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# Understanding the adaptation of polygenic traits: the importance of gene regulatory networks

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### Abstract

The adaptation of populations to local environments often relies on the selection of beneficial versions of polygenic traits. At the molecular level, this process corresponds to polygenic selection models, in which many independent loci, often located in regulatory regions, experience subtle shifts in frequency. Here, we first review the different approaches developed to detect signatures of polygenic selection, their power and limitations. We then highlight the contribution of systems biology to the understanding of the molecular bases of polygenic traits and the evolution of gene regulatory networks involved in these traits. Finally, we discuss the need for a unifying framework merging the fields of population genetics, quantitative genetics and systems biology to better understand the molecular bases of polygenic traits adaptation.

*Keywords:* Quantitative traits, Polygenic selection, Gene regulatory networks, Systems Biology, Adaptive evolution, Population genetics modelling

## 1 Highlights

- In many species, adaptation of populations to local environment relies on traits that have a
   polygenic architecture.
- Statistical approaches have been developed recently to specifically detect different aspects of
   the weak genomic signatures left by directional polygenic selection.
- Genome-wide scans for selection have revealed that polygenic selection is a driving force of
   local adaptation and disproportionately targets regulatory regions, hinting for an important
   role of gene regulatory networks in evolution.
- Gene regulatory network inference helps identifying and grouping together genes and regulatory elements that participate to the same biological processes.

• The topology of gene regulatory elements put constraints on which genes and regulatory regions can be leveraged by polygenic selection.

#### <sup>13</sup> Local adaptation of polygenic traits: the importance of regulatory regions

Many adaptive phenotypes -i.e. phenotypes involved in adaptation to local environments - are 14 polygenic (see Box 1). Also called quantitative or complex traits, these phenotypes are determined 15 by multiple genes and regulatory loci. This complex genetic architecture has strong implications 16 on how these traits evolve. If these traits are under selection (either stabilising or directional), the 17 underlying genetic model will be a model of polygenic selection, i.e. subtle shifts in frequencies at 18 many independent loci coding for each adaptive trait [1-3]. This is for example the case for height, 19 immune response, and metabolism in humans [4, 5], flowering time, and stress response in plants, 20 [6–8], or pathogenicity in yeast [9]. Moreover, in humans, a lot of disorders such as autoimmune 21 diseases and metabolic disorders are considered to be consequences of past adaptation of polygenic 22 traits to changes in local environments, such as pathogens or lifestyle transition [10, 11]. Being 23 able to detect polygenic adaptation is thus important not only to understand the evolution of 24 polygenic traits, but also the origin of many human diseases. 25

Our apprehending of the effects of directional selection on polygenic traits at the molecular 26 level has strongly improved in the past ten years. QTL and genome-wide association studies 27 (GWAS), have led to the identification of genetic variants associated with these polygenic traits, 28 which were located overwhelmingly in non-coding regions [12, 13] – up to 90% in some species 29 - highlighting the importance of regulatory regions. Population genetics studies, leveraging the 30 ever growing number of genomic data sets available and the improvements in genomic functional 31 annotation have confirmed that local adaptation often relies on selection of mutations located in 32 regulatory regions that impact gene expression levels [9, 14]. This has spurred efforts to develop 33 population genetic approaches to detect signatures of selection beyond coding genomic regions. 34 Independently, these results helped creating new theoretical models, in which polygenic traits 35 result from a complex interplay of regulatory relationships between genes and different molecular 36 actors at the cellular level. This led to the development of systems biology models aiming at 37 understanding how these regulatory relationship evolve under directional selection, which brought 38 new clues to understand the molecular bases of polygenic traits adaptation to local environments. 39

#### <sup>40</sup> Detecting genomic signatures of polygenic selection: an ongoing quest

During the past few years, population geneticists have attempted to develop approaches to 41 detect signatures of polygenic selection on genomic data. The first difficulty is to identify the type 42 of signatures that one must look for. For this purpose, models have been developed to investigate 43 the expected impact of polygenic adaptation on population genomic data [3, 15–19] (see Box 2). 44 They assume additive and/or epistatic interactions among loci. These studies have shown mainly 45 that polygenic adaptation is likely to yield subtle frequency shifts at the genes involved in the 46 polygenic trait: polygenic adaptation events target several mutations simultaneously and leave 47 weak molecular signatures on the genome [20]. Recently, Hollinger and colleagues have however 48 shown that the type of genomic signature observed ranges from sweeps (either total or partial) to 49 subtle frequency shifts, depending on the population-scaled mutation rate [3]. 50

Methods to detect genomic signatures of polygenic selection events have mainly focused on 51 combining signatures of positive selection across sets of mutations that are defined *a priori* as likely 52 candidates for adaptive pressures. In particular, some studies have proposed to group mutations for 53 which allele frequencies correlate with specific ecological variables [4, 21]. These approaches, while 54 powerful, require access to past and present environmental data for the populations under study, 55 which are often difficult to obtain. Other approaches use gene pathways and information about 56 biological functions or GWAS results to group mutations together [5, 8, 22]. The first approach 57 consists in investigating whether known pathways or gene sets are particularly enriched in selection 58 signals [5], with many loci exhibiting a high level of genetic differentiation among populations. A 59 recently developed related approach looks for local subnetworks under strong differentiation [23]. 60 The second approach consists in testing whether a known trait has been submitted to divergent 61 selection [22, 24–26]. These methods require that previously performed genome-wide association 62 studies (GWAS) are available, which have detected loci associated with the traits and estimated 63 their additive effect on these traits. This allows computing the polygenic score of the genotyped 64 individuals in the studied populations, which can then be compared to what is expected under 65 genetic drift only. Because they rely on already existing GWAS studies, these methods cannot be 66 used blindly on the genome. 67

On the other hand, other methods have focused on scanning the genome in order to detect loci with high level of differences in allele frequencies among populations, without *a priori* grouping mutations. This is the case for example of the SDS approach [27], OutFLANK [28] and PCAdapt [29]. These genome-scan methods can be used blindly on the genome, however they were mainly
developed in a context of strong selection on a single locus and simulation studies have shown that
their efficiency for detecting loci evolving under polygenic selection is rather limited [30, 31].

#### <sup>74</sup> Shortcomings of polygenic selection detection approaches

The shortcomings of existing approaches to detect polygenic selection have been extensively 75 discussed in recent papers [32–35] that have raised several caveats concerning the results of these 76 polygenic selection tests. The first set of caveats are technical and relate primarily to GWAS-based 77 results: if not correctly taken into account, a hidden population structure in the samples used for 78 GWAS can lead to the false detection of directional polygenic selection signal on the trait under 79 study. This has been demonstrated for height in European human populations [34, 35]. Similarly, 80 GWAS ascertainment biases can confuse selection signals [36]. Transferring GWAS results across 81 time and space can also lead to misidentification of causal mutations, the impact of which on the 82 selection tests is uncertain. 83

The last set of caveats relates to the interpretation of test results. They include the potential 84 existence of gene x environment interactions and the poorly known interactions between traits 85 [32], both of which can hide the selection signals or inverse the direction of selection. Finally, 86 an important part of the selection signals might be lost in current studies because a fundamental 87 component of the genetic architecture of polygenic traits is often minimized or totally ignored: 88 epistatic interactions [37]. On this topic, Payne and colleagues recently highlighted the impor-89 tance of studying the topography of the adaptive landscape and, in particular, the exceptional 90 characteristics of gene expression regulatory networks in terms of epistasic interactions, pleiotropy 91 and robustness [38]. 92

To this list of caveats, we can add another one: while carrying the majority of the genetic 93 variation associated with polygenic traits, the regulatory regions are a blind spot of the methods 94 designed to detect polygenic selection described above. These approaches, which combine signa-95 tures of positive selection across loci associated to a given trait, often only target genes, completely 96 ignoring regulatory regions. The recently developed approaches based on GWAS results include 97 some genetic variants from regulatory regions. However, because they only include the variants 98 that are statistically significantly associated to the phenotype at the genome-wide level, they miss a 99 good part of the genetic bases of polygenic phenotypes – the famous "missing heritability" [39, 40]. 100

On the other hand, blind genome-wide scans have detected the signatures of polygenic selection in regulatory regions. Several studies have indeed revealed an enrichment in positive selection signals among cis-regulatory elements and expression QTLs [41–43]. However, the lack of functional annotation of these regions often prevents identification of targeted phenotypes, beyond general results of Gene Ontology enrichment assays.

In short, despite recent advances in polygenic selection signature testing, several difficulties 106 persist. While the technical caveats point to the need to carefully consider potential confounding 107 effects and to assess the sensitivity and specificity of polygenic selection tests, most of the difficul-108 ties in interpreting polygenic selection tests can be explained by the "genomic only" approaches 109 used in these tests. These difficulties indeed arise from our limited knowledge of the true genetic 110 architecture and molecular bases of polygenic traits. These traits are determined by various reg-111 ulatory regions, and depend on a complex interplay between different actors that are expressed 112 following a rigid spatio-temporal framework. Ignoring these features makes it difficult to interpret 113 results of polygenic selection tests, and to identify the biological functions and traits potentially 114 under polygenic selection. 115

#### <sup>116</sup> Embracing the Complexity: Contributions from Systems Biology

Organizing and integrating information from diverse sources and understanding how polygenic 117 phenotypes are generated from the genome at different levels, from the cell to the organism, is the 118 core of systems biology [44]. The rise of this research field initiated a switch in the perception of 119 the molecular bases of polygenic traits, from a gene-first to an interaction-first model. Quantita-120 tive genomics apprehends indeed the molecular bases of polygenic phenotypes as a collection of 121 independent genes, each coding for a fraction of the phenotype, with potentially some interactions. 122 Conversely, systems biology focuses primarily on these interactions, modelling the regulatory rela-123 tionship between genes, their regulators and their products. During the past twenty years, buoyed 124 by the availability of high-throughput data, numerous approaches have been developed to model 125 these regulatory relationships and to efficiently deal with their complexity. In particular, the 126 representation of these relationships as Gene Regulatory Networks (GRNs), which gather tens to 127 thousands of genes, has allowed better understanding the molecular bases of polygenic traits [45]. 128 From the systems biology point of view, understanding the molecular bases of polygenic se-129 lection consists, therefore, in deciphering how regulatory interactions among genes evolve under 130

constraints. Models have been developed to study how GRNs evolve, which explicitly simulates these interactions. Initially developed by Wagner, they model gene networks through a matrix whose coefficients determines how each gene regulates each other and itself [46, 47]. These coefficients vary among individuals and can evolve through mutations that change the regulatory relationships between genes. Each matrix leads to a given level of expression of each locus. Selection will occur through the assumption that there is an optimal level of expression for each gene. This model was first developed to study the evolution of gene duplications [46].

It allowed then discovering some properties of GRN evolution. One of the major results is the demonstration that, under stabilizing selection, network evolves toward a state where a single mutation becomes less likely to reduce the fitness of the individuals [47], a phenomenon called canalisation [48]. This insensitivity to mutations becomes stronger when the number of connections in the network increases [49] or when phenotypic optima become more extreme [50]. Conversely, this robustness of the network promotes the accumulation of hidden loss-of-function mutations, which can then accelerate adaptation in a changing environment [51].

GRN evolution models have also helped better understanding how the structure of these GRNs impacts the individual response of each gene to selection, as a function of selection intensity and distance between the current phenotype and the optimal one. Genes that are more upstream in the network (i.e. strongly regulating the other genes and/or less regulated by them) are more affected by selection [52]. This could explain the empirical observation that transcription factors are evolving faster than the other genes [53].

#### <sup>151</sup> Impact of GRN structure on architecture of polygenic selection

Understanding the evolution of GRNs that underlies polygenic phenotypes is currently a hot 152 topic in evolutionary biology. The various scenarii of GRN evolution observed have been summa-153 rized for animals, insects [54] and plants [55]. Modifications of GRNs seems to be frequent during 154 speciation [56] and domestication [57, 58], and can lead to dramatic changes in developmental 155 patterns and other polygenic phenotypes. Moreover, there are many examples of directional and 156 polygenic selection events affecting the gene regulatory networks [8, 9, 43, 59]. The analysis of 157 cellular GRN and their evolution has led to hypotheses about how polygenic selection can act, 158 and how gene regulatory network structure might facilitate or constrain it. Three GRN features 159 are worthy of attention in this context: redundancy, modularity, and their scale-free property (see 160

<sup>161</sup> Figure 1 and Box 3).

Redundancy is a characteristics of GRNs that ensures stability of gene expression, buffers noise 162 and ensures phenotype robustness to random mutations that may cause gene inactivation [50, 60]. 163 It has been shown also to facilitate polygenic adaptation. For example, an evolution experiment in 164 Drosophila showed phenotypic convergence in response to a temperature change in ten populations, 165 while the genetic analysis revealed that different pathways were targeted by polygenic selection 166 in each replicate [61]. Redundancy of GRNs thus facilitated polygenic selection by increasing 167 the number of available targets to reach the optimum phenotype (see Figure 1B). However, this 168 polygenic selection will be difficult to detect, as it will potentially affect different pathways among 169 populations confronted to the same constraints. 170

GRNs are also highly modular, i.e., made of small groups of genes strongly interconnected with 171 each other but only loosely connected to the rest of the network, thus constituting a module. It 172 has been proposed, in the omnigenic model, that as all genes are seemingly interconnected within 173 the GRN, each trait might be influenced by all genes [40]. Theoretically, such extreme generalized 174 pleiotropy – property of a gene that influences several, apparently unrelated traits – would severely 175 constrain the possibility for polygenic selection to act, because it could not modify a given trait 176 without influencing all the others. However, the modularity of GRNs can mitigate this effect. 177 Indeed, in each module, only a few genes are connected with genes from other modules. Most 178 genes in a module are thus isolated from the rest of the network and can be leveraged by polygenic 179 selection (see Figure 1A). 180

Finally, GRNs tend to be scale-free, i.e. there are many more genes that are connected to 181 only a few other genes than there are genes connected to lots of other genes [62] (see Figure 1B). 182 This has been shown on various types of networks [45, 62, 63]. As confirmed by observations on 183 molecular data (transcriptomics or proteomics), the level of connection of a gene in a network is 184 inversely correlated with its level of genetic diversity [64–66]. This means that the handful of highly 185 pleiotropic genes at the center of these networks often evolve under strong negative selection and 186 present few variations of expression among individuals. Conversely, the vast majority of genes are 187 at the periphery of the network, involved in only one or few pathways. Changes in their regulation 188 will, therefore, only very slightly affect the other pathways (see Figure 1A and B). This leaves 189 open a large field full of candidates for polygenic selection to act on, both at the level of the gene 190 sequence and the gene regulatory elements, while limiting the potential side effects of selection on 191

<sup>192</sup> other pathways.

#### <sup>193</sup> Polygenic selection and GRNs: advantages and limits

Theoretical models of GRNs combined with results on real data sets allow better understanding 194 the molecular bases of polygenic phenotypes and their evolution. They also help understanding 195 where and how polygenic selection can act, and where to look for genomic signatures of selection. 196 However, the models often focus on the evolution of unipartite networks of genes interacting 197 with each other, thus overlooking important features that would be useful to get a complete 198 picture of how polygenic selection can impact GRNs. First, despite the evidences for pervasive 199 polygenic selection targeting regulatory mutations [9], these models do not include explicitly 200 how modifications in non-coding regulatory regions, such as enhancers, can impact the expression 201 level of several genes at once, while not modifying their interactions. An important source of 202 polygenic trait variation and evolution is thus not taken into account. Some current approaches 203 integrate heterogeneous data using multipartite networks, in order to identify not only gene-gene 204 regulatory interactions but also interactions between regulatory regions and genes [45, 67, 68]. 205 These approaches could serve as bases for the development of new GRN evolution models. Second, 206 most studies on GRN evolution do not model individual nucleotides. Consequently, they allow to 207 study questions such as redundancy, pleiotropy and canalisation, but not to dissect the genetic 208 bases of evolution, and to understand the genomic signatures that may be expected in different 209 polygenic selection scenarios. 210

#### <sup>211</sup> Concluding Remarks and Future Perspectives: towards systems population genetics

In order to detect polygenic selection and to disentangle the impact of several confounding fac-212 tors, we need to better understand not only the genetic architecture of polygenic traits, but also 213 their molecular architecture. A new integrative evolutionary framework becomes quite indispens-214 able, which would take this architecture into account, in particular its redundancy and the fact that 215 two selective events in the same population might not lead to the same selection scenario at the 216 molecular level [69]. Here, we argue that, in order to achieve such a unifying framework, we need 217 not only to identify which genes and pathways contribute to polygenic traits, and how redundant 218 they are, but also investigate the complex regulatory interactions that govern their expression (see 219 Outstanding Questions). In particular, we need to take into account how the genomic regulatory 220

regions impact the expression of these traits. We should focus on the crucial post-trancriptional regulatory steps, on the gene-gene interactions, and maybe more importantly, on the position of these genes in the cellular gene regulatory network. All of this could be achieved by combining population genomics and system biology approaches.

#### 225 Outstanding Questions

- Redundancy allows for the selection of the same version of a trait through the selection of
   genetic variants in different pathways. Do we observe this phenomenon at the scale of the
   population? In that case, which molecular signatures do such evolutionary processes leaves
   on the genome and how can we detect them?
- Pleiotropy is a major property of gene regulatory networks actors that constrains how poly genic selection acts. Genes are often involved in several distinct pathways. What is the level
   of pleiotropy of the regulatory elements, in particular of the distal ones such as enhancers
   and how does it constrain their evolution?
- Classical population genetics approaches model the evolution of genetic sequences but ignore
   their complex interactions in gene regulatory networks. On the other hand, gene regulatory
   networks model the evolution of these regulatory relationships but not their genetic bases.
   Will more integrated approaches that explicitly model the molecular evolution of regulatory
   regions in the context of gene regulatory networks improve our understanding of the molecular
   bases of local adaptation?
- Selection on polygenic traits yields linkage disequilibrium among genes involved in the traits,
   at the within- and among-population levels [15]. How do regulatory interactions affect this
   linkage disequilibrium? Can it be used to detect polygenic selection?
- GWAS-based method aiming at detecting polygenic selection use the additive effect of each gene on the trait. Could the interaction (dominance, epistasy) between these genes also be integrated in these approaches?

#### <sup>246</sup> Box 1. Genetic architecture of traits: from the Mendelian to the omnigenic model

Polygenic traits have been the focus of many theoretical and empirical studies since Fisher's 247 seminal model of polygenic inheritance, which bridged the gap between the Mendelian inheritance 248 model and the observation of continuous traits. This model suggests that the apparent continuity 249 of many phenotypic traits can be explained if multiple genes contribute additively to these traits 250 [70]. Classic genetic studies, recent advances in quantitative genetics and genome-wide association 251 studies (GWAS) have demonstrated the existence of a continuum of genetic architectures for 252 traits, in many organisms including yeast, insects, worms, plants and mammals [39, 71–73]. These 253 architectures range from the Mendelian model, where a trait is determined by a single gene (e.g. 254 ABO blood groups in primates, Mendel's peas color and shape) to the infinitesimal model [74] 255 where a seemingly infinite number of loci are involved in the determinism of a trait (e.g. height, 256 in both humans and plants [24, 75]). This last concept has been highlighted in a recent paper 257 [40] that labelled it the 'omnigenic' model. In between, we find a myriad of traits that present 258 an oligogenic or polygenic determinism, such as flowering time in plants, tolerance to ethanol in 259 veasts or susceptibility to diabetes in humans [75–78]. 260

#### <sup>261</sup> Box 2. Polygenic selection

Polygenic selection consists in natural or artificial selection occurring on a trait coded by several 262 loci. Under this framework, even when the phenotypic optimum differs strongly from the current 263 average phenotype, populations can evolve toward this optimum without substantial changes in 264 allelic frequencies [15]. Indeed, each locus can undergo only swift changes in these frequencies, 265 while allelic associations will appear among the different loci, allowing to reach the phenotypic 266 optimum. In this case, methods aiming at detecting signals of selective sweeps such as iHS [79] 267 or nSL [80] will probably not detect any signal, as no locus is undergoing a strong shift in allelic 268 frequencies. Note, however, that this will depend on the number of loci involved in the trait: if 269 this number is low, each locus will respond more strongly in terms of allelic frequencies changes 270 and some might be detected. Moreover, if among the loci coding for the trait, some are major loci 271 with a high contribution to genetic variance, these loci are more likely to be affected by a strong 272 shift in allelic frequencies [16, 17], and thus to be detected by selective sweeps tests. 273

Other approaches modeled the fate of a locus coding for a given trait, while all other loci coding for this trait were treated as background genetic variance [18]. They find that a selective

sweep will be observed in some cases at the focal locus, depending upon its relative variance as 276 compared to the background loci and also upon the shape of the selection function. In a model that 277 considered also epistatic interactions, Hollinger and colleagues then showed that the probability of 278 observing a sweep at a locus involved in a quantitative trait will depend mainly on a parameter 279 called the population-scaled background mutation rate  $\Theta_{bg}$ , i.e. the product of the effective size of 280 the population by the total mutation rate of all background loci [3]. Low values for  $\Theta_{bg}$  will lead 281 to the occurrence of selective sweeps at the focal locus, while high values will yield small polygenic 282 allele frequency shifts. Several partial selective sweeps will be observed for intermediate values. 283

#### <sup>284</sup> Box 3. Gene Regulatory Networks

Gene Regulatory Networks (GRNs) describe a collection of molecules that interact together to regulate the level of expression of genes, both as mRNA and proteins. These regulatory relationships can be direct or indirect, for example when a transcription factor increases another protein level through the activation of its coding gene transcription.

GRNs are often represented as graphs, mathematical objects that represent the elements (nodes) and the interactions between elements (edges). They can include one type of elements such as proteins (unipartite graphs), or several types of elements such as transcription factors, regulatory mutations and mRNAs (multipartite graphs). The study of the topology of these graphs allows better understanding how the elements interact together and how GRNs evolve.

GRNs, whether in yeasts, insects, plants or mammals, show some shared topological characteristics. Most importantly, they are scale-free. This means that very few of the nodes within the network are connected to many others — the key or master regulators – while most of the nodes are connected only to a few other nodes (see Figure Figure 1B). GRNs are also highly modular, i.e. organized into groups of nodes that are strongly interconnected but only loosely connected to the rest of the network, thus constituting a module. The modules often correspond to biological pathways.

The topology of the network also seems to constrain the evolutionary history of each node. In GRNs, the handful of high-degree genes, i.e. connected to many other genes, are often known to be pleiotropic, involved in many pathways, and to be very constrained [64], evolve under strong negative selection and present few variations of expression among individuals. Conversely, peripherical genes, which are only connected to few other genes, are often included in only one pathway.  $_{\rm 306}~$  They are also more likely to evolve under positive selection.

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#### 476 Figures Legends



Peripheral (positive selection)

Figure 1: Impact of gene regulatory network structure on selection action. (A) Modular structure of a gene regulatory network. Genes are represented as circles and edges represent regulatory relationship between gene products. Genes are colored by topological characteristics: high degree genes in red, high core-score genes in yellow and others in white. The network is structured into modules, i.e. groups of genes that regulate each others. These modules often correspond to biological functions. Topological characteristics of gene regulatory networks such as redundancy (several modules involved in the regulation of the same biological functions) and pleiotropy (genes involved in the regulation of several biological functions) are highlighted. (B) Expected power law distribution of the node degree in q gene regulatory network.



Figure 2: Proposition for a new integrated framework to detect signatures of polygenic selection. Genes and regulatory regions in blue present signatures of selection.