



HAL
open science

Alternating sign matrices and totally symmetric plane partitions

Florian Aigner, Ilse Fischer, Matjaž Konvalinka, Philippe Nadeau, Vasu Tewari

► **To cite this version:**

Florian Aigner, Ilse Fischer, Matjaž Konvalinka, Philippe Nadeau, Vasu Tewari. Alternating sign matrices and totally symmetric plane partitions. *Seminaire Lotharingien de Combinatoire*, 2020. hal-03093904

HAL Id: hal-03093904

<https://hal.science/hal-03093904>

Submitted on 13 Jan 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Alternating sign matrices and totally symmetric plane partitions

Florian Aigner^{*1}, Ilse Fischer^{†2}, Matjaž Konvalinka^{‡3}, Philippe Nadeau⁴,
and Vasu Tewari⁵

¹*LaCIM, Université du Québec à Montréal, Canada*

²*Fakultät für Mathematik, Universität Wien, Austria*

³*Fakulteta za matematiko in fiziko, Univerza v Ljubljana, & Inštitut za matematiko, fiziko in mehaniko, Ljubljana, Slovenija*

⁴*Univ Lyon, CNRS, Université Claude Bernard Lyon 1, UMR 5208, Institut Camille Jordan, France*

⁵*Department of Mathematics, University of Pennsylvania, USA*

Abstract. We study the Schur polynomial expansion of a family of symmetric polynomials related to the refined enumeration of alternating sign matrices with respect to their inversion number, complementary inversion number and the position of the unique 1 in the top row. We prove that the expansion can be expressed as a sum over totally symmetric plane partitions and we are also able to determine the coefficients. This establishes a new connection between alternating sign matrices and a class of plane partitions, thereby complementing the fact that alternating sign matrices are equinumerous with totally symmetric self-complementary plane partitions as well as with descending plane partitions. As a by-product we obtain an interesting map from totally symmetric plane partitions to Dyck paths. The proof is based on a new, quite general antisymmetrizer-to-determinant formula.

Keywords: alternating sign matrices, totally symmetric plane partitions, Schur polynomials, Catalan numbers

1 Introduction

Plane partitions were first studied by MacMahon [11] at the end of the 19th century, however found broader interest in the combinatorial community starting in the second half

^{*}florian.aigner@univie.ac.at. Florian Aigner was supported by the Austrian Science Foundation FWF: J 4387 and SFB grant F50 and by the project “Austria/France Scientific & Technological Cooperation” (BMFWF Project No. FR 10/2018 and PHC Amadeus 2018 Project No. 39444WJ) .

[†]Ilse Fischer acknowledges support from the Austrian Science Foundation FWF, SFB grant F50.

[‡]Matjaž Konvalinka acknowledges the financial support from the Slovenian Research Agency (research core funding No. P1-0294)

of the last century. *Alternating sign matrices* (ASMs) on the other hand were introduced by Robbins and Rumsey [15] in the early 1980s. Together with Mills [13], they conjectured that the number of $n \times n$ ASMs is given by $\prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$. Stanley then pointed out to them that these numbers had appeared before in the work of Andrews [2] as the enumeration formula for a certain class of plane partitions, called *descending plane partitions*. Soon after that Mills, Robbins and Rumsey [14] observed (conjecturally) that this formula also counts another class of plane partitions, namely *totally symmetric self-complementary plane partitions*. Although these conjectures have all been proved since then, see among others [3, 20], it is mostly agreed that there is no good combinatorial understanding of this relation between ASMs and certain classes of plane partitions since we lack combinatorial proofs of these results. The purpose of this paper is to relate ASMs to yet another class of plane partitions, namely *totally symmetric plane partitions* (TSPPs), in a new way. This relation is via a certain Schur polynomial expansion. Other known relations between ASMs and TSPPs are via posets, see [19, Section 8], and the fact, that the number of symmetric plane partitions inside an (n, n, n) -box is the product of the number of TSPPs inside an (n, n, n) -box and the number of ASMs of size n , see [5], although it can be argued that the latter relation is in a sense more between TSPPs and TSSCPPs.

More concretely, the following symmetric functions are studied in this paper

$$\mathcal{A}_n(u, v; \mathbf{x}) := \frac{\mathbf{ASym}_{x_1, \dots, x_n} \left[\prod_{i=1}^n x_i^{i-1} \prod_{1 \leq i < j \leq n} (v + (1 - u - v)x_i + ux_i x_j) \right]}{\prod_{1 \leq i < j \leq n} (x_j - x_i)},$$

where \mathbf{ASym} denotes the antisymmetrizer, i.e., $\mathbf{ASym}_{x_1, \dots, x_n} f(x_1, \dots, x_n) = \sum_{\sigma \in \mathcal{S}_n} \text{sgn}(\sigma) \cdot f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$ and $\mathbf{x} = (x_1, \dots, x_n)$. These symmetric functions have arisen in the special case $t^{\binom{n}{2}} \mathcal{A}_n \left(\frac{1}{t}, \frac{1}{t}; \mathbf{x} \right)$ in the work of Fischer and Riegler [7, Corollary 10.2], where the following connection to ASMs was proved.

Theorem 1.1. *The number of $n \times n$ ASMs that have the unique 1 in the top row in column i and with m occurrences of -1 is the coefficient of $z^{i-1} t^m$ in $t^{\binom{n}{2}} \mathcal{A}_n \left(\frac{1}{t}, \frac{1}{t}; z, 1, \dots, 1 \right)$.*

As remarked in [6, Remark 2.1], the above result can be generalized as follows¹.

Theorem 1.2. *The number of $n \times n$ ASMs that have the unique 1 in the top row in column i and with inversion number a and complementary inversion number b is the coefficient of $u^a v^b z^{i-1}$ in $\mathcal{A}_n(u, v; z, 1, \dots, 1)$.*

¹It is a generalization since, for a given $n \times n$ ASM, the number of -1 's and the two inversion numbers sum to $\binom{n}{2}$.

The main result of this paper is the following Schur polynomial expansion of these functions. It was conjectured independently by the first author together with François Bergeron and the last three authors. All notions are explained in Section 2.

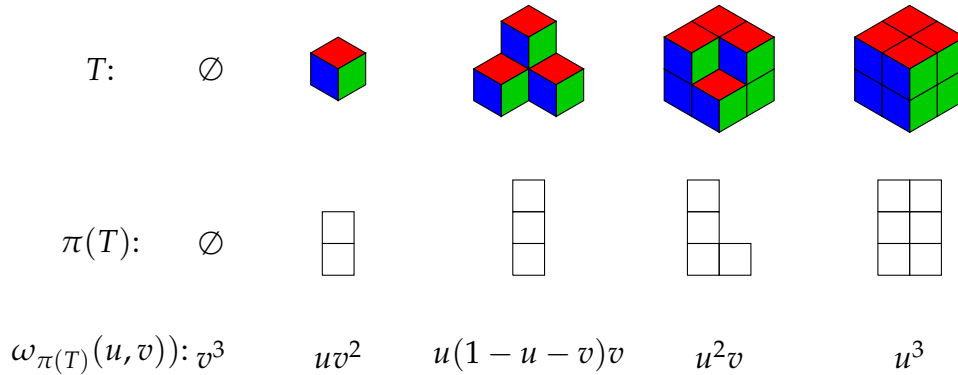
Theorem 1.3. *For all positive integers n , we have*

$$\mathcal{A}_n(u, v; \mathbf{x}) = \sum_{T \in \text{TSPP}_{n-1}} \omega_{\pi(T)}(u, v) s_{\pi(T)}(\mathbf{x}), \tag{1.1}$$

where $\pi(T) = (a_1, \dots, a_l | b_1 + 1, \dots, b_l + 1)$ is the modified balanced partition associated to the TSPP T in Frobenius notation and $\omega_{\pi(T)}(u, v) = u^{\sum_{i=1}^l (a_i+1)} (1 - u - v)^{\sum_{i=1}^l (b_i - a_i)} v^{\binom{n}{2} - \sum_{i=1}^l b_i}$.

For $n = 3$ the right hand side of (1.1) is a sum over all totally symmetric plane partitions inside a $(2, 2, 2)$ -box, which are shown below. Theorem 1.3 then states

$$\begin{aligned} \mathcal{A}_3(u, v; x_1, x_2, x_3) &= v^3 + uv^2 s_{1,1}(x_1, x_2, x_3) \\ &+ u(1 - u - v) v s_{1,1,1}(x_1, x_2, x_3) + u^2 v s_{2,1,1}(x_1, x_2, x_3) + u^3 s_{2,2,2}(x_1, x_2, x_3). \end{aligned}$$





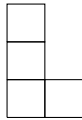

The structure of the paper is as follows. In Section 2, we provide all definitions and count TSPPs with respect to their diagonal. In Section 3, we provide a lemma that allows us to express $\mathcal{A}_n(u, v; \mathbf{x})$ using a determinant. In Section 4, we use this other expression for $\mathcal{A}_n(u, v; \mathbf{x})$ to prove Theorem 1.3.

2 Modified balanced partitions, TSPPs and ASMs

The first important objects are modified balanced partitions. They are a variation of objects that appear in [16, Ex. 6.19(bb) p. 223].

Definition 2.1. Let λ be a partition $\lambda = (\lambda_1, \dots, \lambda_n)$, where we allow zero parts. We call λ a *modified balanced* of size n if $\lambda_1 \leq n - 1$ and $\lambda_i < \lambda'_i$ whenever $\lambda_i \geq i$, where $\lambda' = (\lambda'_1, \dots, \lambda'_m)$ denotes the conjugate partition.

The modified balanced partitions of $n = 3$ are displayed next (using French notation), together with their Frobenius notation which we recall next.

\emptyset				
\emptyset	(1,1)	(1,1,1)	(2,1,1)	(2,2,2)
()	(0 1)	(0 2)	(1 2)	(1,0 2,1)

Let λ be a partition. Unless otherwise specified, we denote by l the side length of the Durfee square of λ , which is defined as the largest square that is contained in the Ferrers diagram, i.e., $l = \max_i \{\lambda_i \geq i\}$. The *Frobenius notation* of λ is then $(\lambda_1 - 1, \lambda_2 - 2, \dots, \lambda_l - l | \lambda'_1 - 1, \lambda'_2 - 2, \dots, \lambda'_l - l)$. Using the Frobenius notation, a partition $\lambda = (a_1, \dots, a_l | b_1, \dots, b_l)$ is a modified balanced partition if $a_i < b_i$ for $1 \leq i \leq l$.

Modified balanced partitions of size n are enumerated by the n -th Catalan number $C_n = \frac{1}{n+1} \binom{2n}{n}$ which can be seen easily by the following bijection between modified balanced partitions and Dyck paths (represented by north and east steps)

$$(a_1, \dots, a_l | b_1, \dots, b_l) \mapsto N^{b_l} E^{a_l+1} N^{b_{l-1}-b_l} E^{a_{l-1}-a_l} \dots N^{b_1-b_2} E^{a_1-a_2} N^{n-b_1} E^{n-a_1-1},$$

for non-zero partitions and $(|) \mapsto N^n E^n$. For an example see Figure 1.

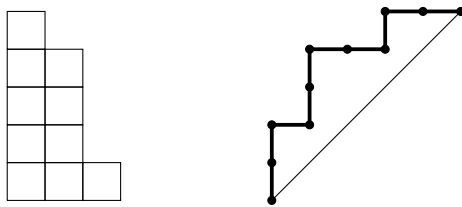


Figure 1: The Ferrers diagram of the modified balanced partition $\lambda = (3, 2, 2, 2, 1) = (2, 0|4, 2)$ of size 5 and its corresponding Dyck path.

Plane partitions are other combinatorial objects that are necessary in our study.

Definition 2.2. A *plane partition* $\pi = (\pi_{i,j})_{1 \leq i,j \leq n}$ inside an (n, n, n) -box is an array of non-negative integers less than or equal to n such that the rows and columns are weakly decreasing, i.e., $\pi_{i,j} \geq \pi_{i+1,j}$ and $\pi_{i,j} \geq \pi_{i,j+1}$.

We can represent a plane partition π graphically by replacing the (i, j) -th entry by a stack of $\pi_{i,j}$ unit cubes, see Figure 2 for an example. From this point of view, a

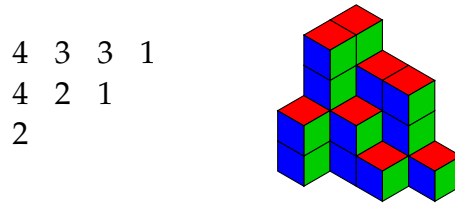


Figure 2: A plane partition and its graphical representation as a pile of cubes.

plane partition π inside an (n, n, n) -box is a subset of $\{1, \dots, n\}^3$ such that if (i, j, k) is an element of π then every (i', j', k') with $i' \leq i, j' \leq j, k' \leq k$ is also an element of π .

A plane partition π is *totally symmetric* if for every (i, j, k) that is an element of π , all permutations of the coordinates (i, j, k) are also elements of π . We denote by TSPP_n the set of totally symmetric plane partitions (TSPPs) inside an (n, n, n) -box. Given $T = (T_{i,j})_{1 \leq i, j \leq n-1} \in \text{TSPP}_{n-1}$, we associate with T a modified balanced partition² as follows: Consider the partition $(T_{1,1}, \dots, T_{n-1, n-1})' =: \text{diag}(T)$, which is just the profile of the diagonal of T in the $y = x$ plane if interpreted as stacks of cubes. If $\text{diag}(T)$ has Frobenius notation $(a_1, \dots, a_l | b_1, \dots, b_l)$, we set $\pi(T) := (a_1, \dots, a_l | b_1 + 1, \dots, b_l + 1)$, for an example see Figure 3. It can be checked that $\pi(T)$ is a modified balanced partition.

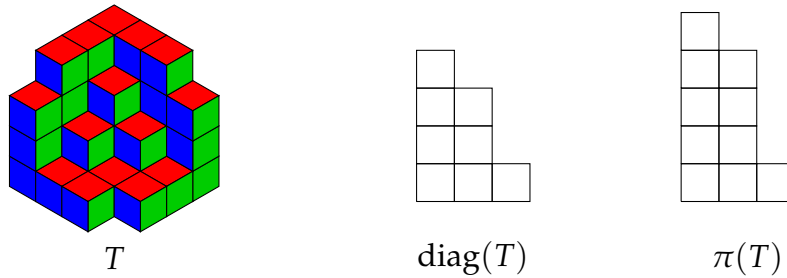


Figure 3: A TSPP T inside a $(4, 4, 4)$ -box, its diagonal $\text{diag}(T)$ and its associated modified balanced partition $\pi(T)$ of size 5.

We count TSPPs T with fixed $\pi(T)$.

Proposition 2.1. *Let $\lambda = (a_1, \dots, a_l | b_1, \dots, b_l)$ be a modified balanced partition. The number of TSPPs T with $\pi(T) = \lambda$ is equal to $\det_{1 \leq i, j \leq l} \binom{b_j - 1}{a_i}$.*

Proof. This is a classical application of the Lindström-Gessel-Viennot theorem [8, 10], see also [18]. We sketch the proof on the example in Figure 4.

TSPPs of order n clearly correspond to lozenge tilings of a regular hexagon with side lengths n that are symmetric with respect to the vertical symmetry axis as well as

²This can be regarded as a generalisation of Stanley’s [17] *trace* statistic which is defined for a plane partition π as the sum over the parts of its diagonal $\text{diag}(\pi)$.

rotation of 120° . By this symmetry, it suffices to know a sixth of the lozenge tiling. In our example, we choose the sixth that is in the wedge of the red dotted rays.

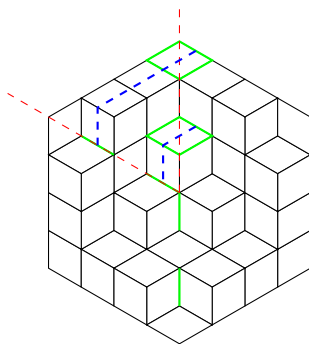


Figure 4: Running example in the proof of Proposition 2.1.

Now observe that the positions of the horizontal lozenges in the upper half of the vertical symmetry axis are prescribed by the b_i 's, while the positions of the vertical segments in the lower part of the vertical symmetry axis are prescribed by the a_i 's. Both are indicated in green in Figure 4. By the cyclic symmetry, these green segments have corresponding segments on the red dotted ray that is not contained on the vertical symmetry axis, again indicated in green in the figure. Now the lozenge tiling is determined by the family of non-intersecting lattice paths that connect these segments with the horizontal lozenges in the upper half of the vertical symmetry axis, indicated in blue in the figure. \square

The third objects of importance are alternating sign matrices.

Definition 2.3. An *alternating sign matrix*, or ASM for short, of size n is an $n \times n$ matrix with entries $-1, 0, 1$ such that all row- and column-sums are equal to 1 and in all rows and columns the non-zero entries alternate.

It is easy to see that every ASM has a unique 1 in its top row. A product formula for the refined enumeration of ASMs with respect to the position of the unique 1 in the top row was conjectured by Mills, Robbins, Rumsey [12] and first proven by Zeilberger [21]. Following the convention of [6], we define the *inversion number* and *complementary inversion number* of an ASM $A = (a_{i,j})_{1 \leq i,j \leq n}$ of size n as

$$\text{inv}(A) := \sum_{\substack{1 \leq i' < i \leq n \\ 1 \leq j' \leq j \leq n}} a_{i',j} a_{i,j'} \quad \text{and} \quad \text{inv}'(A) := \sum_{\substack{1 \leq i' < i \leq n \\ 1 \leq j \leq j' \leq n}} a_{i',j} a_{i,j'}$$

and denote by $\mathcal{N}(A)$ the number of -1 's of A . For instance,

$$A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

is an ASM of size 4 with $(\mathcal{N}(A), \text{inv}(A), \text{inv}'(A)) = (1, 3, 2)$. The number of -1 entries, the inversion number and the complementary inversion number of an ASM A of size n are connected by $\mathcal{N}(A) + \text{inv}(A) + \text{inv}'(A) = \binom{n}{2}$. The weighted enumeration of ASMs, where each ASM A is weighted by $t^{\mathcal{N}(A)}$, is called the t -enumeration. For $t \in \{0, 1, 2, 3\}$, it turns out that the t -enumeration is given by explicit product formulas, see for example [1, 9, 12].

3 An antisymmetrizer-to-determinant lemma

The following lemma will be a fundamental tool for the proof of Theorem 1.3. More applications of it will appear in a forthcoming paper.

Lemma 3.1. *Let $f(X), g(X)$ be Laurent series over \mathbb{C} such that for every non-zero polynomial $p(X) \in \mathbb{C}[X]$ of degree no greater than n , we have $p(f(X)) \neq p(g(X))$. Then*

$$\det_{1 \leq i, j \leq n} \left(f(X_i)^j - g(X_i)^j \right) = \mathbf{ASym}_{X_1, \dots, X_n} \left[\prod_{1 \leq i \leq j \leq n} (f(X_j) - g(X_i)) \right].$$

Proof. The proof is by induction with respect to n . The result is obvious for $n = 1$. Let $L_n(X_1, \dots, X_n), R_n(X_1, \dots, X_n)$ denote the left and right hand side of the identity in the statement, respectively. By the induction hypothesis, we can assume $L_{n-1}(X_1, \dots, X_{n-1}) = R_{n-1}(X_1, \dots, X_{n-1})$. We show that both $L_n(X_1, \dots, X_n)$ and $R_n(X_1, \dots, X_n)$ can be computed recursively using $L_{n-1}(X_1, \dots, X_{n-1})$ and $R_{n-1}(X_1, \dots, X_{n-1})$, respectively, with the same recursion. For the right hand side, we have

$$R_n(X_1, \dots, X_n) = \sum_{i=1}^n (-1)^{i+1} \left(\prod_{k=1}^n (f(X_k) - g(X_i)) \right) R_{n-1}(X_1, \dots, \widehat{X}_i, \dots, X_n),$$

where \widehat{X}_i means that X_i is omitted. For the left hand side, we first observe

$$\sum_{j=0}^n (f(X_i)^j - g(X_i)^j) e_{n-j}(-f(X_1), \dots, -f(X_n)) = (-1)^{n-1} \prod_{k=1}^n (f(X_k) - g(X_i)), \quad (3.1)$$

where $e_j(X_1, \dots, X_n)$ denotes the j -th elementary symmetric function. Note that the summand for $j = 0$ on the left hand side is actually 0. Now consider the following system of linear equations with n unknowns $c_j(X_1, \dots, X_n)$, $1 \leq j \leq n$, and n equations.

$$\sum_{j=1}^n (f(X_i)^j - g(X_i)^j) c_j(X_1, \dots, X_n) = (-1)^{n-1} \prod_{k=1}^n (f(X_k) - g(X_i)), \quad 1 \leq i \leq n.$$

The determinant of this system of equations is obviously $L_n(X_1, \dots, X_n)$, which is non-zero by the assumption. By (3.1), we know that the unique solution of this system is

given by $c_j(X_1, \dots, X_n) = e_{n-j}(-f(X_1), \dots, -f(X_n))$. On the other hand, by Cramer's rule,

$$c_n(X_1, \dots, X_n) = \frac{\det_{1 \leq i, j \leq n} \begin{pmatrix} f(X_i)^j - g(X_i)^j, & \text{if } j < n \\ (-1)^{n-1} \prod_{k=1}^n (f(X_k) - g(X_i)), & \text{if } j = n \end{pmatrix}}{L_n(X_1, \dots, X_n)}.$$

The assertion now follows from $c_n(X_1, \dots, X_n) = e_0(X_1, \dots, X_n) = 1$ and expanding the determinant in the numerator with respect to the last column. \square

In order to apply the lemma to $\mathcal{A}_n(u, v; \mathbf{x})$, we first observe that it is equal to

$$\prod_{i=1}^n \left(\frac{x_i^{n-1}}{\frac{v}{x_i} + (1-u-v) + ux_i} \right) \frac{\mathbf{ASym}_{x_1, \dots, x_n} \left[\frac{\prod_{1 \leq i \leq j \leq n} \left(\frac{v}{x_i} + (1-u-v) + ux_j \right)}{\prod_{1 \leq i < j \leq n} (x_j - x_i)} \right]}{\prod_{1 \leq i < j \leq n} (x_j - x_i)}$$

By the lemma, this is further equal to $\frac{\det_{1 \leq i, j \leq n} (x_i^{n-j} p_j(x_i))}{\prod_{1 \leq i < j \leq n} (x_i - x_j)}$ with $p_j(x) := \sum_{k=0}^{j-1} x^k (-1 + u + v - ux)^{k} v^{j-1-k}$.

4 Proof of Theorem 1.3

The proof of Theorem 1.3 is split into two parts. First, we derive an explicit expansion of $\mathcal{A}_n(u, v; \mathbf{x})$ into Schur polynomials. Second we prove that the coefficients of each Schur polynomial satisfy the same recursion as the right hand side of (1.1).

To emphasise the general principle used to express the determinantal expression of $\mathcal{A}_n(u, v; \mathbf{x})$ as a sum of Schur polynomials, we consider $p_j(x)$ to be a family of polynomials $p_j(x) := \sum_{k \geq 0} a_{j,k} x^k$. Using the linearity of the determinant in the columns, we have

$$\frac{\det_{1 \leq i, j \leq n} (x_i^{n-j} p_j(x_i))}{\prod_{1 \leq i < j \leq n} (x_i - x_j)} = \sum_{k_1, \dots, k_n \geq 0} \left(\prod_{j=1}^n a_{j, k_j} \right) s_{(k_1, \dots, k_n)}(\mathbf{x}), \quad (4.1)$$

where we used in the last step the well known extension of Schur polynomials to ar-

bitrary sequences $L = (L_1, \dots, L_n)$ of non-negative integers via $s_L(\mathbf{x}) := \frac{\det_{1 \leq i, j \leq n} (x_i^{L_j + n - j})}{\prod_{1 \leq i < j \leq n} (x_i - x_j)}$.

It can be checked that the generalised Schur polynomial $s_L(\mathbf{x})$ is either equal to 0 or $s_L(\mathbf{x}) = \text{sgn}(\sigma) s_\lambda(\mathbf{x})$ where $\lambda = (\lambda_1, \dots, \lambda_n)$ is a partition whose parts are allowed to be

zero and $\sigma \in S_n$ is a permutation such that $L_j = \lambda_{\sigma(j)} + j - \sigma(j)$ for all $1 \leq j \leq n$. It follows that (4.1) is equal to

$$\sum_{\lambda} s_{\lambda}(\mathbf{x}) \left(\sum_{\sigma \in S_n} \operatorname{sgn}(\sigma) \prod_{j=1}^n a_{j, \lambda_{\sigma(j)} + j - \sigma(j)} \right) = \sum_{\lambda} s_{\lambda}(\mathbf{x}) \det_{1 \leq i, j \leq n} (a_{j, \lambda_i + j - i}), \quad (4.2)$$

where the sum is over all partitions λ . By applying (4.2) to the family of polynomials

$$p_j(x) = \sum_{0 \leq l, k \leq j-1} (-1)^k \binom{k}{l} x^{k+l} u^l (1-u-v)^{k-l} v^{j-1-k},$$

we obtain

$$\begin{aligned} \mathcal{A}_n(u, v; \mathbf{x}) &= \sum_{\lambda} s_{\lambda}(\mathbf{x}) \det_{1 \leq i, j \leq n} \left(\sum_{\substack{0 \leq l, k \leq j-1 \\ k+l = \lambda_i + j - i}} (-1)^k \binom{k}{l} u^l (1-u-v)^{k-l} v^{j-1-k} \right) \\ &= \sum_{\lambda} s_{\lambda}(\mathbf{x}) \det_{1 \leq i, j \leq n} \left(\sum_{k=0}^{j-1} (-1)^k \binom{k}{\lambda_i + j - i - k} u^{\lambda_i + j - i - k} (1-u-v)^{2k - \lambda_i - j + i} v^{j-1-k} \right). \end{aligned}$$

We denote by $m_{i,j}(\lambda_i)$ the (i, j) -th entry of the matrix in the above determinant. An entry $m_{i,1}(\lambda_i) = \binom{0}{\lambda_i + 1 - i} u^{\lambda_i + 1 - i} (1-u-v)^{-\lambda_i - 1 + i}$ in the first column is 1 iff $\lambda_i = i - 1$ and 0 otherwise. Let l be the side length of the Durfee square of λ . The only possible part of λ satisfying $\lambda_i = i - 1$ is the $(l+1)$ -st. For $\lambda_{l+1} \neq l$ the partition λ is not a modified balanced partition and the above determinant is 0. Hence we assume for the rest of the proof $\lambda_{l+1} = l$. By expanding the determinant along the first column, we obtain

$$\det_{1 \leq i, j \leq n} (m_{i,j}(\lambda_i)) = (-1)^{l+2} \det_{1 \leq i, j \leq n-1} (m'_{i,j}(\lambda_i)),$$

where $(m'_{i,j})_{1 \leq i, j \leq n-1}$ denotes the matrix obtained by deleting the first column and the $(l+1)$ -st row of $(m_{i,j}(\lambda_i))_{1 \leq i, j \leq n}$. For $1 \leq i \leq l$, i.e., $\lambda_i > i$, we can rewrite $m'_{i,j}$ as

$$\begin{aligned} m'_{i,j} &= \sum_{k=0}^j (-1)^k \binom{k}{\lambda_i + (j+1) - i - k} u^{\lambda_i + (j+1) - i - k} (1-u-v)^{2k - \lambda_i - (j+1) + i} v^{(j+1) - 1 - k} \\ &= \sum_{k=0}^{j-1} (-1)^{k+1} u^{\lambda_i + j - i - k} (1-u-v)^{2k+1 - \lambda_i - j + i} v^{j-k-1} \\ &\quad \times \left(\binom{k}{\lambda_i + j - i - k} + \binom{k}{\lambda_i + j - i - k - 1} \right) \\ &= -(1-u-v) m_{i,j}(\lambda_i) - u m_{i,j}(\lambda_i - 1). \end{aligned}$$

For $i > l$ on the other hand, i.e. $\lambda_{i+1} < i + 1$, we can express $m'_{i,j}$ analogously as

$$m'_{i,j} = \sum_{k=0}^j (-1)^k \binom{k}{\lambda_{i+1} + (j+1) - (i+1) - k} \\ \times u^{\lambda_{i+1} + (j+1) - (i+1) - k} (1 - u - v)^{2k - \lambda_{i+1} - (j+1) + (i+1)} v^{(j+1) - 1 - k} = v m_{i,j}(\lambda_{i+1}),$$

since $\binom{j}{\lambda_{i+1} - i} = 0$. The coefficient c_λ of $s_\lambda(\mathbf{x})$ in $\mathcal{A}_n(u, v; \mathbf{x})$ is therefore given by

$$(-1)^l \det_{1 \leq i, j \leq n-1} \begin{pmatrix} -(1-u-v)m_{i,j}(\lambda_i) - um_{i,j}(\lambda_i - 1) & i \leq l, \\ vm_{i,j}(\lambda_{i+1}) & i > l, \end{pmatrix} \\ = \sum_{(f_1, \dots, f_l) \in \{0,1\}^l} \left(u^{\sum_{i=1}^l f_i} (1-u-v)^{l - \sum_{i=1}^l f_i} v^{n-1-l} \right) c_{(\lambda_1 - f_1, \dots, \lambda_l - f_l, \lambda_{l+2}, \dots, \lambda_n)},$$

with $c_{(\lambda_1 - f_1, \dots, \lambda_l - f_l, \lambda_{l+2}, \dots, \lambda_n)} = 0$ if $(\lambda_1 - f_1, \dots, \lambda_l - f_l, \lambda_{l+2}, \dots, \lambda_n)$ is not a partition, where the equality follows from the linearity of the determinant in the rows and choosing $f_i = 0$ iff we select the first term in row i . Using Frobenius notation for $\lambda = (a_1, \dots, a_l | b_1, \dots, b_l)$, the above recursion can be rewritten as

$$c_{(a_1, \dots, a_l | b_1, \dots, b_l)} = \sum_{(f_1, \dots, f_l) \in \{0,1\}^l} \left(u^{\sum_{i=1}^l f_i} (1-u-v)^{l - \sum_{i=1}^l f_i} v^{n-1-l} \right) c_{(a_1 - f_1, \dots, a_l - f_l | b_1 - 1, \dots, b_l - 1)},$$

where $c_{(a_1, \dots, a_{l-1}, -1 | b_1, \dots, b_{l-1}, 0)}$ is defined as $c_{(a_1, \dots, a_{l-1} | b_1, \dots, b_{l-1})}$.

Denote by d_λ the coefficient of $s_\lambda(\mathbf{x})$ in $\sum_{T \in \text{TSPP}_{n-1}} \omega_{\pi(T)}(u, v) s_{\pi(T)}(\mathbf{x})$. For $\lambda = (a_1, \dots, a_l | b_1, \dots, b_l)$, Proposition 2.1 implies

$$d_{(a_1, \dots, a_l | b_1, \dots, b_l)} = u^{\sum_{i=1}^l (a_i+1)} (1-u-v)^{\sum_{i=1}^l (b_i-1-a_i)} v^{\binom{n}{2} - \sum_{i=1}^l b_i} \det_{1 \leq i, j \leq l} \left(\binom{b_j - 1}{a_i} \right) \\ = u^{\sum_{i=1}^l (a_i+1)} (1-u-v)^{\sum_{i=1}^l (b_i-1-a_i)} v^{\binom{n}{2} - \sum_{i=1}^l b_i} \det_{1 \leq i, j \leq l} \left(\binom{b_j - 2}{a_i} + \binom{b_j - 2}{a_i - 1} \right) \\ = \sum_{(f_1, \dots, f_l) \in \{0,1\}^l} \left(u^{\sum_{i=1}^l f_i} (1-u-v)^{l - \sum_{i=1}^l f_i} v^{n-1-l} \right) d_{(a_1 - f_1, \dots, a_l - f_l | b_1 - 1, \dots, b_l - 1)},$$

where we used the linearity of the determinant in the last step. The assertion follows by induction on n since both c_λ and d_λ satisfy the same recursion and the induction base can be checked easily by hand.

5 Final remarks

We conclude our article with some brief remarks describing further directions that we intend to pursue. Drawing upon work of Fischer and Riegler [7] inspired by counting

monotone triangles with a fixed bottom row, one can obtain a family of symmetric polynomials indexed by a partition λ that contains $\mathcal{A}_n(u, v; \mathbf{x})$ as a special case of the empty partition. Data reveal that this family still has a nice Schur expansion in the sense that the coefficients can be written as polynomials in $u, v, 1 - u - v$ with positive coefficients. A next natural step would be to generalize our techniques to a broader framework.

Continuing in this direction, one may consider stable limits of the aforementioned symmetric polynomials to obtain (inhomogeneous) elements in the ring of symmetric functions. The lowest degree symmetric function in these expressions is the Schur function s_λ . This is very reminiscent of the stable Grothendieck polynomials of Fomin-Kirillov, and raises the question whether there is a combinatorially interesting algebra structure on these functions.

Finally, it is interesting to note that $\mathcal{A}_n(u, v; \mathbf{x})$ already appeared in a six-vertex model context. More concretely, $X_n(x, y; z_1, \dots, z_n)$ as defined by Behrend in [4, Eq. (70)] seems to satisfy $v^{(2)} X_n(\frac{u}{v}, \frac{1}{v}; \mathbf{x}) = \mathcal{A}_n(u, v; \mathbf{x})$. We plan to examine this connection in more detail in the full version of this extended abstract.

Acknowledgements

The authors want to thank François Bergeron for helpful discussions as well as two anonymous referees for helpful suggestions.

References

- [1] F. Aigner. *A new determinant for the Q-enumeration of alternating sign matrices*. arXiv: [1810.08022](https://arxiv.org/abs/1810.08022).
- [2] G. E. Andrews. “Plane partitions (III): The weak Macdonald conjecture”. In: *Invent. Math.* 53 (1979), pp. 193–225.
- [3] G. E. Andrews. “Plane partitions V: The TSSCPP conjecture”. In: *J. Combin. Theory Ser. A* 66.1 (1994), pp. 28–39.
- [4] R. E. Behrend. “Multiply-refined enumeration of alternating sign matrices”. In: *Adv. Math.* 245 (2013), pp. 439–499.
- [5] I. Fischer. “A method for proving polynomial enumeration formulas”. In: *J. Combin. Theory Ser. A* 111.1 (2005), pp. 37–58.
- [6] I. Fischer. “Constant term formulas for refined enumerations of Gog and Magog trapezoids”. In: *J. Combin. Theory Ser. A* 158 (2018), pp. 560–604.

- [7] I. Fischer and L. Riegler. “Vertically symmetric alternating sign matrices and a multivariate Laurent polynomial identity”. In: *Electron. J. Combin.* 22.1 (2015), P1.5, 32pp.
- [8] I. Gessel and G. Viennot. “Binomial determinants, paths, and hook length formulae”. In: *Adv. Math.* 58.3 (1985), pp. 300–321.
- [9] G. Kuperberg. “Another proof of the alternating sign matrix conjecture”. In: *Int. Math. Res. Not.* 3 (1996), pp. 139–150.
- [10] B. Lindström. “On the vector representations of induced matroids”. In: *Bull. London Math. Soc.* 5 (1973), pp. 85–90.
- [11] P. A. MacMahon. “Memoir on the theory of the partition of numbers, I”. In: *Lond. Phil. Trans. (A)* 187 (1897), pp. 619–673.
- [12] W. H. Mills, D. P. Robbins, and H. C. Rumsey Jr. “Alternating Sign Matrices and Descending Plane Partitions”. In: *J. Combin. Theory Ser. A* 34.3 (1983), pp. 340–359.
- [13] W. H. Mills, D. P. Robbins, and H. C. Rumsey Jr. “Proof of the Macdonald Conjecture”. In: *Invent. Math.* 66.1 (1982), pp. 73–87.
- [14] W. H. Mills, David P. Robbins, and Howard Rumsey Jr. “Self-complementary totally symmetric plane partitions”. In: *J. Combin. Theory Ser. A* 42.2 (1986), pp. 277–292.
- [15] D. P. Robbins and H. C. Rumsey Jr. “Determinants and alternating sign matrices”. In: *Adv. Math.* 62.2 (1986), pp. 169–184.
- [16] R. P. Stanley. *Enumerative combinatorics. Vol. 2.* Vol. 62. Cambridge Studies in Advanced Mathematics. Cambridge University Press, Cambridge, 1999.
- [17] R. P. Stanley. “The conjugate trace and trace of a plane partition”. In: *J. Combin. Theory Ser. A* 14 (1973), pp. 53–65.
- [18] J.R. Stembridge. “The Enumeration of Totally Symmetric Plane Partitions”. In: *Adv. Math.* 111.2 (1995), pp. 227–243.
- [19] J. Striker. “A unifying poset perspective on alternating sign matrices, plane partitions, Catalan objects, tournaments, and tableaux”. In: *Adv. Appl. Math.* 46.1-4 (2011), pp. 583–609.
- [20] D. Zeilberger. “Proof of the Alternating Sign Matrix Conjecture”. In: *Electron. J. Combin.* 3.2 (1996), R13 84.
- [21] D. Zeilberger. “Proof of the refined alternating sign matrix conjecture”. In: *New York J. Math.* 2 (1996), pp. 59–68.