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Cécile Daniel, Yves Schuurman, David Farrusseng. Surface effect of nano-sized cerium-zirconium oxides for the catalytic conversion of methanol and CO2 into dimethyl carbonate. Journal of Catalysis, In press, 10.1016/j.jcat.2020.09.023 . hal-03095380

# HAL Id: hal-03095380 https://hal.science/hal-03095380v1

Submitted on 4 Jan 2021

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- Surface effect of nano-sized cerium-zirconium oxides for the catalytic
   conversion of methanol and CO<sub>2</sub> into dimethyl carbonate
- 3

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# 6 Abstract

7 The direct synthesis of Dimethyl carbonate (DMC) from methanol and CO<sub>2</sub> is a green process which 8 allows CO<sub>2</sub> valorization. Among efficient catalysts, ceria, zirconia and cerium-zirconium mixed oxides 9 are often reported as the most active catalysts. In a recent report, we discovered that cerium-10 zirconium mixed oxides prepared by Flame Spray Pyrolysis (FSP) show greater catalytic activities than 11 those prepared by precipitation, although both exhibit very similar surface area and bulk features. 12 The objective of this study was to find out the origins of the superior catalytic activities obtained by 13 flame spray pyrolysis synthesis method by a deeper analysis of bulk and surface properties. We have 14 opted to focus on mixed ceria-zirconia of equimolar composition ( $Ce_{0.5}Zr_{0.5}O_2$ ) as it exhibits maximum catalytic activity for both synthesis methods. Combining bulk and surface characterization as well as 15 16 surface adsorption measurements using probe molecules, we propose that flame spray pyrolysis 17 enables a surface enrichment in cerium oxide still in interaction with zirconium oxide that leads to a high concentration of adsorbed methanol at the surface, which might explain the greater activity of 18 19 the catalysts prepared using this method. Beyond the application of DMC synthesis, we can 20 anticipate that Flame Spray Pyrolysis synthesis should generate relative high surface area mixed 21 oxides with different catalytic performances with respect to mixed oxides prepared at lower 22 temperature owing their metastable nature.

23

24 Keywords: methanol; CO<sub>2</sub>; cerium; surface structure; flame spray pyrolysis; DMC

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# 27 1. Introduction

28 Among organic carbonates, dimethyl carbonate (DMC) is a promising green chemical because of its 29 low toxicity and rapid biodegradability [1][2]. Its versatile chemical properties account for its 30 extensive use as an agent in carbonylation and methylation reactions, replacing DMSO, COCl<sub>2</sub> and 31 CH<sub>3</sub>X [3][4]. It is also widely used as a precursor for the production of polycarbonates and 32 polyurethanes. DMC was historically synthesized by the harmful methanolysis of phosgene. Current 33 industrial processes include hazardous, corrosive oxidative carbonylation in the gas or liquid phase 34 [5]. Other possible routes are the transesterification of propylene carbonate and the methanolysis of 35 urea [6][7].

$$CO_2 + 2 CH_3OH$$
  $\leftarrow \longrightarrow H_3C_0 CH_3 + H_2O_F$ 

Reaction 1

The direct synthesis of DMC from methanol and  $CO_2$  (reaction 1) appears particularly attractive: it is a greener alternative to the other routes that simultaneously allows  $CO_2$  valorization [7]. However, the methanol conversion in reaction 1,  $X_{MeOH}$ , is strongly limited by the thermodynamic equilibrium. DMC yield, assuming 100% selectivity, can hardly exceed 2% even under favorable pressure and temperature conditions [8].

Studies demonstrate that a direct synthesis process can technically enable a high DMC yield when an efficient dehydration process is applied [9]. For example, in-situ reactive dehydration of reaction system using 2-cyanopyridine as a dehydration agent led to remarkable enhancement of methanol conversion and DMC yield [10]. Among non-reactive dehydration system, the application of catalytic membrane reactors or ex-situ dehydration system [11][12] allowing continuous water withdrawal during reaction has also been proposed. A successful proof of concept [13] was reported combining both a continuous gas-phase reactor fed with a dehydrating agent.

A wide variety of catalysts have already been tested for the direct synthesis of DMC [14][15],
including organic metal-alkoxy compounds, metal oxides, metal-supported catalysts and ionic liquids.
Due to their relatively high activity, the majority of metal oxide studies deals with ceria, zirconia and

52 cerium-zirconium mixed oxides [16] [17][18][19] [20] [21] [22] [23] or "doped" versions thereof 53 [24][25] [26][27]. Current state of the art of DMC synthesis over ceria-based catalysts is detailed 54 elsewhere [28]. Among rare earth oxide elements, Y<sub>2</sub>O<sub>3</sub> was also reported [29] to catalyze DMC 55 synthesis at 353 K, one of the lower temperature reported for this reaction.

56 It is acknowledged that "well-balanced acidic and basic" properties play a key role in DMC synthesis 57 for methanol activation [21] [24] [16]. Thermal desorption (TPD) of NH<sub>3</sub> and CO<sub>2</sub> probe molecules is 58 often used to characterize acid and basic sites, respectively. In [30] Kumar et al. synthesized porous, 59 spherical cerium-zirconium oxide catalysts (Ce<sub>x</sub>Zr<sub>1\*</sub>O<sub>2</sub>) with different molar ratios. Within this serie, the Ce<sub>0.5</sub>Zr<sub>0.5</sub>O<sub>2</sub> catalyst was found to present the highest concentration of basic and acid sites and 60 61 the highest DMC yield. Fu et al. [31] established a relationship between the initial rate of DMC 62 synthesis and the surface acidity/alkalinity of 1D  $CeO_2$  nanowires as measured by TPD. Giram et al. 63 [32] investigated the synthesis of diethyl carbonate over metal-incorporated ceria catalysts and 64 reported an optimal dependence of the catalytic activity on the acidity/basicity ratio as measured by 65 NH<sub>3</sub>-TPD and CO<sub>2</sub>-TPD. Tomishige et al. [33] carried out propylene carbonate and ethylene 66 carbonate synthesis over CeO<sub>2</sub>-ZrO<sub>2</sub> solid solutions. They reported that the formation rate per acidic 67 and/or basic sites significantly increased on the catalysts calcined at higher temperature despite lower surface area, and suggested that weak acidic/ basic site may promote activity. 68

69 On the other hand, the concentration of oxygen vacancies as measured by the oxygen storage 70 capacity (OSC) of mixed oxides has been reported to be a feature that can determine the degree of 71 acidity or basicity. It has been extensively reported that intermediate ceria-zirconia compositions 72 (i.e., 0.2 < x < 0.8) are more active than are pure oxides for DMC synthesis, regardless of the synthesis 73 method (for example, sol-gel [21][23], coprecipitation [34] or templating [20]). It has been clearly 74 reported that the highest OSC of cerium-zirconium mixed oxides is also achieved for intermediate 75 compositions (0.4 < x < 0.7) [35][36]. Although some authors have identified a matching pattern 76 between the OSC and the DMC yield as a function of Ce-Zr composition, a direct link between oxygen 77 vacancies (i.e., the oxidation state of cerium) and the DMC yield has not been clearly established. 78 Wang et al. [37] synthesized CeO<sub>2-x</sub> quantum dots, and measured a yield five times higher than for 79 commercial ceria nanoparticles. Marciniak [38] loaded CeO<sub>2</sub> with a small amount of Cu, reporting 80 that the highest catalytic activity and selectivity toward DMC were obtained with 0.02 wt.% Cu, 81 mostly due to basic sites associated with the presence of oxygen vacancies. In [39] Liu focused on doping the ceria lattice with Zr atoms, producing a fluorite-like solid solution and promoting the 82 83 formation of oxygen vacancy sites. Zr<sub>0.1</sub>Ce nanorods, which exhibited the highest DMC synthesis 84 activity, also presented the highest concentration of oxygen vacancies. FTIR results demonstrated 85 that  $CO_2$  can adsorb on the oxygen vacancy to form bidentate carbonate, which serves as an 86 intermediate in the reaction [33][30]. Katagiri [40] observed a correlation between the number of 87 surface bidentate species and the catalytic activity over ZrO<sub>2</sub> nanocrystals [39][39][38][38][37][37][36][35][34]. Generally, the density of oxygen vacancies is associated 88 with the occurrence of defects and Ce<sup>3+</sup> cations, which, however, have been reported not to be 89 active sites [41]. Indeed, Aresta established that  $Ce^{4+}$  is the active cation species, whereas  $Ce^{3+}$  is 90 91 inactive [27]. Also, Liu prepared Ce<sub>0.5</sub>Zr<sub>0.5</sub>O<sub>2</sub> by different methods, showing that the direct carboxylation of methanol is mostly correlated with the proportion of Ce<sup>4+</sup> in the catalyst surface 92 93 [22].

94 In a recent study [42] we set up a reliable protocol to measure catalytic activity over a diverse library 95 of catalysts. The screening we carried out reveals the outstanding activity of ceria-zirconia flame-96 sprayed catalysts. Surprisingly, although coprecipitated and flame-sprayed catalysts apparently show 97 similar surface areas and bulk composition, they perform very differently.

98 The aim of this work is to investigate the origin of different catalytic activities for two synthesis 99 methods: coprecipitation and flame spray pyrolysis. We have opted to focus on mixed ceria-zirconia 100 of equimolar composition ( $Ce_{0.5}Zr_{0.5}O_2$ ) as it exhibits maximum catalytic activity for both synthesis 101 methods. Nevertheless, we have investigated a series of ceria-zirconia solids with compositions 102 spanning from pure ceria to pure zirconia in order to facilitate the identification of trends in their 103 properties. Combining bulk and surface characterization as well as surface adsorption measurements 104 using probe molecules, we propose that flame spray pyrolysis enables a surface enrichment in ceria 105 in close interaction with zirconium oxide that leads to a high concentration of adsorbed methanol at 106 the surface, which might explain the greater activity of the catalysts prepared using this method.

#### 107 2. Materials and methods

### **108** 2.1 Synthesis of catalysts

109 Two series of ceria-zirconia solids ranging from pure zirconia to pure ceria were prepared: one by 110 flame spray pyrolysis and the other by coprecipitation. The flame spray pyrolysis samples, hereafter 111 denoted FSP, were synthesized by Johnson Matthey following a protocol described in [43] [44]. The 112 general principle can be summarized as follow. Aqueous metal salt solutions were prepared with 113 desired concentration of metals. The solutions were sprayed as a fine mist into a flame (up to 2200°C). High space velocities and heat radiation loss lead to extremely short residence times 114 (milliseconds) with high temperature gradients up to 170 K.cm<sup>-1</sup> along the flame axis [45]. The metal 115 116 oxide atoms aggregated into nanoparticles, which were then collected on a filter.

117 Coprecipitated catalysts, denoted CP, were synthesized from the appropriate nitrate salts using 118 NaOH as the precipitating agent, as described in an earlier publication [42]. The coprecipitated solids 119 were heat-treated in air at 500°C (CP-500) and 850°C (CP-850) for 4 hours. The temperature of 850°C 120 was chosen to obtain catalysts with higher crystallinity and a relatively high surface area similar to 121 those of the catalysts prepared by flame spray pyrolysis.

The subscript x hereafter stands for the molar cerium content in  $Ce_xZr_{1-x}O_2$  solids as determined by elemental analysis. As indicated above, the results presented here focus on the mixed oxides prepared by the two methods and presenting approximately equal cerium and zirconium contents, because they are representative and relevant solids. The notations CZ-CP and CZ-FSP refer to solids of composition  $Ce_{0.45}Zr_{0.55}O_2$  for coprecipitation and  $Ce_{0.5}Zr_{0.5}O_2$  for flame spray pyrolysis, respectively.

#### **128** *2.2 Catalytic activity measurements*

The evaluation of catalyst activities was carried out using a method detailed in [42]. In short, the triphasic catalytic carboxylation of methanol was carried out in a high-pressure Parr Instrument vessel of 50 mL volume equipped with a pressure indicator and used as a batch reactor. Typically, an adjusted amount of dried catalyst in powder form (ranging from 20 mg to 500 mg) and 12 g of methanol with 0.1 wt.% anhydrous toluene as internal standard were introduced into the vessel. The amount of catalyst was adjusted so that the methanol conversion was always lower than 0.6% after a 4-hour reaction time. Then an 18-mL volume of liquid  $CO_2$  kept at 4°C and 50 bar was fed into the autoclave by an Isco 250D syringe pump. The vessel was heated under magnetic stirring in an oil bath at 140°C for 4 hours. Finally, the vessel was cooled down in an ice bath.

Only DMC was detected as product (Shimadzu GCMS-QP2010 equipped with a ZB-WAX column). The amount of DMC produced was quantified by a gas chromatograph (6850 GC Agilent) equipped with a DB-WAXetr capillary column (30 m) and a flame ionization detector. Catalyst activity is expressed as follows:

$$Activity = \frac{n_{DMC} \ (mol)}{m_{catalyst}(g) * time \ (s)}$$

# 142 2.3 Characterization of catalysts

Elemental analysis of the catalysts was carried out by inductively coupled plasma-optical emission spectrometry (ICP-OES; ACTIVA instrument from HORIBA Jobin Yvon) equipped with a CCD detector for the determination of metal loadings. Measurements were duplicated and the average was calculated. The experimental error is estimated to be +/- 3%.

147 Catalyst morphology was analyzed by TEM (JEOL 2010 Lab 6) under 200 kV acceleration.

Crystalline phases were characterized by X-ray diffraction and Raman spectroscopy. Data were collected for 2θ between 4° and 80° with a step of 0.02° using a Bruker D8 A25 Advance diffractometer with Cu Kα radiation operated at 50 KV and 35 mA. Crystallite sizes were estimated by the Scherrer equation over several diffractogram peaks. Raman spectra were recorded with a Lab RAM HR (Horiba) equipped with an Ar laser (514.4 nm) and a CCD detector.

The surface area structure was measured by nitrogen adsorption at 77 K using the Brunauer-Emmett Teller (BET) method on a Belsorp MAX instrument (Bel Japan). Prior to measurements, the samples
 were desorbed at 300°C for 3 hours using a Belprep vacuum instrument.

156 XPS measurements were performed using a Kratos Axis Ultra DLD spectrometer. The base pressure in 157 the analysis chamber was lower than  $5 \times 10^{-8}$  Pa. XPS spectra of O 1s, C 1s, Zr 3d, Zr 3p, Ce 4d and Ce 158 3d levels were measured at 90° (the normal angle with respect to the plane of the surface) using a 159 monochromated Al K $\alpha$  X-ray source with a pass energy of 20 eV and a spot size aperture of 300  $\mu$ m. Binding energies were corrected relative to the C 1s signal at 284.6 eV. The atomic compositions in cerium and zirconium were determined using the Ce3d and Zr3p signals by integrating the areas under the corresponding peaks after subtracting the Shirley background. Same Ce/Zr ratio trends were obtained by using Ce4d and Zr3d signals instead (results not shown).

164 The total acidity and basicity of the oxides were quantified by the temperature-programmed 165 desorption (TPD) of probe molecules (ammonia and  $CO_2$ , respectively). The TPD of ammonia and  $CO_2$ 166 (NH<sub>3</sub>-TPD, CO<sub>2</sub>-TPD) were carried out on a homemade device. Typically, 100 mg of powdered sample 167 were dried and desorbed for 1 hour at 300°C under helium flow. Adsorption of CO<sub>2</sub> was carried out at 168 30°C under a flow of 20 vol.% CO<sub>2</sub> in He, whereas adsorption of NH<sub>3</sub> was carried out at 100°C in a 5 169 vol.% NH<sub>3</sub> stream. Then the sample was purged under He flow for one hour to remove weakly 170 adsorbed probe molecules. Programmed desorption was carried out under He with a heating rate of 10°C.min<sup>-1</sup> and a flow rate of 25 mL.min<sup>-1</sup>. Desorption of probe molecules was measured with a mass 171 172 spectrometer (Transpector II Inficon) during the heating step. The total number of basic sites was 173 calculated by integrating the flow of CO<sub>2</sub> desorbed by TPD between 50 and 700°C, while the total 174 number of acid sites was calculated by integrating the flow of NH<sub>3</sub> desorbed between 80 and 400°C.

The nature of surface hydroxyl species and the coordination number of oxides were investigated by diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS), as the nature of the hydroxyl groups can vary considerably depending on the exposed (111) or (100) surface or on the degree of reduction [46]. Catalyst spectra were recorded on a Magna 550 Nicolet spectrometer with a resolution of 4 cm<sup>-1</sup> and 32 scans.

The surface was characterized upon methanol adsorption using a DRIFTS cell (Harrick Scientific).
Methanol is a relevant probe molecule since it is a reactant in DMC synthesis. Prior to adsorption, the
catalysts were dried in situ under Ar flow at 250°C.

184 <b>5.</b> Results	184	3.	Results
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- 186 3.1 Structural characterization
- 187

188 Fig. 1 (left) shows a transmission electron micrograph of flame-sprayed CZ-FSP featuring free, highly 189 crystalline octahedral particles between 5 and 10 nm in size. No sintering or agglomeration is visible. 190 The morphology dominated by {111}-enclosed octahedral particles was also found in other flame-191 sprayed ceria-zirconia samples described in [44][47]. On the other hand, coprecipitated CZ-CP-500 192 (Fig. 1, centre) exhibits rough agglomerated particles of irregular shape that are much less crystalline but of similar size (5-10 nm) compared to the FSP sample. The level of crystallinity of the 193 194 coprecipitated CP-500 set of samples increases with thermal treatment at 850°C, as indicated by 195 larger fringe domains and the clearly visible sintering of particles. (CZ-CP-850, Fig. 1, right). 196 Nevertheless, the surface of the coprecipitated solid even treated at 850°C CZ-CP-850, remains less



- 197 organized to arr to BZ-FSP solid.
- CZ-CP-500

CZ-CP-850

- Fig. 1: TEM images of CZ-FSP (x=0.5, left), CZ-CP-500 (x=0.45, center) and CZ-CP-850 (x=0.45, right)
- 201

202 The specific surface areas of the FSP and coprecipitated ceria-zirconia catalysts are reported in Figure 203 2. Except when the solid compositions approach those of pure ceria or zirconia, the surface area of mixed oxides is relatively insensitive to composition, as can be seen by the plateau at compositions 204 0.3 < x < 0.8. While FSP oxides exhibit surface areas in the range of 90 (+/- 10) m<sup>2</sup>.g<sup>-1</sup>, the CP-500 205 samples have slightly higher surface areas in the range of 120 (+/- 10) m<sup>2</sup>.g<sup>-1</sup>, in good agreement with 206 207 earlier reports for these two synthesis methods [44][47]. The thermal treatment at 850°C applied on 208 coprecipitated oxides yields lower surface areas in line with the sintering observed on the TEM 209 images. As reported elsewhere in the literature [48] [49], an addition of zirconia to ceria limits 210 sintering during thermal treatments. Importantly, we can see a plateau at compositions 0.3 < x < 0.8for CP-850, with specific surface areas in the range of 40 (+/-3)  $m^2$ .  $g^{-1}$ . For the equimolar ceria-211 zirconia samples (denoted CZ), the surface areas are 99, 119 and 42 m<sup>2</sup>.g<sup>-1</sup>, for CZ-FSP, CZ-CP-500 and 212 213 CZ-CP-850, respectively.

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The mean sizes of the crystallized domains were estimated by applying the Scherrer method to several peaks of the diffraction pattern (SI). The 850°C heat treatment conducted on the coprecipitated oxides led to significantly sharpened diffraction peaks. Similarly to surface area, crystallite sizes are insensitive to oxide composition for 0.3 < x < 0.8. In this region, the CP-500 and FSP mixed oxides show crystallite sizes ranging between 5 and 10 nm which is coherent with TEM pictures. The crystallized domain sizes of the CP-850 samples are systematically higher than those of the corresponding CP-500 samples, in agreement with the sintering phenomena observed. Crystalline domain sizes increase when compositions approach pure oxides (either CeO<sub>2</sub> or ZrO<sub>2</sub>), especially for CP-850. For ceria-zirconia of equivalent (x=0.5) content (CZ samples), the mean crystalline domain sizes are 5 (+/-1) for CZ-FSP, CZ-CP-500 and CZ-CP-850, respectively.

The X-ray diffraction patterns of the coprecipitated series heat-treated at 500°C or 850°C (CP-500, CP-850) exhibit a continuous shift in peak reflection as the Zr content increases attesting to the synthesis of solid solutions [50] (SI). Only pure zirconia exhibits a different pattern featuring both monoclinic and tetragonal phases. The X-ray patterns of the FSP samples also reflect the mixing of ceria and zirconia over the whole range of compositions (0.15 < x < 0.87), indicating the existence of a set of solid solutions.

235 The XRD peak broadening caused by the nano-size of the particles does not allow clear identification 236 of phases, even by Rietveld refinement. We referred to the existing literature to conduct a tentative 237 assignment of crystalline phases by crossing information with the Raman spectra [49] [51] [52] (see 238 SI). By crossing the Raman spectra and the X-ray diffraction patterns, we can confirm the formation 239 of ceria-zirconia solid solutions as in [53]. We have noticed that, irrespective of the synthesis 240 method, cerium-zirconium oxides crystallize in the same phase for a given composition x. For 241 example, for CZ oxides (x=0.5), very similar ceria-zirconia spectra featuring a t' tetragonal phase are 242 observed.



244 Fig. 3: Raman spectra of CZ-CP-500 (violet), CZ-CP-850 (red) and CZ-FSP (green)

243

The band at 630 cm<sup>-1</sup> is commonly assigned to oxygen vacancies caused by Ce<sup>3+</sup> in the CeO<sub>2</sub> lattice [54][55]. The ratio of the intensity of the band at 630 cm<sup>-1</sup> (oxygen vacancies /  $I_{ov}$ ) to that of IF<sub>2g</sub> (464 cm<sup>-1</sup>) reflects the concentration of defects in the oxide [56] (Table 1). We can see that the ratio of oxygen vacancies is similar for the CP-850 and FSP series for compositions ranging from x=0.45 to x=1. In contrast, we can see a significant oxygen vacancy decrease after the heat treatment of CP-500 leading to CP-850, for the composition x=0.45.

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# 253

# Table 1 : Defect concentration in oxides estimated by Raman spectroscopy

CeO <sub>2</sub>	Synthesis	$I_{ov}/IF_{2g}$
content (x)	method	
1	FSP	0.02
1	CP-850	0.01
0.74	FSP	0.10
0.77	CP-850	0.09
0.63	FSP	0.10

0.63	CP-850	0.11
0.5	FSP	0.23
0.45	CP-850	0.22
0.45	CP-500	0.37

255

#### 256 3.2 Surface characterization

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258 Surface compositions in cerium and zirconium were determined by peak integration of Ce<sub>3d</sub> and Zr<sub>3p</sub> 259 for the each mixed-oxide FSP series and four CP solids (SI). We found that for all solids, the estimated 260 binding energies (181.85 +/-0.05 for  $Zr_{3d}$  and 882.2 +/-0.2 for  $Ce_{3d}$ ) are similar to values reported in 261 the literature [57][58]. We remind here that XPS results probe a depth ranging between 3nm and 262 10nm depending on samples and that the first layer would impact for about 10% of the signal 263 intensity. Hence XPS is sensitive to metal segregation at the surface even if a few atomic layers are probed. Interestingly, two characteristic signals of  $Ce^{3+}$  at 884 eV (v') and the shoulder 903 eV (u') 264 are more pronounced in the case of CP-500 which is in good line with defect concentrations 265 266 estimated by Raman results (Table 1).

We have plotted a parity diagram of surface molar composition estimated by XPS as a function of the bulk composition analyzed by ICP-OES (Fig. 4). Importantly, we can observe that the surface composition is the same as the bulk composition for the three CP-850 oxides analyzed here. In addition, we can note the very good matching of bulk and surface compositions for the coprecipitated oxides with a molecular cerium composition of x=0.45. As expected, the bulk compositions of CZ-CP 500 and CZ-CP 850 are identical, since the CZ-CP 850 is obtained by the heat treatment of CZ-CP 500.

By contrast, the FSP oxide series systematically shows a discrepancy between the compositions analyzed by XPS and those analyzed by ICP-OES. Indeed, the XPS results indicate an enrichment of cerium at the surface. The exact location and depth of this cerium surface enrichment can hardly be

estimated, however. For the CZ-FSP sample of bulk composition  $Ce_{0.5}Zr_{0.5}O_2$ , the surface composition estimated by XPS would be  $Ce_{0.57}Zr_{0.43}O_2$ . To our knowledge, surface composition analyses of ceriumzirconium mixed oxides synthesized by flame spray pyrolysis have not been reported elsewhere.

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Fig. 4: Parity diagram of molar composition x measured by XPS versus molar composition x measured by ICP-OES for ( $\circ$ ) FSP, ( $\Box$ ) CP-850 and (x) CP-500 solids

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The structure of the hydroxyl groups on ceria and zirconia has been characterized by FTIR as a function of temperature. It is recognized that the wavelength of the OH species associated with the temperature originates from the nature of the hydroxyls and their local reorganization [67] [60][61].



Fig. 5: DRIFTS in the OH region of CZ oxides with  $x\approx 0.5$  as a function of temperature at (a) 100°C; (b) 200°C; (c) 300°C; (d) 400°C; and (e) 500°C; sample CZ-CP-500 (left), sample CZ-FSP (right).

291

The CZ CP-500 solid exhibits both hydroxyls OH(I)-Zr at 3750 cm<sup>-1</sup> and CeOH(I)-Ce at 3710 cm<sup>-1</sup> at 100°C (Fig. 5A). Upon thermal treatment, the OH(I)-Zr signal remains, while the OH(II)-Ce(A) hydroxyl signal at 3668 cm<sup>-1</sup> shifts to OH(II)-Ce(B) at 3656 cm<sup>-1</sup>, a phenomenon usually associated with the creation of oxygen vacancies next to cerium.

We can note that the DRIFT spectra of the CZ-FSP solid are significantly different from those of CZ-CP-500 despite similar bulk composition (Fig 5B). Interestingly, the OH(I)-Zr hydroxyl signal is not visible. The band corresponding to OH(I)-Ce is clearly identified at 3710 cm<sup>-1</sup> but vanishes at temperatures higher than 300°C, while the OH(II)-Ce signals shift progressively from (A) to (B). In summary, DRIFTS also reveals the dominance of ceria hydroxyls at the surface of the FSP oxides, whereas both ceria and zirconia hydroxides are present in the CP oxides.

306 3.3 Surface reactivity characterization by probe molecules

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308 Inspired by the clear differences observed in the OH region through the use of DRIFTS, we have 309 further investigated the characteristics of the surface by using methanol as a probe molecule, as this 310 process can reveal information on the surface composition and heterogeneity of cerium-zirconium 311 oxides [62]. It is important to stress that methanol is a reactant in DMC synthesis and that 312 information on how methanol compensates for the coordinative unsaturation of surface cations is relevant for the kinetics. Methanol dissociation occurs on a Lewis acid/base pair (Zr<sup>4+</sup> or Ce<sup>4+</sup>, and O<sup>2-</sup> 313 ), yielding different methoxide species that are associated with specific wavelengths [63][64] (see SI). 314 315 Though the DRIFTS method does not allow the quantitative measurement of surface species, the 316 mean apparent absorption coefficients of CH<sub>3</sub>O-Ce(I) and CH<sub>3</sub>O-Zr(I) were nevertheless reported to be equal at  $\mathcal{E}= 6.9 \text{ mol}^{-1}$ , a situation that permits semi-quantitative analysis [65]. 317



318

319 Fig. 6: DRIFTS results under 5 vol.%  $CH_3OH$  in Ar flow at 100°C

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321 DRIFT spectra under methanol adsorption equilibrium for the FSP series (top), along with a 322 comparison with CZ-FSP and CZ-CP-500 (bottom), are plotted in Fig. 6. A small peak near 1050 cm<sup>-1</sup> is 323 attributed to the contribution of gaseous methanol present in the cell. On the spectrum of pure ceria 324 (x=1), the vibration of CH<sub>3</sub>O-Ce(I) methoxide at 1115 cm<sup>-1</sup> is dominant, but it vanishes as the 325 concentration of cerium decreases. Inversely, the  $CH_3O$ -Zr(I) methoxide band at 1171 cm<sup>-1</sup>, which is 326 intense for the pure zirconium oxide (x=0), vanishes as the cerium content increases. In accordance 327 with earlier publications, a large band at 1060-1080 cm<sup>-1</sup> can be assigned to  $CH_3O$ -Zr(II) and  $CH_3O$ -328 Ce(II) methoxides [63][64]. For the FSP mixed oxides (x=0.23, 0.5, 0.74), the four methoxide species 329 described here coexist.

The spectra corresponding to the CZ-FSP and CZ-CP-500 samples of similar composition are compared at the bottom of Fig 6. The two spectra look relatively similar and feature the presence of the four methoxide species. Yet the band assigned to  $CH_3O-Ce(I)$  is more intense for FSP and for the CP-500 mixed oxides, unlike the  $CH_3O-Zr(I)$  bands, which are of similar intensity. This reveals a higher concentration of unsaturated  $Ce^{4+}$  cations on the FSP with respect to the CP-500 oxide.

335 The surface concentrations of acid and basic sites were quantified by NH<sub>3</sub> and CO<sub>2</sub> temperature-336 programmed desorption for the whole FSP series and selected CP-850 samples (see SI). TPD results 337 do not reveal significant differences between CZ-FSP and CZ-CP-850 in terms of acid site concentrations (0.15 versus 0.10  $\mu$ mol.m<sup>-2</sup>) or basic site concentrations (1.10 versus 1.19  $\mu$ mol.m<sup>-2</sup>). 338 339 In addition, the concentration of basic sites does not vary significantly over the entire range of 340 compositions for the FSP series, in contrast to catalytic activity, which is composition-sensitive as 341 shown below (see SI). Also, the catalytic activity trend cannot be linked to the concentration of acid 342 sites, which does not vary between x=0.5 and x=1.0 (see SI).

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## 344 3.4 Catalytic activity in DMC synthesis

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The catalytic activity per mass unit of the three series of ceria-zirconia solids (CP-500, CP-850 and FSP) is reported as a function of cerium composition (Fig. 7). Mixed oxides prepared by FSP are much more active catalysts compared to the coprecipitated oxides at iso-composition. The FSP series exhibits, on average, a five-fold increase in activity compared to CP-800. Irrespective of the synthesis methods, all mixed oxides are more active than pure ceria and zirconia, attesting to a synergistic effect of ceria and zirconia mixing, which is well in line with previous reports [16][23][21]. For each 352 set of catalysts, the activity versus composition curve resembles a volcano plot. The highest activities are obtained for molar compositions x ranging between 0.4 and 0.8. For mixed oxides with equal Ce-353 Zr content (x=0.5), CZ-FSP activity is 6.0 μmol.g<sup>-1</sup>.s<sup>-1</sup> compared to 1.37 μmol.g<sup>-1</sup>.s<sup>-1</sup> and 0.24 μmol.g<sup>-1</sup>.s<sup>-1</sup> 354 355 <sup>1</sup> for CZ-CP-850 and CZ-CP-500, respectively. Interestingly, the catalytic activities of pure ceria and 356 pure zirconia do not depend on the synthesis method. In particular, the activity gain of FSP mixed 357 oxides is not observed in the cases of CeO<sub>2</sub> or ZrO<sub>2</sub>. This suggests that the higher catalytic activities of the FSP samples could not arise from the particular particle morphology provided by the flame spray 358 359 synthesis, otherwise higher catalytic activities for ceria and zirconia would also have been seen. Furthermore, we note that the catalytic activity of mixed oxides is not correlated with their surface 360 area (Fig. 8). Although the CP-500 series shows a higher surface area than FSP, these catalysts 361 362 perform very poorly per surface unit. For mixed oxides with equal Ce-Zr content (x=0.5), the activity of CZ-FSP is 0.06 µmol.m<sup>-2</sup>.s<sup>-1</sup>, versus 0.03 µmol.m<sup>-2</sup>.s<sup>-1</sup> and 0.002 µmol.m<sup>-2</sup>.s<sup>-1</sup> for CZ-CP-850 and CZ-363 364 CP-500, respectively.

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Fig. 8: Catalytic activity per unit of surface vs. molar composition x for FSP (•), CP-500 (◊) and CP850 (Δ) solids. Conditions: 140°C, 4 h, 120 bar, 12 g methanol.

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# 379 4. Discussion

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381 Mixed cerium-zirconium oxides at intermediate compositions (0.2 < x < 0.8) are more active than the 382 pure oxides, irrespective of the synthesis method, in line with other reports using sol-gel [21] [23], 383 coprecipitation [34] and templating [30] methods. That said, the FSP synthesis makes it possible to 384 achieve record activity when compared to results found in the literature, i.e., about 10 times higher 385 than most of active catalysts listed in this review [28]. To the best of our knowledge, the highest activity (3µmol.g<sup>-1</sup>.s<sup>-1</sup>) of ceria was reported by optimization of calcination temperature at 873K [66] 386 which further confirms the beneficial effect of elevated firing temperature despite lower surface 387 388 area.

At equimolar composition (Ce<sub>0.5</sub>Zr<sub>0.5</sub>), the activity obtained with the FSP sample is fivefold higher than 390 391 for CP-850, which is the most active oxide prepared by coprecipitation. We want to stress that the 392 synthesis of mixed oxides by precipitation, and especially the thermal treatments, have been 393 adjusted to achieve solids whose high surface area and crystallinity are "comparable" to those of the 394 FSP samples. For this purpose, different firing temperatures were investigated (500 and 850°C). It 395 was nonetheless impossible to achieve both high crystallinity and high surface area for the 396 coprecipitated oxides due to the sintering of the nano-oxides. Here, we have presented 397 characterization results obtained for coprecipitated catalysts fired at 500°C and 850°C, a situation 398 presenting the best compromise between these two criteria with the objective of establishing 399 structure-activity relationships with FSP catalysts.

400 Bulk characterization techniques (X-ray diffraction and Raman spectroscopy) do not reveal any differences that could be at the origin of the large difference in activities between the FSP and CP 401 402 catalysts. At equimolar composition (Ce<sub>0.5</sub> Zr<sub>0.5</sub>), the same tetragonal phase has been identified 403 irrespective of the synthesis process. Also, the slight differences in surface area between the CP-500 404 and FSP solids (120 m<sup>2</sup>.g<sup>-1</sup> and 99 m<sup>2</sup>.g<sup>-1</sup>, respectively), and hence a possibly higher number of 405 catalytic sites, cannot account for such a large activity difference between the two catalysts. We did 406 not observe any obvious correlations of catalytic activities with the concentration of acid or basic 407 sites similar to those found in the literature for nanorods, nanocubes and octahedrons of cerium-408 zirconium oxides [67] [68][69] and for polyoxometallates [70]. In this study, the concentration of acid 409 and basic sites for CZ-FSP and CZ-CP-850 are very similar, although the catalytic activity of the former 410 is five-fold higher. We could hypothesize that the characterization of the acidity and basicity by the 411 TPD of NH<sub>3</sub> and CO<sub>2</sub> may discriminate oxides that are poorly active or inactive from those that are 412 active. In this study, the challenge was to determine the origin of the greatly enhanced activity of FSP 413 mixed oxides as compared to coprecipitated mixed oxides which are already active.

As described above, neither bulk characterization nor morphological aspects can explain the superior activity of the FSP catalysts. Instead, all other analysis points toward surface aspects as an explanation. First, we have shown by XPS that the surface is enriched in cerium as compared to the bulk. This surface enrichment is very specific to flame spray pyrolysis, as it is not found for

418 coprecipitated catalysts. We can propose that the cerium enrichment at the surface may originate 419 from the very high temperature which permits the surface composition to be at equilibrium. This 420 hypothesis is supported by theoretical study which shows by a combination of density functional 421 theory calculations and statistical mechanics that a wide range of intermediate compositions of 422 ceria-zirconia solid solutions are thermodynamically metastable with respect to phase separation 423 into Ce-rich and Zr-rich phases [64]. Kinetic barriers for cation diffusion normally prevent the 424 decomposition/segregation of ceria-zirconia solid solutions in typical "calcination" treatment or 425 catalytic applications where low to moderate temperature are applied. But for thermal treatment at 426 high temperature applied in ceramic materials or for catalytic converters where temperature well 427 above 900°C, a rearrangement of the cations in the solid solution can occur leading to phase 428 separation. More importantly, the same study estimates that the cation rearrangement in the top 429 (111) layer of an initial 50 : 50 solid solution leads to equilibrium Ce content of the surface of 90% 430 while the second layer contains 70% of Zr. This theoretical study supports that (i) the top layer of CZ-431 CP catalysts is composed of a Ce and Zr in equivalent concentrations as indicated by XPS 432 measurements and (ii) the composition of top layer of CZ-FSP is very Ce-rich. The high degree of 433 surface organization of the FSP particles observed by TEM may indicate that the surface has even 434 reached thermodynamic equilibrium with respect to composition.

Beyond the tentative explanation of the origin of the higher catalytic activities of FSP catalysts, the assumption of surface induced Ce enrichment triggered by high temperature treatment also explains the higher activities of CP catalysts which are heat-treated at 850°C. We can propose that he heat treatment at 850°C was high enough to trigger some Ce segregation at the surface while its concentration shall remain below those obtained by FSP.

We cannot rule out that face exposures could also account for a difference in catalytic activities. While the face exposure of FSP oxide is largely {111}, which is most classical exposed surface of Cerium Zirconium mixed oxides, the surface of CP oxides does not show preferential orientations. Therefore, it appears the surface exposure is not the main parameter, which governs the activity although it could contribute.

Our hypothesis of cerium enrichment at the surface triggered by the high temperature of the FPS
process and its linked to enhanced catalytic performances are also supported by other experimental
data.

448 The CZ-FSP oxide does not present the Zr-OH(I) hydroxyl band at 3730-3750 cm<sup>-1</sup>, which could support the argument that the first surface layer consists mainly of cerium. Here, we want to stress 449 450 that the analysis is not quantitative, as the extension coefficients are likely not the same for the 451 different surface hydroxyls. Indeed, the presence of zirconium cations at the surface is confirmed by the methoxy band at 1153 cm<sup>-1</sup> upon methanol adsorption. Finally, for the CH<sub>3</sub>O-Ce(I) band at 1111 452 cm<sup>-1</sup>, we can clearly observe a much more intense signal for FSP than for the CP mixed oxide, which 453 454 further confirms a higher concentration of cerium at the first layer of the surface. This feature is 455 particularly relevant given that the dissociative adsorption of methanol is the first step in the catalytic mechanism, and the concentration of surface methoxide is a decisive kinetic input [39] [65] 456 457 [67][68]. Daturi et al. used FTIR to quantify the adsorption of methanol on a ceria-zirconia series 458 prepared by coprecipitation [69]. The density of methoxide (I) showed a maximum for intermediate 459 molar composition at x=0.5. They concluded that  $Ce_{0.5}Zr_{0.5}O_2$  mixed oxides prepared by coprecipitation present at their surface an optimum number of Zr<sup>4+</sup> and Ce<sup>4+</sup> cations of similar Lewis 460 461 acid strength.

462 In order to further support our hypothesis on the key role of a high concentration of Ce methoxide, 463 we quantified the density of methoxide adsorbed at the surface by measuring adsorption isotherms 464 of methanol at 20°C (Fig. 9). The methanol pressure was limited to 0.1 kPa, assuming a monolayer covering of the surface below this pressure. The amount of methanol adsorbed on CZ-FSP was 8 465 μmol.m<sup>-2</sup> at 0.1 kPa, but reached only 6 μmol.m<sup>-2</sup> and 4.2 μmol.m<sup>-2</sup> for CZ-CP-850 and CZ-CP-500, 466 respectively. These values are consistent with the densities of 6 µmol.m<sup>-2</sup> and 11 mol.m<sup>-2</sup> for oxidized 467 468 ceria {111} and reduced ceria {111}, respectively [70]. Taking the surface occupied by an adsorbed methanol molecule to be 0.22 nm<sup>-2</sup> [71], we estimated a surface of 105 m<sup>2</sup>.g<sup>-1</sup> for CZ-FSP using the 469 methanol isotherm, a value close to the one determined by the BET method (99  $m^2.g^{-1}$ ). 470

471 Catalytic activity is plotted as a function of the density of adsorbed methoxide for CZ-FSP, CZ-CP-500
472 and CZ-CP-850 in Fig. 9 . We can clearly see that the activity increases with the methoxide density,

which could be a clue to the origin of the superior activity of the FSP catalysts. We acknowledge that the use of adsorption isotherms does not make it possible to differentiate between monodentate methoxide species and bidentate methoxide species that have been identified as active and spectator species, respectively. The higher activity of the FSP catalyst and also of CZ-CP-850 to a lower extend can explained by a higher density of Ce<sup>4+</sup> surface cations that are able to activate large amounts of methanol as monodentate species that are active in DMC synthesis.

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Fig. 9 (A) Isotherms of methanol at 20°; (B) Activity versus adsorbed methoxide surface density - CZ-CP-500 (●), CZ-CP-850 (■) and CZ-FSP (♦)

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# 487 5. Conclusions

We discovered that cerium-zirconium mixed oxides prepared by flame spray pyrolysis show greater catalytic activities in DMC synthesis than those prepared by precipitation. The objective of this study was to find out the origins of the superior catalytic activities obtained by flame spray pyrolysis synthesis method. Based on surface characterization by XPS as well as surface adsorption 492 measurements using probe molecules, we propose that flame spray pyrolysis enables a surface 493 enrichment in cerium oxide of the top layer that leads to a high concentration of adsorbed methanol 494 at the surface, which might explain the greater activity of the catalysts prepared using this method. 495 As pure cerium oxide is a poor catalyst, the composition of the sole top surface cannot be the only 496 parameter, which drives the high catalytic activity. In agreement with surface composition at 497 thermodynamic equilibrium, we propose that the most active catalysts are made with a top layer 498 mainly consisting of cerium oxides accompanied with a second layer consisting in majority of 499 zirconium oxide. The assumption of cerium surface enrichment triggered by thermal treatment also 500 holds for the explanation of the high catalytic activity of coprecipitated mixed oxides, which have 501 been treated at relative high temperature (850°C)

502 Beyond the application of DMC synthesis, we can anticipate that Flame Spray Pyrolysis synthesis shall 503 generate relative high surface area mixed oxides with different catalytic performances with respect 504 to mixed oxides prepared at lower temperature owing their metastable surface.

# 505 Acknowledgements

506 The work leading to these results received funding from the European Union Seventh Framework 507 Program FP7-NMP-2010-Large-4, under grant agreement no. 263007 (acronym CARENA). We thank 508 Johnson-Matthey for providing samples.

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