 2017) and may thus potentially illuminate the phylogenetic relationships of extinct
169 primates. Following Mennecart and Costeur (2016), who suggested that inner ear
170 structures might be highly informative for large cladistics analyses, Urciuoli et al. (2020)
171 explored catarrhine SC shape variation among catarrhines and proposed several potential
172 synapomorphies for crown hominoids.

173 Here we test between two different phylogenetic hypotheses for *Epipliopithecus*,
174 one hypothesis being that *Epipliopithecus* is a stem catarrhine, the other hypothesis that
175 *Epipliopithecus* is a hominoid, based on the information provided by the shape of the SCs
176 and vestibule. This morphology is described here for the first time using a three-
177 dimensional geometric morphometric (3DGM) approach applied to a broad sample of
178 extant and fossil anthropoids (Urciuoli et al., 2020). We refrained from analyzing the entire

179 bony labyrinth (i.e., including also the cochlea) because its potential for phylogenetic
180 reconstruction among primates is currently unclear. A recent analysis in platyrrhines
181 suggested that cochlear shape departs from a Brownian motion mode of evolution
182 (Blomberg's $K < 1$; del Río et al., 2020), thus potentially reflecting a greater influence of
183 function (and likely homoplasy due to similar selection pressures) than is the case for the
184 SCs and vestibule. This is in agreement with previous studies linking several macroscopic
185 cochlear features to hearing capabilities (e.g., Manoussaki et al., 2006; Kirk and Gosselin-
186 Ildari, 2009; Coleman and Colbert, 2010). More detailed morphometric analyses of this
187 structure among anthropoids is thus required to determine whether cochlear morphology
188 can be meaningfully used to decipher the phylogenetic relationships of extinct catarrhines
189 such as *Epipliopithecus*.

190

191 **2. Materials and methods**

192 *2.1. Described material*

193 We inspected three petrosals of *E. vindobonensis* belonging to two individuals from
194 Devínska Nová Ves, Slovakia (Zapfe, 1960, 1961): NMB OE 303a, b (individual III), left (a)
195 and right (b), housed in the Naturhistorisches Museum of Basel, Switzerland²; and NHMW
196 1970/1397/0003 (individual II), right, housed in the Naturhistorisches Museum of Wien,
197 Austria.

198

199 *2.2. Comparative sample*

200 The comparative sample includes μ CT scans of 162 dried crania and temporal
201 bones belonging to 31 extant anthropoid species (see Supplementary Online Material
202 [SOM] Table S1 for the sample size of the extant species), plus five fossil anthropoids

² Morimoto et al. (2020) included the bony labyrinth of NMB OE 303a in their comparative study but did not depict or specifically describe its morphology.

(SOM Table S2): the stem anthropoid *Parapithecus* (Bush et al., 2004), the stem catarrhine *Aegyptopithecus* (Simons et al., 2007), the stem platyrrhines *Dolichocebus* (Kay et al., 2009b) and *Homunculus* (Fulwood et al., 2016), and the hominoid *Oreopithecus* (Rook et al., 2004).

207

208 2.3. Sample preparation

209 NMB OE 303 was scanned with a Phoenix Nanotom®, GE at the Biomaterials
210 Science Centre of the University of Basel (Switzerland) obtaining a voxel size of 25 µm.
211 NHMW 1970/1397/0003 was scanned at the Vienna µCT-Lab using a Viscom X8060
212 (Viscom XT9190-THP X-ray tube) obtaining a voxel size of 22 µm. The canals and
213 vestibule of NMB OE 303a, b were filled with air, while in NHMW 1970/1397/0003 they
214 were partially filled with sediment. In both cases we segmented the SCs and vestibule
215 cavities using the ‘watershed’ tool of Avizo v. 9.0.1 (FEI Visualization Sciences Group,
216 Houston), with additional manual corrections for NHMW 1970/1397/0003. The 3D surfaces
217 of NMB OE 303b and NHMW 1970/1397/0003 were mirrored for comparison. The 3D
218 meshes of the two individuals are available from MorphoSource (see Table 1).

219 The µCT scans of most extant comparative species and of fossil anthropoids were
220 accessed from MorphoSource.org digital repository (<https://www.morphosource.org>) with
221 the exception of *Oreopithecus bambolii* petrosal, which was kindly provided by Lorenzo
222 Rook (see SOM Table S2 for voxel sizes). Further details about the µCT scans of the
223 extant comparative sample (voxel sizes, exact source, DOI, etc.) can be found in Urciuoli
224 et al. (2020: Supplementary File 1). The slice stacks of these crania were processed using
225 Avizo v. 9.0.1. and the left bony labyrinth was segmented using the semiautomatic
226 ‘watershed’ tool of Avizo (with additional manual corrections in the case of partially filled
227 canals found in the fossil specimens) and digitally extracted; when the left bony labyrinth
228 was unavailable, the right one was mirrored. As in Urciuoli et al. (2020), the SCs and the

229 vestibule were separated from the cochlea by cutting the generated 3D meshes
230 immediately inferior to the saccule and the oval window, using landmarks placed along the
231 maximum curvature of the junction between the vestibule and the cochlea as reference for
232 the cutting plane (Fig. 1). The resulting holes were filled with a flat surface using Geomagic
233 Studio v. 2014.3.0 (3D Systems, Rock Hill, USA). Prior to the 3DGM analysis, the surfaces
234 were first roughly prealigned by manually superimposing the meshes to ensure biological
235 correspondence. Subsequently, the alignment was automatically refined using the Avizo
236 module 'Align Surface' with the 'rigid + uniform' option. Similar to Procrustes
237 superimposition, this module minimizes the distances between the faces of each surface
238 by scaling, translating and rotating the analyzed meshes. The phylogenetic relationships of
239 the extinct taxa included in the analyses, relative to extant anthropoids, are summarized in
240 Figure 2.

241

242 2.4. *Shape analysis*

243 Differences in vestibule and SC shape were evaluated using a landmark-free 3DGM
244 technique based on deformation, which relies on the geometrical correspondence of
245 continuous surfaces and computes the magnitude and direction of deformation of the
246 analyzed meshes from a group-average template (Glaunès and Joshi, 2006; Durrleman et
247 al., 2012a, b; Dumoncel et al., 2014; Beaudet et al., 2016; Urciuoli et al., 2020). The
248 deformations are mathematically modeled to obtain a one-to-one correspondence of the
249 3D space using the open-source software Deformetrica 4 (Bône et al., 2018). This
250 technique yields results similar to landmark-based 3DGM methods while more easily
251 tracking changes in volume (Urciuoli et al., 2020), and is less prone to biases introduced
252 by the design of landmarking protocols, caused by the inherent difficulty to adequately
253 capture complex 3D shapes based on a reduced number of homologous landmarks.

254 Due to the high computational power required, the sets of vectors, representing the
255 flow of deformations from the initial position of the control points on the template to the
256 target shape, were computed in the Barcelona Supercomputing Center (BSC) using the
257 MinoTauro cluster (<https://www.bsc.es/marenostrum/minotauro>). To identify major patterns
258 of shape variation across the sample, the resulting sets of vectors were inspected using
259 between-group principal component analysis (bgPCA; Mitteroecker and Bookstein, 2011),
260 using major clades (platyrrhines, cercopithecoids, hylobatids, and hominids) as the
261 grouping factor (Urciuoli et al., 2020). To address recent concerns about the use of bgPCA
262 based on highly multivariate data sets, such as those generated by 3DGM, and to rule out
263 the presence of spurious groupings in our results (Bookstein, 2019; Cardini, et al., 2019),
264 we computed cross-validated bgPCA scores. These were obtained by iteratively repeating
265 the bgPCA on a subset of the sample. The cross-validated bgPCA scores were then
266 compared to those obtained with standard bgPCA (Cardini and Polly, 2020). The affinities
267 of fossil specimens with the groups defined a priori in the bgPCA were evaluated using the
268 ‘typprobClass’ function of the Morpho package v. 2.7 (Schlager, 2017) in R v. 3.6.1 (R
269 Core Team, 2019). This function computes posterior probabilities of group membership
270 based on the Mahalanobis distances between the bgPC scores of fossil specimens and
271 group centroids. Null hypotheses of group membership were rejected at $p < 0.05$.
272 Similarities among anthropoid species were also evaluated by running a cluster analysis
273 (Ward’s method) on the Mahalanobis distances between pairs of bgPCA species centroid
274 scores using the ‘ward.D2’ method of the ‘hclust’ function of the ‘stats’ package in R. The
275 cophenetic correlation coefficient, which allows one to evaluate how faithfully the obtained
276 dendrogram preserves the pairwise distances between the original unmodeled datapoints,
277 was calculated using the same package.

278 In addition, we inspected the volumetric proportions of *Epipliopithecus* and the
279 remaining fossil taxa included in the analysis, and determined the correlation between log-

transformed cube root canal volume (ln VolSC, mm) and log-transformed canal length (ln L, mm) by means of ordinary least-squares regression. Given that previous analyses identified an allometric grade shift between hominids and nonhominid anthropoids (Urciuoli et al., 2020), separate regression lines were computed for hominids and nonhominid anthropoid taxa using the ‘stats’ package in R.

285

2.5. *Phylomorphospace, ancestral state estimation, and phylogenetic signal*

To intuitively visualize the direction and magnitude of evolutionary change we relied on a phylomorphospace approach (Sidlauskas, 2008), by which a phylogenetic tree is projected onto the tangent space defined by the bgPCA of our shape data. Ancestral states for the internal nodes are estimated using a maximum likelihood method for continuous characters via the ‘fastAnc’ function of the ‘phytools’ version 0.6-60 package for R (Revell, 2012), while the tips of the tree branches correspond to the centroid scores for the included taxa. We repeated the analyses using two composite phylogenetic trees, one with *Epipliopithecus* as a stem catarrhine and the other with this taxon as a stem hominoid (Figs. 2 and 3). For extant taxa we relied on a Bayesian phylogenetic analysis of eleven mitochondrial and six autosomal genes downloaded from the 10kTrees Website v. 3 (Arnold et al., 2010). Extinct species were added based on their phylogenetic position, their divergence being arbitrarily placed 1 Myr before the estimated divergence age of the next derived node, and tip ages based on their chronostratigraphic age. We used the following tip age estimates: *Epipliopithecus* 14.15 Ma (mean of 14.85 and 13.45 Ma, the maximum-minimum age range for MN6 in central Europe according to van der Meulen et al., 2011); *Aegyptopithecus* and *Parapithecus*, 29.85 Ma (mean of 30.2 and 29.5 Ma, based on the revised age range of the fauna of quarries I and M of the Jebel Qatrani Formation of the Fayum depression by Seiffert, 2006); *Dolichocebus*, 20.5 Ma (mean of 21.0 and 20.0 Ma age provided by Kay, 2015); *Homunculus*, 17.2 Ma (mean of 17.9 and

306 16.5 Ma age provided by Kay, 2015); and *Oreopithecus* 6.75 Ma (mean of 7.0 and 6.5 Ma
307 for the last occurrence according to Rook et al., 2000).

308 The phylogenetic signal embedded in the shape data was measured using Pagel's
309 λ (Pagel, 1999) and Blomberg's K (Blomberg et al., 2003), together with the multivariate
310 version of Blomberg's K (K_{mult} ; Adams, 2014). Pagel's λ and Blomberg's K were computed
311 using the 'phylosig' function of the 'phytools' package in R, while K_{mult} was computed with
312 the 'physignal' function of the 'geomorph' package v. 3.1.0 in R (Adams et al., 2019).
313 These metrics were computed based on extant taxa only (Arnold et al., 2010).

314 Ancestral node morphologies were computed from the bgPC scores for the last
315 common ancestors (LCAs) estimated by means of maximum likelihood, which were
316 rotated and translated from the morphospace back into the deformation field space,
317 generating a set of momentum vectors that were used in Deformetrica 4 to warp the
318 template surface into the target LCA morphology. Volumetric proportions for the LCAs
319 were computed based on the rescaled 3D models obtained from the phylomorphospace
320 approach; the scaling factor for each LCA was estimated using the 'anc.ML' function of the
321 R package 'phytools'. Morphological similarities between *Epipliopithecus* and the LCA
322 centroids were assessed by means Euclidean distances between the *Epipliopithecus*
323 centroid and the LCA bgPC scores, weighted on the basis of the percentage of variance
324 explained by each bgPC and computed using the 'distances' function of the 'distances'
325 package version 0.1.8 in R (Savje, 2019).

326 The two phylogenetic hypotheses for *Epipliopithecus* depicted in Figure 3 were
327 assessed further based on the coding of seven discrete characters that were deemed of
328 phylogenetic significance based on shape comparisons and analyses. The resulting
329 character-taxon matrix was analyzed for character congruence against a fixed topology
330 consistent with the phylogenetic hypotheses depicted in Figure 3. For both cladograms,
331 three indices customarily employed in cladistics (Farris, 1989) were computed in PAUP* v.

332 4.0a168 for Mac (Swofford, 2003) to assess the most parsimonious hypothesis: the
333 consistency index (CI), the retention index (RI), and the rescaled consistency index (RC).

334

335 **3. Results**

336 *3.1. Description and comparisons*

337 The three bony labyrinths of *E. vindobonensis* are well preserved—except for the
338 lateral canal of NMB OE 303b, which shows a small fracture in the bony encasing—and
339 are not affected by diagenetic deformation, thereby permitting a straightforward extraction
340 of the 3D surfaces of the vestibular apparatus bony labyrinth (Fig. 4a–c). Overall, the
341 canals are fairly slender, as in platyrrhines and cercopithecins, falling within their variability
342 as shown by a bivariate plot of SC volume vs. length (Fig. 5; Table 2; SOM Table S3). The
343 bony vestibule is large, albeit less so than in hominids. The anterior and posterior canals
344 are larger than the lateral canal, as in platyrrhines (Fig. 4e–i) and modern humans (Fig.
345 4u).

346 The *E. vindobonensis* common crus (CC) is long, as in extant platyrrhines (Fig. 4g–
347 i) and in *Dolichocebus* (Fig. 4e), but unlike in most catarrhines. The trajectories of the
348 anterior and posterior canal form a right angle when merging at the CC apex. Despite
349 some similarities, the morphology of *Epipliopithecus* is clearly distinguishable from that of
350 *Dolichocebus* and *Parapithecus* (Fig. 4d), as the CC is not posteromedially inclined and
351 the anterior canal connection is placed more laterally.

352 The anterior canal of *E. vindobonensis* is slightly wider than tall (as in *Hoolock*; Fig.
353 4q), yet clearly rounded and lacking the vertical compression characteristic of extant
354 hominoids (Fig. 4o–u), the anterosuperior elongation typical of hylobatids and *Pongo* (Fig.
355 4o–r; Urciuoli et al., 2020), and the extreme superior projection found in *Ateles* (Fig. 4g).
356 The anterior canal of *Epipliopithecus* further differs from that of the stem anthropoid
357 *Parapithecus* (Fig. 4d), the stem platyrrhine *Dolichocebus* (Fig. 4e), and the stem

358 catarrhine *Aegyptopithecus* (Fig. 4j), characterized by an almost triangular morphology
359 (albeit less so in the last genus). The superiormost portion of the anterior canal bends
360 medially, causing a moderate torsion of the canal trajectory. This morphology is also found
361 in the stem platyrrhine *Homunculus* (Fig. 4f) and, to a lesser extent, *Chlorocebus* (Fig. 4l)
362 and *Dolichocebus* (Fig. 4e), while in most cercopithecoids it is much more bent (e.g.,
363 *Macaca*; Fig. 4m). A sinuous trajectory of the anterior canal, although with a different
364 morphology, is also displayed by other taxa (e.g., *Cebus*; Fig. 4i) and thus is not very
365 informative from a phylogenetic viewpoint. Despite the aforementioned similarities,
366 *Epipliopithecus* differs from the stem platyrrhines *Homunculus* (Fig. 4f) and *Dolichocebus*
367 (Fig. 4e), from most extant platyrrhines (particularly *Ateles*; Fig. 4g), and from the stem
368 catarrhine *Aegyptopithecus* (Fig. 4j), in displaying a much less mediolaterally compressed
369 anterior canal.

370 The posterior canal of *Epipliopithecus* is slightly taller than wide, similar to that of
371 *Alouatta* (Fig. 4h) and *Symphalangus* (Fig. 4p), but differs from the latter by displaying a
372 less arched connection with the CC. The orientation of the posterior canal relative to the
373 plane defined by the anterior canal is different in the two individuals of *Epipliopithecus*: it
374 forms an obtuse angle in NHMW 1970/1397/0003 (resembling the hylobatid condition), but
375 forms a right angle in NMB OE 303 (as in other anthropoids; SOM Fig. S2).

376 The lateral canal is rounded and smaller than the other canals (more so in NMB OE
377 303), as in stem platyrrhines (Fig. 4e, f) and the stem catarrhine *Aegyptopithecus* (Fig. 4j),
378 although in *Epipliopithecus* this canal is not strongly compressed mediolaterally as in the
379 latter taxon (Fig. 4j). The trajectory of the ampullary portion of the lateral canal slightly
380 bends superiorly (more so in NMB OE 303; Fig. 4b, c), while the insertion of its slender
381 part is located anteriorly to the base of the CC (particularly in NHMW 1970/1397/0003; Fig.
382 4a), so that—as in extant hominoids but unlike cercopithecoids—the lateral canal does not
383 intersect the plane defined by the posterior canal. The lateral canal also shows a wave-like

384 shape, with its lateral-most tip pointing downwards, superficially resembling some
385 individuals of *Pongo* (Fig. 4r), while differing from the morphology of *Trachypithecus* (Fig.
386 4k) and *Macaca* (Fig. 4m), where the canal bends inferiorly right before the ampullary
387 portion.

388

389 3.2. Shape analysis

390 The bgPCA discriminates major anthropoid clades with just minimal overlap when
391 the three axes are considered simultaneously (Fig. 6), thus closely resembling the
392 previous results by Urciuoli et al. (2020) despite the increased number of platyrrhine taxa
393 included here. The bgPCA results reported in Figure 6 closely resemble those derived
394 using a cross-validated bgPCA (SOM Fig. S1), indicating that group separation is not
395 spurious (Cardini and Polly, 2020).

396 The first principal component (bgPC1, which explains 59% of the variance) mainly
397 reflects differences in volumetric proportions among the SCs and the volume they occupy
398 relative to that of the bony vestibule, separating hominids (stout canals; quite negative
399 scores) from both cercopithecoids and hylobatids (slender canals; positive to slightly
400 negative scores), while platyrrhines (including stem taxa), the stem anthropoid
401 *Parapithecus*, the stem catarrhine *Aegyptopithecus*, the stem hominoid *Oreopithecus*, and
402 *Epipliopithecus* occupy an intermediate position in the morphospace. In particular, the two
403 *Epipliopithecus* individuals, due to their fairly slender canals (Fig.4a–c), display similar
404 intermediate scores along this axis, overlapping extensively with both extant and extinct
405 platyrrhines in the overlap zone of cercopithecoids and hominoids (Fig. 6a, c).

406 In turn, bgPC2 (which explains 30% of the variance) accounts for differences in the
407 size and shape of the anterior and posterior canals (Fig. 6a, d), in the position of the lateral
408 canal ampullary insertion on the vestibule, and in CC length, separating most platyrrhines
409 (positive scores) from catarrhines (moderately positive to negative scores). In particular,

410 platyrrhines possess large and very superiorly elongated canals in the portion close to the
411 CC apex, as well as a flat lateral canal, which also connects more inferiorly on the
412 vestibule with its ampullary portion. Catarrhines are more variable in these features,
413 showing rounded to vertically compressed anterior and posterior canals, a shorter CC, and
414 a variably sinuous lateral canal with its ampullary portion connecting more superiorly.
415 *Epipliopithecus* displays moderately positive scores, falling within the range of several
416 extant platyrrhines (*Aotus*, *Alouatta*, *Callithrix* and *Callicebus*), due to their large anterior
417 and posterior canals, coupled with a long CC and a small lateral canal. Both the stem
418 platyrrhines and *Oreopithecus* show similar moderately positive scores, while
419 *Aegyptopithecus* and *Parapithecus* show markedly positive values due to their superiorly
420 elongated vertical canals (Fig. 6a).

421 Finally, bgPC3 (which explains 11% of the variance) is driven by the position of the
422 lateral canal relative to the posterior one, by the size and orientation of the posterior canal,
423 as well as the shape of the anterior canal and CC thickness (Fig. 6b, e), separating
424 hylobatids (most positive values) from most extant and fossil anthropoids (intermediate to
425 negative scores). Hylobatids have a much larger gap between the lateral and posterior
426 canals than other anthropoids except some modern humans, and their posterior canal is
427 also smaller than, and forms an obtuse angle with, the large and anteriorly-protruding
428 anterior canal. In contrast, in most cercopithecoids, *Aotus*, and *Callithrix*, the lateral canal
429 broadly intersects with the posterior canal, while in the African great apes, *Theropithecus*,
430 and *Cebus* the canals are only minimally separated. In addition, in all extant anthropoids
431 except hylobatids, the plane of the posterior canal forms a right angle with the anterior
432 canal, which does not project anteriorly. Both *Epipliopithecus* individuals display positive
433 scores (NMB OE 303 with lower values), overlapping with some hylobatids (mainly
434 *Hoolock*) and other extant anthropoids (particularly the hominids *Homo* and *Pongo*, the
435 platyrrhines *Ateles* and *Alouatta*, and the cercopithecoids *Theropithecus* and *Ptilocolobus*).

436 The slightly dissimilar bgPC3 scores for the two *Epipliopithecus* individuals result from
437 differences in orientation between the posterior and anterior canals (obtuse angle in
438 NHMW 1970/1397/0003 vs. right angle in NMB OE 303; SOM Fig. S2), causing a wider
439 separation between the lateral and posterior canals (Fig. 4a–c).

440 When the three bgPCs are considered together, the two *Epipliopithecus* individuals
441 show the greatest morphological similarities with platyrrhines (less so in NHMW
442 1970/1397/0003), as demonstrated by Mahalanobis distances from group centroids and by
443 their posterior probabilities of group membership (Table 3), leading us to reject close
444 similarities to the remaining groups for NMB OE 303, and to all anthropoid groups for
445 NHMW 1970/1397/0003 ($p < 0.05$). *Aegyptopithecus*, *Parapithecus*, *Oreopithecus*, and
446 stem platyrrhines also closely resemble extant New World monkeys, with *Oreopithecus*
447 also showing marginal affinities with cercopithecoids (Table 3). We obtain very similar
448 results when considering all catarrhines as a single group, with all fossils being classified
449 as platyrrhines (Table 4). For *Oreopithecus* and NHMW 1970/1397/0003, group
450 membership for catarrhines cannot be rejected. However, both specimens show much
451 lower Mahalanobis distances to the platyrrhine centroid (almost three times) than to that of
452 catarrhines. The two *Epipliopithecus* individuals are closer to one another than they are to
453 other fossil taxa (except for one individual of *Homunculus*, MPM-PV 3501), in turn showing
454 similarities with stem platyrrhines, *Aegyptopithecus* and *Oreopithecus* (Table 5). A cluster
455 analysis based on the momenta of the deformation fields confirms these results (Fig. 7).
456 *Epipliopithecus* clusters with *Alouatta* and *Ateles* (large and rounded vertical canals and a
457 large gap between the lateral and posterior canals), as well as *Pithecia* (obtuse angle
458 formed by the anterior and posterior canals), within a larger cluster that includes the
459 remaining extant platyrrhines and the other fossil taxa included in the analysis. In
460 particular, *Aegyptopithecus* and *Homunculus* cluster with *Saimiri* and *Cebus* (flat lateral
461 canal and similarities in the anterior canal morphology), while *Oreopithecus* clusters with

462 *Callicebus* (orientation of the anterior and posterior canals). Hylobatids cluster within a
463 larger group that also includes most cercopithecoids, and extant great apes cluster
464 together due to their distinctive stout volumetric proportions (Urciuoli et al., 2020).

465

466 3.3. *Phylogenetic signal and phylomorphospace*

467 Like previous analyses (Urciuoli et al., 2020; del Rio et al., 2020; Morimoto, et al.,
468 2020, our results indicate that the vestibule and SCs embed significant phylogenetic signal
469 ($K_{\text{mult}} = 1.134$, $p < 0.001$), suggesting these traits conform to a Brownian motion model of
470 evolution, with closely related taxa resembling one another slightly more than expected
471 ($K_{\text{mult}} > 1$). The phylogenetic signal computed for each bgPC separately is significant in all
472 instances (Table 6), with bgPC1 and bgPC2 suggesting the same evolutionary mode as
473 K_{mult} ($K > 1$). Conversely, we observe that the variance accumulates within clades for
474 bgPC3 ($K < 1$), thus suggesting that changes along this axis might be more strongly
475 affected by homoplasy.

476 The phylogenetic signal detected justifies the application of the phylomorphospace
477 approach (Fig. 8). The results indicate that the reconstructed LCAs of crown anthropoids
478 (Fig. 9a) and crown catarrhines (Fig. 9c) fall within the variability of extant New World
479 monkeys, being very close to the platyrrhine LCA (Fig. 9b)—irrespective of the
480 phylogenetic hypothesis used in the analysis for *Epipliopithecus* (i.e., stem catarrhine vs.
481 stem hominoid, Figs. 3 and 8; SOM Fig. S3). Cercopithecoids and hominoids appear much
482 more derived in SC morphology than platyrrhines, but in different directions. The crown
483 anthropoid, crown platyrrhine and crown catarrhine LCAs are reconstructed as possessing
484 large and slightly vertically-elongated canals (more so in the crown anthropoid and crown
485 platyrrhine LCAs; Fig. 9a, b) coupled with a long CC (shorter in the crown catarrhine LCA;
486 Fig. 9c), intermediate volumetric proportions (similar to those found in New World monkeys
487 and cercopithecins; Fig. 10), and a coplanar lateral canal that does not intersect the plane

488 of the posterior one (Fig. 9a–c). The LCA of crown catarrhines also shows a slightly more
489 superiorly bent ampullary portion, more so than in *Epipliopithecus* (Fig. 9c). In contrast, the
490 reconstructed crown hominoid LCA (Fig. 9d) is found in an area of the morphospace
491 devoid of extant taxa and, according to our estimation, it already displayed some derived
492 characters that are not found in *Epipliopithecus* (i.e., moderately vertically-compressed
493 anterior canal, stouter canal proportions, lateral ampulla connecting more superiorly with
494 the vestibule).

495 From a phenetic viewpoint, based on weighted Euclidean distances between
496 *Epipliopithecus* and the bgPC scores for the reconstructed LCAs (Table 7), the former
497 taxon is most similar to the crown catarrhine ancestral condition, and also closer to the
498 crown anthropoid and platyrrhine LCAs, than to the ancestral conditions reconstructed for
499 either hominoids or cercopithecoids.

500 We further synthesized the information provided by the phylomorphospace
501 approach by defining seven discrete characters coded in a cladistic fashion (Table 8; Fig.
502 11). Their coding for the reconstructed LCAs as well as both extant and extinct
503 anthropoids included in the analyses is reported in Table 9 and SOM Table S4. When the
504 character states for extinct and extant taxa are analyzed against the two phylogenetic
505 hypotheses by considering parsimony as a criterion (Table 10), *Epipliopithecus* is more
506 parsimoniously interpreted as a stem catarrhine (Fig. 3a) than as a stem hominoid (Fig.
507 3b). The phylogenetic implications of the seven coded characters (Fig. 11; Tables 8 and 9;
508 SOM Table S4) are discussed below and illustrated in Figure 12.

509 Size of the vestibule relative to the semicircular canals Extant hominids differ from all the
510 remaining extant taxa in possessing a relatively larger vestibule, which may be thus
511 interpreted as a synapomorphy of at least crown hominids. Among the extinct taxa, only
512 the purported stem hominoid *Oreopithecus* displays the derived hominid condition,
513 indicating either an independent acquisition of this feature in this taxon (as supported by

our LCA reconstructions) or a secondary reversal in hylobatids. *Epipliopithecus*, in any case, retains the plesiomorphic condition of nonhominoid anthropoids.

Robusticity of the semicircular canals This character has the same distribution as the size of the vestibule relative to the SCs. Extant hominids and *Oreopithecus* differ from the remaining taxa by displaying stouter proportions. Accordingly, such proportions might be interpreted either as convergent between *Oreopithecus* and hominids, or as a hominoid synapomorphy with subsequent reversal in hylobatids. Our LCA reconstructions do not provide clear support for either possibility, as they suggest an intermediate ancestral condition in the overlap zone between hominoids and nonhominoid catarrhines. In either case, for this character *Epipliopithecus* displays the more plesiomorphic condition of nonhominoid anthropoids.

Shape of the anterior semicircular canal This character is more variable than the preceding ones, both within anthropoid subclades, and sometimes even within the same species. However, extant catarrhines generally differ from platyrrhines by possessing an anterior canal that is not superiorly elongated, being instead either rounded (as in humans and most cercopithecoids) or vertically compressed (as in great apes and generally hylobatids, although in the latter it varies intraspecifically between rounded and vertically compressed). Our LCA reconstructions suggest that the ancestral anthropoid condition—a superiorly elongated anterior canal—is symplesiomorphic not only for platyrrhines but also for the stem catarrhine *Aegyptopithecus*. They further support the view that a rounded anterior SC is synapomorphic of crown catarrhines, while a vertically compressed anterior SC would be synapomorphic for crown hominoids + *Oreopithecus*. In this regard, *Epipliopithecus* is more derived than *Aegyptopithecus* but less so than *Oreopithecus*. This character, therefore, unambiguously supports for *Epipliopithecus* a catarrhine status more derived than in *Aegyptopithecus*, although it would be consistent with either a stem catarrhine or a stem hominoid status.

540 Shape of the anterior portion of the semicircular canal Hylobatids and orangutans differ
541 from the rest of the sample by displaying an anterosuperiorly-projecting anterior portion of
542 the anterior canal. This condition may be interpreted as a crown hominoid synapomorphy
543 subsequently reversed in hominines, as further supported by the fact that *Oreopithecus*
544 displays the derived condition for hominoids. Alternatively, this feature might have been
545 independently acquired in *Oreopithecus*, as suggested by our LCA reconstructions, which
546 only recover it as a hylobatid synapomorphy. Given the possession of other SC hominoid
547 synapomorphies in *Oreopithecus*, we tend to favor the former interpretation, even if both
548 are equally parsimonious. In any case, *Epipliopithecus* retains the more plesiomorphic
549 condition of non-hominoid anthropoids.

550 Shape of the posterior semicircular canal Although this character is somewhat variable
551 within anthropoid subclades and sometimes even within species, some generalities can be
552 drawn. In platyrrhines, the posterior canal is generally elongated superiorly to some extent,
553 whereas most cercopithecoids have a rounded posterior canal, and hominoids generally
554 vary between a rounded and a vertically compressed morphology (only sometimes
555 superiorly elongated in *Pan*). Our LCA reconstructions indicate that platyrrhines and
556 *Aegyptopithecus* retain the ancestral anthropoid condition (superiorly elongated posterior
557 canal), whereas the rounded morphology would be synapomorphic for crown hominoids.
558 *Epipliopithecus* displays the plesiomorphic anthropoid condition and thus differs from
559 *Oreopithecus*, which displays the derived catarrhine morphology.

560 Shape of the lateral semicircular canal ampullary portion Extant hominoids differ from the
561 remaining extant taxa and all the analyzed extinct genera by displaying a markedly
562 superiorly-bent ampullary portion of the lateral canal. Both *Epipliopithecus* and
563 *Oreopithecus* thus display a more plesiomorphic condition than crown hominoids, as
564 further confirmed by our LCA reconstructions.

565 Length of the common crus This character is also variable to some extent, but platyrrhines
566 generally display a longer CC than extant catarrhines, with hominoids having an even
567 shorter CC than most cercopithecoids. Our LCA reconstructions support an intermediate
568 length of the CC as synapomorphic of crown catarrhines, with a short CC being
569 synapomorphic for hominoids. *Epipliopithecus* resembles *Aegyptopithecus* and
570 platyrrhines by retaining the ancestral anthropoid condition, whereas *Oreopithecus*
571 displays the derived hominoid morphology.

572

573 **4. Discussion**

574 Our analysis of the SC and vestibule morphology of *Epipliopithecus* allows us to
575 refine our understanding of the evolution of this anatomical region in anthropoid primates
576 and to refine previous hypotheses proposed by Urciuoli et al. (2020). The results of our
577 deformation-based 3DGM analysis and the reconstruction of ancestral morphotypes for
578 main anthropoid clades indicate that, like the stem catarrhine *Aegyptopithecus*,
579 *Epipliopithecus* displays a platyrrhine-like morphology most similar to that reconstructed
580 for the crown catarrhine LCA. This might be compatible with *Epipliopithecus* being either a
581 stem catarrhine, or a crown catarrhine only slightly postdating the cercopithecoid-hominoid
582 split. However, the fact that *Epipliopithecus* most closely resembles the crown anthropoid
583 (and platyrrhine) LCAs (Table 7) suggests that the semicircular morphology of this taxon is
584 most consistent with its status as a stem catarrhine. This conclusion is further supported
585 by the analysis of seven discrete characters coded for this anatomical area—which
586 indicate that this is the most parsimonious hypothesis, for reasons discussed in greater
587 detail below.

588

589 **4.1. Epipliopithecus as a hominoid**

590 Based on the morphology of the SCs and vestibule, *Epipliopithecus* lacks multiple
591 hominoid synapomorphies, including a large vestibule relative to the canals, stout SCs,
592 vertically-compressed anterior canal, anterosuperiorly- projecting anterior portion of the
593 anterior canal, markedly superiorly-bent ampullary portion of the lateral canal, and short
594 CC. Urciuoli et al.(2020) already interpreted some of these features (vertically-compressed
595 anterior canal and markedly superiorly-bent ampullary portion of the lateral canal) as
596 potential crown hominoid synapomorphies, whereas they interpreted others (large
597 vestibule and stout canals) as hominid synapomorphies. Urciuoli et al. (2020) interpreted a
598 superiorly-bent ampullary portion of the lateral canal as a hominoid synapomorphy.
599 However, hominoids are, in fact, characterized by the possession of a markedly bent
600 trajectory, whereas other catarrhines display a flat to slightly superiorly-bent ampullary
601 portion of the lateral canal. This is the case for *Epipliopithecus*, which displays much less
602 bending of the lateral canal than in *Oreopithecus* or any extant hominoid.

603 The possession of a large vestibule and stout canals was previously interpreted as
604 being synapomorphic for hominids (Urciuoli et al., 2020) because hylobatids display a
605 different ('monkey-like') condition. The differences in volumetric proportions between
606 *Epipliopithecus* and hominids are particularly clear (Fig. 10), with the former closely
607 resembling platyrrhines, *Aegyptopithecus*, and the inferred ancestral catarrhine condition.
608 Given that both features are present in *Oreopithecus*, they may be interpreted as hominoid
609 synapomorphies subsequently reversed in hylobatids—thereby supporting a more basal
610 branching for *Epipliopithecus*. However, their interpretation as hominid synapomorphies is
611 equally parsimonious, as it would only imply their independent acquisition in *Oreopithecus*.
612 Therefore, neither a large vestibule nor stout canals can be used to unambiguously
613 discount a hominoid status for *Epipliopithecus*. A similar caveat applies to the lack of an
614 anterosuperiorly-projecting anterior canal in *Epipliopithecus*. This condition was previously
615 interpreted as an autapomorphy of *Hylobates* (Le Maître, et al., 2017) or as a hylobatid

616 synapomorphy (Spoor and Zonneveld, 1998; Urciuoli, et al. 2020). However, given its
617 presence in orangutans and *Oreopithecus*, it is more readily interpreted as a hominoid
618 synapomorphy subsequently reversed in hominines. The interpretation of some of the
619 potential hominoid synapomorphies lacking in *Epipliopithecus* is ambiguous due to
620 homoplasy (convergence and/or reversal). However, it is worth noting that, except for the
621 markedly superiorly bent ampullary portion of the lateral canal, *Oreopithecus* further
622 displays two more unambiguous hominoid synapomorphies (vertically compressed anterior
623 canal and short CC). The absence of these features in *Epipliopithecus* thus conclusively
624 excludes a more derived hominoid status for the latter as compared with *Oreopithecus*.

625 *Epipliopithecus* also displays some hylobatid-like features in the spatial
626 configuration between the lateral and posterior canals, as well as in the orientation
627 between the anterior and posterior canals. According to Urciuoli et al. (2020), the lack of
628 intersection between the lateral and posterior canals and the presence of an obtuse angle
629 between the anterior and posterior canals would be synapomorphic for hominoids and
630 hylobatids, respectively. However, only *Hylobates* consistently displays both features,
631 while most anthropoid taxa, as well as the two *Epipliopithecus* individuals, show a
632 considerable amount of intraspecific variation. Hence, we refrained from coding these
633 features in a cladistic manner, especially in view of the low phylogenetic signal ($K < 1$)
634 recovered for bgPC3 (accounting for the variation in the configuration of these features),
635 which suggests a substantial degree of homoplasy. Indeed, previous analyses
636 hypothesized that suspensory species possess more obtuse angles between the vertical
637 canals (Gonzales et al., 2019), as this configuration provides an increased sensitivity for
638 pitch (at the expense of roll) head movements (Muller and Verhagen, 2002a,b,c). The
639 similarities between NHMW 1970/1397/0003, hylobatids, and some atelids (Spoor and
640 Zonneveld, 1998; Gonzales et al., 2019) would thus agree with previous inferences about
641 the locomotor repertoire of this taxon including some degree of suspensory behaviors

642 (Zapfe, 1958; Fleagle, 1983; Langdon, 1986; Rose, 1994; Arias Martorell et al., 2015). In
643 contrast, the more plesiomorphic condition of NBM OE 303III (characterized by tangent
644 lateral and posterior canals, and vertical canals approximating a right angle), also found in
645 *Aegyptopithecus* and some nonsuspensory platyrrhine species, suggests caution when
646 using SC orientation alone for inferring positional behaviors (Perier et al., 2016; contra
647 Malinzak et al., 2012; Berlin et al., 2013).

648

649 4.2. *Epipliopithecus* as a stem catarrhine

650 *Epipliopithecus* resembles both stem platyrrhines and the stem catarrhine
651 *Aegyptopithecus* in lacking all of the aforementioned hominoid synapomorphies, thereby
652 retaining the plesiomorphic anthropoid condition—a relatively small vestibule, slender SCs,
653 anterosuperiorly nonprojecting anterior portion of the anterior canal, superiorly elongated
654 posterior canal, ampullary portion of the lateral canal not markedly bent superiorly, and
655 long CC. The fact that *Epipliopithecus* lacks hominoid synapomorphies displayed by
656 *Oreopithecus* could still be consistent with a more basal stem hominoid status. However,
657 such an interpretation is contradicted by the retention in *Epipliopithecus* of a superiorly
658 elongated posterior canal and a long CC—contrasting with the rounded posterior canal
659 and moderately short CC that are synapomorphic of crown catarrhines. The catarrhine
660 status of *Epipliopithecus* and other pliopithecoids is well established based on multiple
661 features, such as the loss of the second premolars and the presence of a C¹/P₃ honing
662 complex (e.g., Harrison, 2013). The catarrhine status of *Epipliopithecus* is further
663 supported by the possession of a rounded anterior canal, which is intermediate between
664 the primitive morphology (superiorly elongated anterior canal) retained by platyrrhines and
665 *Aegyptopithecus*, and the more derived (vertically compressed) morphology
666 synapomorphic of hominoids. In this regard, *Epipliopithecus* is more derived toward crown
667 catarrhines than the propiopithecoid *Aegyptopithecus*, in agreement with other cranial

668 features such as the possession of a partially enclosed tubular ectotympanic in
669 *Epipliopithecus* (e.g., Harrison, 2013).

670 In summary, based on the morphology of the SCs and vestibule, *Epipliopithecus* is
671 most parsimoniously interpreted as a stem catarrhine more derived than *Aegyptopithecus*,
672 due to its possession of a crown catarrhine synapomorphy—rounded anterior canal—
673 coupled with the lack of two additional crown catarrhine synapomorphies (superiorly
674 elongated posterior canal and long CC) and multiple hominoid and/or hominid
675 synapomorphies as described above. The shapes of the anterior and posterior canals and
676 CC should be considered with caution in light of the intraspecific variability displayed by
677 these characters in some taxa (SOM Table S4). Previous analyses noted a structural
678 relationship between the morphology of these canals and the extension of the subarcuate
679 fossa (Jeffery and Spoor, 2006; Jeffery et al., 2008), and this relationship has been
680 uncritically assumed in some studies (Spoor et al., 2007; Silcox et al., 2009; Gonzales et
681 al., 2019). However, in most cases the fossa simply expands within the space left available
682 from the ossification of the canals, with little or no influence on their shape (Jeffery et al.,
683 2008; see also Urciuoli et al., 2020). In support of the latter hypothesis, we observe
684 meager dissimilarities in the anterior canal morphology of NHMW 1970/1397/0003 and
685 NBM OE 303III—except for the angle, as discussed above—irrespective of the marked
686 differences in the morphology of the fossa between the two individuals (Zapfe, 1960).
687 While a large amount of morphological variation has been documented within ruminant
688 genera (Mennecart and Costeur, 2016), variation in CC length and shape has not been
689 exhaustively analyzed in primates (Spoor and Zonneveld, 1998; Ekdale 2013; Lee et al.,
690 2013). In the present study, we found considerable intraspecific variation in CC length for
691 some species of monkeys and apes. Nevertheless, our results support a clear morphocline
692 from the ancestral condition (long CC) retained by platyrrhines, *Aegyptopithecus*, and
693 *Epipliopithecus*, to the most derived condition (short CC) characteristic of hominoids, with

694 cercopithecoids displaying an intermediate condition that is likely synapomorphic for crown
695 catarrhines as a whole. Therefore, *Epipliopithecus* SC morphology supports its
696 interpretation as more derived than *Aegyptopithecus* toward crown catarrhines, but
697 excludes a crown catarrhine status and, in particular, a closer relationship with hominoids
698 (unlike in the case of *Oreopithecus*).

699

700 **5. Conclusions**

701 Our results are in broad agreement with previous analyses suggesting that
702 *Epipliopithecus* displays a ‘typical monkey’ inner ear morphology (Morimoto et al., 2020),
703 while *Oreopithecus* possesses SC and vestibule features derived toward the crown
704 hominoid condition (Urciuoli et al., 2020). At the same time, our study further refines
705 previous comparisons of SC and vestibule morphology between *Epipliopithecus* and other
706 anthropoids, enabling us to test competing hypotheses about the phylogenetic position of
707 this taxon (i.e., stem catarrhine vs. stem hominoid).

708 From a phenetic viewpoint, for this anatomical area *Epipliopithecus* more closely
709 resembles platyrrhines and the stem catarrhine *Aegyptopithecus*, as well as the
710 reconstructed ancestral catarrhine morphotype. The fact that *Epipliopithecus* shows
711 greater similarities with the platyrrhine and anthropoid ancestral morphotypes, rather than
712 with those of cercopithecoids or hominoids, supports the view that *Epipliopithecus* is a
713 stem catarrhine instead of a stem hominoid. From a cladistic perspective, this
714 interpretation is confirmed based on a series of crown catarrhine and crown hominoid
715 synapomorphies. *Epipliopithecus* is more parsimoniously interpreted as a stem catarrhine
716 than as a stem hominoid based on the vestibular morphology analyzed here because it
717 lacks several catarrhine and all hominoid synapomorphies. Specifically, the possession of
718 a rounded posterior canal reinforces the view that *Epipliopithecus* is more derived than
719 *Aegyptopithecus* among stem catarrhines.

720 The information provided by the SCs and vestibule is thus congruent with the
721 ectotympanic morphology of *Epipliopithecus* (see review in Fricano, 2018), which is more
722 plesiomorphic than in crown catarrhines but more derived than in propliopithecoids. Some
723 similarities between *Epipliopithecus* and hylobatids are based on characters that are too
724 variable within species to be of use for phylogenetic assessment. Such features might
725 have evolved independently between some atelids and hylobatids, due to similar
726 locomotor-related selection pressures, and do not support the close phylogenetic link
727 classically hypothesized between pliopithecoids and hylobatids (Hürzeler, 1954; Zapfe,
728 1960, 1961; Simons and Fleagle, 1973), particularly given that *Epipliopithecus* displays no
729 crown hominoid synapomorphies. We therefore conclude that the SC and vestibular
730 morphology reinforces the most commonly held view that, in accordance with most
731 (Zalmout et al., 2010; Stevens et al., 2013; Nengo et al., 2017) but not all (Alba et al.,
732 2015) recent cladistic analyses, *Epipliopithecus* is best interpreted as a stem catarrhine
733 rather than a stem hominoid.

734

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756

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1065 Table 1. Digital object identifiers (DOIs) of the 3D virtual models of the vestibule and
 1066 semicircular canals of *Epipliopithecus vindobonensis* available from
 1067 MorphoSource.org (<https://www.morphosource.org>).
 1068

Catalog No.	Museum	DOI
NMBOE 303a (individual III)	NMBOE	https://doi.org/10.17602/M2/M113935
NMBOE 303b (individual III)	NMBOE	https://doi.org/10.17602/M2/M113933
NHMW 1970/1397/0003 (individual II)	NHMW	https://doi.org/10.17602/M2/M113932

1069 Abbreviations: NMB OE = Naturhistorisches Museum Basel, Switzerland; NHMW =
 1070 Naturhistorisches Museum Wien, Austria.

1071 Table 2. Log-transformed cube root of canal volume (ln VolSC, mm³) and log-
 1072 transformed canal length (ln L, mm) measured for the fossil taxa included in the
 1073 analysis.^a

Catalog No.	Taxon	ln VolSC	ln L
NMBOE 303a	<i>Epipliopithecus vindobonensis</i>	3.640	0.993
NMBOE 303b	<i>Epipliopithecus vindobonensis</i>	3.602	0.910
NHMW 1970/1397/0003	<i>Epipliopithecus vindobonensis</i>	3.593	0.916
CGM 85785	<i>Aegyptopithecus zeuxis</i>	3.365	0.847
MPM-PV 30501	<i>Homunculus patagonicus</i>	3.391	0.780
MPM-PV 30502	<i>Homunculus patagonicus</i>	3.359	0.720
MPM-PV 30503	<i>Homunculus patagonicus</i>	3.359	0.784
MACN 14128	<i>Dolichocebus gaimanensis</i>	3.802	0.803
BAC 208	<i>Oreopithecus bambolii</i>	3.295	0.803
DPC 18651	<i>Parapithecus grangeri</i>	3.640	0.993

1074 Abbreviations: BAC = Baccinello (field acronym; housed at Naturhistorisches Museum
 1075 Basel, Switzerland); CGM = Egyptian Geological Museum, Cairo, Egypt; MPM-
 1076 PV = Museo Regional Provincial Padre M.J. Molina, Río Gallegos, Argentina;
 1077 MACN = Museo Argentino de Ciencias Naturales, Buenos Aires, Argentina; DPC = Duke
 1078 Lemur Center, Durham, NC, USA.

1079 a

1080 See [SOM Table S1](#) for the specimens included in the extant comparative sample.

1081 Table 3. Mahalanobis distances (D^2) and posterior probabilities of group membership
 1082 (p) based on the scores for fossil specimens in the between-group principal
 1083 component analysis for the entire anthropoid sample. [a,b](#)

D ²	Cercopithecoidea	Hominidae	Hylobatidae	Platyrrhini
<i>Epipliopithecus vindobonensis</i> (NHMW 1970/1397/0003)	17.179	10.539	6.485	3.437
<i>Epipliopithecus vindobonensis</i> (NMB OE 303a)	12.190	14.262	6.588	0.779
<i>Epipliopithecus vindobonensis</i> (NMB OE 303b)	13.307	15.085	6.682	1.056
<i>Oreopithecus bambolii</i> (BAC 208)	8.083	5.450	11.574	1.579
<i>Aegyptopithecus zeuxis</i> (CGM 85785)	12.430	9.133	15.513	0.990
<i>Homunculus patagonicus</i> (MPM-PV 3501)	11.817	13.356	11.017	0.073
<i>Homunculus patagonicus</i> (MPM-PV 3502)	10.336	12.736	12.056	0.165
<i>Homunculus patagonicus</i> (MPM-PV 3503)	10.083	7.449	11.284	0.590
<i>Dolichocebus gaimanensis</i> (MACN 14128)	5.204	13.516	12.687	1.935
<i>Parapithecus grangeri</i> (DPC 18651)	6.533	17.859	13.047	2.110
<i>P</i>	Cercopithecoidea	Hominidae	Hylobatidae	Platyrrhini
<i>Epipliopithecus vindobonensis</i> (NHMW 1970/1397/0003)	0.006	0.007	0.018	0.029
<i>Epipliopithecus vindobonensis</i> (NMB OE 303a)	0.018	<0.001	<0.001	0.678
<i>Epipliopithecus vindobonensis</i> (NMB OE 303b)	0.013	<0.001	<0.001	0.608
<i>Oreopithecus bambolii</i> (BAC 208)	0.052	0.005	<0.001	0.530
<i>Aegyptopithecus zeuxis</i> (CGM 85785)	0.001	<0.001	<0.001	0.688
<i>Homunculus patagonicus</i> (MPM-PV 3501)	0.003	<0.001	<0.001	0.980
<i>Homunculus patagonicus</i> (MPM-PV 3502)	0.003	<0.001	<0.001	0.919
<i>Homunculus patagonicus</i> (MPM-PV 3503)	0.019	0.001	<0.001	0.848

	D²	Cercopithecoidea	Hominidae	Hylobatidae	Platyrrhini
	<i>Dolichocebus gaimanensis</i> (MACN 14128)	0.013	<0.001	<0.001	0.612
	<i>Parapithecus grangeri</i> (DPC 18651)	0.002	<0.001	<0.001	0.411

1084 Abbreviations: NHMW = Naturhistorisches Museum of Wien, Austria;
1085 NMB = Naturhistorisches Museum of Basel, Switzerland; BAC = NMB accession number
1086 for *Oreopithecus bambolii* specimens; CGM = Egyptian Geological Museum, Cairo, Egypt;
1087 MPM-PV = Museo Regional Provincial Padre M.J. Molina, Río Gallegos, Argentina;
1088 MACN = Museo Argentino de Ciencias Naturales, Buenos Aires, Argentina; DPC = Duke
1089 Lemur Center, Durham, NC, USA.

1090 a

1091 Note that these are probability estimates of having a particular score given membership in a
1092 particular group, not the likelihood of group membership in each of the a priori defined groups
1093 given a particular score (the greater the number, the higher the probability).

1094 b

1095 The lowest distance (D²) and highest posterior probability of group membership (*p*) for each
1096 specimen are bolded.

1097 Table 4. Mahalanobis distances (D^2) and posterior probabilities of group membership
 1098 (p) based on the scores for fossil specimens in the between-group principal
 1099 component analysis for the entire anthropoid sample and considering all catarrhines
 1100 as a single group. ^{a,b}

D^2	Catarrhini	Platyrrhini
<i>Epipliopithecus vindobonensis</i> (NHMW 1970/1397/0003)	13.016	5.341
<i>Epipliopithecus vindobonensis</i> (NMB OE 303a)	11.837	1.654
<i>Epipliopithecus vindobonensis</i> (NMB OE 303b)	13.040	2.098
<i>Oreopithecus bambolii</i> (BAC 208)	9.085	3.890
<i>Aegyptopithecus zeuxis</i> (CGM 85785)	16.900	3.204
<i>Homunculus patagonicus</i> (MPM-PV 3501)	13.814	0.135
<i>Homunculus patagonicus</i> (MPM-PV 3502)	13.057	0.284
<i>Homunculus patagonicus</i> (MPM-PV 3503)	10.989	1.806
<i>Dolichocebus gaimanensis</i> (MACN 14128)	8.645	2.417
<i>Parapithecus grangeri</i> (DPC 18651)	12.592	3.670
P	Catarrhini	Platyrrhini
<i>Epipliopithecus vindobonensis</i> (NHMW 1970/1397/0003)	0.109	0.241
<i>Epipliopithecus vindobonensis</i> (NMB OE 303a)	0.022	0.876
<i>Epipliopithecus vindobonensis</i> (NMB OE 303b)	0.017	0.847
<i>Oreopithecus bambolii</i> (BAC 208)	0.124	0.673
<i>Aegyptopithecus zeuxis</i> (CGM 85785)	0.002	0.886
<i>Homunculus patagonicus</i> (MPM-PV 3501)	0.002	0.985
<i>Homunculus patagonicus</i> (MPM-PV 3502)	0.002	0.968
<i>Homunculus patagonicus</i> (MPM-PV 3503)	0.034	0.935
<i>Dolichocebus gaimanensis</i> (MACN 14128)	0.013	0.841
<i>Parapithecus grangeri</i> (DPC 18651)	0.002	0.795

1101 Abbreviations: NHMW = Naturhistorisches Museum of Wien, Austria;
1102 NMB = Naturhistorisches Museum of Basel, Switzerland; BAC = NMB accession number
1103 for *Oreopithecus bambolii* specimens; CGM = Egyptian Geological Museum, Cairo, Egypt;
1104 MPM-PV = Museo Regional Provincial Padre M.J. Molina, Río Gallegos, Argentina;
1105 MACN = Museo Argentino de Ciencias Naturales, Buenos Aires, Argentina; DPC = Duke
1106 Lemur Center, Durham, NC, USA.

1107 a

1108 Note that these are probability estimates of having a particular score given membership in a
1109 particular group, not the likelihood of group membership in each of the a priori defined groups
1110 given a particular score (the greater the number, the higher the probability).

1111 b

1112 The lowest distance and highest probability for each specimen are bolded.

1113 Table 5. Mahalanobis distances (D^2) between specimens of *Epipliopithecus* and
 1114 other fossils based on between group principal component analysis scores.

	D^2	NHMW 1970/1397/0003	NMB OE 303a	NMB OE 303b
<i>Epipliopithecus vindobonensis</i> (NHMW 1970/1397/0003)	–		1.332	1.285
<i>Epipliopithecus vindobonensis</i> (NMB OE 303a)	1.332	–		0.176
<i>Epipliopithecus vindobonensis</i> (NMB OE 303b)	1.285	0.176	–	
<i>Oreopithecus bambolii</i> (BAC 208)	2.244	1.901	2.055	
<i>Aegyptopithecus zeuxis</i> (CGM 85785)	2.360	1.804	1.927	
<i>Homunculus patagonicus</i> (MPM-PV 3501)	1.943	0.919	1.033	
<i>Homunculus patagonicus</i> (MPM-PV 3502)	2.246	1.251	1.388	
<i>Homunculus patagonicus</i> (MPM-PV 3503)	1.914	1.450	1.592	
<i>Dolichocebus gaimanensis</i> (MACN 14128)	3.081	2.077	2.243	
<i>Parapithecus grangeri</i> (DPC18651)	3.201	2.017	2.161	

1115 Abbreviations: NHMW = Naturhistorisches Museum Wien, Austria; NMB
 1116 OE = Naturhistorisches Museum Basel, Switzerland; BAC = Baccinello (housed at NMB);
 1117 CGM = Egyptian Geological Museum, Cairo, Egypt; MPM-PV = Museo Regional Provincial
 1118 Padre M.J. Molina, Río Gallegos, Argentina; MACN = Museo Argentino de Ciencias
 1119 Naturales, Buenos Aires, Argentina; DPC = Duke Lemur Center, Durham, NC, USA.

1120 Table 6. Phylogenetic signal computed for the between-group principal analysis
 1121 applied to the deformation fields of the extant anthropoid comparative sample. The
 1122 variance explained by each principal component (bgPC) and the p -value for the
 1123 statistics are given within parentheses.

	bgPC1 (59%)	bgPC2 (30%)	bgPC3 (11%)
Pagel's λ	1.000 ($p < 0.0001$)	0.843 ($p < 0.0001$)	0.925 ($p < 0.0001$)
Blomberg's K	1.148 ($p < 0.0001$)	1.446 ($p < 0.001$)	0.732 ($p < 0.001$)

1124 Table 7. Weighted Euclidean distances computed between the between-group
 1125 principal component scores of the reconstructed last common ancestors (LCAs)
 1126 and the *Epipliopithecus* centroid.

	LCA	Distance
Crown anthropoids		1.304
Crown platyrrhines		1.141
Crown catarrhines		0.989
Crown cercopithecoids		1.646
Crown hominoids		1.256

1127 Table 8. Definition of the discrete characters of semicircular canal (SC) and vestibule
 1128 morphology used in this paper.

Character No.	Character statements (characters + character states) ^a
#1	Size of the vestibule relative to the SCs: 0 = small; 1 = large.
#2	Robusticity of the SCs: 0 = slender; 1 = stout.
#3	Shape of the anterior SC: 0 = vertically compressed; 1 = rounded; 2 = elongated superiorly.
#4	Shape of the anterior portion of the anterior SC: 0 = non-projecting anterosuperiorly; 1 = anterosuperiorly projecting.
#5	Shape of the posterior SC: 0 = vertically compressed; 1 = rounded; 2 = elongated superiorly.
#6	Shape of the lateral SC ampullary portion: 0 = flat or only slightly bent superiorly; 1 = markedly bent superiorly.
#7	Length of the CC: 0 = long; 1 = intermediate; 2 = short.

1129 a

1130 See [Figure 11](#) for an illustration of the character states.

1131 Table 9. Character states coded for the estimated last common ancestors (LCAs)
 1132 and for the fossil taxa included in the analysis.^a.

Species/LCAs	#1	#2	#3	#4	#5	#6	#7
<i>Epipliopithecus vindobonensis</i>	0	0	1	0	2	0	0
<i>Aegyptopithecus zeuxis</i>	0	0	2	0	2	0	0
<i>Dolichocebus gaimanensis</i>	0	0	2	0	2	0	0
<i>Homunculus patagonicus</i>	0	0	2	0	0, 2	0	0
<i>Oreopithecus bambolii</i>	1	1	0	1	1	0	2
<i>Parapithecus grangeri</i>	0	0	2	0	2	0	0
Crown anthropoid LCA	0	0	2	0	2	0	0
Crown platyrrhine LCA	0	0	2	0	2	0	0
Crown catarrhine LCA	0	0	1	0	1	0	1
Crown cercopithecoid LCA	0	0	1	0	1	0	1
Crown hominoid LCA	0	0	0	0	1	1	2
Crown hylobatid LCA	0	0	0	1	1	1	2
Crown hominid LCA	1	1	0	0	1	1	2

1133 a

1134 See character definitions in [Table 7](#) and [SOM Table S2](#) for the coding of extant species.

1135 Table 10. Measures of character congruence for the two main phylogenetic
1136 hypotheses (i.e., stem catarrhine vs. stem hominoid) discussed in this paper
1137 for *Epipliopithecus*. The higher the index, the more parsimonious the hypothesis.^a.

Metrics	Stem catarrhine (Fig. 3a)	Stem hominoid (Fig. 3b)
Tree length	22	24
CI	0.455	0.417
RI	0.826	0.797
RC	0.376	0.332

1138 Abbreviations: CI = consistency index; RI = retention index; RC = rescaled consistency
1139 index.

1140 a

1141 See also [Figure 3](#) and [SOM Table 2](#) for character descriptions.

1142 **Figure captions**

1143

1144 **Figure 1.** Illustration of the protocol used for digitally separating the cochlea (green) from
1145 the semicircular canals and the vestibule (blue). a) In anterior view, the first landmark
1146 (yellow filled circle) is placed anteriorly to the oval window, on the point of maximum
1147 surface curvature of the ridge-like morphology formed by the narrowing of the vestibule. b)
1148 In posterior view, three landmarks are placed along the junction between the bony
1149 vestibule and the cochlea, defined by the line of maximum surface curvature found
1150 immediately below the bulge formed by the saccular recess. A cutting plane (here
1151 perpendicular to the view and depicted by a black line) is best fitted to the identified
1152 landmarks using the 'Points To Fit' option of the 'Clipping Plane' module of Avizo version
1153 9.0.1 (FEI Visualization Sciences Group, Houston) via a customized script (available upon
1154 request to A.U.), and used as a reference for a straight cut. Abbreviations: asc = anterior
1155 semicircular canal; psc = posterior semicircular canal; lsc = lateral semicircular canal; CC
1156 = common crus.

1157

1158 **Figure 2.** Cladogram of extant and fossil anthropoids showing the two phylogenetic
1159 hypotheses for *Epipliopithecus*. The solid line (A) denotes the most widely accepted
1160 phylogenetic position of *Epipliopithecus* as a stem catarrhine, whereas the dashed line (B)
1161 denotes the alternative hypothesis that *Epipliopithecus* would be more closely related to
1162 hominoids. Key nodes are highlighted as follows: gray circle = crown anthropoids; green
1163 circle = crown platyrrhines; blue circle = crown catarrhines; orange circle = crown
1164 hominoids. Skulls and crania (not to scale) were taken from the following sources for
1165 illustrative purposes only: extant skulls and *Aegyptopithecus*, Wikimedia Commons;
1166 *Dolichocebus*, Kay et al. (2009b: Fig. 1); *Homunculus*, Tejedor and Rosenberger (2008:
1167 Fig. 2); *Oreopithecus* (reconstruction), Moyà-Solà and Köhler (2000: Fig. 5);

1168 *Epipliopithecus*, photograph of a cast; *Parapithecus*, digital reconstruction with
1169 photographic texture made by Steven Heritage.

1170

1171 **Figure 3.** Phylogenetic trees used for the phylomorphospace approach. They differ in
1172 considering *Epipliopithecus* as a stem catarrhine (a) or a stem hominoid (b).

1173

1174 **Figure 4.** Rendered 3D models of the semicircular canals and vestibule of *Epipliopithecus*
1175 *vindobonensis* (all specimens depicted as from the left side) and selected extant
1176 anthropoids, in lateral (left), superior (middle), and posterior (right) views: a) *E.*
1177 *vindobonensis* (individual II, NHMW 1970/1397/0003, mirrored); b) *E. vindobonensis*
1178 (individual III, NMB OE 303a); c) *E. vindobonensis* (individual III, NMB OE 303b, mirrored);
1179 d) *Parapithecus grangeri* (DPC 18651); e) *Dolichocebus gaimanensis* (MACN 14128); f)
1180 *Homunculus patagonicus* (MPM-PV 3501); g) *Ateles geoffroyi* (MCZ 29628); h) *Alouatta*
1181 *palliata* (DU EA LP12); i) *Cebus apella* (MCZ27891); j) *Aegyptopithecus zeuxis* (CGM
1182 85785); k) *Trachypithecus cristatus* (MCZ35603); l) *Chlorocebus pygerythrus* (SIU 4796);
1183 m) *Macaca fascicularis* (MCZ 35765); n) *Oreopithecus bambolii* (BAC 208); o) *Hylobates*
1184 *lar* (MCZ 41424); p) *Symphalangus syndactylus* (AMNH.M 106583); q) *Hoolock hoolock*
1185 (AMNH.M 83425); r) *Pongo pygmaeus* (IPS10647); s) *Gorilla gorilla* (AMNH.M 167338); t)
1186 *Pan paniscus* (MCZ 38019); u) *Homo sapiens* (EMBR 179). Scale bars equal 5 mm.

1187

1188 **Figure 5.** Bivariate plot of canal log-transformed cube root canal volume (mm; Ln VolSC)
1189 vs. log-transformed canal length (mm; Ln L). Separate regression lines are depicted for
1190 hominids (red line) and for nonhominid anthropoids (blue line). *Epipliopithecus* (NHMW
1191 1970/1397/0003 and NMB OE 303a, b) falls within the variability of nonhominid
1192 anthropoids, similar to all extinct taxa except *Oreopithecus*. Measurements for the included
1193 fossil taxa given in Table 6.

1194

1195 **Figure 6.** Patterns of vestibule and semicircular canal shape variation among major
1196 anthropoid clades based on the results of a between-group principal component analysis,
1197 as depicted by bivariate plots between principal components (bgPCs): a) bgPC2 vs.
1198 bgPC1; b) bgPC3 vs. bgPC1. Variance explained by each component is given along each
1199 axis. c–e) Extreme conformations of maximum (above) and minimum (below) bgPC
1200 scores: c) bgPC1; d) bgPC2; e) bgPC3. Four groups (platyrrhines, cercopithecoids,
1201 hylobatids, and hominids) were defined a priori, whereas specimens of *Epipliopithecus*
1202 *vindobonensis* were plotted post hoc onto the morphospace. Renderings in lateral (left),
1203 superior (middle), and posterior (right) views of warped 3D models representing the
1204 extreme conformations for each bgPC are placed close to the corresponding axis. Convex
1205 hulls depict the range of variation for a priori defined groups using the following color code:
1206 green = platyrrhines; blue = cercopithecoids; red = hylobatids; orange = hominids.

1207

1208 **Figure 7.** Dendrogram resulting from a cluster analysis (Ward's method) based on
1209 Mahalanobis distances computed between the species centroids of the between-group
1210 principal component analysis (bgPCA) of shape data. The cophenetic correlation
1211 coefficient is 0.703.

1212

1213 **Figure 8.** Phylomorphospace of the anthropoid semicircular canal. The phylogenetic tree
1214 (with *Epipliopithecus* included as a stem catarrhine; Fig. 3a) is projected onto the tangent
1215 space defined by the between-group principal components (bgPCs) as depicted in Figure
1216 6. The internal nodes (i.e., the ancestral states) were estimated using maximum likelihood:
1217 a) bgPC2 vs. bgPC1; b) bgPC3 vs. bgPC1. Variance explained by each component is
1218 given along each axis. Convex hulls depict the range of variation for a priori defined
1219 groups using the following color code: green = platyrrhines; blue = cercopithecoids; red =

1220 hylobatids; orange = hominids. The ancestral nodes discussed for assessing
1221 *Epipliopithecus* phylogenetic affinities do not change consistently in their position in the
1222 morphospace irrespective of the phylogenetic hypothesis used for their estimation (see
1223 SOM Fig. S3 for the alternative phylogenetic tree including *Epipliopithecus* as a stem
1224 hominoid). Key nodes are highlighted as follows: gray circle = crown anthropoids; green
1225 circle = crown platyrrhines; blue circle = crown catarrhines; orange circle = crown
1226 hominoids.

1227

1228 **Figure 9.** Reconstruction of the semicircular canals and vestibule for the last common
1229 ancestors (LCAs) of the following clades: a) crown anthropoids; b) crown platyrrhines; c)
1230 crown catarrhines; d) crown hominoids. The renderings of each 3D model are depicted in
1231 lateral (left), superior (middle), and posterior (right) views.

1232

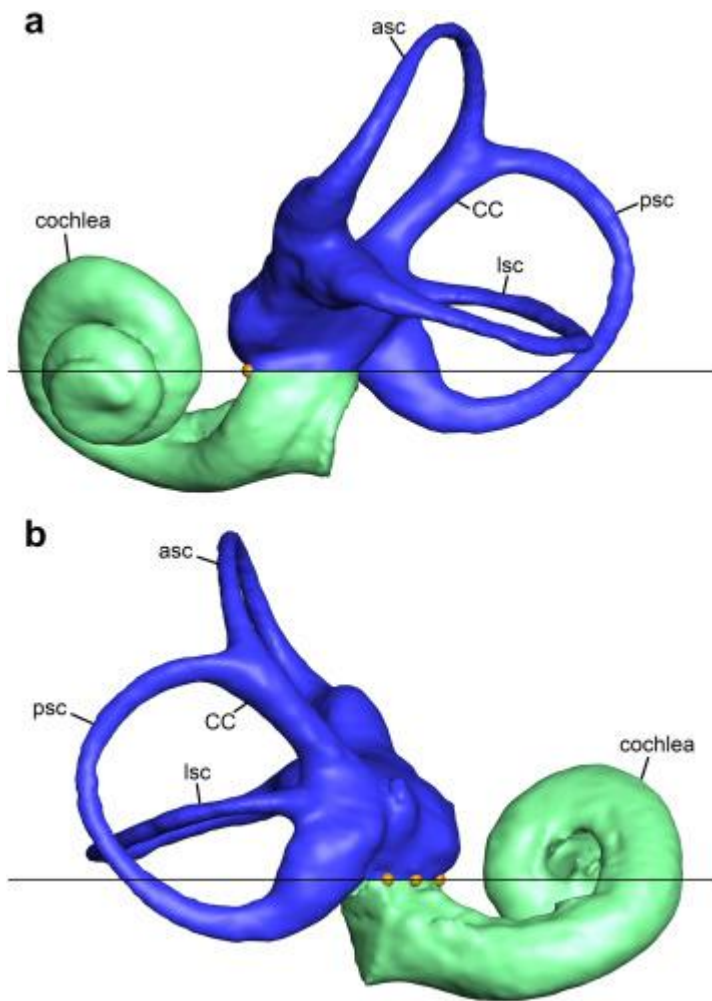
1233 **Figure 10.** Box-and-whisker plot of allometric residuals based on best-fit line of the
1234 nonhominid anthropoid regression of log-transformed cube root of canal volume and log-
1235 transformed canal length (as depicted in Fig. 5). Vertical lines correspond to the median,
1236 boxes depict interquartile range, whiskers represent maximum and minimum values within
1237 1.5 times the interquartile range, and black dots are outliers. Sample sizes for extant
1238 groups are the following: Platyrrhini ($n = 40$), Cercopithecoidea ($n = 75$), Hylobatidae ($n =$
1239 17), Hominidae ($n = 30$).

1240

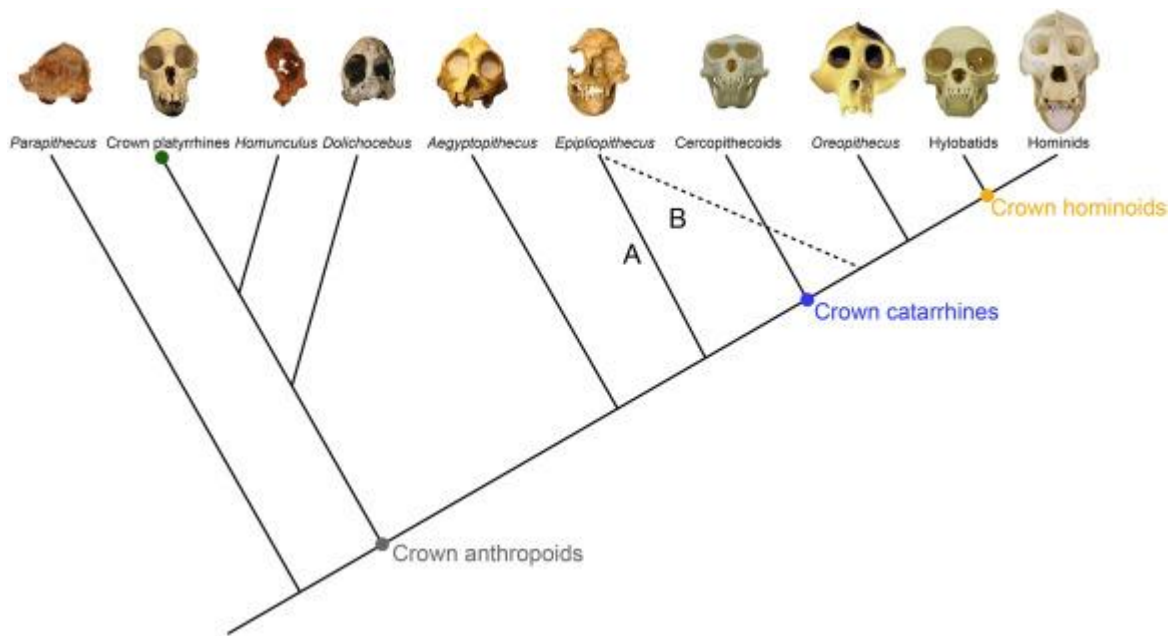
1241 **Figure 11.** Illustration of the discrete characters of semicircular canal (SC) and vestibule
1242 morphology used in this paper. Numbers preceding each state (0, 1, 2) correspond to
1243 character states numbered in Tables 8 and 9, and SOM Table S4.

1244

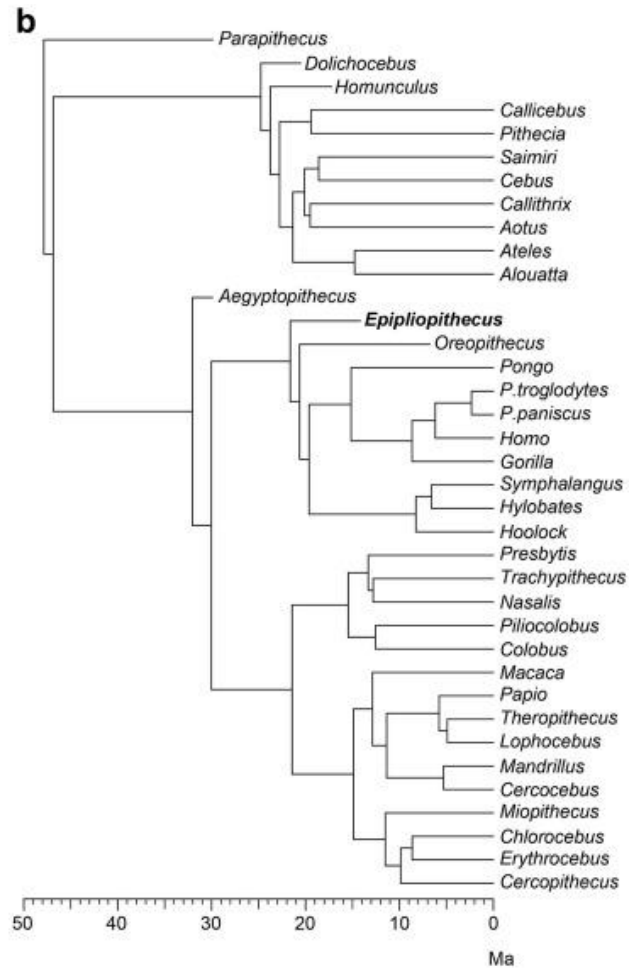
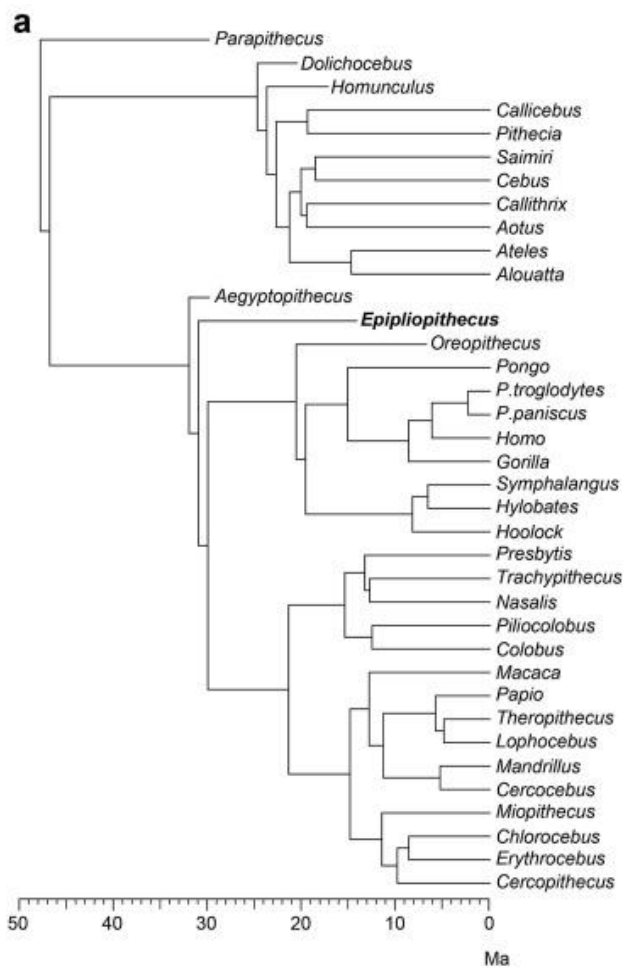
Figure 12. Simplified cladogram of crown anthropoids and selected extinct catarrhines (*Epipliopithecus* and *Oreopithecus*) summarizing the main synapomorphies inferred for the various clades in semicircular canal and vestibule morphology. The four extant anthropoid clades distinguished (platyrrhines, cercopithecoids, hylobatids and hominids) are depicted as terminal nodes. The synapomorphies inferred for each node are summarized below; character number (preceded by a hash) and character state (within parentheses) are provided after each synapomorphy within brackets. a) *Epipliopithecus* + crown catarrhines: rounded anterior canal [#3(1)]; b) Crown catarrhines: rounded posterior canal [#5(1)], moderately short CC [#7(1)]; c) *Oreopithecus* + crown hominoids: vertically compressed anterior canal [#3(0)], anterosuperiorly-projecting anterior portion of the anterior canal [#4(1)], short CC [#7(2)]; d) Crown hominoids: markedly superiorly bent ampullary portion of the lateral canal [#6(1)]; e) Crown hominids (unless *Oreopithecus* + crown hominoid synapomorphies [node c] with reversal in hylobatids): large vestibule relative to the SCs [#1(1)], stout SCs [#2(1)]. Abbreviations: CC = common crus; SC = semicircular canals. See Figure 11 for an illustration of the various character states and Table 9 and SOM Table S4 for the scoring of reconstructed last common ancestors and individual taxa, respectively.

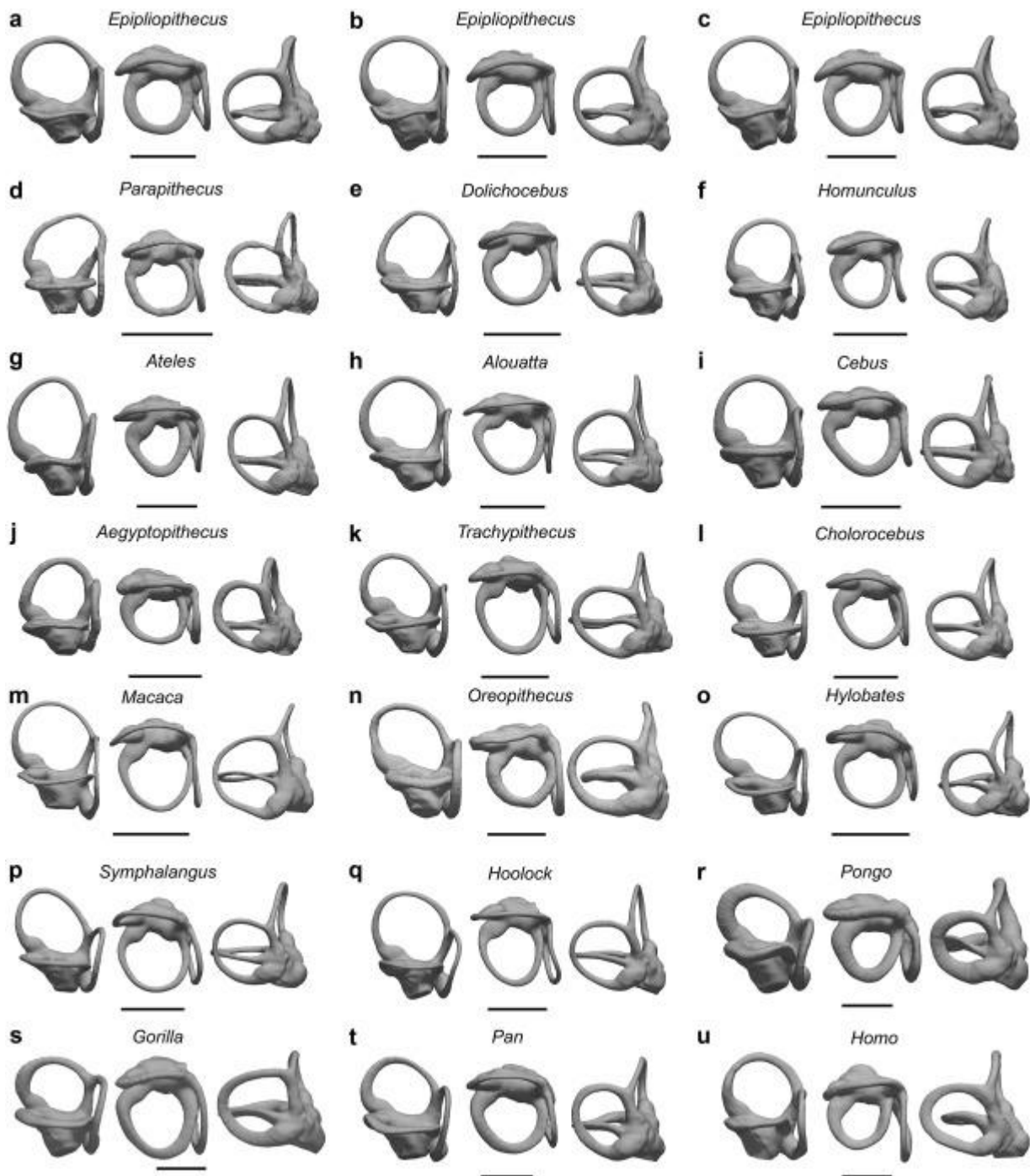


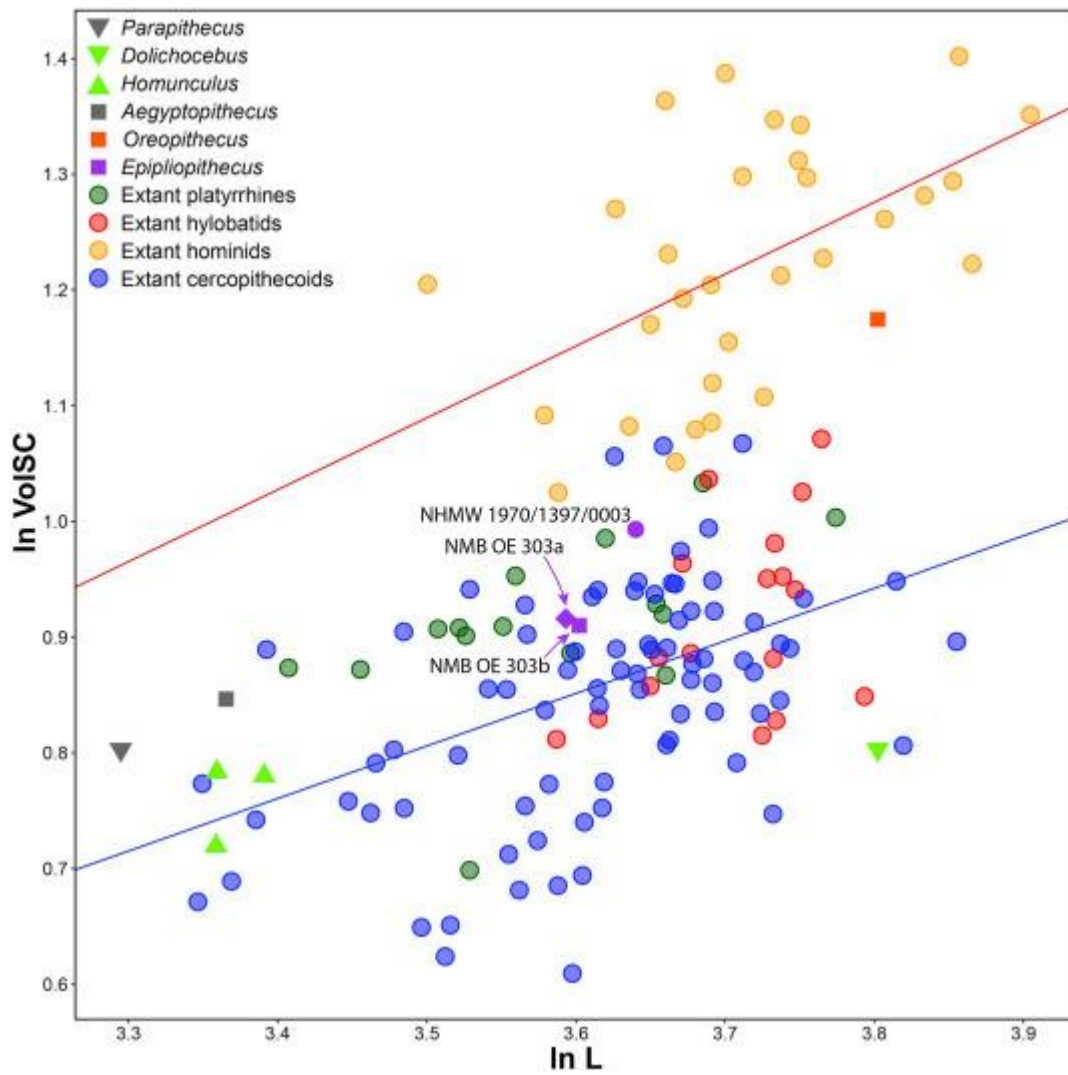
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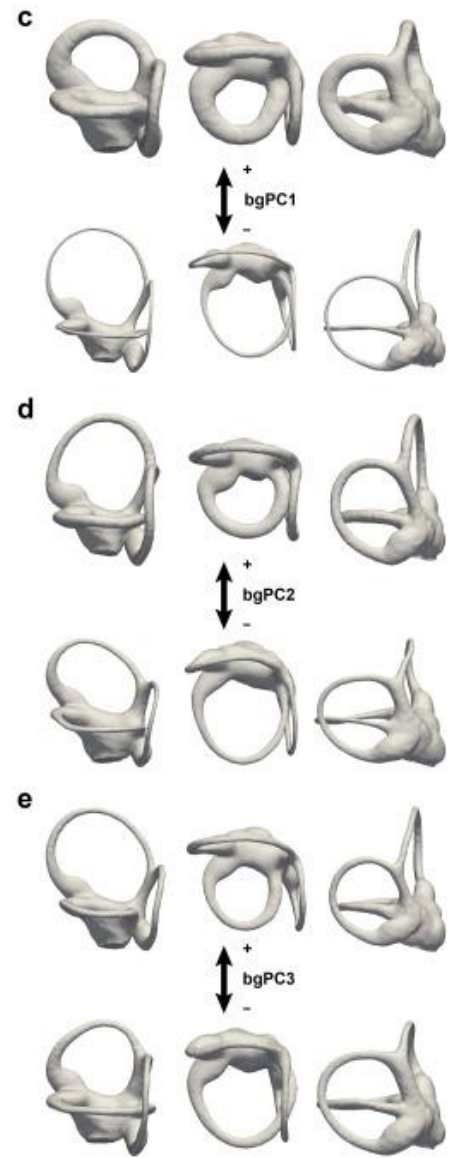
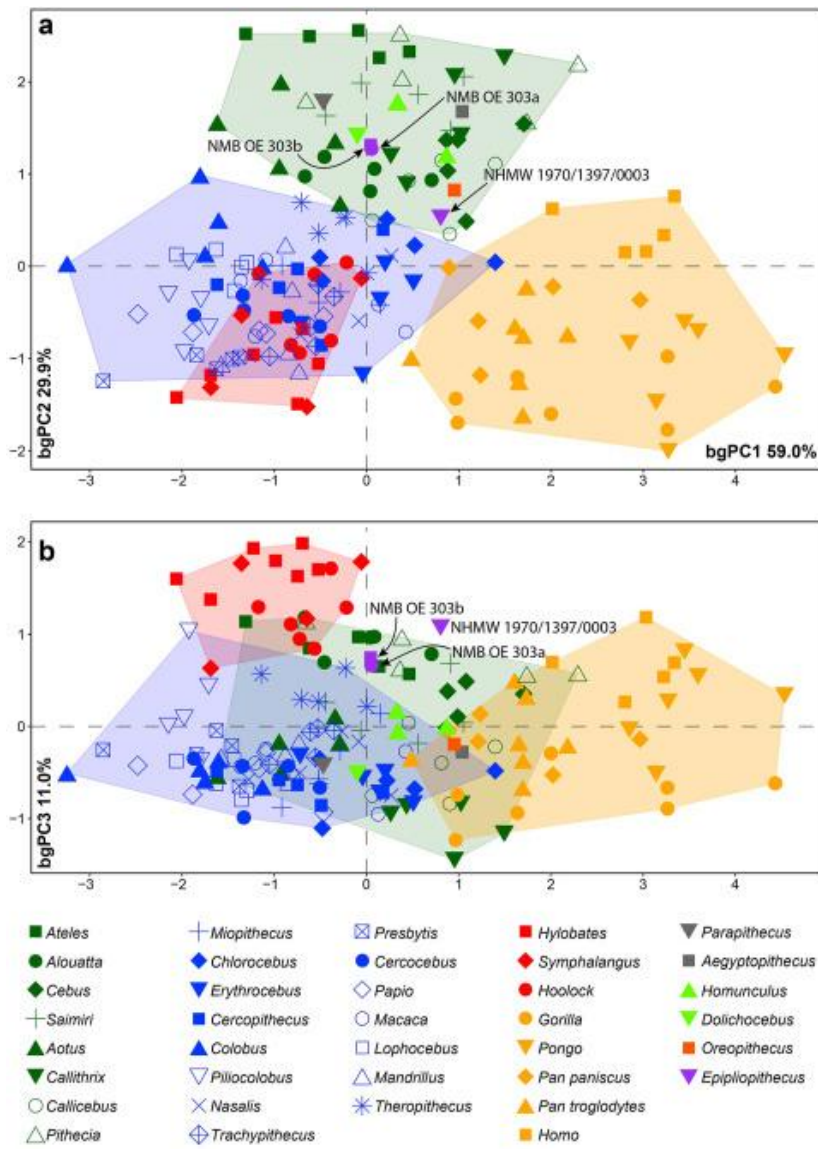
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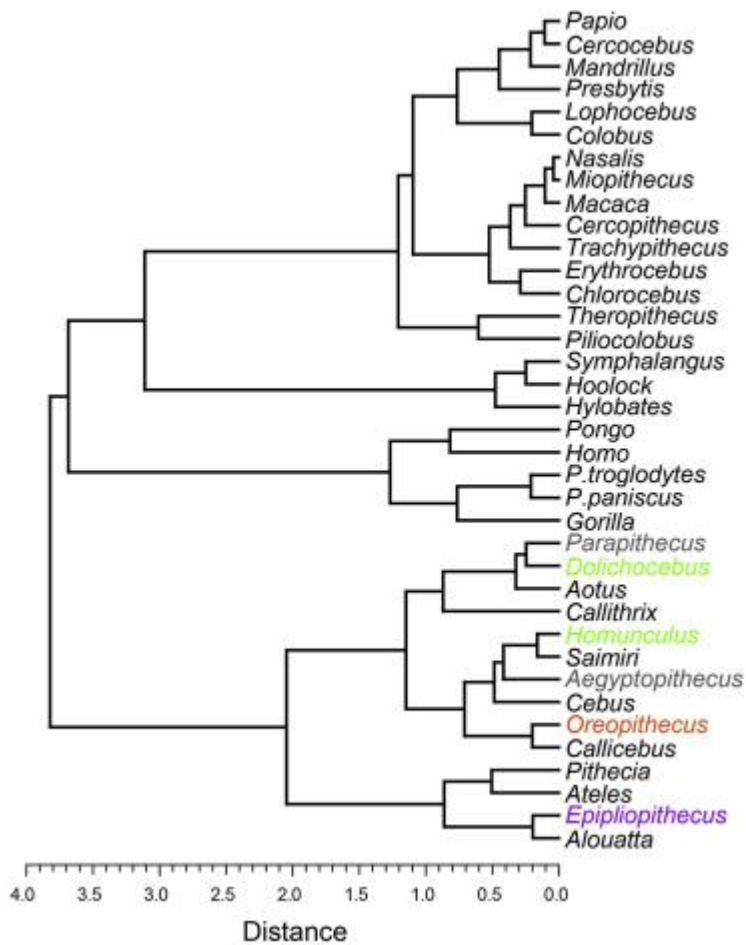




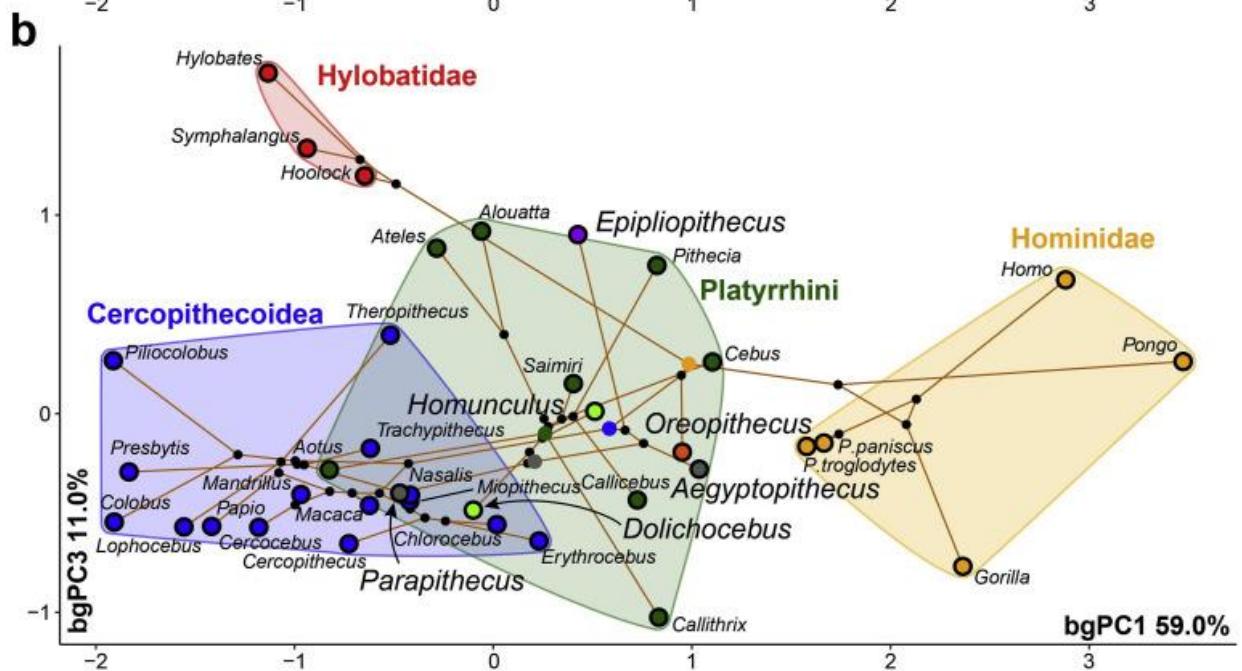
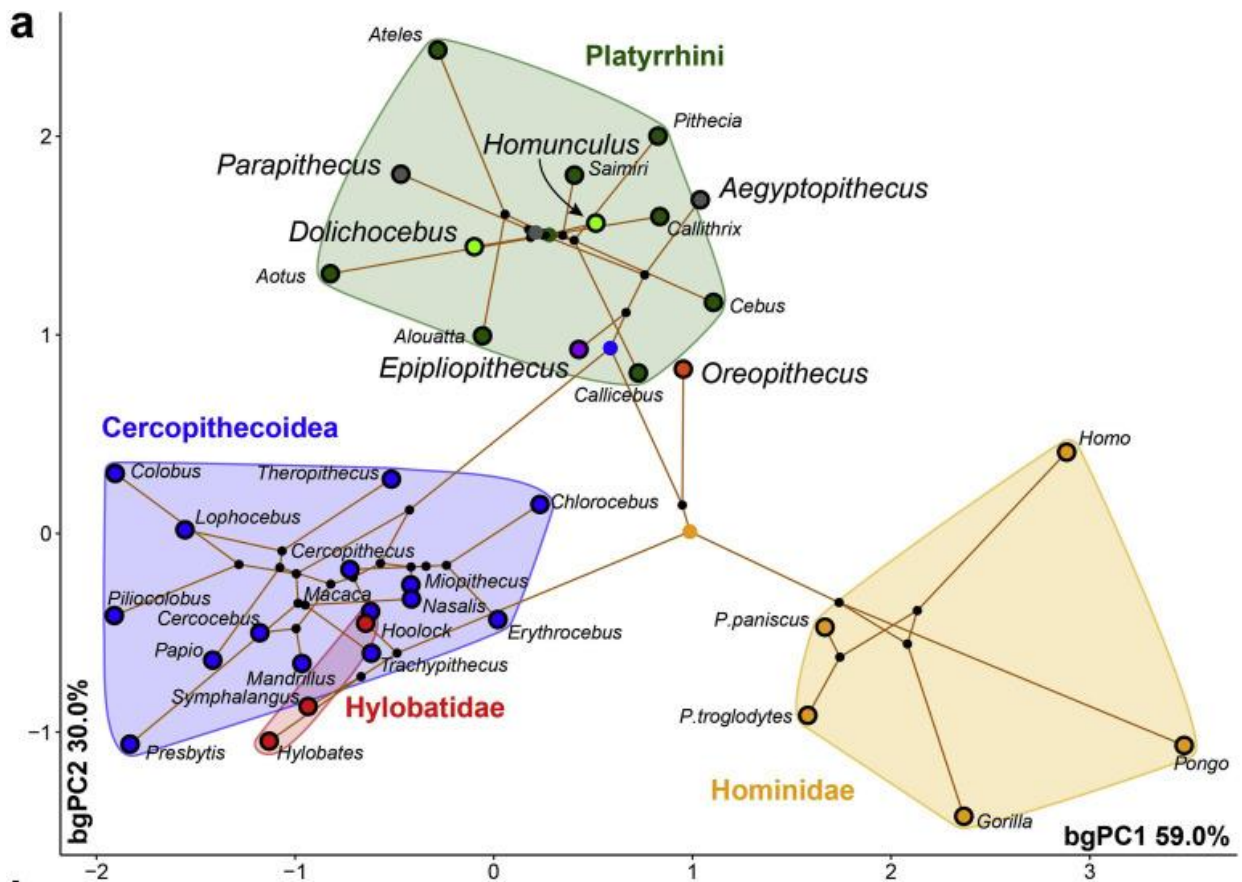


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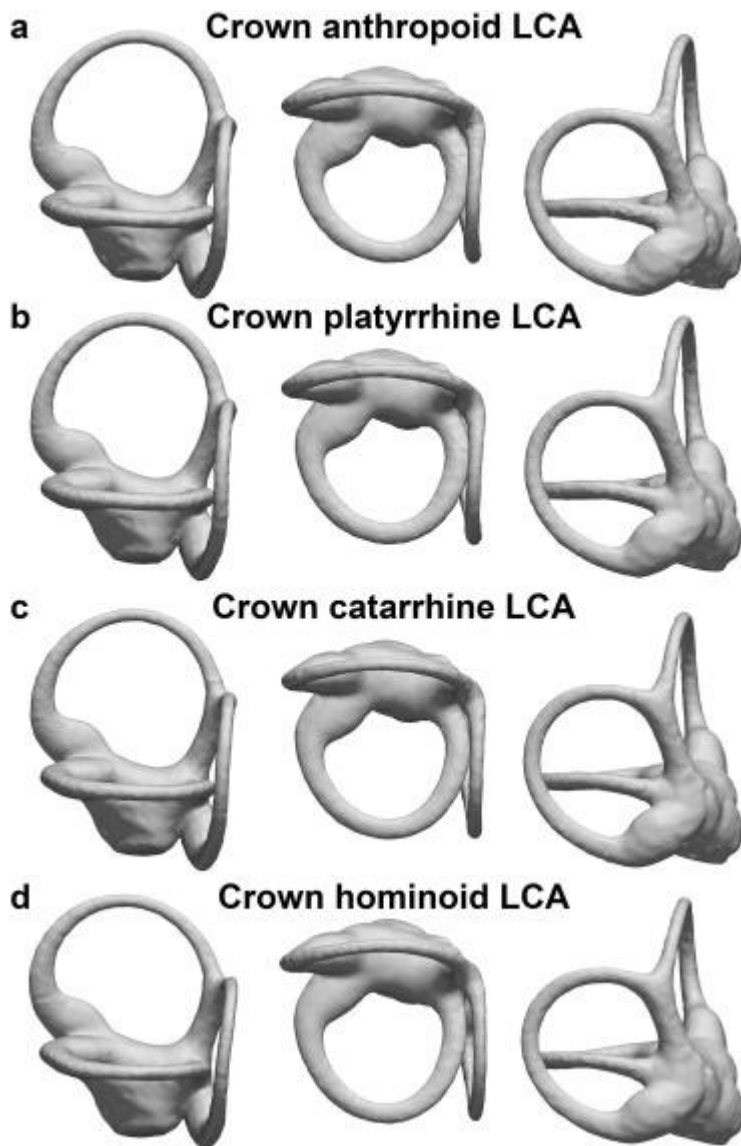


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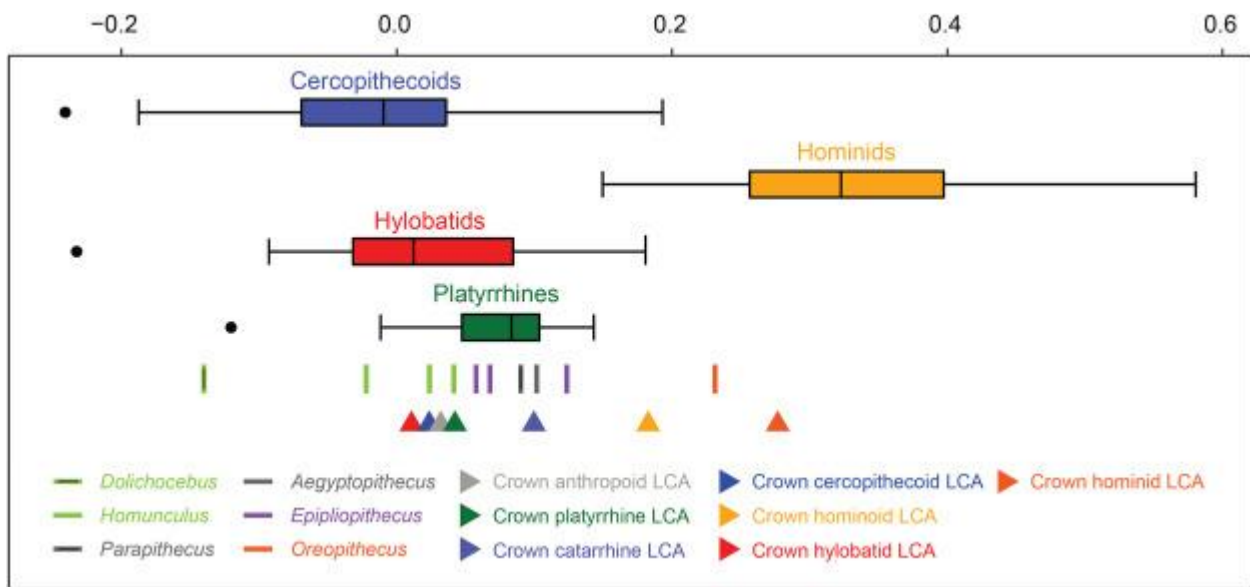


1269

● Crown anthropoid LCA ● Crown platyrrhine LCA ● Crown catarrhine LCA ● Crown hominoid LCA



1270



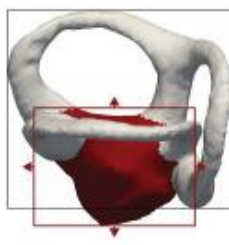
1271

Character #1: Size of the vestibule relative to the SCs

(0) small



(1) large



Character #2: Robusticity of the SCs

(0) slender



(1) stout



Character #3: Shape of the anterior SC

(0) vertically compressed



(1) rounded



(2) elongated superiorly



Character #4: Shape of the anterior portion of the anterior SC

(0) non-anterosuperiorly projecting



(1) anterosuperiorly projecting



Character #5: Shape of the posterior SC

(0) vertically compressed



(1) rounded



(2) elongated superiorly



Character #6: Shape of the lateral SC ampullary portion

(0) flat or only slightly bent superiorly



(1) markedly bent superiorly



Character #7: Length of the CC

(0) long

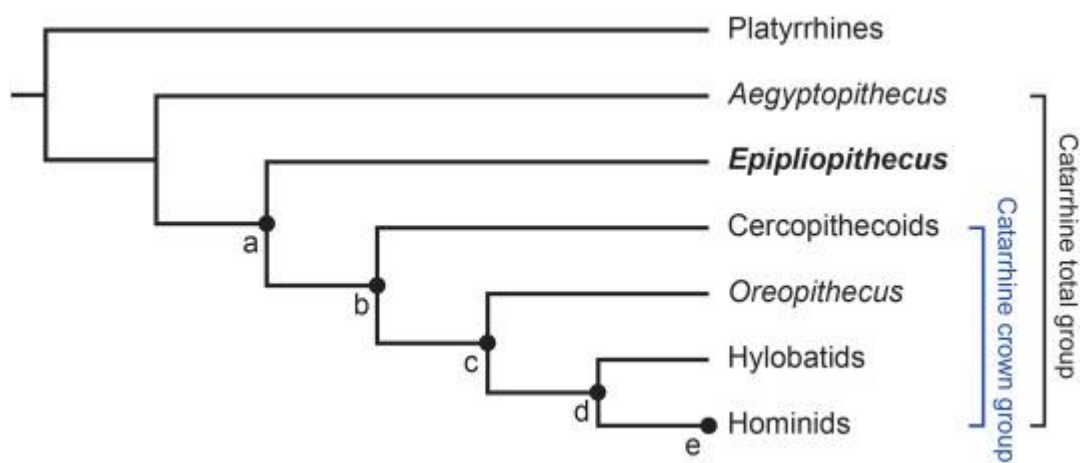


(1) intermediate



(2) short





1273