

New generation of supramolecular mixtures: Characterization and solubilization studies

Tracy El Achkar, Leila Moura, Tarek Moufawad, Steven Ruellan, Somenath Panda, Stéphane Longuemart, François-Xavier Legrand, Margarida Costa Gomes, David Landy, Hélène Greige-Gerges, et al.

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1	New generation of supramolecular mixtures: characterization and
2	solubilization studies
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4	Tracy El Achkar ^{a,b} , Leila Moura ^a , Tarek Moufawad ^{a,c} , Steven Ruellan ^a , Somenath
5	Panda ^a , Stéphane Longuemart ^d , François-Xavier Legrand ^e , Margarida Costa Gomes ^c ,
6	David Landy ^a , Hélène Greige-Gerges ^b , Sophie Fourmentin ^{a,*}
7	
8	^a Unité de Chimie Environnementale et Interactions sur le Vivant (UCEIV,UR 4492), SFR Condorcet FR
9	CNRS 3417, Université du Littoral Côte d'Opale, 59140 Dunkerque, France
10	^b Bioactive Molecules Research Laboratory, Faculty of Sciences, Lebanese University, 1202 Jdeidet El
11	Metn, Lebanon
12	^c Laboratoire de Chimie, ENS Lyon, UMR CNRS 5182, 46 Allée Italie, 69007 Lyon, France
13	^d Unité Dynamique et Structures des Matériaux Moléculaires (UDSMM, EA 4476), Université du Littoral
14	Côte d'Opale, 59140 Dunkerque, France
15	eInstitut Galien Paris-Sud, Université Paris-Sud, CNRS, Université Paris-Saclay, 92290 Châtenay-
16	Malabry, France
17	
18	*Corresponding author: lamotte@univ-littoral.fr
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22 Abstract

23 In this work, a series of novel low melting mixtures (LMM) based on cyclodextrins (CD) and levulinic acid and inspired by the deep eutectic solvents (DES), were prepared. 24 25 These supramolecular mixtures are the first reported CD-based mixtures that are liquid at room temperature. Density, viscosity and rheological measurements as well as 26 27 differential scanning calorimetry and thermogravimetric analysis were performed to 28 characterize these new LMM. Nuclear magnetic resonance (NMR) spectroscopy was 29 used to monitor their stability. Furthermore, their ability to solubilize *trans*-anethole (AN) and related essentials oils were evaluated by static headspace-gas chromatography 30 31 (SH-GC), in comparison with water. AN was up to 1300 times more soluble in the CDbased LMM than in water. Finally, multiple headspace extraction (MHE) was used to 32 monitor the release of AN from these LMM. After 10 extractions, 20 to 40% of AN was 33 34 released from the studied LMM, while 70% was released from water. The new CDbased LMM have potential applications for solubilization and delivery of poorly soluble 35 36 drugs.

37

38 Keywords: cyclodextrins, static headspace-gas chromatography, *trans-*anethole,
 39 essential oils, low melting mixtures.

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41

42 **1. Introduction**

43 Cyclodextrins (CD) are non-toxic cyclic oligosaccharides obtained from the enzymatic 44 degradation of starch (Crini, 2014; Szejtli, 1998). Native CD, which are produced at an 45 industrial scale, consist of six (α -CD), seven (β -CD) or eight (γ -CD) α -(1 \rightarrow 4) linked D-46 glucopyranose units. Due to their hydrophobic internal cavity and hydrophilic external 47 surface, these water-soluble molecules have been extensively used to encapsulate 48 hydrophobic and volatile compounds by forming host-guest inclusion complexes 49 (Ciobanu et al., 2013; Kfoury et al., 2019, 2015; Margues, 2010).

50 β-CD is the most studied and most frequently used CD, because of its cheapness and 51 availability. However, it is the least water-soluble of the three native CD. Therefore, 52 various β-CD derivatives have been synthetized and are commercially available. CD 53 and their derivatives have attracted interest for a wide range of applications such as 54 food, agrochemistry, environmental chemistry, catalysis, cosmetics and pharmaceutics 55 (Crini et al., 2018; Kurkov and Loftsson, 2013; Landy et al., 2012; Legrand et al., 2009; 56 Loftsson and Masson, 2001; Nguyễn et al., 2017; Potier et al., 2012).

57 The discovery of deep eutectic solvents (DES) in 2003 was a turning point in the world 58 of green chemistry (Abbott et al., 2003). Since then the publications in this field have 59 increased exponentially. Being easy to prepare from cheap and widely available starting 60 materials, DES have attracted a lot of attention from researchers in the past few years 61 and have found applications in a wide range of domains: metal processing, synthesis, 62 electrochemistry, solubilization of gas and pollutants, extraction of bioactive compounds 63 and as drug solubilization vehicles (El Achkar et al., 2019; Li and Lee, 2016; Mbous et 64 al., 2017; Morrison et al., 2009; Moura et al., 2017; Zhang et al., 2012). These solvents

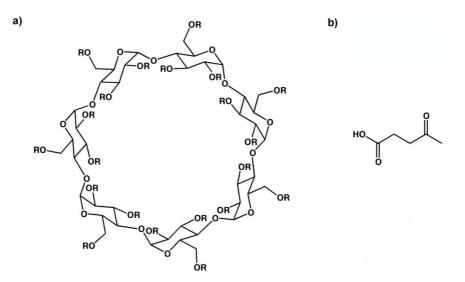
are obtained by mixing two or three compounds that are able to associate, mainly by the formation of hydrogen bonds, at a particular molar ratio to form a clear homogenous liquid with a lower melting point than that of the individual components (Zhang et al., 2012). Among them, DES based on carbohydrates and other primary metabolites have been described lately (Choi et al., 2011). These DES are called natural deep eutectic solvents (NADES). In this respect, cyclodextrins (CD) are potential NADES-forming compounds.

72 Only a few studies have investigated the combined use of CD and DES. Some studies 73 have evaluated the possibility of forming low melting mixtures (LMM) with CD and N.N-74 dimethylurea (DMU) (Ferreira et al., 2015; Imperato et al., 2005; Jérôme et al., 2014). A LMM was indeed obtained with various CD derivatives. Hydroformylation, Tsuji-Trost 75 76 and Diels-Alder reactions were efficiently performed in these new solvents with high 77 catalytic activity. However, the melting point of these LMM was superior to 80 °C, limiting their scope of application. Thereafter, we have patented the use of DES for the 78 79 absorption of volatile organic compounds (VOC) and described the beneficial effect of adding CD on VOC solubility in a choline chloride:urea (ChCI:U) DES (Fourmentin et al., 80 81 2016). At the same time, a study showing that the solubility of the three native CD was remarkably enhanced in the same DES was published (McCune et al., 2017). Moreover, 82 83 it was recently reported that no aggregation of β -CD occurred in ChCI:U up to concentrations of 800 mg/mL (Triolo et al., 2020). Methyl-β-CD has also been 84 incorporated into aqueous solutions of a DES based on glycerol and glycine and 85 described to improve the extraction of polyphenols (Athanasiadis et al., 2018). Later, we 86 investigated the advantage of combining ChCI:U and CD to solubilize various volatile 87

compounds and demonstrated that CD maintain their host-guest properties in ChCI:U (Di Pietro et al., 2019; Dugoni et al., 2019; Moufawad et al., 2019). Combinations of DES and CD can potentially give rise to highly attractive materials; however, having a solvent based on CD could be even more useful. To this end, we recently reported the first supramolecular mixture based on randomly methylated- β -CD (RAMEB) and levulinic acid and showed that RAMEB retained its inclusion properties (El Achkar et al., 2020).

95 In the present study, three new supramolecular mixtures were prepared using new β-96 CD derivatives (HP-β-CD, CRYSMEB and Captisol®) in addition to RAMEB as 97 hydrogen bond acceptors (HBA) with levulinic acid (Lev) as hydrogen bond donor (HBD) (Figure 1). Density, viscosity and rheological measurements, differential 98 scanning calorimetry and thermal gravimetric analysis were carried out to characterize 99 100 these new liquid mixtures. Moreover, their stability was evaluated as a function of time 101 and temperature by NMR. Finally, their ability to solubilize trans-anethole (AN), star 102 anise and fennel essential oils was evaluated by static headspace-gas chromatography 103 (SH-GC) and multiple headspace extraction (MHE) was used to monitor the release of 104 AN from these mixtures.

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Figure 1. Structures of the low melting mixtures constituents a) General structure of βcyclodextrin derivatives: HP-β-CD (degree of substitution (DS) = 5.6), R= -H or -CH₂-CH(OH)-CH₃; RAMEB (DS = 12.9), R= -H or -CH₃; CRYSMEB (DS = 4.9), R= -H or -CH₃; Captisol® (DS = 6.5), R= -H or -(CH₂)₄-SO₃⁻ Na⁺; b) Levulinic acid.

111

112 **2. Experimental Section**

113 *2.1. Materials*

trans-Anethole [1-methoxy-4-(1-propenyl)-benzene] (99%) was purchased from Acros Organics, France. Levulinic acid (98%) was purchased from Sigma-Aldrich, France. Randomly methylated-β-CD (RAMEB, DS =12.9), low methylated-β-CD (CRYSMEB, DS = 4.9) and hydroxypropyl-β-CD (HPBCD, DS= 5.6) were provided by Roquette Frères (Lestrem, France). Sulfobutylether-β-CD (Captisol®, DS= 6.5) was provided by LIGAND Pharmaceuticals (San Diego, CA, USA). All compounds were used as received.

Essential oils of *Illicium verum* (star anise) and *Foeniculum vulgare* (fennel) were provided by Herbes et Traditions (Comines, France). The main constituents (>1%) of the essential oils are: *trans*-anethole (91.07%) and limonene (3.28%) for star anise; *trans*-anethole (75.30%), estragole (3.10%) and fenchone (2.10%) for fennel (data
provided by Herbes et Traditions).

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- 127

2.2. Preparation of the supramolecular mixtures

The LMM were prepared by mixing the HBA and the HBD at a fixed molar ratio (Table 128 1). The mixtures were then stirred at 60 °C until the formation of a clear homogenous 129 130 liquid then cooled at room temperature. All the prepared mixtures were liquid at room 131 temperature. As CD possess a large number of HBA sites (35 sites for the native β-CD 132 and 35 to 55 sites for the modified β -CD), we used a large molar excess of levulinic acid. The water content of all the prepared mixtures was determined using Karl Fisher 133 titration method (Mettler Toledo DL31) and was found to vary between 2.5 and 3.9 wt.% 134 135 (Table 1).

136

137 Table 1. Composition and water content of the prepared LLM.

CD:Lev mixture	НВА	HBD	HBA:HBD molar ratio	Water content (wt. %)
HPBCD:Lev	Hydroxypropyl-β-CD		1:32	2.7
RAMEB:Lev	Randomly methylated β-CD	Levulinic Acid	1:27	2.5
CRYSMEB:Lev	Low methylated β-CD		1:25	3.3
Captisol®:Lev	Sulfobutylether-		1:44	3.9

138

We should point out that our attempts to obtain liquid mixtures with the three native CDs failed, which tends to emphasize that the density and flexibility of the hydrogen bond network are probably key parameters for the formation of liquid mixtures. It seems that the breakdown of hydrogen bonds from one glucose to another within CD molecules
could be a prerequisite, therefore limiting the preparation of such LMM to modified CDs.

144

145 2.3. Stability studies of LMM by NMR

In order to evaluate whether any esterification reaction occurred between the remaining 146 hydroxyl groups of the CD derivatives and levulinic acid, the supramolecular mixtures 147 148 were prepared at 30 °C and were subjected to gradual heating. Aliquots were withdrawn 149 at different times and temperatures, then diluted with DMSO- d_6 , or CDCl₃, in the case of 150 Captisol®:Lev, and analyzed by NMR. The first aliquot of the mixture was taken when a 151 clear liquid was formed (t₀). The other aliquots were withdrawn at 30, 60, 80 and 100 152 °C. The mixtures were kept for 24 hours at the desired temperature prior to analysis. ¹³C 153 NMR experiments were recorded on a Bruker Avance III spectrometer operating at 400 154 MHz for the proton nucleus, equipped with a multinuclear z-gradient BBFO probe head. The probe temperature was maintained at 30 °C and standard 5 mm NMR tubes were 155 156 used. ¹³C spectra were recorded with the following acquisition parameters: time domain 157 65 K with a digital resolution of 0.73 Hz, relaxation delay: 2 s and 1536 scans.

158

159 2.4. Density and viscosity measurements

Density measurements were carried out using a U-shaped vibrating-tube densimeter (Anton Paar, model DMA 5000 M) operating in a static mode. The factory calibration was used and verified before and after each measurement with air and tri-distilled degassed water. The DMA 5000 densimeter performs an analysis with an estimated uncertainty in density and temperature of ± 0.1 kg m⁻³ and ± 0.001 °C, respectively.

165 The viscosity was determined using a falling-ball-based microviscosimeter (Lovis 2000 166 M/ME from Anton Paar). The temperature was controlled to within 0.005 °C and 167 measured with an accuracy better than 0.02 °C. A capillary tube of 1.8 mm diameter, previously calibrated as a function of temperature and angle of measurement with 168 reference oils, was used for the measurements. The overall uncertainty on the viscosity 169 170 was estimated to be 2%. All measurements were performed at atmospheric pressure 171 and at temperatures ranging between 30 and 60 °C, as previously described (Moufawad 172 et al., 2019).

173

174 2.5. Rheological measurements

175 Rheological measurements were performed with an AR-G2 controlled-stress rotational rheometer (TA Instruments). Flow curves were obtained with an aluminum cone-plate 176 177 geometry (40 mm diameter, 1° cone angle, 28 µm truncation gap). A three-step shear 178 rate sweep was imposed after a 3-minute equilibration time: 1) increase of the shear rate from 0.1 to 5000 s⁻¹ over 3 min (upwards curve), 2) peak hold at 5000 s⁻¹ during 1 179 min, 3) decrease of the shear rate from 5000 to 0.1 s⁻¹ over 3 min (downwards curve). 180 181 The temperature was maintained at 30 °C and controlled with a Peltier plate. Measurements were performed in triplicate at least for each sample, to ensure 182 reproducibility. The statistical analysis was performed by calculating the standard 183 184 deviation from the three measurements or more made for each sample.

185

187 DSC experiments were carried out using a Q1000 DSC (TA Instruments) with a 188 temperature range from -100 °C to 40 °C and at a thermal scanning rate of 5 °C.min⁻¹. 189 All the samples (HPBCD:Lev, RAMEB:Lev, CRYSMEB:Lev and Captisol®:Lev) were 190 encapsulated in aluminum pans (sample weight ~ 10-15 mg), sealed with hermetic lids 191 and analyzed. Experiments were performed under nitrogen flow (50 mL.min⁻¹).

192

193 2.7.

Thermal gravimetric analysis (TGA)

TG measurements were performed with a TGA550 thermogravimetric analyzer (TA 194 195 Instruments). Samples were placed in an open platinum pan (100 µL) suspended in the 196 furnace. The initial weight of the sample was around 25-30 mg, and nitrogen was used 197 as the purge gas at a fixed flow of 20 mL.min⁻¹. The weight of material was recorded during heating from room temperature to 600 °C at a heating rate of 10 °C.min⁻¹. 198

199

2.8. Static Headspace-Gas Chromatography (SH-GC) 200

201 AN, star anise and fennel essential oils (EO) were added to water or to the LMM placed in 22 mL headspace glass vials. Vials were then sealed and thermostated at 30 °C 202 203 under stirring for 24 hours in order to reach equilibrium between liquid and gaseous 204 phases. Subsequently, 1 mL of the gaseous phase was withdrawn from the vial and 205 injected in the chromatographic column for analysis via a heated transfer line.

All experiments were carried out with an Agilent G1888 headspace sampler coupled 206 207 with a Perkin Elmer Autosystem XL gas chromatography equipped with a flame 208 ionization detector and a DB624 column using nitrogen as carrier gas. The GC column 209 temperature was fixed at 160 °C for AN. For the analysis of EO, temperature conditions

were set as follows: initial temperature of 50 °C for 2 min, increased to 200 °C at 5 °C.min⁻¹, then hold for 2 min, giving a total runtime of 34 min.

212

213 2.8.1. Determination of partition coefficient (K)

The vapor-liquid partition coefficient (*K*) is the ratio of the concentration of a substance in vapor phase to its concentration in liquid phase, when the equilibrium is reached (Kolb and Ettre, 2006).

217

$$K = \frac{C_G}{C_L} \tag{1}$$

218

K of AN was determined in water and in the different supramolecular mixtures at 30 °C by using the phase ratio variation method for AN in water and the vapor phase calibration method for AN in the supramolecular mixtures as reported earlier (Moura et al., 2017).

223

224 2.8.2. Retention of AN and essential oils (EO)

The retention of AN, star anise and fennel EO in the formulations was estimated according to procedures developed for aromas (Decock et al., 2008) and EO (Kfoury et al., 2015), respectively. The percentage of retention of AN and EO by the LMM was determined by SH-GC at 30 °C following equation (2) for AN and equation (3) for EO:

% Retention =
$$\left(1 - \frac{A_{LMM}}{A_W}\right) \times 100$$
 (2)

230

where A_{LMM} and A_W stand for the peak area of AN in presence of LMM and of water,
respectively.

233

% Retention =
$$\left(1 - \frac{\Sigma A_{LMM}}{\Sigma A_W}\right) \times 100$$
 (3)

234

where ΣA_{LMM} and ΣA_{W} stand for the sum of peak areas of the EO components in presence of LMM and of water, respectively.

237

238 2.8.3. Multiple Headspace Extraction (MHE)

MHE is a quantitative method, consisting of successive headspace extractions (Kolb and Ettre, 2006). It was used to study the release kinetics of AN from the prepared LMM at 60 °C. Samples were prepared similarly to the previous experiments but, once equilibrium was reached, vials were subjected to 10 headspace extractions at 1-hour intervals.

244

- **3. Results and Discussion**
- 246

247 3.1. Stability studies of LMM by NMR

A recent study showed that ChCI:carboxylic acid DES underwent esterification regardless of the method or the temperature employed during their preparation. However, the DES based on levulinic acid was the least affected, with only 6 mol% of the ChCI esterified at 100 °C (Rodriguez Rodriguez et al., 2019). In the present study, 252 the thermal stability of the new supramolecular mixtures was investigated using ¹³C 253 NMR, by following the modification of the chemical shift of the carboxylic acid function of 254 levulinic acid. All the supramolecular mixtures were stable after consecutive exposures of 24 hours at 30 and 60 °C. After an additional 24 hours at 80 °C, a small amount of 255 esterification was observed and identified by the presence of a new broad signal around 256 257 173.0 ppm corresponding to the ester function (Figure S1). No esterification was 258 detected in the case of Captisol®:Lev, which could be due to steric hindrance from the 259 relatively bulky sulfobutyl ether moieties. On the other hand, the most affected LMM was HPBCD:Lev with the relative intensity ratio of the esterified carbon peak being 260 261 1.6% of the carboxylic acid carbon peak at 100 °C (Table S1) and could be the result of 262 a higher reactivity of the hydroxyl groups, especially that of the hydroxypropyl moieties. Therefore, the preparation of the LMM was performed at 60 °C for all other experiments, 263 264 in order to avoid esterification. Furthermore, the long-term stability of the LMM was evaluated after 18 months of storage at room temperature. The mixtures showed no 265 266 degradation over time. The low esterification ratio observed for these CD-based mixtures compared with ChCI-based DES could be explained by the fact that the 267 268 remaining hydroxyl groups of the CD derivatives used in this study are sterically hindered since the degree of substitution varied from 4.9 to 12.9. 269

270

271

3.2. Physicochemical characterization

The density and viscosity values of the four LMM (HPBCD:Lev, RAMEB:Lev, CRYSMEB:Lev and Captisol®:Lev) were measured from 30 to 60 °C, at 10 °C intervals (Figure 2 and Tables S2 and S3). CD-based mixtures presented higher density values

(ranging between 1184.5 and 1234.3 kg m⁻³ at 30 °C) compared with common DES based on levulinic acid (1134.5 and 1105.7 kg m⁻³ at 303.15 K for ChCl:Lev or TBPBr:Lev DES respectively) (Moufawad et al., 2019; Moura et al., 2017). Additionally, the obtained values fall in the 1000-1300 kg m⁻³ range of density values observed with most of the reported DES (Tang and Row, 2013). LMM were also more viscous than these common DES, except for RAMEB:Lev which presents similar viscosity to ChCl:Lev (212.9 and 206.2 mPa.s at 30 °C, respectively).

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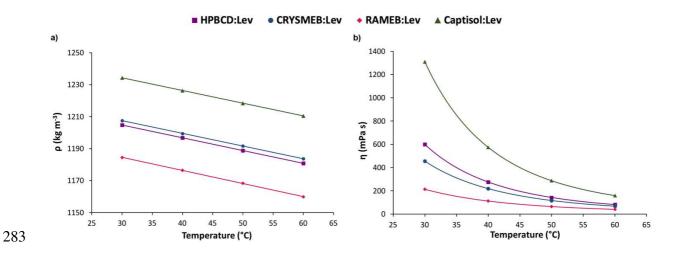


Figure 2. Experimental values of the density (a) and viscosity (b) of the supramolecular LMM. The lines represent the Vogel–Fulcher–Tammann (VFT) correlation fitting for viscosity and appropriate polynomials in the case of the density.

287

Nevertheless, the viscosity values decreased remarkably with increasing temperature. All CD-based mixtures, except Captisol®:Lev, show relatively low viscosities (≤ 80 mPa.s) at 60 °C. The relatively higher viscosity of Captisol®:Lev can be explained by a stronger hydrogen bond network, given the higher number of HBA sites present in Captisol® compared to the other studied β -CD derivatives due to the presence of the sulfonate groups. In addition, the CD:Lev mixtures were less viscous than the mixtures based on β -CD derivatives and *N*,*N*²-dimethylurea. Indeed, the latter mixtures present a melting point above 80 °C and their viscosities were at least equal to 205.0 mPa.s at 90 °C (Jérôme et al., 2014).

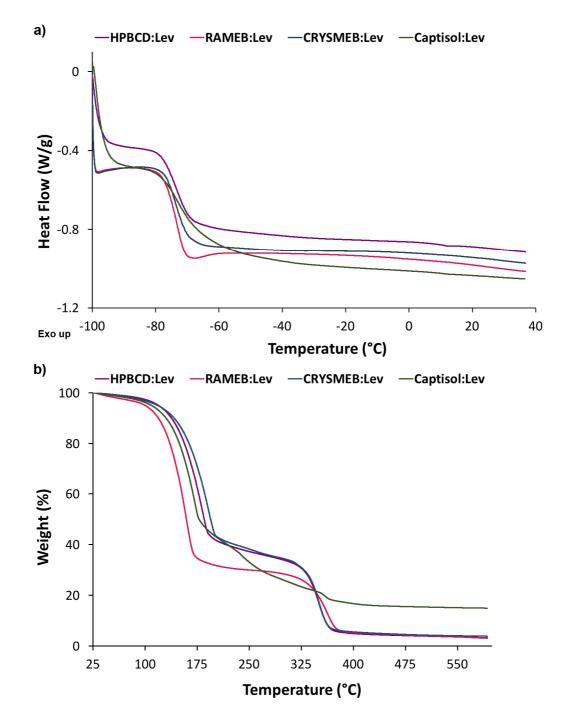
The flow behavior of the four LMM was then investigated at 30 °C. All the mixtures 297 studied exhibit a Newtonian plateau for shear rates below 1000 s⁻¹ and shear-thinning 298 299 behavior for shear rates above this value (Figure S2). The measured static and dynamic 300 viscosities for the same composition were slightly different (for example, in the case of RAMEB:Lev at 30 °C, η_{static} = 212.9 mPa.s vs. $\eta_{dynamic}$ = 245.0 mPa.s) but the same 301 302 order was found for the viscosities ($\eta_{Captisol@:Lev} > \eta_{HPBCD:Lev} > \eta_{CRYSMEB:Lev} > \eta_{RAMEB:Lev}$). Moreover, the shear-thinning behavior was more pronounced as the viscosity increased. 303 Indeed, for a high shear rate of 5000 s⁻¹, a decrease of around 29% in viscosity was 304 305 observed for Captisol®:Lev, whereas in the case of RAMEB:Lev a smaller decrease 306 (around 8%) was detected. As explained above, the most viscous mixtures probably 307 contain a larger number of hydrogen bonds that may be disrupted at high shear rates, 308 leading to a greater decrease in viscosity.

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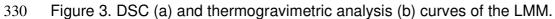
310 *3.3. Thermal properties*

DSC measurements were performed over a range of -100 to 40 °C in order to understand the thermal events occurring in this temperature range. We chose to focus on the lower temperature range, given that the samples under study were all liquid at room temperature. Interestingly, none of the CD-based mixtures, *i.e.* HPBCD:Lev, RAMEB:Lev, CRYSMEB:Lev and Captisol®:Lev, showed any melting point in the

316 heating curve. Instead, glass transition curves with T_g at -73.3, -74.3, -73.5 and -67.8 °C 317 were observed respectively for the mixtures (Figure 3a). This absence of melting peaks 318 and presence of glass transition temperature was widely observed in the literature. For 319 example, Francisco et al. reported 21 systems showing no melting point but glass transition temperatures ranging between -13.64 and -77.73 °C (Francisco et al., 2012) 320 321 while Dai et al. identified 13 different NADES with $T_g < -50$ °C and no melting point (Dai 322 et al., 2013). Likewise, with the exception of glass transition, no other thermal events 323 occur for trehalose:glycerol at a 1:30 molar ratio (Castro et al., 2018). Moreover, the observed T_g value of -75.14 °C is interestingly pretty close to the values obtained for our 324 325 CD-based mixtures. CD generally present a T_g ranging between 80 °C and more than 200°C depending on the CD (Tabary et al., 2011). Therefore, the observed T_g values 326 327 could be attributed to the formation of a LMM with levulinic acid.



329



332 Dynamic thermogravimetric analysis (TGA) was used to further investigate the thermal 333 stability of the new mixtures. As shown in Figure 3b, they underwent progressive

334 decomposition as the temperature increased. Their decomposition showed a two-step 335 weight loss similar to choline-based DES (Delgado-Mellado et al., 2018). At around 130 336 °C, levulinic acid began to decompose while the CD began to degrade at about 325 °C, except in the case of Captisol® for which the second decomposition began at around 337 225 °C and a third decomposition was determined near 350 °C. From the 338 339 thermogravimetric curve in Figure 3b, the results showed that the thermal 340 decomposition temperatures corresponding to the first thermal event of HPBCD:Lev, 341 RAMEB:Lev, CRYSMEB:Lev and Captisol®:Lev were equal to 130.4, 117.6, 137.7 and 127.6 °C respectively. CRYSMEB:Lev had the best thermal stability and RAMEB:Lev 342 343 the worst (Table S3). The DSC and TGA results demonstrate that these CD-based 344 mixtures retain a stable liquid state over a wide temperature range.

345

346 *3.4.* Solubilization of AN and essential oils

347 AN has incited interest from the food, cosmetics and pharmaceutical industries due to 348 its attractive properties. In fact, AN is a major component of star anise and fennel 349 essential oils which are known both as flavoring agents and as medicines owing to their 350 numerous biological properties (Auezova et al., 2020; Diao et al., 2014; Wang et al., 351 2018). However, its wider applications are hampered by drawbacks related to its high volatility, low water solubility and chemical instability. We have previously investigated 352 353 the effect of AN complexation with various CD on its solubility and photodegradation 354 and showed that CD were able to improve AN solubility and stability through inclusion 355 complex formation (Kfoury et al., 2014a, 2014b). Therefore, the ability of the LMM to 356 solubilize AN was evaluated by determining the vapor-liquid partition coefficient K of AN 357 (Table 2) as well as the percentage of AN retention. More than 99% of AN were 358 retained by all the studied mixtures while in aqueous solution CD were able to reduce 359 AN volatility only up to 92% leading to around 20-fold reduction in *K* (Kfoury et al., 360 2014b, 2014a). In the case of the supramolecular mixtures we observed up to 1300 361 times reduction in *K* compared with water (Table 2).

362

Table 2. Partition coefficient (*K*) values of *trans*-anethole in water and in the tested mixtures and ratio of K_{water}/K_{LMM} at 30°C

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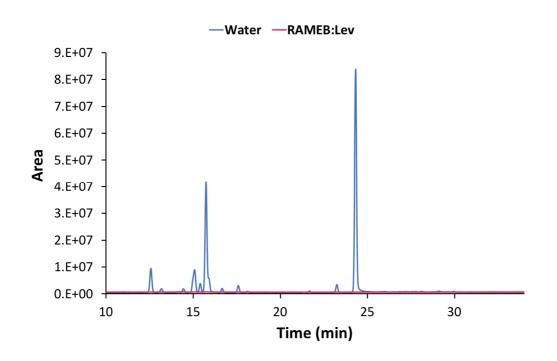
	K	Ratio
Water	1.29 x 10 ⁻²	1
HPBCD:Lev	1.04 x 10 ⁻⁵	1240
RAMEB:Lev	9.60 x 10 ⁻⁶	1343
CRYSMEB:Lev	1.08 x 10⁻⁵	1194
Captisol®:Lev	2.18 x 10 ⁻⁵	592

366

DES have been explored as solvents for the extraction of EO or their components in the 367 past few years (Li et al., 2019; Ozturk et al., 2018), with higher extraction yields 368 369 observed compared with conventional solvents. However, the majority of the reported 370 studies used diluted DES to improve their extraction efficiency by reducing their 371 viscosity or increasing their polarity (Jeong et al., 2018). To the best of our knowledge, 372 no studies investigating the solubilization or the extraction of AN or star anise EO using 373 DES have been performed to date. Only one publication has reported the use of lactic 374 acid-based NADES for the extraction of polyphenols from fennel EO, among other 375 Greek medicinal plants (Bakirtzi et al., 2016). Subsequently, the ability of the studied 376 LMM to solubilize EO, as well as AN in the presence of other aroma compounds, in two 377 EO was investigated.

Star anise and fennel EO were chosen for having AN as a major component. All the tested mixtures showed high retention ability (\geq 98.9%) toward star anise and fennel EO (Figure S3). Figure 4 depicts the chromatogram of star anise EO obtained by SH-GC in presence of RAMEB:Lev and in presence of water as a reference solvent. Clearly, the peaks corresponding to the volatile compounds found in star anise are obvious in water but almost disappear in presence of the CD-based mixture. Figure S4 shows the chromatograms of star anise EO in the three other mixtures.

385



386

387 Figure 4. Chromatogram of star anise essential oil in water and in RAMEB:Lev.

Concerning the retention of AN, similar results were obtained regardless of whether AN
was added in pure form or as a constituent of star anise or fennel EO (Figure S5).
Therefore, the ability of the mixtures to retain AN was not affected by the simultaneous
presence of other compounds.

393

394 *3.5. Release study*

395 Finally, the release of AN from the LMM was monitored by MHE. As described above, 396 10 successive headspace extractions were conducted at one-hour intervals. The 397 amount of AN present in the vial after each extraction could be determined using the 398 peak area. The retention ability of the LMM can be monitored and compared to water. 399 The release profiles of AN from LMM and water are shown in Fig. 5. The results indicate 400 that these CD-based mixtures can not only solubilize AN but also delay its release over time. Indeed, 70% of AN was released from water after 10 extractions at 60 °C, while 401 only 20-40% was released from the CD:Lev mixtures. 402

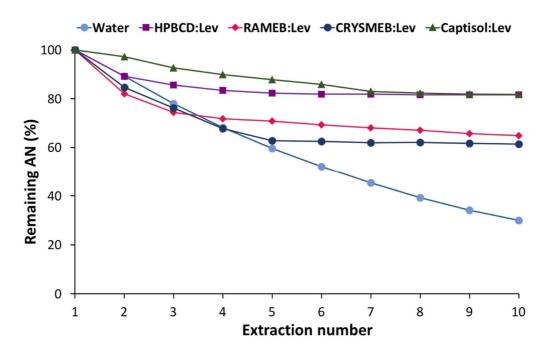


Figure 5. Release of *trans*-anethole from water and from supramolecular mixtures.

405

406 **4. Conclusions**

New supramolecular mixtures based on β-CD derivatives and levulinic acid were 407 prepared, characterized and studied for their ability to solubilize AN and related 408 409 essential oils. In addition, their thermal stability was investigated. The physicochemical 410 characterization studies demonstrated that these CD-based mixtures are liquid at room temperature with relatively low viscosity. The stability studies also revealed that they 411 were stable up to 60 °C and that no degradation could be detected after 18 months of 412 413 storage. These mixtures also presented high solubilizing ability towards AN, star anise 414 and fennel essential oils, when compared with water or aqueous solutions of CD. 415 Furthermore, they were able to delay the release of AN, as shown by MHE experiments. When compared to aqueous CD solutions, these mixtures guarantee the presence of 416 417 high amounts of CD associated to a liquid state over a wide temperature range, which 418 consequently allow the broadening of CD applications. These mixtures may therefore 419 contribute in the search for greener solvents and result in new promising, safe and 420 economic drug delivery systems. Indeed, due to their peculiar properties (viscosity, 421 stability and encapsulation properties), these supramolecular LMM could solubilize 422 poorly water-soluble drugs and/or protect fragile molecules during topical cutaneous 423 administration. The potential of these LMM is currently being tested for the treatment of 424 cutaneous leishmaniasis with a volatile and poorly water-soluble anti leishmaniasis drug and the results will be communicated in due course. 425

426

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