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# 1 A damage energy criterion for cohesive zone model

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## 5 **Abstract**

6 The objective of this paper is to present an energy damage criterion for cohe-  
7 sive zone models (CZM) within the framework of the non-linear thermodyna-  
8 mics of irreversible processes (TIP). An isotropic elastic damageable material  
9 is considered for isothermal transformations. Damage is then the only irre-  
10 versible effect accompanying the deformation process and this mechanism is  
11 supposed to be fully dissipative. Once a separation law and a damage state  
12 variable have been chosen, the paper shows that the damage criterion can  
13 be automatically derived from the energy balance. From this observation,  
14 a CZM is derived for a given choice of traction-separation law and damage  
15 state variable and the quality of its numerical predictions is analyzed using  
16 an experimental benchmark bending test extracted from literature. Finally,  
17 damage, elastic and dissipated energy fields around the crack path are shown  
18 during this rupture test.

19 *Keywords:* cohesive zone, damage, fracture, thermodynamics of irreversible  
20 processes, energy balance, Finite element analysis

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## 21 1. Introduction

22 In many engineering applications, the fracture behavior of the structure  
23 is crucial, which is why damage mechanisms have been studied over the last  
24 decades, from a theoretical, numerical and experimental point of view, using  
25 different frameworks [5; 46]. Since the pioneering work of L. M. Kachanov [39],  
26 continuum damage mechanics has become a scientific discipline focusing on  
27 the effects of various microdefects on the macroscopic behavior of materials  
28 and structures. Macroscopic damage descriptions often use a damage variable  
29 which is linked to loss of stiffness [15; 43]. For a given elastic material, the  
30 stiffness tensor  $\mathbf{E}_d$  at a certain level of isotropic damage, denoted by  $d$ , is very  
31 often related to the stiffness tensor  $\mathbf{E}_0$  of the undamaged material as follows :  
32  $\mathbf{E}_d = (1 - d)\mathbf{E}_0$ . In the particular case of the Thermodynamics irreversible  
33 Processes (TIP) framework [6], the elastic free energy of damageable material,  
34  $\psi$ , is also defined in the same way  $\psi = (1 - d)\psi_0$ , where  $\psi_0$  is the elastic  
35 free energy of the non damaged material. The conjugate variable associated  
36 with the damage state variable,  $d$ , is by definition  $Y_d = \frac{\partial\psi}{\partial d}$ , leading to a  
37 thermodynamic force  $X_d$  which is given by  $X_d = -Y_d = \psi_0$ , the elastic  
38 energy of the undamaged material. Classically, for material behavior using  
39 threshold criterion, the thermodynamic force  $X_d$  is then used to define the  
40 damage rate  $\dot{d}$ , through a yield function  $F$  and its associated flow rule [21; 46].

41 An alternative numerical strategy to predict the evolution of damage and  
42 crack propagation is to introduce a  $2D$  cohesive zone model (CZM) bet-  
43 ween two elastic layers. The CZM model is considered as a zero-thickness  
44 medium where the traction-separation law can be derived from a surface's  
45 free energy. This numerical approach has been widely used in many areas of

46 computational mechanics [4; 22; 23; 25; 53; 54; 69]. Indeed the fracture of  
47 concrete and the delamination of composites are some important examples  
48 of application areas of damage mechanics. To model elastic damageable ma-  
49 terials, the main assumption is that all the damage that occurs in the bulk  
50 are gathered in a cohesive zone. In a finite element approach the cohesive  
51 zone is then located between two elements where elastic behavior remains  
52 linear [9]. Since the pioneering works carried out by Dugdale and Baren-  
53 blatt [7; 29], many cohesive-zone models were proposed in the literature  
54 [17; 22; 23; 33; 34; 72; 73]. Cohesive-zone models taking fatigue into account  
55 were developed to simulate crack propagation under cyclic loading conditions  
56 [55; 66] while others were developed to combine two irreversible phenomena  
57 such as damage and plasticity [42]. The identification of CZM requires the  
58 coupling of experimental data and numerical studies to accurately define the  
59 traction separation law [10; 20; 35; 40; 41; 64].

60

61 A classic criticism of the cohesive zone methods is their relative depen-  
62 dency on mesh size. For example, intrinsic CZM approach for crack propa-  
63 gation has a deficiency of introducing artificial compliance to the model and  
64 crack path dependency because the cohesive elements are inserted between  
65 every 2D or 3D elements [38; 67; 74]. To remedy this mesh dependency, as-  
66 sociated with the vanishing of stiffness, a new class of so-called "non-local  
67 methods" has appeared in the domains of damage and fracture mechanics.  
68 Two main regularization techniques exist to avoid pathological localization,  
69 namely the integral [63] or the gradient [5; 47; 61] damage approaches. Both  
70 consist in introducing non-local terms in the formulae of the cohesive model

71 associated with a characteristic length. For example, in the Thick Level Set  
72 method, which is an integral damage approach, the undamaged zone is se-  
73 parated from the totally damaged zone by a level set [50; 51]. The damage  
74 variable is then an explicit function of the level set. The damage growth in  
75 solids is based on the movement of a layer of finite thickness  $l_c$  within which  
76 the damage varies continuously. Then, the damage rate is directly linked to  
77 the set propagation. The non-local aspect of this method is essentially due to  
78 the fact that the configurational force driving the damage front is an average  
79 value over the thickness of the level set in the wake of the front [8; 45]. As  
80 in bulk damage mechanics, this approach allows the cracks' initiation and  
81 propagation within the same framework.

82

83 Another way to regularize the damage progress is to add a gradient-  
84 dependent term and to derive the problem of damage evolution from a va-  
85 riational approach based on an energy formulation [48]. This approach has  
86 also been used to couple the models for gradient-damage and those for plas-  
87 ticity [2; 3] or to develop a cohesive zone model suitable for fatigue fracture  
88 [1; 13; 62]. The macroscopic behavior can be seen as brittle fracture with  
89 a Griffith-like criterion associated with cohesive fracture of the Barenblatt  
90 or Dugdale types [24; 62]. The variational formulation of fracture mechanics  
91 framework has also been used to develop the Eigen-erosion scheme [58; 59].  
92 In this finite element approximation scheme, the crack tracking problem is  
93 done by successively eroding elements when the attendant elastic energy re-  
94 leaseable exceeds the critical fracture energy.

95

96 The objective of the following sections is to construct an energy damage  
97 criterion for an isotropic elastic damageable material within the TIP fra-  
98 mework. The construction of the CZM is performed under the small strain  
99 hypothesis. The damage law is based on the premise that the damage pro-  
100 gress is linked to a prescribed evolution in the maximum elastic energy that  
101 can be stored within the material for a given damage state. The damage  
102 mechanisms are the only microstructural irreversible effects accompanying  
103 the deformation processes and these mechanisms are fully dissipative (no  
104 energy storage is induced by the material degradation). Naturally, damage  
105 dissipation may induce self heating leading to non-isothermal deformation  
106 processes that are consequently irreversible due to heat diffusion. However  
107 for sake of simplicity, only isothermal transformations are considered and the  
108 chosen state variables are the displacement jump  $\mathbf{u}$  and a scalar damage va-  
109 riable, denoted by  $u_d$ . The damage variable  $u_d$  can be related to the effective  
110 displacement as used in [9; 25; 26; 60]. The 2D cohesive zone model proposed  
111 in this paper is constructed this way.

112

113 The layout of the paper is as follows. The energy criterion of the dama-  
114 geable elastic cohesive zone model is presented in Section 2 through a 1D  
115 scenario within the TIP framework. In Section 3, a vectorial extension of the  
116 cohesive zone law is proposed for an isotropic damage evolution. In Section 4,  
117 the capability of the model is investigated using an experimental benchmark  
118 test (i.e. a single-edge notch-bending specimen for fracture toughness testing)  
119 [31; 50; 51; 70]. Mechanical and energy responses are shown and discussed.  
120 In particular, several damage, elastic and dissipated energy fields around the

121 fracture paths are plotted during the crack propagation. The computation  
122 of the dissipated energy fields is of special interest inasmuch as they can be  
123 compared with the ones derived from quantitative IR techniques [18].

## 124 **2. 1D scenario**

125 The objective of the following section is to briefly review the mechanical  
126 concepts classically introduced with CZM in the case of a 1D monotonic  
127 traction and to embed them into the TIP framework to derive, through an  
128 energy criterion, a damage evolution law.

### 129 *2.1. Mechanical aspects*

130 In the literature [12], the mechanical response of the cohesive zone is  
131 described by the correspondence between the “ normal traction” force  $f$  sup-  
132 ported by the interface and its normal opening displacement often called  
133 “separation” during a monotonic opening. Depending on the chosen form  
134 of the traction-separation diagram, the relationships are called bilinear, po-  
135 lynomial or exponential cohesive laws. In Figure 1 a polynomial form has  
136 been chosen to illustrate the most commonly characteristics of these curves.  
137 We find the cohesive strength  $f_0$  corresponding to the maximum of the  
138 traction–separation curve or its associated opening displacement  $u_0$ , the  
139 maximum value of separation  $u_c$  corresponding to the crack opening. An  
140 energy parameter is also often mentioned [57] : this is the fracture energy  
141  $A_c = \int_0^{u_c} f(u)du$  (work of separation), which is the area below the traction-  
142 separation curve.

143 This traction-separation curve is considered as a threshold over which the  
144 damage develops irreversibly. This threshold is an intrinsic characteristic of

145 the cohesive zone behavior. When unloading is considered, it is supposed to  
 146 be purely elastic, assuming that the damage progress stops as soon as the  
 147 loading point is below the threshold curve. For convenience, the elastic un-  
 148 loading paths are often directed towards the origin of the traction–separation  
 149 diagram (see Figure 1 ). This implies that the elasticity remains linear and  
 150 that there is no residual opening at the end of the unloading.

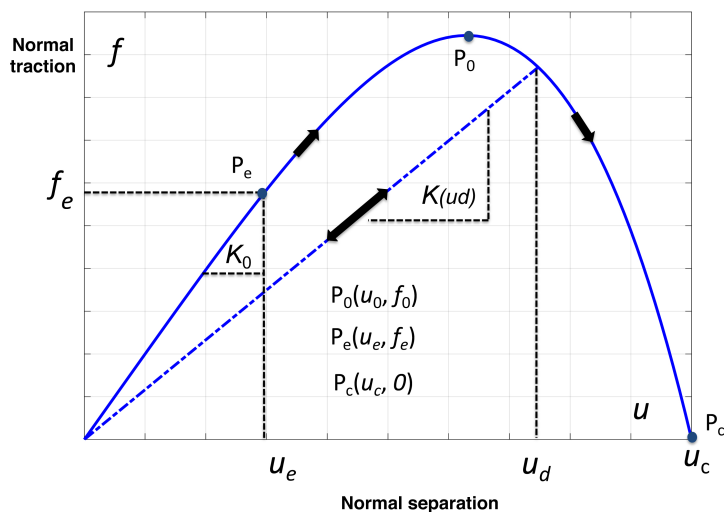


FIGURE 1: Traction-separation diagram. Monotonic envelope (continuous line), elastic un-  
 load or reload (dashed line). An arbitrary polynomial cohesive law has been chosen.

151 The progress of the damage can be depicted by a continuous decrease of  
 152 the secant stiffness  $K = \frac{f}{u}$  towards zero until rupture at  $u_c$ . A classical scalar  
 153 definition of the damage variable can then be given by :

$$D_k = \frac{K_0 - K}{K_0}, \quad (1)$$

154 where  $K_0$  is the initial stiffness of the cohesive zone [11]. The variable  $D_k$



155 progressively increases from 0 to 1 when the opening displacement increases  
 156 from 0 to  $u_c$  (or from  $u_e$  to  $u_c$  when a pure elastic domain,  $[0, u_e]$ , is introduced  
 157 in the traction-separation curve (see Figure 1)).

158 A second possibility is to consider a normalized deformation energy defi-  
 159 nition of the damage [57] :

$$D_A = \frac{A}{A_c}, \text{ where } A = \int_0^u f(v)dv . \quad (2)$$

160 Here again, this last definition slightly changes when an elastic domain  
 161 limited by the point  $(u_e, f_e)$  is introduced. In such a case, *Eq.(2)* requires a  
 162 renormalization :

$$D_A^* = \frac{A^*}{A_c^*}, \text{ where } A^* = \int_{u_e}^u f(v)dv \text{ and } A_c^* = \int_{u_e}^{u_c} f(v)dv . \quad (3)$$

163 Then by construction  $D_A$  and  $D_A^*$  belong to  $[0, 1]$ . In fact, there are  
 164 many ways to define damage. The damage process being assumed irreversible,  
 165 the damage variable rate is often chosen to be non-negative whatever the  
 166 loading history, to depict its monotonic evolution. Damage develops when  
 167 the mechanical state  $(u, f)$  corresponds to a point of the cohesive threshold  
 168 curve. In what follows we have chosen a kinematic definition of the damage  
 169 variable. Like previously done by numerous authors (*e.g.* [68]), we have chosen  
 170 the maximum value of the separation  $u_d$  ever reached by the cohesive zone  
 171 until instant  $t$ . This damage variable is then defined at instant  $t$  by :

$$u_d = \max \{u(\tau), \forall \tau \leq t\} . \quad (4)$$

172 This variable monotonically increases during the damage progress from 0  
 173 to  $u_c$  whatever the loading path (see Fig.1)) .

174 *2.2. Energy aspects*

175 Usually during a load cycle, the deformation energy  $w_{def}$ , which corres-  
 176 ponds to the area surrounded by the loading curve *Eq.(7)* is transformed into  
 177 dissipated energy, denoted by  $w_d$ , and stored energy, denoted by  $w_s$ , due to  
 178 the irreversible microstructural transformations accompanying the deforma-  
 179 tion process. Part of  $w_{def}$  can also involve strong thermomechanical coupling  
 180 energy (heat)  $w_{thm}$  [19]. An illustrative example of the coupling effects on  
 181 the mechanical response can be given by the famous thermoelastic damping  
 182 presented by Zener in [71]. The general form of the energy balance over a  
 183 loading cycle can then be written as :

$$w_{def} = w_d + w_s + w_{thm} . \quad (5)$$

184 For any other loading the elastic energy,  $w_e$ , has to be added so that :

$$w_{def} = w_e + w_d + w_s + w_{thm} , \quad (6)$$

185  $w_e$  vanishing, by construction, over a loading cycle. In the present situa-  
 186 tion, we only consider isothermal transformations with no thermomechanical  
 187 coupling. Moreover, we assume that damage is a pure dissipative mechanism  
 188 and that, consequently, no energy storage or release of stored energy, due to  
 189 microstructural changes, occurs during the loading. These assumptions imply  
 190  $w_s = 0$  and  $w_{thm} = 0$  .

191 For any kind of separation-controlled loading  $\{u(\tau), \forall \tau \leq t\}$ , the defor-  
 192 mation energy at instant  $t$  is here defined by :

$$w_{def}(t) = \int_0^t f(\tau) \dot{u}(\tau) d\tau . \quad (7)$$

193 For monotonic loadings, the mechanical state follows the traction-separation  
 194 curve. The deformation energy then represents the mechanical energy requi-  
 195 red to reach the damage state  $u_d = u(t)$ . This cost in deformation energy can  
 196 be defined by :

$$w_{def}^d(u_d) = \int_0^{u_d} f(v)dv . \quad (8)$$

197 Another important mechanical energy term is the elastic energy,  $w_e(u, u_d)$ ,  
 198 in the cohesive zone at a given state of damage  $u_d$ . It is defined by :

$$w_e(u, u_d) = \frac{1}{2}K(u_d)u^2 . \quad (9)$$

199 Note that this energy is mechanically recoverable during the unloading.  
 200 This is the reason why it did not appear in the general form of the energy  
 201 balance proposed in *Eq.(5)* for a complete loading cycle.

202 As previously done for the deformation energy during monotonic loading,  
 203 we can define the elastic energy  $w_e^d(u_d)$  by :

$$w_e^d(u_d) = \frac{1}{2}K(u_d)u_d^2 = w_e(u_d, u_d) , \quad (10)$$

204 which represents the maximum elastic energy mechanically recoverable  
 205 for a given damage state, defined by  $u_d$ .

206 As previously supposed (no thermomechanical coupling energy, no energy  
 207 storage) the difference between  $w_{def}^d(u_d)$  and  $w_e^d(u_d)$  is attributed to the  
 208 energy dissipation accompanying the irreversibility of damage mechanisms.  
 209 We can then define the dissipated energy by  $w_d^d(u_d)$  :

$$w_d^d(u_d) = w_{def}^d(u_d) - w_e^d(u_d) , \quad (11)$$

210  $w_d^d(u_d)$ ,  $w_e^d(u_d)$  and  $w_{def}^d(u_d)$  are illustrated in Figure 2.

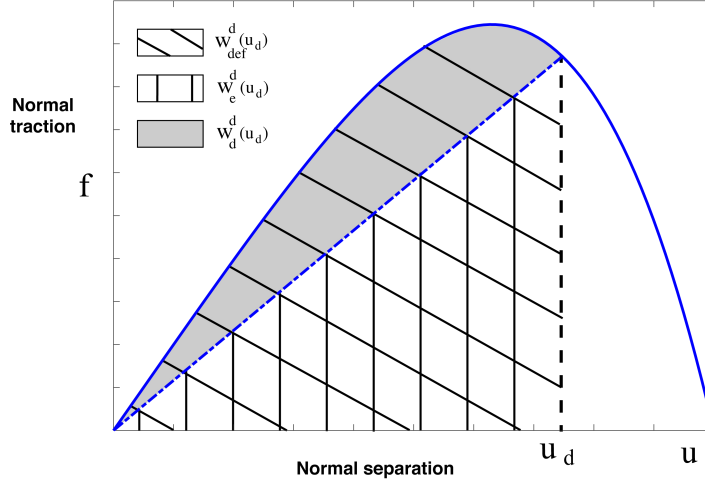
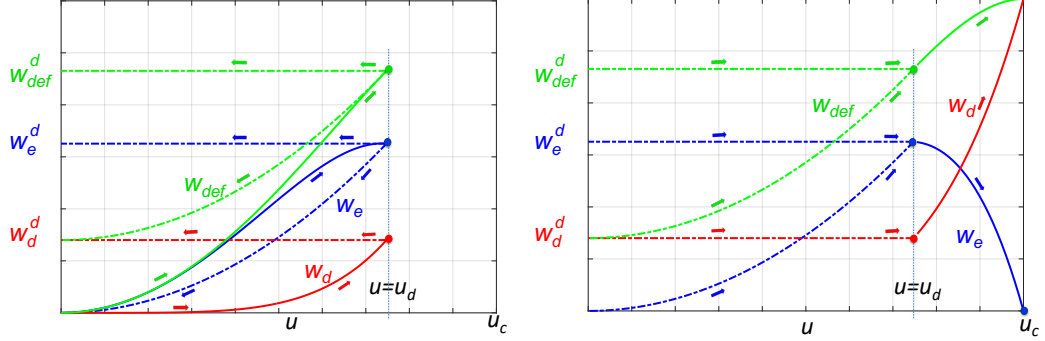


FIGURE 2: Energy illustration of the traction-separation diagram. Monotonic envelope (continuous blue line), elastic unload (dashed blue line).

211 Based on the mechanical response chosen in Figure 1, the evolutions of  
 212 the three different energies associated with a loading-unloading tensile testing  
 213 are shown in Figure 3. The deformation, elastic, and dissipated energies are  
 214 plotted in green, blue, and red respectively. The deformation energy  $w_{def}$  is  
 215 naturally the sum of the dissipated  $w_d$  and elastic  $w_e$  energies *Eq.(6)* since  
 216 the damage is supposed to be the only microstructural transformation which  
 217 is fully dissipative during loading, see *Eq.(11)* (no energy storage is induced  
 218 by the microstructural transformations). Figure 3a illustrates that during  
 219 the elastic unloading  $w_e^d$  remains constant (no evolution of damage) while  $w_e$   
 220 returns to zero. In parallel, the deformation energy  $w_{def}$  also decreases and  
 221 tends towards the energy previously dissipated during the first loading cycle,  
 222  $w_d^d$ . In Figure 3b the elastic reloading while  $u \leq u_d$  is shown (dashed lines)  
 223 and extended by a monotonic loading until rupture for  $u_d = u_c$  (full lines).



(a) Loading up to  $u = u_d$  and unloading. (b) Reloading until rupture.

FIGURE 3: Energy balance evolution during a load-unload-reload process - Continuous lines are associated with the monotonic envelope, dashed lines correspond to the elastic unload and reload.

224 Under these restrictive assumptions, the area under the traction-separation  
 225 curve, Figure 1 (equivalent to a monotonic traction rupture) is completely  
 226 dissipated when the cohesive zone vanishes. In the next sub-section once the  
 227 thermodynamic working framework has been specified, this important pro-  
 228 perty is discussed. Then, another point to underline is that if the traction-  
 229 separation curve is classically considered as the constituent element of the  
 230 behavior of the cohesion zone, it is thus the same for the evolutions of  $w_{def}^d(u_d)$   
 231 and  $w_e^d(u_d)$ . Therefore, instead of using the tension-separation curve to des-  
 232 cribe the damage progress, associated with the loss of stiffness, it is also  
 233 possible to use the evolution of the allowable maximum elastic energy  $w_e^d$   
 234 to define the threshold function associated with the damage rate.

235 *2.3. Thermodynamics aspects*

236 In this sub-section, we propose to integrate the above results and com-  
 237 ments into the TIP framework.

238 *2.3.1. Cohesive zone potential and state laws*

In the case of isothermal transformations, the chosen state variables are  
 ( $u, u_d$ ). A first gambling of the thermomechanical approach is to assume the  
 existence of a potential  $\psi(u, u_d)$  capable of gathering all the state laws. Here  
 we identify this potential to the elastic energy  $w_e$  defined in Eq.(10) :

$$\psi(u, u_d) = \frac{1}{2}K(u_d)u^2 . \quad (12)$$

239 The state laws are by construction the partial derivatives of the potential  
 240 with respect to the state variables. We then define the conjugate variable  $f^r$ ,  
 241 associated with  $u$  which represents the reversible part of the traction force,  
 242 and  $A_d$  associated  $u_d$  respectively :

$$\begin{cases} f^r &= \frac{\partial \psi}{\partial u} &= K(u_d)u \\ A_d &= \frac{\partial \psi}{\partial u_d} &= \frac{1}{2}K'(u_d)u^2 \end{cases} , \quad (13)$$

243 where  $K'(u_d) = \frac{dK(u_d)}{du_d}$ .

244 *2.3.2. Clausius-Duhem inequality*

The irreversibility of the mechanisms accompanying the opening of the  
 cohesive zone is depicted by the Clausius-Duhem inequality which enables  
 the definition of the intrinsic dissipation  $w_d^o$  . In the present framework, it  
 can be written as :

$$w_d^o = w_{def}^o - \dot{\psi} = f\dot{u} - \frac{\partial \psi}{\partial u}\dot{u} - \frac{\partial \psi}{\partial u_d}\dot{u}_d = f^{ir}\dot{u} + X_d\dot{u}_d \geq 0 . \quad (14)$$

245 The terms  $w_d^o$  and  $w_{def}^o$  determine the dissipated and deformation energy  
 246 rates, respectively. The symbol  $(-)^o$  is introduced to underline that  $w_d$  and  
 247  $w_{def}$  are not a priori state functions and are then path dependent. Eq.(14)  
 248 also introduce the irreversible part of the traction force,  $f^{ir} = f - f^r$ , and the  
 249 thermodynamic force  $X_d$  associated with  $\dot{u}_d$ . Note that during an irreversible  
 250 transformation  $\dot{u}_d > 0$  we get  $X_d = -A_d$ . If damage is the only irreversible  
 251 process, no dissipation has to be associated with  $\dot{u}$ . In such a case the  
 252 irreversible traction force vanishes  $f^{ir} = 0$ . The traction force  $f$  can then be  
 253 directly defined via the state law :

$$f = f^r = K(u_d)u . \quad (15)$$

254 Moreover, the intrinsic dissipation becomes with Eqs (13) and (14) :

$$w_d^o = X_d \dot{u}_d = -\frac{1}{2} K'(u_d) u^2 \dot{u}_d \geq 0 . \quad (16)$$

255 The fact that  $\dot{u}_d \geq 0$  implies  $K'(u_d) \leq 0$  what is physically consistent.  
 256 The irreversible nature of damage leads to a degradation of the secant stiff-  
 257 ness.

### 258 2.3.3. *Threshold function and damage evolution law*

259 In the TIP framework the thermodynamic forces are supposed to be func-  
 260 tion of the state variable rates. In the case of the linear TIP proposed by  
 261 Onsager [56], the correspondence between thermodynamic forces and state  
 262 variable fluxes is linear. The Onsager's matrix is supposed to be symmetric  
 263 positive definite in order to verify the Clausius-Duhem inequality (positive  
 264 dissipation) whatever the thermodynamic process. Extension to non-linear

265 theory exists as for example the formalism of Generalized Standard Materials  
 266 [6]. Based on the hypothesis of normal dissipation, the thermodynamic forces  
 267 derive from a convex dissipation potential or equivalently, state variables  
 268 rates derived from a dual dissipation potential, function of the thermodyna-  
 269 mic forces. This dissipation potential can also involve the state variables of  
 270 the model as parameters. This last option is referred to as non-associated  
 271 behavior [46]. For threshold laws (e.g. in plasticity), these options are often  
 272 chosen. A common approach is then :

- 273 - to define a threshold function depending on the thermodynamic forces
- 274 (and possibly state variables)
- 275 - to write that irreversibility occurs and develops if the thermodynamic
- 276 state is on the threshold and remains on it during a time increment.

277 Note that once the state laws (derived from the thermodynamic potential)  
 278 and complementary laws (derived from the dissipation potential) have been  
 279 written, it is then possible to deduce the evolution of the energy balance  
 280 associated with the transformation.

In what follows in as much as our approach is directly based on the energy balance form imposing by construction non-negative dissipation, the existence of the threshold function will not be associated with the normal dissipation hypothesis. Indeed, the current elastic domain is characterized by  $w_e^d(u_d)$  the maximum elastic energy available for a given damage state which also corresponds to the energy required to further damage the material. Then we have :

$$w_e(u, u_d) \leq w_e^d(u_d) . \tag{17}$$



281 The evolution law for  $u_d$  is then derived from the fact that for the damage  
 282 to occur the maximum elastic energy allowable in the material has to be and  
 283 remain on the threshold during the loading step, i.e.

$$\begin{cases} w_e(u, u_d) = w_e^d(u_d) & \text{(a)} \\ \dot{w}_e(u, u_d) = \dot{w}_e^d(u_d) & \text{(b)} \end{cases} . \quad (18)$$

284 The first equality gives naturally  $u_d = u$ . The second equality leads to a  
 285 proposal of evolution equation for the damage :

$$\dot{u}_d = \begin{cases} \dot{u} & \text{if } u = u_d \text{ and } \dot{u} \geq 0 \\ 0 & \text{if } u < u_d \text{ or } \dot{u} \leq 0 \end{cases} , \quad (19)$$

286 what is consistent if we remind the definition of the damage state variable  
 287 *Eq.(4)* and the fact that the damage increases irreversibly,  $\dot{u}_d \geq 0$ .

288 To be fully compatible with non-linear TIP framework, the final step is  
 289 to propose a threshold function that takes the thermodynamic force  $X_d$  into  
 290 account. As previously stated, we consider a derivative form of the energy  
 291 balance to get this threshold function *Eq.(18)b*. By using *Eq.(13)* and *Eq.(16)*,  
 292 we get :

$$\frac{d w_e}{d t} = -X_d \dot{u}_d + K(u_d) u \dot{u} . \quad (20)$$

293 On the threshold, *Eq.(20)* becomes :

$$\frac{d w_e^d}{d u_d} \dot{u}_d = (-X_d + K(u_d) u_d) \dot{u}_d . \quad (21)$$

294 Then a threshold function  $F$  involving the thermodynamic force  $X_d$  and  
 295 the state variables can be taken under the form :

$$F(X_d; u, u_d) = K(u_d)u - X_d - \frac{dw_e^d}{du_d}. \quad (22)$$

296 To be consistent with the incremental form of the energy balance, the  
 297 equality  $F(X_d; u, u_d) = 0$ , gives once again  $u_d = u$  while the consistency  
 298 condition  $dF = 0$  leads to  $du = du_d$ , or equivalently to *Eq.*(19).

299 To be precise, the full calculation of  $dF = 0$  at  $u = u_d$  leads to :

$$(K(u_d) + 2K'(u_d)u_d)(du - du_d) = 0, \quad (23)$$

300 then  $du = du_d$ , except possibly when  $u_d = -\frac{K(u_d)}{2K'(u_d)}$ .

#### 301 *2.3.4. Some comments about the damage evolution equations*

302 To depict the evolution of damage, in addition to the traction-separation  
 303 curve data, the literature often proposes a specific evolution equation in the  
 304 form of  $\dot{D} = \dot{D}(f, D, \dot{u})$  whatever the definition of the damage variable  $D$   
 305 [11; 44; 65].

306 In the foregoing, because of the hypotheses explicitly made on the energy  
 307 balance (i.e. damage is the only dissipative mechanism and it is totally dis-  
 308 sipative), the damage evolution law is fixed by the definition of the damage  
 309 variable itself and by the explicit form of the energy balance. With the cho-  
 310 sen definition of the damage variable given in *Eq.*(4), we derive an evolution  
 311 equation given in *Eq.*(18), which is an extremely simple form of the general  
 312 equation proposed by [65].

313 Another evolution equation could lead, in our case, to no longer res-  
 314 pect the properties of the energy balance as first assumed. The consequences  
 315 could be the appearance of energy storage mechanisms, i.e.  $\dot{w}_d^d < \dot{w}_{def}^d - \dot{w}_e^d$ ,

316 or internal energy transformation into dissipated energy (release of stored  
317 energy), i.e.  $\dot{w}_d^d > \dot{w}_{def}^d - \dot{w}_e^d$ . Taking this stored energy variations should lead  
318 to the introduction of new internal state variables and/or to a change of the  
319 deformation energy rate definition [30].

### 320 **3. 2D cohesive zone model**

321 In this paragraph, we propose an extension to a vectorial version of the  
322 CZM where the isotropic damage is controlled by the evolution of the maxi-  
323 mum storable elastic energy. Isotropic damage means here that a scalar state  
324 variable is solely used to describe the damage evolution. This generaliza-  
325 tion has been made by following the same approach as the one previously  
326 proposed.

#### 327 *3.1. Mechanical variables*

328 Regarding the mechanical description of the cohesive zone, the traction  
329 force and the separation become now vectors. Let us introduce a frame of  
330 reference where directions 1 and 2 correspond to the tangent plane of the  
331 cohesive zone while direction 3, is the normal direction. The traction vector,  
332  $\mathbf{f}$ , whose components are  $(f_{t_1}, f_{t_2}, f_n)$  and the separation vector,  $\mathbf{u}$ , which  
333 has 3 components denoted by  $(u_{t_1}, u_{t_2}, u_n)$  are introduced. As is conventio-  
334 nally admitted in CZM, the normal move jump denoted by  $u_n$  is positive or  
335 null. For a long time, this unilateral condition has been taken into account  
336 by a Signori relation in numerical simulations performed with the software  
337 program, LMGc90 [27].

338 *3.2. Cohesive zone potential and state equations*

Before defining the cohesive zone potential that is a state function, a set of state variables has to be chosen. Here we selected the components  $(u_{t_1}, u_{t_2}, u_n)$  of the separation vector and a scalar damage variable denoted by  $u_d$ . Then, to generalize the form of the cohesive zone potential proposed in Eq.(12), the following form, inspired by [11], is adopted :

$$\begin{aligned}
 \psi(\mathbf{u}, u_d) &= w_e(\mathbf{u}, u_d) \\
 &= \frac{1}{2} (K_n(u_d)u_n^2 + K_t(u_d)u_{t_1}^2 + K_t(u_d)u_{t_2}^2) \\
 &= \frac{1}{2} K_n(u_d)(u_n^2 + \alpha u_{t_1}^2 + \alpha u_{t_2}^2) \\
 &= \frac{1}{2} K_n(u_d)u_{eq}^2
 \end{aligned} \tag{24}$$

where :

$$u_{eq} = (u_n^2 + \alpha u_{t_1}^2 + \alpha u_{t_2}^2)^{\frac{1}{2}} . \tag{25}$$

339 The variable  $\alpha$  is the ratio between  $K_t(u_d)$ , the tangential and  $K_n(u_d)$ ,  
 340 the normal secant stiffnesses at a given  $u_d$ . In the case of isotropic damage  $\alpha$   
 341 is a constant.

342 In Eq.(26), a 3D formulation of the scalar depicting the isotropic damage  
 343 is given. By construction,  $u_d$  takes a the 3D aspect of the separation vec-  
 344 tor  $\mathbf{u}$  into account and then  $\dot{u}_d$  is non-negative and de facto respects the  
 345 irreversibility of the damage progress.

$$u_d = \max \{u_{eq}(\tau), \forall \tau \leq t\} . \tag{26}$$

346 By definition, the state laws are the partial derivatives of the cohesive zone  
 347 potential Eq.(24). They introduce the components of the reversible traction  
 348 vector  $\mathbf{f}^r$  and the conjugate variable  $A_d$  associated with  $(u_{t_1}, u_{t_2}, u_n)$  and  $u_d$   
 349 respectively :

$$\left\{ \begin{array}{l} f_n^r = \frac{\partial \psi}{\partial u_n} = K_n(u_d)u_n \\ f_{t_1}^r = \frac{\partial \psi}{\partial u_{t_1}} = \alpha K_n(u_d)u_{t_1} = K_t(u_d)u_{t_1} \\ f_{t_2}^r = \frac{\partial \psi}{\partial u_{t_2}} = \alpha K_n(u_d)u_{t_2} = K_t(u_d)u_{t_2} \\ A_d = \frac{\partial \psi}{\partial u_d} = \frac{1}{2}K'(u_d)u_{eq}^2 \end{array} \right. \quad (27)$$

350 Because only damage induces irreversibility, no dissipation has to be as-  
 351 sociated with the component of the separation vector. The reversible part  $\mathbf{f}^r$   
 352 of the separation can therefore be identified with  $\mathbf{f}$ , then  $\mathbf{f} = \mathbf{f}^r$ .

### 353 3.2.1. Energy definition of the damage threshold

354 To extend our approach to an isotropic 3D CZM the evolution of the  
 355 chosen damage variable,  $u_d$ , is directly linked to the evolution of the elas-  
 356 tic energy  $w_e^d$ . This elastic energy, for a given damage state  $u_d$ , describes  
 357 in the displacement space a half spheroid of radii  $r_n = \left(\frac{2w_e^d}{k_n(u_d)}\right)$  and  $r_t =$   
 358  $\left(\frac{2w_e^d}{\alpha k_n(u_d)}\right) = \frac{r_n}{\alpha}$  as shown in Figure 4. As the normal jump denoted  $u_n$  is  
 359 by definition positive or null only half of the spheroid is reachable for any  
 360 separation states.

361 As long as the further separation states,  $\mathbf{u}$ , remain within the correspon-  
 362 ding spheroid (i.e.  $w_e(\mathbf{u}, u_d) \leq w_e^d(u_d)$ ), the behavior remains elastic. Then  
 363 for a given opening such that  $u_{eq} = u_d$ , the elastic energy reaches the maxi-  
 364 mal value associated with this damage state (i.e.  $w_e(\mathbf{u}, u_d) = w_e^d(u_d)$ ). Once  
 365 the surface of the spheroid is reached :

- 366 — either the separation increment  $\delta \mathbf{u}$  is directed towards the inside of
- 367 the spheroid, and an elastic unloading at constant damage can be
- 368 observed,
- 369 — or  $\delta \mathbf{u}$  is directed towards the outside of the spheroid, and then the

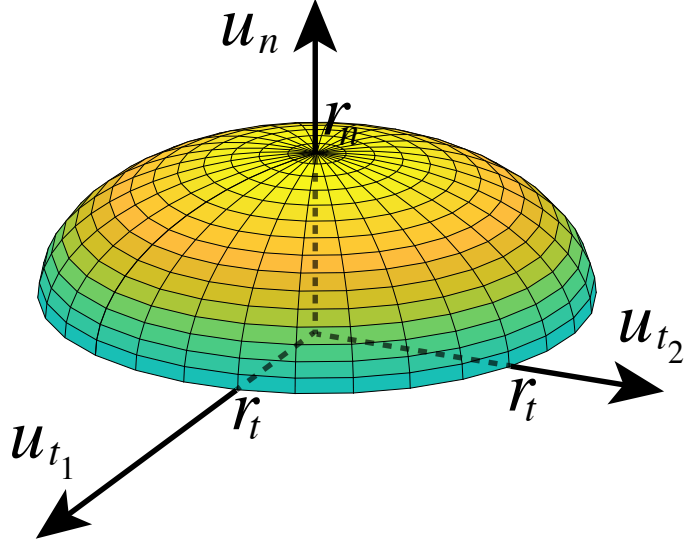


FIGURE 4: A 3D representation of the elastic energy allowable,  $w_e^d(u_d)$ , for a given damage state  $u_d$ . The color variation represents only the height  $U_n$ .

370 damage develops defining a new elastic limit surface.  
 371 For isotropic damage, a single evolution equation for  $u_d$  is required. We have  
 372 already underlined that for threshold behavior law, the yield function de-  
 373 pends on the thermodynamic forces and possibly on the states variables  
 374 themselves, acting as parameters. In the present case, the thermodynamic  
 375 force of the model, associated with the damage variable rate, is  $X_d$ . A ge-  
 376 neralized form of the yield criterion proposed in Eq.(22) is chosen where the  
 377 role of  $u$  used in the 1D scenario is played by  $u_{eq}$ . So, the proposed yield  
 378 criterion, derived of  $\frac{dw_e^d}{dt}$ , may be written as :

$$F(X_d; u_{eq}, u_d) = K_n(u_d) u_{eq} - X_d - \frac{dw_e^d}{du_d} . \quad (28)$$

379 Damage develops if the threshold is reached,  $F(X_d; u_{eq}, u_d) = 0$  and if the  
 380 consistency condition is verified,  $\dot{F}(X_d; u_{eq}, u_d) = 0$ . For the same reasons as  
 381 the ones shown for the 1D model, the evolution law of the parameter  $u_d$  is  
 382 written as :

$$\dot{u}_d = \dot{u}_{eq} \text{ if } u_d = u_{eq} \text{ and } \dot{u}_{eq} \geq 0, \quad (29)$$

383 results which, moreover, are imposed by the very definition of the damage  
 384 variable.

385 An illustration of the energy criteria is given in Figure 5. Following a  
 386 monotonic loading (i.e. remaining on the  $w_e^d(u_d)$  curve),  $K_n(u_d)u_d$  is the  
 387 slope of the deformation energy  $w_{def}^d$ ,  $X_d$  is the slope of the dissipated energy  
 388  $w_d^d$  and  $(w_e^d)'$  is naturally the slope of the maximal allowable elastic energy  
 389  $w_e^d$ .

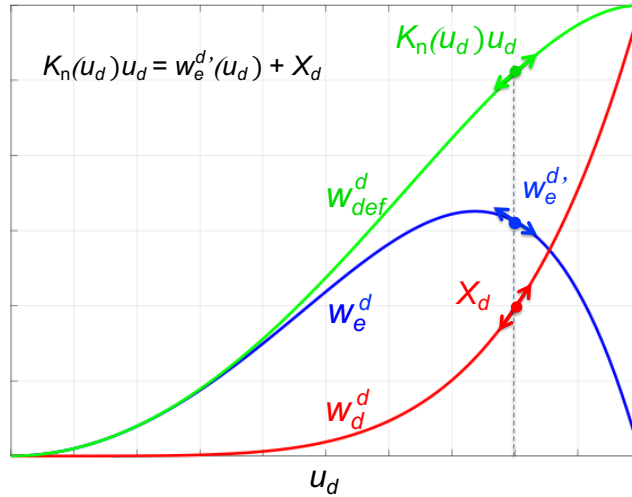


FIGURE 5: Illustration of the damage energy criterion -  $u_{eq} = u_d$

390 To conclude section 3, we would like to stress once more the fact that

391 the damage threshold of is not here a matter of choice. It is imposed by the  
392 chosen form of the energy balance and by the definition of the damage state  
393 variable.

394 The state equations Eqs(27) and the evolution equation *Eq.*(29) will be, in  
395 what follows, implemented in a home-made finite element code. The different  
396 material parameter of the constitutive equations will be specified. In order to  
397 show the capabilities of such a CZM, simulations will be made and compared  
398 with some experimental results extracted from the literature.

#### 399 4. Numerical Implementation

400 To illustrate the potentiality of the proposed model, simulations reprodu-  
401 cing a common benchmark extracted from the literature [32][49] were carried  
402 out. It is important to notice that the objective of this practical compari-  
403 son is simply to show the operability of the model and not to optimize its  
404 parameters in order to fit the benchmark. The numerical implementation of  
405 the previous model is then done in the code IMGc90 based on Non-Smooth  
406 Contact Dynamics (NSCD) [36; 37; 52]. The NSCD method is dedicated to  
407 solving problems related to dynamic systems with unilateral constraints. It  
408 is therefore particularly suitable for contact friction problems. It proposes  
409 a non-smooth treatment (no compliance, no penalty) of the conditions of  
410 contact [36], which is explicit in definition of  $u_n$ . The way which adhesion is  
411 taken into account in this method makes it possible to consider each point  
412 of contact as a cohesive zone. Then the mechanical behavior of the cohesive  
413 zones may vary at any point of the spatial discretization of the problem.  
414 This relevant modeling framework was then adopted to numerically simulate



415 crack propagation with cohesive zone [16] .

416

#### 417 4.1. Benchmark

418 To compare the proposed model with a benchmark found in literature  
419 [32][49], the form of the maximum storable elastic energy, which we remember  
420 is  $w_e^d(u_d)$ , must be specified in order to be able to implement it in LMGc90  
421 , the open source platform<sup>1</sup> used to carry out the simulations [28]. This  
422 benchmark, illustrated by Figure 6, traces the evolution of a crack in mixed  
423 mode to be followed. In the context of this feasibility study, a simple quadratic  
424 form of  $w_e^d(u_d)$  is proposed. In what follows, we also assumed the existence of  
425 a pure elastic domain and thus the existence of a threshold equivalent elastic  
426 displacement  $u_{eq}^e$ , simply denoted by  $u_e$ . The maximum storable elastic energy  
427 as a function of the damage parameter  $u_d$  simply reads :

$$w_e^d(u_d) = A(u_d - u_c)^2 + B(u_d - u_c), \text{ if } u_e \leq u_d \leq u_c \quad , \quad (30)$$

where  $u_c$  is the critical equivalent displacement corresponding to the crack onset. Parameters  $A$  and  $B$  are two constants chosen to ensure the  $C_1$  continuity of the maximum storable elastic energy,  $w_e^d(u_d)$ , at the threshold equivalent elastic displacement,  $w_e^d(u_e) = \frac{1}{2} K_n^0 u_e^2$ . They are defined by :

$$\begin{cases} A &= -\frac{1}{2} K_n^0 u_e \frac{(2u_c - u_e)}{(u_c - u_e)^2} \\ B &= -K_n^0 \frac{u_c u_e}{u_c - u_e} \end{cases} \quad , \quad (31)$$

428 where  $K_n^0$  is the initial normal stiffness of the CZM.

---

1. <https://git-xen.lmgc.univ-montp2.fr/lmgc90/>

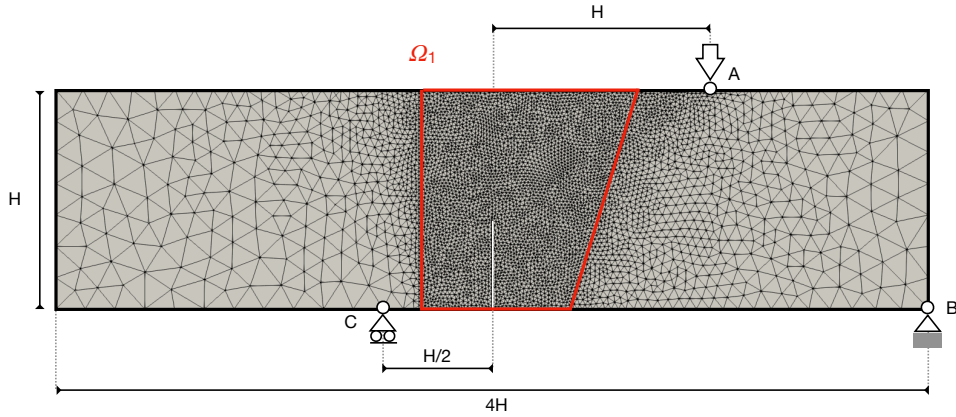


FIGURE 6: Characteristics of the benchmark issued from [32] used for simulation

429 The thickness of the sample, denoted by  $H$ , is equal to 0.3m while its  
 430 length is equal to 1.2m. A 0.15m pre-crack is located in the middle on the  
 431 lower edge. The point B is fixed in both x and y directions whereas the point  
 432 A is only fixed in the y direction. A displacement is imposed on the point  
 433 A to load the structure. The mesh is composed of 3 parts : Two continuous  
 434 meshes (the left and the right parts of the structure) composed respectively  
 435 of 958 and 2063 T3 elements and 6723 meshes composed of a single T3  
 436 element assuring the continuity of the structure (domain  $\Omega_1$  on Figure 6). The  
 437 interactions between elements of  $\Omega_1$  are governed by the proposed cohesive  
 438 zone model where the initial secant elastic stiffness,  $K_n^0$  and  $K_t^0$ , are chosen  
 439 to satisfy the criterion proposed in [9] to limit the reduction of stiffness due  
 440 to the presence of CZM. It is important to underline that the objective of  
 441 this practical comparison is simply to show the operability of the model and  
 442 not to optimize its parameters in order to fit the benchmark. The values of  
 443 the CZM parameters are summarized in Table 1.

444 Figure 7 shows the evolution, for different simulation times, of different

$K_n^0$ (N/m)	$\alpha$	$u_e$ (m)	$u_c$ (m)
$2.48 \cdot 10^9$	0.5	$0.5 \cdot 10^{-6}$	$1.5 \cdot 10^{-6}$

TABLE 1: Parameter values of the CZM

445 characteristic quantities associated with the model : the damage variable,  
446 the elastic energy  $w_e$  and the dissipated energy  $w_d$ . In order to present a  
447 quantity varying from 0 to 1 the damage ratio, as a function the damage  
448 variable, is introduced and defined by  $\frac{\langle u_d - u_e \rangle^+}{u_c - u_e}$ . To improve the visibility of  
449 these different quantities supported by the interfaces, they are projected on  
450 adjacent elements.

451 Figure 7(a), corresponding to a pre-cracking state, shows a concentration  
452 of the elastic energy at the outset of the crack tip. However, the damage  
453 criterion has not been reached within the cohesive zone so that no damage  
454 or dissipation has yet occurred (see *Eq.(17)*). The corresponding map to  
455  $\frac{\langle u_d - u_e \rangle^+}{u_c - u_e}$  and  $w_d$  are then uniformly equal to 0. As expected, Figure 7 (b)  
456 and (c), corresponding to two post-cracking steps, highlight the correlation  
457 between the evolution of the dissipated energy and the damage ratio. The  
458 elastic energy is still concentrated ahead of the crack tip, then returns to zero  
459 along the crack lips. In contrast, the dissipated energy related to the damage  
460 evolution can be exhibited all along the crack path. Similarly, the damage  
461 field allows the cracking path to be tracked.

462 To exhibit the capability of our CZM where only the shape of the cohe-  
463 sive energy associated with a simple energy balance is needed (cf. *Eq.(30)*),  
464 different quantities, numerically obtained, are compared with experimental

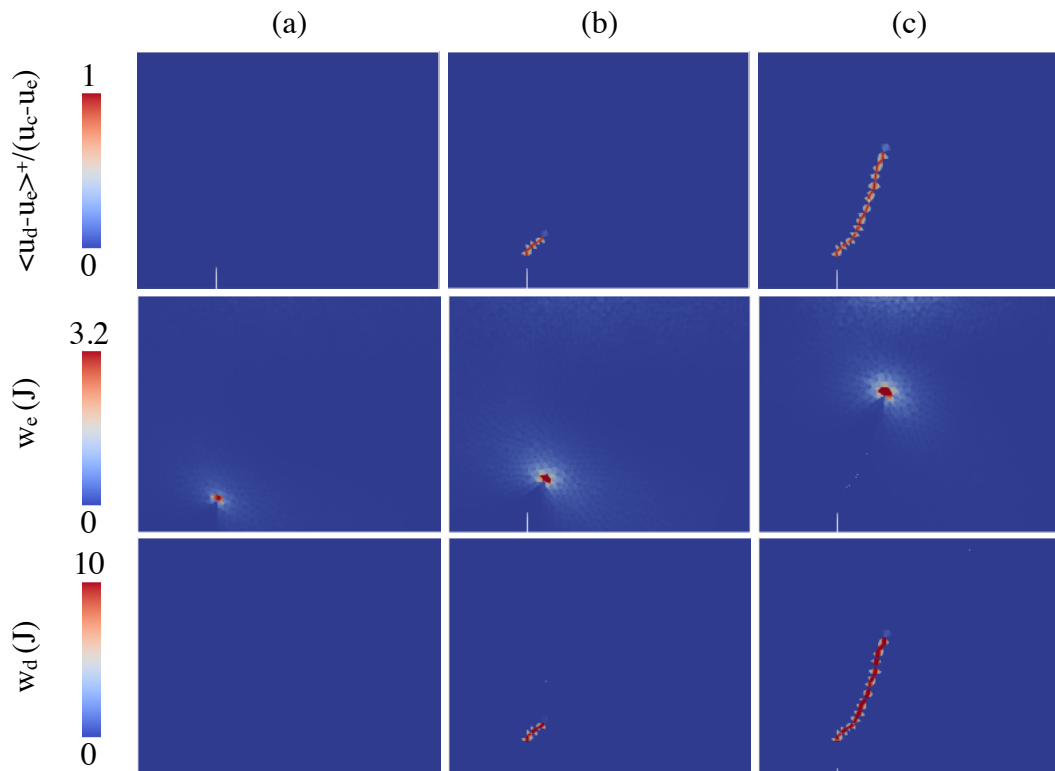


FIGURE 7: Visualization of the damage ratio (top row), the elastic energy (center) and the dissipated energy (bottom row) during the crack propagation

465 measurements present in the literature [14]. For such comparisons, Figure 8  
 466 presents both the classical crack path monitoring and the load vs. CMOD  
 467 curve (Crack Mouth Opening Displacement).

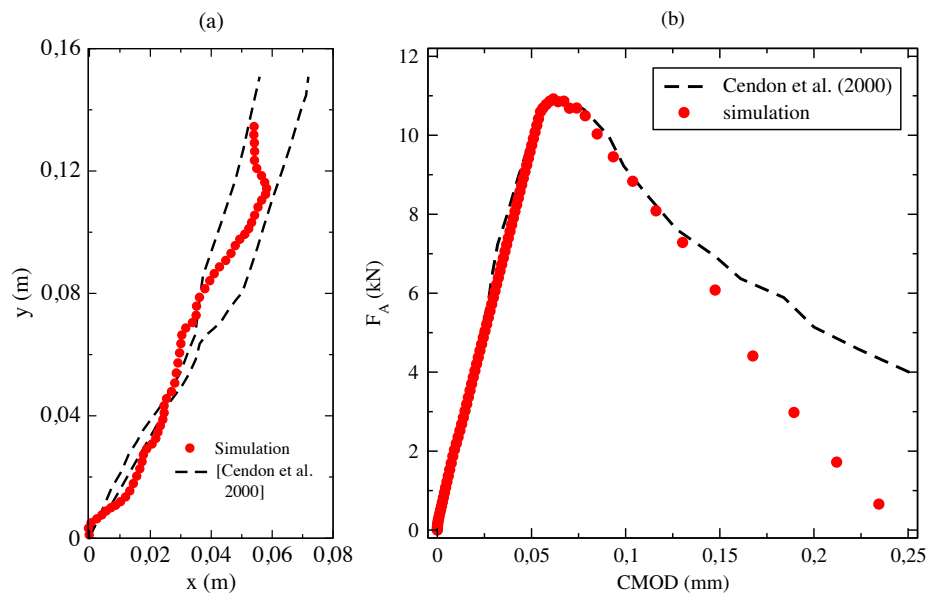


FIGURE 8: Comparison of numerical macroscopic measurements associated to the crack evolution with experimental results [14] : a) The crack path and b) the load vs. CMOD curve.

468 In Figure 8(a) and Figure 8(b), the red dot line corresponds to the simula-  
 469 tion result while the black dashed lines represent the crack envelop obtained  
 470 experimentally [14]. In Figure 8a, the crack obtained numerically corresponds  
 471 closely to the experimental envelope. The starting angle is strongly related  
 472 to the discretization around the initiation point, explaining the slight dif-  
 473 ference at the beginning of the initiation. Then, the path is corrected and  
 474 repositioned in the experimental envelope until the end of the simulation.

475 Concerning the force vs. CMOD curves, they fit perfectly in the section

476 corresponding to the linear increase. This highlight that the introduction of  
477 a 2D interface element, where the values of  $K_n^0$  and  $K_t^0$  satisfy the criterion  
478 proposed in [9] between each elements of  $\Omega_1$  do not affect the global stiffness  
479 of the sample. The maximum force obtained is also in good agreement with  
480 that obtained in the experiment, as well as the beginning of the non-linear  
481 decreasing part of the CMOD curve occurring at the initiation of cracking. In  
482 the last part, the curves diverge. This difference is partly explained by the fact  
483 that the numerical simulation is two-dimensional while the experiments are  
484 three-dimensional. Indeed, not all deformation modes are taken into account  
485 (especially out-of-plane modes), which explains this different behavior at the  
486 end of the simulation. Moreover, we have arbitrarily chosen a 2nd degree  
487 polynomial to characterize the damage of the cohesive zone model, *Eq.(30)*.  
488 This choice could be fine-tuned in order to better account for experiences by  
489 taking a Needleman-type damage, [26; 54].

#### 490 *4.2. Sensitivity study*

491 Finally, in order to see the impact of a variation in the parameters  $u_e$   
492 and  $u_c$  on the overall behavior of the system and more particularly on the  
493 evolution of the force vs. CMOD curves, a sensitivity study is proposed. The  
494 influence of these parameters on the crack path is not presented because it is  
495 not very significant. The influence of these parameters on the energy available  
496 to be dissipated in the model is pointed out in Figure 9. The parametric  
497 study is carried out relative to the reference point  $(0, 0)$  corresponding to the  
498 results presented on the Figure 8(b) with the parameters define in table 1.  
499 With the chosen law, a variation of  $u_c$  has almost the same consequence as  
500 a variation of  $u_e$  in terms of the energy available to be dissipated. Then the

501 map presented on Figure 9 is symmetric in the regard of the circle-triangle  
 502 diagonal. During the different parametric studies, the color code used for the  
 503 curves will refer to the one defined in the Figure 9.

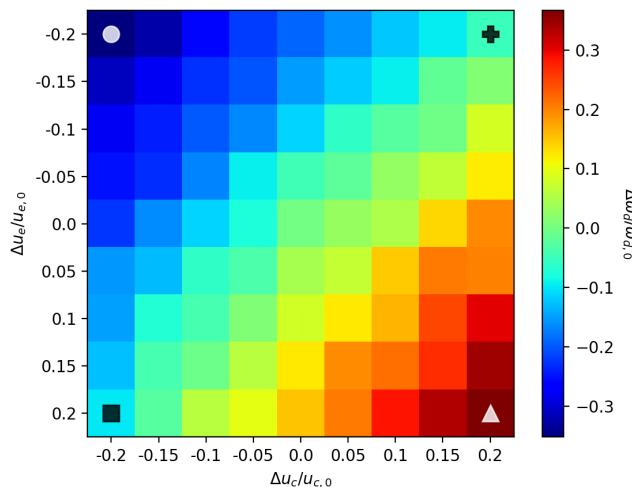


FIGURE 9: Map of the normalized dissipated energy variations as a function of the variations of  $u_e$  and  $u_c$ . The symbols used at the four corners of the map identify the curves shown in Figure 10.

504 The Figure 10 presents the normalized plots of  $w_e^d(u_d)$  for different values  
 505 of  $u_e$  and  $u_c$  (Figure 10(a)) and the corresponding force vs. CMOD curves  
 506 (Figure 10(b)). The normalization parameters are  $u_{e,0} = 0.5 \cdot 10^{-2}$  and  $w_{e,0} =$   
 507  $w_{e,0}^d(u_{e,0})$  using the values of parameters in Tab. 1. Even if the shape of the  
 508 curves is significantly different in Figure 10 (a), the energies available to be  
 509 dissipated for the case represented by a cross and the one represented by  
 510 a square are of same order of magnitude. The maxima order observed at  
 511 the scale of the CZM models (Figure 10(a)) is conserved at the scale of the  
 512 structure (Figure 10(b)).

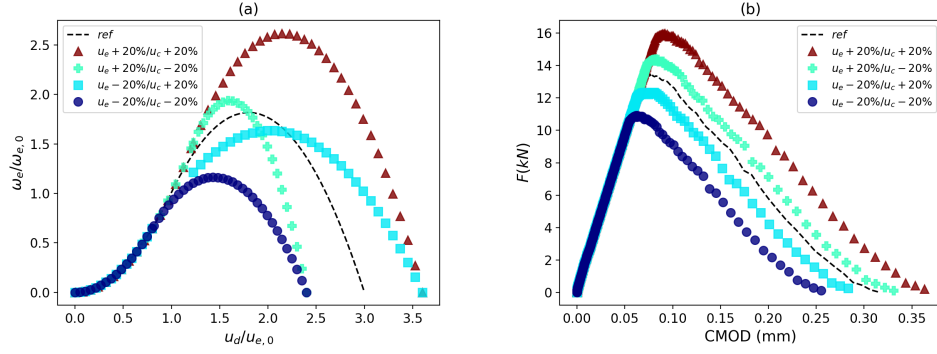


FIGURE 10: (a) Normalized plots of  $w_e^d(u_d)$  for different values of  $u_e$  and  $u_c$ . The normalization parameters are  $u_{e,0} = 0.5 \cdot 10^{-2}$  and  $w_{e,0} = w_e^d(u_{e,0})$  using the values of parameters in Tab.1. (b) Corresponding force vs. CMOD curves.

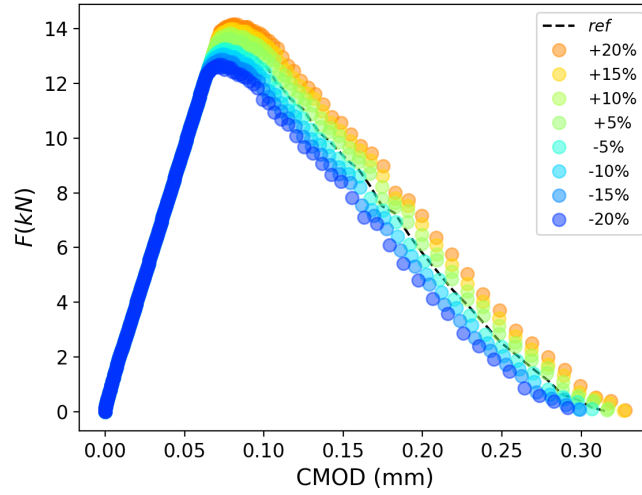


FIGURE 11: Comparison between the reference Load vs. CMOD curve (dash line) and the ones related to the variation of  $u_c$  of  $\pm 20\%$  while  $u_e$  constant.



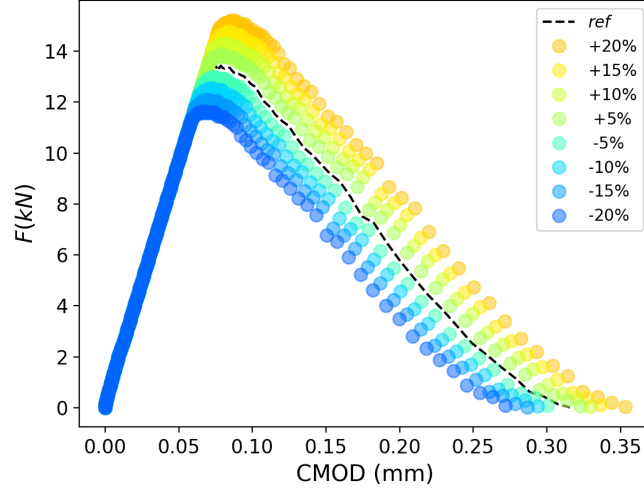


FIGURE 12: Comparison between the reference Load vs. CMOD curve (dash line) and the ones related to the variation of  $u_e$  of  $\pm 20\%$  while  $u_c$  constant.

513 On figures Figure 11 to Figure 13, we observe respectively the influence of  
 514  $u_c$  and  $u_e$  on the force vs. CMOD curves. These figures show that the influence  
 515 of the variation of  $u_c$  is less than that of  $u_e$ . Indeed, where we observe for a  
 516 variation of  $u_c$  a variation of less than 10% on the critical values of the curve  
 517 ( $F_{max}$  and  $CMOD_{max}$ ), while a variation of more than 20% for an equivalent  
 518 variation of  $u_e$  is observed. Nevertheless, in both cases, an increase of the  
 519 damage energy  $w_d$  induces an increase of the CMOD and loading maxima in  
 520 the Load vs. CMOD curve. In this model where an elastic domain is assumed,  
 521  $u_e$  is the threshold where the damage begins to occur. This value determines  
 522 the outset of the non-linear response of the structure. This is exhibited in  
 523 Figure 12 where an increase of  $u_e$  at the local scale induces an increase of the  
 524 maximal force at the macroscopic scale and a delay of the occurrence of the  
 525 nonlinear response of the curves.

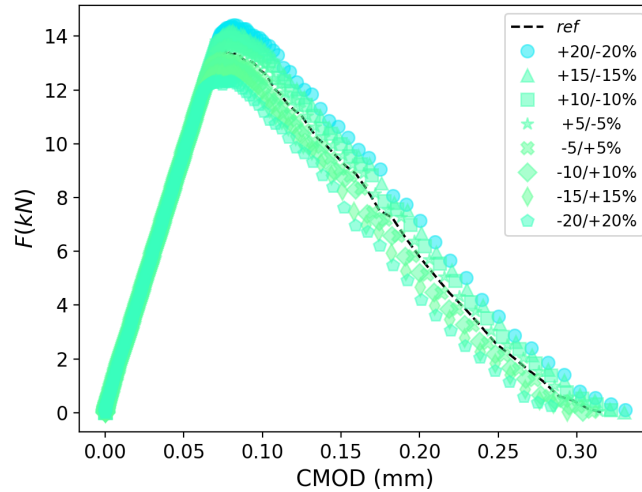


FIGURE 13: Comparison between the reference Load vs. CMOD curve (dash line) and the ones related to the variation of  $u_c$  and  $u_e$  of  $\pm 20\%$   $W$  constant.

526 Figure 13 demonstrates that the non-linear region of the curve is also  
 527 governed by the shape of the energy curve (cf. Figure 10). Although the  
 528 dissipative energy in this parametric study is almost constant, we observe  
 529 a variation of about 10% on the characteristic values of the response curve.  
 530 So by combining the effects of  $u_e$ ,  $u_c$  and the shape of the local curve (cf.  
 531 Figure 10) it is possible to obtain a better optimal result to fit experiments.  
 532 Thus the experimental characterization of this type of local curve depicting  
 533 the micro-structural phenomenon linked to fracture is relevant and is still an  
 534 ongoing problem.

## 535 5. Conclusion

536 In this paper we present an energy criterion for cohesive zone models  
 537 where the damage progress is assessed together with the ability of the ma-

538 terial to store energy elastically. The damage parameter used is  $u_d(t) =$   
539  $Sup \{u_{eq}(\tau), \tau \leq t\}$  where  $u_{eq}$  is an equivalent strain compatible with the  
540 isotropic evolution of the damage progress. The cohesive zone law is then  
541 completely defined through the function  $w_e^d(u_d)$  which represents the maxi-  
542 mum elastic energy which can be stored in the material for a given da-  
543 mage state associated with a simple energy balance. The identification of the  
544 function,  $w_e^d(u_d)$  will be based on the analysis of the experimentally derived  
545 energy balance associated with the material transformations, in addition to  
546 the kinematic aspects near the crack tip, the dissipative effects accompanying  
547 the damage progress, inducing temperature variations at the crack tip. The  
548 thermodynamic coherence of the proposed model effectively makes it pos-  
549 sible to directly relate damage and temperature. We have implemented the  
550 chosen model in the code LMGc90 based on Non-Smooth Contact Dynamics  
551 (NSCD) and performed numerical simulations in the case of a bending test.  
552 The results obtained for this 2-D modeling are encouraging. Using a simple  
553 quadratic function  $w_e^d(u_d)$  for the interface, we obtained a close correlation  
554 between the simulations and the experimental observations of the crack path.  
555 A first parametric study of the macroscopic response of the structure natu-  
556 rally demonstrates the importance of the shape of the function  $w_e^d(u_d)$  which  
557 characterize the interface behavior between two elements. In subsequent de-  
558 velopments, we will consider high cycle fatigue in cohesive zone models while  
559 preserving this framework to define and quantify damage. An extension to  
560 investigate the non isotropic degradation of a material to store elastic energy  
561 could also be envisaged.

562 **Références**

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