

# Predicting future poor readers from pre-reading visual skills: A longitudinal study

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1	Predicting Future Poor Readers from Pre-reading Visual Skills:
2	A Longitudinal Study
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#### **Predicting Future Poor Readers from Pre-reading Visual Skills:**

#### A longitudinal study

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#### **ABSTRACT**

- Reading is essential for learning, from literature to physics, from paper to screens on e-readers and smart phones. Even if it is well known that learning to read implies good language skills, children also need to develop good oculomotor and visual-perception skills. Thereby, any deficits in visual processing may affect learning. The possible impact of visual deficits is rarely considered, especially with regard to eye movements and visual perception. Hence, these deficits are usually discovered much later or remain undiagnosed. The present study aimed at assessing the usefulness of visual processing related measures in the early detection of reading difficulties. Visual skill differences that are apparent early in kindergarten might provide predictive insights into risk for learning difficulties at school entry. We used a prospective, longitudinal approach where visual processes (assessed with the Developmental Eye Movement (DEM) test) were measured in 51 preschoolers, and the impact of these processes on future reading development was explored one year later, in Grade 1. Results showed that (1) 31% of our sample of preschoolers showed visual processing impairments (without any clinical complaints) and (2) reading accuracy and speed in first graders were significantly correlated with visual skills assessed in kindergarten, thus confirming the significant role of oculomotor and visual-perception processes in the acquisition of reading skills. These suggests the potential for these measures to be used clinically for identifying children at risk for low academic achievement, enabling appropriate targeting of early interventions.
- 50 <u>Keywords</u>: Longitudinal study; Reading acquisition; Kindergarten; Visual information
- 51 processing; Eye movements; DEM-test; Preliteracy skills

#### INTRODUCTION

Although reading is rapid and automatic in skilled readers, in beginning readers it emerges only as a result of the complex and effortful interaction between perceptual/oculomotor processes and language processing (Schatschneider et al., 2004). These processes rely on children's grasp of fundamental skills, such as visual perceptual skills, spoken language skills, short-term memory and accurate eye control skills, that develop during the preschool years before the beginning of formal reading instruction (Nicolson & Fawcett, 2010; Pollatsek & Rayner, 2005; Rayner & Liversedge, 2011; Ziegler et al., 2008).

While a good deal of recent research has focused on the role of phonological skills in learning to perceive written language, little attention has been paid to the development of visual skills<sup>1</sup> in prereaders or about the role that these visual skills play in early reading development. However, reading is a visual task, highly constrained by the anatomical and functional limits of our visual system (for reviews, see Leibnitz et al., 2015, 2017). Whereas it is likely that both phonology and visual processes contribute to skilled reading acquisition and its dysfunction, few studies have been conducted to examine oculomotor and visuo-perceptual processes related to word recognition skills in children as they learn to read. This paper is therefore focused upon those processes and on their potential as explanation of reading behaviour and reading problems in the context of general emergent literacy skills.

#### The importance of visual processing in reading

Previous researchers have found high overlaps between visual skills and domain-general cognitive skills (e.g., sustained attention, nonverbal reasoning, and inhibitory control) (Buckley et al., 2018; Yang et al., 2019), as well as rapid automatic naming (RAN; Yang et al., 2020). For sighted people, written language is perceived visually. The high visual acuity

<sup>&</sup>lt;sup>1</sup> By visual skills or visual processing, we do not mean visual acuity but rather a complex visual and/or visuo-attentional mechanism specific to the reading activity

that is needed to rapidly identify words is spatially limited (Lee et al., 2003; Rayner & Bertera, 1979; Rayner & Morrison, 1981) and most studies today agree about the link between visual attention and oculomotor control during reading: visual attention affects saccadic programming, that is, the position where the eyes land in a word and acts as a filter that enhances letter identification under the attentional focus, thus limiting the detrimental effects of acuity and crowding (Carrasco, 2011; Strasburger, 2005; Tydgat & Grainger, 2009; Yeshurun & Rashal, 2010). One of the first task of the beginning reader is therefore to develop good oculomotor skills to overcome anatomo-functional constraints of the eye and be able to land in the best position within the words to be read, to extract the information being fixated, to program a saccade, to position the eyes on the next word, and so on (Ducrot et al., 2013; O'Regan & Lévy-Schoen, 1987; Rayner, 1986).

Furthermore, visual processing shapes the way visual information is extracted from print (Aghababian & Nazir, 2000; Ducrot et al., 2013). In order to process letters within foveal strings and to apply grapheme-to-phoneme conversion rules, beginning readers must be able, at first, to segment the fixated information by shifting from distributed attention to more focused attention. Secondly, the child has to detect and take into account letter orientation (e.g. p becomes q with an orientation change), letter sharpens (e.g. c and e only differ from each other by a visual feature) and letter-in-word order (the same set of letters can make different words, e.g. pat, tap, apt in English). Finally, the child has to develop to inhibit all surrounding letters and words to reduce visual crowding—that is the interference generated by stimuli in close proximity—and optimize letter identification under the attentional focus (Tydgat & Grainger, 2009). It follows that basic aspects of oculomotor control (which provide optimal visual input), the ability to orient the focus of attention as well as the ability to control its size are assumed to play a crucial role in the development of reading skills (Ducrot et al., 2013; Grainger, 2018; Leibnitz et al., 2017; Morris & Rayner, 1991) and that

their inadequate development might be one cause of reading disabilities (e.g., Biscaldi et al., 2000; Fischer & Weber, 1990).

The idea of the implication of visual skills in learning to read is not a recent one (Morgan, 1896), but it remains an infrequently investigated issue. However, the findings from dyslexics and typically developing readers suggest a strong relationship between reading ability and visual processing skills (such as visual-perceptual, oculomotor and visual-attentional processes, for reviews, see (Bellocchi et al., 2013; Blythe & Joseph, 2011; Ducrot et al., 2013; Kulp & Schmidt, 1996a). For example, Yang and Meng (2020) longitudinally followed 108 preschoolers and revealed a strong association between preschool visual processes and Grade 1 reading outcomes, thus supporting the concept that visual performance is key to learning and more specifically to reading acquisition (see also González et al., 2014; Memiş & Sivri, 2016).

In the same vein, a body of research suggests that deficits in visual-perceptual or oculomotor processing may contribute to reading difficulties (Franceschini et al., 2012; Gori & Facoetti, 2015; Granet et al., 2006; Meng et al., 2011; Vidyasagar & Pammer, 2010; Zhou et al., 2014; see also Bellocchi et al., 2013, for a review). For example, children who have deficits in visual perception often cannot efficiently distinguish words printed in different sizes or colors (Fischer et al., 2000; Visser et al., 2004). In addition to poor performance on tasks requiring phonological awareness, children who had poor reading abilities may present visual perception deficits, e.g. (1) disturbances in contrast sensibility (Lovegrove et al., 1980), weak sensitivity in detecting coherent motion or forms (Cornelissen et al., 1995), letter identity confusions and/or location errors (Pelli et al., 2004; Whitney & Levi, 2011); distortion, blurring, merging or moving of letters or words (Kovács, 2000; Stein & Walsh, 1997), (2) oculomotor dysfunction (e.g., increased duration and number of fixations, reduced saccade amplitude, increased ocular regression leading to misread words, missing words and

skipping lines (De Luca et al., 2002; Hutzler & Wimmer, 2004) and/or (3) visual attention impairments (e.g. problems focusing attention, Kramer & Hinojosa, 1999; high sensitivity to the crowding effect, Martelli et al., 2009; Zorzi et al., 2012; symmetrical OVP curve, (Bellocchi & Ducrot, 2021; Ducrot et al., 2003) when they attempt to read (see also, Bosse & Valdois, 2009; Ducrot & Lété, 2008, 2020; Lallier et al., 2010; Lété & Ducrot, 2008). It also has been found that remediation based on training of oculomotor and visuo-attentional abilities improves reading abilities in dyslexic children (Caldani et al., 2020; Launay & Valdois, 2004; Lehtimäki & Reilly, 2005).

#### **Predictors of reading development**

The findings from dyslexics and typically developing readers suggest that the problems children experience in learning to read during the elementary years and beyond are related to the preliteracy skills that they bring with them from preschool and kindergarten (e.g. Lonigan, 2006; Wagner et al., 1994). It is generally accepted that phonological skills are important for the development of reading (for a review, see Kirby et al., 2008). Evidence that these skills are necessary precursors for learning to read, is that pre-reading children in kindergarten who score poorly on tests of phonemic awareness (i.e., the ability to recognize and manipulate individual speech sounds) and rapid automatic naming (RAN) are more likely to become poor readers over the next few years (Carroll et al., 2003; Lonigan et al., 2000; Parrila et al., 2004; Schatschneider et al., 2004)<sup>2</sup>.

As previously stated, the importance of phonological and orthographic skills in learning to read shouldn't overshadow the fact that a written or printed word is, above all, a visual stimulus, and visuo-attentional and oculomotor skills (which provide optimal visual

<sup>&</sup>lt;sup>2</sup> It should be noted that other linguistic skills play important roles in successful reading acquisition, as knowledge of alphabetic letters (Lonigan et al., 2000; Parrila et al., 2004; Storch & Whitehurst, 2002) and word forms (Goodman, 1986; Lonigan et al., 2000).

input) may play a crucial role in successful reading development. If visual perception is not fully developed by the time a child is in preschool, his or her ability to read (Flax et al., 1984; Kulp & Schmidt, 1996a, 1996b), to spell, and to write (Borsting et al., 2005) may be affected. These visual disorders which often appear in the absence of complaints expressed by the subject or his/her family may lead to eye fatigue, increased tiredness of the child, blurriness, headaches, and/or burning eyes, and then, depending on their severity, to difficulty sustaining attention and focus. All of which may interfere with visual exploration, reading fluency and distract from reading comprehension.

Recent research suggests that in addition to skills with language sounds, visual-spatial attention may be an important predictor of reading development. Franceschini et al. (2012) showed that children who had poor reading abilities during the first or second year of reading education made significantly more errors on a visual-search task and a spatial-cueing task than normal readers had when they were in kindergarten. Moreover, poorly developed visual information processing skills, which refer to the cognitive abilities required to extract and organise visual input derived from the environment, have also been associated with poorer learning outcomes (Vinuela-Navarro et al., 2017; Wood et al., 2018). In an earlier study, Kavale (1982) performed a meta-analysis of 161 major studies relating visual perception and reading performance. His results indicated that visual perception is a significant correlate of reading achievement, and is predictive of reading performance in school, especially during the preschool and primary school grades. The initial landing position, the visual-attentional span and visual-motor skills also explain part of the variance in the reading abilities after one year of learning (Bellocchi et al., 2017; Bosse & Valdois, 2009; Chung et al., 2004; Ducrot et al., 2021; Kwon et al., 2007; Valdois et al., 2019). These various findings suggested therefore that visual and oculomotor processing is highly essential in the development of reading skills.

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#### The present study

To sum up, in addition to the well-documented importance of phonological skills in reading acquisition and dyslexia, visual processes also appear as important factors in learning to read. Despite the evidence of 1) the importance of the oculomotor and visuo-perceptual processes in learning to read, and 2) data showing perceptual and oculomotor deficits in dyslexics, the assessment of these processes is not systematically carried out and many visual deficits still remain undetected in preschool children. We assume that it is crucial to be able to assess perceptual, and visuo-attentional prerequisites to reading development, in order to identify children at-risk for reading disabilities at an early age and increases the chance of success for charting later developmental trajectories (Jenkins et al., 2007; Shonkoff & Phillips, 2000).

It therefore seems important to find a reliable and practical test that could assess visual processing skills in young children. At the current time, many tools available to professionals are designed to assess phonological abilities as early as kindergarten, but there are very few tools for assessing the relations between visual skills and the process of learning to read. In that context, we have examined the usefulness of the Developmental Eye Movement (DEM) test (Garzia et al., 1990) that provides an indirect measure of the efficiency of visual processes in a simulated reading task (horizontal and vertical digit naming task, providing reading time and errors). This procedure was not chosen arbitrarily but in reference to previous work using the DEM-test with and without eye-movement recording (Ayton et al., 2009; Bellocchi et al., 2021; Facchin et al., 2011, 2014; Hopkins et al., 2019; Moiroud et al., 2018; Raghuram et al., 2019; Webber et al., 2011). It is important to keep in mind here that all studies on the DEM-test point agreed that visuo-perceptual and visuo-attentional processes play a major role in this task (Ayton et al., 2009; Facchin et al., 2011, 2014; Hopkins et al., 2019; Larter et al., 2004; Moiroud et al., 2018; Northway, 2003; Palomo-Álvarez & Puell, 2009; Portnoy & Gilaie-

Dotan, 2020; Raghuram et al., 2018). Moreover, there is a consensus on the relationship between the DEM-test and reading abilities, both in school-age children (Ayton et al., 2009; Larter et al., 2004; Palomo-Álvarez & Puell, 2009; Serdjukova et al., 2017; Wood et al., 2018) and in children with learning difficulties (Bellocchi et al., 2021; Moiroud et al., 2018; Northway, 2003; Raghuram et al., 2018). Note that Moiroud et al. (2018) with a video-oculography while performing the DEM-test, even demonstrated that the time taken by the children (dyslexic and non-dyslexic) to read Text C of the DEM-test was significantly correlated with children fixation ability and the speed of reading a text. Taken together, these results argue for a strong link between visual processing, performance of verbalization and the DEM-test to clinically assess the reading performance of children with and without reading deficiencies<sup>3</sup>.

In the present study, we examined the influence of visual processes in kindergarten on first graders decoding abilities. Specifically, we were interested in showing the importance of visual processes in a model for predicting emergent literacy in kindergarten and subsequent reading abilities in first graders. We here address this issue through the longitudinal follow-up of a cohort of typical children from kindergarten to first grade. The two specific questions addressed in this study were the following. First, what is the prevalence of visual deficits as assessed by the DEM test at age 5, before children start formal reading instruction? Secondly, does kindergarteners' visual skills significantly contribute to emergent literacy abilities and later reading abilities?

<sup>&</sup>lt;sup>3</sup> Note that only two issues are still being discussed regarding the DEM-test, i.e. (1) is it secure to use the ratio measure (Medland et al. 2010; Raghuram & al., 2018 vs. Ayton & al., 2009; Portnoy & Gilaie-Dotan, 2020; Webber et al., 2011; Wood et al., 2018) and (2) is it possible to use the DEM test as a specific measure of saccadic control (Ayton et al., 2009; Webber et al., 2011 vs. Moiroud et al., 2018; Raghuram et al., 2019). We do not feel concerned here, since investigating eye movement control in children was clearly not the focus of interest of this paper.

#### **METHOD**

Participants. Fifty-one French-speaking children, enrolled from kindergarten to the 1<sup>st</sup> grade, took part in this study. All the participants had normal or corrected-to-normal vision. None of them suffered from any neurological, psychiatric, or emotional disorders or were educationally disadvantaged. The children were recruited in three kindergartens located in the Aix-Marseille academy, in the south of France, and we obtained informed consent from their parents and the agreement of the board of education prior to the beginning of the study. The task was administered longitudinally. At Time 0, a total of fifty-one kindergarten children (26 females; mean age 5.8 years; range 4.11 to 6.4) participated in the study. One year later, participants were retested, in May of the first grade, that is, at the end of the school year. The two testing sessions will hereafter be referred as test 0 (T0) and test 1 (T1). Thirty children (12 females; mean age 6.10 years; range 6.4 to 7.3) were re-assessed in T1.

#### Material and method.

Visual skills. Visual and oculomotor skills were assessed using the DEM-test (Developmental Eye Movement Test; Garzia et al., 1990; Richman, 2009), which is composed by horizontal and vertical digit reading tasks printed on four different sheets of paper: the pre-test (a horizontal line of ten 0.5 cm high digits) to ensure that the child is familiar with all the digits presented, two vertical tests (Test A and B; each composed of two vertical lines of twenty 0.5 cm high digits separated by a 10.5 cm horizontal margin and with a 0.5 cm vertical distance between letters), which are supposed to evaluate the level of automaticity of the naming of numbers with involving only basic oculomotor skills, and one horizontal test (Test C; sixteen lines of five irregularly separated 0.5 cm high digits), that requires good oculomotor and visuo-attentional skills, in addition to number naming skills, due to the variable lines spacing and numerous line references making the ocular tracking of

lines more difficult. Children were asked to read aloud the digits as fast and as accurately as possible. The task was timed, and errors or omissions were recorded. The DEM test provides four main indices: (1) the vertical reading time (seconds) (VT) which represents the sum of the time spent on naming the eighty vertically organized digits of Test A and B (in accordance with the test manual, errors were not used for scoring purpose); (2) the adjusted horizontal time (seconds) (HT) which represents the time required for reading the eighty horizontally organized digits presented in the Test C; this score is corrected for omission or addition errors<sup>4</sup>; (3) the total number of errors (HE) which gives the accuracy on the execution of Test C corresponding to the sum of the 4 possible types of errors: addition errors (i.e., adding or repeating a digit), omission errors (i.e., forgetting a digit), transposition errors (i.e., inversion between two digits) and substitution errors (i.e., replacing one digit with another); and (4) the Ratio score (R) which is calculated dividing the HT by the VT; This ratio is intended to isolate the involvement of oculomotor processes in the horizontal naming task.

Reading abilities. The reading abilities of each participant were assessed using the Alouette test (Lefavrais, 1967, 2005). This test is commonly used in France to assess reading proficiency; it consists of reading aloud a text composed of syntactically correct but semantically poor sentences. The reader cannot, therefore, use meaning to facilitate access to lexical representations. Participants were instructed to read the text as fast and as accurately as possible. The time required for this assessment was 3 min. Three main measures were scored: the number of words reads, the reading time and the number of errors, thus providing two indices for each participant: a reading accuracy index (CM) and a reading speed index (CTL).

<sup>&</sup>lt;sup>4</sup> Adjusted Horizontal Time = Test C time x [80/(80-number of omission + number of addition)]

**Procedure.** In this longitudinal study, children were tested twice (i.e., T0: kindergarten, T1: May of the 1<sup>st</sup> grade). All the assessments always occurred in the schools in which the children were enrolled. The children were tested individually in a quiet room in their school during regular school hours. The brightness of the room was adapted according to the support of the different tasks (i.e., paper or screen). At T0 of the study (i.e., kindergarten), children's visual skills were assessed from the DEM-test. At T1 (i.e., Grade 1 - May), reading skills were assessed using the Alouette-test. The administration of the DEM test lasted about 10 minutes, and that of the Alouette test approximately 5 min.

The entire investigation process was conducted according to the principles expressed in the Declaration of Helsinki (World Health Organisation, 2008), approved by the local Ethics Committee Review Board (Comité de Protection des Personnes pour la recherche biomédicale, CNRS, France). The children's parents gave their written consent for participation.

**Data analysis.** The statistical analyses were carried out using R and GraphPad statistical software. Distributions for the outcome variables suggested no violations of the normality assumption. The statistically significant threshold for all the analyses was set at p = 0.05. Descriptive statistics were used to report group means and standard deviations for all the DEM test parameters, to define the prevalence of visual difficulties in kindergarten. Prevalence data were based on the threshold of 1 SD above mean<sup>5</sup>. Pearson's correlation analyses were performed to examine the relationship between the performances on the DEM-

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<sup>&</sup>lt;sup>5</sup> In reading or neuropsychological research, it is more often 1.5 or 1.65 (corresponds to 5%), to be able to identify disorders (Ratcliff, 1993; Saksida et al., 2016). But when the question of interest is to track difficulties or delay in the developmental trajectory, the threshold used traditionally is 1 SD below the average (16%), since this is the threshold beyond which the assessed skill can be considered as poor. This cut-off allowed us to identify all poor readers (reading test) and all children with visuo-perceptual difficulties (DEM test) (Lefavrais, 2005; Muneaux, 2018).

test in kindergarten and the reading level of these children (i.e., reading speed and accuracy) assessed in the  $1^{st}$  grade. Linear regression analyses were subsequently performed in order to integrate the explanatory variables, identified in the correlation analyses, into a model and to isolate the part of the variance explained by these factors. Finally, ROC curve analyses were conducted on the DEM-test indices to determine whether this tool does indeed differentiate children with and without reading difficulties. These analyses allowed us to obtain a measure of the diagnostic test's effectiveness. Indeed, the Area Under the Curve (AUC) is an effective indicator, combining both sensitivity and specificity, which indicates the inherent validity of diagnostic tests (see Hajian-Tilaki, 2013, for more details). The AUC, area under curve, can be a value between 0.5 (chance level) and 1.0 (perfect discrimination) (Swets, 1988); AUCs > 0.9 are considered excellent, AUCs between 0.8 - 0.9 are very good, between 0.7 - 0.8 are good, 0.6 - 0.7 are average, and an AUC < 0.6 is poor (Choi, 1998). For this analysis, a child was considered to have poor reading skills if at least one of the two reading indices (i.e., CM and/or CTL) was below the clinical threshold -1 SD (see Footnote 5).

#### **RESULTS**

# Prevalence of Visual Impairment in Kindergarten and Reading Difficulties in the 1<sup>st</sup> Grade

Mean and standard deviations for VT, adjusted HT (HTaj), HE and R indices according to the schooling level (i.e., kindergarten) are reported in Table 1. A total of 16 children had at least one index below-average on the DEM-test in kindergarten, constituting 31% of the sample. More precisely, among these 16 children, 5 were below average on the VT index, 5 on the HTaj index, 2 on both VT and HTaj indexes, 10 on the HE index and 5 on the R index. Besides, the 10 children with a deviant performance on the HE index made

between 46 and 65 errors in the horizontal layout (M = 31.57, SD = 16.35). Regarding the nature of these children's errors, on average, 70% were omissions, 25% were addition, 5% were substitution and only 1% were transposition errors, thus confirming the role of visual attention in error scores (Coulter & Shallo-Hoffman, 2001).

#### [Insert Table 1 about here]

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Thirty of these children were followed longitudinally<sup>6</sup>, and among them, 6 presented reading difficulties (RD) in the 1st grade including 4 children who already presented difficulties with at least one DEM-test index in kindergarten (i.e., 13.33% of the cohort followed longitudinally). Moreover, 5 children presented a deviant performance in the DEMtest without subsequent reading difficulties (NoRD, i.e., 16.67%) which may indicate purely oculomotor or orthoptic deficits. Note that for these children only the HE index was deviant. When these data were merged together, the distributions of the different DEM-test variables behaved completely differently between children with Reading Difficulties (RD) and without (NoRD), as shown in Figure 1. Children with RD differed from children without RD in the VT distributions, with a deviant density peak for the first ones. The HT and HE distributions of the data also confirmed the amplitude of the difficulties encountered by the kindergartner children during this task. Preschool children made a large number of errors, whatever they present RD in grade 1 (M = 34.50, SD = 23.18) or not (M = 30.83, SD = 14.75). Note that this result cannot be attributed to poor number knowledge since all children had previously successfully completed the pre-test. As ever observed in other studies, the ratio does not seem an appropriate measure to predict or assess children with RD (e.g., Wood et al., 2018).

<sup>&</sup>lt;sup>6</sup> Only 30 of the 51 children evaluated in kindergarten could be re-assessed and followed longitudinally to the 1<sup>st</sup> grade.

#### [Insert Figure 1 about here]

All the difficulties identified in the DEM-test in kindergarten were observed without any clinical complaints from the children. Failure on this test can be attributed to various factors such as visual fatigability, orthoptic disorders, poor saccadic control, or also a defect in visual-attentional skills, but in any case the results showed that the visual difficulties observed on this test are relatively frequent in kindergarten (around 1/3 of the children tested) and can have an impact on the quality of reading acquisition.

#### Predictive role of DEM-test outcomes on reading skills

*Reading accuracy.* The correlation analyses, provided in *Table 2*, showed a significant relationship between the reading accuracy (i.e., CM index) and all the time and error indexes of the DEM-test (i.e., VT, HTaj and HE). Thus, the longer the vertical and adjusted horizontal time are and the more errors there are at the DEM-test in kindergarten, the less accurate the reading is in 1<sup>st</sup> grade. The R index did not correlate with the reading accuracy. The regression analyses, as shown in *Table 3*, revealed that the variability in reading accuracy is best explained by a simple linear model taking, as an explanatory variable, the VT index ( $\beta$  = -.69, p < .001). More precisely, the reading accuracy is predicted at 37% by the VT index [(R<sup>2</sup> = .37); F(1,28) = 18.07, p < .001], at 33% by the HTaj index [(R<sup>2</sup> = .33); F(1,28) = 15.57, p < .001] and at 18% by the HE index [(R<sup>2</sup> = .18); F(1,28) = 7.24, p<.05]. The very close predictive properties between the VT and HTaj indices can be explained by the strong correlation maintained between these two factors (r(28)=.74; p<.001).

**Reading speed.** The correlation analyses (see *Table 2*) showed a significant relationship between the reading speed (i.e., CTL index) and the VT index only. The HTaj,

HE and R indices did not correlate with the reading speed in the  $1^{st}$  grade. The regression analyses, provided in *Table 3*, revealed that the variability in the reading speed is best explained by a simple linear model taking the VT index as an explanatory variable. More precisely, the VT index is the only predictor of the reading speed: it predicts 23 % [(R<sup>2</sup> = .24); F(1,28) = 9.95, p<.01] of the variance.

#### [Insert Table 2 about here]

#### [Insert Table 3 about here]

*ROC curves analyses.* In order to better understand the potential role of the DEM-test as an early screening tool for reading difficulties, the group of children was divided into two different groups that separated children with and without RD. As previously mentioned in the previous section, among the 30 children followed longitudinally, 6 presented weaknesses in their reading skills in the 1<sup>st</sup> grade and 4 of these children already presented difficulties with at least one DEM-test index in kindergarten (i.e., 13.33% of the cohort). The ROC curve analyses, provided in *Figure 2*, were conducted on the basis of the 6 children with RD and the 24 children without difficulties in this area of learning. The results showed that the VT index was the only variable of the DEM-test that significantly dissociated children with and without RD. The AUC for VT was .7917  $\pm$  .1412 (p < .05), 95% CI [0.5169 – 1.000]. For HTaj, the results were in the same direction as for VT with a marginally effect: AUC = .7569  $\pm$  .1087 (p = .055), 95% CI [.5439 – .9700]. On the other hand, ROC curve analyses showed that the AUCs for the HE and R indices are not statistically significant (all p > .10).

Despite the small size of the present sample, impacting the power of our statistical analyses, the AUCs we found for VT and HTaj remained very similar with other studies such as Hopkins et al. (2019) and Larter et al. (2004). Indeed, for VT, Larter et al. (2004) also found a significant AUC, very close to the current one, at .778. For HTaj, although the current

study's AUC (.757) was only marginally significant, other studies such as those by Hopkins et al. (2019) and Larter et al. (2004) have shown that this index was a good indicator discriminating children with and without RD, with AUCs of .72 and .83 respectively. Just to remind, AUCs > 0.9 are considered excellent, AUCs between 0.8 - 0.9 are very good, between 0.7 - 0.8 are good, 0.6 - 0.7 are average, and an AUC < 0.6 is poor (Choi, 1998). Even if these studies differed in terms of experimental design (transversal vs. longitudinal), children age, or tests used to assess reading level, the DEM-test appeared to be an effective test in the screening process for reading difficulties as early as kindergarten.

#### [Insert Figure 2 about here]

#### **DISCUSSION**

According to Goswami (2015), phonological processing has been the core area of focus in the reading research, as phonological deficit was anticipated to link to reading difficulties, affecting word recognition skills and reading fluency. However, there are certain parts of reading disabilities that are not entirely explained by phonological skills alone. Early detection of specific deficits, in any component skills needed for reading is crucial to the development of targeted instruction to ameliorate the need. As a result, more appropriate and more effective interventions may be provided to support struggling children. Within this framework, in the present study we examined the importance of oculomotor and visual processes for predicting subsequent reading abilities in Grade 1. We addressed this issue through the longitudinal follow-up of a sample of typical children from kindergarten to first grade.

### Prevalence of Visual and Reading Difficulties from Kindergarten to the 1st grade

In the early school years as children are learning to read letters and numbers (tasks requiring visual recognition, matching and recall of shapes), adequate oculomotor and visual information processing skills (including visual analysis, visuo-motor integration, eye movement control, attention orienting/focusing) are critical. One of the main objectives of the present study was thus to examine children's visual skills at age 5, before they start formal reading instruction, to assess the occurrence of visual skills difficulties in preschoolers and to study more precisely beyond the linguistic ones, the oculomotor and visuo-attentional mechanisms needed in learning to read.

Although data already available for the DEM-test in French school-aged children (Bellocchi et al., 2021; Moiroud et al., 2018, 2020), no research, to the best of our knowledge, has specifically questioned its usefulness in the assessment of visual skills in preschool children. Therefore, the current study provides the first data collected in French kindergarten children. The results corroborate findings from other studies showing that oculomotor and visual processing difficulties are very common among children in kindergarten, with nearly 30% of preschool children presented difficulties on this test, in the absence of complaints expressed by the subject or his/her family. In addition to deviant performance on the VT and HT indexes, preschool children made also a large number of errors, whatever they present RD one year later or not (but see, Raghuram et al., 2018, for different results, suggesting an association between reading level and the number of errors made on the horizontal presentation). The nature of these errors is very relevant since most of them are errors of omission (i.e. nearly 70%) resulting mainly from skipping lines and characters. In addition to immature or inaccurate eye movement control, these errors may be due to visuo-attentional factors (Aslin & Ciuffreda, 1983; Coulter & Shallo-Hoffman, 2001).

Approximately 14% of the children in the current sample presented difficulties on both the DEM-test in kindergarten and the reading assessment in the 1<sup>st</sup> grade and it clearly appeared that the performance distribution at the DEM-test in Kindergarten was different between good and poor readers one year later. The results of this study are consistent with those of other investigators who found that children with reading problems have a higher prevalence of associated deficient visual efficiency skills, such as oculomotor (Brodney et al., 2001; Eden et al., 1994; Kulp & Schmidt, 1996b; Maples & Ficklin, 1990), and visuo-attentional skills (Bellocchi & Ducrot, 2021; Bosse & Valdois, 2009; Franceschini et al., 2012), than do children who are proficient readers. In that vein, Portnoy and Gilaie-Dotan (2020) showed in a cross-sectionally study that 14.3% of the children in their total sample (i.e., 4 children out of 28) were both among the slowest on the DEM-test and in difficulty with reading speed, and that 17.9 % of the cohort (i.e., 5 out of 28 children) were both among the least accurate on the DEM-test and had difficulty with reading accuracy.

Visual deficits identified in kindergarten with the DEM-test can have different causes such as visual fatigability, orthoptic disorders, poor eye movement control, defect in visual-attentional skills or also insufficient exposure to written language, but whatever their causes, the visual impairments in kindergarten detected with the DEM-test can have major consequences on the children's developmental trajectory, particularly in their reading acquisition leading more generally to academic difficulties (Case-Smith, 2009; Kramer & Hinojosa, 2010). The vision-related symptoms include distortion, blurring, merging or moving of letters or words (Stein & Walsh, 1997). Moreover, misread words, missing words and skipping lines when reading, are also frequently presented, suggesting a consequence of poor visual processing (Kulp & Schmidt, 1996a). Since visual deficits in preschool children do not disappear as they grow up, they may continue to struggle visually when reading. This may have adverse effects on reading motivation and performance.

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#### **Predicting Reading Skills Based on Visual Processes in Kindergarten**

Preliminary results regarding prevalence of visual and reading impairments,

respectively and jointly, demonstrated that visual information processing ability, based on measures of horizontal and vertical DEM times in preschool children, was significantly associated with reading scores in Grade 1 school children, suggesting that visual information processing plays a significant role in a child's academic performance in Grade 1. These findings are consistent with other studies that have demonstrated associations between delayed visual information processing skills and reduced classroom performance (learning to read in particular, Hopkins et al., 2017, 2019; Kulp, 1999; Oberer et al., 2018; Pienaar et al., 2014; Sortor & Kulp, 2003; White et al., 2017; Yang & Meng, 2020), which justify the need to include visual perceptual skills in the prediction of reading achievement. The question then was to determine whether kindergartners visual skills contribute to emergent literacy skills and later reading abilities. This question is particularly useful for an early identification of children at-risk for reading disabilities. The longitudinal approach of the current study revealed also that the visual skills assessed by the DEM test could partially predict reading outcomes one year later. More precisely, the correlation analyses showed that reading accuracy in Grade 1 was significantly correlated with the HTaj, VT and HE indices assessed in kindergarten, whereas reading speed was only correlated with the VT index. The relationship we found between the DEM-test outcomes and the reading level corroborated previously reported results from several studies, particularly for VT (Ayton et al., 2009; Larter et al., 2004; Raghuram et al., 2018; Serdjukova et al., 2017; Wood et al., 2018) and HTaj (Ayton et al., 2009; Moiroud et al., 2018; Northway, 2003; Palomo-Álvarez & Puell, 2009; Raghuram et al., 2018; Serdjukova et al., 2017; Wood et al., 2018). In addition, children with developmental dyslexia have also been shown to perform poorly on the DEM-test than control children (Bellocchi et al., 2021; Moiroud et al., 2018; Raghuram et al., 2018). According to Portnoy and Gilaie-Dotan (2020) and Wood et al. (2018), we found no correlation between the Ratio and reading performances, suggesting that the DEM ratio is poorly associated with academic outcomes (but see Ayton et al., 2009; Raghuram et al., 2018). The distribution of the ratio data was in the same direction, failing to distinguish readers with RD from noRD ones. Moreover, it is important to note that the DEM-test showed a high fidelity as demonstrated by several studies, particularly for the VT and HTaj indices, while the ratio and HE indices, on the other hand, did not reach a consensus (Facchin & Maffioletti, 2018; Orlansky et al., 2011; Tassinari & DeLand, 2005).

Linear regression analyses of the current study have shown, more specifically, that the reading speed and accuracy in the 1<sup>st</sup> grade may be predicted by different indices of the DEM-test as early as kindergarten. The vertical time (i.e., VT) was the best predictor of reading accuracy (37%) and speed (23%) in the 1<sup>st</sup> grade. The adjusted horizontal time (i.e., HTaj) also partially predicts reading accuracy (33%). These results support the view that visual information processing plays a role in reading achievement, particularly among younger children in the early school grades (Kavale, 1982; Solan & Mozlin, 1986).

Our finding that the VT index was most strongly associated with the reading performance<sup>7</sup> of first graders was not in the same direction as the findings of Ayton et al. (2009), or Wood et al. (2018) who reported the strongest correlations between the DEM horizontal subtest and reading test scores in their sample of children, aged 8 to 11 years. This difference may have been because the children in their sample (Grade 3 to 5) were beyond the 'learning to read' stage. Indeed, it has been proposed that visual information processing skills

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<sup>&</sup>lt;sup>7</sup> The possibility that this effect was linked to the small sample of children was runed out since we obtained similar results, i.e., a superiority of the VT index, in another longitudinal study following 137 preschool children (Ducrot & Vernet, 2021, see also Footnote 8). We assume that this effect is linked to the young age of our participants; as children move through school, the role of language and other higher level cognitive skills become more significant in a child's reading performance (Flax, 2006; Goldstand et al., 2005).

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play a more significant role in the learning process of younger children (kindergarten to Grade 2) in the 'learning to read' phase compared to older children (Flax, 2006). The oculomotor system is still not mature in young children (e.g., Kowler & Martins, 1982) and the oculomotor behavior and the visuo-attentional skills undergo substantial changes during reading development, with longer saccade and perceptual span extent, shorter fixation durations, and lower refixation and regression probabilities (Blythe, 2014; Blythe & Joseph, 2011; Ducrot et al., 2013; Rayner, 2009). Moreover, other factors such as visuo-attentional and visuospatial factors that are important for performing the DEM test are also developing with the age of the child (Caldani et al., 2020; Coulter & Shallo-Hoffman, 2001; Facchin et al., 2011) and the automatization of this so-called "visuo-attentional" processing is another condition for reading success (see Grainger et al., 2016). In that vein, Ammawat et al. (2019) demonstrated that naming speed (strongly linked with VT performance) was also influenced by visual perception and attention. One of the prominent findings of their study is that visual perception was the most significant factor in predicting naming speed in young children. At the same time, their study provided support for the theory that visual perception has a strong relationship with attention networks, supporting the hypothesis that visual perception and attention networks may combine to affect naming speed or reading readiness in RD children. For Kuperman et al. (2016), the well-established predictive role of RAN for reading performance is in part due to the individual ability to shift attention from one item to the next and to coordinate rapid sequential eye movements to visual nonlinguistic stimuli (see also, Wolf & Bowers, 1999). We argue that the implication of the oculomotor and visuo-attentional mechanisms involved in the DEM horizontal vs. vertical subtests change during reading development and we believe that 5-6 years is a critical window where the weight of these processes are especially strong. Stanovich et al. (1981) showed that digit naming time and errors significantly decreases between 5-and 6-year olds and that automaticity significantly increases in the first grade (see also, Kulp & Schmidt, 1997). According to the perceptual learning account, the visual training associated with the regularity of reading eye movements improves word recognition within a restricted horizontal region close to the fovea and mostly within the regions of the retina that fall on the side of the reading direction (Dehaene et al., 2005). It is therefore likely that the HT index become more strongly associated with the reading performance as children learn to read and take the left-to-right directionality of visual scanning into account (see Ducrot et al., 2020, for a change in landing position distributions between 5 and 6 year-old children, as a result of reading experience).

These data provided a new contribution to the identification of precursors to reading acquisition and to the understanding of the emergence of associated disorders. The emphasis on the predictive potential of the visual skills assessed by the DEM-test on reading abilities highlights the importance of these processes in the emergence of literacy skills and reading abilities later in the learning process. These results were in line with previous studies that demonstrated the involvement of visual skills such as the visual-attentional span, initial landing position, and/or visual-motor skills and their potential underlying causes of learning difficulties in some children (Bellocchi et al., 2017; Franceschini et al., 2012; Kwon et al., 2007; Valdois et al., 2019; Yang & Meng, 2020). Thus, beyond the already accepted implication of linguistic skills as strong predictors of reading level (Carroll et al., 2003; Lonigan et al., 2000; Piquard-Kipffer & Sprenger-Charolles, 2013; Schatschneider et al., 2004), these findings demonstrate the key role of visual skills in the mechanisms of reading acquisition.

#### Usefulness of the DEM-test as an effective screening tool for reading difficulties

As far as we know, no study analysed the precision of the DEM test at identifying French preschool children at risk/non-risk of reading difficulty. Studies with this purpose are

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crucial because this information is essential for reading difficulties screening. As pointed out before, a trustworthy screening test can help preventive interventions to begin as soon as possible. The interest of this tool for the early detection of reading difficulties in kindergarten has been investigated in very few studies (Kulp & Schmidt, 1997; Xie et al., 2016), in contrast to the combined association between the visual deficits identified in the DEM-test and the poor reading performance which had already been demonstrated and which supports our results, as demonstrated in the previous section (Ayton et al., 2009; Palomo-Álvarez & Puell, 2009; Portnoy & Gilaie-Dotan, 2020; Serdjukova et al., 2017; Wood et al., 2018). Thus, another goal of the present study was to evaluate the precision of the DEM test in predicting children at risk/non-risk of reading as early as possible. A test's precision of classification can be evaluated based on the ROC curve, considering the AUC (Swets, 1988). Although the sample size was small, the results showed that the VT index (and the HTaj, to a lesser extent) were able to dissociate children with and without RD. The AUC for VT was considered as very good (0.80) and that for HTaj as good (comprised between 0.70 and 0.80). This suggests the potential for these measures to be used clinically for identifying children at risk for low academic achievement.

These conclusions raise critical perspectives regarding clinical diagnosis and educational approach, by providing the opportunity to gain insight into the visuo-attentional and perceptual difficulties children, in view of establishing thorough and accurate assessment procedures and proposing child-specific guidelines and remediation methods that take into account the particular processes affected. Such information is useful not only for uncovering problems readers have with the different components of reading, but also can help in the development of teaching strategies or methods appropriate for individual children's particular strengths or weaknesses (e.g., implement early oculomotor training programs at school, especially in kindergarten). Almost 70% of a school day is dedicated to learning activities

involving visual sensory input (Narayanasamy et al., 2016). This may explain, in part, why children with visual impairment are more likely to fail at school (Goldstand et al., 2005; White et al., 2017). Indeed, if visual processes are not operational and efficient from the beginning of the reading learning process, academic achievements can be considerably impacted. Depending on their severity, these deficits can lead to difficulty tracking, sustaining attention and focus, all of which may interfere with visual exploration, reading fluency and distract from reading comprehension. The importance of early screening then takes on its full meaning, making it possible to intervene very early in children's developmental trajectory. If appropriate interventions are implemented at an early age, academic skill development could be altered, preventing subtle learning difficulties from becoming more pronounced over time. The earlier an intervention is implemented, the better a child's chances of overcoming his or her deficit (Ozernov-Palchik & Gaab, 2016).

Currently, reading instruction and reading intervention techniques employed focus primarily on the language components of reading development. Although these programs are based on solid research that identifies phonological processing, vocabulary, and comprehension as core components of reading development, the integration of visual skills assessment from kindergarten onward to limit reading disorder is a real necessity. To do so, the DEM-test can be a useful tool, in particular for the early identification of children at risk for reading difficulties. It provides additional information to existing tools assessing linguistic skills. For clinicians, this tool could improve the diagnostic assessment to better understand the difficulties observed and thus improve the management of children. In that sense, the combined use of investigation tools in early detection can result highly effective for these children. Furthermore, the rehabilitation methods which stimulate both the phonological and the visual-spatial attention skills are proved to be the most effective in children already diagnosed with dyslexia (Facoetti et al., 2003; Gaggi et al., 2012). For example, although eye

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movement deficits may not be the only contributory factor to reading difficulties, better control of saccadic eye movements can translate to more precise and quicker reading speed and reduce the percentage of reading errors (Fischer et al., 2000; Fischer & Hartnegg, 2008). Training both linguistic and visual skills together before the child begins to learn reading, can help his/her future reading ability.

The main limitation of the present study is the size of the sample that could be followed. This limitation is directly related to the longitudinal design since children were followed during 18 moths from kindergarten to the first grade, leading inevitably to an attrition effect. But we truly believe this is the best way to better understand the issue of pre-requisites and developmental trajectory in the academic learning process<sup>8</sup>. We also acknowledge that some studies evaluating the validity of the DEM test (Facchin et al., 2011; Garzia et al., 1990) have demonstrated that it did not seem to correlate directly with pure eye movement control parameters; however they also showed that visuo-perceptual and visuo-attentional processes play a major role in this task and that it was strongly correlated with different aspects of reading performance and it is useful in clinical practice (Ayton et al., 2009; Bellocchi et al., 2021; Palomo-Álvarez & Puell, 2009; Powers, 2009). Even though the DEM test does not assess basic components of eye movement control and can be influenced by several cognitive processes, such as sustained attention (Coulter & Shallo-Hoffman, 2001), number recognition and retrieval, visual-verbal integration time, speaking time and visuo-spatial attention (Facchin et al., 2011), the fact remains that the DEM test has been shown to be a measure related to visual and visuo-attentional skills, which in turn are related to ocular movements in a reading-like condition. Furthermore, while eye movement clinically available instruments

<sup>&</sup>lt;sup>8</sup> Note that the data we collected in the interim with a computerized version of the DEM-test made us confident that the effects obtained are replicable and generalizable. This experiment was conducted in a larger sample composed by 137 preschoolers, using the same procedure and longitudinal design, with a group of 137 preschool children. The data we obtained support those first obtained in this study, with the paper-based version of the DEM test, that is., the VT and HTaj measures (1) are significantly correlated with reading outcomes one year later and (2) significantly predict children's reading achievement in Grade 1, i.e., 12 months before reading training (Ducrot & Vernet, 2021).

are expensive and hard to use during screening or routine eye examination, the DEM-test is an inexpensive paper-and-pencil test that is performed in only 5 minutes<sup>9</sup>.

#### **CONCLUSION**

The current study fills a gap in reading research, by identifying oculomotor and visual perception skills as overlooked components of prerequisites for word recognition. It is clear from the current study, that a few of the preschool children who have problems with visual deficits showed a particularly severe pattern of difficulties, which has significantly impacted their learning one year later. Based on the key findings in this study, it is essential to raise awareness among professionals regarding the importance of eye movements and visual perception in preschool children. Any child who shows signs of visual difficulties should be referred for more in-depth visual assessment to identify the actual cause of the difficulties. There is a real challenge in the early identification of additional factors that may contribute to literacy impairments, both for academic success but also for the quality of life of these children.

#### **Authors' Notes**

The first and the last author contributed equally to this work.

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<sup>&</sup>lt;sup>9</sup> The creation of an easy-to-use software for clinical practice is in progress in our laboratory, with the aim to assess visuo-perceptual and attentional abilities, taking the children school level into account. This software, called Diaglect, would allow us to obtain an automatic diagnosis and several remedial modules will be proposed according to the child's visual profile.

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Table 1. Descriptive analysis of French data for preschool children.

	<b>Kindergarten</b> $n = 51$				
	VT	НТај	HE	R	
Mean	105,51	193,00	30,35	1,85	
Standard deviation	32,16	77,14	15,34	0,51	

Notes. VT: Vertical Time; HTaj: adjusted Horizontal Time; HE: Horizontal Errors; R: Ratio.

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Table 2. Correlation matrix of the DEM indices (z-score) assessed in kindergarten and the Alouette-test indices (z-score) assessed in Grade 1.

	READING ACCURACY (CM)	READING SPEED (CTL)		
VT	-0.626***	-0.512**		
НТај	-0.598***	-0.318		
HE	-0.453*	-0.229		
R	-0.150	- 0.097		

Notes. \* p<.05; \*\* p<.01; \*\*\* p<.001; VT: Vertical Time; HTaj: adjusted Horizontal Time;

HE: Horizontal Errors; R: Ratio.

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Table 3. Simple linear regression analysis assessing the predictive role of the DEM indices (z-score) in kindergarten on the reading outcomes (z-score) in Grade 1

	READIN	READING ACCURACY (CM)			READING SPEED (CTL)		
	R <sup>2</sup>	В	t	•	R²	β	t
VT	0.370	-0.558	- 4.249***		0.235	-0.426	-3.147**
НТај	0.334	-0.485	- 3.946***		-	-	-
HE	0.178	-0.320	-2.694*		-	-	-

Notes. \* p<.05, \*\* p<.01, \*\*\* p<.001; VT: Vertical Time; HTaj: adjusted Horizontal Time;

**HE: Horizontal Errors** 

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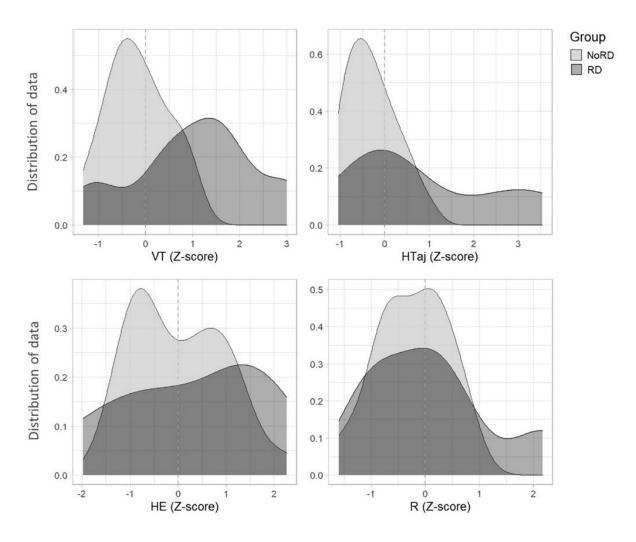


Figure 1. Data distribution for all the DEM-test indices across children with and without reading difficulties.

*Notes.* Since the explanatory variables were time, number of errors, or ratio, a high score reflects poorer performance than a lower score, thus a negative Z-score represents better performance than a positive Z-score; RD: Children with reading disorders; NoRD: Children without reading disorders.

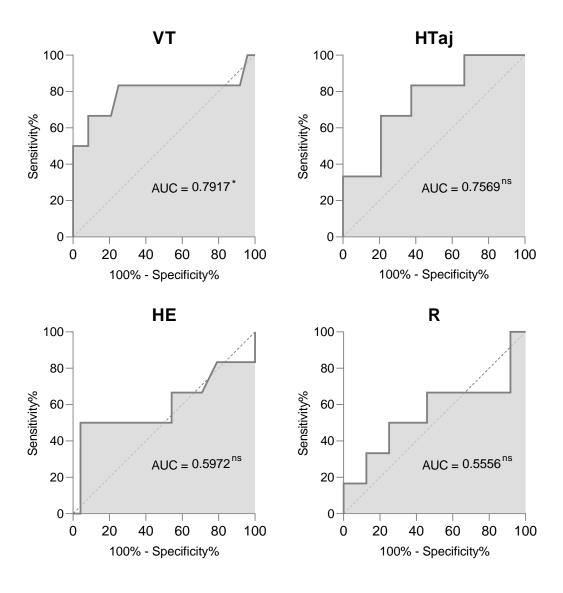


Figure 2. ROC curve analyses for each DEM-test index assessed in kindergarten between children with and without reading difficulties.

*Notes.* AUC: Area Under the Curve; ns p>.05; \* p<.05; \*\* p<.01; \*\*\* p<.001.