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# Reversion of antibiotic resistance in *Mycobacterium tuberculosis* by spiroisoxazoline SMART-420

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## Abstract

Antibiotic resistance is one of the biggest threats to human health globally. Alarmingly, multi- and extensively-drug resistant *M. tuberculosis* have now spread worldwide. Some key antituberculosis antibiotics are prodrugs, for which resistance mechanisms are mainly driven by mutations in the bacterial enzymatic pathway required for their bioactivation. We have developed drug-like molecules that activate a cryptic alternative bio-activation pathway of ethionamide in *M. tuberculosis* thereby circumventing the classic activation pathway where resistance mutations have now been observed. The first of its kind molecule, named SMART-420 (Small Molecule Aaborting Resistance), not only fully reverses ethionamide-acquired resistance and clears ethionamide-resistant infection in mice, but also increases the basal sensitivity of bacteria to ethionamide.

Antibiotic resistance is a rapidly growing health concern and is observed for many anti-bacterial agents, both in hospital and in community settings (1, 2).

The development of drug resistance, especially rifampicin resistance (RR), multi-drug resistance (MDR) and extensive drug-resistance (XDR), is particularly worrisome for tuberculosis (TB) (3). Approximately 580,000 MDR/RR-TB cases have occurred in 2015, resulting in about 250,000 deaths. This situation seriously undermines efforts to control the global epidemic of TB and may soon counteract the slow but continuous annual decline of ~1.5 % observed during the last 14 years (4).

Discovering new anti-TB therapeutics is difficult (5), and few new drugs have emerged during the past 30 years. Moreover, as current TB treatment requires poly-therapeutic approaches, losing key antibiotics because of emergence of drug-resistance may impair the efficacy of the whole combination.

Drug resistance in bacteria can occur by mutations in the antibiotic's target, by the acquisition of enzymes that modify or degrade the drug, such as aminoglycoside-modifying enzymes or  $\beta$ -lactamases, by their active expulsion from the bacteria, or by alterations of the cell permeability (6). Sometimes, antibiotic resistance can be reversed, as for example by restoration of the anti-microbial activity of  $\beta$ -lactams by clavulanic acid that inhibits enzymes responsible for their degradation (7). Unfortunately, there are so far no other examples of this 40 year-old paradigm.

Some of the most effective anti-TB antibiotics require bio-activation by *Mycobacterium tuberculosis* enzymes to acquire their antibacterial effect. These pro-antibiotics not only include the 40 year-old compounds isoniazid (INH), pyrazinamide (PZA), p-aminosalicylic acid (PAS) and ethionamide (ETH), but also the recently approved drug delamanid (OPC-67683) and compounds under development, such as pretomanid (PA824) and TBA-354. However, bioactivation of pro-antibiotics is vulnerable to mutational inactivation or attenuation of the corresponding bio-activating enzymes, as observed for INH-, PZA-, and ETH-resistant clinical isolates with mutations in *katG* (8), *pncA* (9), and *ethA* (10, 11), respectively. Similarly, experimentally generated and clinical resistance to delamanid and to pretomanid pointed to

enzymes and co-enzymes involved in their bio-activation (12-14). Resistance to PAS also involves mutations in enzymes, such as dihydrofolate synthase, which is implicated in its bioactivation(15).

We have discovered a spiroisoxazoline family of Small Molecules Aborting Resistance (SMART) that induces expression an alternative bio-activation pathway of ETH, thereby reverting acquired resistance of *M. tuberculosis* to this antibiotic.

The bio-activation of ETH in *M. tuberculosis* is normally catalyzed by the Baeyer-Villiger monooxygenase EthA (10, 11, 16). Transformation of ETH by EthA into highly reactive intermediates leads to the formation of a stable covalent adduct of ETH to nicotinamide adenine dinucleotide (NAD) (10, 17). This adduct binds to and inhibits the enoyl reductase InhA involved in mycolic acid biosynthesis, one of the essential components in the mycobacterial cell wall (18, 19). The production of EthA is regulated by the TetR-type transcriptional repressor EthR (20). Previously, we have shown that small molecule inhibitors of EthR stimulate the transcription of the *ethA* gene (21-24), which improves the bio-activation of ETH and consequently boosts its antibiotic activity, both *in vitro* and *in vivo* (25). These booster molecules, such as BDM41906, reduce, or reset the innate resistance of *M. tuberculosis* to ETH; however, as expected, they were unable to boost the bio-activation of ETH in strains harboring mutations in *ethA* (Table 1, panel B).

During optimization of first-generation EthR inhibitors, most derivatives revealed a good correlation between binding to EthR and ETH boosting activity against the bacteria (21-24). However, unexpectedly, the replacement of the oxadiazole-piperidine motif by a more constrained, structurally divergent, spiroisoxazoline scaffold completely abolished the ability of the compounds to bind to EthR *in vitro*, whereas they remained highly effective in boosting ETH activity against *M. tuberculosis* (Fig.1A).

As these compounds had no antibacterial activity in the absence of ETH but boosted ETH activity independently of EthR, we hypothesized that they may trigger an alternative bio-activation pathway for ETH.

To identify this pathway, we studied the impact of SMART-420, a representative member of the spiroisoxazoline family, on the transcriptome of *M. bovis* BCG, and compared it with the impact of the *bona fide* EthR-inhibitor BDM41906.

When the mycobacteria were treated with BDM41906, overexpression of both *ethA* and *ethR* was observed (Fig. 1B), in agreement with previous reports showing that EthR represses both *ethA* and its own expression (20). No other major modification of the transcriptome was observed, suggesting that the inhibitory activity of BDM41906 is restricted to EthR. In contrast to BDM41906, SMART-420 only weakly induced the expression of *ethA* and *ethR*. However, SMART-420 strongly activated the expression of the distantly located group of genes *bcg\_0107c-bcg\_0108c*, corresponding to *rv0076c-rv0077c* (Fig.1C) in *M. tuberculosis*. Based on protein homology, Bcg\_0108c is predicted to be a member of the large family of oxidoreductases (<http://enzyme.expasy.org/EC/1.-.-.->), which also includes EthA. Strikingly, *in silico* analyses revealed that *bcg\_0108c* is neighboring the tetR type transcriptional regulator gene *bcg\_0109* (<http://pfam.xfam.org/family/PF00440>), indicating analogies between the *rv0076c-rv0078* and the *ethR-ethA* loci (*rv3854c-rv3855*). The genetic organization of the two loci is also similar: *rv0077c* and *rv0078*, like *ethA* and *ethR*, are divergent open reading frames, both separated by small intergenic regions (76 bp and 62 bp for *ethR-ethA* and *rv0077c-rv0078*, respectively) (Fig.1C). By analogy with the transcriptional organization of the *ethA-ethR* regulon (20), these observations indicate that Rv0078 might regulate the expression of *rv0077c* by binding within the intergenic region. This hypothesis was confirmed by surface plasmon resonance experiments (SPR, Biacore®) demonstrating the specific binding of Rv0078 to the intergenic region of *rv0077c-rv0078* (Fig. 2A). In contrast, no binding of EthR to the intergenic region of *rv0077c-rv0078* was observed, even at high concentration of protein, thus excluding EthR for controlling the expression of the *rv0076-rv0078* locus (Fig. 2B). Conversely, no interaction was detected between Rv0078 and the *ethA-ethR* intergenic region, indicating the absence of crosstalk between the two regulons (Fig. 2B). We assigned the names EthR2 and EthA2 to Rv0078 and Rv0077c, respectively.

The direct binding of SMART-420 to EthR2 was analyzed *in vitro* by thermal shift assay. Figure 2C illustrates the dose dependent thermal stabilization of EthR2 through binding to SMART-420, whereas no

interaction between BDM41906 and EthR2 was observed at equivalent concentrations, which is in agreement with the lack of effect of BDM41906 on the transcription of *ethA2*.

A detailed understanding of the interaction of SMART-420 with EthR2 was obtained by the X-ray structure of the complex, which shows that EthR2 forms a homodimer in which one molecule of SMART-420 is embedded in each monomer (Fig. 2D). The structure also confirmed EthR2 as a typical TetR-type regulator harboring two twistable helix-turn-helix (HTH) motifs typically involved in the binding of the homodimer to its DNA target (26). In this family of repressors, binding of ligands to the distant specific pocket located in the upper part of the homodimer induces allosteric reorganization of the architecture of the HTH motifs, and thereby modifies the binding properties of the protein to its DNA target (23). In agreement with this paradigm, the EthR2-SMART-420 co-crystal revealed that the distance separating the HTH motifs is far larger than the  $\pm 34\text{\AA}$  required for the binding of the regulator to DNA (27-29), thus providing the mechanism of action of SMART-420 (Fig. 2D).

To quantify the inhibition of EthR2-binding to its DNA target by SMART-420, we designed a synthetic mammalian gene circuit that senses the EthR2-DNA interaction in human cells and produces a quantitative reporter gene expression readout (*SEAP*, secreted alkaline phosphatase) (30) (Fig.3A). In contrast to its repressor role in mycobacteria, binding of EthR2 to the chimeric promoter used in this assay is expected to activate the expression of the *SEAP* reporter gene. In the absence of SMART-420, we observed strong SEAP production, confirming the binding of EthR2 to its DNA promoter in this cellular context. Upon adding SMART-420, a dose-dependent inhibition of SEAP production was observed, confirming that SMART-420 impairs the DNA binding properties of EthR2. In contrast and as expected, no effect was observed when the cells were incubated with BDM41906, confirming the specificity of the SMART-420-EthR2 interaction (see Fig.3A). *In vitro*, SPR experiments showed that SMART-420 inhibits in a dose dependent manner the binding of EthR2 to its DNA target (Fig.3B) thus confirming that no other partner is required.

The expression of *ethA2* upon inhibition of EthR2 by SMART-420 leads to efficient bio-activation of ETH in the bacteria. To evaluate the role of EthA2 in the SMART-420-controlled bio-activation of ETH, *M. tuberculosis* H37Rv was engineered to overexpress *ethA2* using the multi-copy plasmid pMV261 (31). Under these conditions, the minimal inhibitory concentration (MIC) of ETH decreased from 2 µg/ml to 0.25 µg/ml, suggesting that EthA2 takes part in the bio-activation of ETH when overexpressed, thus reducing the innate resistance of the bacteria to ETH (Fig.3C).

SMART-420 is the most active compound of a spiroisoxazoline series for which the binding to EthR2 (Thermal shift assay) and the inhibition of the DNA binding of EthR2 (SEAP assay) were shown to be correlated to the ETH boosting effect on sensitive and on ETH resistant *M. tuberculosis*. (Fig. S2).

As indicated by the transcriptomic analyses, the basal expression level of *ethA2* in the absence of SMART-420 is low in *M. tuberculosis*. We measured the relative abundance of mRNA by high-throughput RNA-sequencing in *M. tuberculosis* cells grown to mid-log phase. We found that *ethA2* belongs to the 10% least-expressed genes in *M. tuberculosis* (rank 3609 out of the 3973 Tb genes), whereas *ethA* is among the 10% genes with the highest levels of expression. When compared to each other, *ethA2*-mRNAs were about 60 times less abundant than *ethA*-mRNAs in *M. tuberculosis* grown under standard conditions. Low expression of *ethA2* in the absence of EthR2 inhibitors is of clinical importance, offering an explanation as to why EthA2 has not been previously identified as an ETH-bio-activator, and therefore mutations in this gene have not been observed in clinical isolates resistant to ETH so far.

Human-adapted *M. tuberculosis* complex comprises 7 main phylogenetically distinct lineages (32). We analyzed the genome-sequences of 217 geographically diverse clinical strains representing all seven lineages (33) and confirmed the presence of the *rv0076c-rv0078* locus in all strains. In addition, the presence of the *rv0076-78* locus was confirmed in a collection of ETH-resistant (171) and ETH-sensitive (253) clinical isolates of *M. tuberculosis* (34). No mutation in this locus was observed in the ETH sensitive population. Only one ETH resistant isolate shows a point mutation in *rv0078*, which also contains a Stop mutation in *ethA*, most probably responsible for the ETH resistance phenotype (Table S3). Then, a panel



of ETH-sensitive and ETH-resistant MDR *M. tuberculosis* clinical isolates were tested by respirometry (*MGIT 960™* (35) ) for their sensitivity to ETH in the presence of SMART-420. Treatment of ETH-sensitive strains with SMART-420 (10  $\mu$ M) reduced significantly their MIC for ETH (Table 1, panel C). More importantly, strains highly-resistant to ETH, because of mutations in *ethA*, were also sensitive to ETH in the presence of SMART-420 (Table 1, panel C, Group 4). In conclusion, the combination SMART-420 plus ETH was active against all ETH-resistant, MDR, and XDR isolates tested. Finally, we showed that overexpression of *inhA* using a multicopy plasmid modified the boosting effect of SMART-420, suggesting that the new bioactivation pathway of ETH still target *InhA* (Fig. S3). We also verified that SMART-420 used alone does not affect the efficacy of other antibiotics (Table S4).

Pharmacokinetic experiments performed in female Swiss mice showed that a single oral dose of 30 mg/kg of SMART-420 provides a circulating concentration of SMART-420 higher than that required to boost ETH *in vitro* (Table S5). Restoration of sensitivity to ETH by SMART-420 was evaluated in C57BL6/J mice infected by aerosol with  $10^5$  ETH-resistant *M. tuberculosis* bacilli mutated in *ethA*. Seven days after infection, the mice were treated with ETH alone or with ETH in combination with SMART-420. Daily administration of up to 50 mg/kg of ETH for 3 weeks was ineffective in significantly reducing the bacterial load in the lungs (Fig. 4), confirming the resistance to ETH of this strain. In contrast, mice treated with a combination of ETH and SMART-420 (both at 50 mg/kg) showed a striking reduction of the bacterial load (4.6 log) in the lungs (Fig. 4). The absence of an effect observed with SMART-420 administered alone, and a dose-response to ETH combined with SMART-420, confirms that the anti-TB activity of the combination is specifically due to the restoration of ETH sensitivity of this strain.

In conclusion, we show that drug-resistance to the widely used antituberculosis drug ETH (36) can be circumvented by spiroisoxazoline SMART-420 that activates cryptic drug-bioactivation pathways in drug-resistant pathogens. Other ETH activation pathways may exist in *M. tuberculosis*, including the recently described VirS-MymA (37), opening supplementary avenues for reversing ETH-resistance and boosting its activity.

Innovative treatment protocols could also be explored, in which non-continuous but periodic administration

of SMARTs to TB-patients would toggle periodically the expression of alternative bio-activation pathways of pro-drugs. This approach could be used to limit the frequency of resistance by systematically destroying subpopulations of resistant bacteria that may emerge during treatment.

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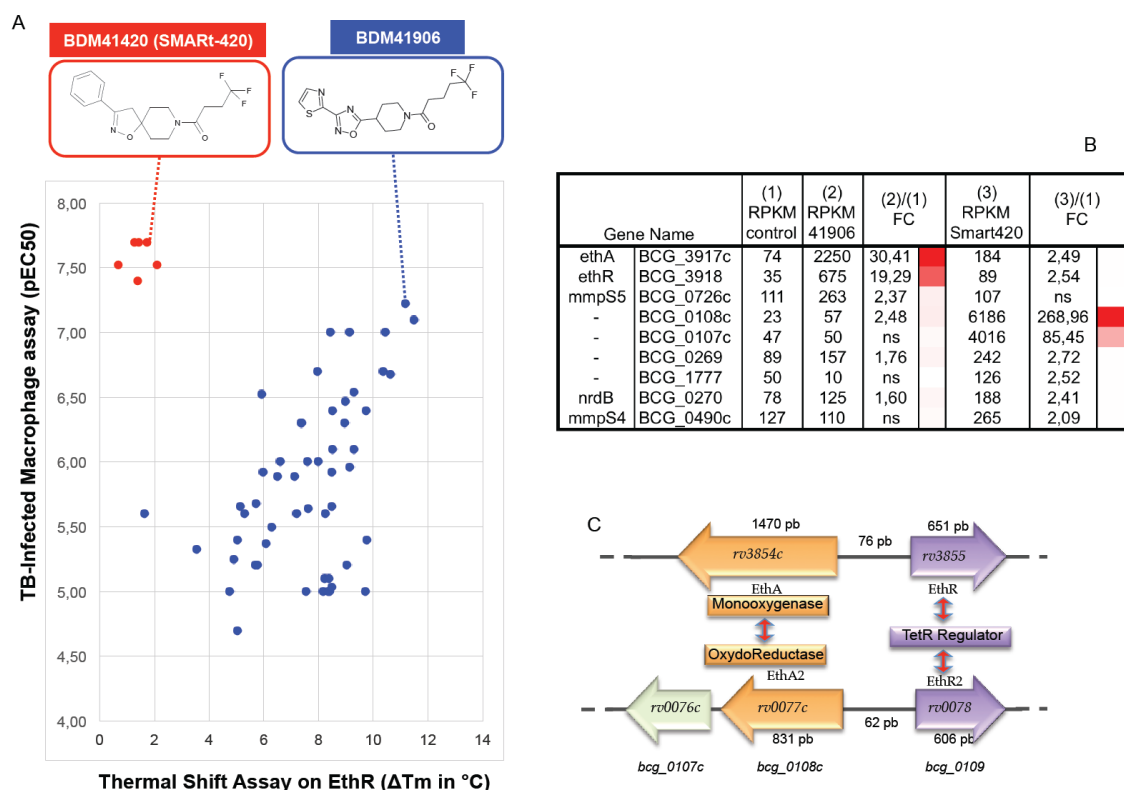
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Panel A								Panel B	Panel C
TB strains	INH 0,1 µg/ml	RIF 1 µg/ml	EMB 5 µg/ml	OFL 1 µg/ml	AMI 1 µg/ml	ETH 5 µg/ml	EthA status	ETH + BDM 41906	ETH + SMART 420
Group 1. Pan-susceptible laboratory strain									
H37Rv						2	wt	0,01	0,05
Group 2. Ethionamide sensitive - MDR strains									
L4376						4	wt	0,1	0,05
LPN30						2	nd	0,1	0,05
L1094						2	wt	0,1	0,05
P591						2	nd	0,1	0,05
L0578						1	wt	0,05	0,25
Group 3. Ethionamide resistant - EthA wt - MDR strains									
B1166						64	wt	4	0,05
B1196						32	wt	0,25	0,25
B1004						32	wt	0,25	0,5
B1304						32	wt	1	0,025
P379						32	wt	4	0,05
B1001						32	wt	4	0,05
B0383						16	wt	1	0,5
B0391						8	wt	0,1	0,25
B0089						8	wt	0,25	0,5
LPN4						8	wt	0,5	0,025
P395						8	wt	1	0,025
P359						8	wt	2	0,05
P351						8	wt	2	0,05
Group 4. Ethionamide resistant - EthA mutated - MDR strains									
B1150						256	P230Q	> 64	0,5
B1602						256	R239G	> 64	0,5
B0775						256	Δa110	32	0,25
B0057						64	Δa110	64	0,25
L3556						64	Q165P	64	0,5
L0728						64	ins.c357	8	0,25

**Table 1.**

Impact of BDM41906 and SMART-420 on the ethionamide susceptibility of a selection of clinical strains.

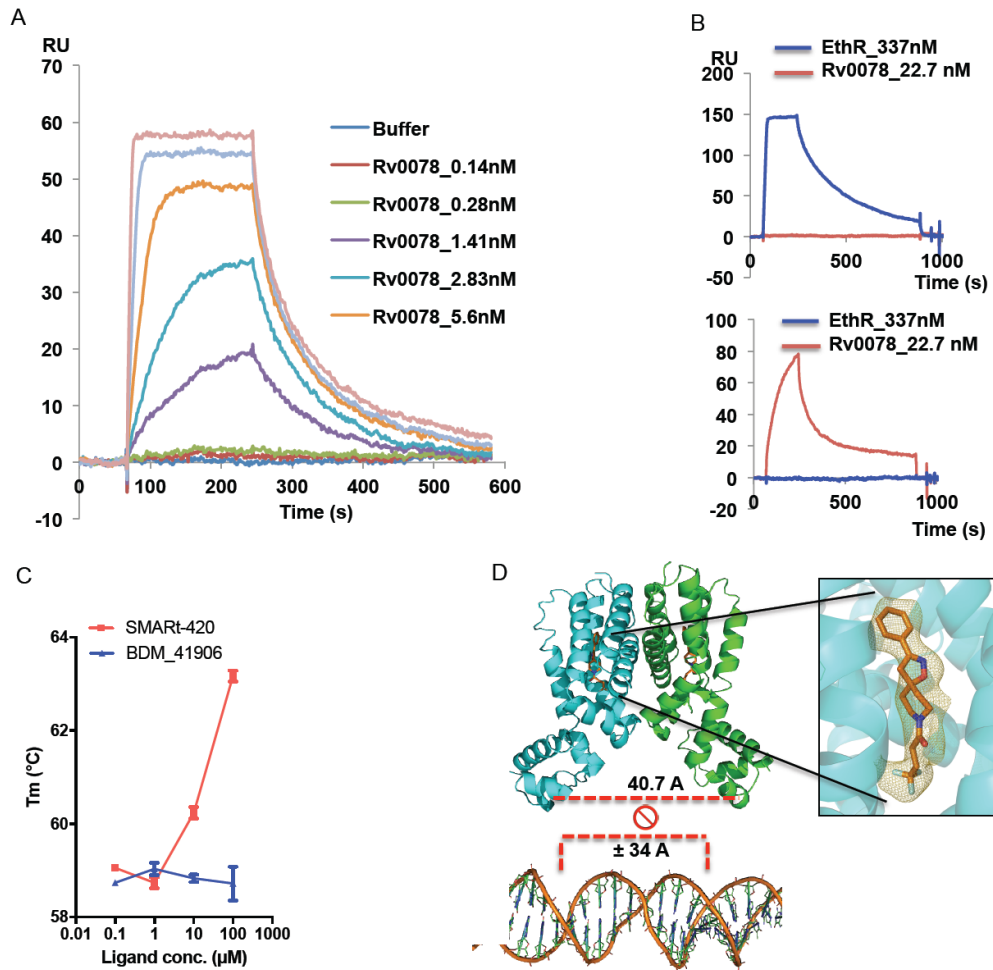
**Panel A.** Antibiotic profile. Threshold concentrations above which bacteria are considered clinically resistant are indicated. The drug sensitivity status of each strain is reported, green color meaning "under the threshold concentration", and red color meaning "above the threshold concentration". Specifically, for ETH, Minimal Inhibitory Concentrations have been defined by MGIT960 and are reported (values in µg/ml). All selected strains except the reference pan-susceptible laboratory strain H37Rv (Group 1) are multidrug-resistant (INH and RIF resistant). Group 2 includes ETH sensitive strains. Group 3 contains ETH resistant strains without mutation in *ethA*. Group 4 contains ETH resistant strains mutated in *ethA*. **Panel B.** MIC of ETH in the presence of 10 µM of first generation booster BDM41906. **Panel C.** MIC of ETH in the presence of 10 µM SMART-420.



**Figure 1. SMART family of molecules reveals alternative ETH bioactivation pathway**

**(A).** Bidimensional representation of the properties of ETH-boosting compounds (BDM). The x-axis indicates the shift in the melting temperature ( $\Delta T_m$ ) of EthR in the presence of BDM compounds, which translates the capacity of the compounds to bind and thermostabilize EthR *in vitro* (see Table S1 for values). The y-axis indicates the potency (expressed as the negative logarithm of the EC50) of a panel of compounds to increase ethionamide antibacterial activity on *M. tuberculosis* infected macrophages. EC50 is the concentration of compound that allows ethionamide at 0.1  $\mu\text{g/mL}$  (10 times less than the normal MIC) to inhibit 50% of *M. tuberculosis* growth in macrophages. Blue dots and red dots represent compounds of the oxadiazole-piperidine family (first generation boosters) and of the spiroisoxazoline family (SMART), respectively. **(B).** RNA-seq analysis of genes that are differentially expressed in *M. bovis* BCG exposed for 24 hours to 25  $\mu\text{M}$  BDM41906 (2) or SMART-420 (3) in comparison to DMSO treated bacteria (1). Only genes showing a minimum 2-fold change (FC) in transcript abundance in at least one condition are shown. RPKM: Reads Per Kilobase per Million mapped reads. Whereas BDM41906 specifically induce the expression of *ethA* and *ethR*, SMART-420 massively induces the expression of *bcg\_0108c* and *bcg\_0107c*. A weak, but statistically significant, induction of *ethA* and *ethR* is also observed. **(C).** Comparison of the genetic organization and predicted function of the corresponding *M. tuberculosis* loci and proteins. Genes *bcg\_0107c* and *bcg\_108c* correspond to *rv0076c* and *rv0077c*, respectively. Rv0078 is predicted as a transcriptional repressor of the TetR family.





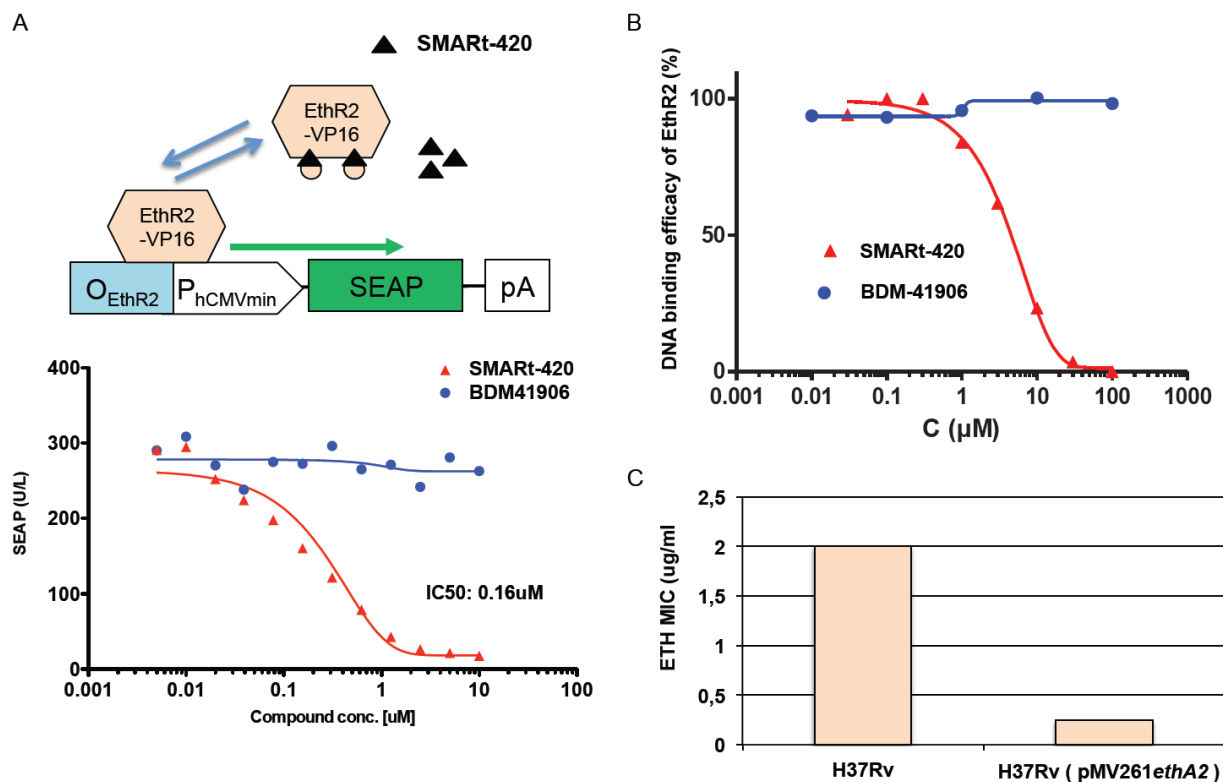
**Figure 2. SMART-420 interacts with the transcriptional regulator EthR2.**

**(A).** Global affinity of the interaction between EthR2 and  $P_{ethR2}$  measured by SPR. Sensorgrams of 22.7, 11.35, 5.60, 2.83, 1.41 and 0.28 nM EthR2 (Rv0078) injections over a Sensor Chip functionalized with 40 RU (resonance unit) of the biotinylated *ethA2-ethR2* intergenic DNA.

**(B).** Comparison of the injection of EthR and EthR2 (Rv0078) over 2 Sensor Chips functionalized with *ethA-ethR* intergenic DNA (top) and with *ethA2-R2* intergenic DNA (bottom), respectively.

**(C).** Binding of SMART-420 and BDM41906 on EthR2 (Rv0078) measured by determination of the melting temperature ( $T_m$ ) of the complex (Thermal-shift assay, see Material and Methods).

**(D).** Crystal structure of the EthR2/SMART-420 complex and illustration of the steric inability of binding of the repressor to DNA due to the 40.7 Å spacing of the HTH motifs. The zoom onto the ligand binding pocket of the protein shows the electron density ( $F_o - F_c$ ) omit map contoured at 1.2 sigma around one of the two SMART-420 molecules embedded in each monomer of the EthR2 homodimer (see Supplementary Material & Methods and Table S2 for statistics).

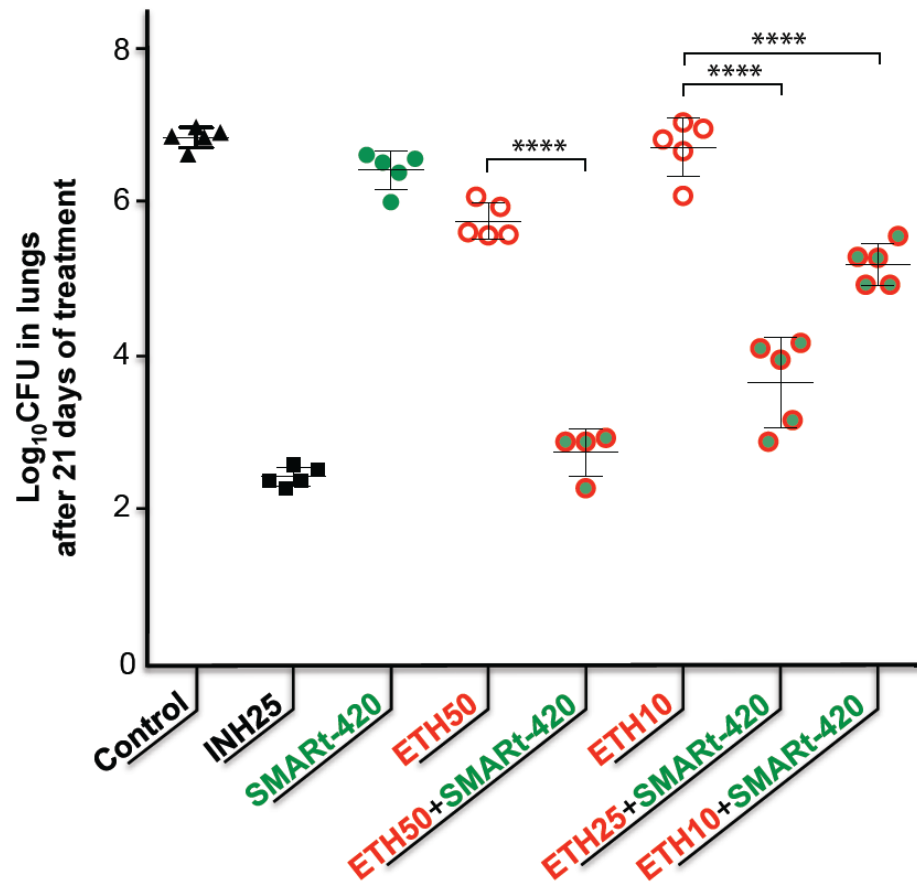


**Figure 3. SMART-420 inhibits the DNA binding activity of EthR2.**

**(A).** Synthetic mammalian gene circuit designed to sense EthR2-DNA interactions (*ethA2-ethR2* intergenic region) that are required to induce expression of the reporter gene *SEAP*. The alkaline phosphatase induced by the EthR2-VP16 complex was inhibited in a dose-dependent manner by SMART-420, whereas no effect was observed in the presence BDM41906 (see Supplementary Material & Methods and Fig. S1).

**(B).** Inhibition of the binding of EthR2 to the *ethA2-ethR2* intergenic region by SMART-420 but not by BDM41906 measured by surface plasmon resonance.

**(C).** Effect of the overexpression of *ethA2* (pMV261-*ethA2*) on the minimal inhibitory concentration of ethionamide in *M. tuberculosis*.



**Figure 4. Reversion of ETH-resistance in tuberculosis infected mice.**

Mice (5 mice per group) infected with ETH-resistant bacteria were treated by the control antibiotic INH (25mg/kg), ETH alone (50mg/kg), SMART-420 alone (50 mg/kg), or a combination of ETH and SMART-420. Pulmonary bacillary loads were enumerated by CFUs after 3 weeks of treatment. Administration of up to 50 mg/kg of ETH did not reduce the pulmonary load of ETH-resistant mycobacteria, whereas co-administration of ETH and SMART-420 showed a dose dependent reduction with a maximum of 4.6 log (Control versus ETH50+SMART-420). See "Bonferroni's multiple comparison test" in Table S6 for details and statistics.

## **Supplementary Material**

### **The Supplementary Material PDF file includes:**

Materials and Methods

Figs. S1 to S5

Tables S1 to S6

Captions for databases S1

### **Other Supplementary Materials for this manuscript includes:**

DRYAD.org link to the zipped archive "Databases S1.tar.gz" containing RnaSeq results for :

BCG\_DMSO.fastq

BCG\_BDM41906.fastq

BCG\_SMARt-420.fastq

Table S3.xlsx

### **References (38-45)**