

From 'actuality entailments' to avertivity: on some postmodal meanings in French

Patrick Caudal

▶ To cite this version:

Patrick Caudal. From 'actuality entailments' to avertivity: on some postmodal meanings in French. ALS 2020 (2020 Conference of the Australian Linguistic Society), Dec 2020, Sydney, Australia. hal-03093266

HAL Id: hal-03093266

https://hal.science/hal-03093266

Submitted on 3 Jan 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

From 'actuality entailments' to avertivity: on some postmodal meanings in French

So-called actuality entailments (AE) were first identified on the basis of French data over twenty years ago (Bhatt 1999), and typically involve two verbal elements: (a) a modal verb or construction with past tense marking, and (b) a lexical verb. According to Bhatt, the past modal verbs a pu ('was able_{perfective} to') and a $d\hat{u}$ ('was compelled_{perfective} to') in (1)-(2) have an implicative meaning à la (Karttunen 1971), i.e. the lexical verb, although embedded under a modal, comes to describe an actual event description; the modal is treated as lexically ambiguous. An obvious problem – addressed at length in (Mari 2016) – with views of AE thus putting aside modality (Mari & Martin 2007; Mari & Martin 2009; Homer 2011; Mari 2015; Nadathur 2019) is that it is empirically unsatisfying to treat (1)-(2) as being equivalent to an implicative construction (e.g. manage) or a non-modalized assertion in the past, as these examples respectively express that a capacity and an obligation became realized by some past event (a fact unaccounted for under if one brushes aside modality).

While (Mari 2016) argues for a presupposition-based analysis of the modal content of AEs in (1)-(3), where the modal turns out to be trivialized in the assertion, as its domain of quantification contains the actual world, I will show that (i) her analysis, based on construed (and sometimes, grammatically misjudged) examples, cannot explain some attested data points, e.g. where no presupposition arises (6), and (ii) that it shares with both the implicative view and de-modalizing accounts, the idea that *event actuality* is central to the phenomena at stake. I will argue that such a conception leads us away from the obvious, and crucial connection between so-called AEs, and avertive (Kuteva et al. 2019) structures in French. Indeed, *pouvoir*_{perfective}, when combined with a negation, expresses a failed attempt (3), very much like a 'try' verb – and strikingly similar to an alternative avertive construction without negative marking, namely *vouloir*_{perfective} (5). Such avertive readings of modal verbs in the past are ignored in existing contributions, or when discussed, are simply dubbed as 'non actual' (Hacquard 2006: 163 sqq.; Nadathur 2019). In short, I believe that the very term of 'actuality entailment' tends to obfuscate the complexity of the matter at hand.

To address these issues, I will propose that so-called AE and avertive structures form a natural semantic cluster, revolving around the notion of an eventualized postmodal meaning (EPM), which can either involve a realized (1) or a non-realized ('failed') (3)-(5)(2) modal content. And instead of adopting a systematically productive account of EPMs, be it based on e.g. presupposition and a trivialized modal (Mari 2016), or aspectual coercion (Homer 2011; Mari & Martin 2009), or some other mechanism, I will argue that at least some of them are essentially of a conventionalized nature, as some gaps in EPM paradigms, notably in combination with negation, do not seem readily explainable in terms of semantic composition, cf. e.g. (4). But unlike existing lexicalist accounts (e.g. (Bhatt 1999)'s 'implicative' proposal), I will claim that some EPM structures involve conventionalized implicatures à la (Potts 2007a; Potts 2007b), and a two-dimensional meaning, combining an at issue content σ^a (the actual event or failed attempt event) with a non-at issue content τ^c (roughly speaking, the modal meaning, anchored in the past) – a fact which naturally explains why the latter can have presuppositional properties. My implementation will be inspired by (Davis & Gutzmann 2015)'s notion of *pragmaticization*, and will use their *bullet operator* \bullet ($[\alpha:\sigma^a \bullet \beta:\tau^c]^{Mi,g} = \langle [\alpha:\sigma^a]^{Mi,g}, [\beta:\tau^c]^{Mi,g} \rangle$) to relate the two semantic dimensions; I will also postulate an underlying diachronic change, whereby an implicature becomes conventionalized, then promoted to at issue meaning-level. I will finally suggest that not all EPM structures have equally progressed in this respect, and that vouloir_{perfective} (3), is much less lexicalized than e.g. pouvoir_{perfective} (1), and that some complete lexifications even obtain, as in (6). The latter, I will argue, is more advanced than both (5) and (1), and has become a semantically mono-dimensional, non-presuppositional frozen expression -i.e. it does not involve \bullet any more.

- (1) Il a pu partir. (French)
 He have-3sg.PR be.able.to-PP leave-INF.
 'He was able/managed to leave'. (presupposes some difficulty)
- (2) Il a dû partir. (French)

 He have-3sg.PR have.to-PP leave-INF.

 'He was compelled to leave'. (presupposes agent's unwillingness to act)
- (3) Il n'a pas pu partir. (French)
 He NEG.have-3sg.PR NEG be.able.to-PP leave-INF.
 'He failed/wasn't able/couldn't bring himself to leave' (avertive)
- (4) #Il n'a pas dû partir. (French)
 He NEG.have-3sg.PR NEG have.to-PP leave-INF.
 'He probably didn't leave'. (no EPM meaning, avertive or other)
- (5) Il a voulu partir.

 He have-3sg.PR want-PP leave-INF.

 'He tried to leave [but failed]'. (avertive)

world pulls together.'(www2.parl.gc.ca)

(6) Nous avons pu constater les progrès remarquables que le monde peut faire lorsque tous vont dans la même direction. (www2.parl.gc.ca) (not presuppositional)
 'We saw [= were able_{perfective} to see] the remarkable progress that had been made when the

Selected references

- Bhatt, Rajesh. 1999. *Covert Modality in Non-finite Contexts*. Berlin, Boston: University of Pennsylvania PhD Thesis
- Davis, Christopher & Daniel Gutzmann. 2015. Use-conditional meaning and the semantics of pragmaticalization. In Eva Csipak & Hedde Zeijlstra (eds.), *Proceedings of Sinn und Bedeutung 19*, 197–213. Göttingen: Georg-August-Universität Göttingen.

Hacquard, Valentine. 2006. Aspects of Modality. MIT PhD Thesis.

Homer, Vincent. 2011. French Modals and Perfective: A Case of Aspectual Coercion. In Mary Byram-Washburn, Katherine McKinney-Bock, Erika Varis, Ann Sawyer & Barbara Tomaszewicz (eds.), *Proceedings of the 28th West Coast Conference on Formal Linguistics (WCCFL 28)*, 106–114. Somerville, MA.: Cascadilla.

Karttunen, Lauri. 1971. Implicative verbs. Language 47(2)(4). 340–358.

Kuteva, Tania, Bas Aarts, Gergana Popova & Anvita Abbi. 2019. The grammar of 'non-realization.' *Studies in Language* 43(4). 850–895.

Mari, Alda. 2015. Modalités et Temps. Bern: Peter Lang.

Mari, Alda. 2016. Actuality Entailments: When the Modality is in the Presupposition. In *Proceedings of the 9th International Conference on Logical Aspects of Computational Linguistics. Celebrating 20 Years of LACL 1996—2016 - Volume 10054* (LACL 2016), 191–210. Berlin, Heidelberg: Springer-Verlag.

Mari, Alda & Fabienne Martin. 2007. *Tense, abilities and actuality entailment*. ILLC/Department of Philosophy.

Mari, Alda & Fabienne Martin. 2009. Perfective and Imperfective in French - Kinds of abilities and Actuality Entailment (And some notes on epistemic readings). Institut Jean Nicod, Paris, ms.

Nadathur, Prerna. 2019. *Causality, aspect, and modality in actuality inferences*. Stanford, CA: Stanford University.

Potts, Christopher. 2007a. The expressive dimension. *Theoretical Linguistics* 33(2). 165–198.

Potts, Christopher. 2007b. The centrality of expressive indices. *Theoretical Linguistics* 33(2). 255–268.