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Translucent γ -AlOOH and γ -Al₂O₃ glass-ceramics using the cold sintering process

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ABSTRACT

Cold Sintering Process has been applied to hydroxide precursors Al(OH)₃, xH₂O to promote *in situ* reactive sintering. The application of sintering temperatures < 400°C led to the release of OH groups and partial crystallization into boehmite γ -AlOOH. This latter step is accompanied by a densification stage leading to the production of dense translucent pellet. From skeletal density measurements, it appears that not all the pellet is composed of crystalline phase, but contains a mix of amorphous and crystalline phase. The presence of amorphous phase seems to favor the mechanical stability of the samples made of the intermediate boehmite phase, which is brittle due to its oriented layer structure. Further heat treatment in an oven at 500°C induces the transformation of boehmite into γ -Al₂O₃. Here we demonstrate the possibility to produce optically translucent ceramics of low temperature stability gamma alumina structure.

The recent development of cold sintering techniques has shown a great efficiency in the preparation of dense samples at extremely low temperatures [1–3]. The process is generally assumed to proceed through a pressure solution creep mechanism achieved under uniaxial high pressure (typically > 100 MPa to GPa range) and mild temperatures (< 500°C). Although it appears that sintering mechanisms induce a dissolution step followed by a precipitation step, their precise description is not provided yet [4]. This way, dense bulk materials were obtained in various chemical media. A major challenge concerns materials with very low solubility in the experimental conditions (typically < 400°C under uniaxial pressure 100–800 MPa [5,6]). To densify such materials, alternative strategies have been developed, showing successful results. Ionic liquid was used to densify BaTiO₃ in the absence of water, with densities reaching 98% of theoretical density at temperatures as low as 300°C without further heat treatment [7]. Another solution consists in activating sintering through a decomposition-reactive sintering. Following this route lead to the preparation of pure zirconia from hydroxide precursors Zr(OH)₄ in just a few minutes at 350°C [8]. Recent work on BaTiO₃ from Ba(OH)₂, 8H₂O precursors also showed high densification rate (95 % relative density) without formation of BaCO₃ [9]. This route offers a great potential for the preparation of metal oxides from low temperature decomposing hydroxides. Moreover, this also offers opportunities for

the preparation of dense materials with very low decomposition temperatures [10] such as sulfates [11], carbonates [5,12], phosphates [13,14] but also oxides [6]. Alumina represents one of the most used oxide material, especially under the most stable phase α -Al₂O₃. It also presents a large subset of metastable crystalline phases (γ , δ , θ , κ , ε , η , χ) [15]. Among alumina crystalline phases, γ -Al₂O₃ is of particular interest for catalyst support application. Its lack of stability makes it difficult to densify into monolithic ceramics. However, densification could be performed under extremely high pressure, at 3 GPa – 500°C using a specific design [16]. Another possibility for γ -Al₂O₃ is to use it to promote densification of α -Al₂O₃ phase through a phase transformation enhanced sintering [17,18].

Here we propose to use the Cold Sintering Process starting from hydrated Al hydroxide to obtain dense samples of AlOOH with boehmite structure, which can further be heat treated to obtain samples of pure γ -Al₂O₃ phase.

Cold Sintering was performed on commercial Al(OH)₃, xH₂O (Sigma Aldrich) powder in a WC die at various temperatures ranging from 150°C to 400°C under 500 MPa uniaxial pressure, for dwell times between 30 and 180 min. After the dwell time, temperature was naturally decreased to room temperature and pressure was slowly released over 45 min to avoid pellet cracks. Some sintered samples were heat treated at 500°C for 2 h under air in a conventional furnace.

XRD patterns were recorded on the as received Al(OH)₃, xH₂O powder and treated at 500°C for 2 h as well as on a dense sample sintered at 380°C and subsequently annealed at 500°C in air.

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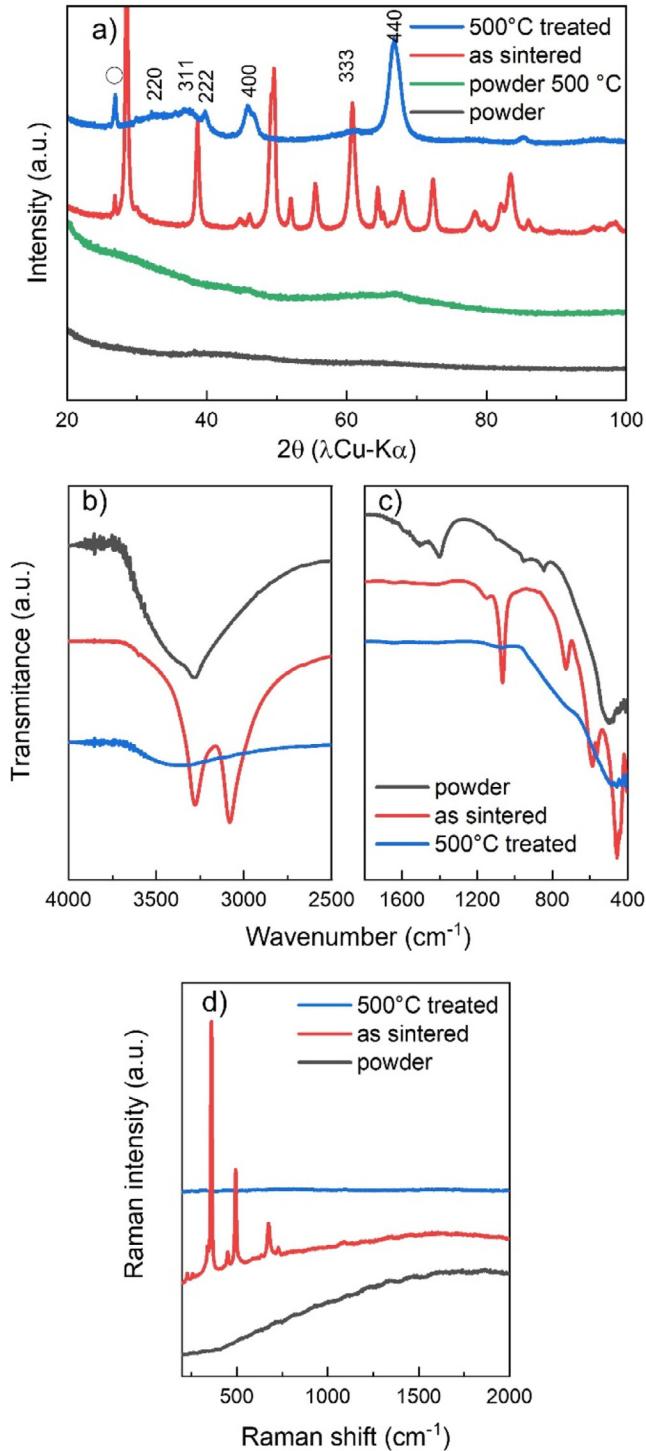


Fig. 1. a) XRD patterns of starting $\text{Al}(\text{OH})_3$, H_2O powder, 380°C sintered pellet and 500°C treated pellet, b-c) corresponding FTIR spectra in ATR mode and d) corresponding Raman spectra (O symbol in XRD represents graphite signature and $\gamma\text{-Al}_2\text{O}_3$ Miller indices are indicated above the corresponding peaks [25]). See Fig. 3 for boehmite peak positions.

As displayed on Fig. 1a, both the as received and 500°C treated powders display no crystallized phase. However, in the case of sintered sample, although the sintering temperature is only 380°C, crystallization occurred inducing the formation of pure boehmite $\gamma\text{-AlOOH}$ phase. After a 500°C treatment in an oven, the sintered pellet's structure changes to $\gamma\text{-Al}_2\text{O}_3$ phase, with no trace of remaining boehmite phase. To confirm this transition, FTIR and Ra-

man spectroscopic measurements were performed. FTIR results are displayed on Fig. 1b-c. As received powder spectrum presents a broad peak around 500 cm^{-1} , associated with two peaks at 1400 and 1510 cm^{-1} , which are typical signature of $\text{Al}(\text{OH})_3$ [19,20]. A broad peak from 2700 to 3700 cm^{-1} confirms the presence of water molecules in the powder, with a sharper peak at 3280 cm^{-1} denoting the presence of linked OH groups in the structure. FTIR pattern of sintered sample shows a sharp doublet at 3280 and 3080 cm^{-1} , resulting from boehmite stretching mode of $\text{Al}-\text{O}-\text{H}$, associated with a doublet at 1080 and 1160 cm^{-1} for $\text{Al}-\text{OH}$ bending mode [21,22]. The three sharp peaks observed at 730, 600 and 480 cm^{-1} can be attributed to $\text{Al}-\text{O}_6$ stretching modes [22]. For the 500°C heated pellet, only a broad contribution from 3000 to 3700 cm^{-1} and a large peak at 500 cm^{-1} are observed, confirming the total absence of AlOOH , therefore confirming the complete transition to $\gamma\text{-Al}_2\text{O}_3$ as observed in XRD. Fig. 1d displays Raman spectra of the same samples. While AlOOH has active modes in Raman, both $\text{Al}(\text{OH})_3$ and $\gamma\text{-Al}_2\text{O}_3$ have no active modes [23]. This makes the technique a great tool to evaluate the total transition from AlOOH to Al_2O_3 . As displayed here, only the sintered sample displays bands at 360, 450 and 495 cm^{-1} , assigned to $\text{Al}-\text{O}$ vibrational modes, as well as 730 and 674 cm^{-1} which can be assigned to $\gamma(\text{OH})$ vibrational modes [24]. These bands confirm the boehmite structure of AlOOH phase. After heat treatment of this sample at 500°C, no more active band is detected on the Raman spectrum, confirming the total phase transformation into $\gamma\text{-Al}_2\text{O}_3$.

According to these results, it is clearly evidenced that cold sintering process at temperatures below 400°C induces transition from amorphous $\text{Al}(\text{OH})_3$ to crystallized $\gamma\text{-AlOOH}$ while as shown by the XRD the starting powder remains amorphous even after annealing at 500°C.

For these three samples (powder, as sintered pellet and 500°C treated pellet) thermal analysis were performed from room temperature to 700°C and are presented in Fig. 2. Starting powder shows a massive loss of 38 wt.% from 30 to 350°C which is associated to the removal of H_2O molecules. This allows to determine the composition of the powder as $\text{Al}(\text{OH})_3$, 0.5 H_2O . DTA measurement shows an endothermic peak usually associated to the dehydration [26]. No further thermal event is observed at higher temperature up to 700°C. The “as sintered” (Fig. 2b) pellet shows a first mass loss of 4.7 wt.% from room temperature to 200°C followed by a second loss of 16 wt.% up to 600°C. The first loss is attributed to adsorbed water on the pellet, either resulting from the incomplete water removal along sintering experiment, or even from rehydration at room temperature after sintering in the remaining porosities. The second loss, associated with an endothermic peak in DTA, is assigned to the crystallization of boehmite into $\gamma\text{-Al}_2\text{O}_3$ (as observed in XRD at Fig. 1). This is consistent with the expected 17 wt.% loss from the reaction $2\text{AlOOH} \rightarrow \text{Al}_2\text{O}_3 + \text{H}_2\text{O}$ in the form of H_2O molecules. For the sample heat treated 2h at 500°C (Fig. 2c), a first loss is observed from room temperature to 200°C associated with the presence of water, which must be adsorbed from the atmosphere, as confirmed by the presence of a broad peak in FTIR (see Fig. 1b). However, up to 800°C no more loss is observed, and differential thermal analysis confirms the absence of the $\text{AlOOH} \rightarrow \text{Al}_2\text{O}_3$ phase transition, as expected from XRD, Raman and FTIR analyses.

Fig. 3a presents the evolution of skeletal density of samples sintered at various temperatures, and measured in a He pycnometer on crushed pellets. Initially, the as received powder has a skeletal density of 2.16 $\text{g}\cdot\text{cm}^{-3}$ (represented by the point at 20°C) which slightly increases after a 150°C sintering, reaching 2.21 $\text{g}\cdot\text{cm}^{-3}$. A more drastic increase of the density occurs from 350°C to 400°C with a density of 3.05 $\text{g}\cdot\text{cm}^{-3}$ at 400°C, which is the theoretical density of pure $\gamma\text{-AlOOH}$ [27].

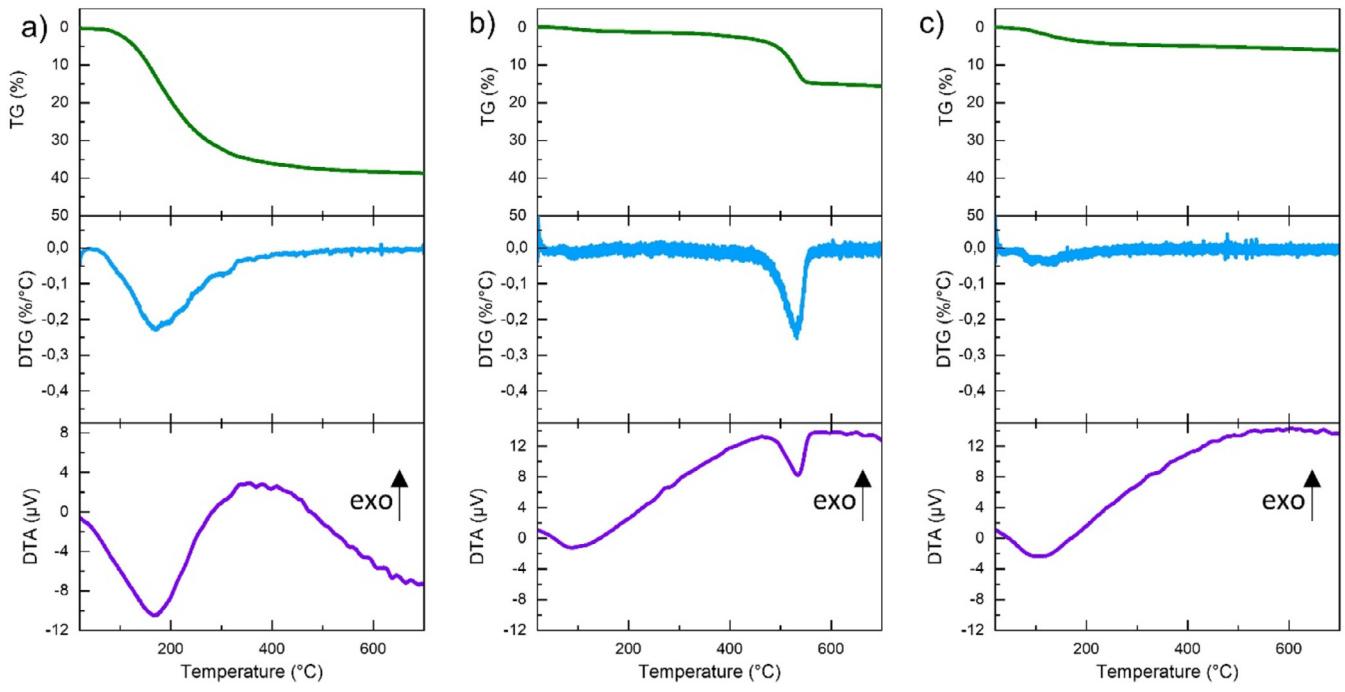


Fig. 2. Thermogravimetric and differential thermal analyses of a) powder, b) sintered pellet and c) 500°C treated pellet.

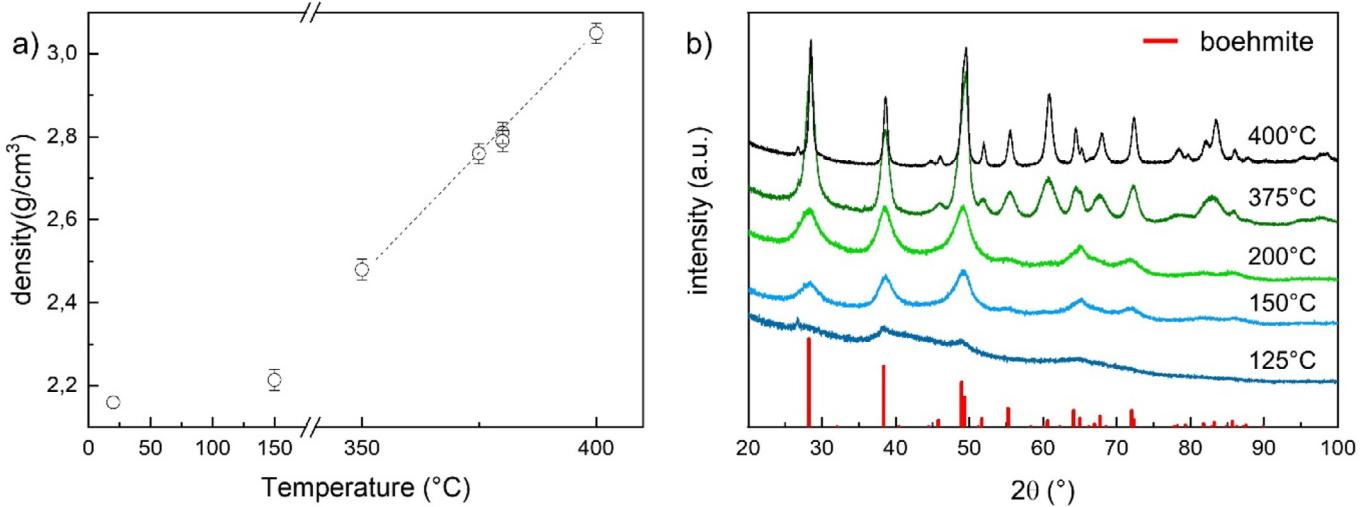


Fig. 3. a) Density measurements obtained from He pycnometer on crushed sintered pellets and b) XRD patterns of samples sintered at various temperatures.

XRD patterns of samples sintered at various temperatures are displayed on Fig. 3b. At 125°C, small broad peaks are evidenced which are the first signature of the genesis of a crystallization phenomenon. Above this temperature, from 150°C to 400°C, the peak intensity increases progressively up to 400°C with sharper peaks, indicating that crystallization has continued and/or that crystal size increases with sintering temperature. As a confirmation, crystallite sizes, obtained from Scherrer equation applied to XRD FWHM data indicate an average size evolution from 3 nm to 18 nm for sintering temperatures of 150 and 400°C respectively. He pycnometry measurements, along with XRD patterns, indicate that the formation of boehmite progressively occurs from 150 to 400°C from the amorphous Al(OH)₃, 0.5H₂O powder, both visible in the XRD peak width and skeletal density increase. Since the only phase forming during sintering is AlOOH (having a theoretical density of 3.05 g.cm⁻³) and skeletal density for samples sintered in the range 150°C < T < 380°C is progressively increasing, still below

that of AlOOH, it means they are composed of mixed amorphous Al(OH)₃ and crystallized AlOOH. This progressive evolution from amorphous to crystallized phase occurring up to 400°C allows producing samples with mixed composition of controlled crystallinity. This is an important asset of the present work since the preparation of mechanically coherent boehmite pellet is made difficult due to the layered structural nature [27], making it brittle. Thus, the resulting pellets after sintering most often break, by delamination, due to pressure release. This is why a careful slow pressure release is necessary to preserve the mechanical cohesion of the sample. In the case of samples sintered at temperatures lower than 400°C leading to a partial crystallization of the sample permits the preparation of cohesive samples. It appears that the presence of an amorphous phase allows for a stress absorption in the sample, and therefore allows to prepare cohesive samples.

Furthermore, we know from XRD patterns in Fig. 1 and TGA/DTA measurement in Fig. 2 that a 500°C treatment during

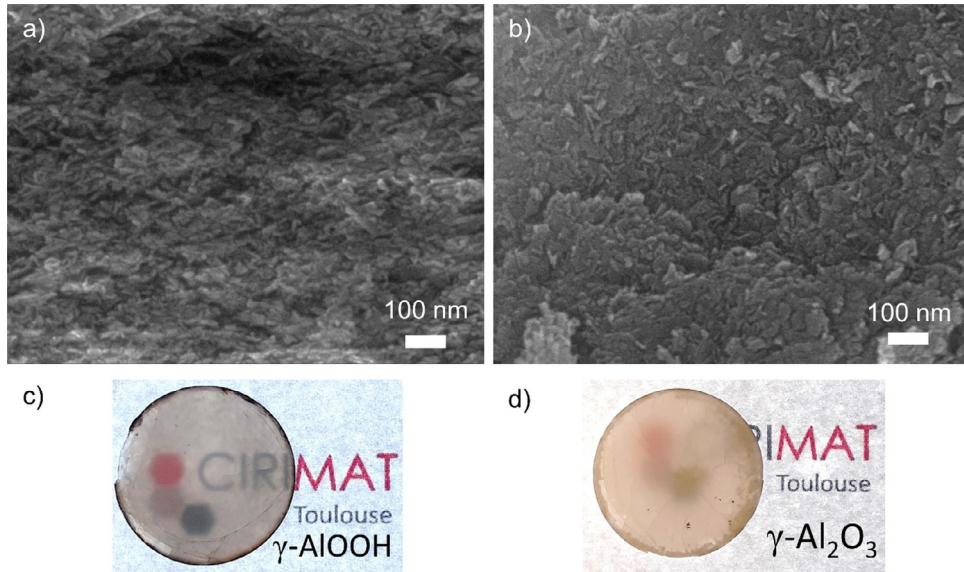


Fig. 4. SEM images of fractured surfaces of a) as sintered and b) 500°C annealed pellet. Photos of the corresponding samples are shown in c) sintered pellet and d) 500°C treated.

2h allows the complete phase transformation of γ -AlOOH to γ - Al_2O_3 , but does not allow the crystallization of $\text{Al}(\text{OH})_3$. Thus, while the AlOOH phase present in sintered samples transform into Al_2O_3 after heat treatment, the temperature is too low to crystallize the amorphous phase and the resulting pellet must be a mixed amorphous-crystallized phase.

Fig. 4 a and b display SEM micrographs of both the sintered boehmite pellet and the heated sintered γ - Al_2O_3 fractured samples. First, one can notice the very small grain size of both samples, with sizes below 100 nm. As sintered sample (380°C) consists in needle-like grains, with an average 10 nm width, 50 nm length. Applying the Scherrer formula on the XRD pattern of the sample sintered at 380°C gives an average crystallite size of 3.7 nm while the sample sintered at 400°C shows a crystallite size of 5.5 nm. These crystallite sizes are in the same range as the one found for ZrO_2 sintered in SPS at 350°C from $\text{Zr}(\text{OH})_4$ and determined by HR-SEM and Pair Distribution Function [8]. As mentioned earlier, as sintering temperature is increased, transition from $\text{Al}(\text{OH})_3$ to AlOOH progressively occurs and translucency increases concomitantly. Therefore, producing highly translucent, mechanically stable ceramics requires to find a balance between amorphous/crystallized phases.

Boehmite phase is a well-known precursor for the preparation of γ - Al_2O_3 with a phase transition expected at 500°C [15] and observed in DTA (see Fig. 2b). On Fig. 4b, γ - Al_2O_3 microstructure still contains some elongated grains, which must come from the initial AlOOH phase, but most of them exhibit no specific shape. Their average size is preserved since it reaches 30 nm. This phase transition consists in a topotactic transition with no lattice size modification [25,28]. Thus, it is possible to preserve the mechanical integrity of the sample without breaking it during the phase transition.

Using Aluminum hydroxide precursor allows the densification at temperature $\leq 400^\circ\text{C}$ of boehmite phase γ -AlOOH. This densification occurs with a temperature dependent glass-ceramic composition evolution, both conferring the optical properties and ensuring the mechanical strength of the sample. Due to the topotactic phase transition existing between γ -AlOOH and γ - Al_2O_3 at temperature as low as 500°C, it is possible to obtain dense samples of pure γ - Al_2O_3 . The present work represents the first known example of a cold sintered sample with good optical

translucency. Moreover, it is a great example of the possibility to preserve very low grain sizes along sintering with average grain sizes below 50 nm after sintering. In addition, the preparation of glass-ceramic materials opens new opportunities in tuning properties and offers a tool to control densification and sintering.

Cold Sintering Process allows for the first time the preparation of a dense sample of pure γ - Al_2O_3 , a metastable phase that easily turns to α - Al_2O_3 when heated up to temperatures of 1000-1100°C after intermediate phase transitions through δ (700-800°C) and θ (900-1000°C) [15]. Due to the volume shrinkage induced by such phase transition, one must expect crack and pore formation. Obtaining dense α - Al_2O_3 therefore implies to proceed through single step route to avoid these problems. However, the present results opens up opportunities in the preparation of transition alumina as sintered dense or porous samples. γ - Al_2O_3 , which is often used for its high specific surface area, is a great candidate for the cold sintering of porous materials and shows the ability of Cold Sintering Process to apply in the field of catalytic and optical applications.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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